

The 20th International Spin Physics Symposium Dubna - Sept. 17th-22nd, 2012

highlights from the hermes collaboration

- the HERMES experiment
- inclusive DIS
- semi-inclusive DIS
- 3D structure via TMDs and GPDs





a tiny and asymmetric spin-flip amplitude in synchrotron radiation opens door for polarized DIS at HERA:

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Fig. 4: Observation of rise-time at E=26.71 GeV.

demonstration of lepton polarization at HERA under realistic running conditions

• a tiny and asymmetric spin-flip amplitude in synchrotron radiation opens door for polarized DIS at HERA:



- demonstration of lepton polarization at HERA under realistic running conditions
- October 1992, PRC: "Recommend the DESY directorate to approve HERMES"
- 9 October 1992: (conditional) approval of the HERMES experiment
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The HERMES experiment (1995-2007)

- novel pure gas targets:
- internal to HERA lepton ring
- unpolarized (¹H ... Xe)
- Iongitudinally polarized: ¹H, ²H, ³He
- transversely polarized: ¹H





HERMES schematically



two (mirror-symmetric) halves -> no homogenous azimuthal coverage Particle ID detectors allow for

- lepton/hadron separation
- RICH: pion/kaon/proton discrimination 2GeV<p<15GeV

Particle Identification



excellent lepton/hadron separation



Dual-Radiator RICH hadron ID for momenta 2-15 GeV





• Inclusive DIS from longitudinally polarized Deuterium target: $\Delta\Sigma = 0.330 \pm 0.025 \text{ (exp.)} \pm 0.011 \text{ (theory)} \pm 0.028 \text{ (evol.)}$ PRD 75 (2007) 012007



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High-p_T hadrons at HERMES:

∆G/G = 0.071 ± 0.034^(stat) ± 0.010 (sys-exp) +0.127 JHEP 1008 (2010) 130 -0.105 (sys-model)

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HERA II - some highlights

- transverse-target program
 - first demonstration of Sivers effect in DIS
 - first clear evidence for transversity

[PRL 94 (2005) 012002] [PRL 103 (2009) 152002]

[PRL 94 (2005) 012002] [JHEP 06 (2008) 017] [PLB 693 (2010) 11]

- involving Collins and interference fragmentation
- sizable transverse target asymmetries in DVCS [JHEP 06 (2008) 066]
- recoil-detector program
 - high-statistics data set using unpolarized targets
 - measurement of DVCS with recoil-proton detection











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Check the details!

Check the details!



Two-photon exchange

- Candidate to explain discrepancy in form-factor measurements
- Interference between oneand two-photon exchange amplitudes leads to SSAs
 in inclusive DIS off transversely polarized targets
- cross section proportional to S(kxk') either measure left-right asymmetries or sine modulation
- sensitive to beam charge due to odd number of e.m. couplings to beam

No sign of two-photon exchange



Why measure F_2 at HERMES?



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GD11 - global fit

From global fit GD11: **HERMES** relative normalization is ~2% for Proton and Deuteron ~0.5% for the Ratio





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Results on A2 and xg2



consistent with (sparse) world data

Results on A2 and xg2



Semi-Inclusive DIS





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$$A_1^{h^+-h^-} = \frac{(d\sigma_{h^+} \stackrel{\rightarrow}{\leftarrow} - d\sigma_{h^-} \stackrel{\rightarrow}{\leftarrow}) - (d\sigma_{h^+} \stackrel{\rightarrow}{\rightarrow} - d\sigma_{h^-} \stackrel{\rightarrow}{\rightarrow})}{(d\sigma_{h^+} \stackrel{\rightarrow}{\leftarrow} - d\sigma_{h^-} \stackrel{\rightarrow}{\leftarrow}) + (d\sigma_{h^+} \stackrel{\rightarrow}{\rightarrow} - d\sigma_{h^-} \stackrel{\rightarrow}{\rightarrow})}$$

