

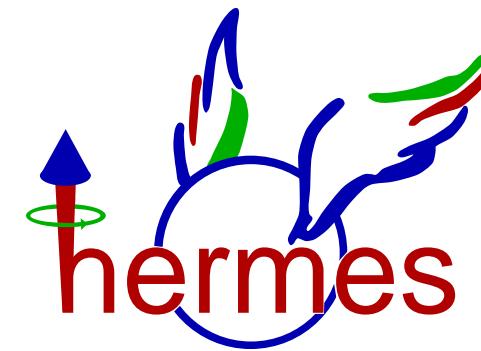
# ***Transversity Measurements at HERMES***

Gunar Schnell

Tokyo Institute of Technology / DESY - Zeuthen

[gunar.schnell@desy.de](mailto:gunar.schnell@desy.de)

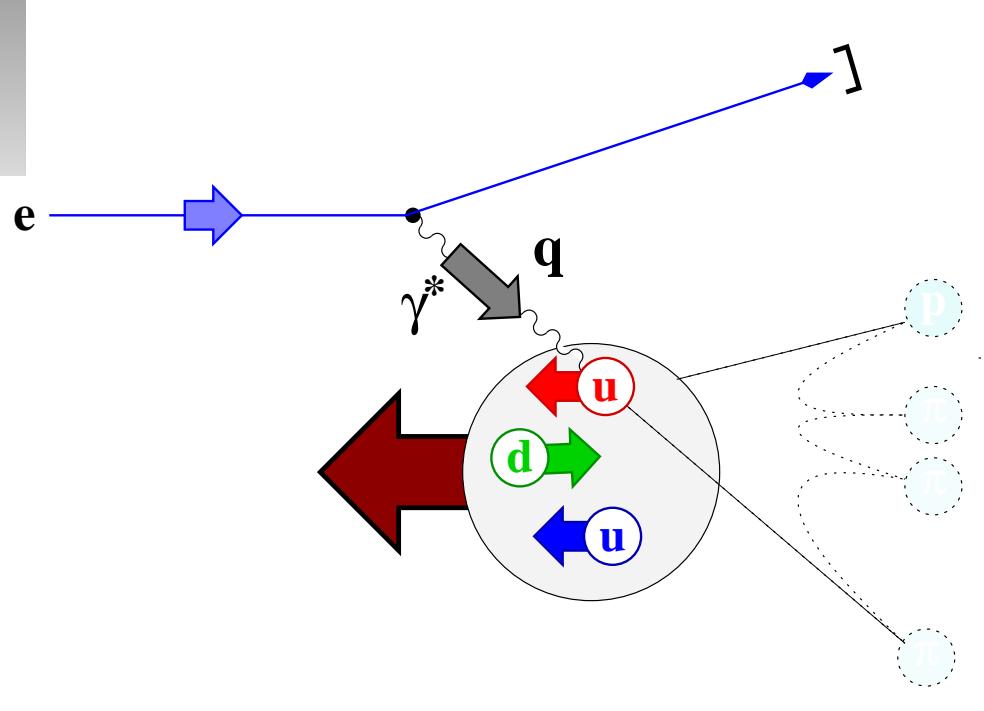
For the



Collaboration

# Deep Inelastic Scattering

use well-known probe to study hadronic structure



$$Q^2 \stackrel{\text{lab}}{=} 4EE' \sin^2\left(\frac{\Theta}{2}\right)$$

$$\nu \stackrel{\text{lab}}{=} E - E'$$

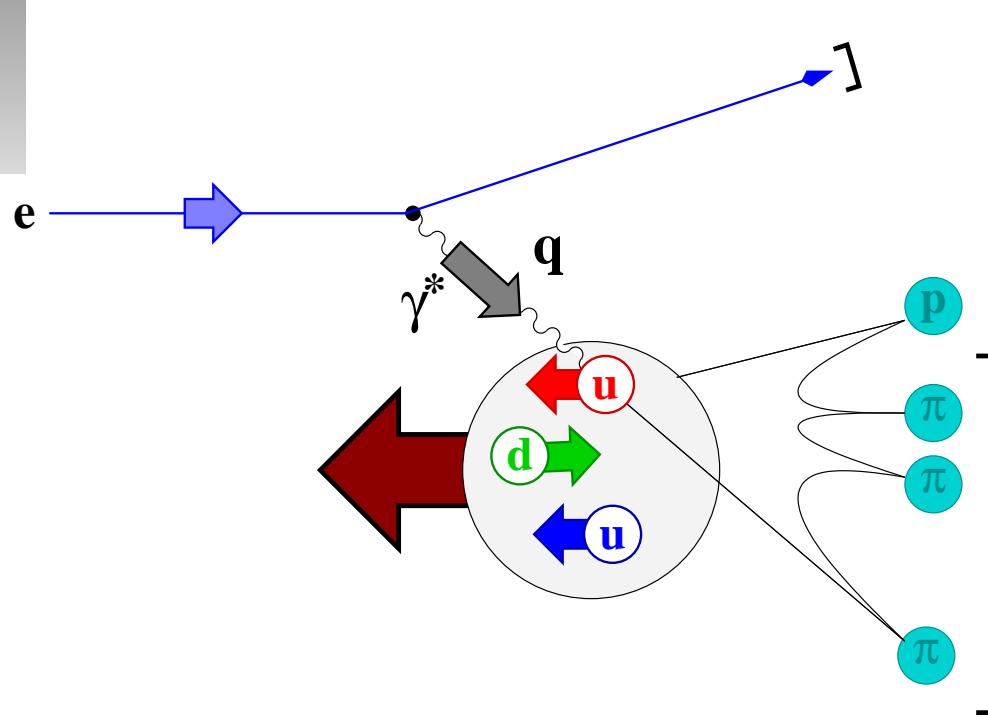
$$W^2 \stackrel{\text{lab}}{=} M^2 + 2M\nu - Q^2$$

$$y \stackrel{\text{lab}}{=} \frac{\nu}{E}$$

$$x \stackrel{\text{lab}}{=} \frac{Q^2}{2M\nu}$$

# Deep Inelastic Scattering

use well-known probe to study hadronic structure



$$Q^2 \stackrel{\text{lab}}{=} 4EE' \sin^2\left(\frac{\Theta}{2}\right)$$

$$\nu \stackrel{\text{lab}}{=} E - E'$$

$$W^2 \stackrel{\text{lab}}{=} M^2 + 2M\nu - Q^2$$

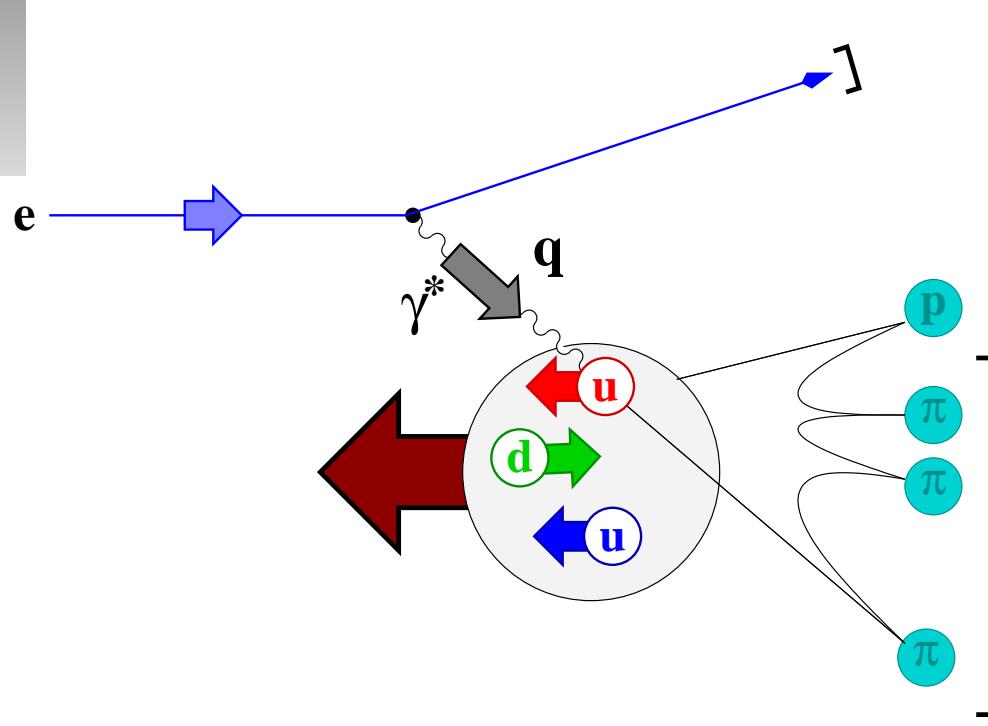
$$y \stackrel{\text{lab}}{=} \frac{\nu}{E}$$

$$x \stackrel{\text{lab}}{=} \frac{Q^2}{2M\nu}$$

$$z \stackrel{\text{lab}}{=} \frac{E_h}{\nu}$$

# Deep Inelastic Scattering

use well-known probe to study hadronic structure



$$\begin{aligned}
 Q^2 &\stackrel{\text{lab}}{=} 4EE' \sin^2\left(\frac{\Theta}{2}\right) \\
 \nu &\stackrel{\text{lab}}{=} E - E' \\
 W^2 &\stackrel{\text{lab}}{=} M^2 + 2M\nu - Q^2 \\
 y &\stackrel{\text{lab}}{=} \frac{\nu}{E} \\
 x &\stackrel{\text{lab}}{=} \frac{Q^2}{2M\nu} \\
 z &\stackrel{\text{lab}}{=} \frac{E_h}{\nu}
 \end{aligned}$$

**Factorization**  $\Rightarrow \sigma^{ep \rightarrow ehX} = \sum_q f^{p \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes D^{q \rightarrow h}$

# Quark Distribution Functions

$$f_1^q = \bullet$$



Unpolarized  
quarks and  
nucleons

$q(x)$ : spin averaged  
(well known)

$\Rightarrow$  Vector Charge

$$g_1^q = \bullet - \bullet$$



Longitudinally  
polarized quarks  
and nucleons

$\Delta q(x)$ : helicity  
difference (known)

$\Rightarrow$  Axial Charge

$$h_1^q = \bullet - \bullet$$



Transversely  
polarized quarks  
and nucleons

$\delta q(x)$ : helicity flip  
(unmeasured!)

