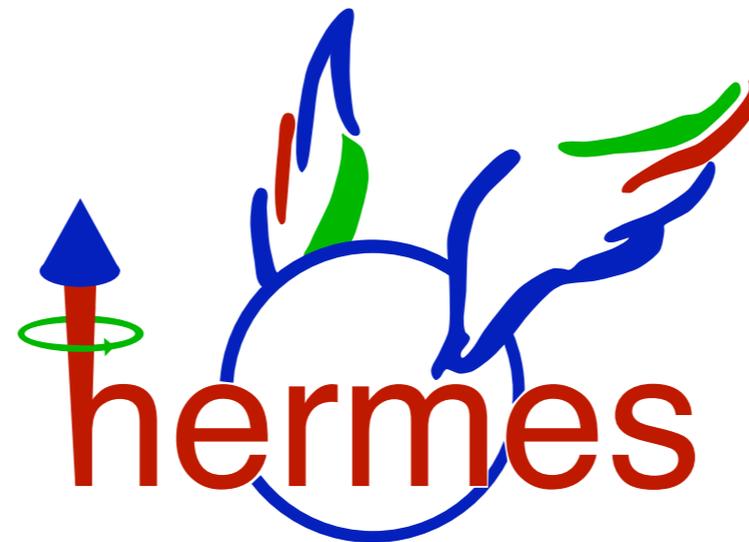


Spatial and Momentum Tomography of Hadrons and Nuclei

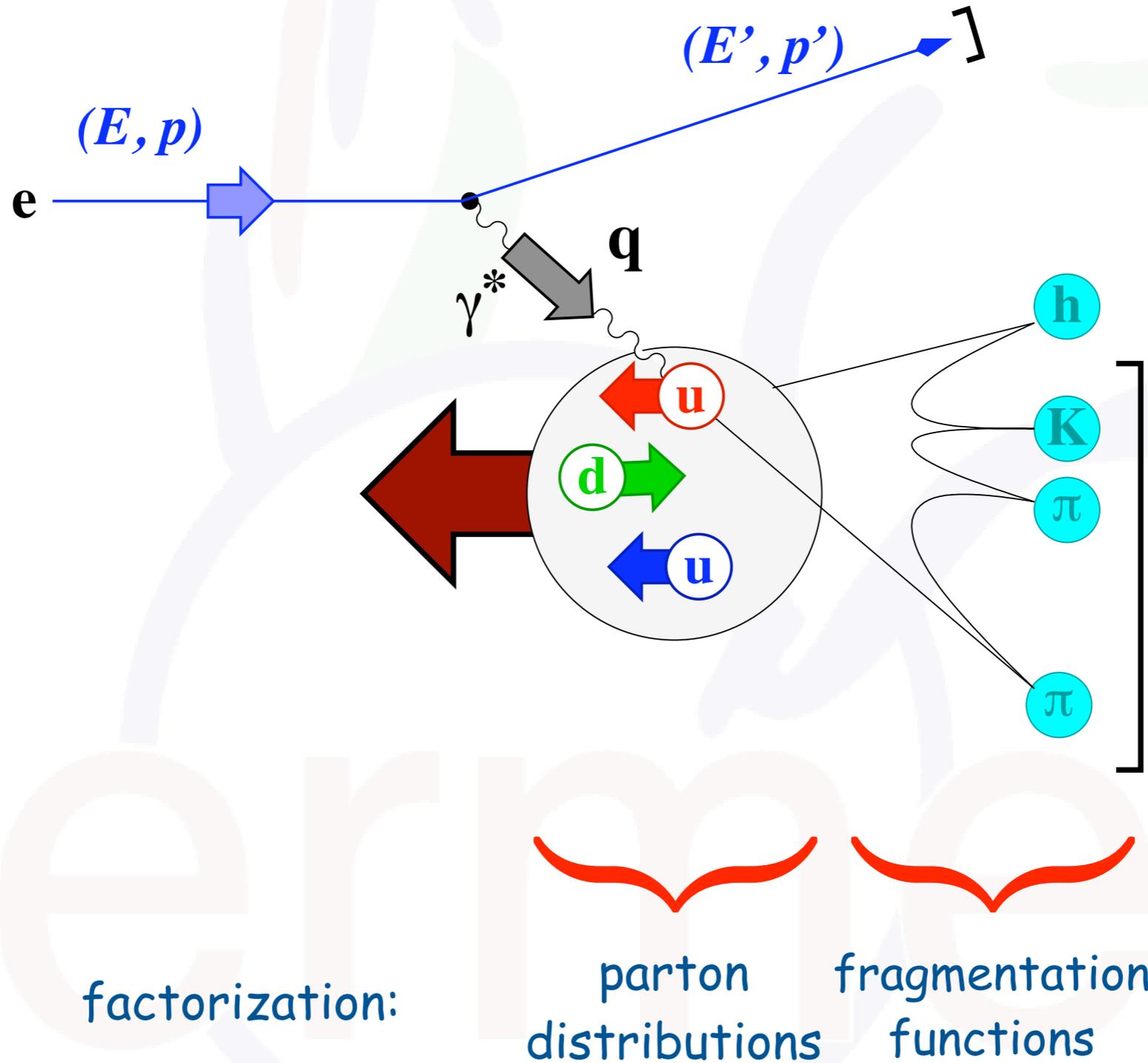
INT-17-3 - week 4

August 28th - September 27th, 2017 - INT, Seattle, WA, USA

Lessons learnt on TMD measurements at



semi-inclusive DIS



probing TMDs in semi-inclusive DIS

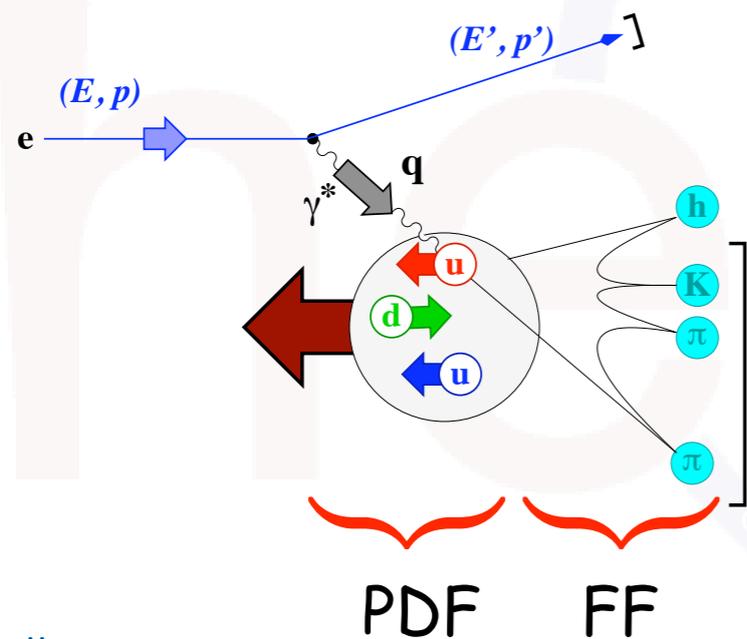
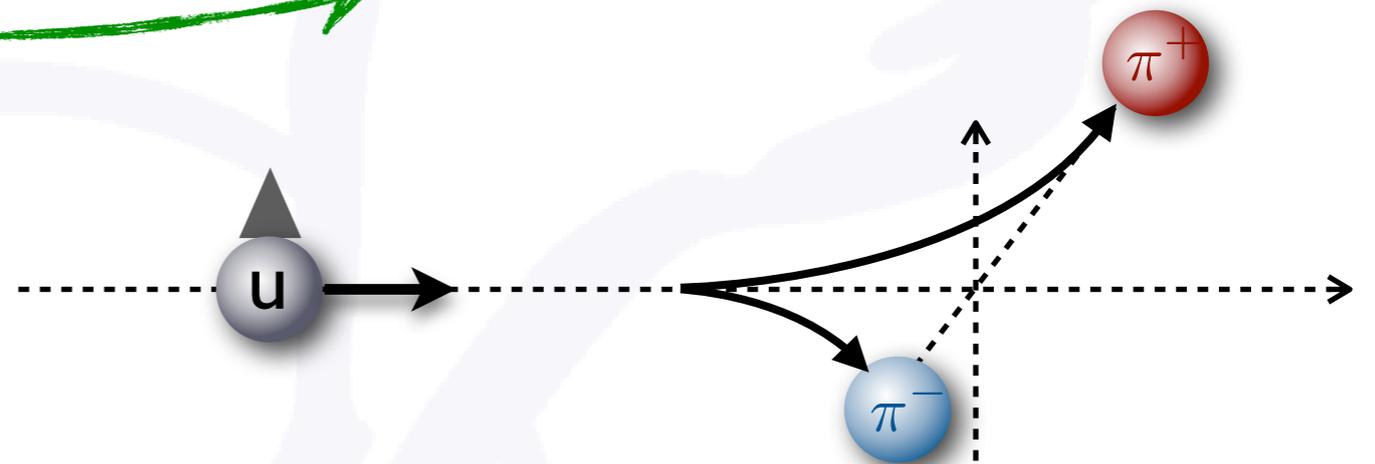
quark pol.

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

nucleon pol.

in SIDIS*) couple PDFs to:

Collins FF: $H_1^{\perp, q \rightarrow h}$



*) semi-inclusive DIS with unpolarized final state

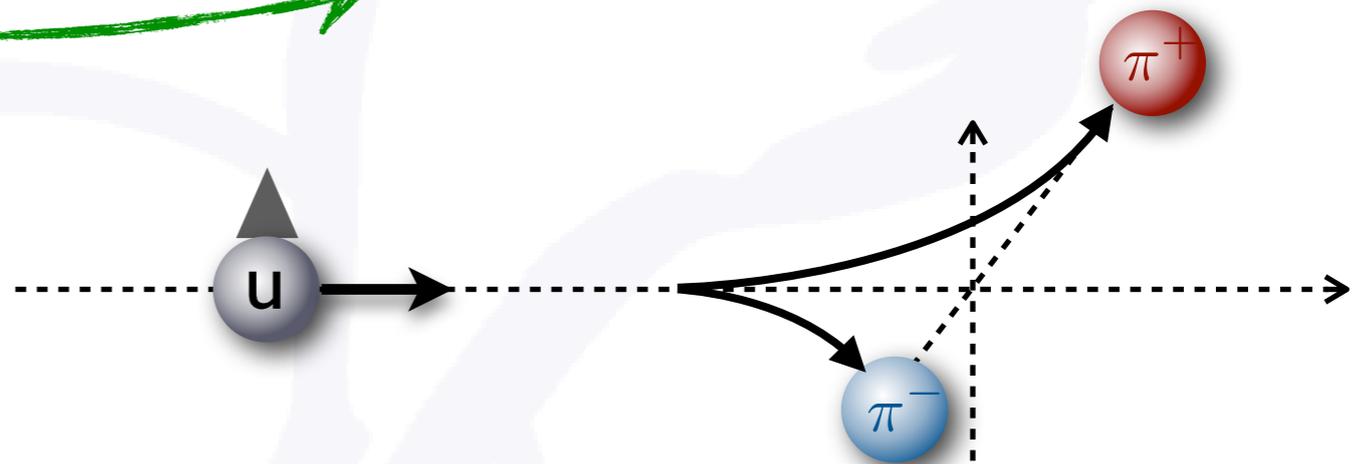
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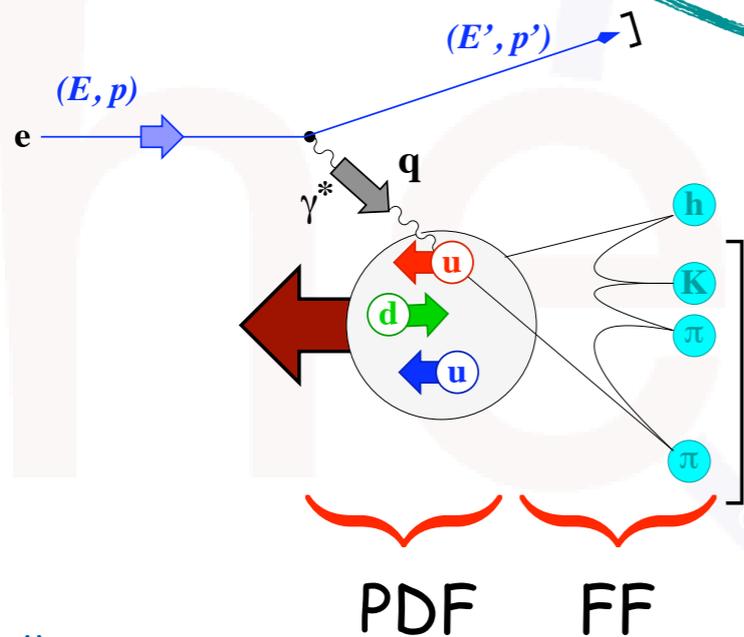
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probing TMDs in semi-inclusive DIS

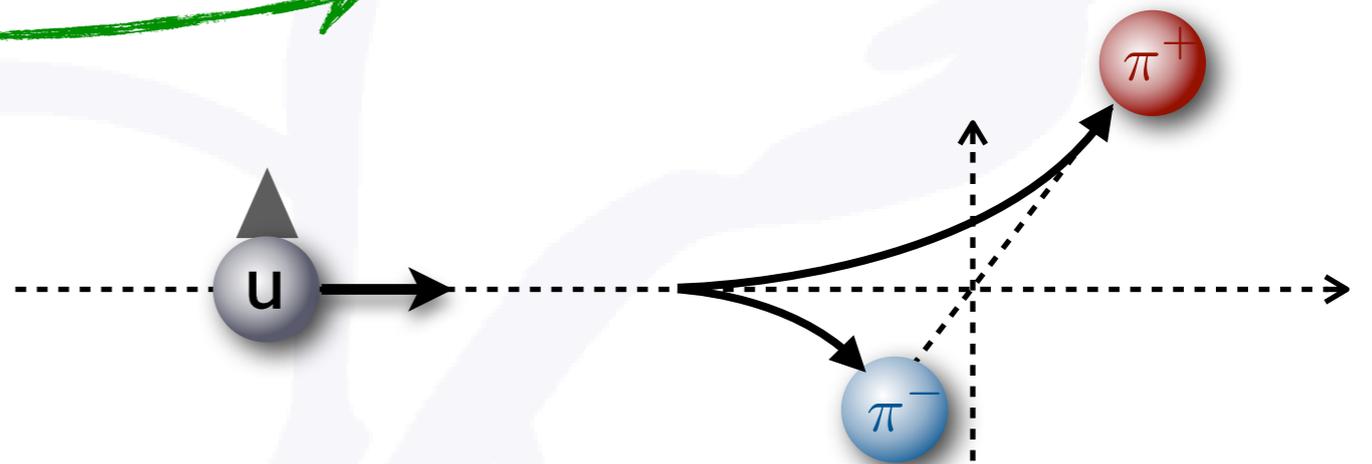
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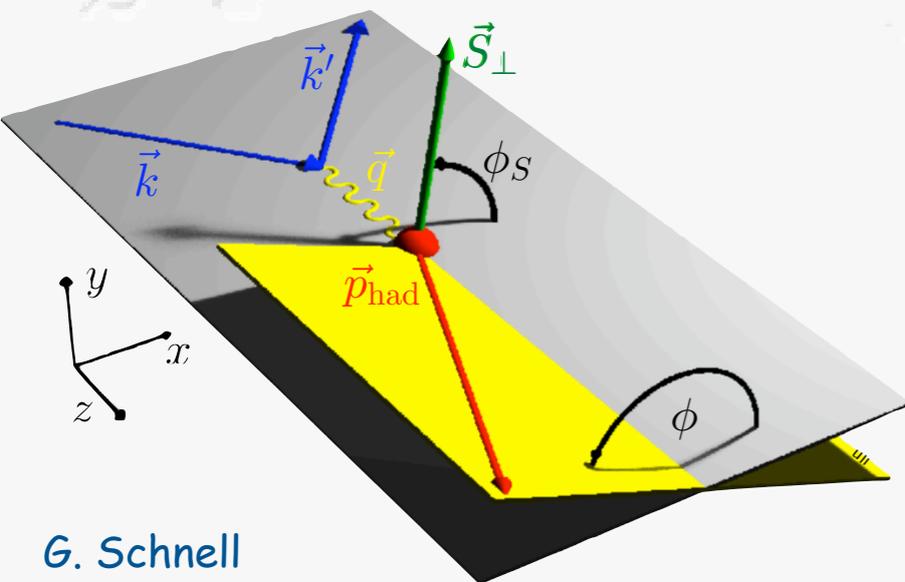
gives rise to characteristic azimuthal dependences

*) semi-inclusive DIS with unpolarized final state

one-hadron production ($ep \rightarrow ehX$)

$$\begin{aligned}
 d\sigma = & d\sigma_{UU}^0 + \cos 2\phi d\sigma_{UU}^1 + \frac{1}{Q} \cos \phi d\sigma_{UU}^2 + \lambda_e \frac{1}{Q} \sin \phi d\sigma_{LU}^3 \\
 & + S_L \left\{ \sin 2\phi d\sigma_{UL}^4 + \frac{1}{Q} \sin \phi d\sigma_{UL}^5 + \lambda_e \left[d\sigma_{LL}^6 + \frac{1}{Q} \cos \phi d\sigma_{LL}^7 \right] \right\} \\
 & + S_T \left\{ \sin(\phi - \phi_S) d\sigma_{UT}^8 + \sin(\phi + \phi_S) d\sigma_{UT}^9 + \sin(3\phi - \phi_S) d\sigma_{UT}^{10} \frac{1}{Q} \right. \\
 & \quad \left. + \frac{1}{Q} (\sin(2\phi - \phi_S) d\sigma_{UT}^{11} + \sin \phi_S d\sigma_{UT}^{12}) \right. \\
 & \quad \left. + \lambda_e \left[\cos(\phi - \phi_S) d\sigma_{LT}^{13} + \frac{1}{Q} (\cos \phi_S d\sigma_{LT}^{14} + \cos(2\phi - \phi_S) d\sigma_{LT}^{15}) \right] \right\}
 \end{aligned}$$

σ_{XY}
 ↙ ↘
Beam Target
Polarization



Mulders and Tangerman, Nucl. Phys. B 461 (1996) 197

Boer and Mulders, Phys. Rev. D 57 (1998) 5780

Bacchetta et al., Phys. Lett. B 595 (2004) 309

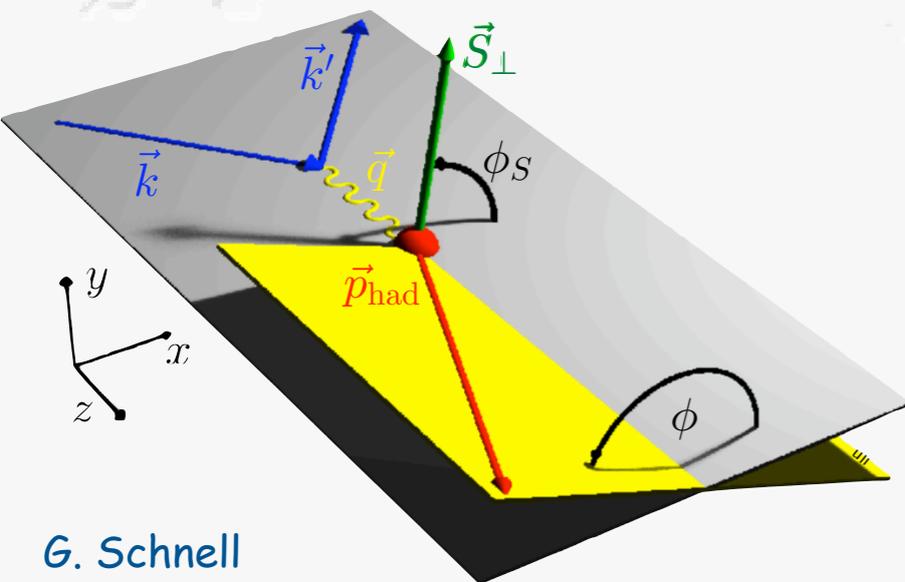
Bacchetta et al., JHEP 0702 (2007) 093

"Trento Conventions", Phys. Rev. D 70 (2004) 117504

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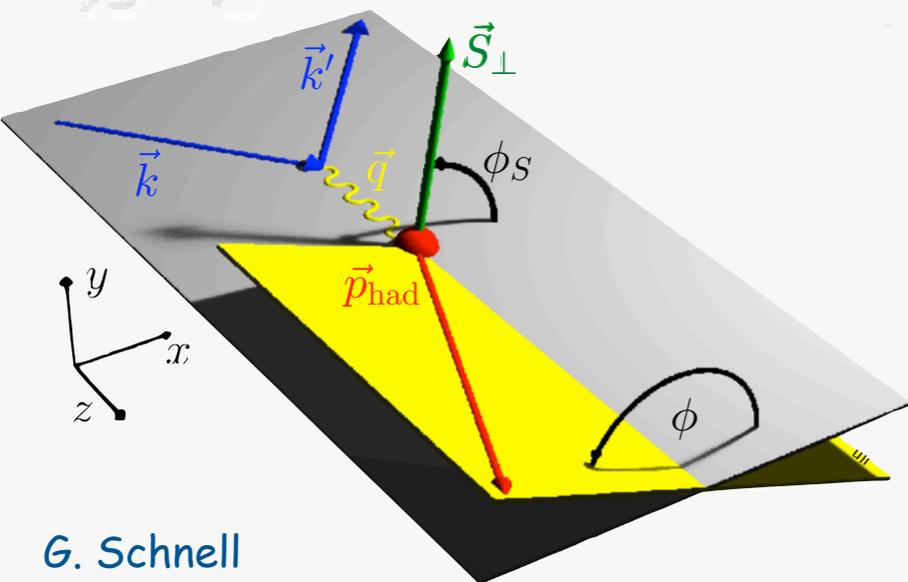
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HERMES experiment @ DESY

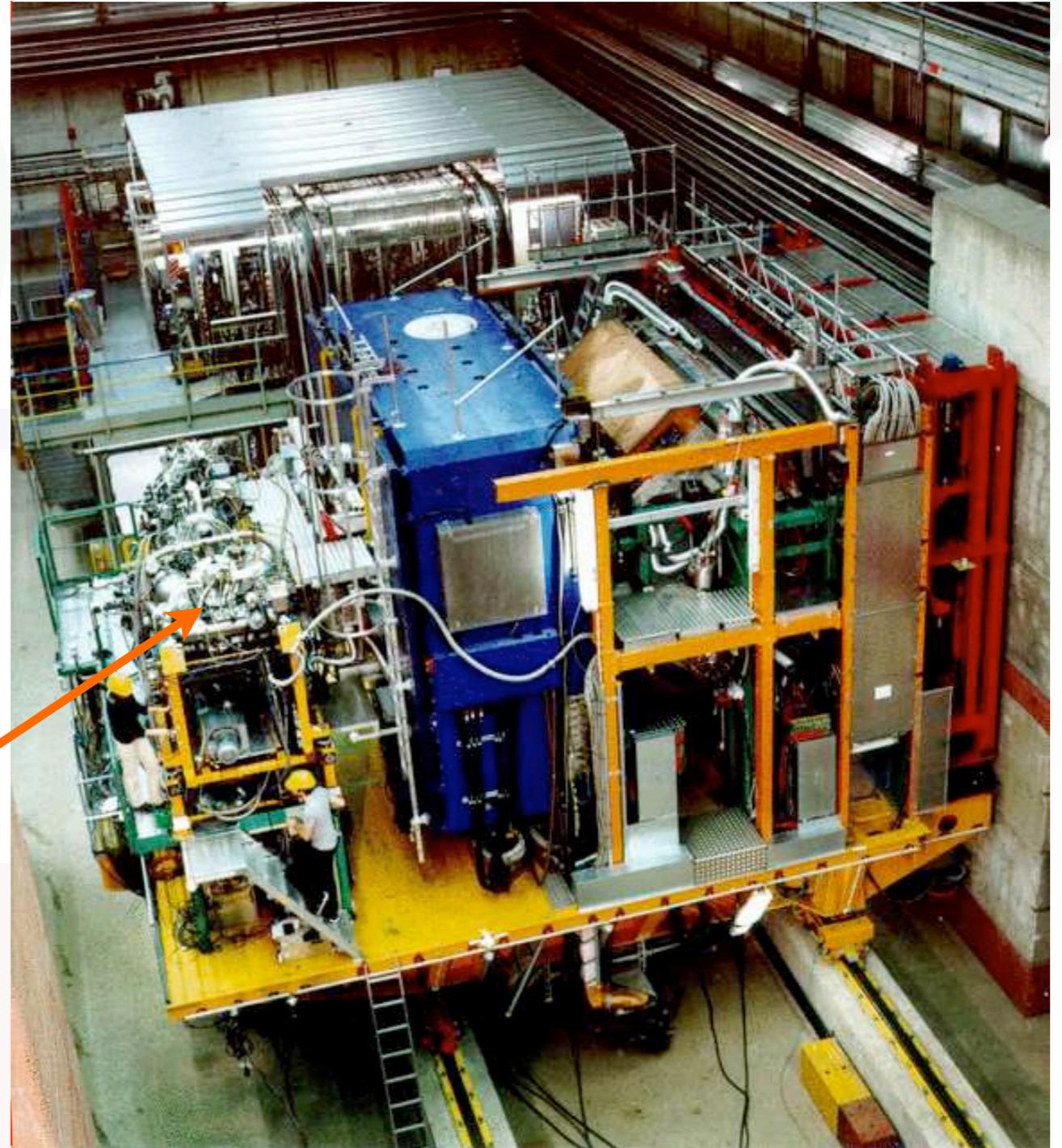
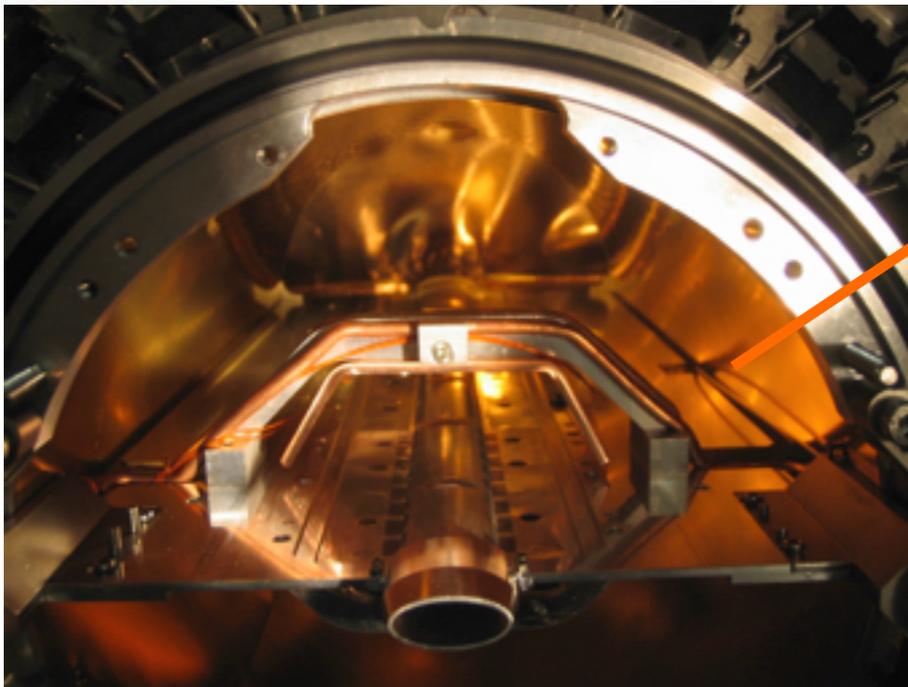
- 27.6 GeV HERA e^+/e^- beam



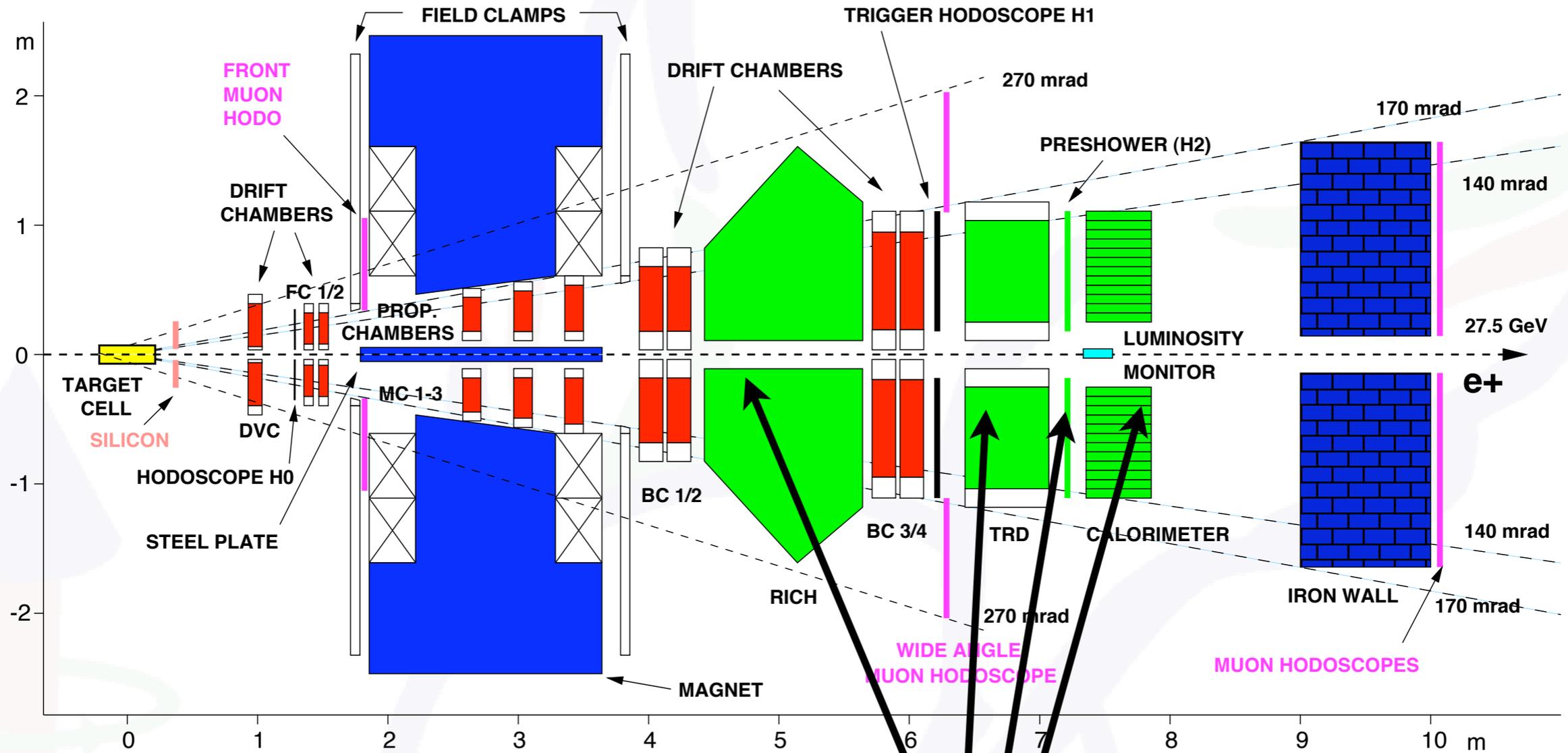
- longitudinally polarized

HERMES experiment @ DESY

- pure gas targets
- internal to lepton ring
- unpolarized (^1H ... Xe)
- long. polarized: ^1H , ^2H , ^3He
- transversely polarized: ^1H



HERMES schematically



- pure gas targets internal to HERA 27.6 GeV lepton ring
- unpolarized ($^1\text{H} \dots \text{Xe}$)
- long. polarized: ^1H , ^2H , ^3He
- transversely polarized: ^1H

Particle ID detectors allow for

- lepton/hadron separation
- RICH: pion/kaon/proton discrimination $2\text{GeV} < p < 15\text{GeV}$

hadron multiplicities in DIS

$$\frac{d^5\sigma}{dx dy dz d\phi_h dP_{h\perp}^2} \propto \left(1 + \frac{\gamma^2}{2x}\right) \{F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1-\epsilon)} F_{UU}^{\cos\phi_h} \cos\phi_h + \epsilon F_{UU}^{\cos 2\phi_h} \cos 2\phi_h\}$$

$$F_{XY,Z} = F_{XY,Z}(x, y, z, P_{h\perp})$$

target polarization \downarrow
 beam polarization \uparrow virtual-photon polarization \uparrow

[see, e.g., Bacchetta et al., JHEP 0702 (2007) 093]

$$\gamma = \frac{2Mx}{Q}$$

$$\epsilon = \frac{1 - y - \frac{1}{4}\gamma^2 y^2}{1 - y + \frac{1}{2}y^2 + \frac{1}{4}\gamma^2 y^2}$$

hadron multiplicities in DIS

hadron multiplicity:
normalize to inclusive DIS
cross section

$$\frac{d^2 \sigma^{\text{incl. DIS}}}{dx dy} \propto F_T + \epsilon F_L$$

$$\frac{d^4 \mathcal{M}^h(x, y, z, P_{h\perp}^2)}{dx dy dz dP_{h\perp}^2} \propto \left(1 + \frac{\gamma^2}{2x}\right) \frac{F_{UU,T} + \epsilon F_{UU,L}}{F_T + \epsilon F_L}$$

$$\approx \frac{\sum_q e_q^2 f_1^q(x, p_T^2) \otimes D_1^{q \rightarrow h}(z, K_T^2)}{\sum_q e_q^2 f_1^q(x)}$$

$$\frac{d^5 \sigma}{dx dy dz d\phi_h dP_{h\perp}^2} \propto \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1-\epsilon)} F_{UU}^{\cos \phi_h} \cos \phi_h + \epsilon F_{UU}^{\cos 2\phi_h} \cos 2\phi_h \right\}$$

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JHEP 0702 (2007) 093]

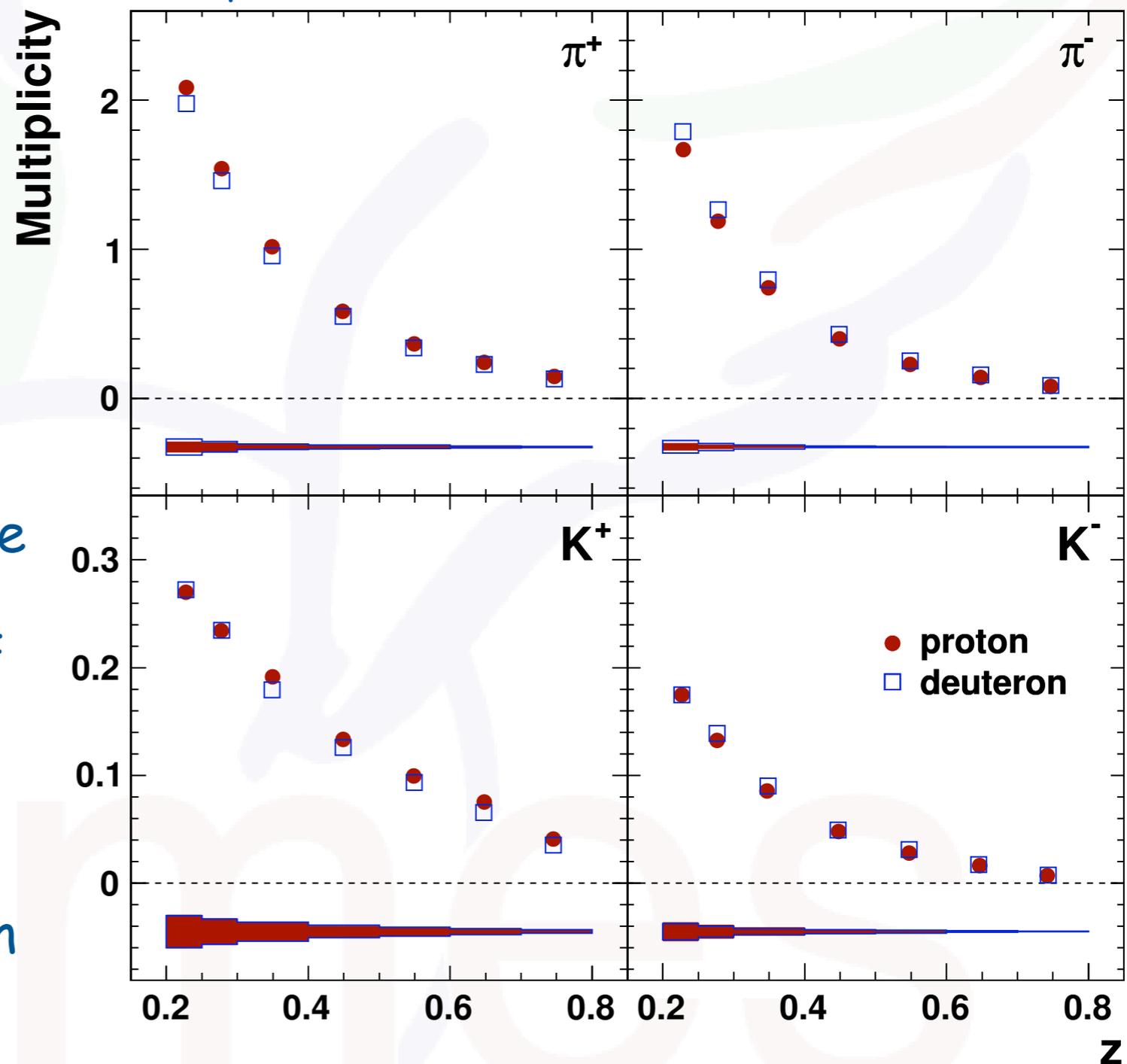
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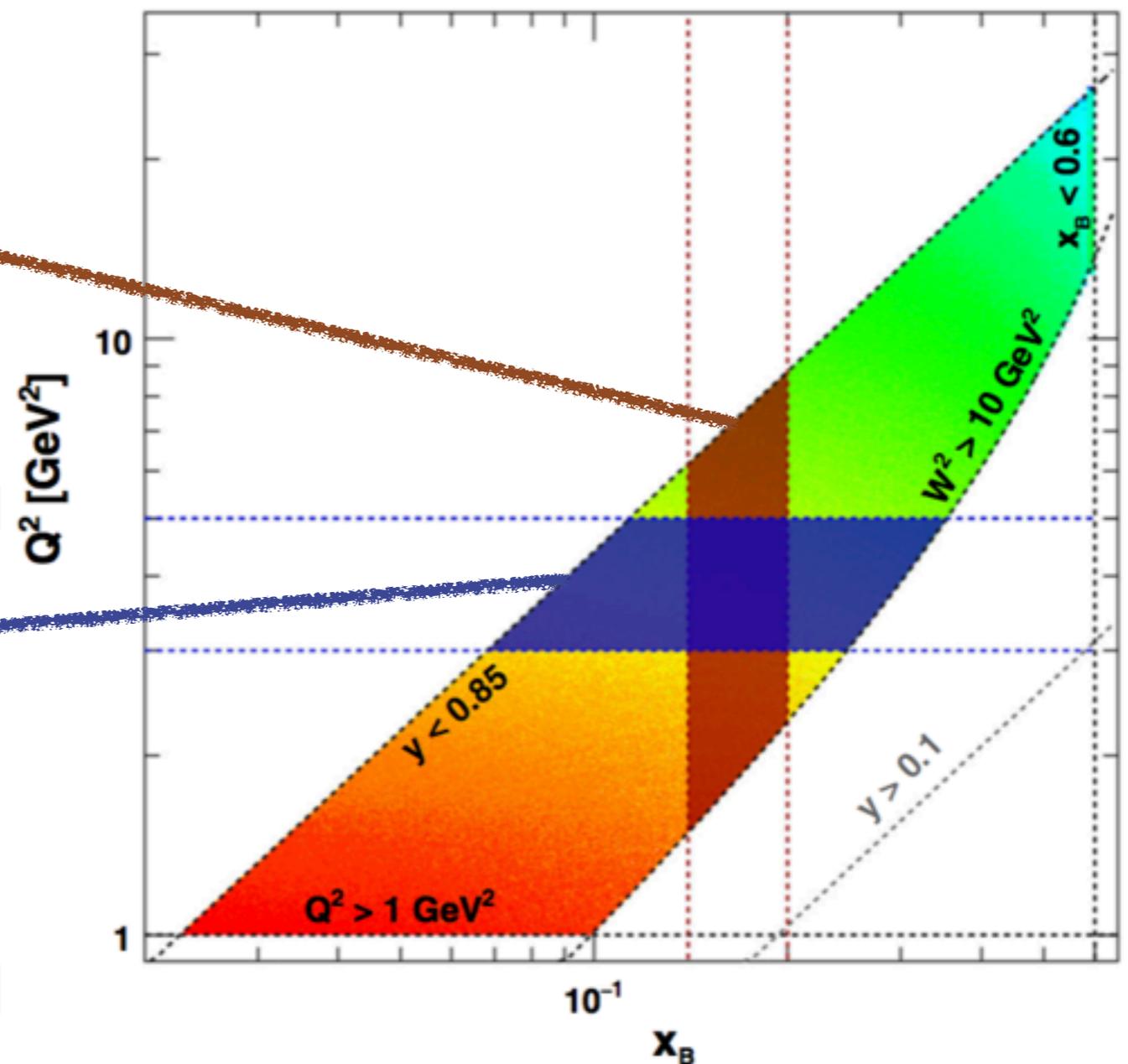
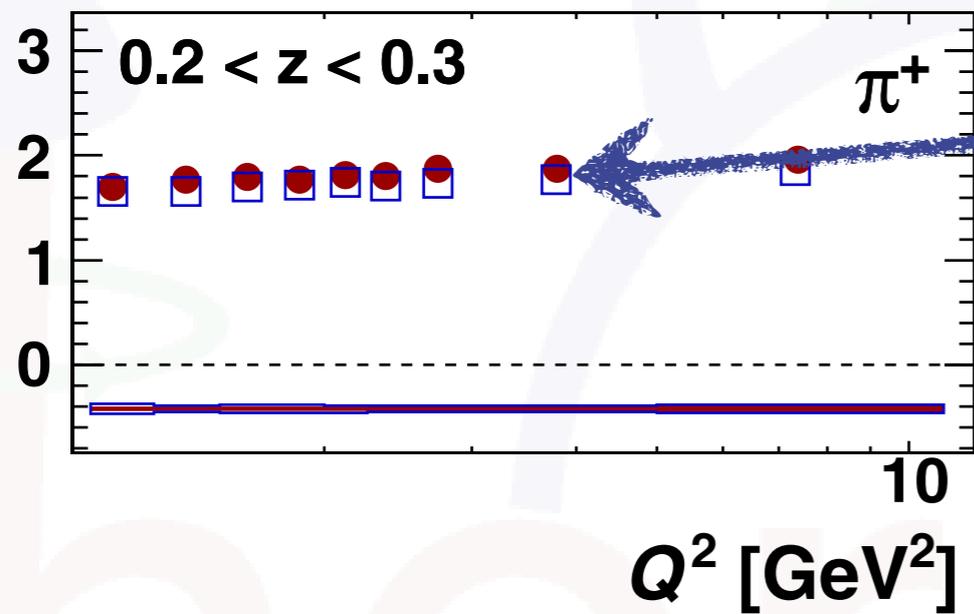
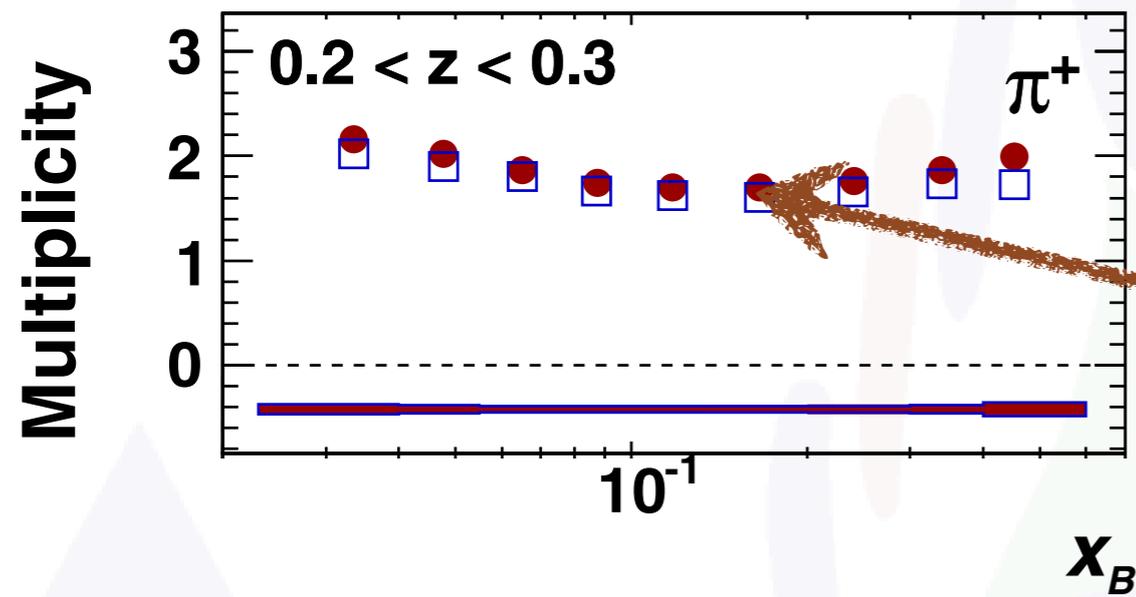
multiplicities @ HERMES