 A_{1d}

0.8

0.6

0.4

0.2

∙0.2⊏__ 0.01

0.6

0.4

 $\Sigma_{U_{V}^{0.02}}$

 $4u_v - d_v$

 $\Delta u_v + \Delta d_v$

 $u_v + d_v$

0.02

 $A_{1d}^{K^+ - K^-}$

0.2 0.3

0.2 0.3

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0.1

02

-0.2는 0.01

0.6

0.4

0.2

-0.2 0.01 0.02

A

 $A_{1d}^{h^+-h^-}$

0.02

 π^+ - π^-

HERMES PRELIMINARY

0.1

0.2 0.3

Q1, 0.2 0.3





- charge-difference double-spin asymmetries
- use charge-conjugation symmetry to extract, at LO, valence distributions







... going 3D

Spin-Momentum Structure of the Nucleon

$$\frac{1}{2} \operatorname{Tr} \left[(\gamma^{+} + \lambda \gamma^{+} \gamma_{5}) \Phi \right] = \frac{1}{2} \left[f_{1} + S^{i} \epsilon^{ij} k^{j} \frac{1}{m} f_{1T}^{\perp} + \lambda \Lambda g_{1} + \lambda S^{i} k^{i} \frac{1}{m} g_{1T} \right]$$

$$\frac{1}{2} \operatorname{Tr} \left[(\gamma^{+} - s^{j} i \sigma^{+j} \gamma_{5}) \Phi \right] = \frac{1}{2} \left[f_{1} + S^{i} \epsilon^{ij} k^{j} \frac{1}{m} f_{1T}^{\perp} + s^{i} \epsilon^{ij} k^{j} \frac{1}{m} h_{1}^{\perp} + s^{i} S^{i} h_{1} \right]$$

$$-s^{i}(2k^{i}k^{j} - \mathbf{k}^{2}\delta^{ij})S^{j}\frac{1}{2m^{2}}h_{1T}^{\perp} + \Lambda s^{i}k^{i}\frac{1}{m}h_{1L}^{\perp}$$

quark pol.

		U	L	Т
leon pol.	U	f_1		h_1^\perp
	L		g_{1L}	h_{1L}^{\perp}
nuc]	Т	f_{1T}^{\perp}	g_{1T}	h_1, h_{1T}^{\perp}

- each TMD describes a particular spinmomentum correlation
- functions in black survive integration over transverse momentum
- functions in green box are chirally odd
- functions in red are naive T-odd
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helicity

U

L

Τ

nucleon pol.

Sivers

worm

guliui .schnen & uesy.ue

U

 f_1

 f_{1T}^{\perp}

quark pol.

L

 g_{1L}

 g_{1T}

Т

 h_1^{\perp}

 h_{1L}^{\perp}

 h_1, h_{1T}^{\perp}

transversity

$$+ s^{i} (2k^{i}k^{j} - \mathbf{k}^{2}\delta^{ij})S^{j} \frac{1}{2m^{2}} h_{1T}^{\perp} + \Lambda s^{i}k^{i} \frac{1}{m} h_{1L}^{\perp}$$

- each TMD describes a particular spin Boer-Mulders
 - functions in black survive integration over transverse momentum
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TMDs - Probabilistic interpretation

Proton goes out of the screen/ photon goes into the screen



			1	1
		U	L	Т
pol.	U	f_1		h_1^{\perp}
leon	L		g_{1L}	h_{1L}^{\perp}
nuc	Т	f_{1T}^{\perp}	g_{1T}	h_1, h_{1T}^\perp

quark pol.

in SIDIS couple PDFs to:







1-Hadron production ($ep \rightarrow ehX$)

$$d\sigma = d\sigma_{UU}^{0} + \cos 2\phi \, d\sigma_{UU}^{1} + \frac{1}{Q} \cos \phi \, d\sigma_{UU}^{2} + \lambda_{e} \frac{1}{Q} \sin \phi \, d\sigma_{LU}^{3}$$

$$+ S_{L} \left\{ \sin 2\phi \, d\sigma_{UL}^{4} + \frac{1}{Q} \sin \phi \, d\sigma_{UL}^{5} + \lambda_{e} \left[d\sigma_{LL}^{6} + \frac{1}{Q} \cos \phi \, d\sigma_{LL}^{7} \right] \right\}$$

$$+ S_{T} \left\{ \sin(\phi - \phi_{S}) \, d\sigma_{UT}^{8} + \sin(\phi + \phi_{S}) \, d\sigma_{UT}^{9} + \sin(3\phi - \phi_{S}) \, d\sigma_{UT}^{10} \right\}$$