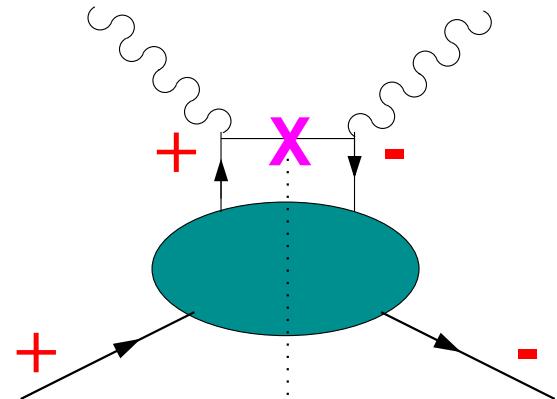
$\Rightarrow$  Tensor Charge

HERMES 1995-2000

HERMES 2002...

- Non-relativistic quarks:  $\Delta q(x) = \delta q(x)$   
 $\Rightarrow \delta q$  probes **relativistic nature** of quarks
  - obvious bound:  $|\delta q(x)| \leq q(x)$
  - Soffer bound:  $|\delta q(x)| \leq \frac{1}{2}[q(x) + \Delta q(x)]$
  - Sum Rule: first moment  $\rightarrow$  **tensor charge** reliably calculable in **lattice QCD** (i.e. at  $Q^2 = 2\text{GeV}^2$ ):  

$$\delta\Sigma = \sum_f \int_0^1 dx (\delta q_f - \delta \bar{q}_f) = 0.562 \pm 0.088$$
  - no “gluon transversity”
  - transversity distribution **CHIRAL ODD**
- **No Access In Inclusive DIS**



# Transversity Measurements

How can one measure transversity?  
Need another chiral-odd object!

Semi-Inclusive DIS → HERMES with **transversely**  
polarized target

$$\sigma^{ep \rightarrow ehX} = \sum_q f^{H \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes D^{q \rightarrow h}$$

↓                                  ↓

**chiral-odd**                      **chiral-odd**  
DF                                  FF

# Twist-2 Quark Distribution Functions

Functions surviving integration over  
intrinsic transverse momentum

$$f_1 = \text{yellow circle}$$

$$g_{1L} = \text{yellow circle with horizontal arrow} - \text{yellow circle with horizontal arrow}$$

$$h_{1T} = \text{yellow circle with vertical arrow} - \text{yellow circle with vertical arrow}$$

$$g_{1T} = \text{yellow circle with vertical arrow} - \text{yellow circle with vertical arrow}$$

$$f_{1T}^\perp = \text{yellow circle with vertical arrow} - \text{yellow circle with vertical arrow}$$

$$h_1^\perp = \text{yellow circle with diagonal arrow} - \text{yellow circle with diagonal arrow}$$

$$h_{1L}^\perp = \text{yellow circle with diagonal arrow} - \text{yellow circle with diagonal arrow}$$

$$h_{1T}^\perp = \text{yellow circle with vertical arrow} - \text{yellow circle with vertical arrow}$$

# Twist-2 Quark Distribution Functions

Functions surviving integration over  
intrinsic transverse momentum

$$f_1 = \text{circle}$$

$$g_{1L} = \text{circle with horizontal arrow} - \text{circle with horizontal arrow}$$

$$h_{1T} = \text{circle with vertical arrow} - \text{circle with vertical arrow}$$

$$g_{1T} = \text{circle with vertical arrow} - \text{circle with vertical arrow}$$

$$f_{1T}^\perp = \text{circle with vertical arrow} - \text{circle with vertical arrow}$$

$$h_1^\perp = \text{circle with vertical arrow} - \text{circle with vertical arrow}$$

$$h_{1L}^\perp = \text{circle with horizontal arrow} - \text{circle with horizontal arrow}$$

Sivers Function

$$h_{1T}^\perp = \text{circle with vertical arrow} - \text{circle with vertical arrow}$$

# Twist-2 Fragmentation Functions

Functions surviving integration over  
intrinsic transverse momentum

$$D_1 = \text{circle}$$

$$G_{1L} = \text{circle with horizontal arrow right} - \text{circle with horizontal arrow left}$$

$$H_{1T} = \text{circle with vertical arrow up} - \text{circle with vertical arrow down}$$

$$G_{1T} = \text{circle with vertical arrow up} - \text{circle with vertical arrow down}$$

$$D_{1T}^\perp = \text{circle with vertical arrow up} - \text{circle with vertical arrow down}$$

$$H_1^\perp = \text{circle with horizontal arrow right} - \text{circle with horizontal arrow left}$$

$$H_{1L}^\perp = \text{circle with horizontal arrow right} - \text{circle with horizontal arrow left}$$

$$H_{1T}^\perp = \text{circle with vertical arrow up} - \text{circle with vertical arrow down}$$

# Twist-2 Fragmentation Functions

Functions surviving integration over  
intrinsic transverse momentum

$$D_1 = \text{circle}$$

$$G_{1L} = \text{circle with horizontal arrow} - \text{circle with horizontal arrow}$$

$$H_{1T} = \text{circle with vertical arrow up} - \text{circle with vertical arrow down}$$

$$G_{1T} = \text{circle with vertical arrow up} - \text{circle with vertical arrow up}$$

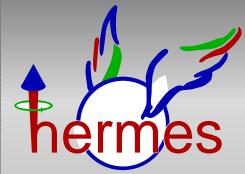
$$D_{1T}^\perp = \text{circle with vertical arrow up} - \text{circle with vertical arrow down}$$

$$H_1^\perp = \text{circle with vertical arrow up} - \text{circle with vertical arrow down}$$

$$H_{1L}^\perp = \text{circle with horizontal arrow right} - \text{circle with horizontal arrow right}$$

**Collins Function**

$$H_{1T}^\perp = \text{circle with vertical arrow up} - \text{circle with vertical arrow up}$$



# The Need for Semi-Inclusive Measurements

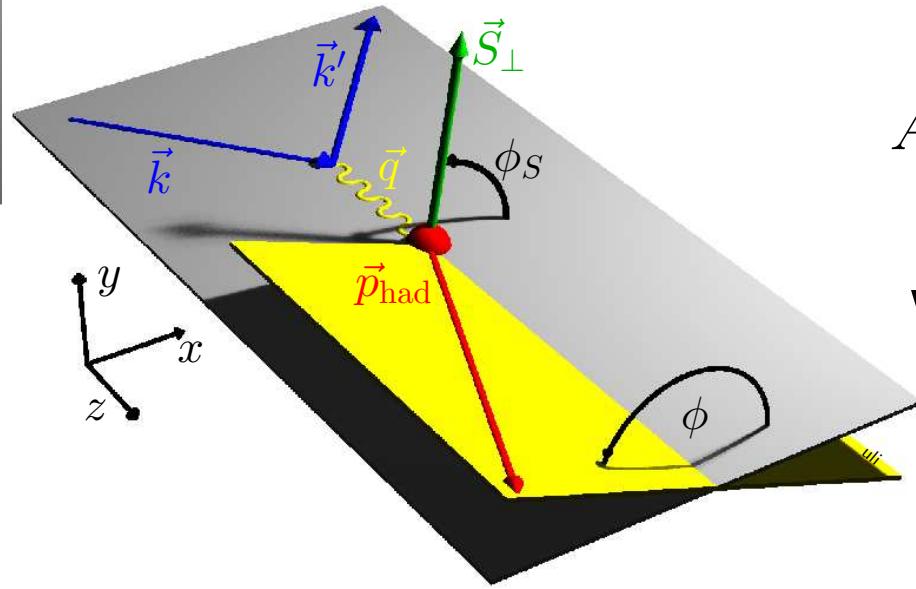
- $h_1$  chiral odd
  - ⇒ not accessible in inclusive DIS
  - ⇒ need some sort of quark polarimetry
    - ⇒ Collins Effect: transverse spin of quark  $\rightsquigarrow$  transverse motion of produced hadron
- $k_\perp$ -dependent distribution functions (besides  $f_1$ ,  $g_1$ ,  $h_1$ )
  - ⇒ vanish when integrating over  $k_\perp$  (i.e. inclusive DIS)
  - ⇒ need to access  $k_\perp$ -dependence

## Azimuthal Single Spin Asymmetries in Semi-Inclusive DIS

# Single Spin Asymmetries



study azimuthal distribution of  $\pi$ 's:



$\Phi = \phi + \phi_S$  Collins angle

$$A(\Phi) = \frac{1}{\langle P \rangle} \cdot \frac{N^+(\Phi) - N^-(\Phi)}{N^+(\Phi) + N^-(\Phi)}$$

with **transversely polarized target**:  
(unpolarized beam)

$$A_{UT}^{\sin \Phi} \propto \frac{\sum_q e_q^2 h_1^q(x) H_1^{\perp,q}(z)}{\sum_q e_q^2 f_1^q(x) D_1^q(z)}$$