- extensive data set on pure proton and deuteron targets for identified charged mesons <http://www-hermes.desy.de/multiplicities>
- extracted in a multi-dimensional unfolding procedure
- access to flavor dependence of fragmentation through different mesons and targets
- input to fragmentation function analyses

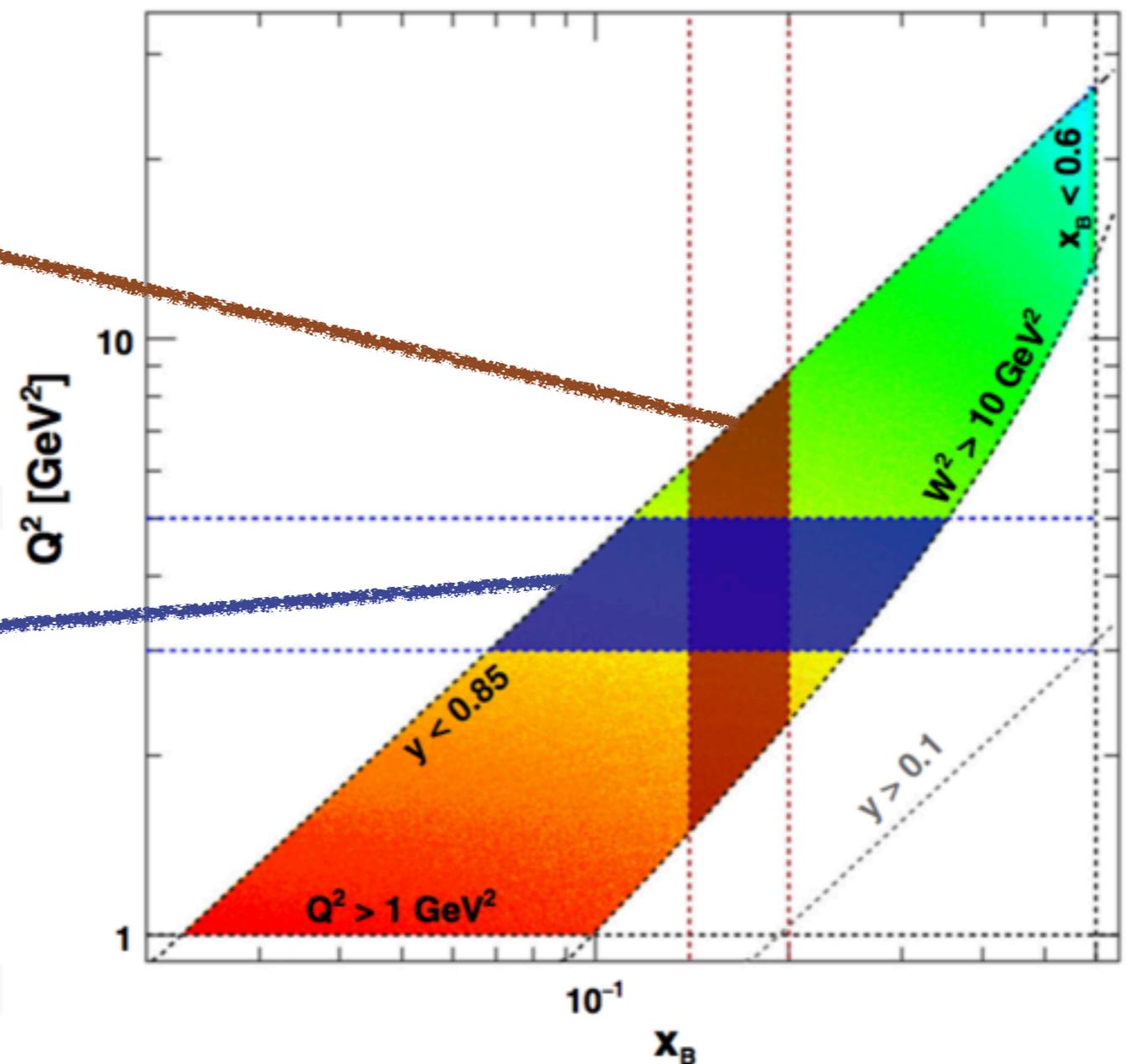
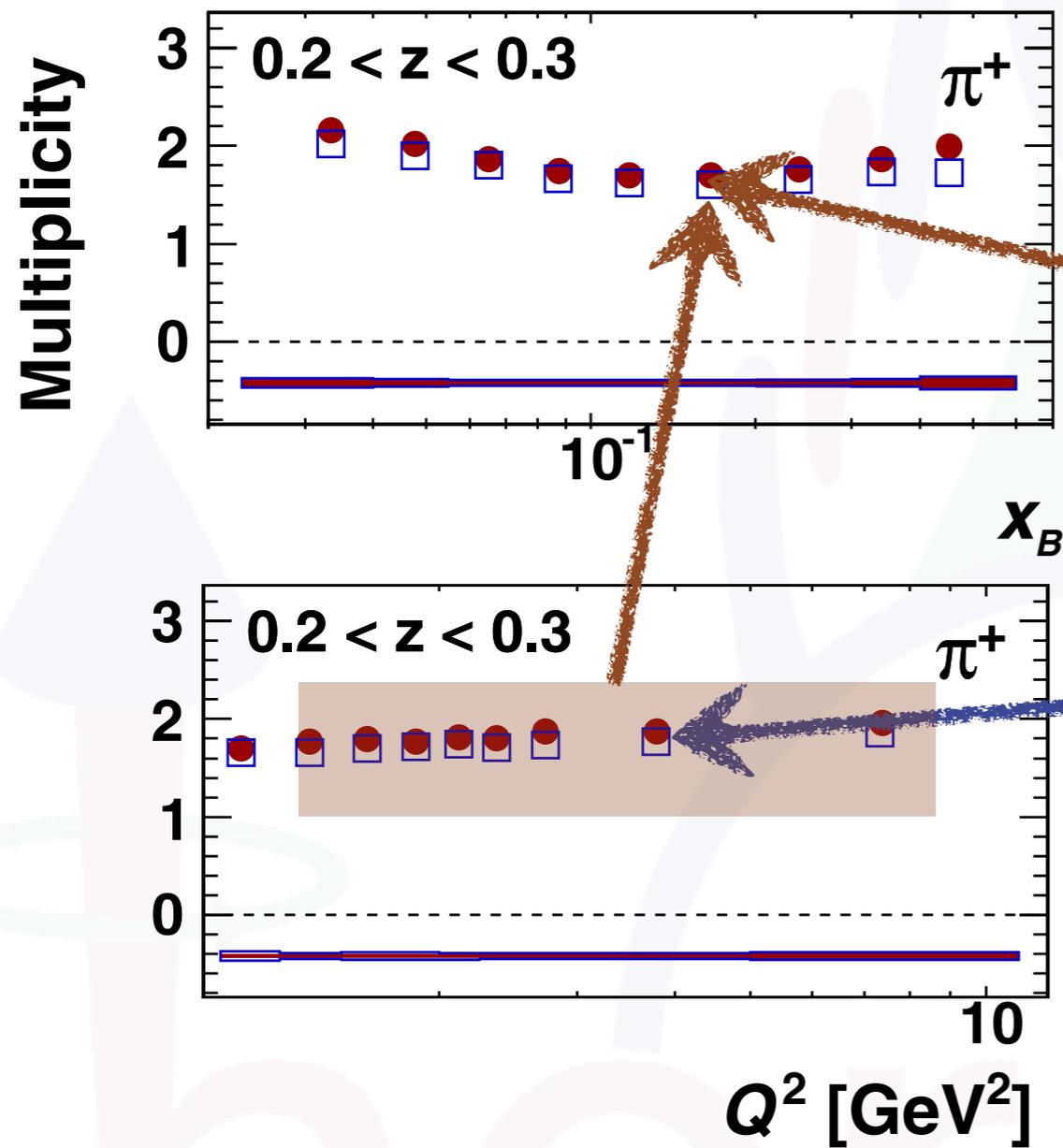
[Airapetian et al., PRD 87 (2013) 074029]



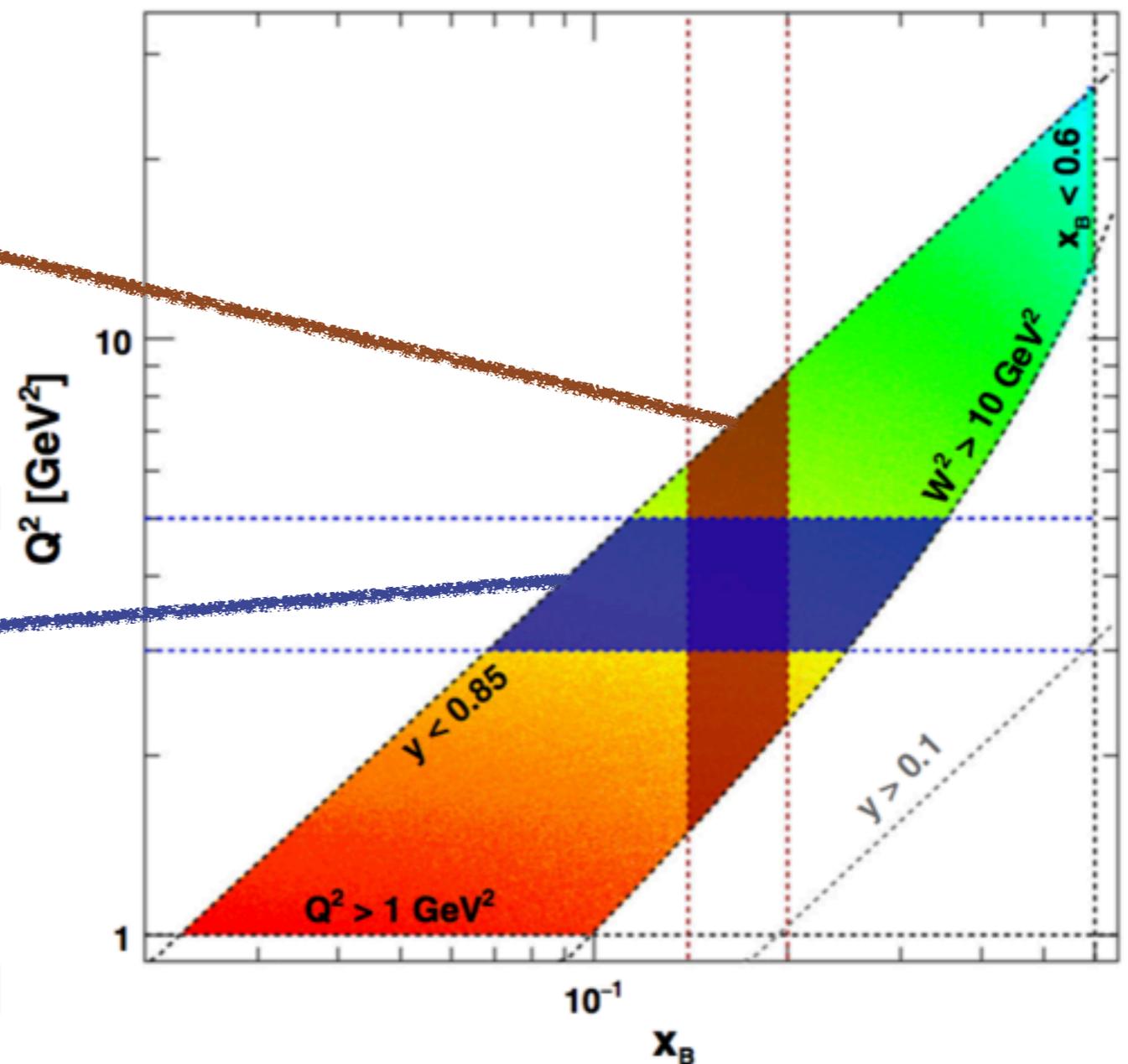
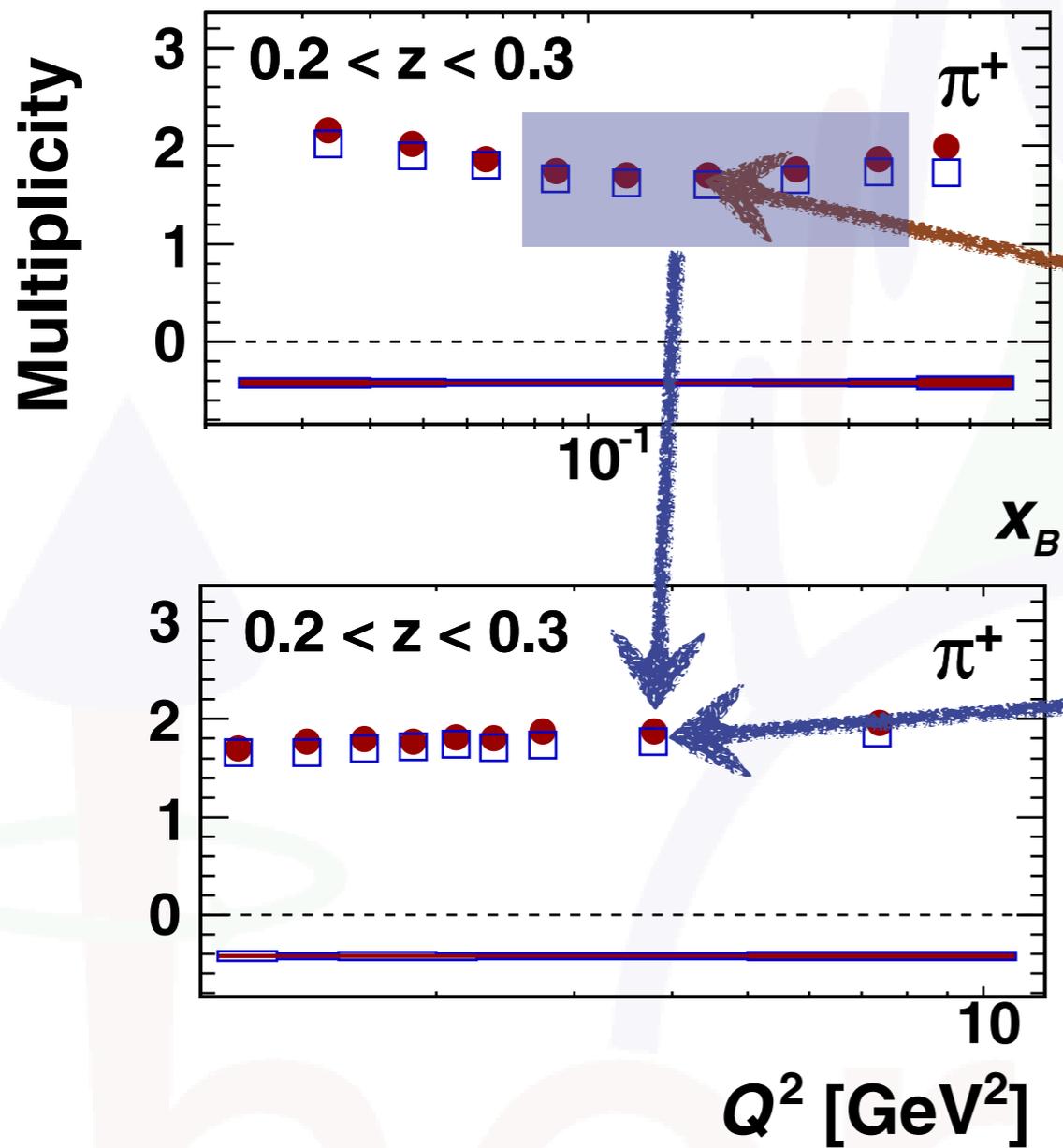
$$\langle \mathcal{M}(Q^2) \rangle_{Q^2} \neq \mathcal{M}(\langle Q^2 \rangle)$$



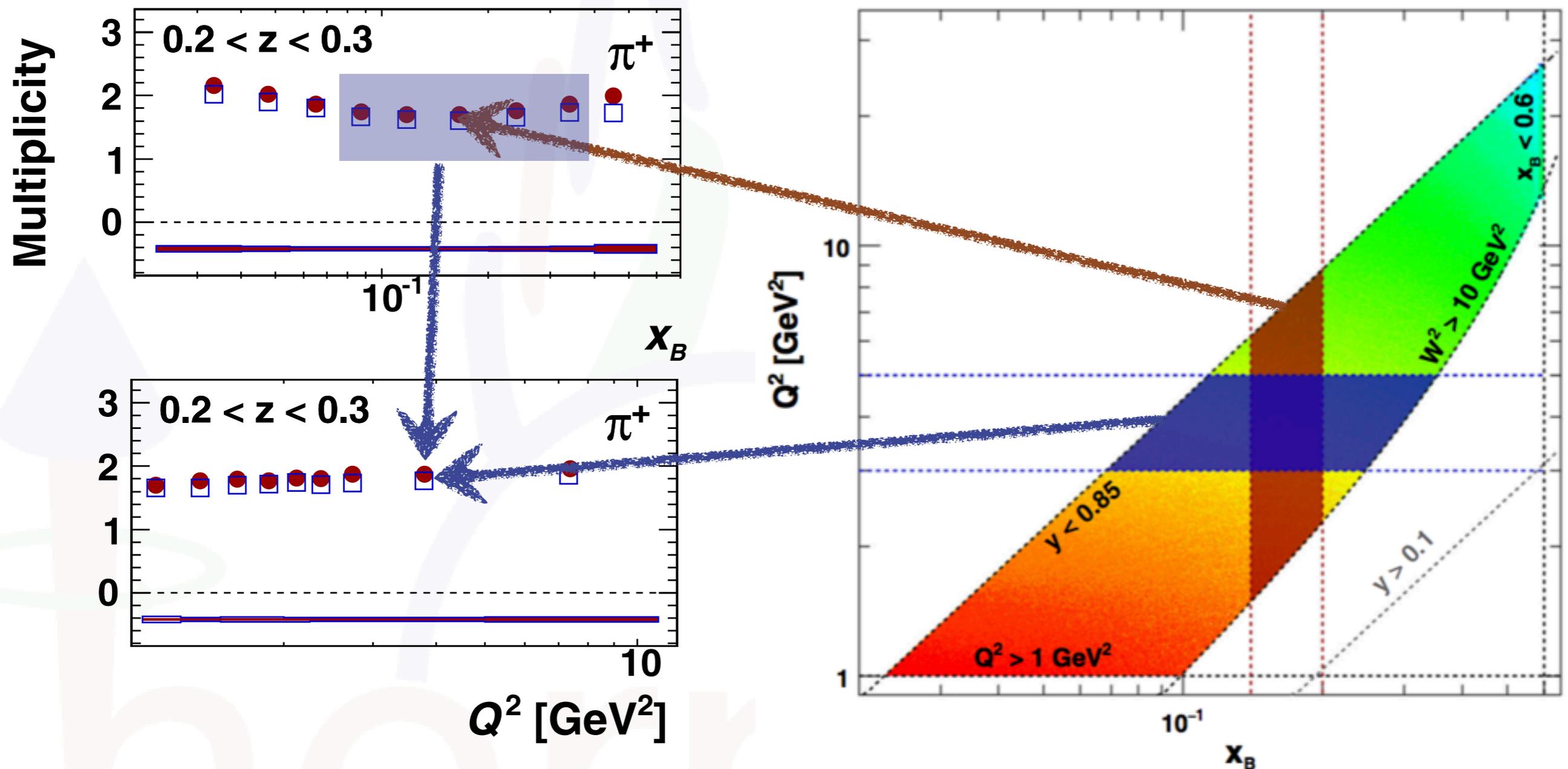
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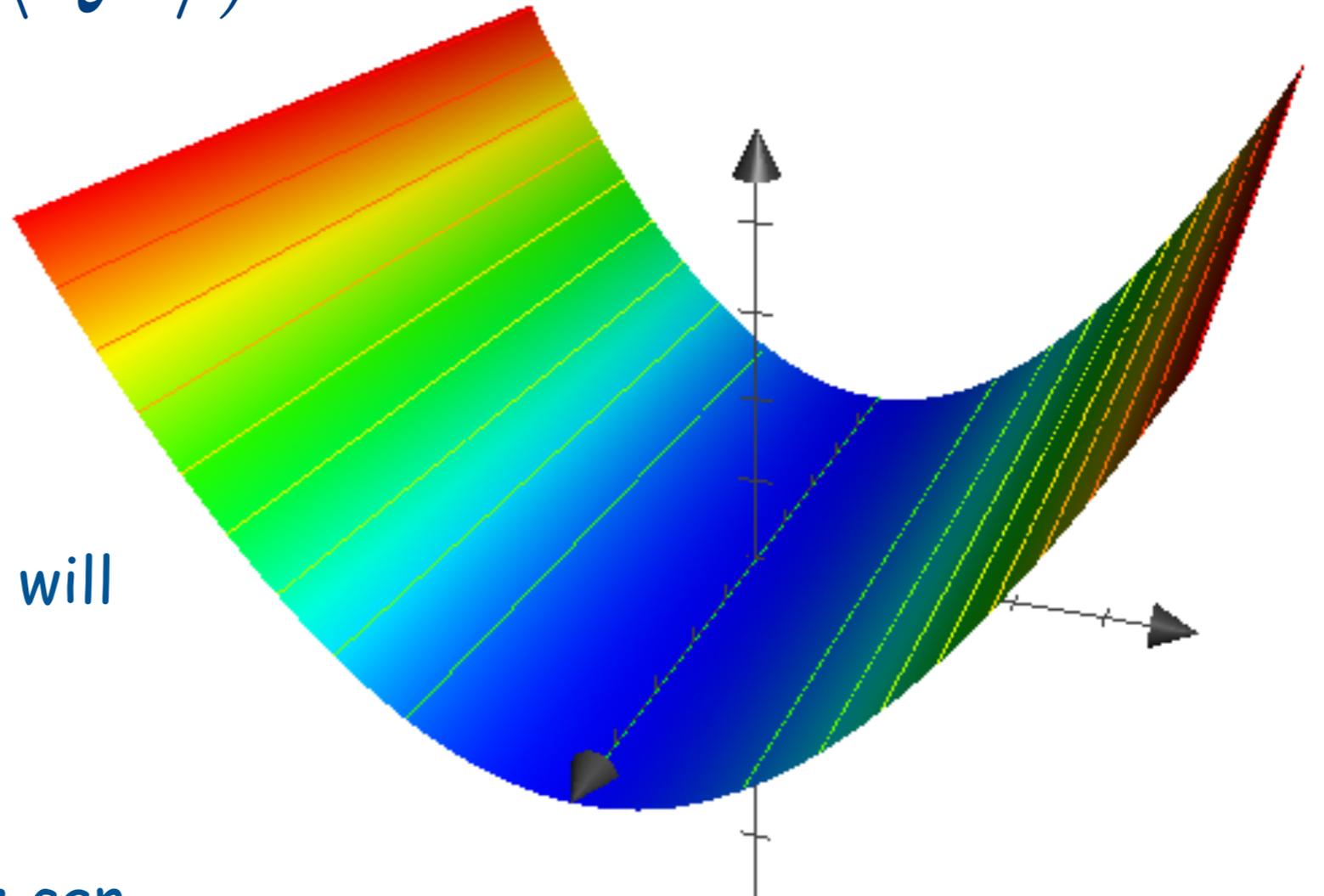


$$\langle \mathcal{M}(Q^2) \rangle_{Q^2} \neq \mathcal{M}(\langle Q^2 \rangle)$$



- even though having similar average kinematics, multiplicities in the two projections are different

$$\langle \mathcal{M}(Q^2) \rangle_{Q^2} \neq \mathcal{M}(\langle Q^2 \rangle)$$



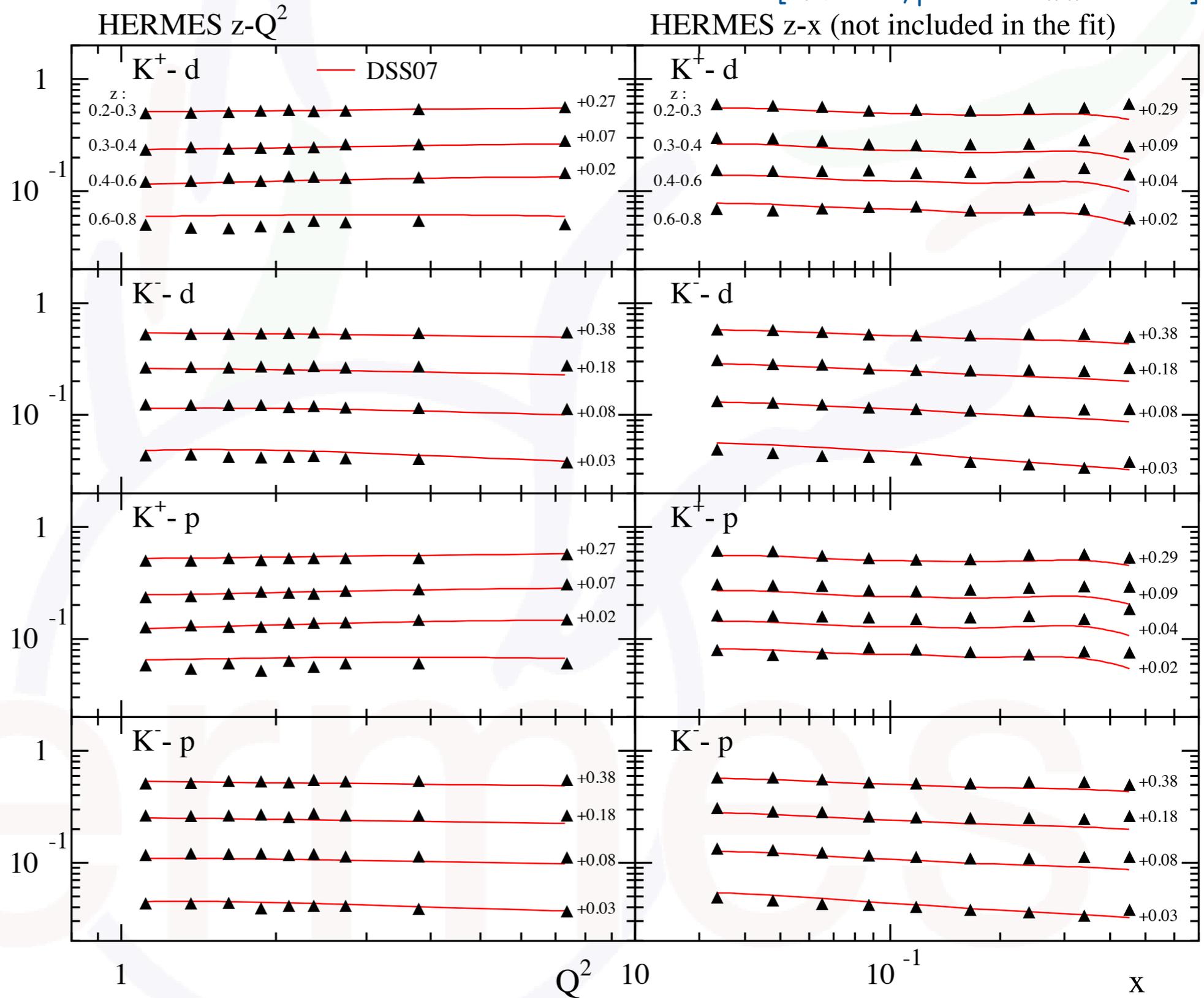
- the average along the valley will be smaller than the average along the gradient
- still the average kinematics can be the same

take-away message: integrate your cross sections over the kinematic ranges dictated by the experiment (and do not simply evaluate it at the average kinematics)

integrating vs. using average kinematics

[R. Sassot, private communication]

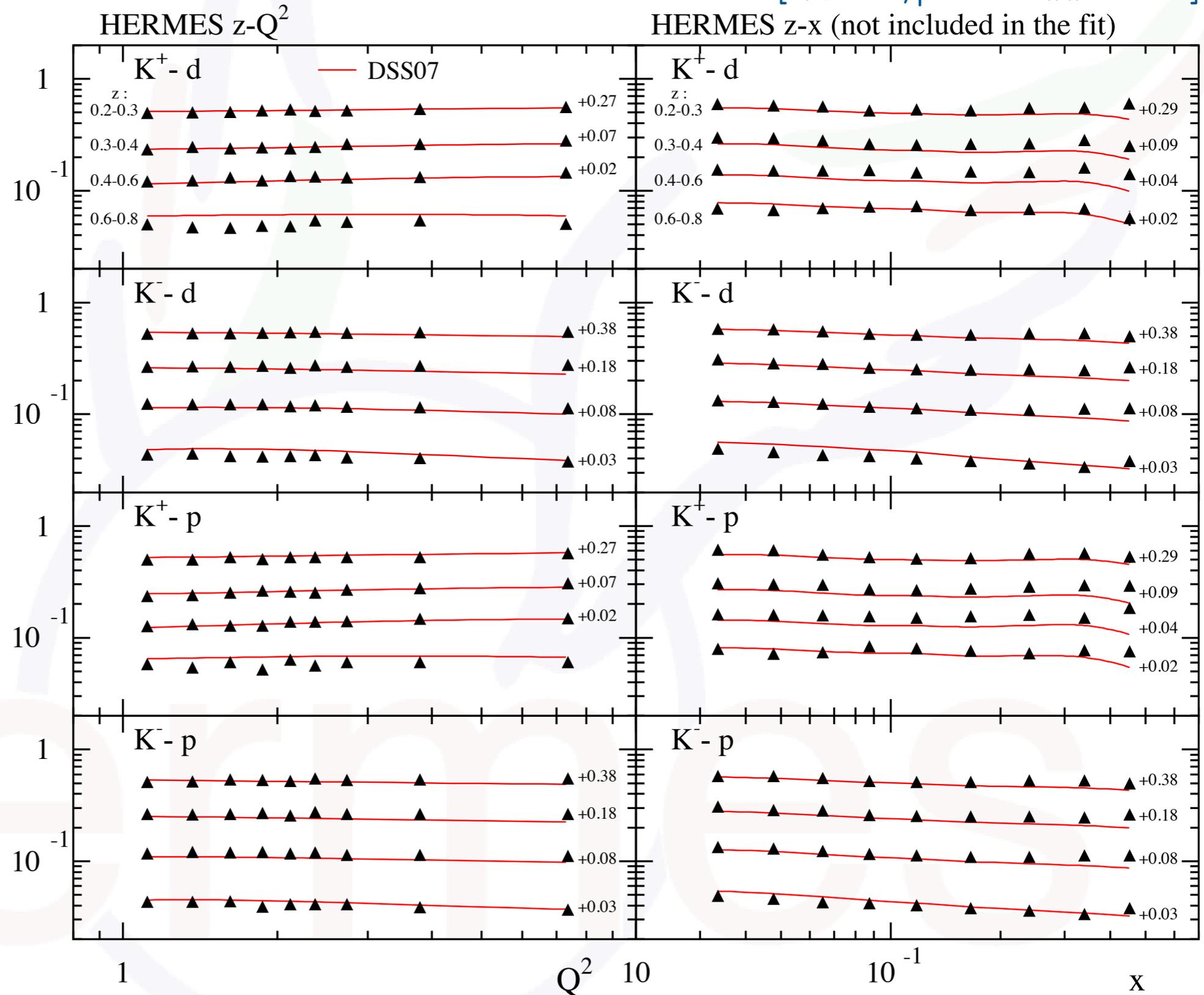
- (by now old) DSS07 FF fit to $z-Q^2$ projection



integrating vs. using average kinematics

[R. Sassot, private communication]

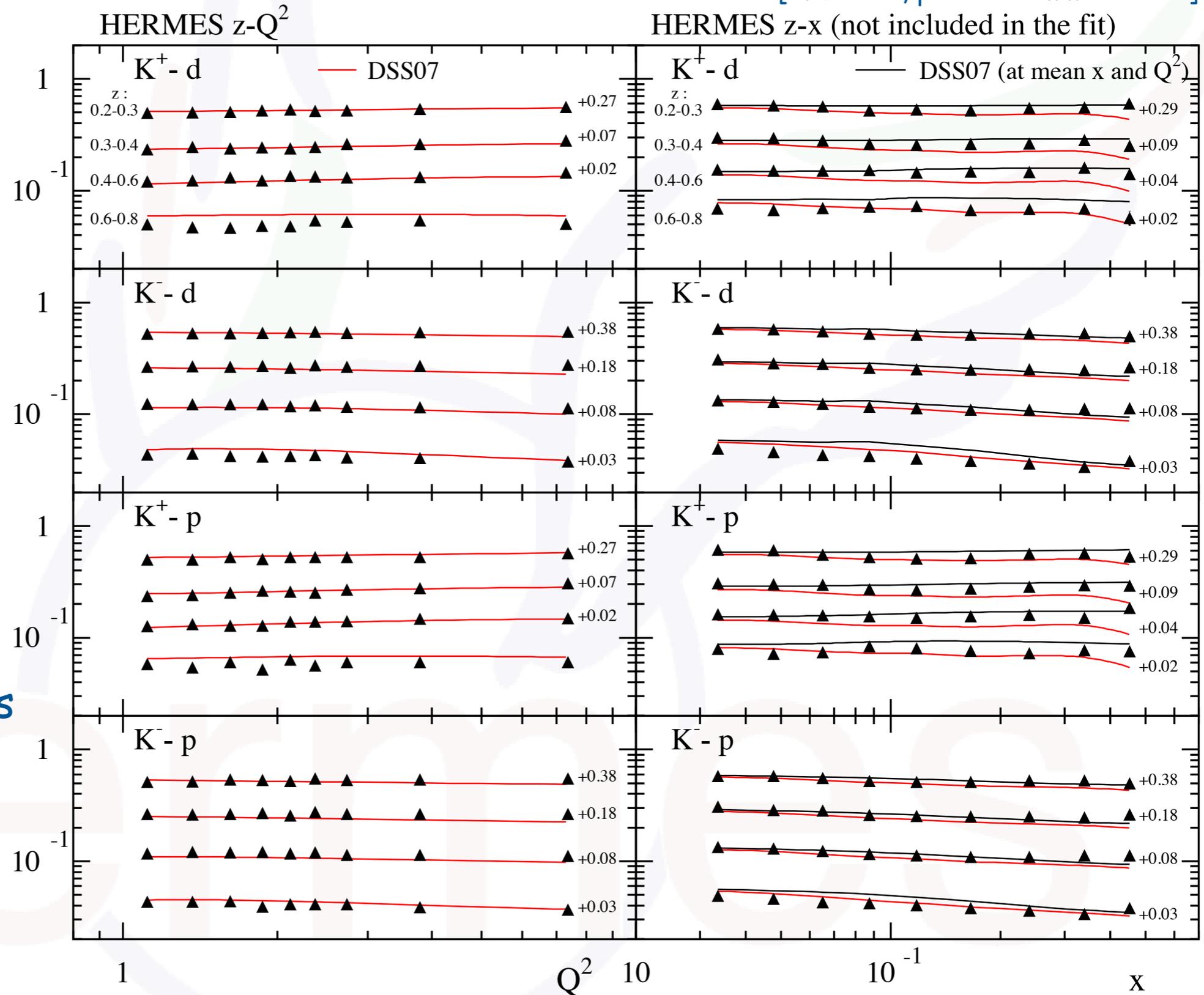
- (by now old) DSS07 FF fit to z - Q^2 projection
- z - x "prediction" reasonable well when using integration over phase-space limits (red lines)



integrating vs. using average kinematics

[R. Sassot, private communication]