$$+ \frac{1}{Q} \left(\sin(2\phi - \phi_{S}) \, d\sigma_{UT}^{11} + \sin \phi_{S} \, d\sigma_{UT}^{12} \right)$$

$$+ \lambda_{e} \left[\cos(\phi - \phi_{S}) \, d\sigma_{LT}^{13} + \frac{1}{Q} \left(\cos \phi_{S} \, d\sigma_{LT}^{14} + \cos(2\phi - \phi_{S}) \, d\sigma_{LT}^{15} \right) \right]$$

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Beam

Mulders and Tangermann, Nucl. Phys. B 461 (1996) 197 Boer and Mulders, Phys. Rev. D 57 (1998) 5780 Bacchetta et al., Phys. Lett. B 595 (2004) 309 Bacchetta et al., JHEP 0702 (2007) 093 "Trento Conventions", Phys. Rev. D 70 (2004) 117504 23 SPIN 2012 - September 21st, 2012

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В

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B

	U	L	Т
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L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1, h_{1T}^\perp

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transverse polarization of quarks leads to large effects!



Non-zero transversity Non-zero Collins function

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L. Pappalardo (S1-VI)



Sivers effect

naively T-odd distributions "Wilson-line physics"









Sivers function

- correlates transverse momentum of quarks with transverse spin of proton
- candidate for large (30-50%) asymmetries in p[↑]p->hX



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- HERMES: u-quark and d-quark
 Sivers have opposite signs
- (naive) T-odd structure:
 S_N · (p_⊥ x P_N) -- requires ISI/FSI
- leads to peculiar calculable universality breaking (DIS vs. Drell-Yan)



Process dependence

simple QED example





DIS: attractive

Drell-Yan: repulsive

Process dependence

simple QED example



add color: QCD

result: Sivers|DIS = - Sivers|DY



Unpolarized Drell-Yan



Unpolarized Drell-Yan



failure of collinear pQCD

Unpolarized Drell-Yan



failure of collinear pQCD

possible source: Boer-Mulders effect





Boer-Mulders effect:



spin-effect in unpolarized reactions



Boer-Mulders effect:

$$h_{1}^{\perp} = \underbrace{\bullet}_{\mathsf{S}_{\mathsf{q}}} \cdot (\mathsf{p}_{\perp} \times \mathsf{P}_{\mathsf{N}})$$

- spin-effect in unpolarized reactions
- "QCD Sokolov-Ternov effect" transverse polarization of "orbiting" quarks



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- spin-effect in unpolarized reactions
- "QCD Sokolov-Ternov effect" transverse polarization of "orbiting" quarks
- QCD: sign change for DIS vs. Drell-Yan
- up to now little data from DIS

HERMES with most comprehensive data set

Cross section without polarization

$$\frac{d^{5}\sigma}{dxdydzd\phi_{h}dP_{h\perp}^{2}} \propto \left(1 + \frac{\gamma^{2}}{2x}\right) \{F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1 - \epsilon)}F_{UU}^{\cos\phi_{h}}\cos\phi_{h} + \epsilon F_{UU}^{\cos2\phi_{h}}\cos2\phi_{h}\}$$

$$F_{XY,Z} = F_{XY,Z} (x, y, z, P_{h\perp})$$

$$\begin{split} \gamma &= \frac{2Mx}{Q} \\ \varepsilon &= \frac{1 - y - \frac{1}{4}\gamma^2 y^2}{1 - y + \frac{1}{2}y^2 + \frac{1}{4}\gamma^2 y^2} \end{split}$$

[see, e.g., Bacchetta et al., JHEP 0702 (2007) 093]

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Cross section without polarization

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$$+ \sqrt{2\epsilon(1 - \epsilon)}F_{UU}^{\cos\phi_{h}}\cos\phi_{h} + \epsilon F_{UU}^{\cos2\phi_{h}}\cos2\phi_{h}\cos2\phi_{h}\}$$

$$= \frac{2(\hat{P}_{h\perp}\cdot\vec{k}_{T})(\hat{P}_{h\perp}\cdot\vec{p}_{T}) - \vec{k}_{T}\cdot\vec{p}_{T}}{MM_{h}}$$

$$= \frac{1 - y - \frac{1}{4}\gamma^{2}y^{2}}{1 - y + \frac{1}{2}y^{2} + \frac{1}{4}\gamma^{2}y^{2}}$$