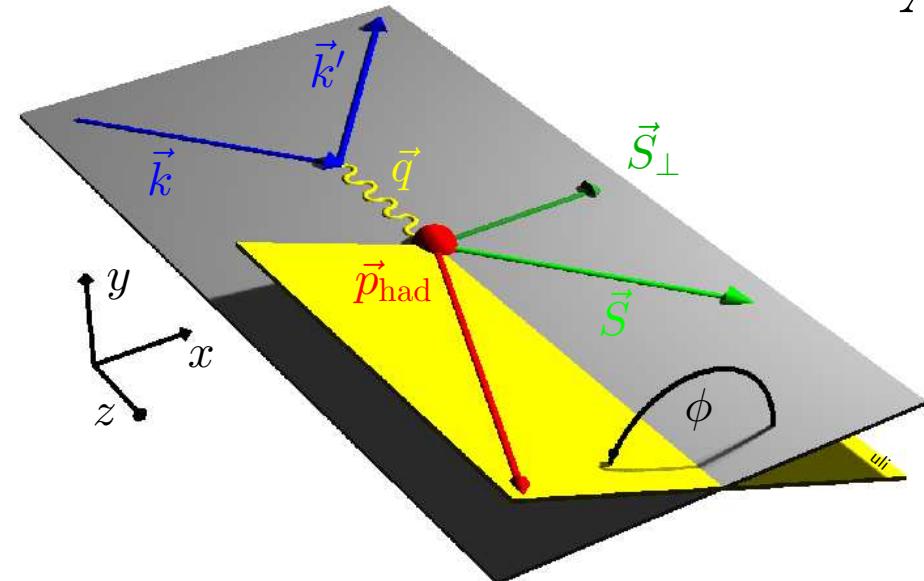
# Single Spin Asymmetries



study azimuthal distribution of  $\pi$ 's:

$$A(\Phi) = \frac{1}{\langle P \rangle} \cdot \frac{N^+(\Phi) - N^-(\Phi)}{N^+(\Phi) + N^-(\Phi)}$$

with transversely polarized target:  
(unpolarized beam)



$\Phi = \phi$  Collins angle

$$A_{UT}^{\sin \Phi} \propto \frac{\sum_q e_q^2 h_1^q(x) H_1^{\perp,q}(z)}{\sum_q e_q^2 f_1^q(x) D_1^q(z)}$$

with longitudinally polarized target:

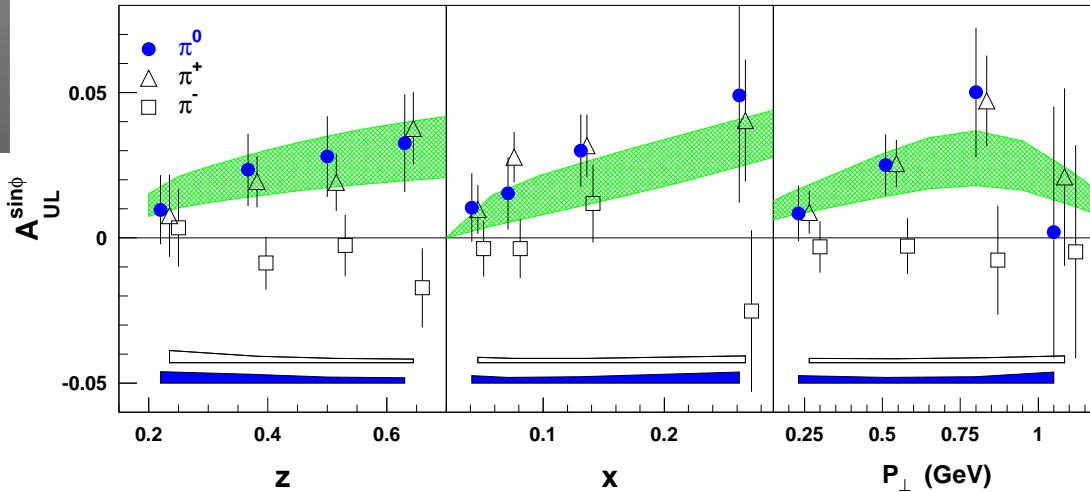
$$A_{UL}^{\sin \Phi} \propto \dots$$

# Single Spin Asymmetries at HERMES

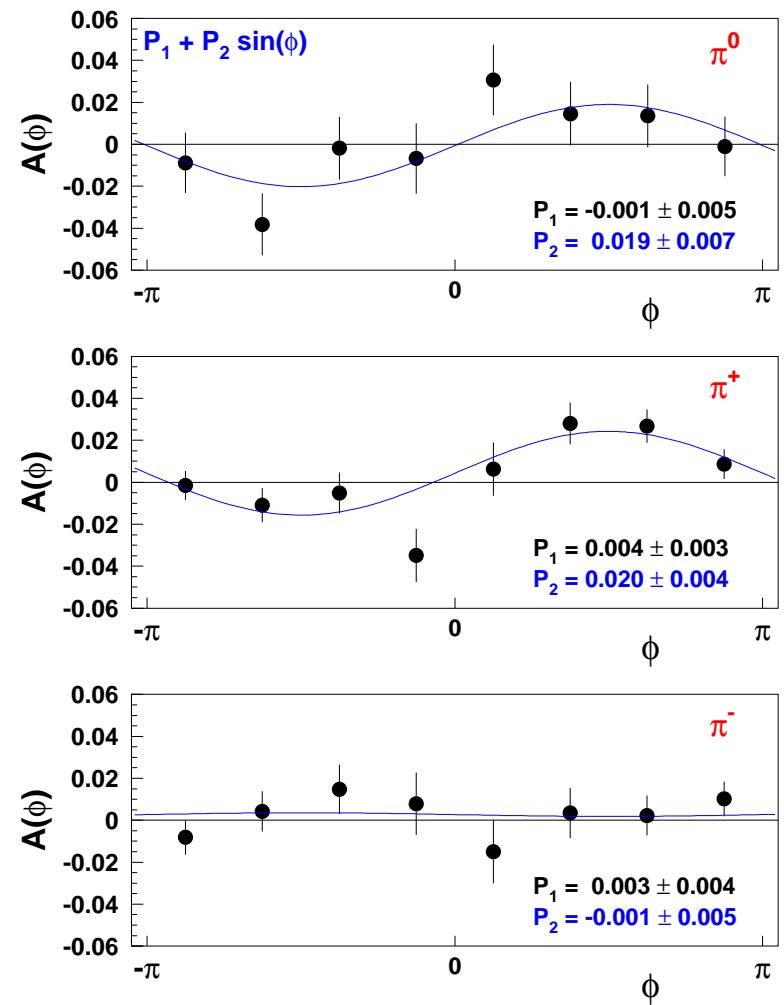
HERMES 1996/97: longitudinally polarized proton target

Longitudinal target SSA:

$$A_{UL}(\phi) = \frac{1}{\langle P \rangle} \cdot \frac{N^+(\phi) - N^-(\phi)}{N^+(\phi) + N^-(\phi)}$$

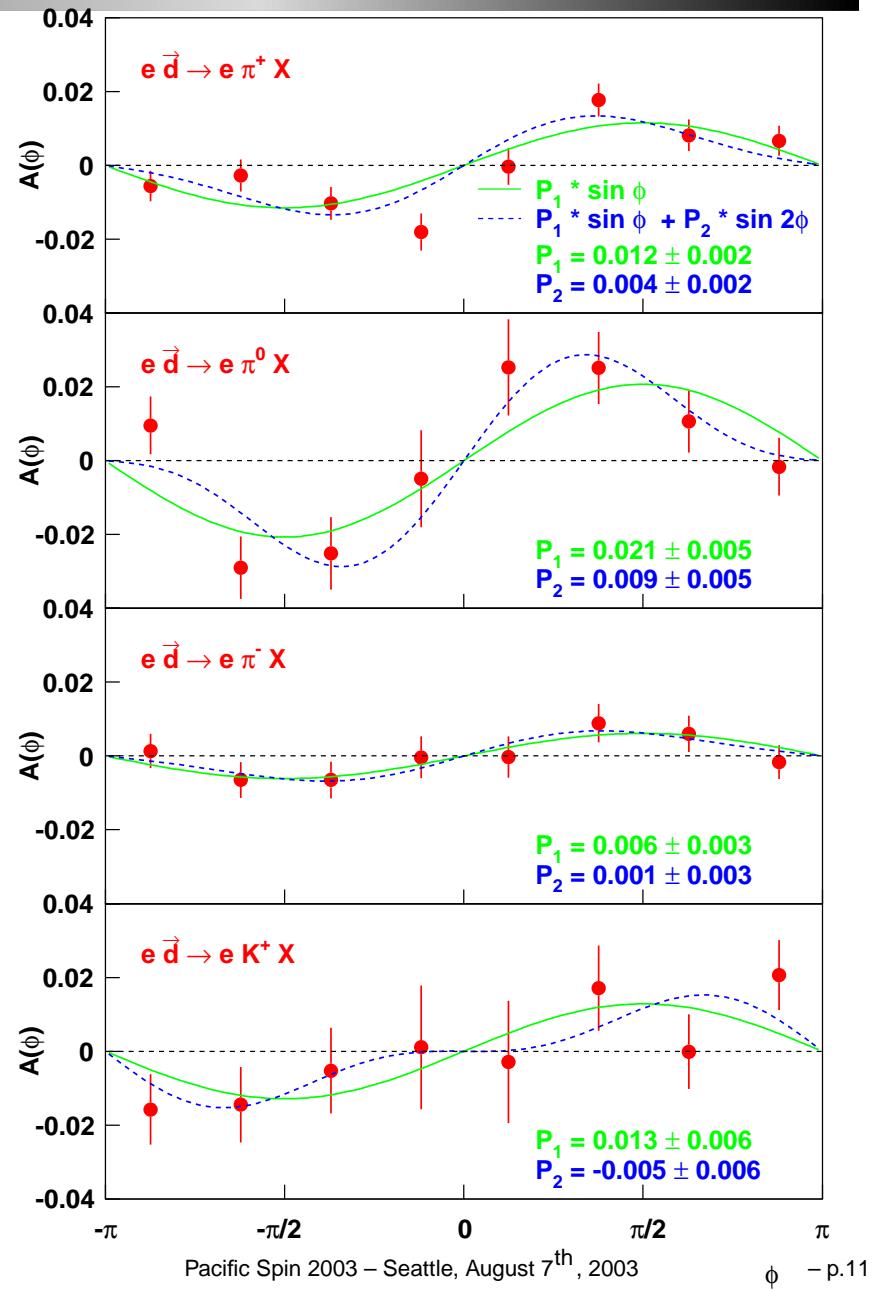


(green band: model calculation)