- (by now old) DSS07 FF fit to z - Q^2 projection
- z - x "prediction" reasonable well when using integration over phase-space limits (red lines)
- significant changes when using average kinematics

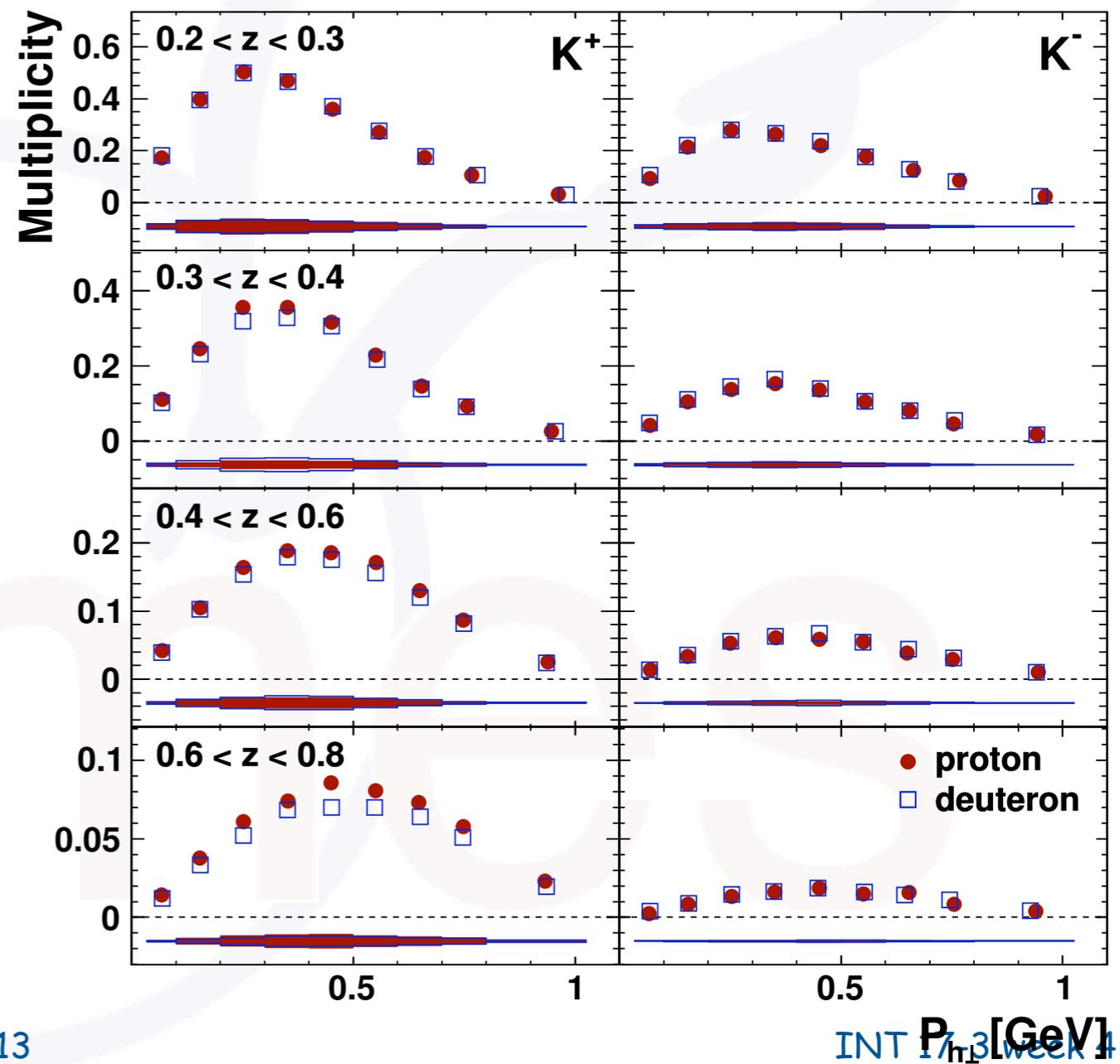
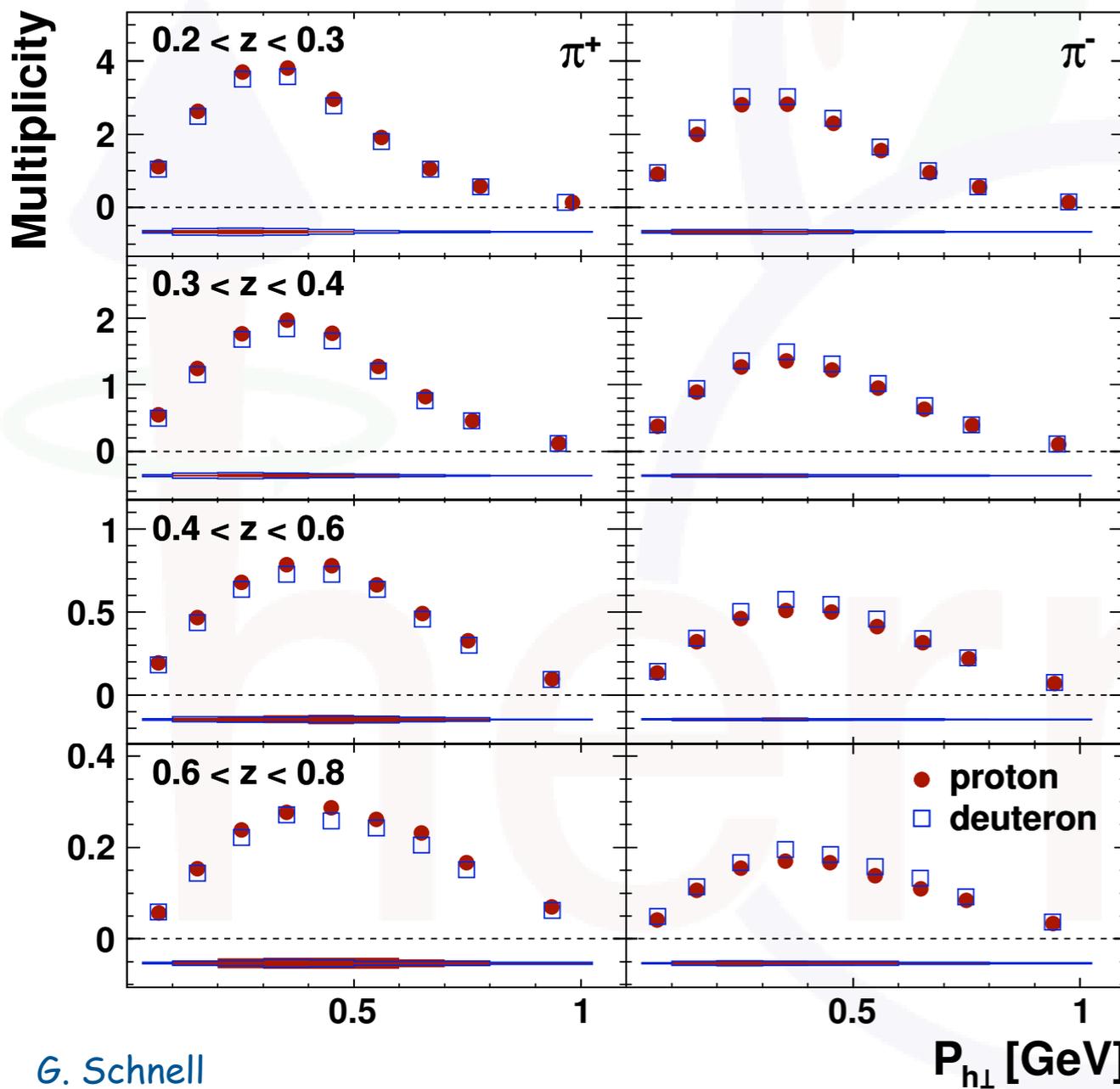


transverse momentum dependence

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

- multi-dimensional analysis allows going beyond collinear factorization
- flavor information on transverse momenta via target variation and hadron ID

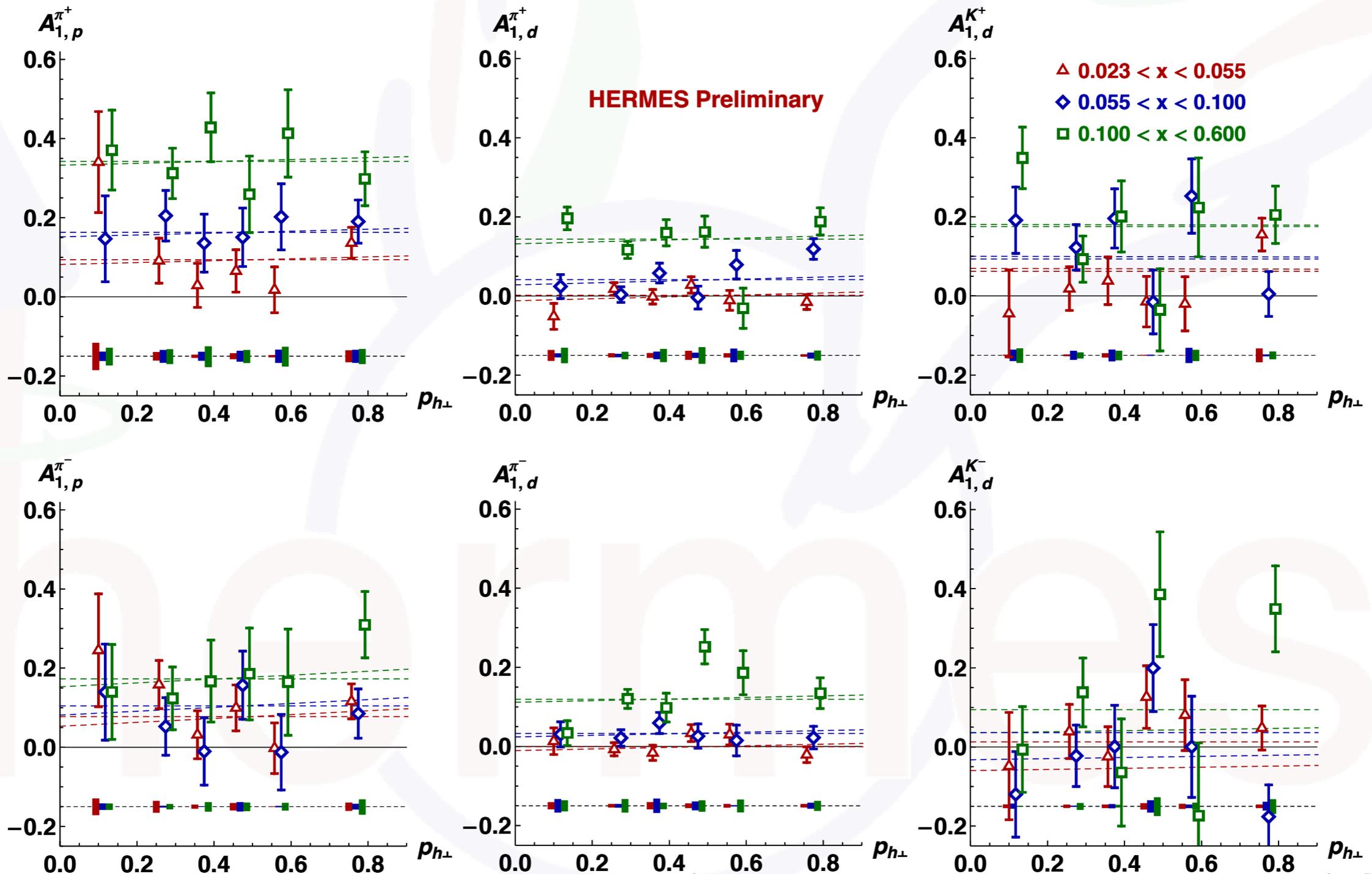
[Airapetian et al., PRD 87 (2013) 074029]



helicity distribution

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

- extensive data set on collinear extraction of helicity PDF published in [PRD 71 \(2005\) 012003](#)
- here: (not so significant) transverse momentum dependence

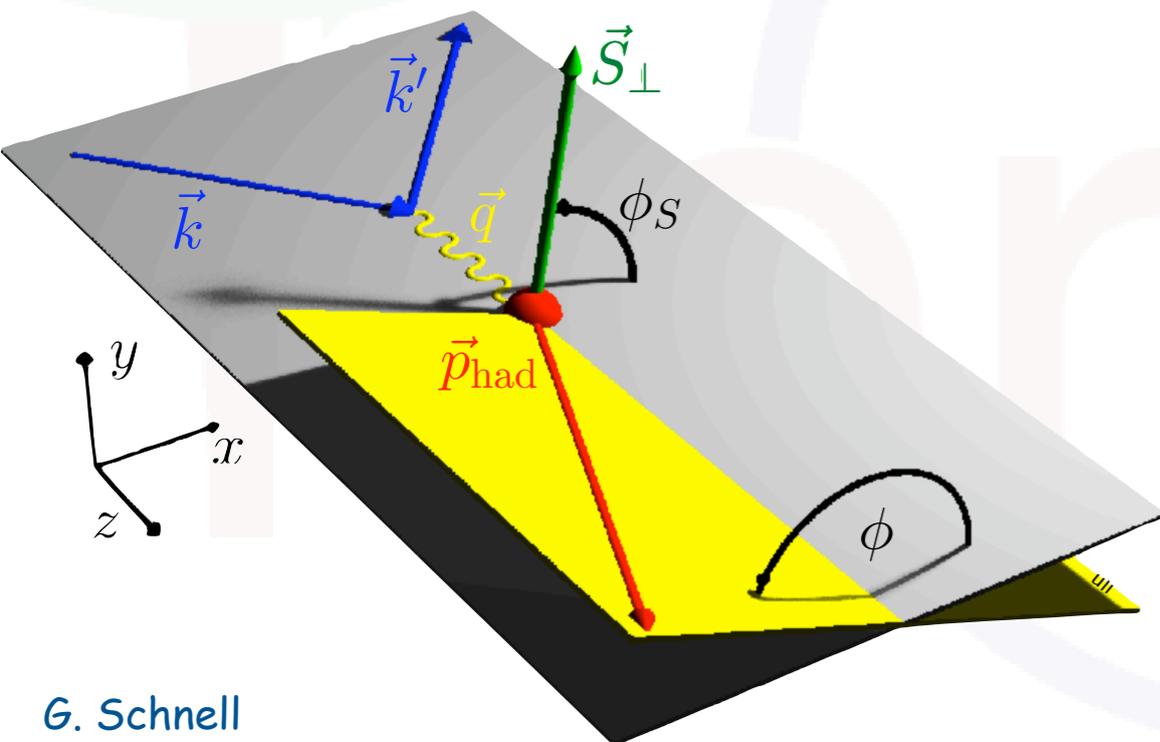


chiral-odd distributions

transversely polarized quarks?

	U	L	T
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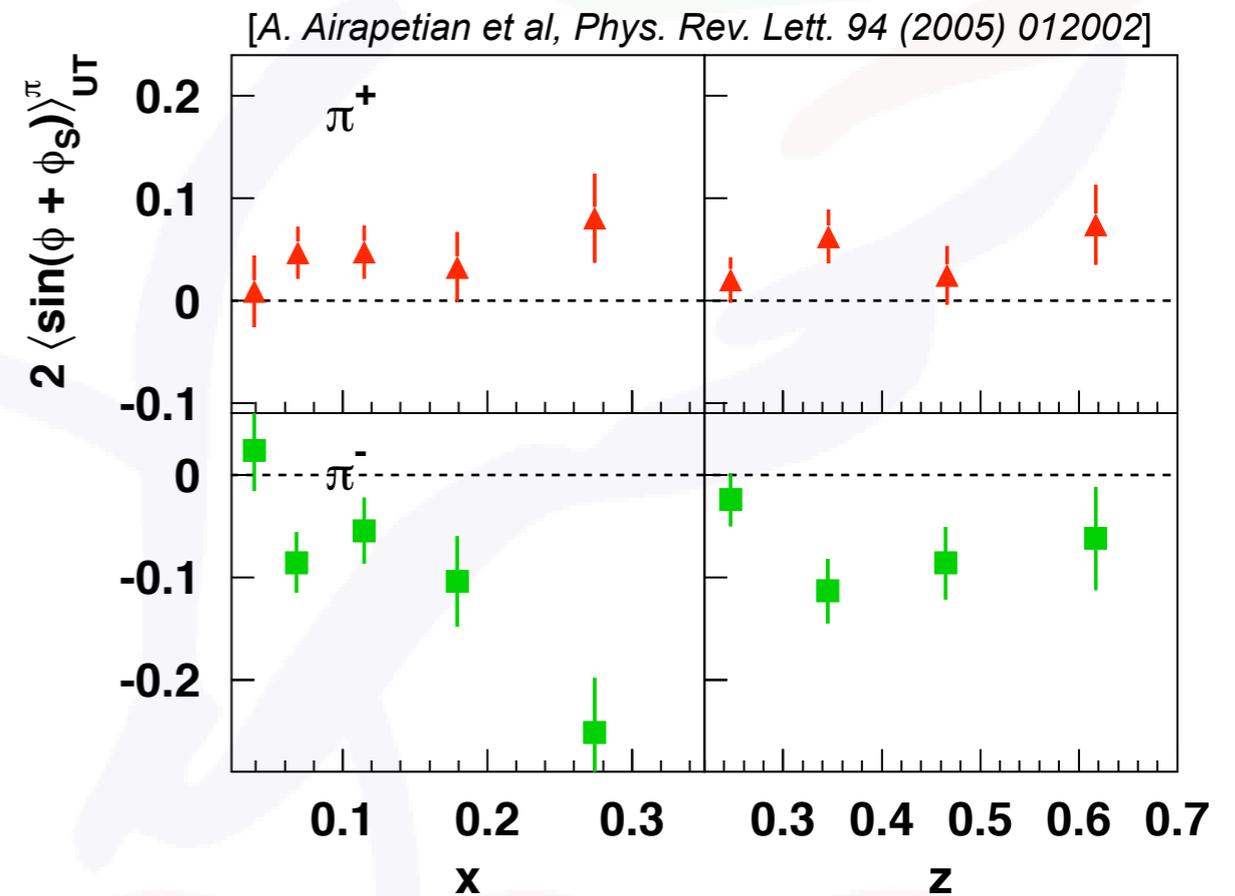
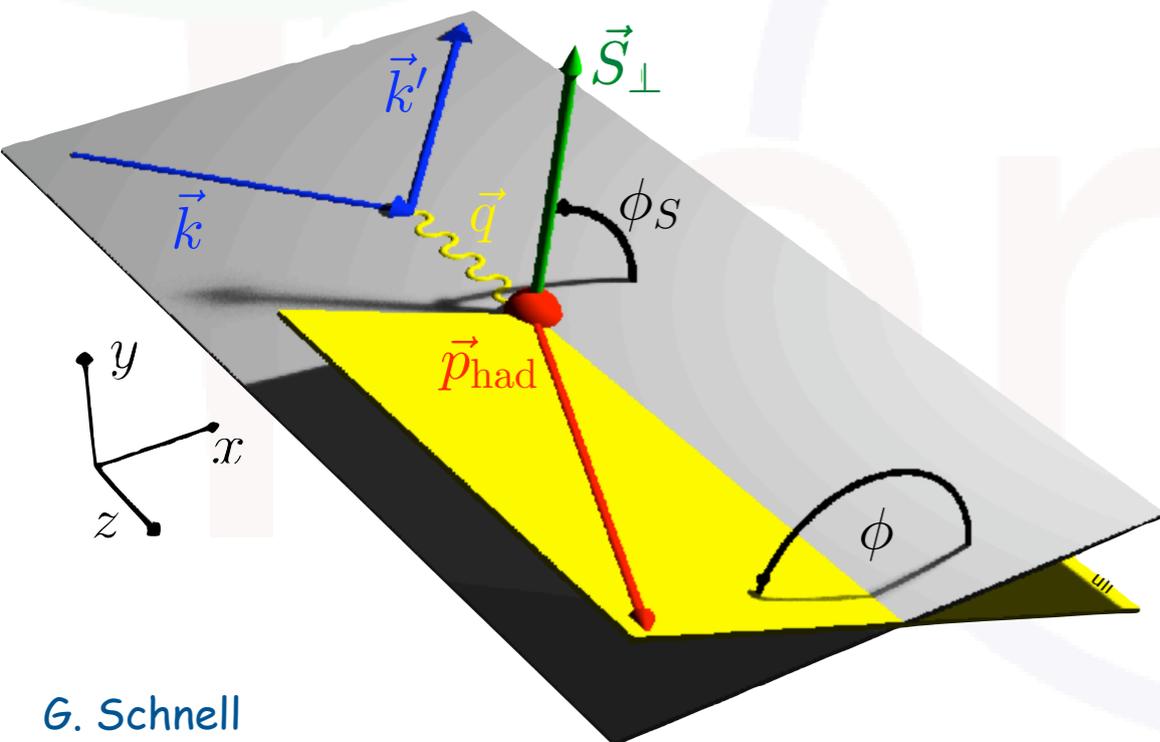
- look at characteristic azimuthal dependence of single-hadron lepto-production cross section
- many of the systematics of polarization-averaged observables cancel in spin asymmetry



transversely polarized quarks?

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- transverse polarization of quarks leads to large effects!
- opposite in sign for charged pions



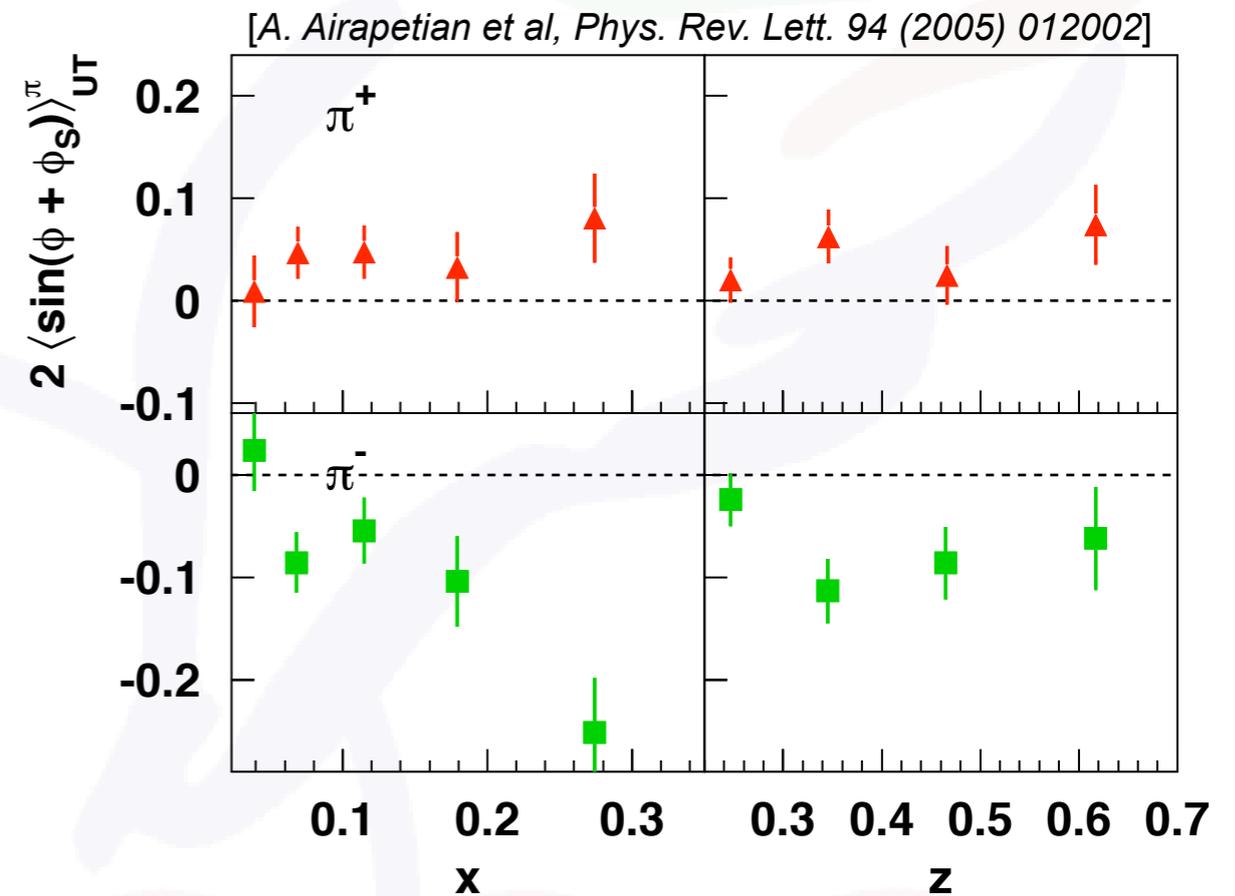
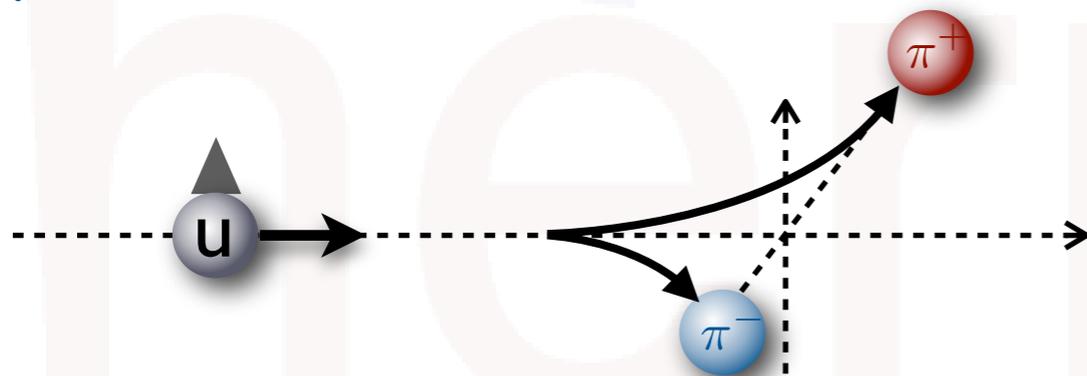
2005: First evidence from HERMES SIDIS on proton

Non-zero transversity
Non-zero Collins function

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- transverse polarization of quarks leads to large effects!
- opposite in sign for charged pions
- disfavored Collins FF large and opposite in sign to favored one



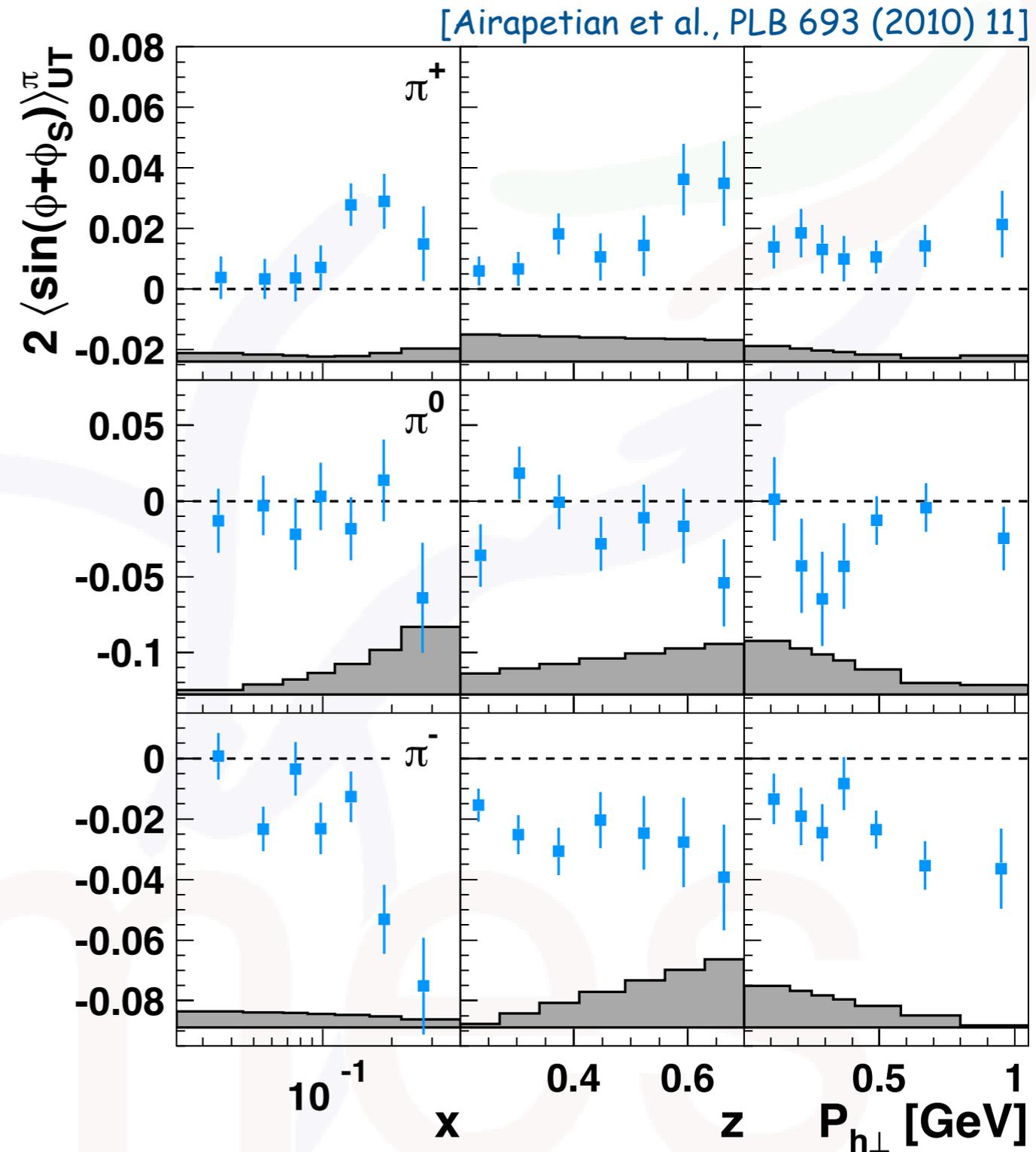
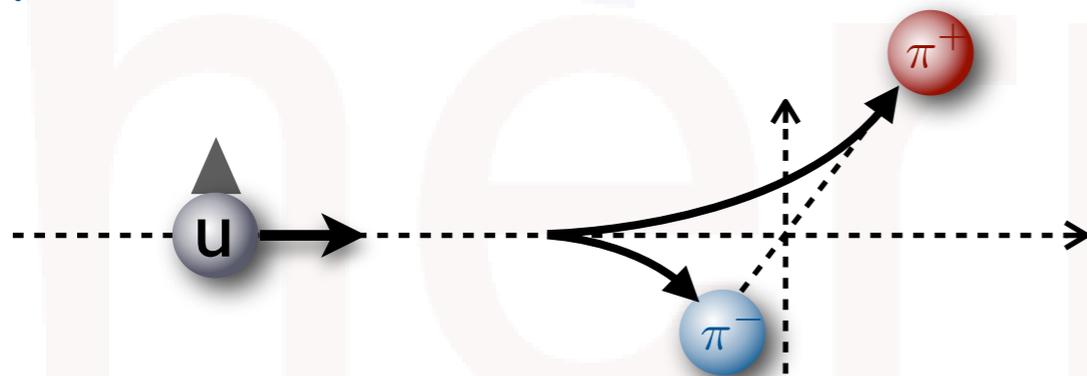
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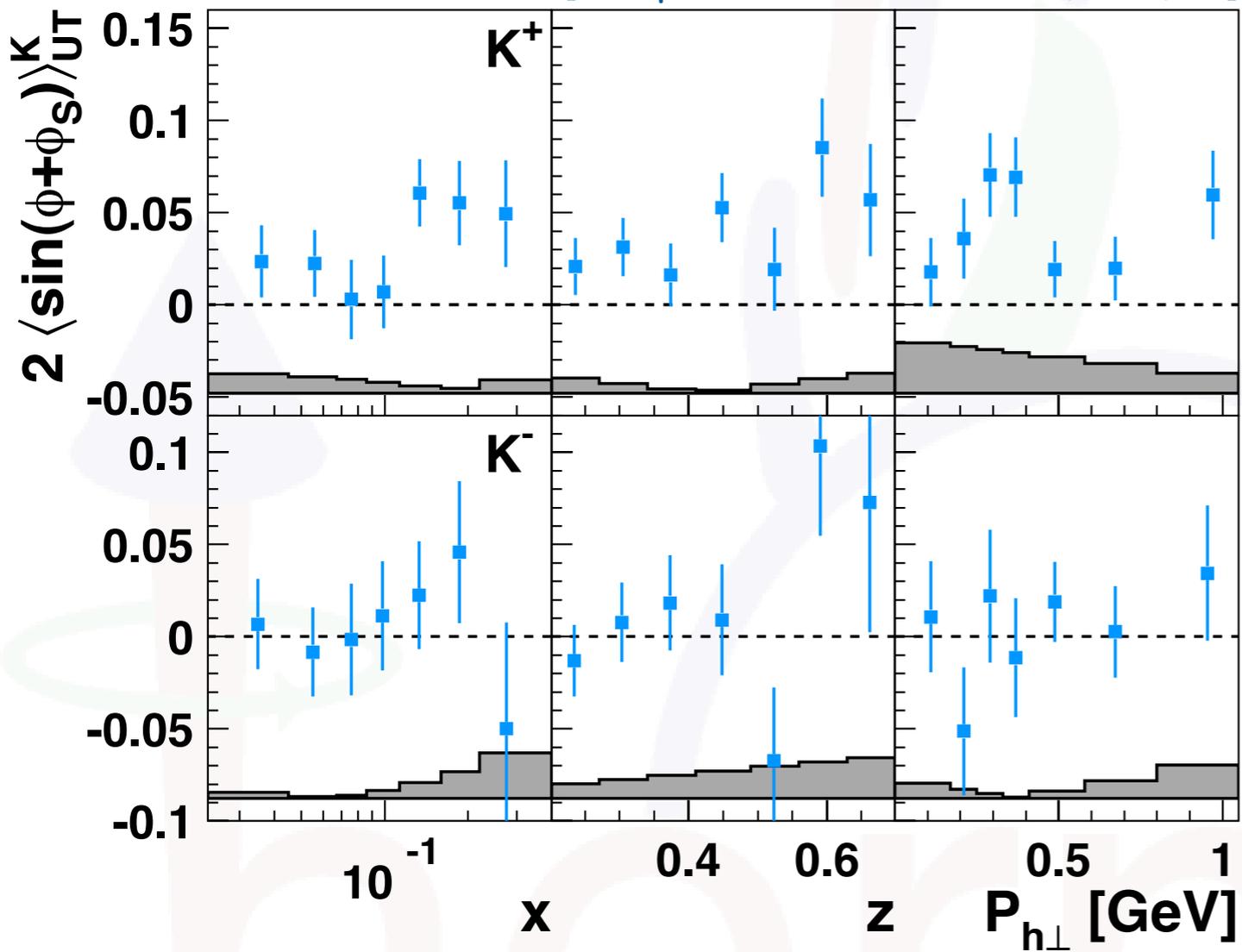
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Collins effect for kaons and (anti) protons

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U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

[Airapetian et al., PLB 693 (2010) 11]

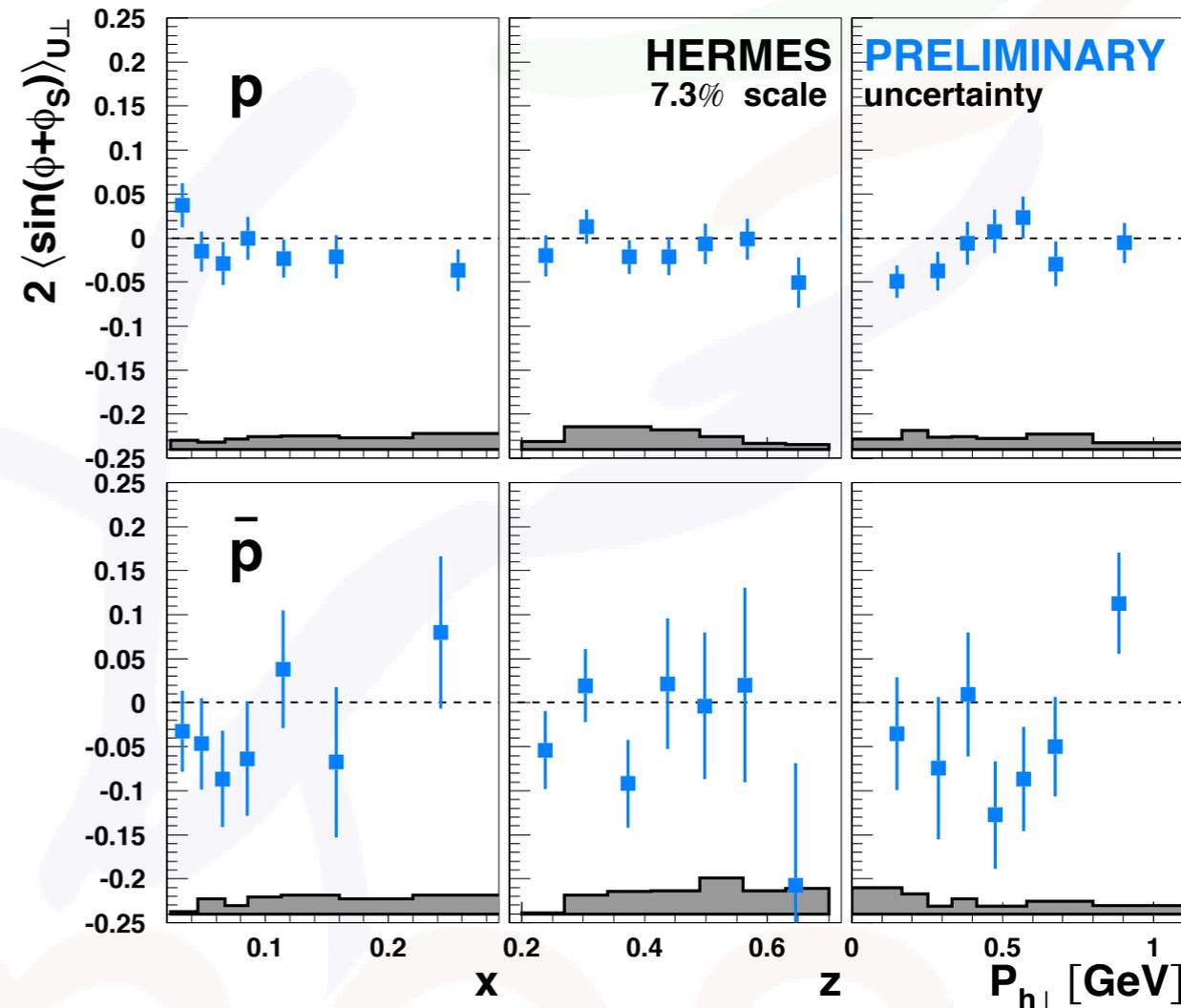
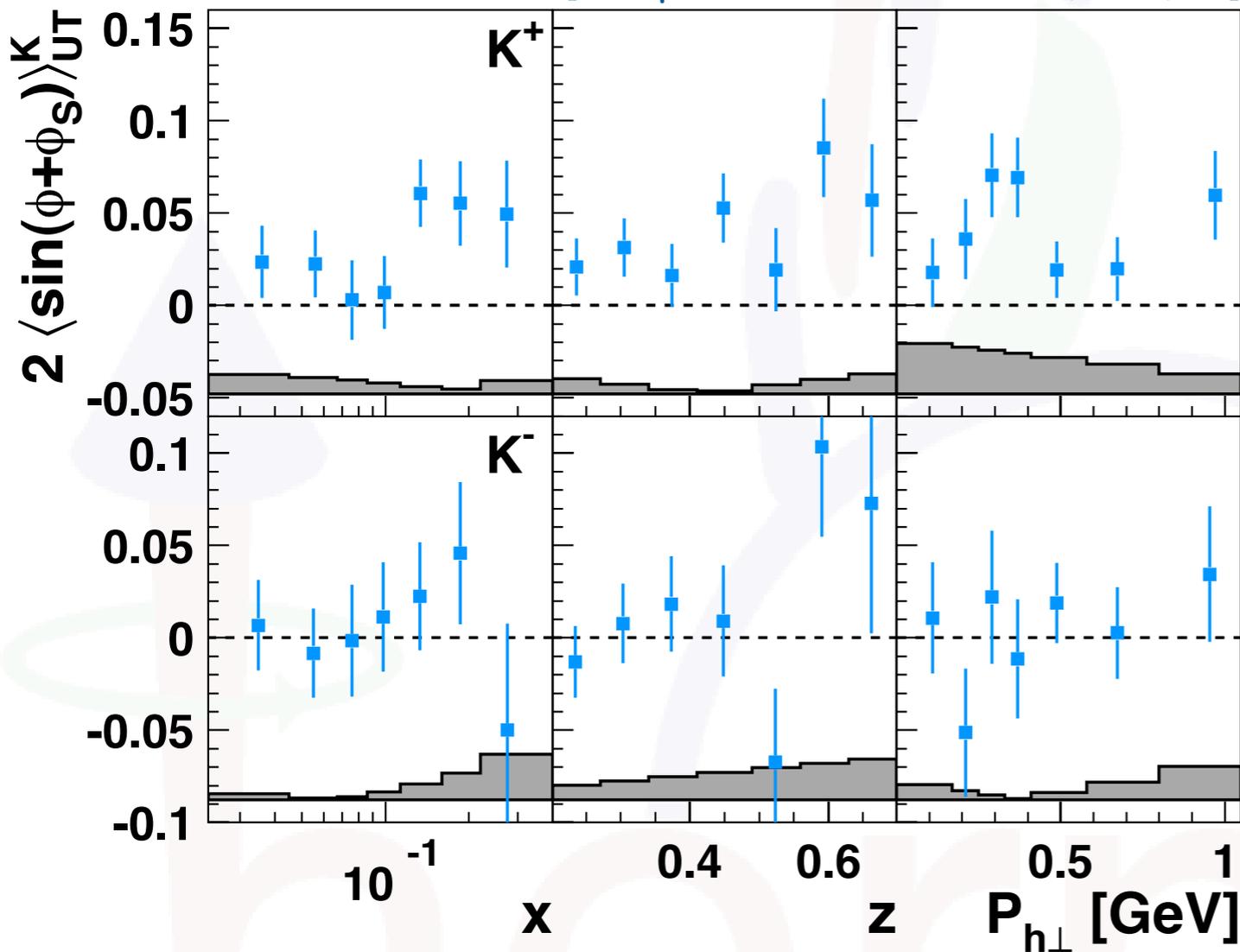