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(Implicit sum over quark flavours)



Cahn effect only does not describe data


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- opposite sign for charged pions with larger magnitude for π⁻ (as expected)
 - -> same-sign BM-function for valence quarks



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🖝 F. Giordano (S1-V)



[M. Burkardt and B. Hannfious, PLB658 (2008) 130]

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F. Giordano (S1-V)



no dependence on hadron charge expected for Cahn effect

- flavor dependence of transverse momentum
- ⇒ sign of Boer-Mulders in cos modulation (indeed, overall pattern resembles B-M modulations)
- → additional "genuine" twist-3?



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- flavor dependence of transverse momentum
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→ additional "genuine" twist-3?



	U	L	Т
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"strange" results

	U	L	Т
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L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1, h_{1T}^{\perp}



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Hadron multiplicities in DIS

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Hadron multiplicities in DIS

hadron multiplicity: normalize to inclusive DIS cross section

 $\frac{d^5\sigma}{dxdydzd\phi_h dP_{h\perp}^2} \propto \left(1 + \frac{\gamma^2}{2x}\right) \{F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1-\epsilon)}F_{UU}^{\cos\phi_h}\cos\phi_h + \epsilon F_{UU}^{\cos2\phi_h}\cos2\phi_h\}$

Hadron multiplicities in DIS





- extensive data set on pure proton and deuteron targets for identified charged mesons
- extracted in a multidimensional unfolding procedure
- fair agreement between DSS and positive mesons
- poor description of negative mesons
- p/d differences due to flavor dependence of fragmentation



multi-dimensional analysis allows exploration of new kinematic dependences



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multi-dimensional analysis allows exploration of new kinematic dependences



Exclusive reactions

nAnother 3D1 picture of the



0

SPI

-0.6 - 0.4 - 0.2

0

0

nAnother 3D1 picture of the



-0.6 -0.4 -0.2

0

0

SPI

nAnother 3D1 picture of the



correlated info on transverse position and longitudinal momentum

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-0.6 - 0.4 - 0.2

0

0





x: average longitudinal momentum fraction of active quark (usually not observed & $x \neq x_B$)

 ξ : half the longitudinal momentum change $\approx x_B/(2-x_B)$





(+ 4 more chiral-odd functions)

helicity flip





helicity flip

(+ 4 more chiral-odd functions)





Real-photon production



Real-photon production



Real-photon production



- beam polarization P_B
- beam charge CB
- here: unpolarized target

Fourier expansion for ϕ :

$$|\mathcal{T}_{\mathsf{BH}}|^{2} = \frac{K_{\mathsf{BH}}}{\mathcal{P}_{1}(\phi)\mathcal{P}_{2}(\phi)} \sum_{n=0}^{2} c_{n}^{\mathsf{BH}} \cos(n\phi)$$



calculable in QED (using FF measurements)

 \vec{k}

- beam polarization P_B
- beam charge CB
- here: unpolarized target

Fourier expansion for ϕ :

$$|\mathcal{T}_{\mathsf{BH}}|^{2} = \frac{\mathcal{K}_{\mathsf{BH}}}{\mathcal{P}_{1}(\phi)\mathcal{P}_{2}(\phi)} \sum_{n=0}^{2} c_{n}^{\mathsf{BH}} \cos(n\phi)$$
$$\mathcal{T}_{\mathsf{DVCS}}|^{2} = \mathcal{K}_{\mathsf{DVCS}} \left[\sum_{n=0}^{2} c_{n}^{\mathsf{DVCS}} \cos(n\phi) + \mathcal{P}_{B} \sum_{n=1}^{1} s_{n}^{\mathsf{DVCS}} \sin(n\phi) \right]$$