# HERMES Results on *Deuteron Target*

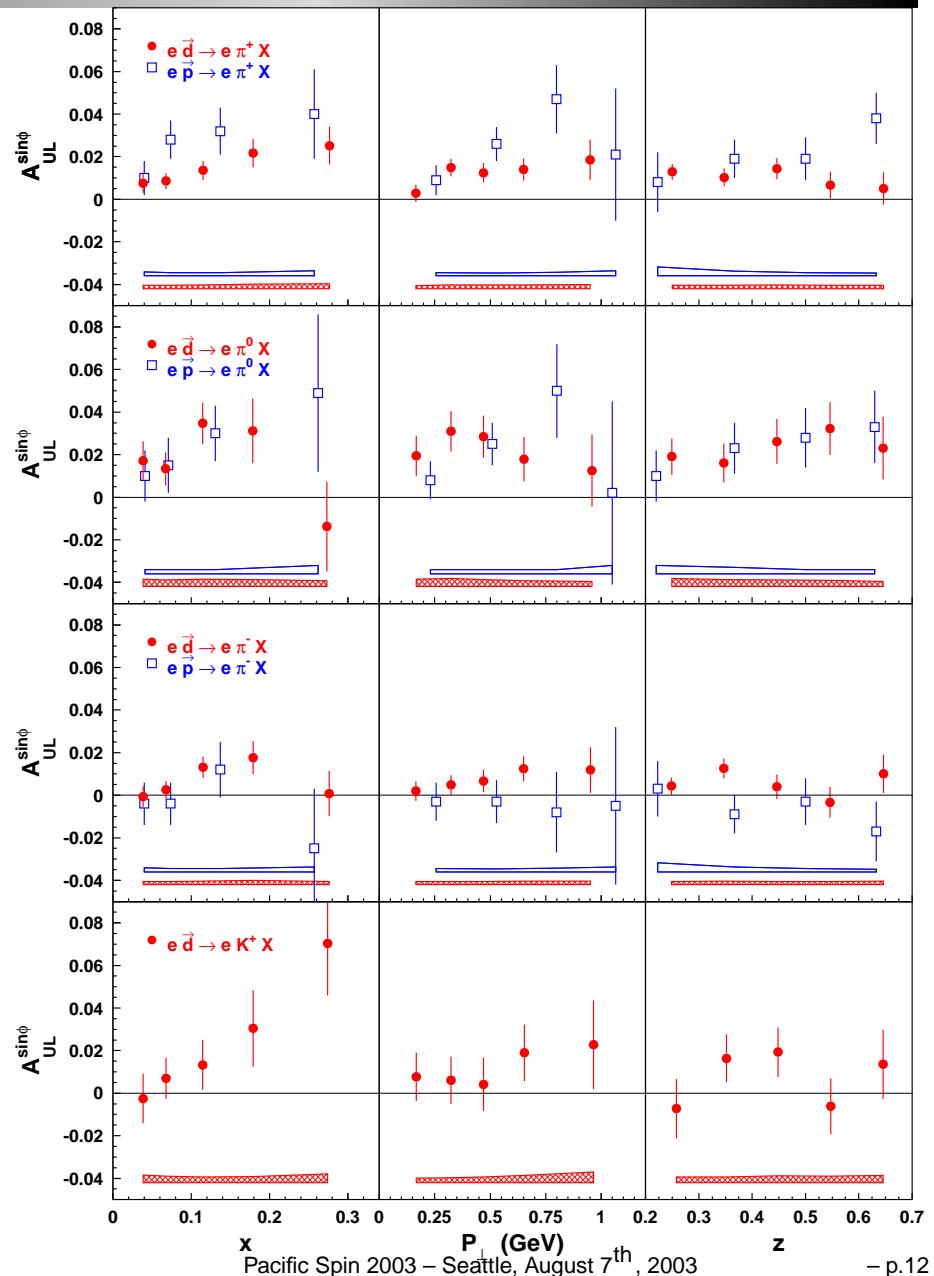
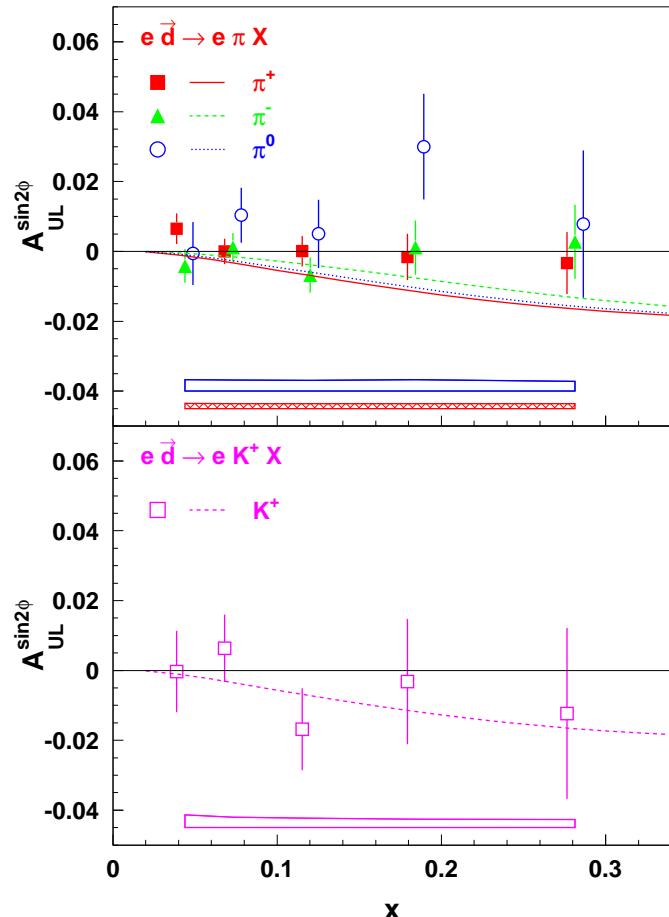
- HERMES 1998-2000:  
longitudinally polarized  
deuteron target
- High statistics:  
~8 Million DIS
- Good hadron identification  
due to RICH
- First measurement of Kaon  
SSA



# HERMES Results on Longitudinally Polarized Deuteron

$\sin(\phi)$ -moment  $\Rightarrow$

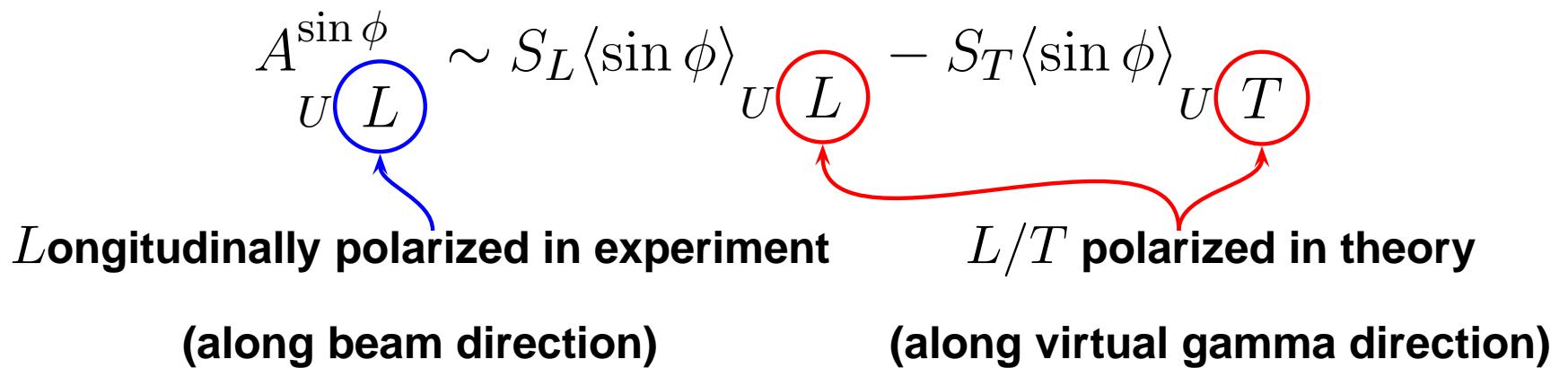
$\sin(2\phi)$ -moment  $\sim h_{1L}^\perp H_1^\perp$



# Longitudinally Polarized Target

transverse component  $S_T$  of target spin (w.r.t. virtual photon):

$$S_T \propto \sin \Theta_\gamma \simeq \frac{2Mx}{Q} \sqrt{1-y} \sim 0.15$$



# Longitudinally Polarized Target

transverse component  $S_T$  of target spin (w.r.t. virtual photon):

$$S_T \propto \sin \Theta_\gamma \simeq \frac{2Mx}{Q} \sqrt{1-y} \sim 0.15$$

$$A_{UL}^{\sin \phi} \sim S_L \langle \sin \phi \rangle_{UL} - S_T \langle \sin \phi \rangle_{UT}$$

$$\langle \sin \phi \rangle_{UL} \sim \frac{1}{Q} \sum_q e_q^2 (\textcolor{magenta}{h}_L^q(x) H_1^{\perp(1),q}(z) - \frac{1}{z} \textcolor{green}{h}_{1L}^{\perp(1),q}(x) \tilde{H}(z))$$

## Longitudinally Polarized Target

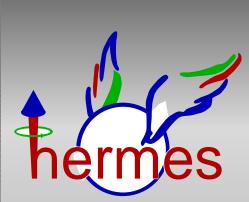
transverse component  $S_T$  of target spin (w.r.t. virtual photon):

$$S_T \propto \sin \Theta_\gamma \simeq \frac{2Mx}{Q} \sqrt{1-y} \sim 0.15$$

$$A_{UL}^{\sin \phi} \sim S_L \langle \sin \phi \rangle_{UL} - S_T \langle \sin \phi \rangle_{UT}$$

$$\langle \sin \phi \rangle_{UL} \sim \frac{1}{Q} \sum_q e_q^2 (\textcolor{magenta}{h}_L^q(x) H_1^{\perp(1),q}(z) - \frac{1}{z} \textcolor{green}{h}_{1L}^{\perp(1),q}(x) \tilde{H}(z))$$

$$\langle \sin \phi \rangle_{UT} \sim \sum_q e_q^2 h_1^q(x) H_1^{\perp(1),q}(z) \quad (\textbf{but } S_T \sim \frac{1}{Q} \text{ like twist-3})$$