- positive Collins SSA amplitude for positive kaons

Collins effect for kaons and (anti) protons

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

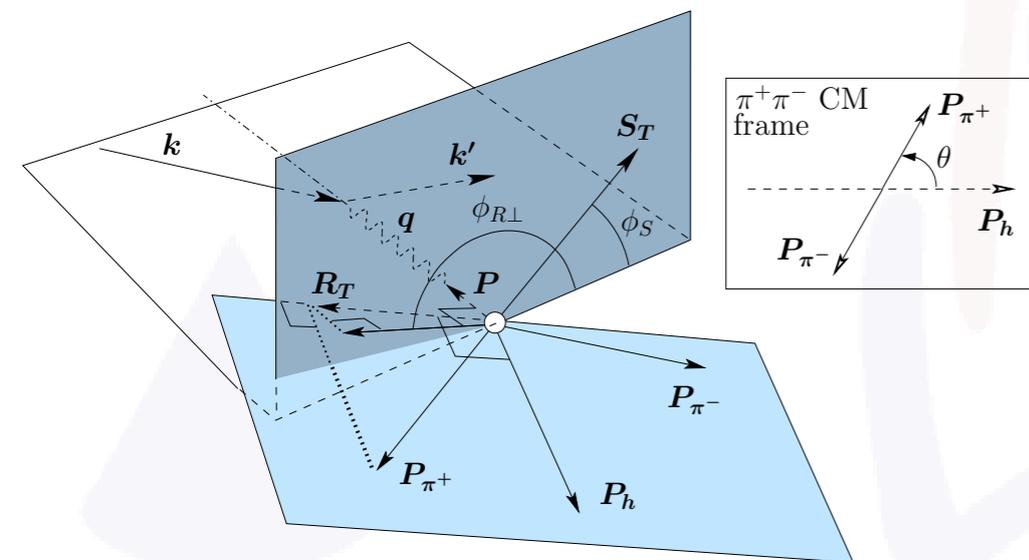
[Airapetian et al., PLB 693 (2010) 11]



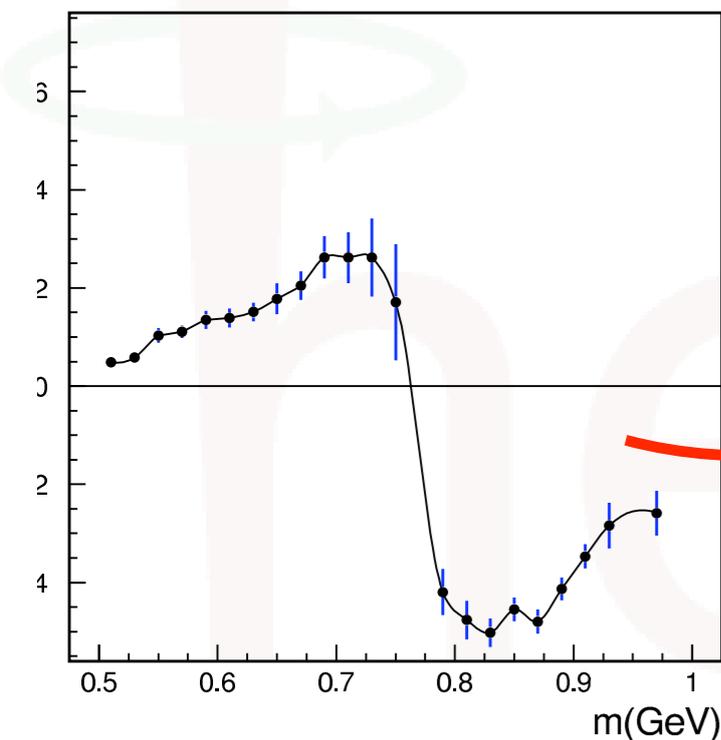
- positive Collins SSA amplitude for positive kaons
 - consistent with zero for negative kaons and (anti)protons
- ➔ vanishing sea-quark transversity and baryon Collins effect?

Transversity through 2-hadron fragmentation

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp



$$A_{UT} \sim \sin(\phi_{R\perp} + \phi_S) \sin\theta h_1 H_1^{\triangleleft}$$



Jaffe et al. [hep-ph/9709322]:

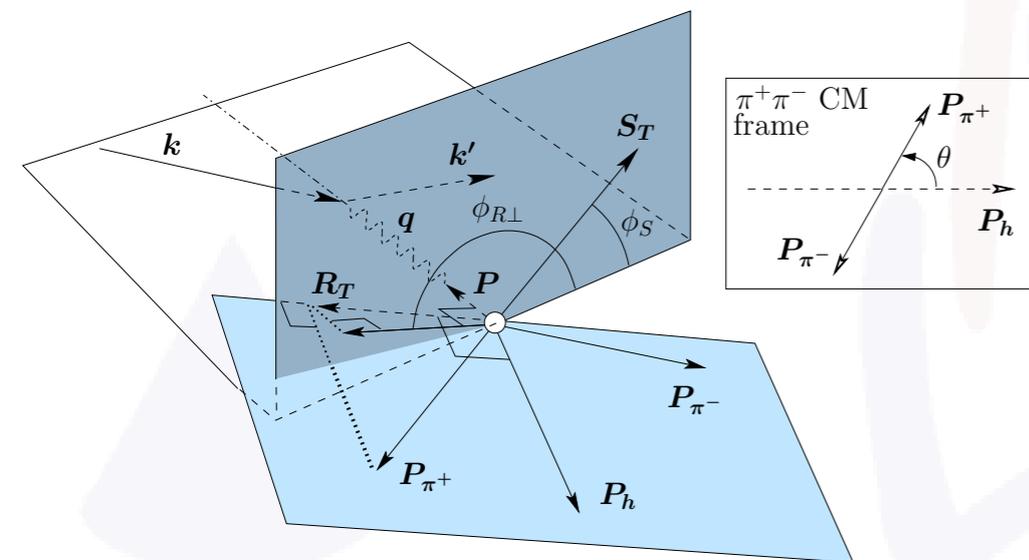
$$H_1^{\triangleleft, sp}(z, M_{\pi\pi}^2) = \frac{\sin\delta_0 \sin\delta_1 \sin(\delta_0 - \delta_1) H_1^{\triangleleft, sp'}(z)}{\delta_0 (\delta_1) \rightarrow \text{S(P)-wave phase shifts}}$$

$$= \mathcal{P}(M_{\pi\pi}^2) H_1^{\triangleleft, sp'}(z)$$

$\Rightarrow A_{UT}$ might depend strongly on $M_{\pi\pi}$

Transversity through 2-hadron fragmentation

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp



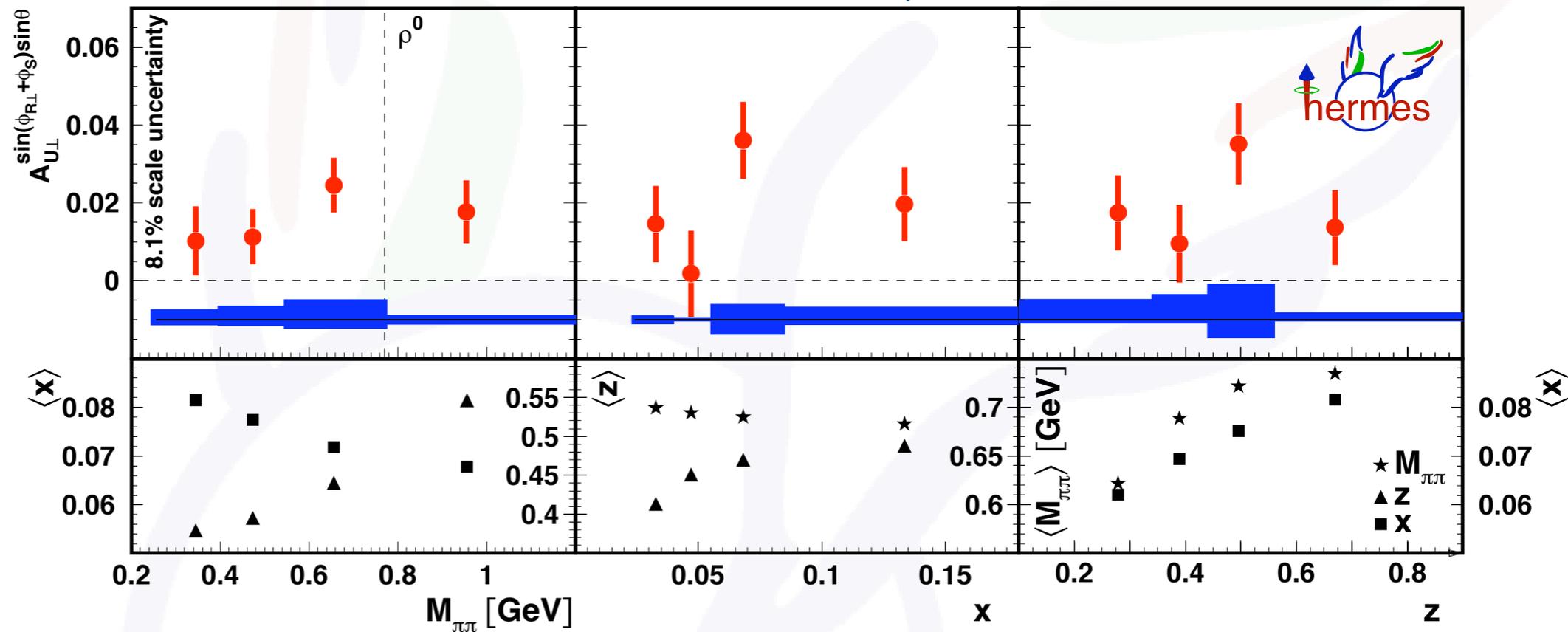
$$A_{UT} \sim \sin(\phi_{R\perp} + \phi_S) \sin\theta h_1 H_1^{\triangleleft}$$

- not only strong invariant-mass dependence, experimental challenges also because of
 - transverse-momentum dependence
 - theta dependence
- 9 vs. 6 (for single hadrons) dependences, too many to analyze simultaneously (at least with presently available data)

Transversity through 2-hadron fragmentation

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

[A. Airapetian et al., JHEP 06 (2008) 017]



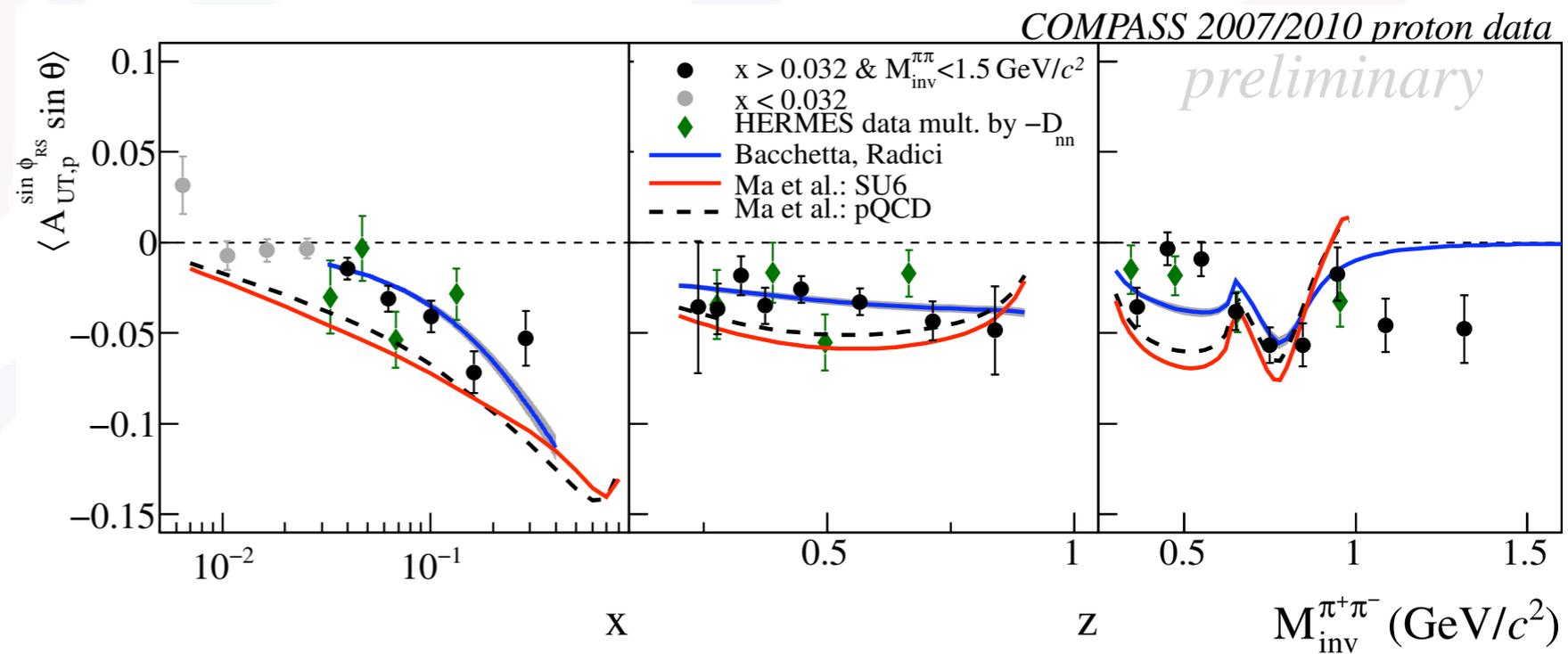
- systematics include
 - incomplete integration over transverse momentum (negligible)
 - contribution from higher partial waves in (unpolarized) denominator
 - integration over other variables, e.g., $A(\langle \text{kin.} \rangle) \neq \langle A(\text{kin.}) \rangle$

Transversity through 2-hadron fragmentation

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

- HERMES, COMPASS: for comparison scaled HERMES data by depolarization factor and changed sign
- ^2H results consistent with zero

[A. Airapetian et al., JHEP 06 (2008) 017]
 COMPASS 2007: [C. Adolph et al., Phys. Lett. B713 (2012) 10]
 COMPASS 2010: [C. Braun et al., Nuovo Cimento C 035 (2012) 02]

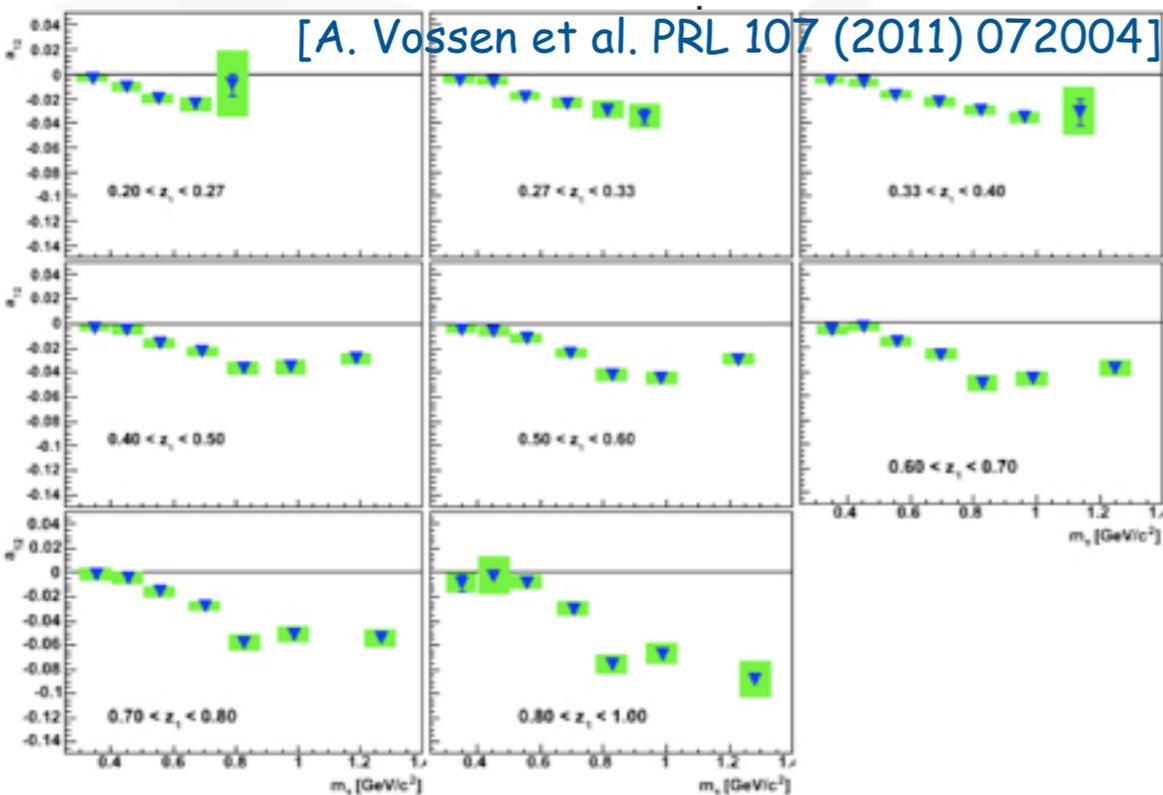
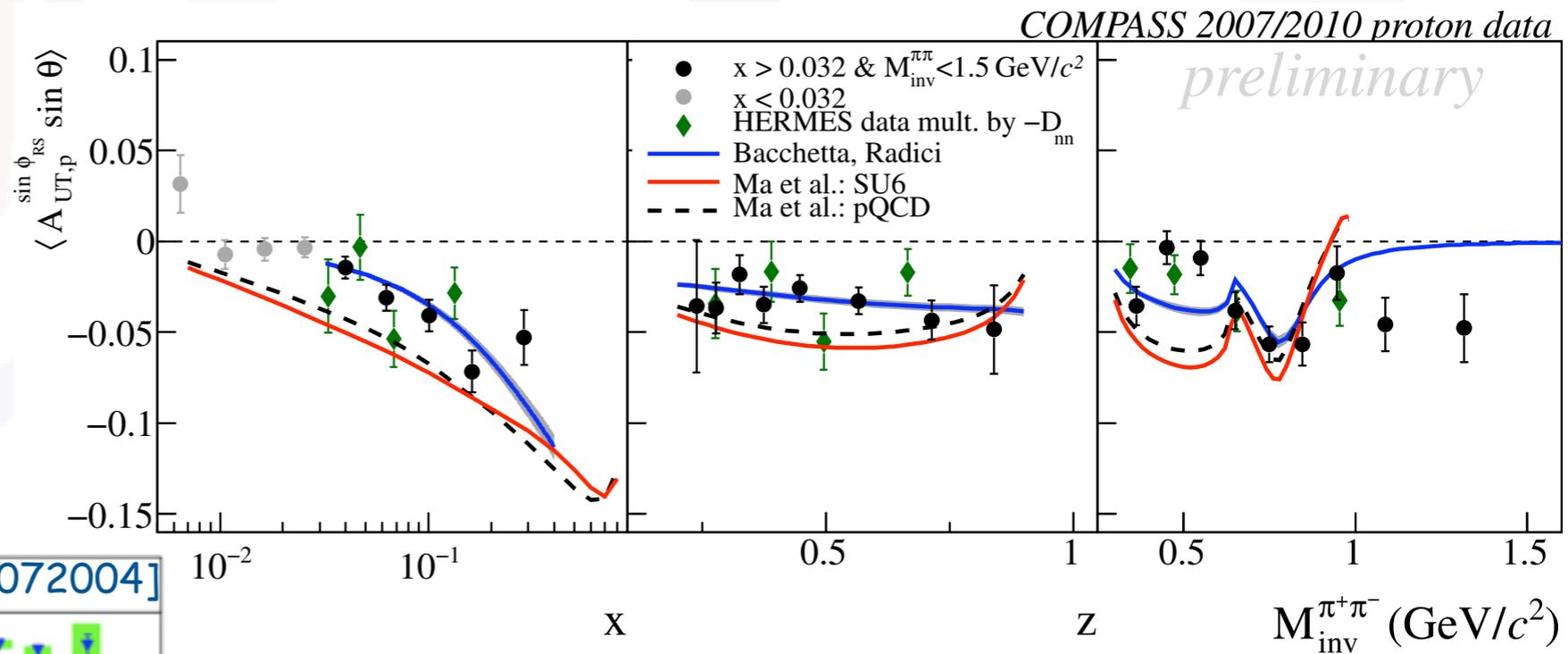


Transversity through 2-hadron fragmentation

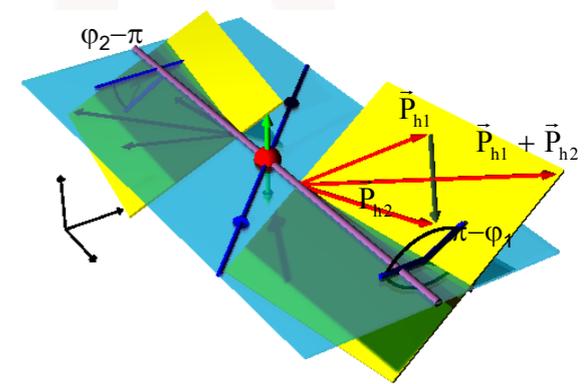
	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

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- data from e^+e^- by BELLE

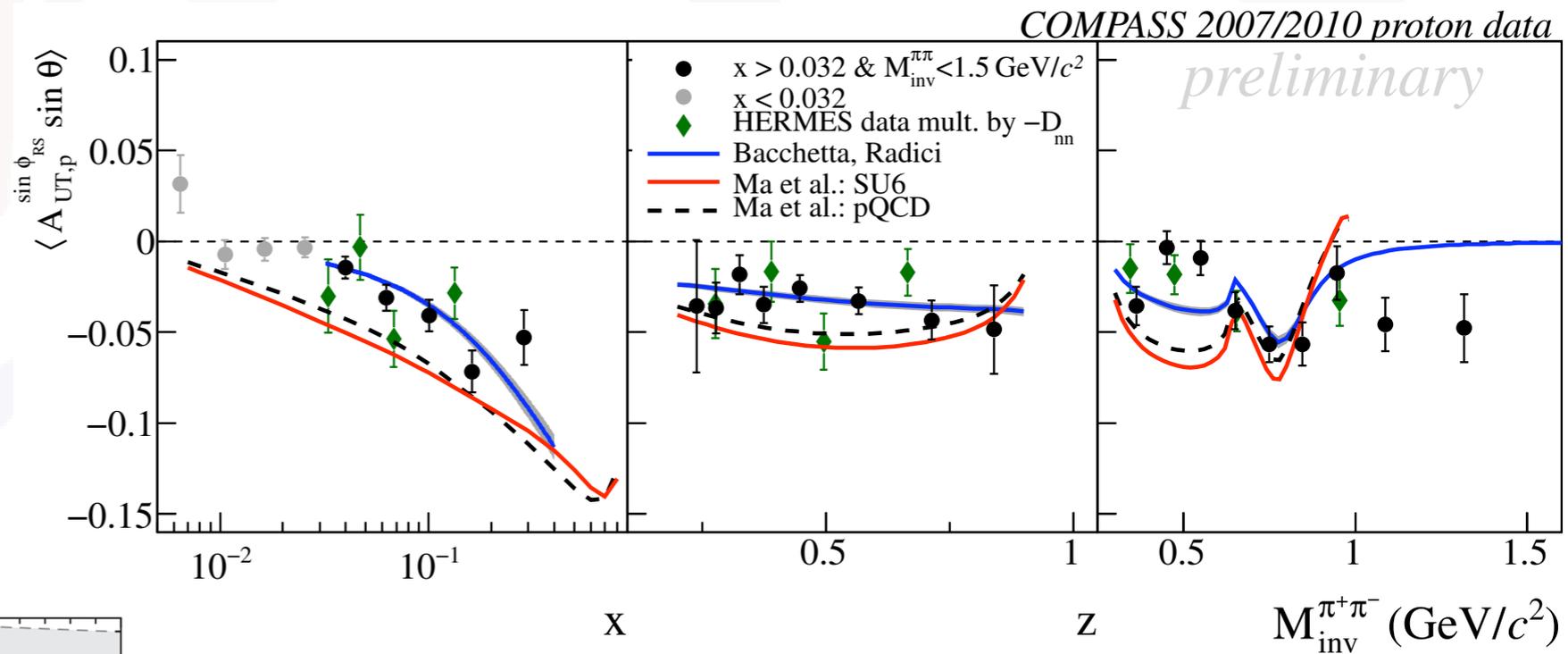


Transversity through 2-hadron fragmentation

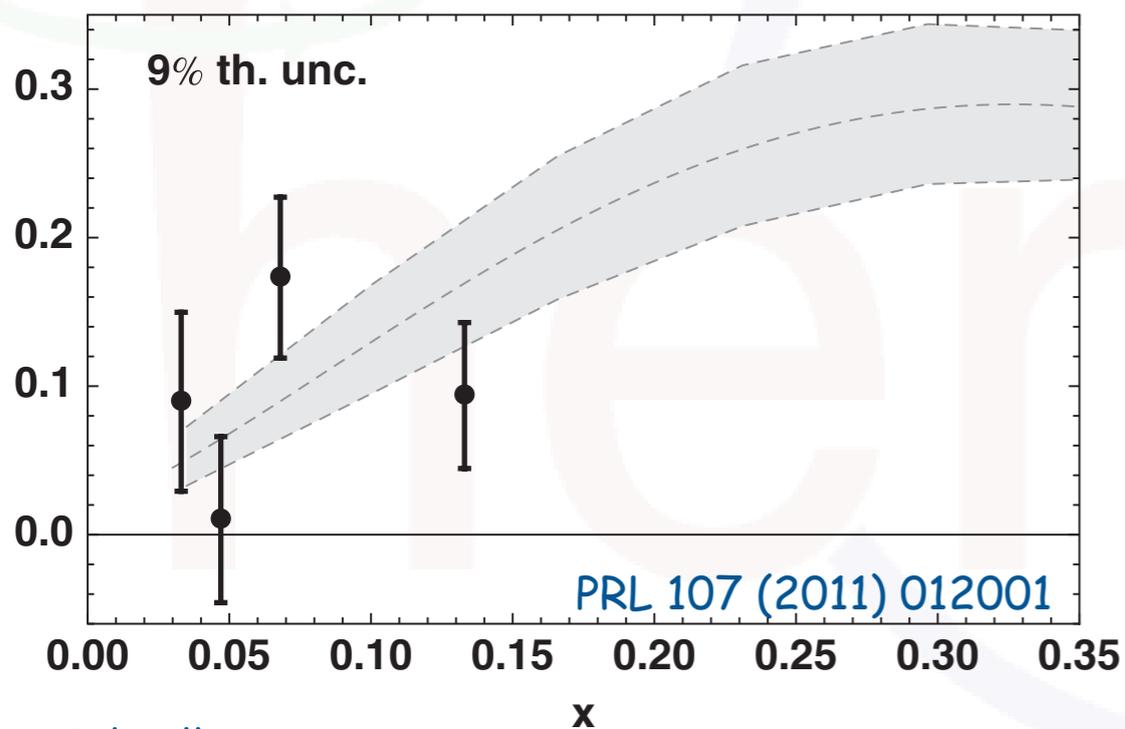
	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

- HERMES, COMPASS: for comparison scaled HERMES data by depolarization factor and changed sign
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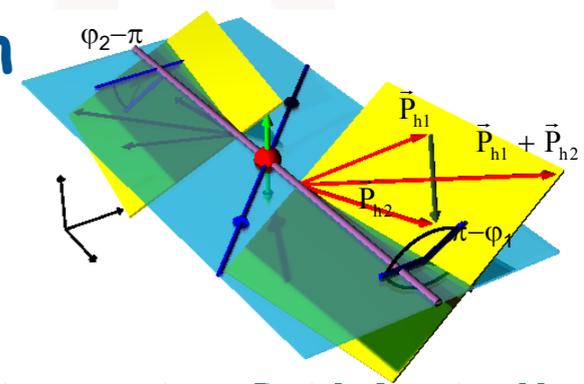
[A. Airapetian et al., JHEP 06 (2008) 017]
 COMPASS 2007: [C. Adolph et al., Phys. Lett. B713 (2012) 10]
 COMPASS 2010: [C. Braun et al., Nuovo Cimento C 035 (2012) 02]



$$x h_1^{u_v}(x) - x h_1^{d_v}(x)/4$$



- data from e^+e^- by BELLE allow first (collinear) extraction of transversity (compared to Anselmino et al.)

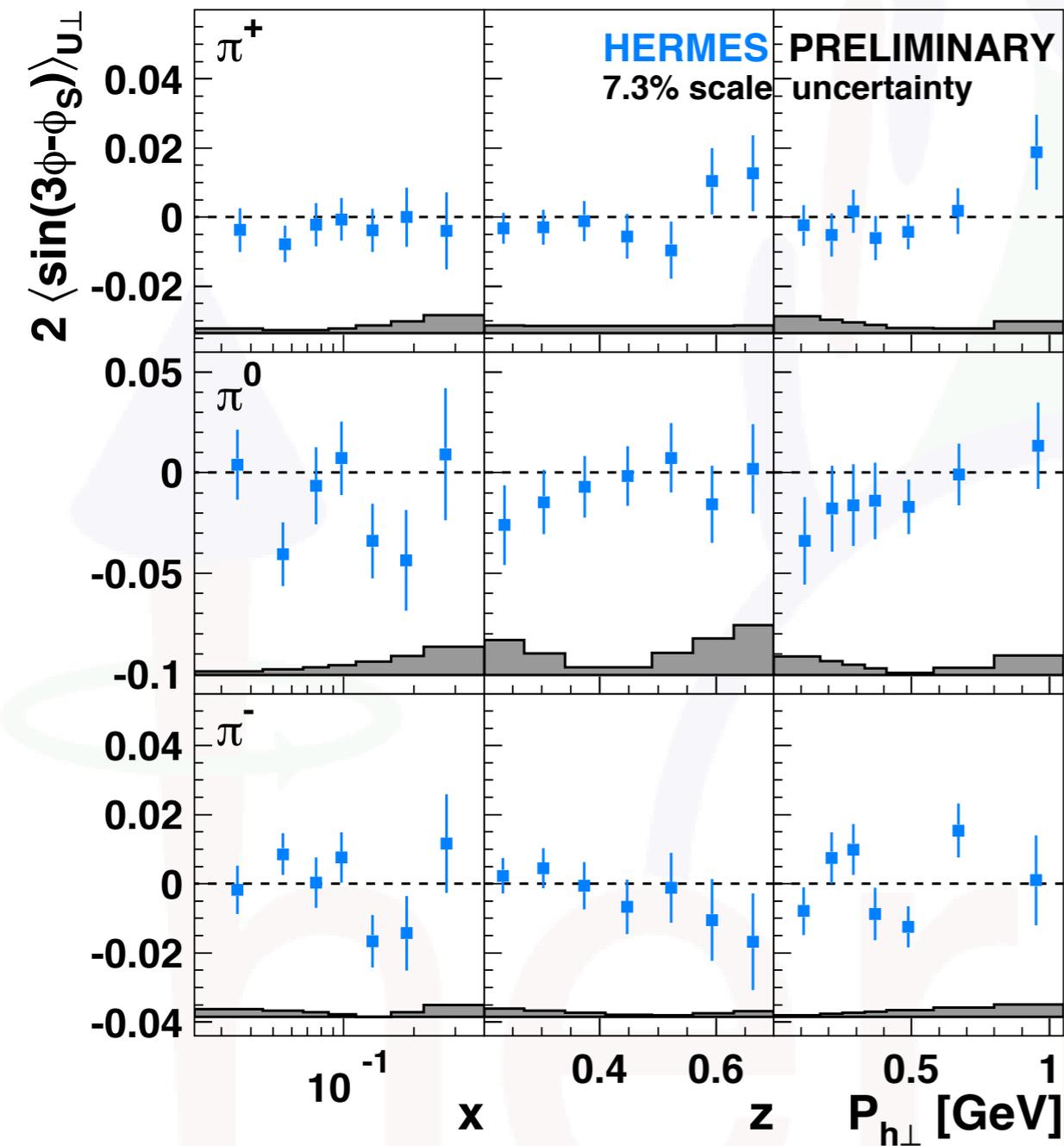


- updated analysis exists, not part of this talk

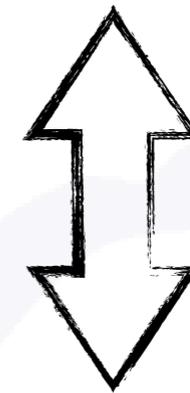
Transversity's friends

Pretzelosity?