 \dot{k}

- beam polarization P_B
- beam charge CB
- here: unpolarized target

Fourier expansion for ϕ :

$$\begin{aligned} |\mathcal{T}_{\mathsf{BH}}|^2 &= \frac{\mathcal{K}_{\mathsf{BH}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \sum_{n=0}^2 c_n^{\mathsf{BH}} \cos(n\phi) \\ |\mathcal{T}_{\mathsf{DVCS}}|^2 &= \mathcal{K}_{\mathsf{DVCS}} \left[\sum_{n=0}^2 c_n^{\mathsf{DVCS}} \cos(n\phi) + \mathcal{P}_{\mathsf{B}} \sum_{n=1}^1 s_n^{\mathsf{DVCS}} \sin(n\phi) \right] \\ \mathcal{I} &= \frac{\mathcal{C}_{\mathsf{B}}\mathcal{K}_{\mathcal{I}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left[\sum_{n=0}^3 c_n^{\mathcal{I}} \cos(n\phi) + \mathcal{P}_{\mathsf{B}} \sum_{n=1}^2 s_n^{\mathcal{I}} \sin(n\phi) \right] \end{aligned}$$

 \dot{k}



- beam charge C_B
- here: unpolarized target

Fourier expansion for ϕ :

$$\begin{aligned} |\mathcal{T}_{\mathsf{BH}}|^2 &= \frac{\kappa_{\mathsf{BH}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \sum_{n=0}^2 c_n^{\mathsf{BH}} \cos(n\phi) \\ |\mathcal{T}_{\mathsf{DVCS}}|^2 &= \kappa_{\mathsf{DVCS}} \left[\sum_{n=0}^2 c_n^{\mathsf{DVCS}} \cos(n\phi) + \mathcal{P}_B \sum_{n=1}^1 s_n^{\mathsf{DVCS}} \sin(n\phi) \right] \\ \mathcal{I} &= \frac{C_B \kappa_{\mathcal{I}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left[\sum_{n=0}^3 c_n^{\mathcal{I}} \cos(n\phi) + \mathcal{P}_B \sum_{n=1}^2 s_n^{\mathcal{I}} \sin(n\phi) \right] \end{aligned}$$

bilinear ("DVCS") or linear in GPDs



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A wealth of azimuthal amplitudes



Beam-charge asymmetry: GPD H Beam-helicity asymmetry: GPD H PRD 75 (2007) 011103 NPB 829 (2010) 1 JHEP 11 (2009) 083 PRC 81 (2010) 035202 PRL 87 (2001) 182001 JHEP 07 (2012) 032

Transverse target spin asymmetries: GPD E from proton target JHFP 06 (2008

JHEP 06 (2008) 066 PLB 704 (2011) 15

Longitudinal target spin asymmetry: GPD H Double-spin asymmetry: GPD H
A wealth of azimuthal amplitudes

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M. Murray (S3-II)

A wealth of azimuthal amplitudes

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complete data set!

Beam-charge asymmetry

[Airapetian et al., JHEP 07 (2012) 032; arXiv:1203.6287]



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Beam-spin asymmetry

complete data set!



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HERMES detector (2006/07)





- All particles in final state detected \rightarrow 4 constraints from energy-momentum conservation

- Selection of pure BH/DVCS ($ep \rightarrow ep \gamma$) with high efficiency (~83%)
- Allows to suppress background from associated and semi-inclusive processes to a negligible level (<0.2%) gunar.schnell @ desy.de 48 SPIN 2012 - September 21st, 2012

DVCS with recoil detector



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DVCS with recoil detector



KM10 - K. Kumericki and D. Müller, Nucl. Phys. B 841 (2010) 1 VGG - M. Vanderhaeghen et al., Phys. Rev. D 60 (1999) 094017 gunar.schnell @ desy.de 50

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DVCS with recoil detector



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Associated DVCS with recoil detector



- asymmetry amplitudes consistent with zero

- consistent with pure DVCS results (e.g., dilution in traditional analysis) gunar.schnell @ desy.de 51 SPIN 2012 - September 21st, 2012

Associated DVCS with recoil detector



- asymmetry amplitudes consistent with zero

- consistent with pure DVCS results (e.c. S. Yaschenko (S3-II) gunar.schnell @ desy.de Conclusions



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first observation of non-zero <sinøs>ut amplitudes

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🖝 L. Pappalardo (S1-VI)

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