## Longitudinally Polarized Target

transverse component  $S_T$  of target spin (w.r.t. virtual photon):

$$S_T \propto \sin \Theta_\gamma \simeq \frac{2Mx}{Q} \sqrt{1-y} \sim 0.15$$

$$A_{UL}^{\sin \phi} \sim S_L \langle \sin \phi \rangle_{UL} - S_T \langle \sin \phi \rangle_{UT}$$

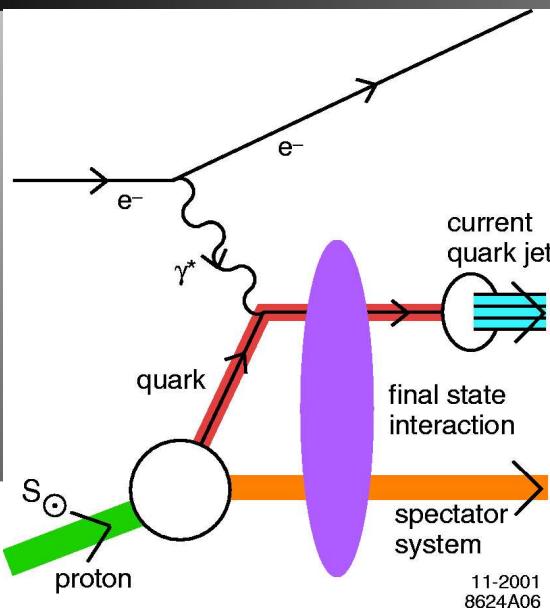
$$\langle \sin \phi \rangle_{UL} \sim \frac{1}{Q} \sum_q e_q^2 (\textcolor{magenta}{h}_L^q(x) H_1^{\perp(1),q}(z) - \frac{1}{z} \textcolor{green}{h}_{1L}^{\perp(1),q}(x) \tilde{H}(z))$$

$$\langle \sin \phi \rangle_{UT} \sim \sum_q e_q^2 \textcolor{red}{h}_1^q(x) H_1^{\perp(1),q}(z) \quad \text{Collins}$$

$$\langle \sin \phi \rangle_{UT} \sim \sum_q e_q^2 \textcolor{red}{f}_{1T}^{\perp(1),q} D_1^q(z) \quad \text{Sivers}$$

Contributions to  $A_{UL}^{\sin \phi}$  hard to disentangle

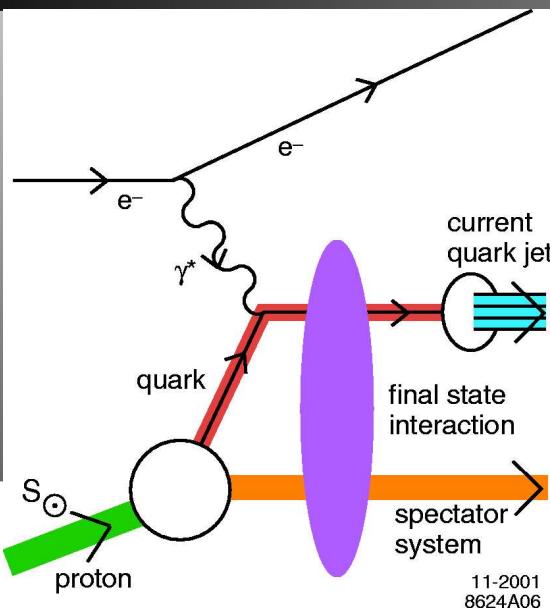
# Some words about *Sivers Effect*



thanks to Brodsky, Hwang, Schmidt:

- quark rescattering
- can generate SSA
- leading twist effect
- requires  $L_z$  of quarks

# Some words about *Sivers Effect*

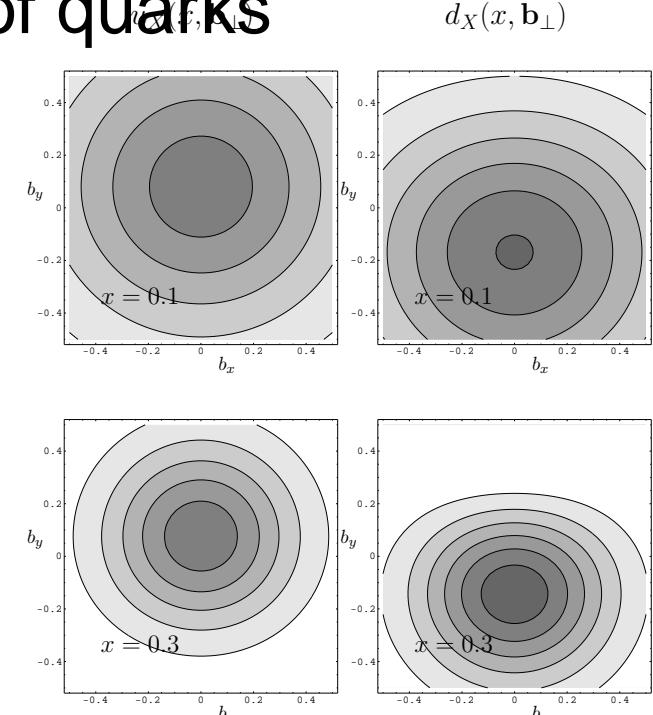


thanks to Brodsky, Hwang, Schmidt:

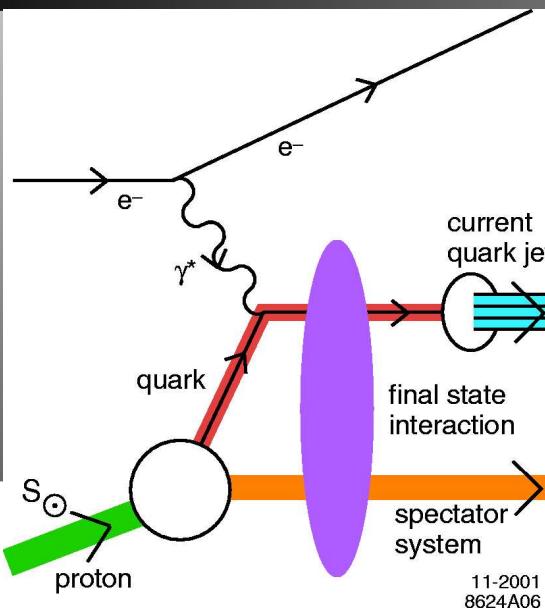
- quark rescattering
- can generate SSA
- leading twist effect
- requires  $L_z$  of quarks

different approach by Burkardt:

**spatial distortion of q-distribution**  
 (consequence of anom. magn. moments  
 & impact parameter dependent PDFs)



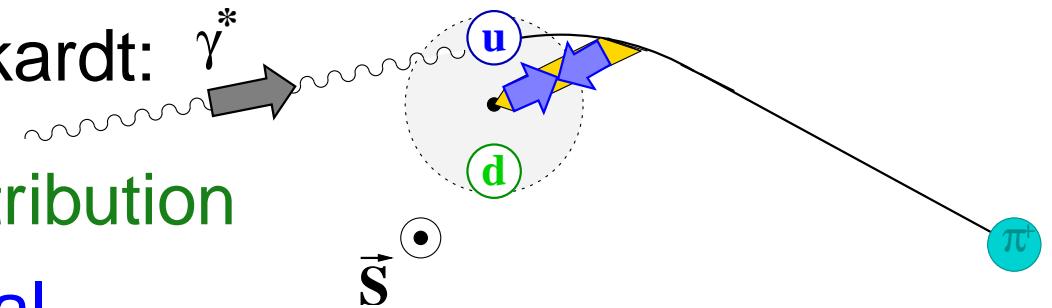
# Some words about *Sivers Effect*



thanks to Brodsky, Hwang, Schmidt:

- quark rescattering
- can generate SSA
- leading twist effect
- requires  $L_z$  of quarks

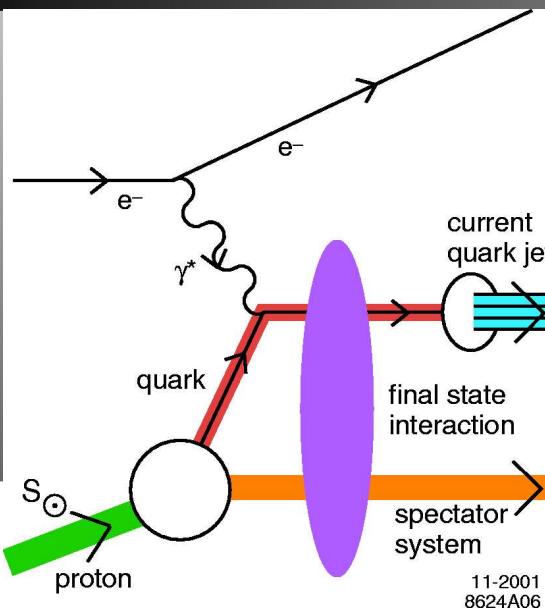
different approach by Burkardt:



spatial distortion of q-distribution

+ attractive QCD potential  
(gluon exchange)