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp



$$\mathcal{C} \left[-\frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} h_1^q H_1^{\perp, q \rightarrow h} \right]$$

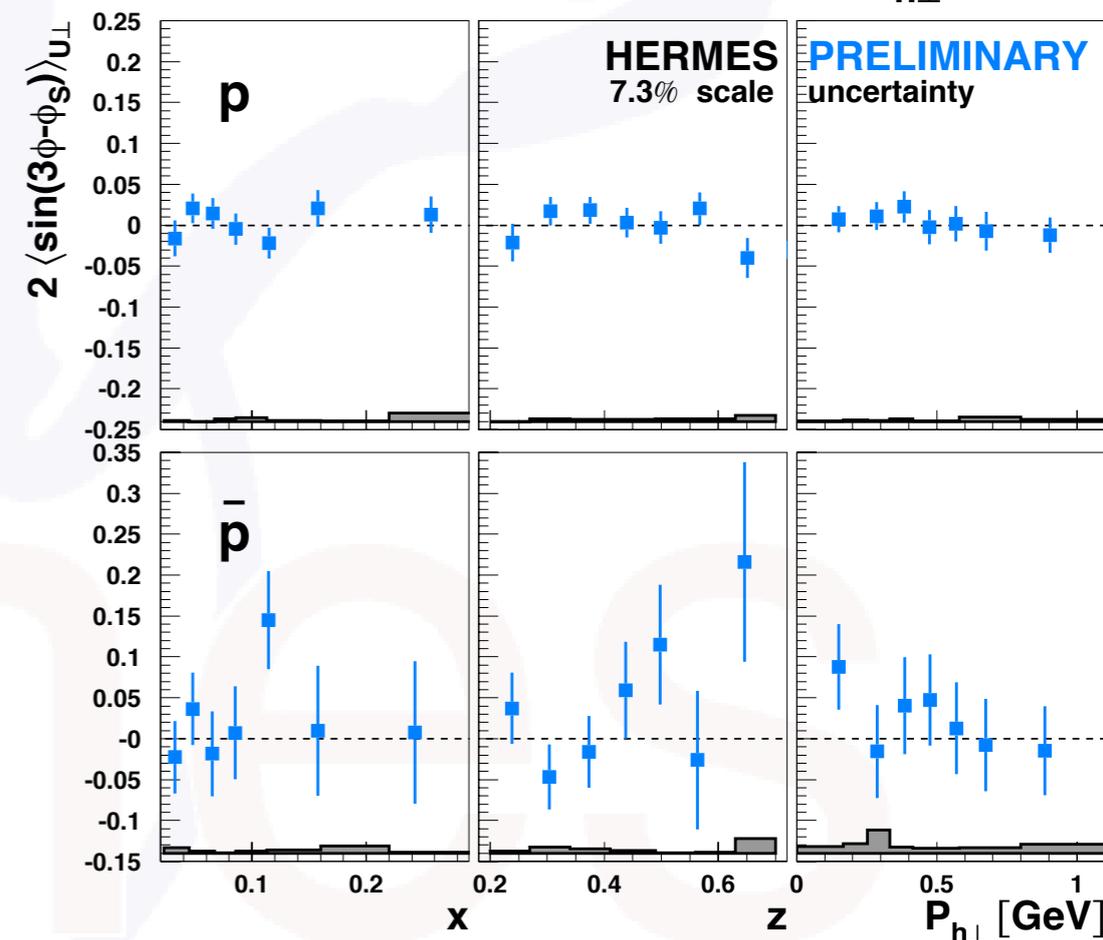
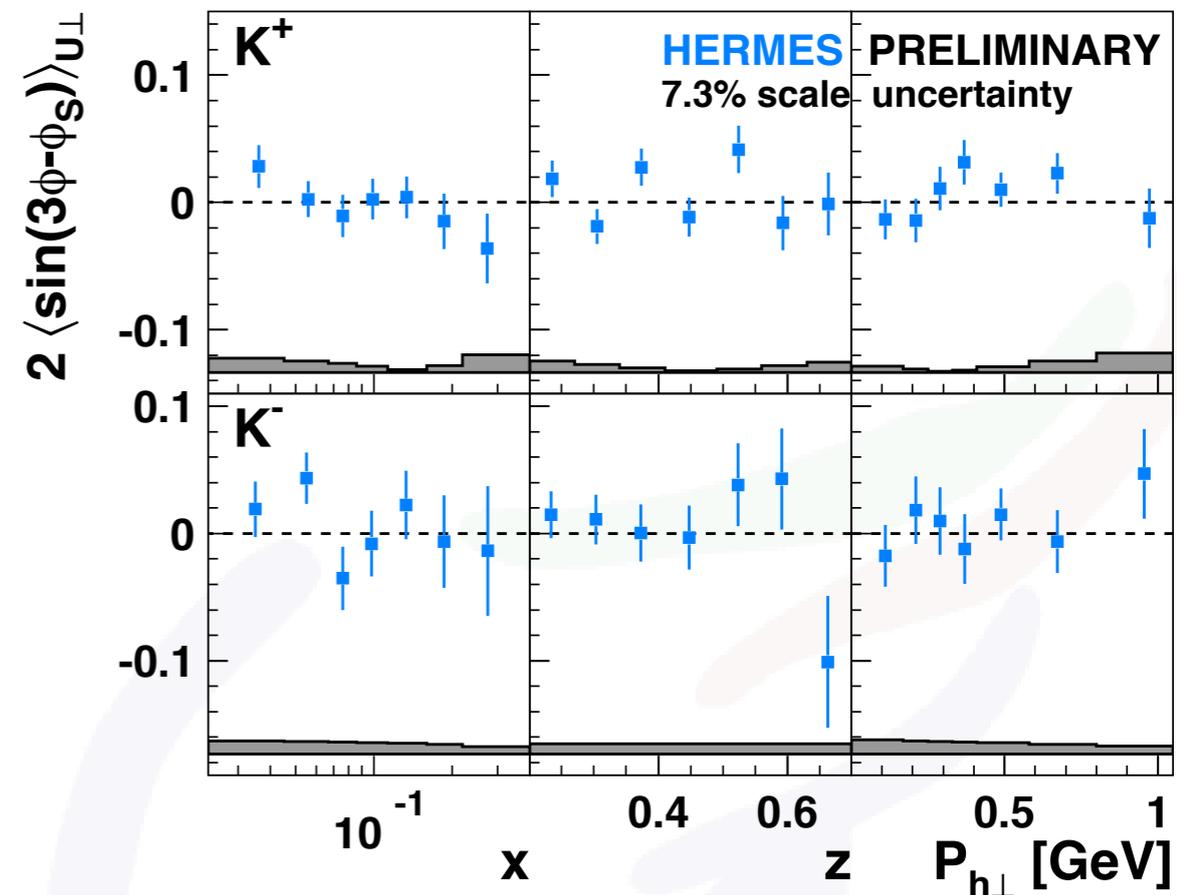
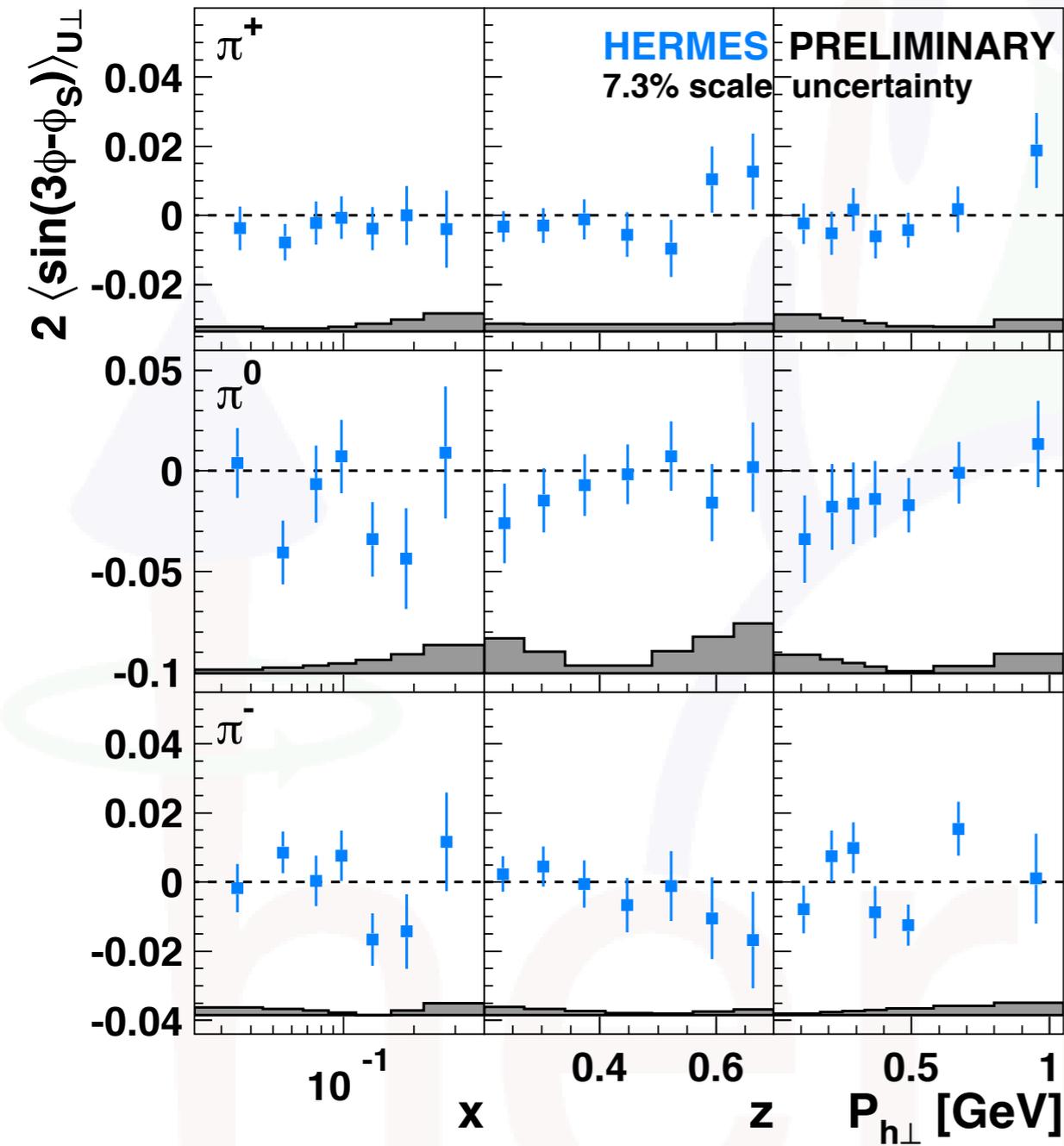


$$\mathcal{C} \left[\frac{2(\hat{\mathbf{h}} \cdot \mathbf{p}_T)(\mathbf{p}_T \cdot \mathbf{k}_T) + \mathbf{p}_T^2 (\hat{\mathbf{h}} \cdot \mathbf{k}_T) - 4(\hat{\mathbf{h}} \cdot \mathbf{p}_T)^2 (\hat{\mathbf{h}} \cdot \mathbf{k}_T)}{2M^2 M_h} h_{1T}^{\perp, q} H_1^{\perp, q \rightarrow h} \right]$$

- consistent with zero; but suppressed by two powers of $P_{h\perp}$ (compared to, e.g., transversity ⊗ Collins)

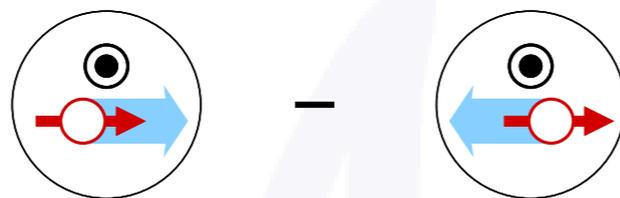
Pretzelosity?

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp



- consistent with zero; but suppressed by two powers of $P_{h\perp}$ (compared to, e.g., transversity \otimes Collins)

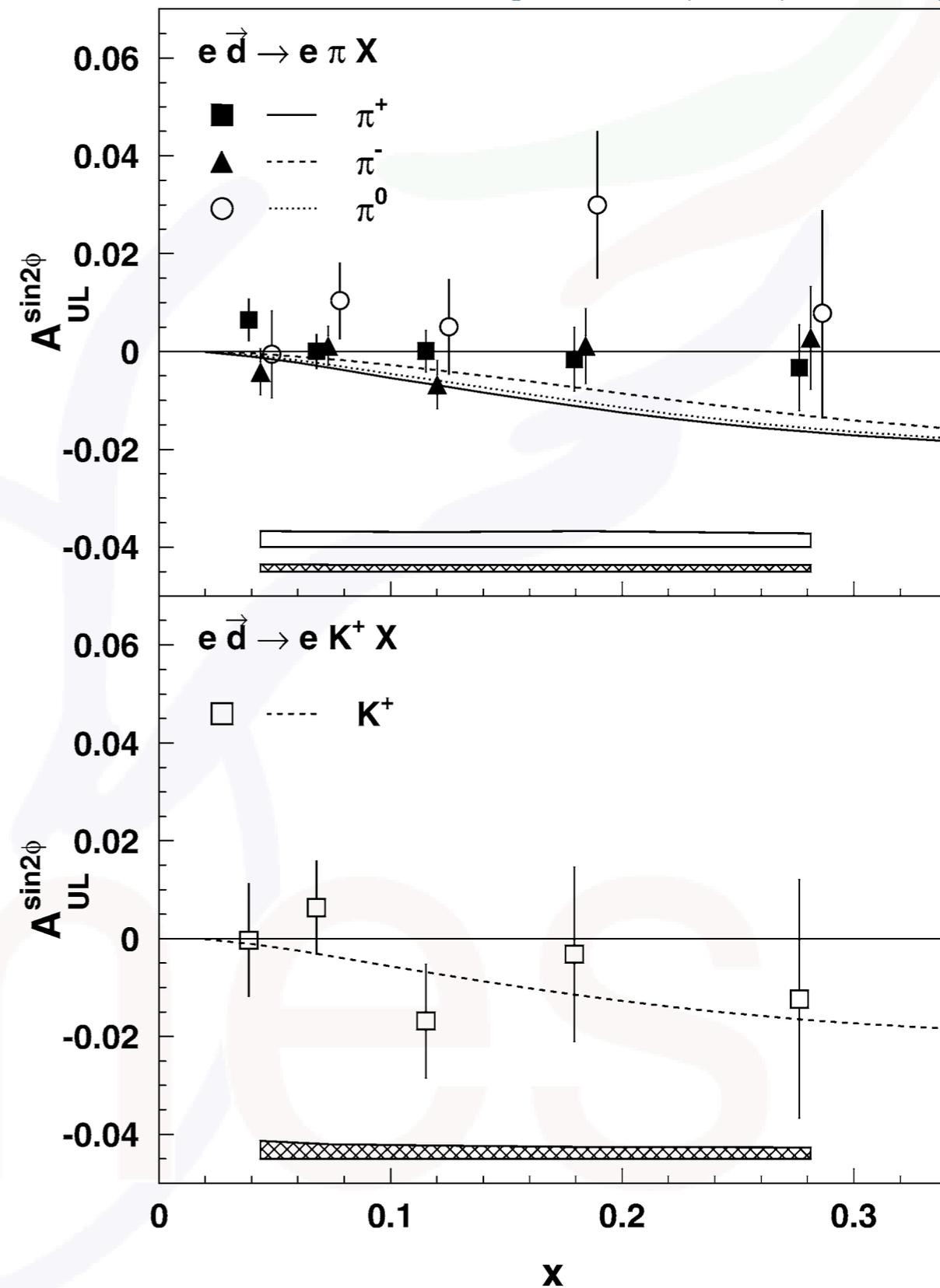
	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp



Worm-Gear I

[PLB 562 (2003) 182-192]

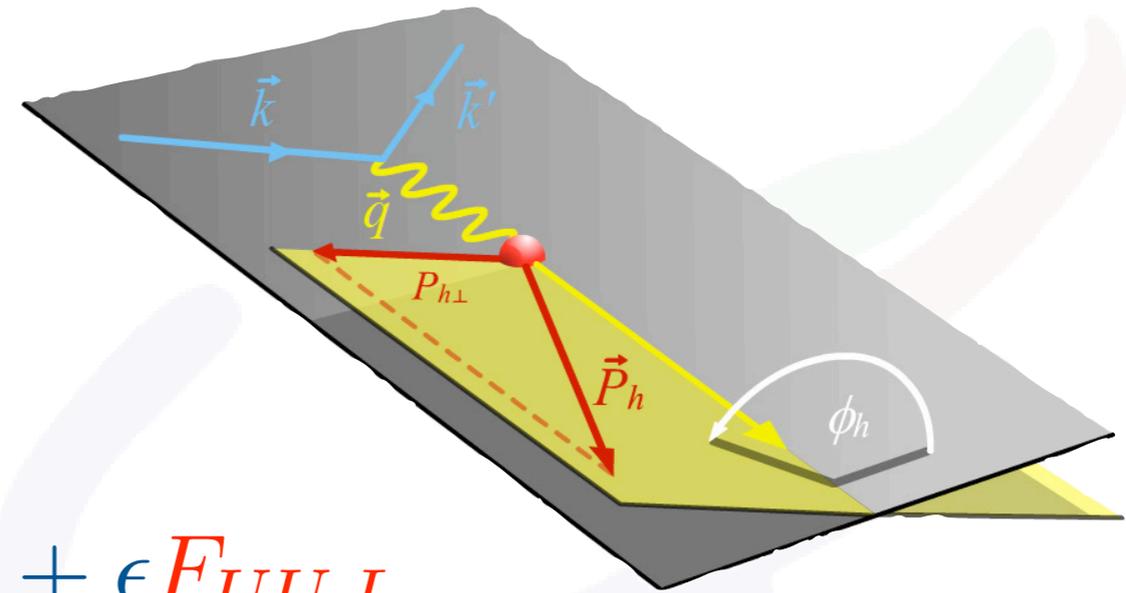
- again: chiral-odd
- consistent with zero both for proton and deuteron



	Meson	Deuterium target	Proton target [2,3]
$A_{UL}^{\sin 2\phi}$	π^+	$0.004 \pm 0.002 \pm 0.002$	$-0.002 \pm 0.005 \pm 0.003$
	π^0	$0.009 \pm 0.005 \pm 0.003$	$0.006 \pm 0.007 \pm 0.003$
	π^-	$0.001 \pm 0.003 \pm 0.002$	$-0.005 \pm 0.006 \pm 0.005$
	K^+	$-0.005 \pm 0.006 \pm 0.003$	—

[PLB 562 (2003) 182-192]

cross section without beam/target polarization



$$\frac{d^5 \sigma}{dx dy dz d\phi_h dP_{h\perp}^2} \propto \left(1 + \frac{\gamma^2}{2x}\right) \{F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1-\epsilon)} F_{UU}^{\cos \phi_h} \cos \phi_h + \epsilon F_{UU}^{\cos 2\phi_h} \cos 2\phi_h\}$$

$$F_{XY,Z} = F_{XY,Z}(x, y, z, P_{h\perp})$$

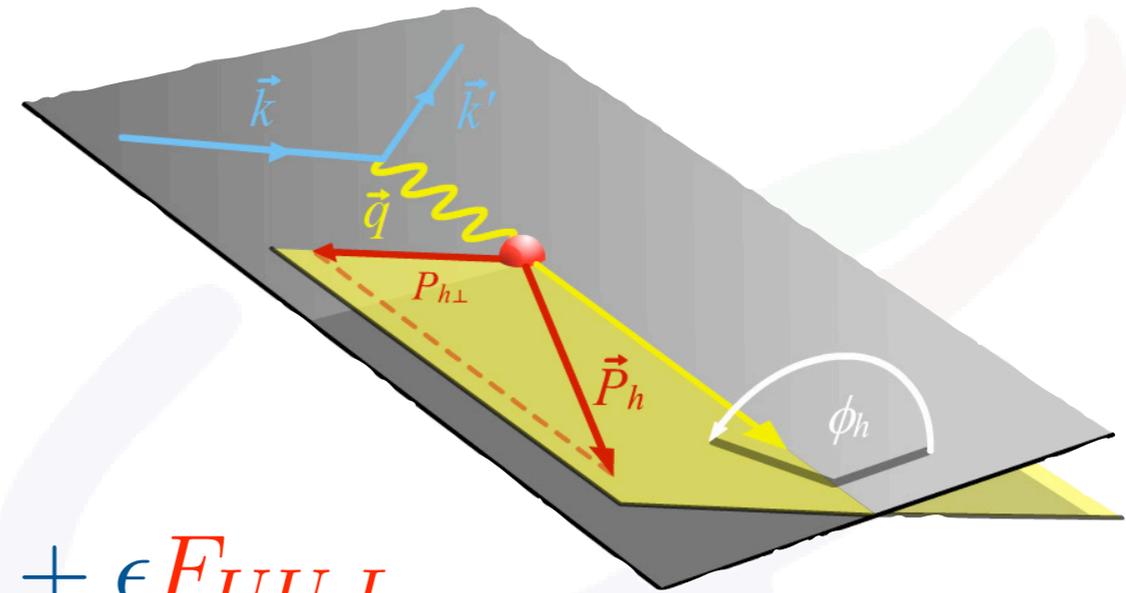
target polarization \downarrow
 \uparrow beam polarization \uparrow virtual-photon polarization

$$\gamma = \frac{2Mx}{Q}$$

$$\epsilon = \frac{1 - y - \frac{1}{4}\gamma^2 y^2}{1 - y + \frac{1}{2}y^2 + \frac{1}{4}\gamma^2 y^2}$$

[see, e.g., Bacchetta et al., JHEP 0702 (2007) 093]

cross section without beam/target polarization



$$\frac{d^5\sigma}{dx dy dz d\phi_h dP_{h\perp}^2} \propto \left(1 + \frac{\gamma^2}{2x}\right) \{F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1-\epsilon)} F_{UU}^{\cos\phi_h} \cos\phi_h + \epsilon F_{UU}^{\cos 2\phi_h} \cos 2\phi_h\}$$

leading twist
 $F_{UU}^{\cos 2\phi_h} \propto C \left[\frac{2(\hat{P}_{h\perp} \cdot \vec{k}_T)(\hat{P}_{h\perp} \cdot \vec{p}_T) - \vec{k}_T \cdot \vec{p}_T}{MM_h} h_1^\perp H_1^\perp \right]$

next to leading twist
 $F_{UU}^{\cos\phi_h} \propto \frac{2M}{Q} C \left[\frac{\hat{P}_{h\perp} \cdot \vec{p}_T}{M_h} x h_1^\perp H_1^\perp - \frac{\hat{P}_{h\perp} \cdot \vec{k}_T}{M} x f_1 D_1 + \dots \right]$

BOER-MULDERS EFFECT (points to the blue box in the leading twist equation)

CAHN EFFECT (points to the red box in the next to leading twist equation)

Interaction dependent terms neglected (points to the ellipsis in the next to leading twist equation)

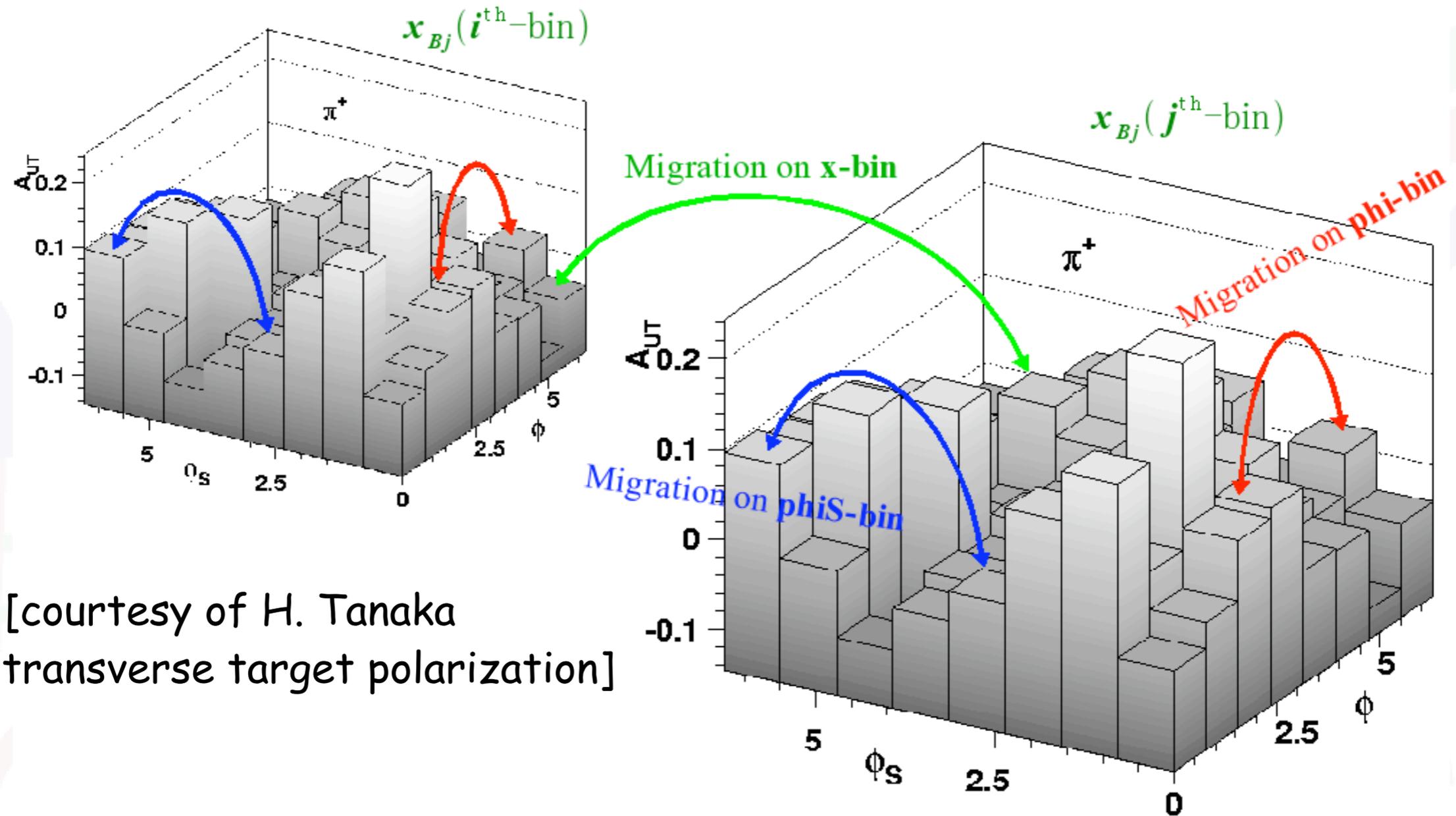
$$\gamma = \frac{2Mx}{Q}$$

$$\epsilon = \frac{1 - y - \frac{1}{4}\gamma^2 y^2}{1 - y + \frac{1}{2}y^2 + \frac{1}{4}\gamma^2 y^2}$$

[see, e.g., Bacchetta et al., JHEP 0702 (2007) 093]

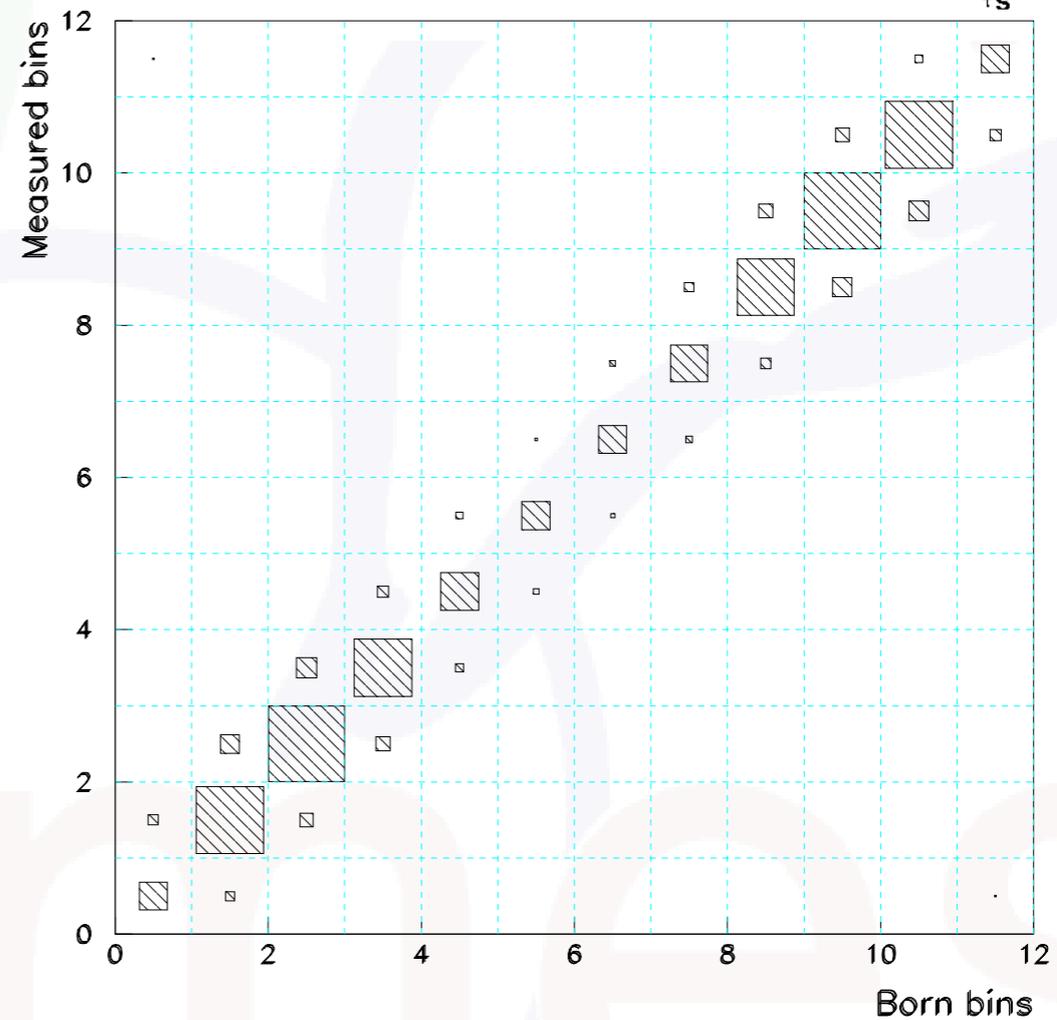
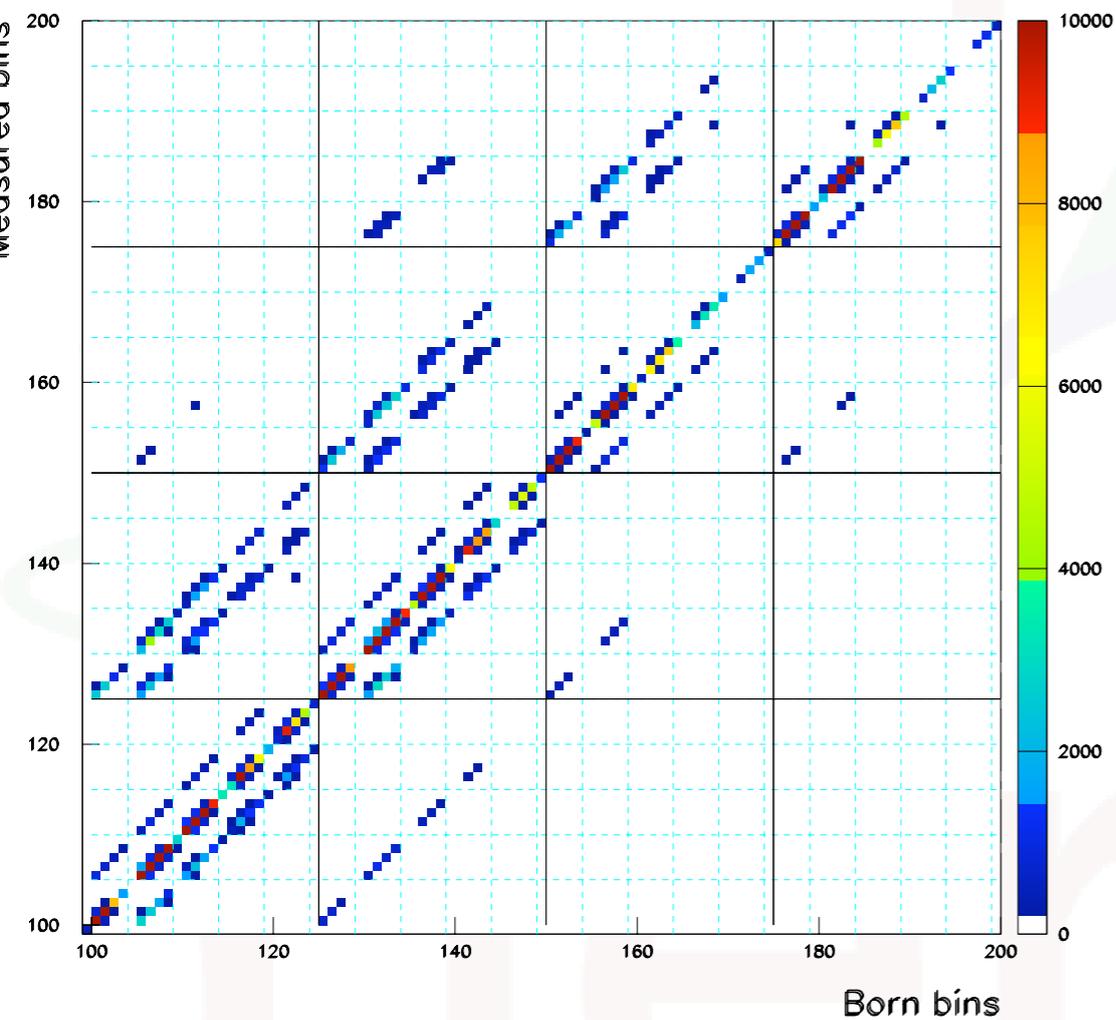
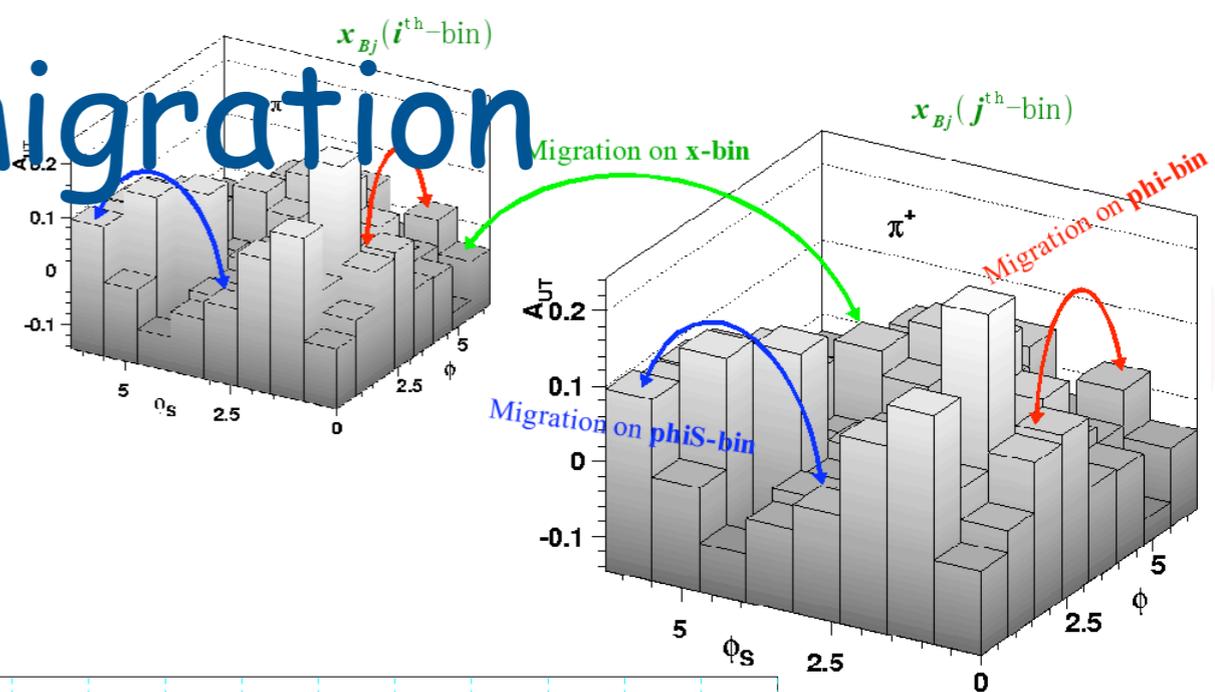
(Implicit sum over quark flavours)

extraction I - event migration



[courtesy of H. Tanaka
case of transverse target polarization]

extraction I - event migration



- migration correlates yields in different bins
- can't be corrected properly in bin-by-bin approach

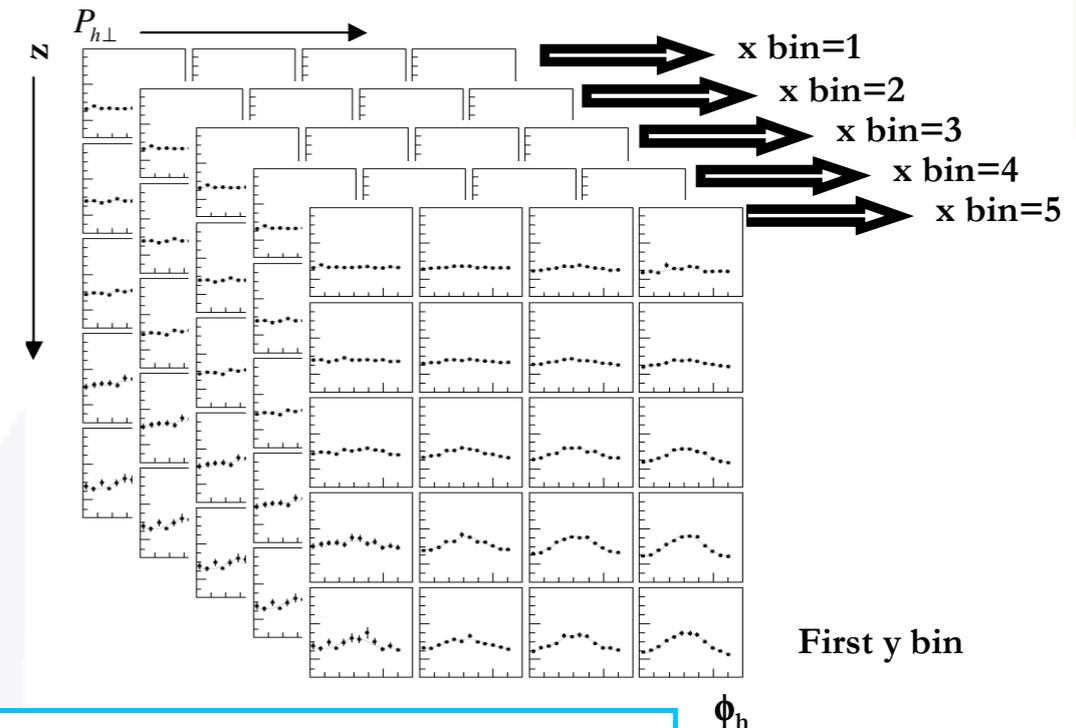
extraction I - event migration

$$\mathcal{Y}^{\text{exp}}(\Omega_i) \propto \sum_{j=1}^N S_{ij} \int_j d\Omega d\sigma(\Omega) + \mathcal{B}(\Omega_i)$$

- experimental yield in i^{th} bin depends on all Born bins j ...
- ... and on BG entering kinematic range from outside region
- smearing matrix S_{ij} embeds information on migration
- determined from Monte Carlo - independent of physics model in limit of infinitesimally small bins and/or flat acceptance/cross-section in every bin
- in real life: dependence on BG and physics model due to finite bin sizes
- inversion of relation gives Born cross section from measured yields

extraction II - unfolding

- fully differential analysis in $(x, y, z, P_{h\perp}, \phi)$
- multi-dimensional unfolding: correction for finite acceptance, QED radiation, kinematic smearing, detector resolution



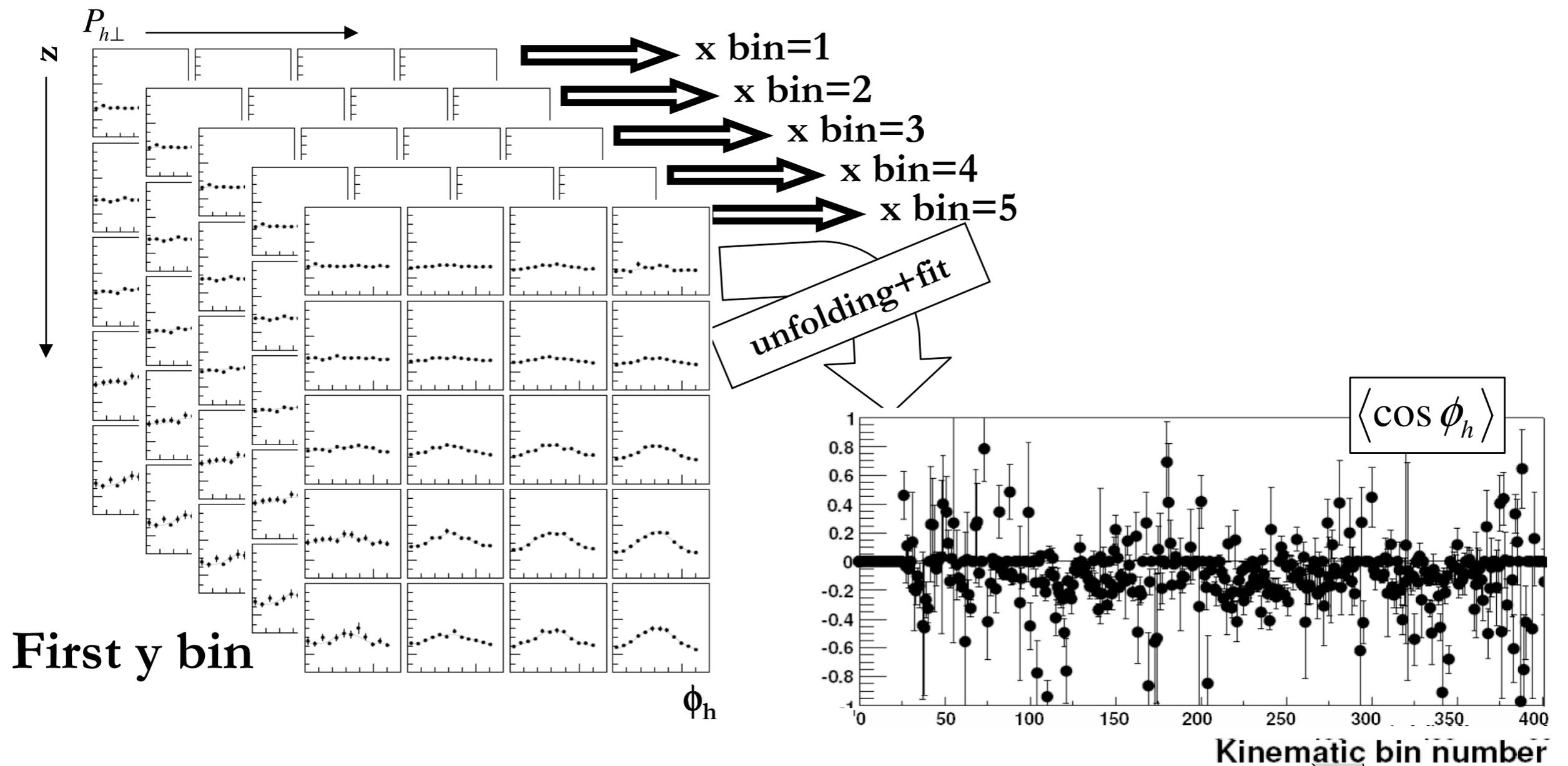
probability that an event generated with a certain kinematics is measured with a different kinematics

$$n_{EXP} = S n_{BORN} + n_{Bg}$$

$$n_{BORN} = S^{-1} [n_{EXP} - n_{Bg}]$$

includes the events smeared into the acceptance

extraction III - projecting



First y bin

$$\langle \cos \phi \rangle(x_b) \approx \frac{\int_{0.3}^{0.85} dy \int_{0.2}^{0.75} dz \int_{0.05}^{0.75} dP_{h\perp}^2 \sigma^{4\pi}(\omega_{x_i=x_b}) \langle \cos \phi \rangle_{x_i=x_b}}{\int_{0.3}^{0.85} dy \int_{0.2}^{0.75} dz \int_{0.05}^{0.75} dP_{h\perp}^2 \sigma^{4\pi}(\omega_{x_i=x_b})}$$

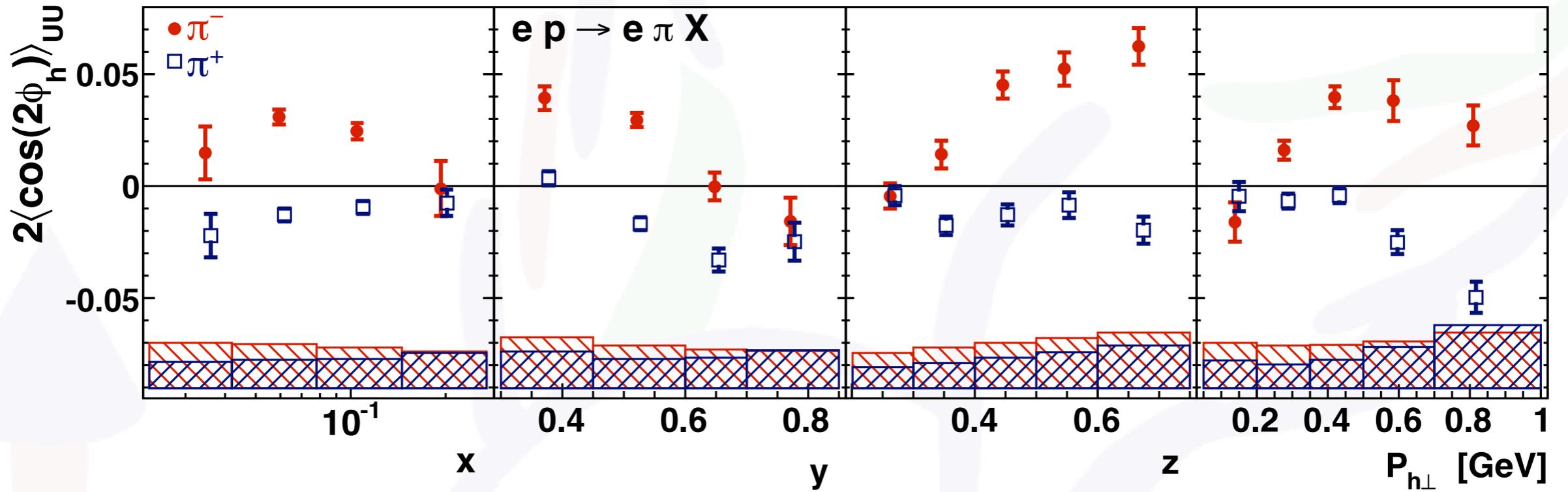
projection

signs of Boer-Mulders



	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

[Airapetian et al., PRD 87 (2013) 012010]



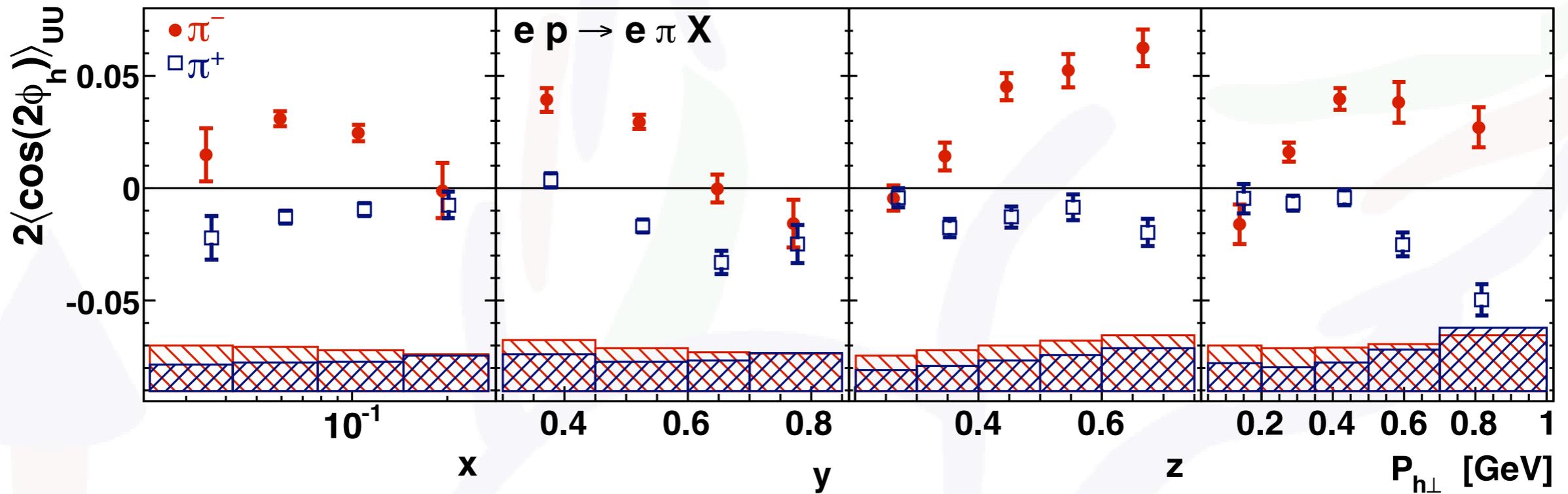
● $\cos 2\phi$ modulations are not zero!

signs of Boer-Mulders



	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

[Airapetian et al., PRD 87 (2013) 012010]



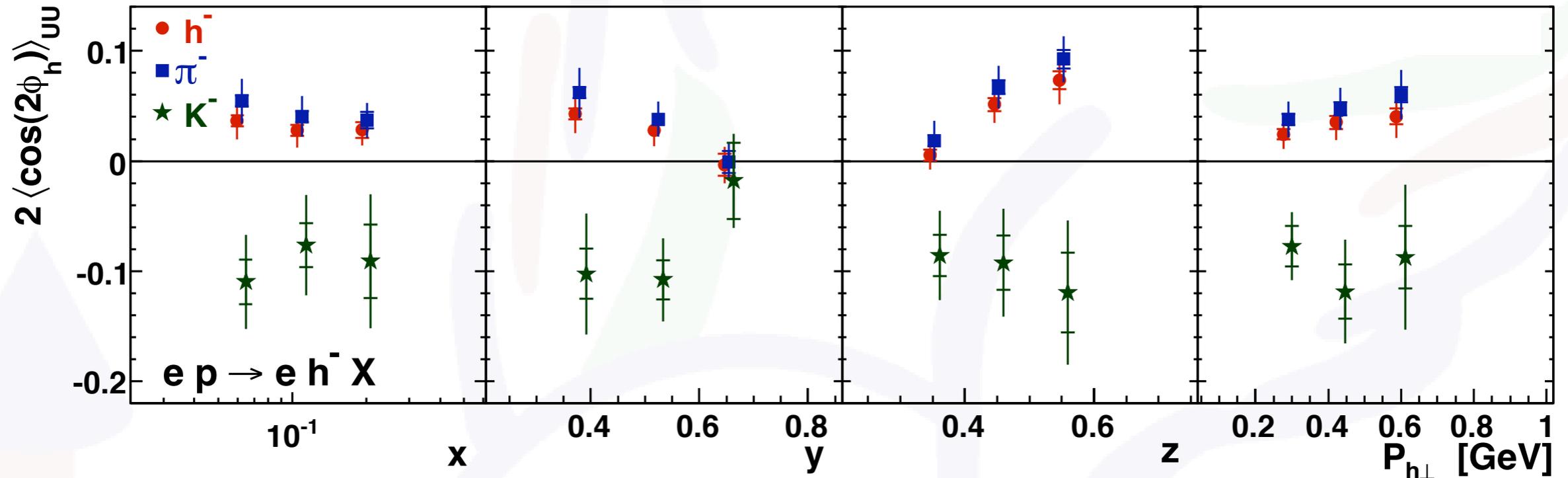
- $\cos 2\phi$ modulations are not zero!
- opposite sign for charged pions with larger magnitude for π^-

signs of Boer-Mulders



	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

[Airapetian et al., PRD 87 (2013) 012010]



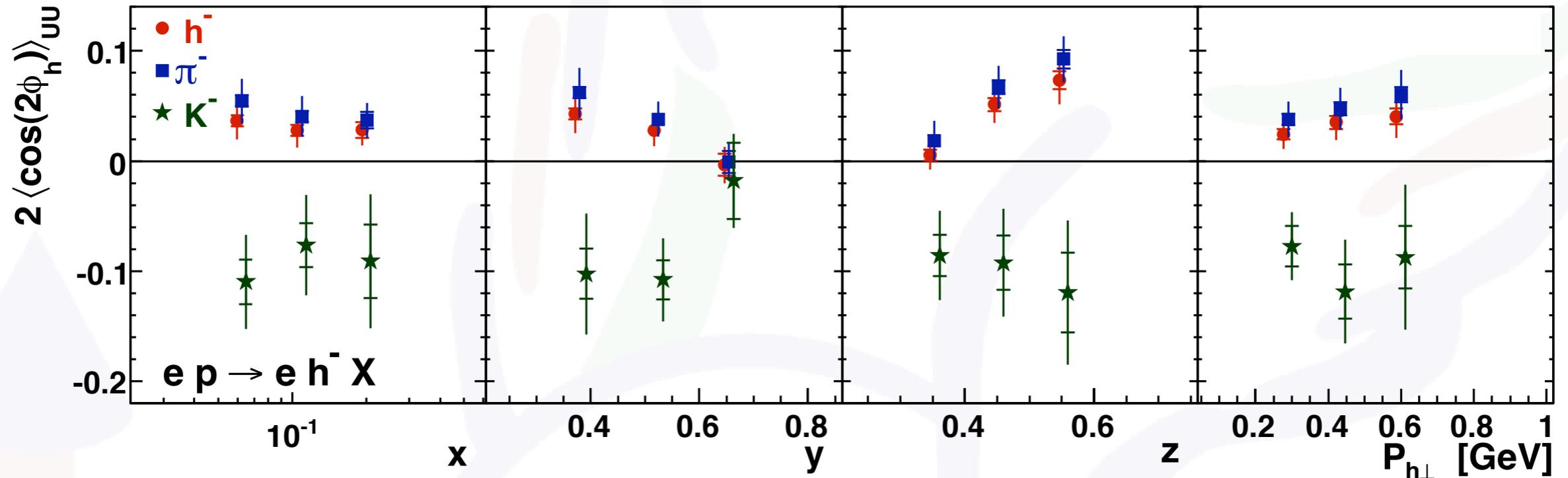
- $\cos 2\phi$ modulations are not zero!
- opposite sign for charged pions with larger magnitude for π^-
- intriguing behavior for kaons

signs of Boer-Mulders



	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

[Airapetian et al., PRD 87 (2013) 012010]



- $\cos 2\phi$ modulations are not zero!
- opposite sign for charged pions with larger magnitude for π^-
- intriguing behavior for kaons
- available in multidimensional binning, e.g., before projecting:
<http://www-hermes.desy.de/cosnphi/>

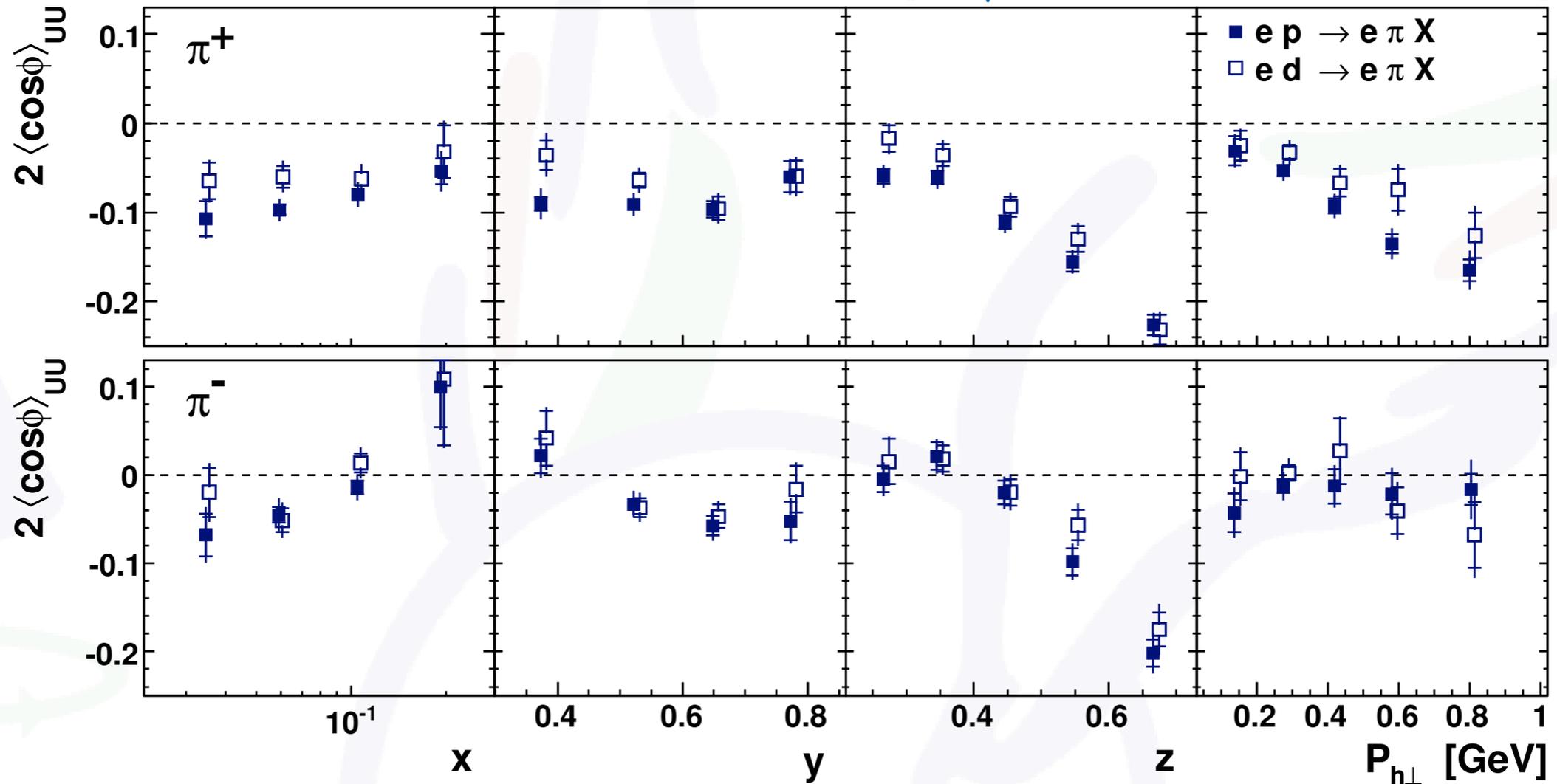
Cahn effect?

next to leading twist

$$F_{UU}^{\cos\phi_h} \propto \frac{2M}{Q} C \left[\underbrace{-\frac{\hat{P}_{h\perp} \cdot \vec{p}_T}{M_h} x h_1^\perp H_1^\perp}_{\text{BOER-MULDERS EFFECT}} - \underbrace{\frac{\hat{P}_{h\perp} \cdot \vec{k}_T}{M} x f_1 D_1}_{\text{CAHN EFFECT}} + \dots \right]$$

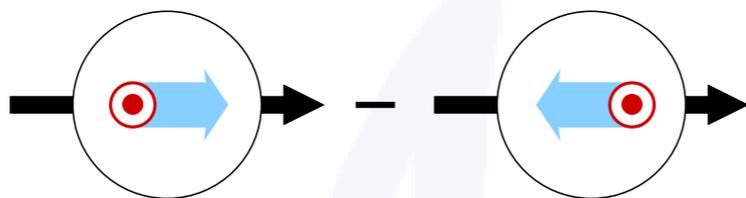
Interaction dependent terms neglected

[Airapetian et al., PRD 87 (2013) 012010]



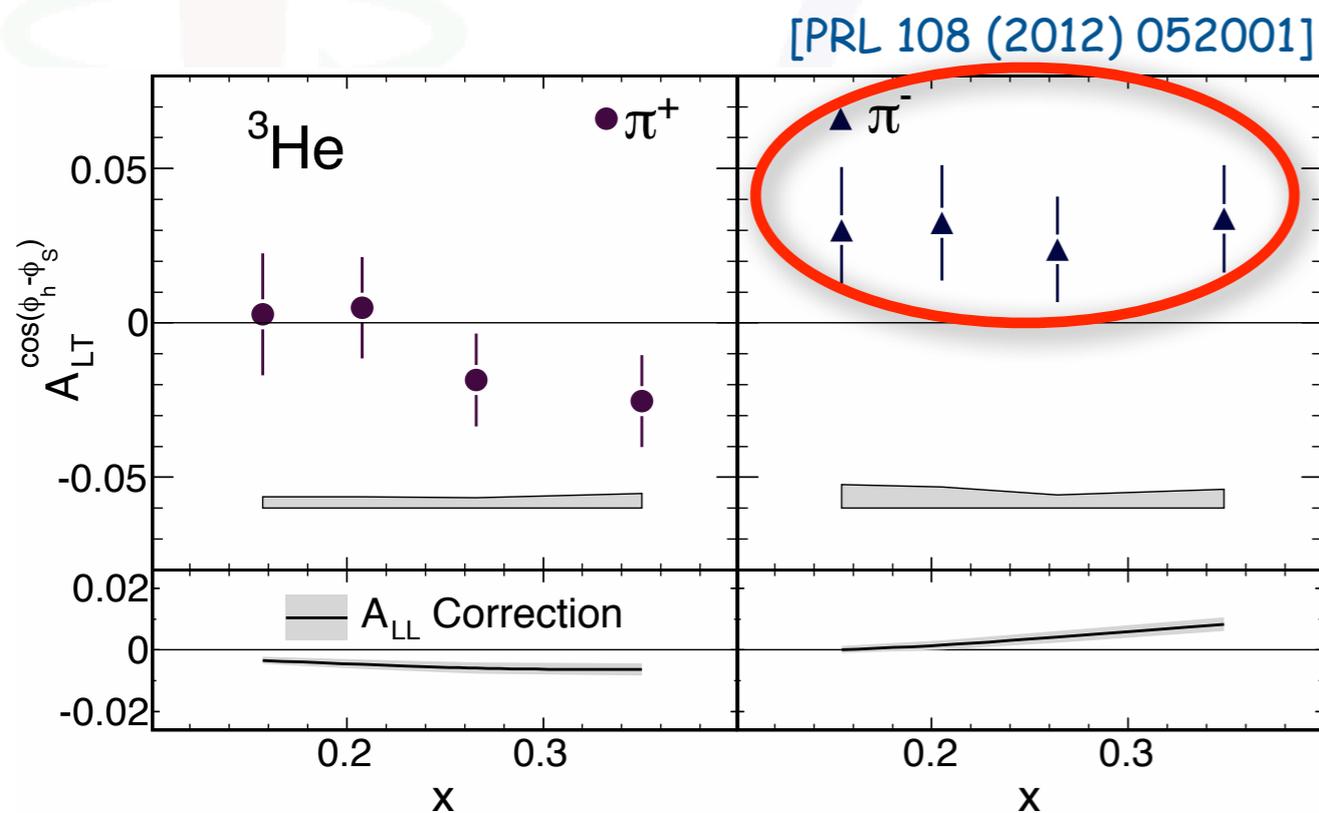
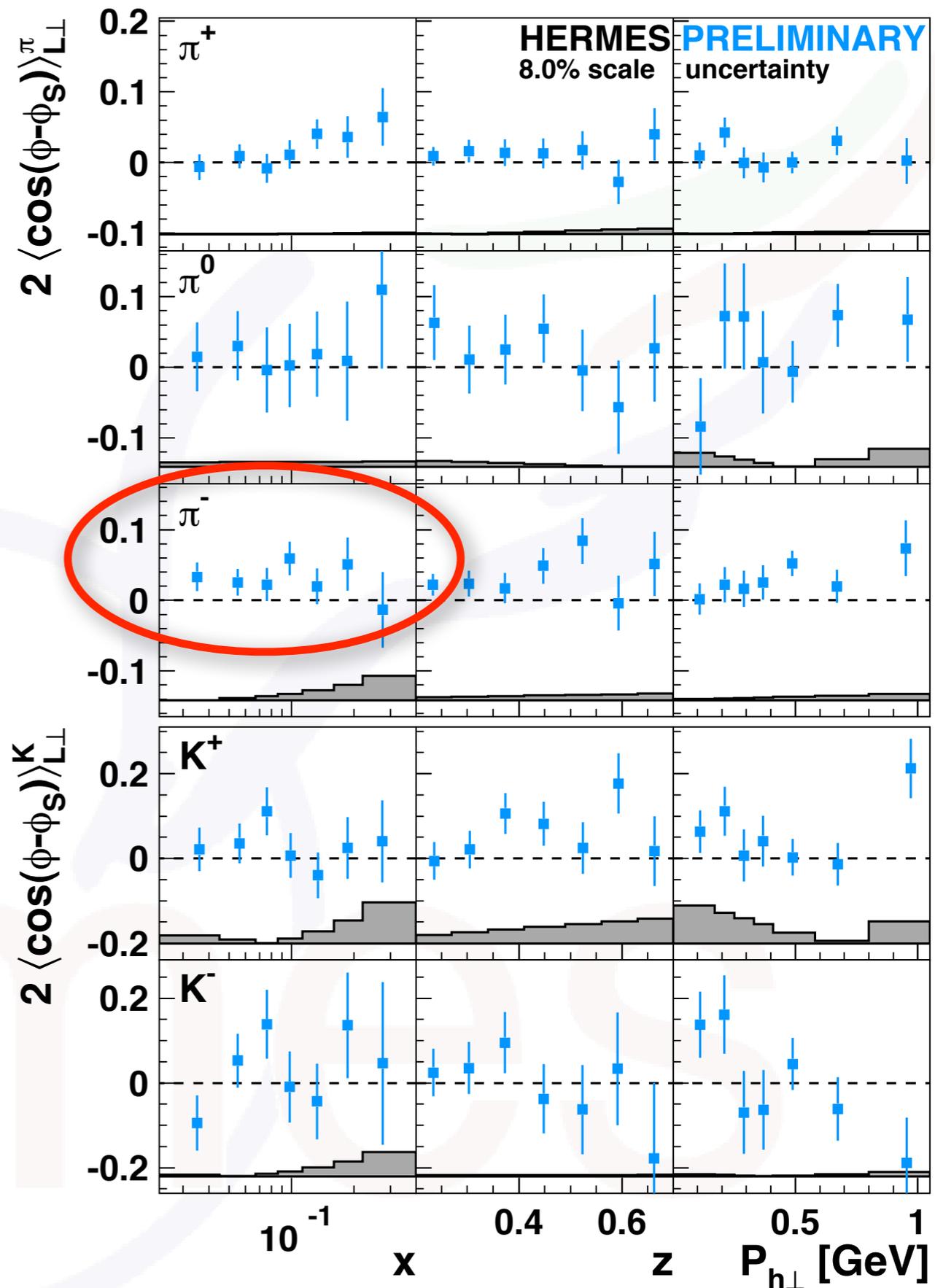
- no dependence on hadron charge was expected for Cahn effect
- ➔ flavor dependence of transverse momentum
- ➔ sign of Boer-Mulders in $\cos\phi$ modulation
- ➔ additional "genuine" twist-3 contributions?

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

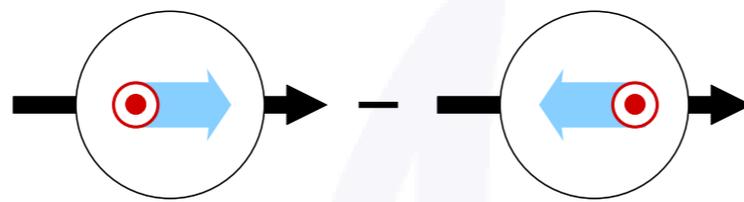


Worm-Gear

- chiral even
- first direct evidence for worm-gear g_{1T} on
 - ^3He target at JLab
 - H target at HERMES

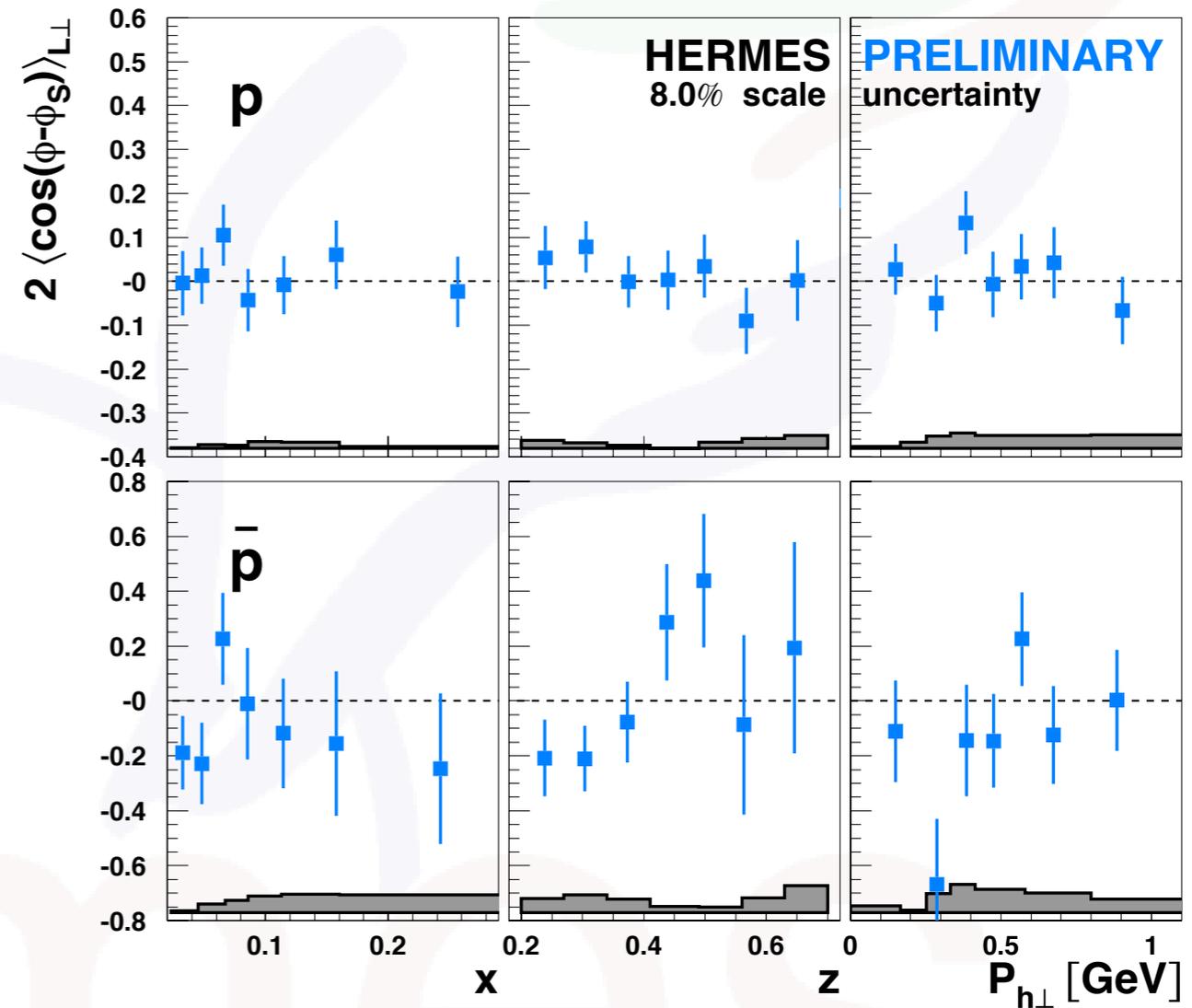


Worm-Gear



	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

- chiral even
- first direct evidence for worm-gear g_{1T} on
 - ^3He target at JLab
 - H target at HERMES
- results for protons and anti-protons consistent with zero



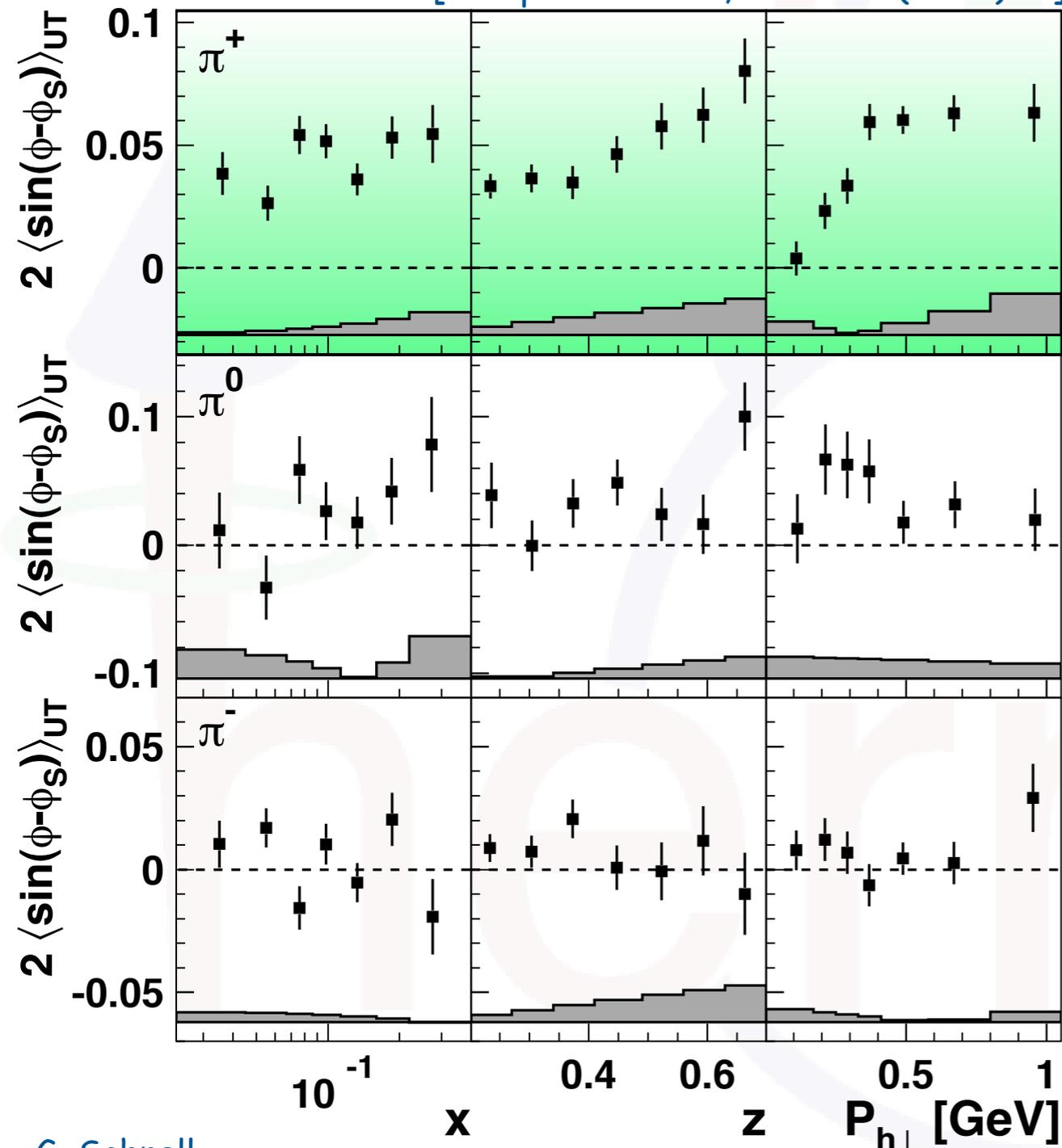
Sivers amplitudes for pions

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

$$2\langle \sin(\phi - \phi_S) \rangle_{UT} =$$

$$= - \frac{\sum_q e_q^2 f_{1T}^{\perp,q}(x, p_T^2) \otimes_{\mathcal{W}} D_1^q(z, k_T^2)}{\sum_q e_q^2 f_1^q(x, p_T^2) \otimes D_1^q(z, k_T^2)}$$

[Airapetian et al., PLB 693 (2010) 11]



π^+ dominated by u-quark scattering:

$$\simeq - \frac{f_{1T}^{\perp,u}(x, p_T^2) \otimes_{\mathcal{W}} D_1^{u \rightarrow \pi^+}(z, k_T^2)}{f_1^u(x, p_T^2) \otimes D_1^{u \rightarrow \pi^+}(z, k_T^2)}$$

➡ u-quark Sivers DF < 0

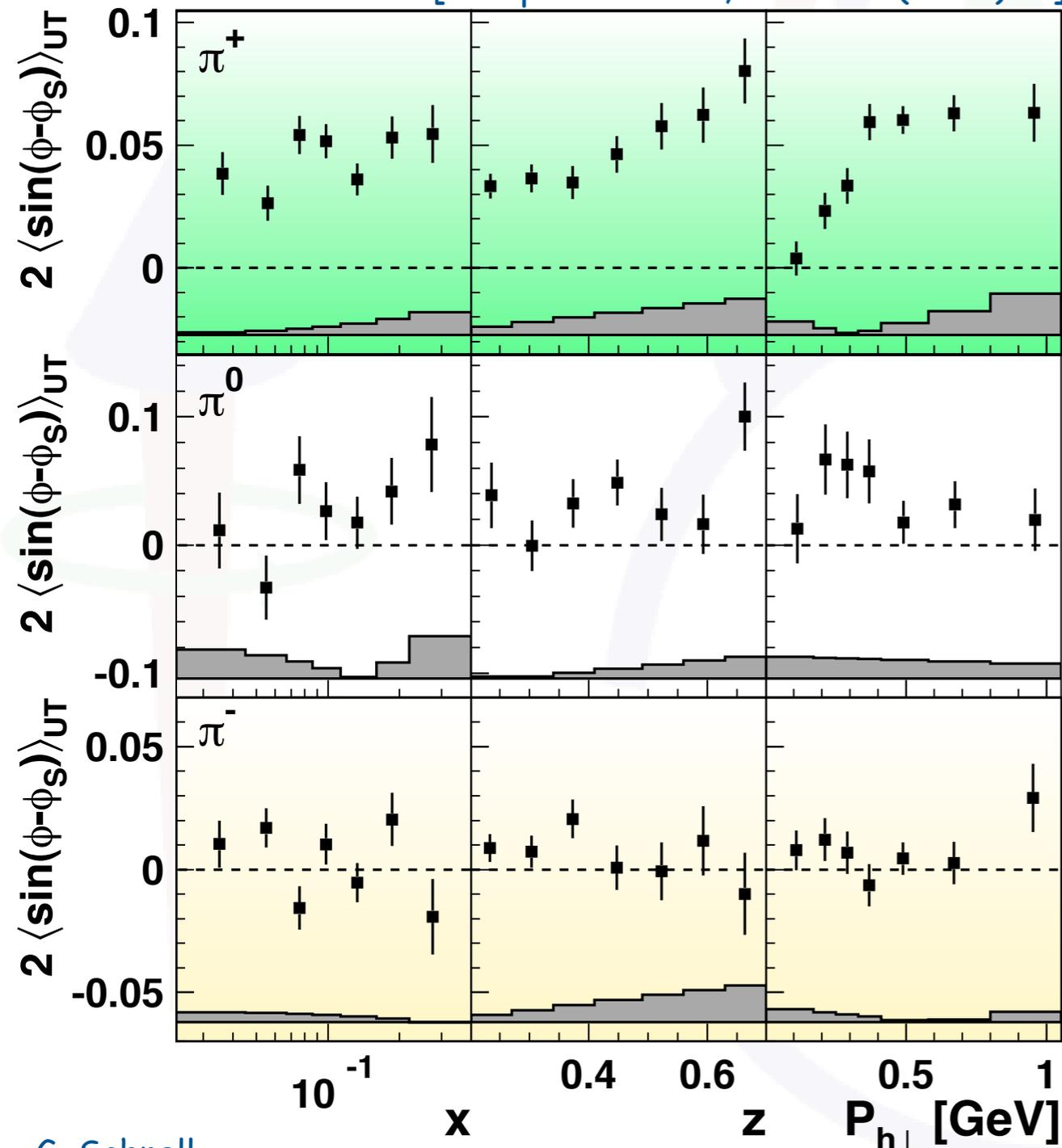
Sivers amplitudes for pions

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[Airapetian et al., PLB 693 (2010) 11]



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➡ u-quark Sivers DF < 0

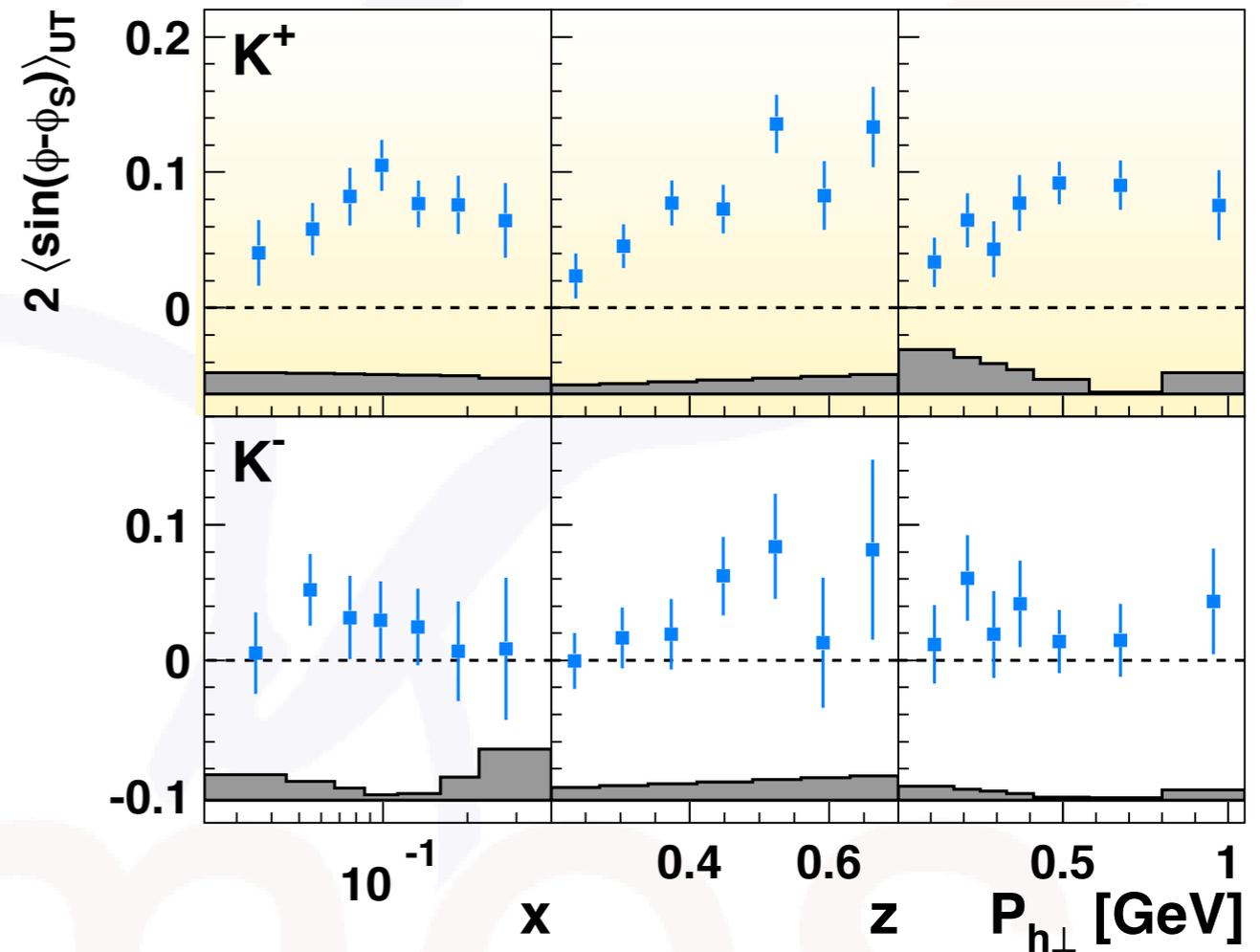
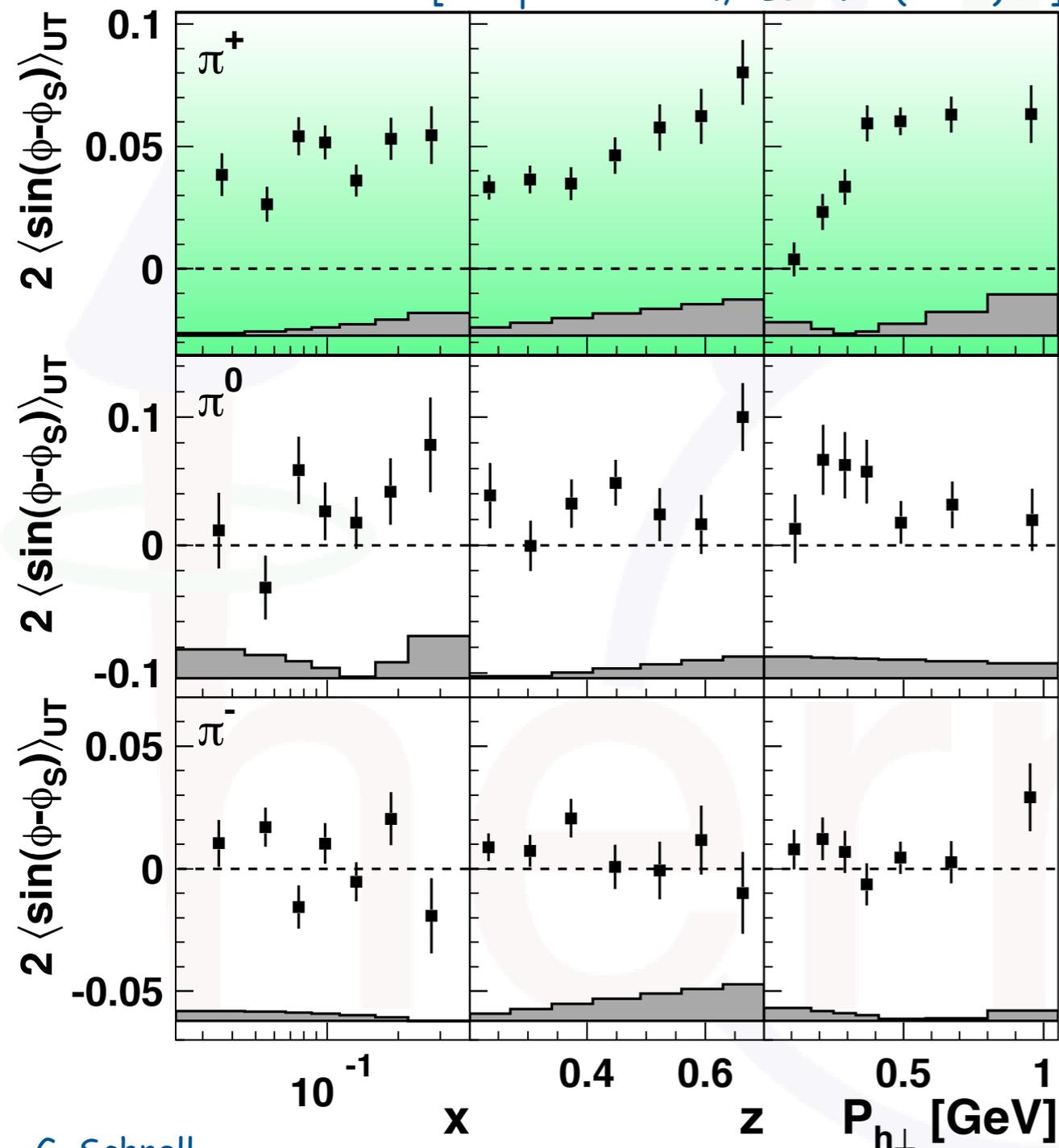
➡ d-quark Sivers DF > 0
(cancellation for π^-)