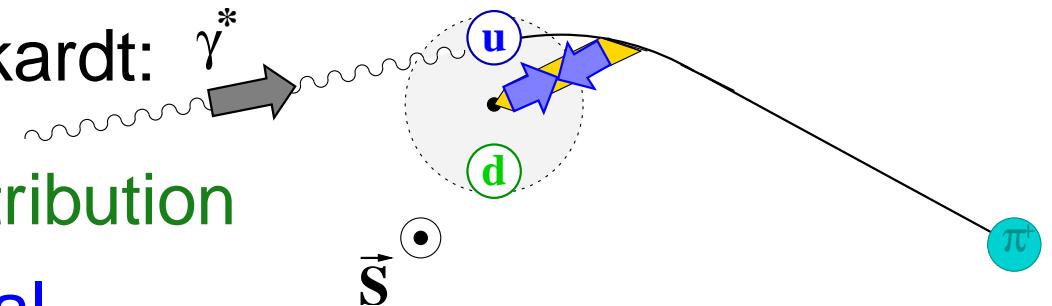
# Some words about *Sivers Effect*



thanks to Brodsky, Hwang, Schmidt:

- quark rescattering
- can generate SSA
- leading twist effect
- requires  $L_z$  of quarks

different approach by Burkardt:



spatial distortion of q-distribution

+ attractive QCD potential

⇒ transverse asymmetries

# How to do better?

Longitudinally polarized target  $\Rightarrow$  Sivers and Collins effects indistinguishable

Transversely polarized target

Sivers

$\langle \sin(\phi - \phi_s) \rangle$  moment



$f_{1T}^\perp(x)$

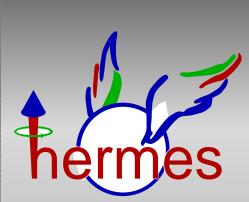
Collins

$\langle \sin(\phi + \phi_s) \rangle$  moment



$h_1(x), H_1^\perp(z)$

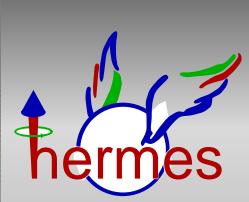
Additionally:  $\langle \sin(3\phi - \phi_s) \rangle$  moment  $\Rightarrow h_{1T}^\perp(x), H_1^\perp(z)$   
and others



## *What do theorists expect?*

Not much is known about the Collins FF:

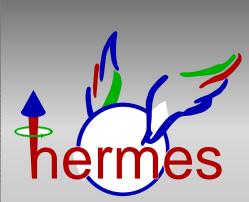
$$\left| \frac{\langle H_1^\perp \rangle}{\langle D_1 \rangle} \right| = 6.3\%$$



## *What do theorists expect?*

Not much is known about the Collins FF:

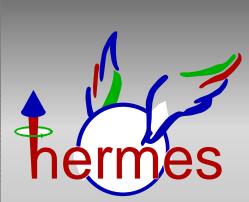
$$\left| \frac{\langle H_1^\perp \rangle}{\langle D_1 \rangle} \right| = 6.3\%, 12.5\%$$



## *What do theorists expect?*

Not much is known about the Collins FF:

$$|\frac{\langle H_1^\perp \rangle}{\langle D_1 \rangle}| = 6.3\%, 12.5\%, \sim 4\% \dots \text{???}$$



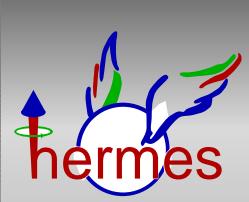
## *What do theorists expect?*

Not much is known about the Collins FF:

$$|\frac{\langle H_1^\perp \rangle}{\langle D_1 \rangle}| = 6.3\%, 12.5\%, \sim 4\% \dots \text{???}$$

Even less for the Sivers DF:

$$f_{1T}^{\perp,u} \neq 0$$



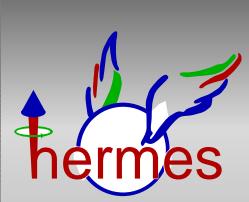
## *What do theorists expect?*

Not much is known about the Collins FF:

$$|\frac{\langle H_1^\perp \rangle}{\langle D_1 \rangle}| = 6.3\%, 12.5\%, \sim 4\% \dots \text{???}$$

Even less for the Sivers DF:

$$f_{1T}^{\perp,u} \neq 0, \equiv 0$$



## *What do theorists expect?*

Not much is known about the Collins FF:

$$|\frac{\langle H_1^\perp \rangle}{\langle D_1 \rangle}| = 6.3\%, 12.5\%, \sim 4\% \dots \text{???}$$

Even less for the Sivers DF:

$$f_{1T}^{\perp,u} \neq 0, \equiv 0, > 0$$

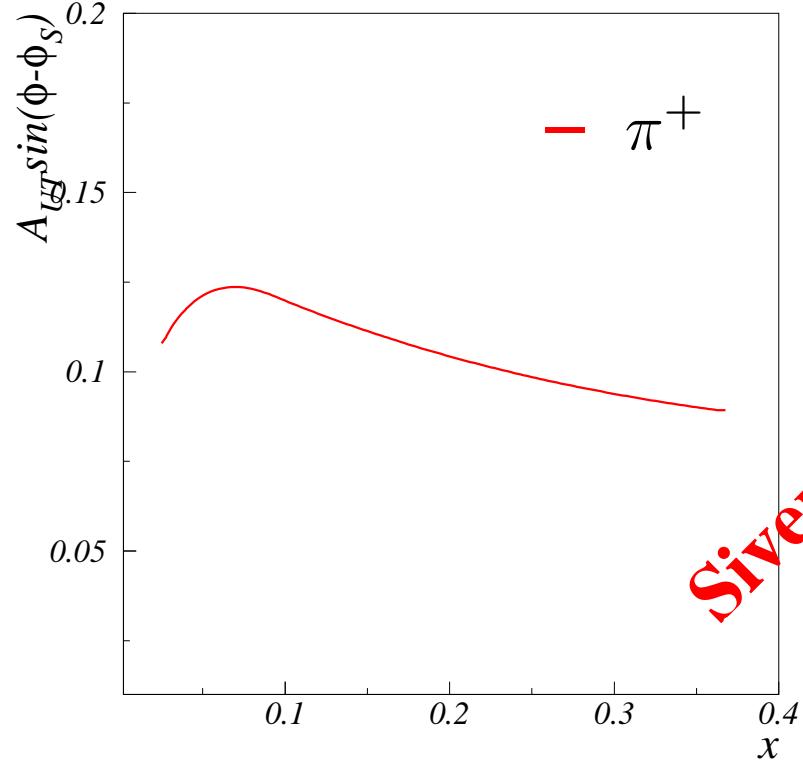
# What do theorists expect?

Not much is known about the Collins FF:

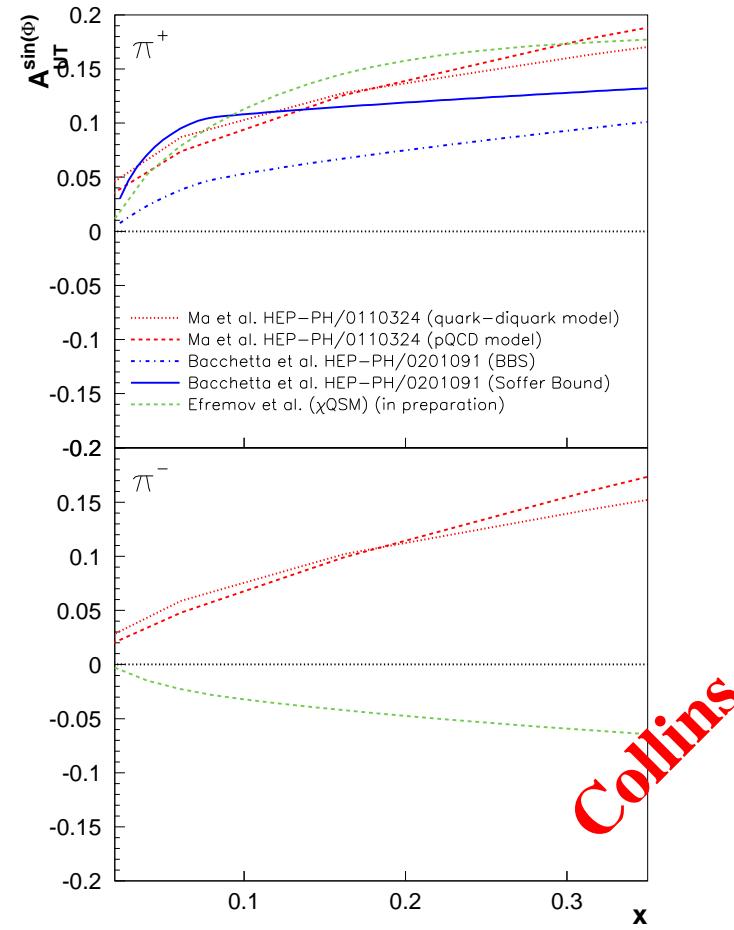
$$|\frac{\langle H_1^\perp \rangle}{\langle D_1 \rangle}| = 6.3\%, 12.5\%, \sim 4\% \dots ???$$

Even less for the Sivers DF:

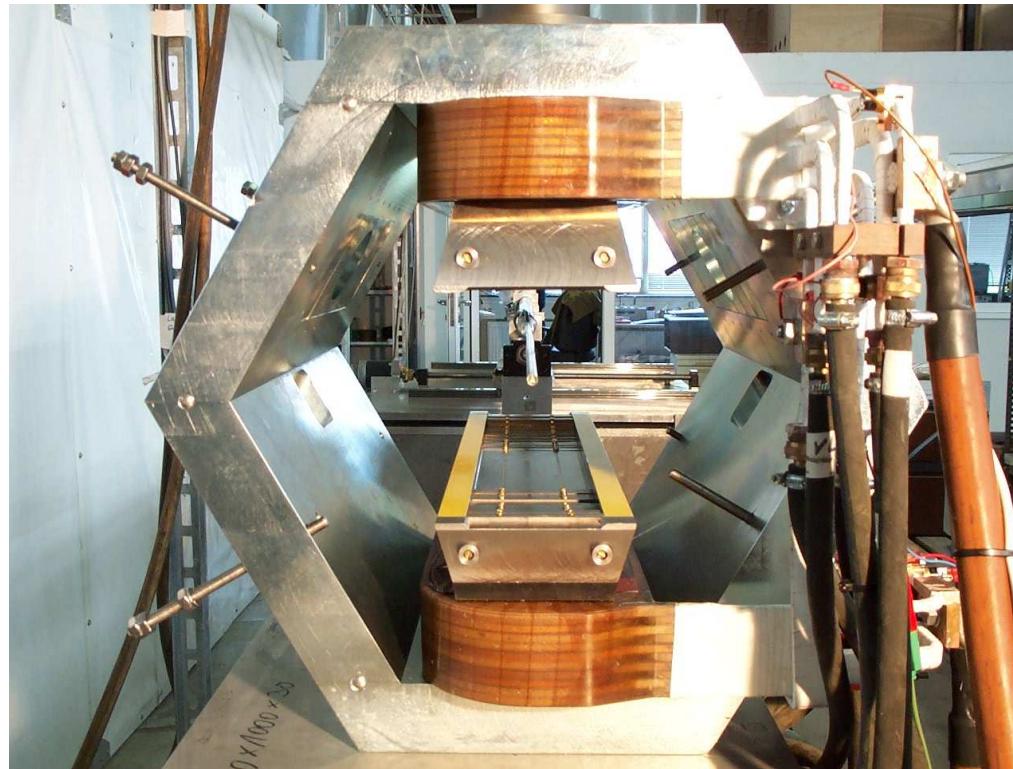
$$f_{1T}^{\perp,u} \neq 0, \equiv 0, > 0$$



Gamberg et al. HEP-PH/0301018

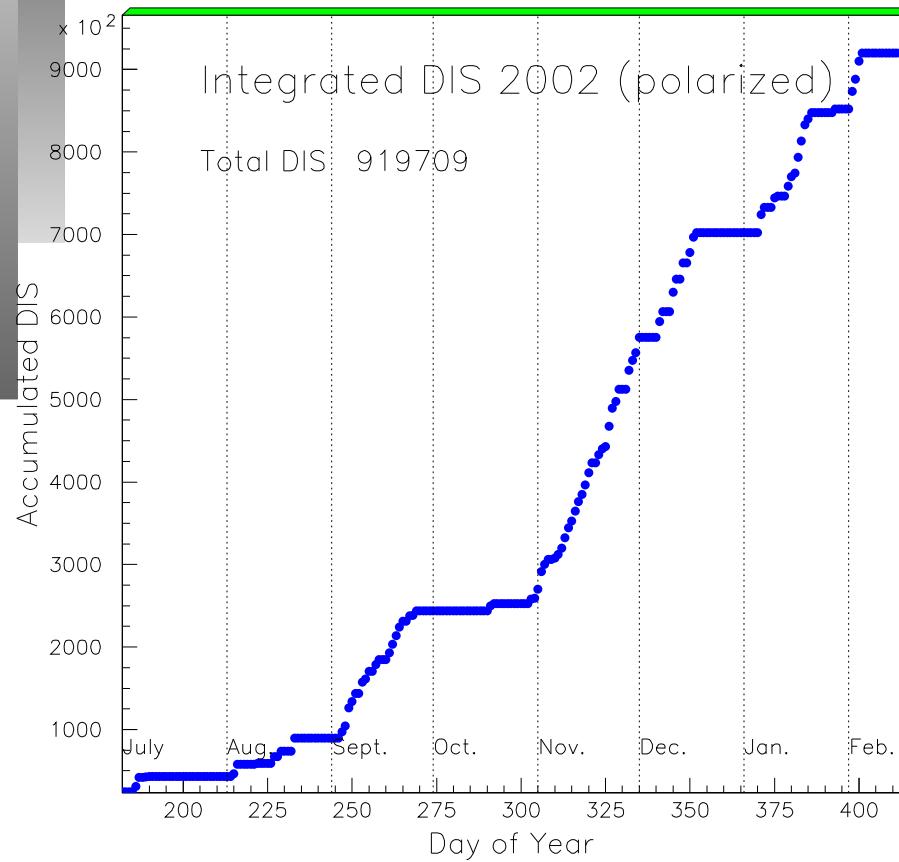


# New Transverse Target HERMES

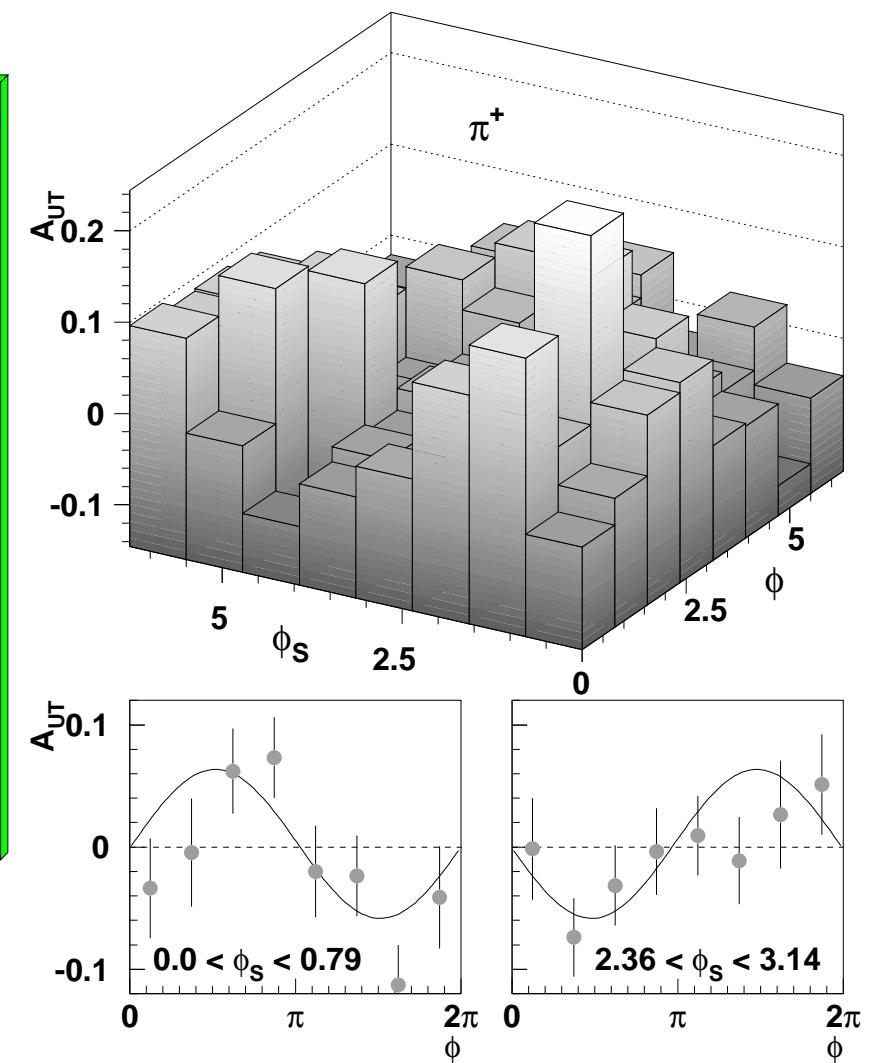


- **Transverse target magnet ( $B = 0.295T$ )**
- **High uniformity along beam direction:  $\Delta B \leq 4.5 \cdot 10^{-5}T$**
- **Transversely polarized hydrogen**
- **Target polarization around 75%**

# Data Taking in 2002/03



Integrated Luminosity 2002/03  
(before data quality cuts)

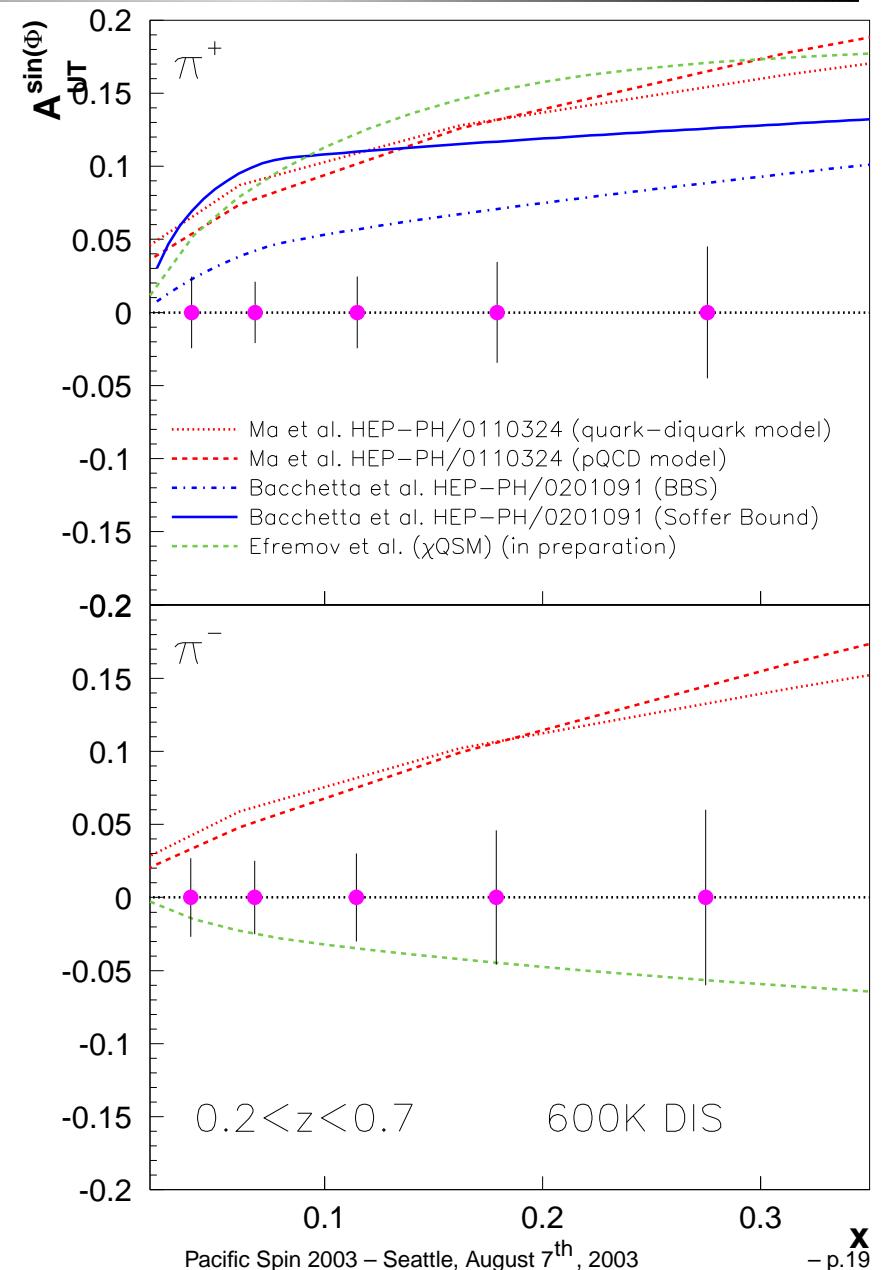


Transverse Asymmetry  $A_{UT}(\phi, \phi_S)$

# Data Taking in 2002/03

Expected precision in comparison with model calculations for Collins Asymmetry

- After data quality:  $\sim 600K$  DIS events
- charged and neutral  $\pi$  asymmetries
- statistics good enough to (dis)favour various model calculation

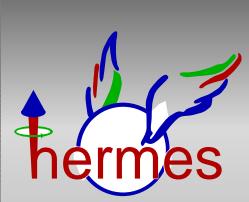


- additional data taking starting fall of 2003
  - detector upgrade ( $\Lambda$ -Wheels)
- ⇒ additional statistics allows analysis of different channels to access transversity:
- 2-Meson-Correlations ⇒ Interference FF

- additional data taking starting fall of 2003
  - detector upgrade ( $\Lambda$ -Wheels)
- ⇒ additional statistics allows analysis of different channels to access transversity:
- 2-Meson-Correlations ⇒ Interference FF
  - Spin-1 Fragmentation

- additional data taking starting fall of 2003
  - detector upgrade ( $\Lambda$ -Wheels)
- ⇒ additional statistics allows analysis of different channels to access transversity:
- 2-Meson-Correlations ⇒ Interference FF
  - Spin-1 Fragmentation
  - Spin-1/2 Fragmentation  
(transverse  $\Lambda$  polarization)

- additional data taking starting fall of 2003
  - detector upgrade ( $\Lambda$ -Wheels)
- ⇒ additional statistics allows analysis of different channels to access transversity:
- 2-Meson-Correlations ⇒ Interference FF
  - Spin-1 Fragmentation
  - Spin-1/2 Fragmentation  
(transverse  $\Lambda$  polarization)
- polarized beam ⇒  $A_{LT}$  in  $\pi$  production  
(measurement of twist-3 fragmentation function and transversity)

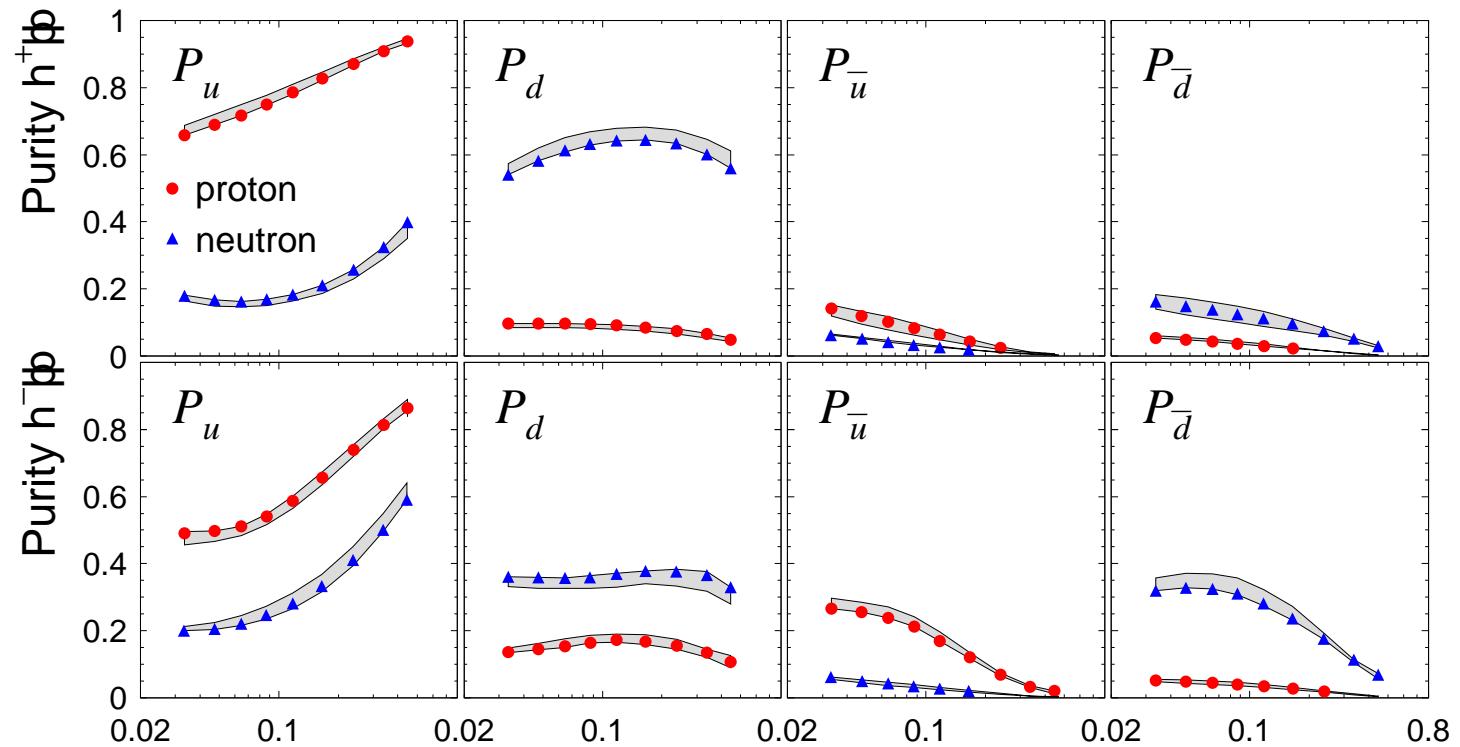


# Extracting Quark Distributions – Purity Formalism

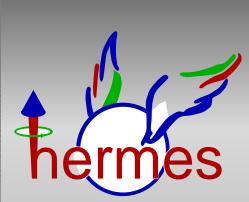
$$\begin{aligned} A_{UT}^{\sin(\phi - \phi_S), h}(x) &= \frac{\int dy S_T \frac{1+(1-y)^2}{2}}{\int dy \frac{1+(1-y)^2}{2}} \frac{\sum_q e_q^2 f_{1T}^{\perp, q}(x) \int dz D_1^{q, h}(z) \mathcal{A}(x, z)}{\sum_{q'} e_{q'}^2 f_1^{q'}(x) \int dz D_1^{q', h}(z) \mathcal{A}(x, z)} \\ &= \mathcal{C} \cdot \sum_q \frac{e_q^2 f_1^q(x) \mathcal{D}_1^{q, h}(x)}{\sum_{q'} e_{q'}^2 f_1^{q'}(x) \mathcal{D}_1^{q', h}(x)} \cdot \frac{f_{1T}^{\perp, q}}{f_1^q}(x) \\ &= \mathcal{C} \cdot \sum_q \mathcal{P}_q^h(x) \cdot \frac{f_{1T}^{\perp, q}}{f_1^q}(x) \end{aligned}$$

- purities are completely unpolarized objects → present Monte Carlo-tunes can be used
- probabilistic interpretation of purities possible
- “easy”: Sivers ← fragmentation function ( $D_1$ ) known

# Extracting Quark Distributions – Purity Formalism



- purities are completely unpolarized objects → present<sup>x</sup>  
Monte Carlo-tunes can be used
- probabilistic interpretation of purities possible
- “easy”: Sivers ← fragmentation function ( $D_1$ ) known



# Extracting Quark Distributions – Purity Formalism

$$\begin{aligned} A_{UT}^{\sin(\phi+\phi_S),h}(x) &= \frac{\int dy S_T(1-y)}{\int dy \frac{1+(1-y)^2}{2}} \frac{\sum_q e_q^2 h_1^q(x) \int dz H_1^{\perp,q,h}(z) \mathcal{A}(x,z)}{\sum_{q'} e_{q'}^2 f_1^{q'}(x) \int dz D_1^{q',h}(z) \mathcal{A}(x,z)} \\ &= \mathcal{C} \cdot \sum_q \frac{e_q^2 f_1^q(x) \mathcal{H}_1^{\perp,q,h}(x)}{\sum_{q'} e_{q'}^2 f_1^{q'}(x) \mathcal{D}_1^{q',h}(x)} \cdot \frac{h_1^q}{f_1^q}(x) \\ &= \mathcal{C} \cdot \sum_q \mathcal{P}_q^h(x) \cdot \frac{h_1^q}{f_1^q}(x) \end{aligned}$$

- purities are completely **unpolarized** objects → present Monte Carlo-tunes can be used
- probabilistic interpretation of purities possible
- “easy”: Sivers ← fragmentation function ( $D_1$ ) known
- Collins: these purities still **depend on parametrization** of Collins FF function

- HERMES measured SSA on **longitudinally polarized hydrogen and deuterium targets**
- HERMES has taken data with a **transversely polarized hydrogen target**
- Presently more than **600k** DIS events after data quality cuts
- **Transverse Asymmetries**  $\Rightarrow$  disentangle Sivers and Collins contributions
- **Purity** formalism  $\Rightarrow$  extraction of quark distributions  $f_{1T}^{\perp,q}$  and  $h_1^q$  ( $q = u, d$ )
- IFF on longitudinal/transversely polarized target
- ...