Sivers amplitudes for mesons

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

$$2\langle \sin(\phi - \phi_S) \rangle_{UT} = - \frac{\sum_q e_q^2 f_{1T}^{\perp,q}(x, p_T^2) \otimes_{\mathcal{W}} D_1^q(z, k_T^2)}{\sum_q e_q^2 f_1^q(x, p_T^2) \otimes D_1^q(z, k_T^2)}$$

[Airapetian et al., PLB 693 (2010) 11]



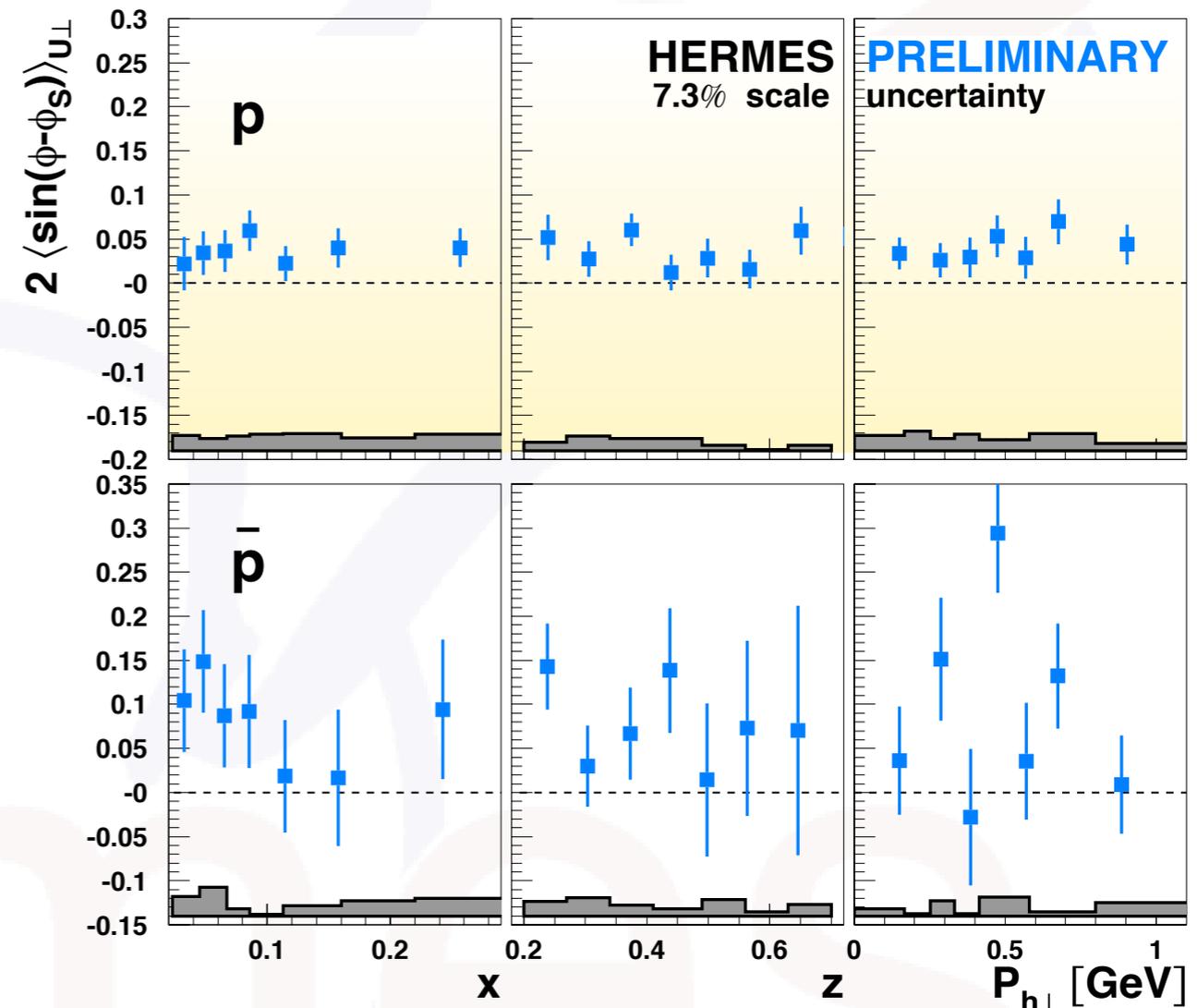
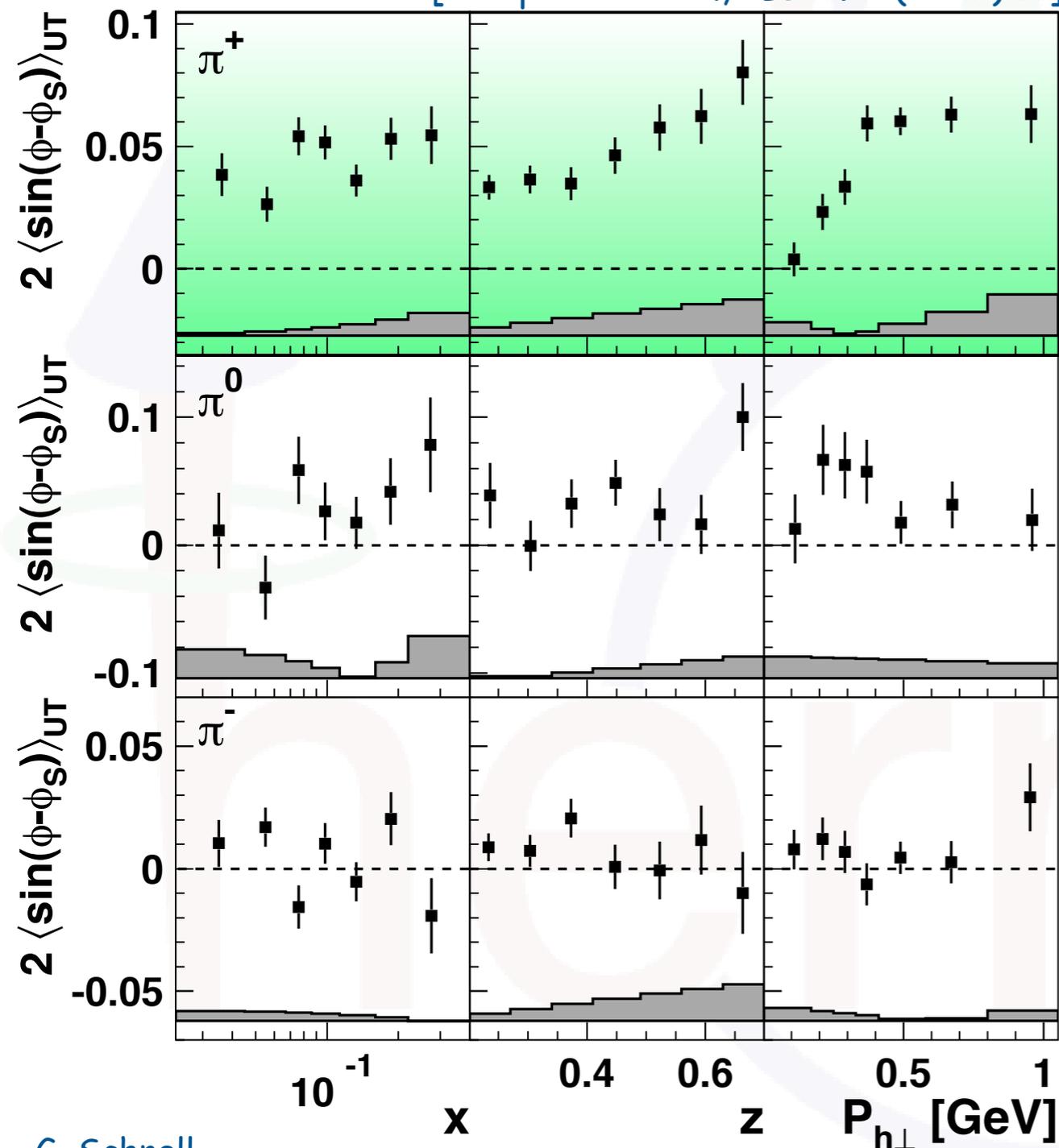
➡ larger amplitudes for positive kaons vs. pions

Sivers amplitudes for baryons

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

$$2\langle \sin(\phi - \phi_S) \rangle_{UT} = - \frac{\sum_q e_q^2 f_{1T}^{\perp,q}(x, p_T^2) \otimes_{\mathcal{W}} D_1^q(z, k_T^2)}{\sum_q e_q^2 f_1^q(x, p_T^2) \otimes D_1^q(z, k_T^2)}$$

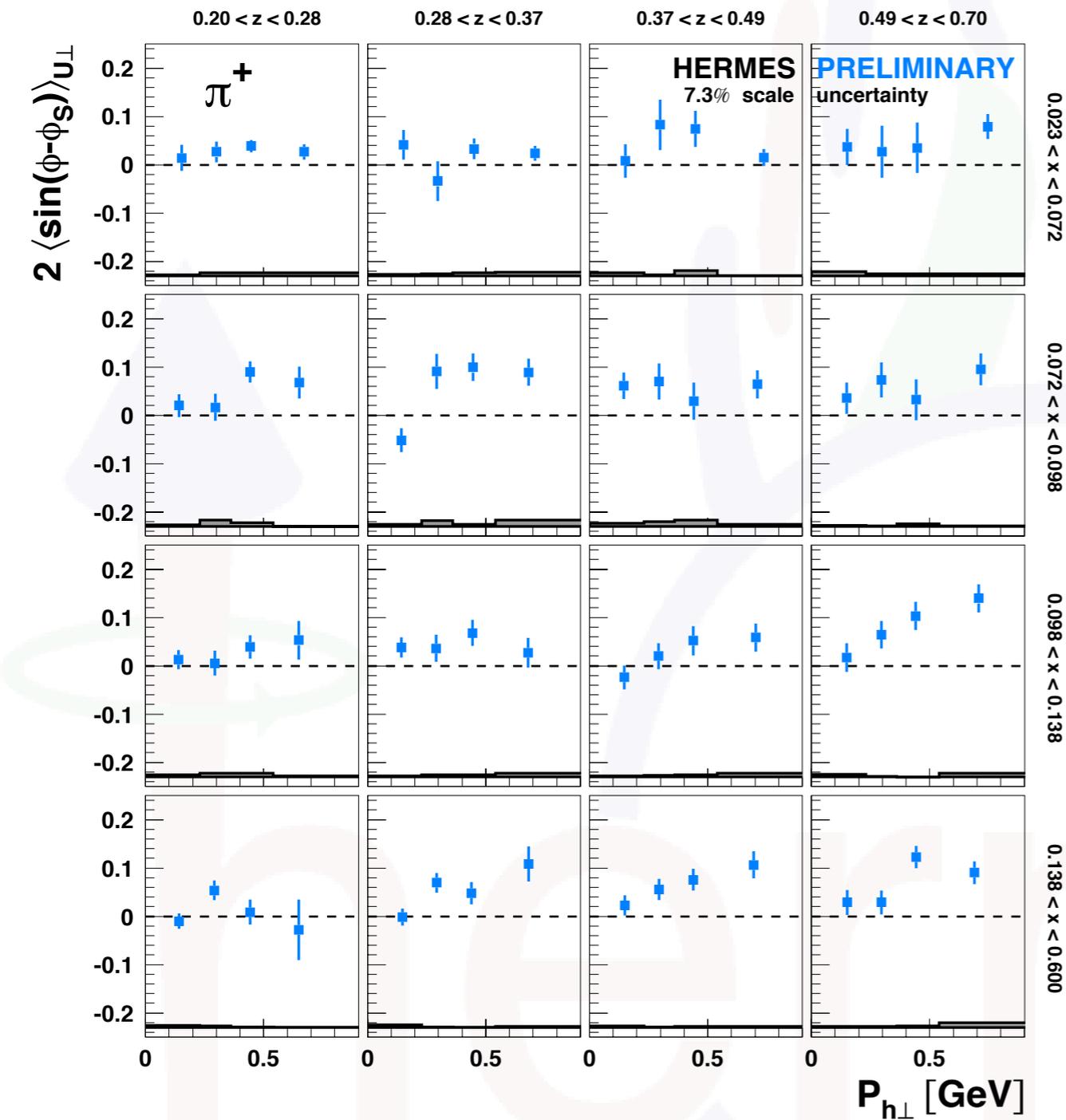
[Airapetian et al., PLB 693 (2010) 11]



similar amplitudes for positive pions and protons \rightarrow u-quark dominance (and not a FF effect)?

Sivers amplitudes - 3d binning

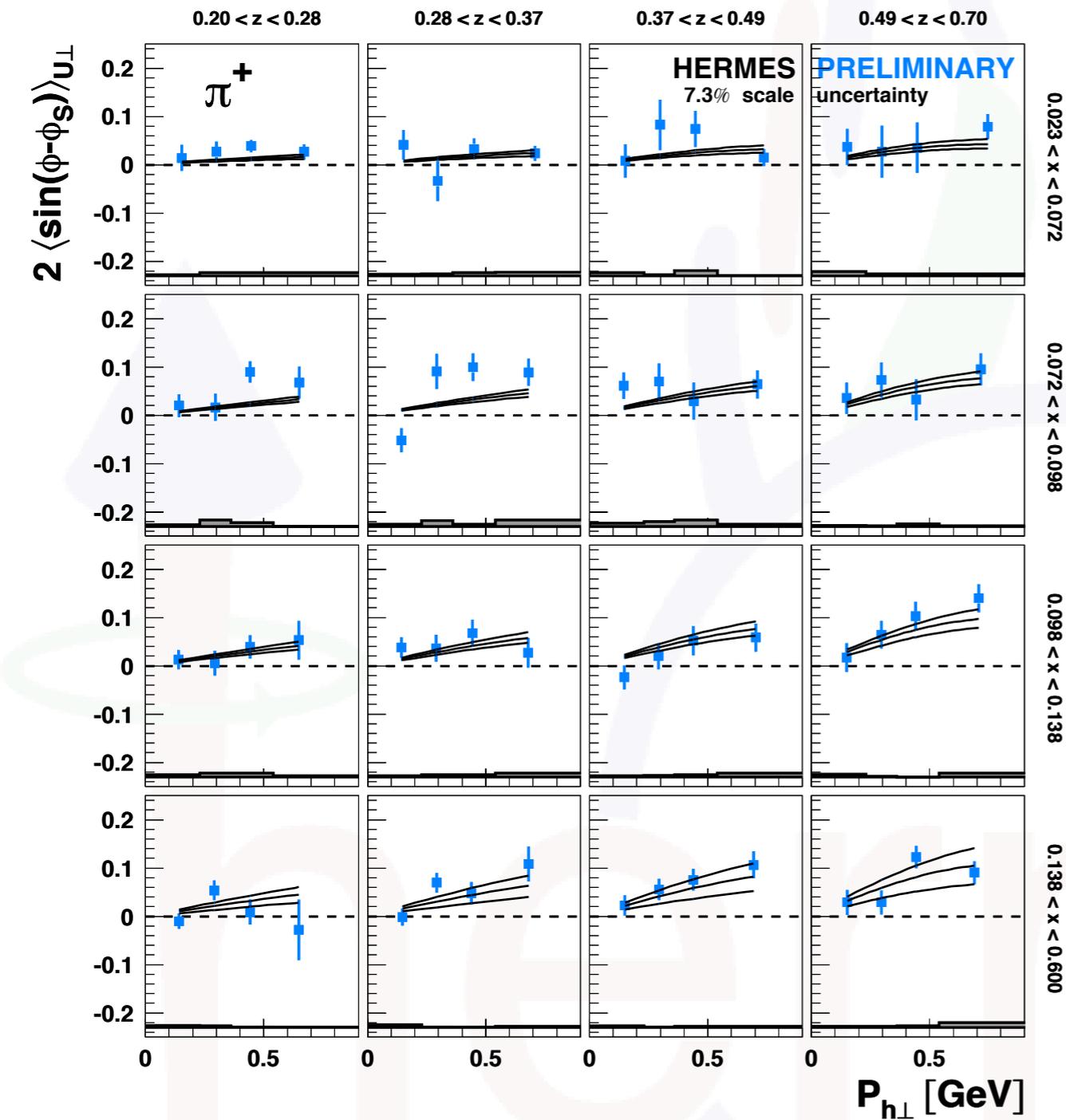
	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp



- 3d analysis: 4x4x4 bins in $(x, z, P_{h\perp})$
- much reduced systematics
- disentangle correlations
- isolate phase-space region with strong signal strength

Sivers amplitudes - 3d binning

	U	L	T
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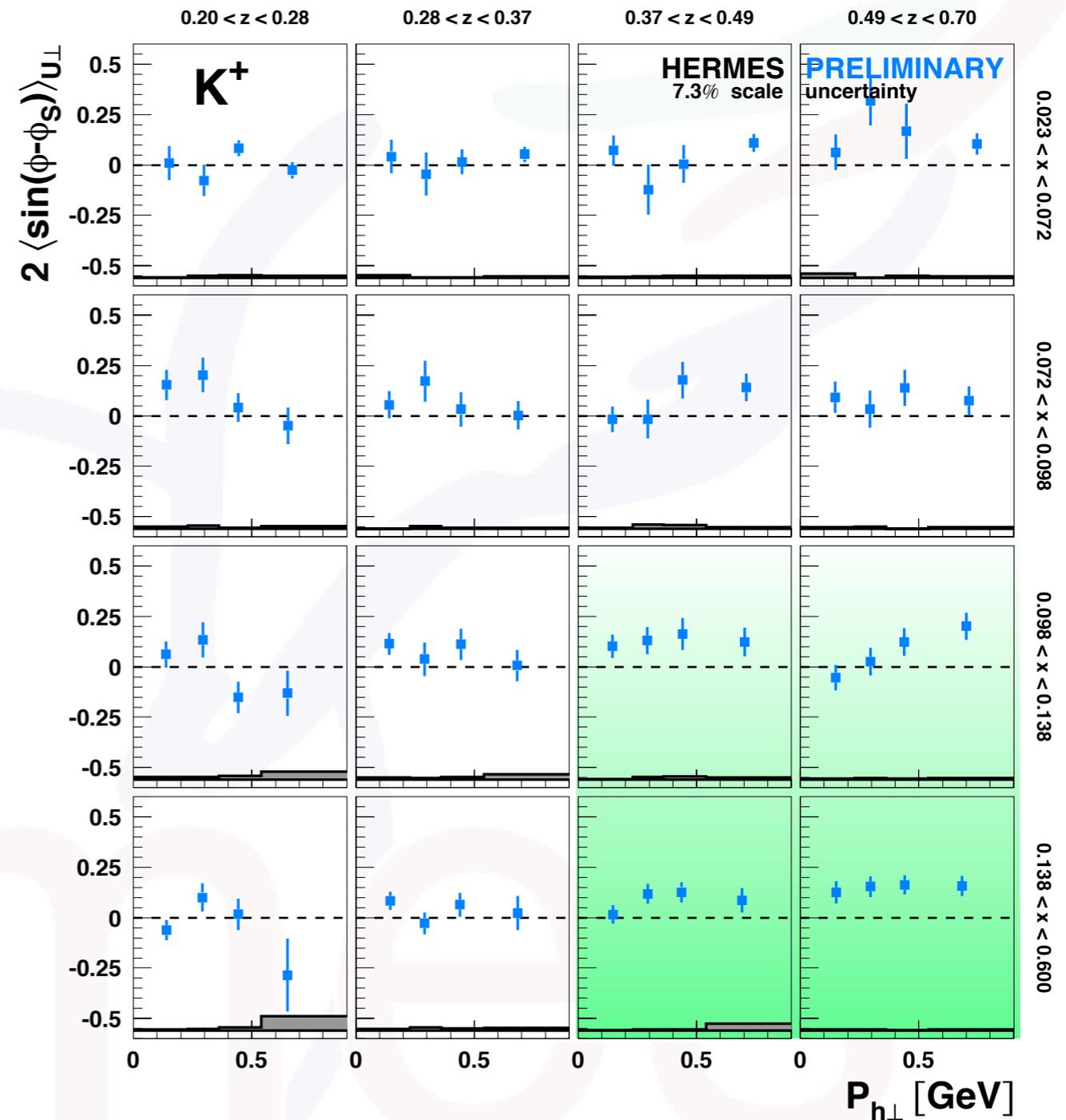


- 3d analysis: 4x4x4 bins in $(x, z, P_{h\perp})$
- much reduced systematics
- disentangle correlations
- isolate phase-space region with strong signal strength
- allows more detailed comparison with calculations (e.g., "unofficial" results from Torino [10.1103/PhysRevD.86.014028](https://arxiv.org/abs/10.1103/PhysRevD.86.014028) fit - courtesy M. Boglione)

Sivers amplitudes - 3d binning

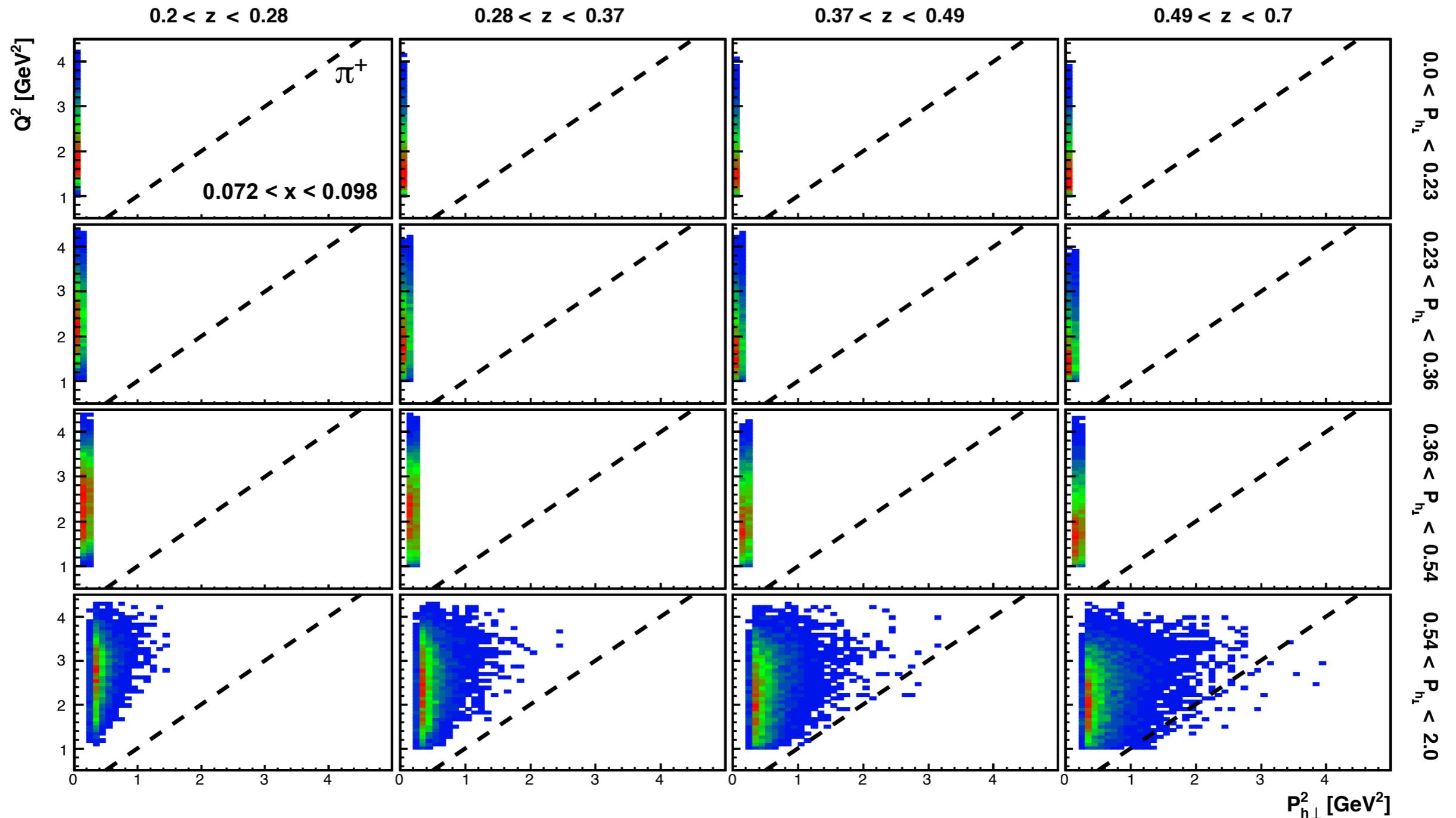
	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

- large K^+ amplitudes $O(20\%)$ seen at large values of (x, z)
- region of purest "u-quark probe"



TMD factorization scale

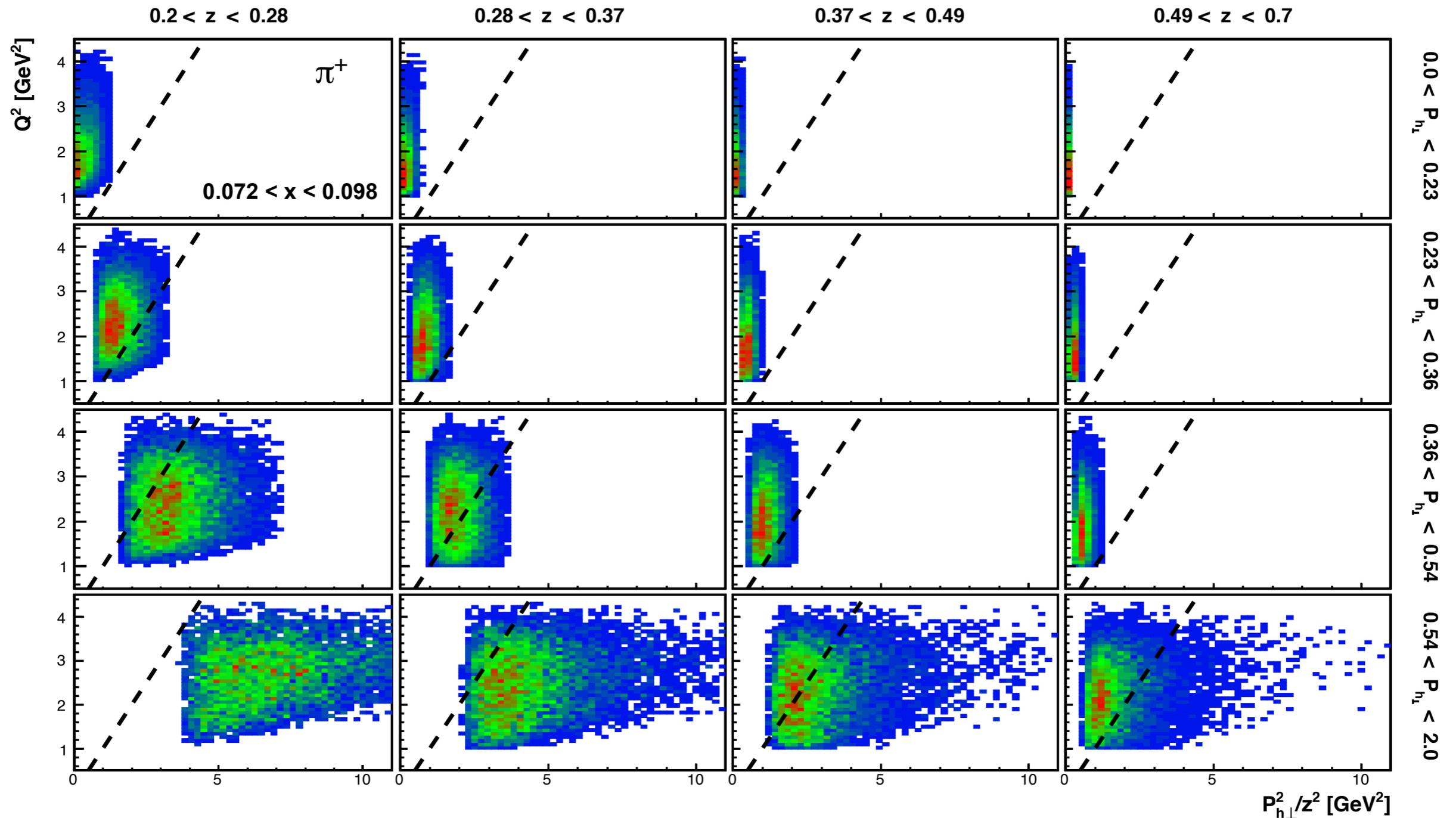
$$Q^2 \gg P_{h\perp}^2 / z^2$$



- less stringent requirement fulfilled in basically all bins
- more stringent requirement violated at low z & large $P_{h\perp}$ (especially @ low x)

TMD factorization scale

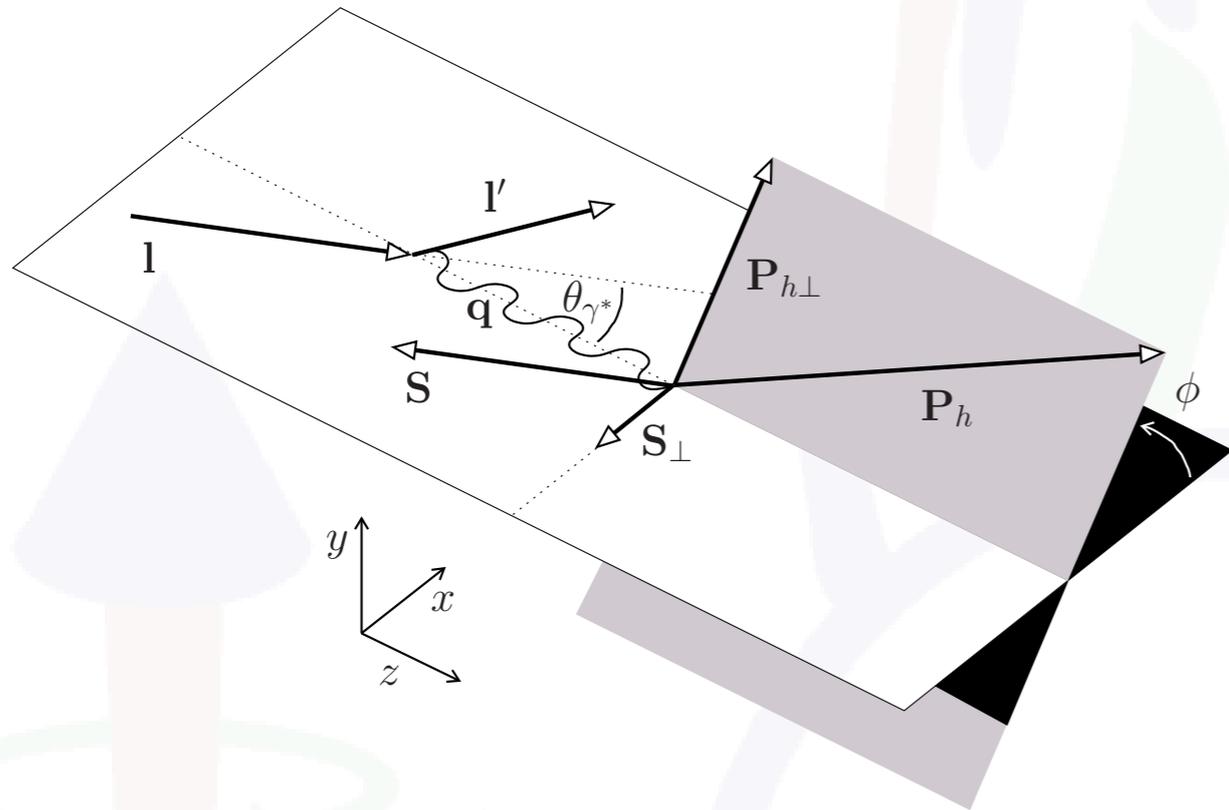
$$Q^2 \gg P_{h\perp}^2 / z^2$$



- less stringent requirement fulfilled in basically all bins
- more stringent requirement violated at low z & large $P_{h\perp}$ (especially @ low x)

subleading twist

Subleading twist I - $\langle \sin(\phi) \rangle_{UL}$



- in experiments: target polarized w.r.t. beam direction
[Diehl&Sapeta EPJC41 (2005)]
- small transverse component w.r.t. virtual-photon direction when longitudinally polarized
- mixing of transverse and longitudinal target-spin asymmetries

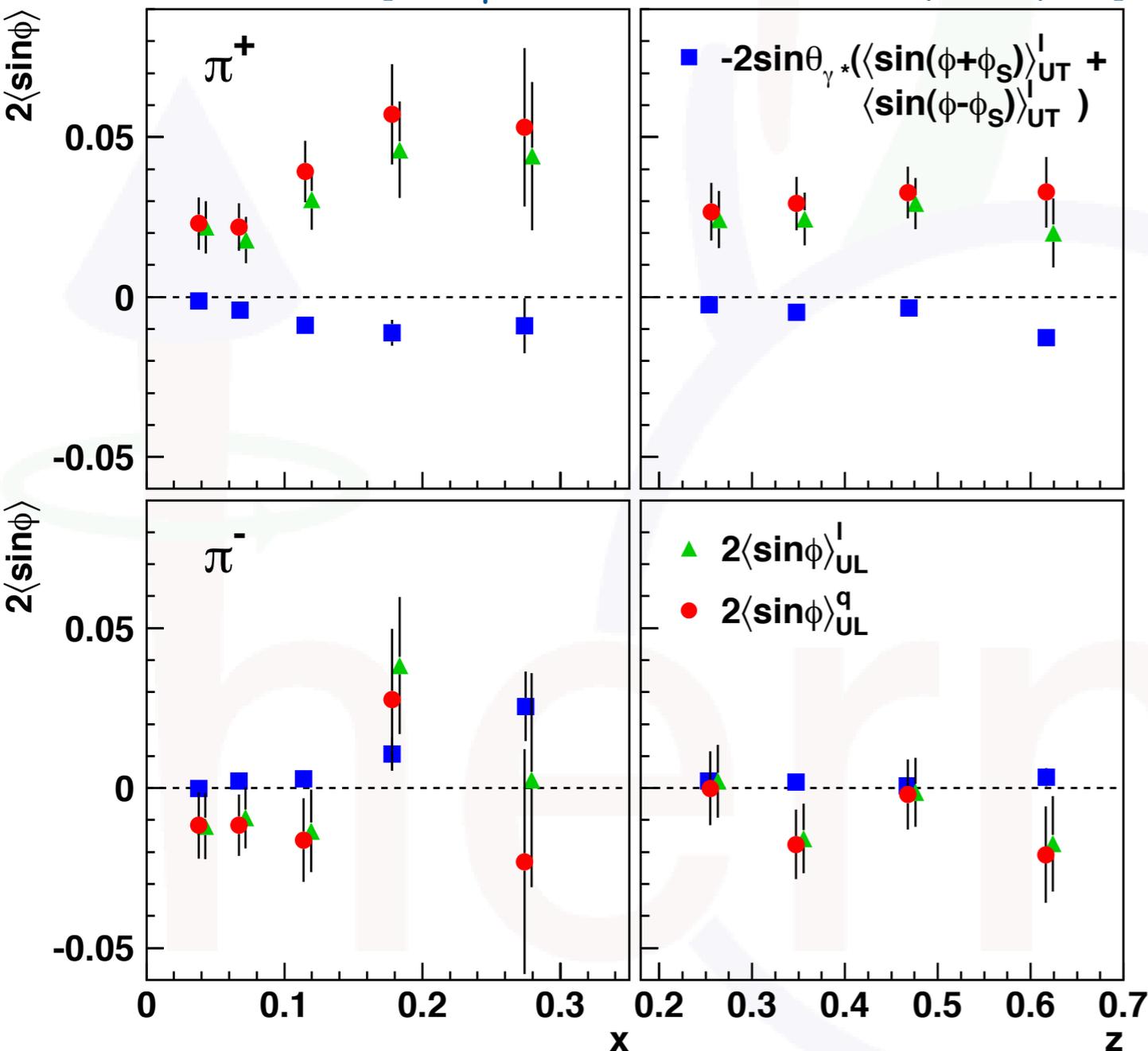
$$\begin{pmatrix} \langle \sin \phi \rangle_{UL}^I \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^I \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^I \end{pmatrix} = \begin{pmatrix} \cos \theta_{\gamma^*} & -\sin \theta_{\gamma^*} & -\sin \theta_{\gamma^*} \\ \frac{1}{2} \sin \theta_{\gamma^*} & \cos \theta_{\gamma^*} & 0 \\ \frac{1}{2} \sin \theta_{\gamma^*} & 0 & \cos \theta_{\gamma^*} \end{pmatrix} \begin{pmatrix} \langle \sin \phi \rangle_{UL}^q \\ \langle \sin(\phi - \phi_S) \rangle_{UT} \\ \langle \sin(\phi + \phi_S) \rangle_{UT} \end{pmatrix}$$

($\cos \theta_{\gamma^*} \simeq 1$, $\sin \theta_{\gamma^*}$ up to 15% at HERMES energies)

Subleading twist I - $\langle \sin(\phi) \rangle_{UL}$

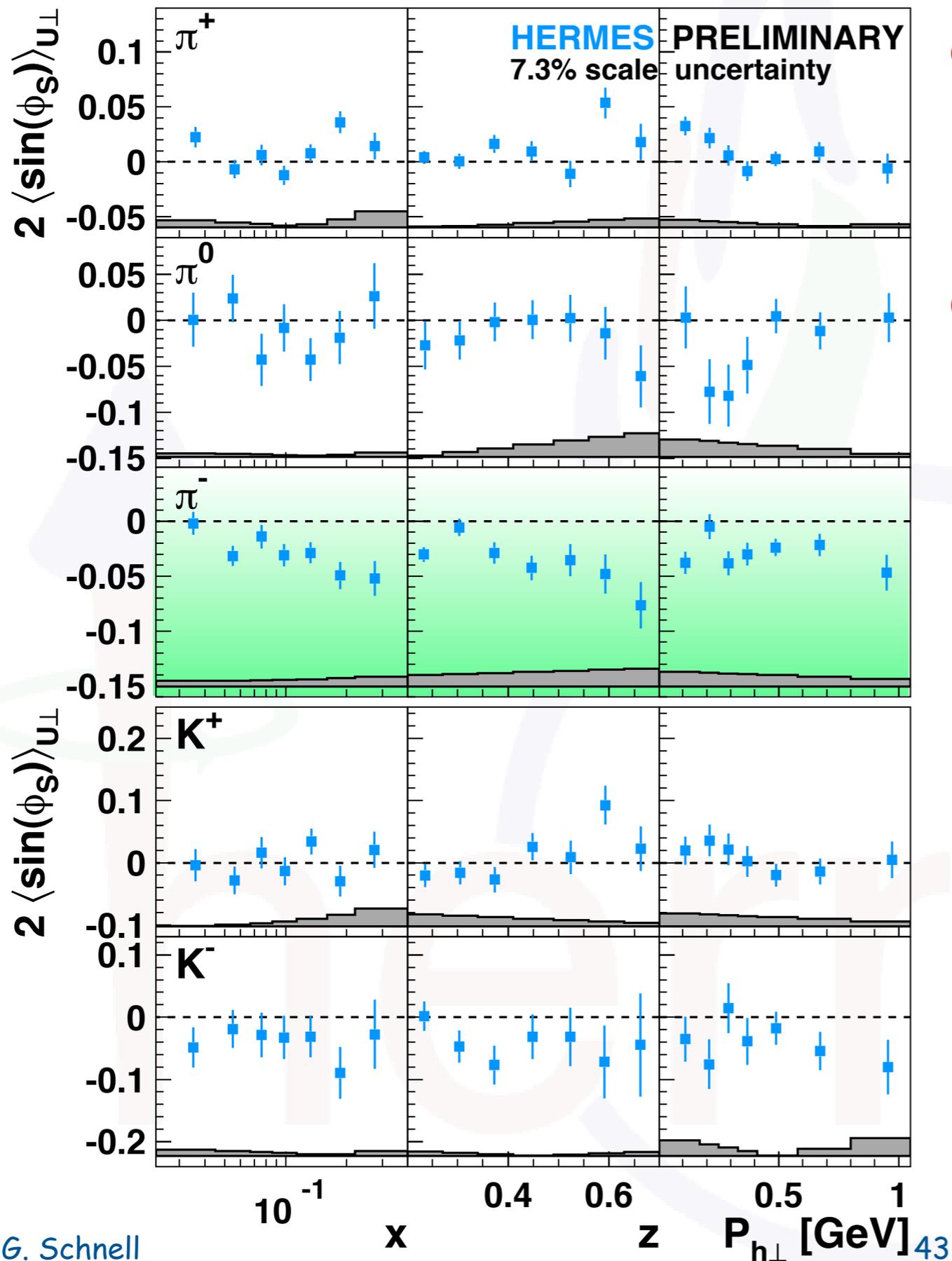
$$\langle \sin \phi \rangle_{UL}^q = \langle \sin \phi \rangle_{UL}^I + \sin \theta_{\gamma^*} \left(\langle \sin(\phi + \phi_S) \rangle_{UT}^I + \langle \sin(\phi - \phi_S) \rangle_{UT}^I \right)$$

[Airapetian et al., PLB 622 (2005) 14]



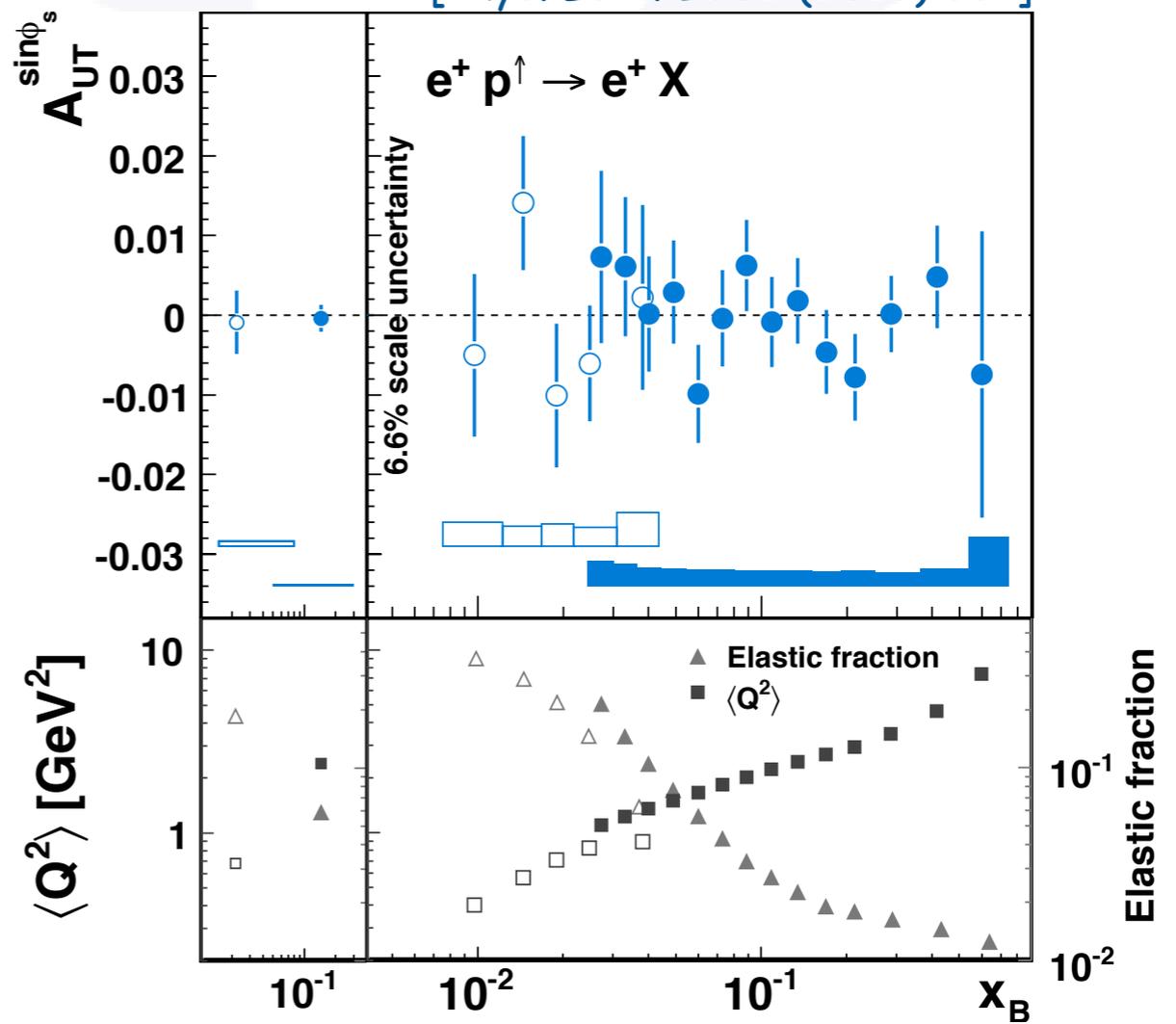
- experimental A_{UL} dominated by twist-3 contribution
- correction for A_{UT} contribution increases purely longitudinal asymmetry for positive pions
- consistent with zero for π^-

Subleading twist II - $\langle \sin(\phi_s) \rangle_{UT}$

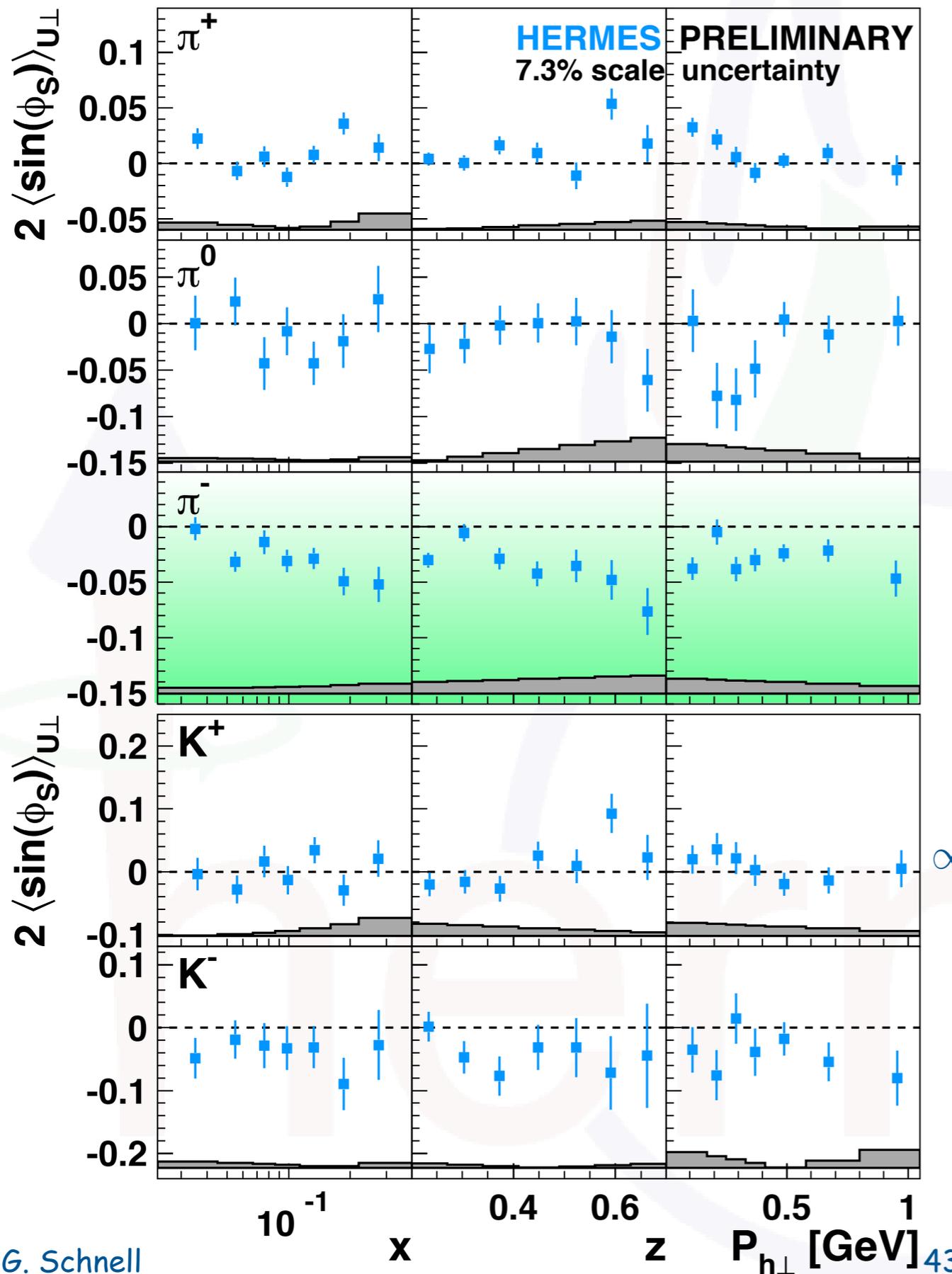


- significant non-zero signal observed for negatively charged mesons
- vanishes in inclusive limit, e.g. after integration over $P_{h\perp}$ and z , and summation over all hadrons

[Phys. Lett. B682 (2010) 351]



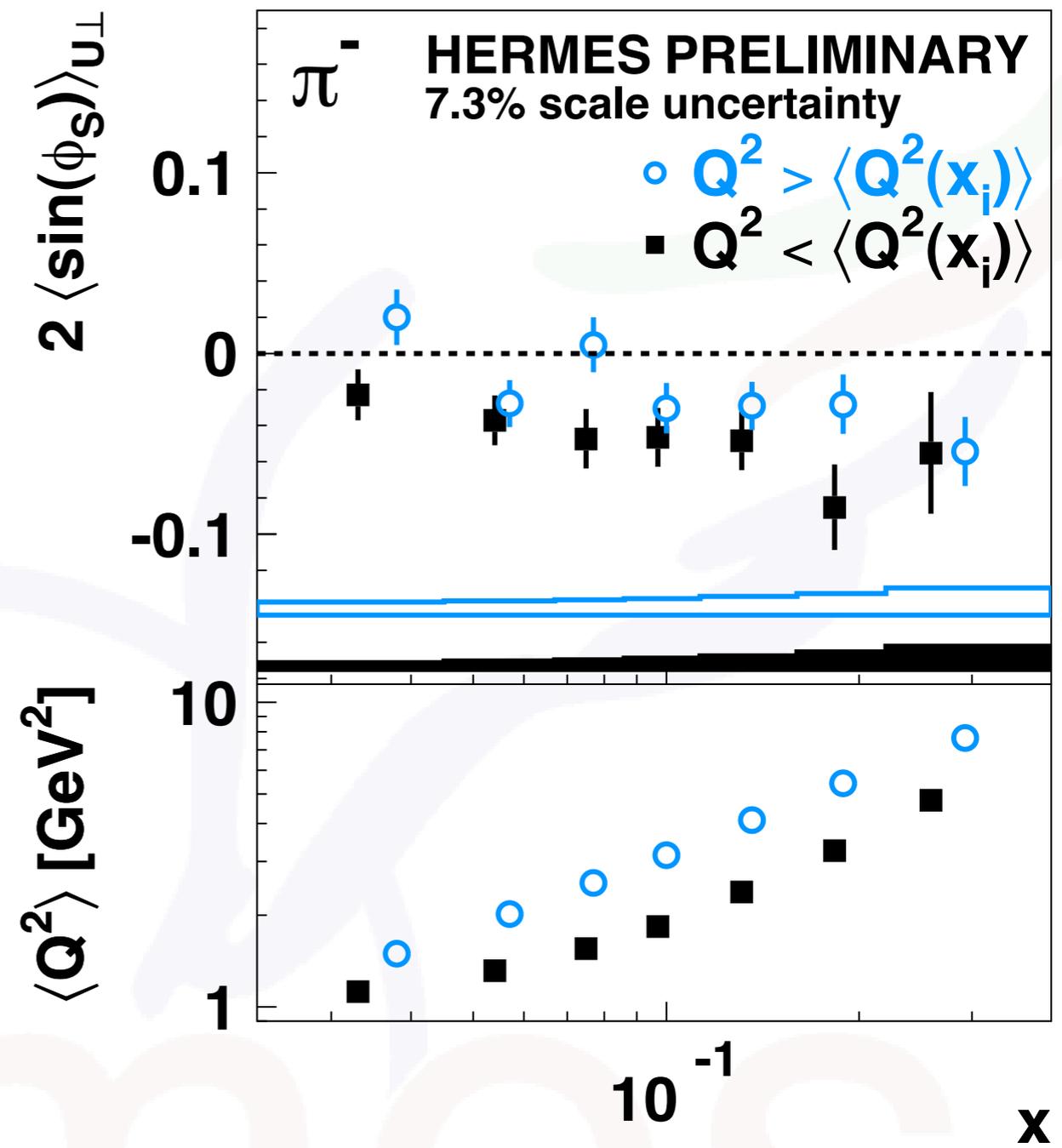
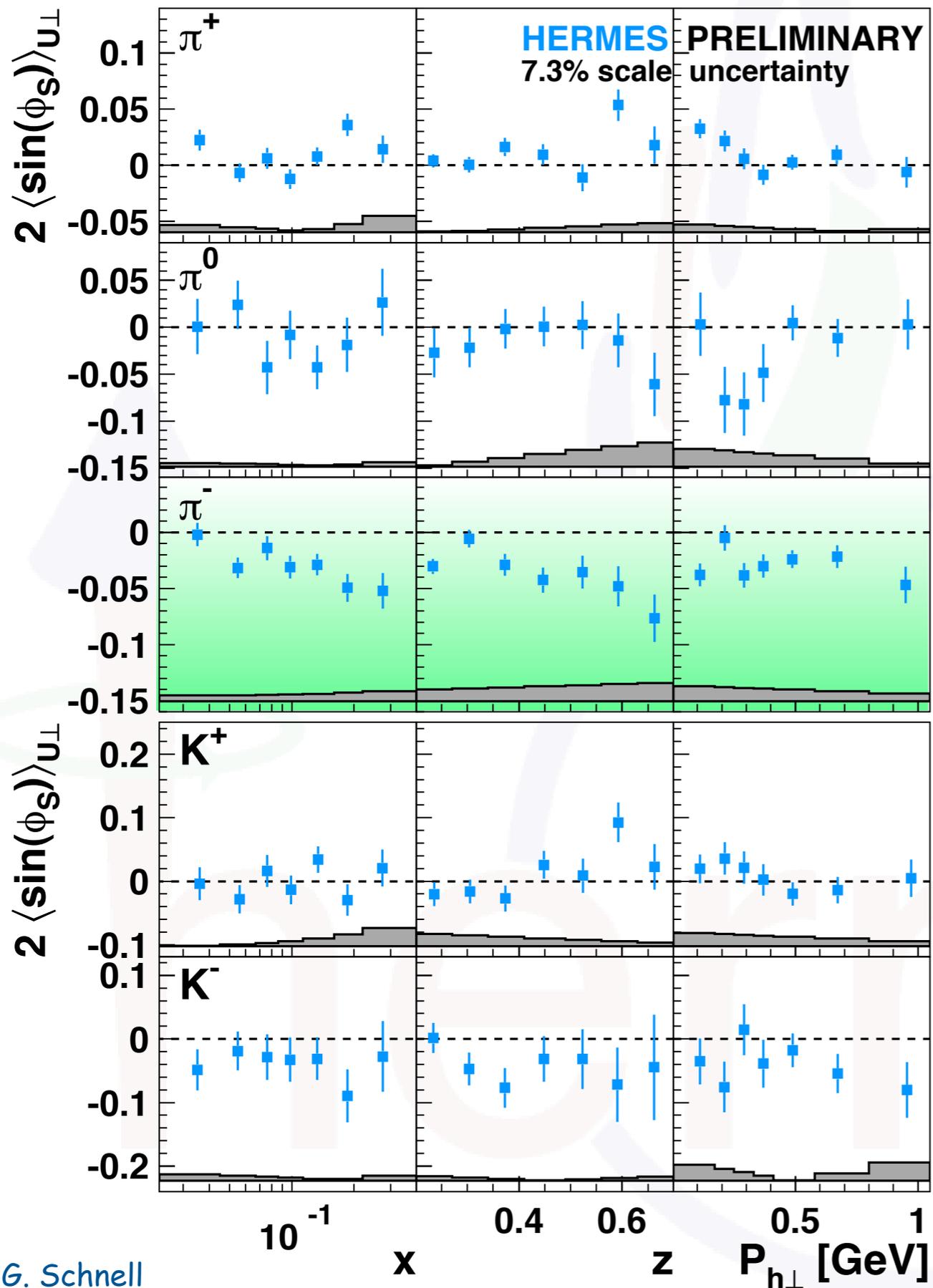
Subleading twist II - $\langle \sin(\phi_s) \rangle_{U\perp}$



- significant non-zero signal observed for negatively charged mesons
- vanishes in inclusive limit, e.g. after integration over $P_{h\perp}$ and z , and summation over all hadrons
- various terms related to transversity, worm-gear, Sivers etc.:

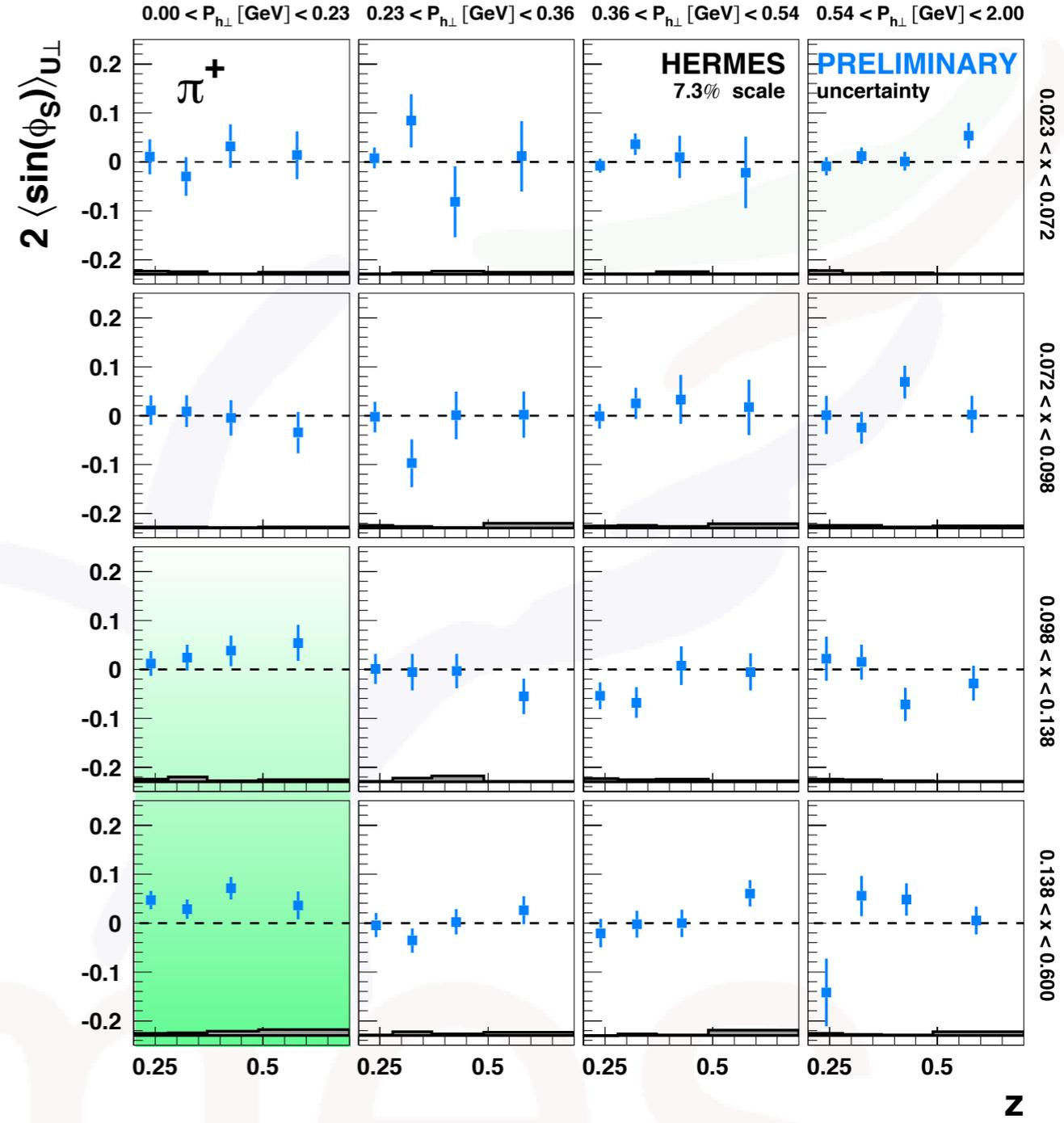
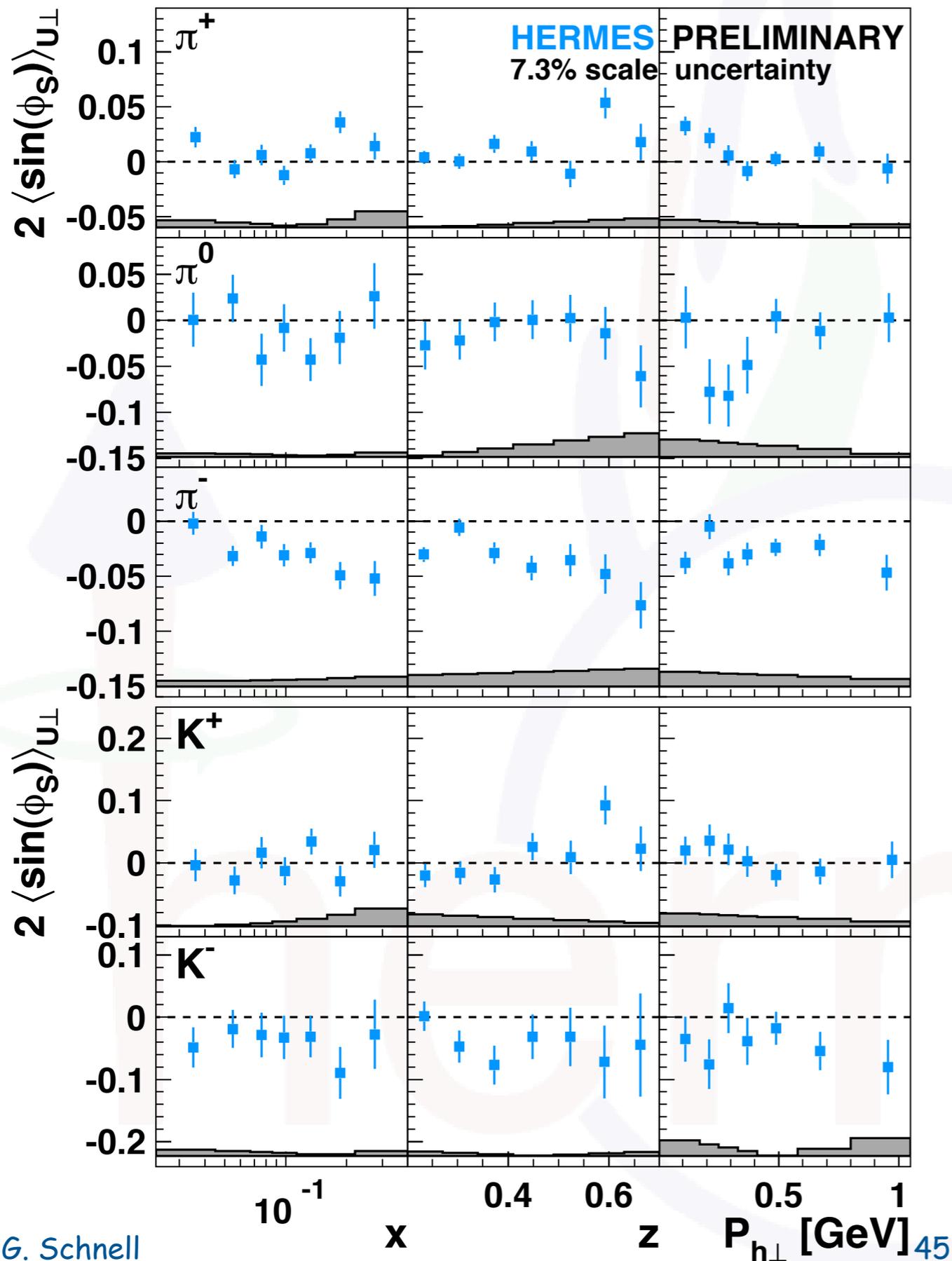
$$\propto \left(x f_T^\perp D_1 - \frac{M_h}{M} h_1 \frac{\tilde{H}}{z} \right) - \mathcal{W}(p_T, k_T, P_{h\perp}) \left[\left(x h_T H_1^\perp + \frac{M_h}{M} g_{1T} \frac{\tilde{G}^\perp}{z} \right) - \left(x h_T^\perp H_1^\perp - \frac{M_h}{M} f_{1T}^\perp \frac{\tilde{D}^\perp}{z} \right) \right]$$

Subleading twist II - $\langle \sin(\phi_S) \rangle_{UT}$



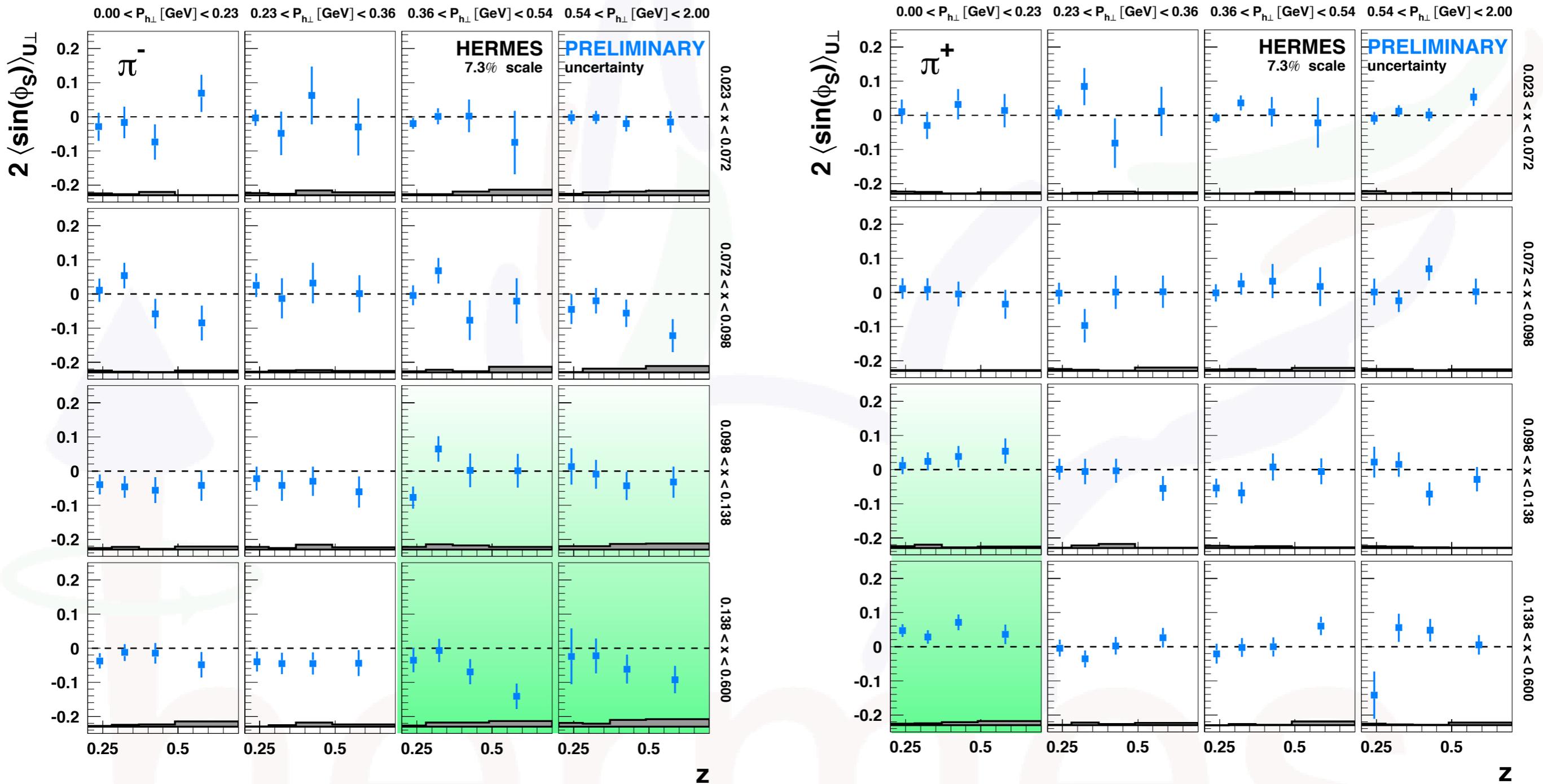
● hint of Q^2 dependence seen in signal for negative pions

Subleading twist II - $\langle \sin(\phi_S) \rangle_{UT}$



● positive amplitudes at low $P_{h\perp}$ also for positive pions

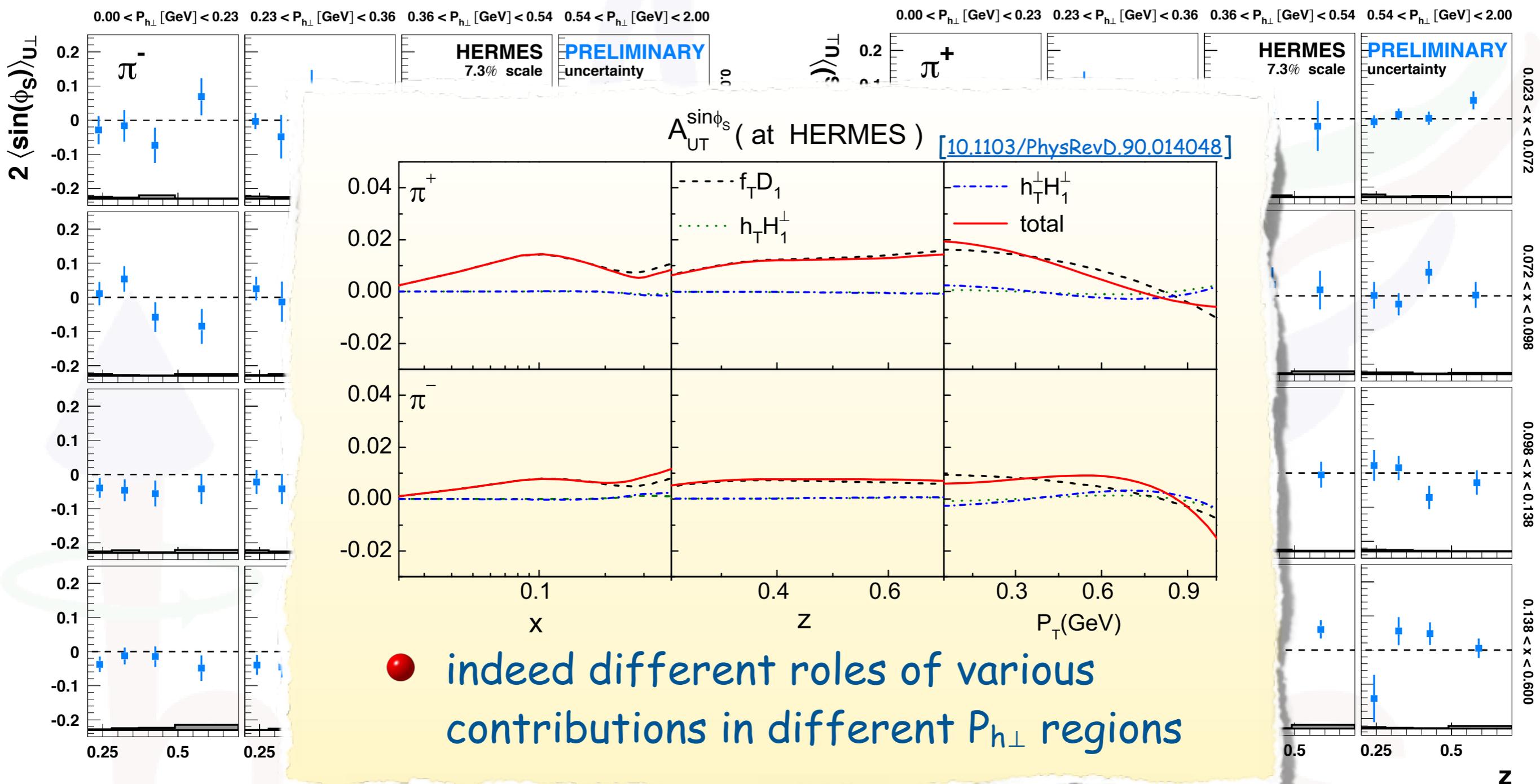
Subleading twist II - $\langle \sin(\phi_S) \rangle_{UT}$



● nonzero amplitudes mainly at large $P_{h\perp}$
in case of negative pions

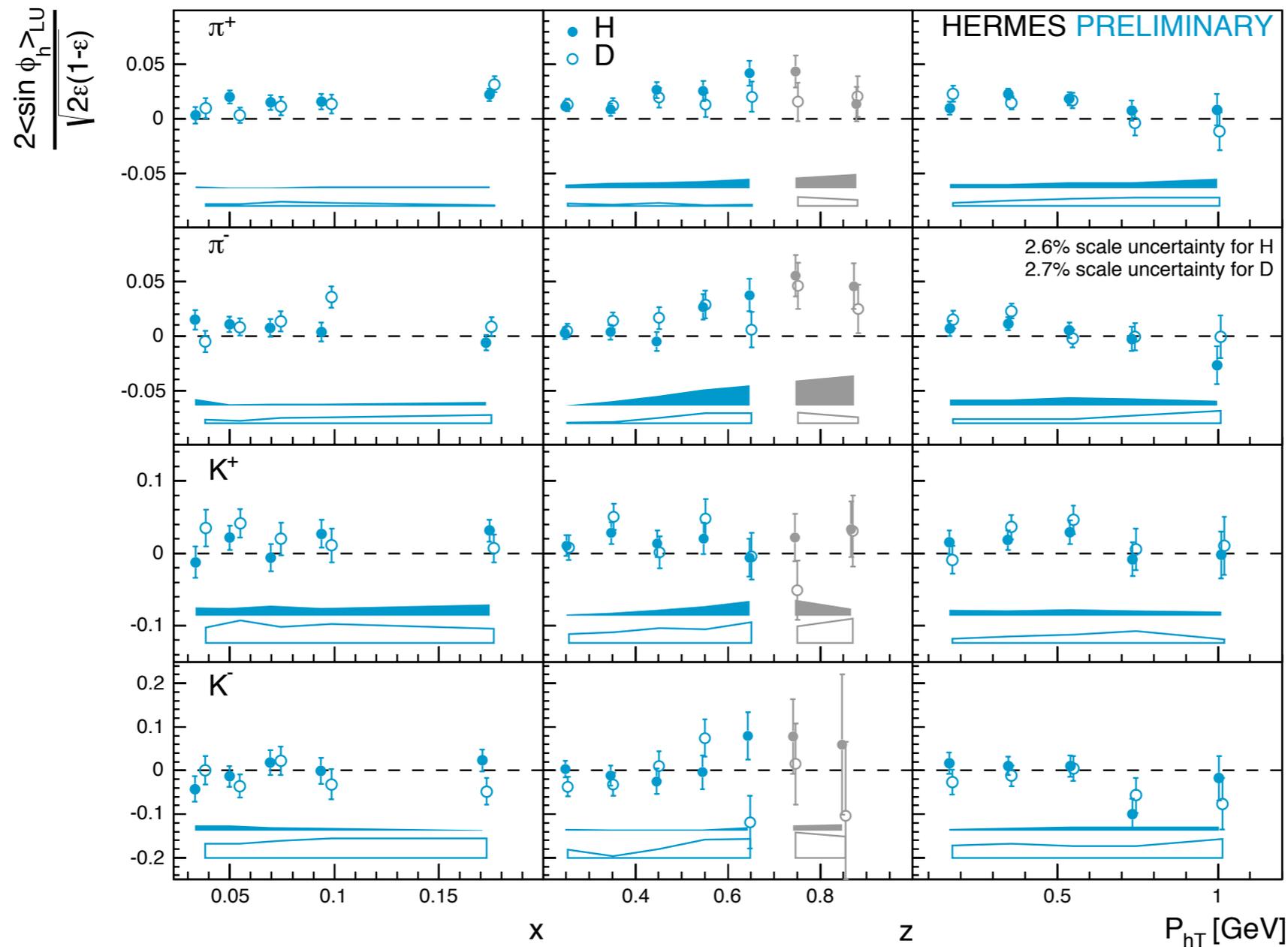
● positive amplitudes at low $P_{h\perp}$
also for positive pions

Subleading twist II - $\langle \sin(\phi_S) \rangle_{UT}$



Subleading twist III - $\langle \sin(\phi) \rangle_{LU}$

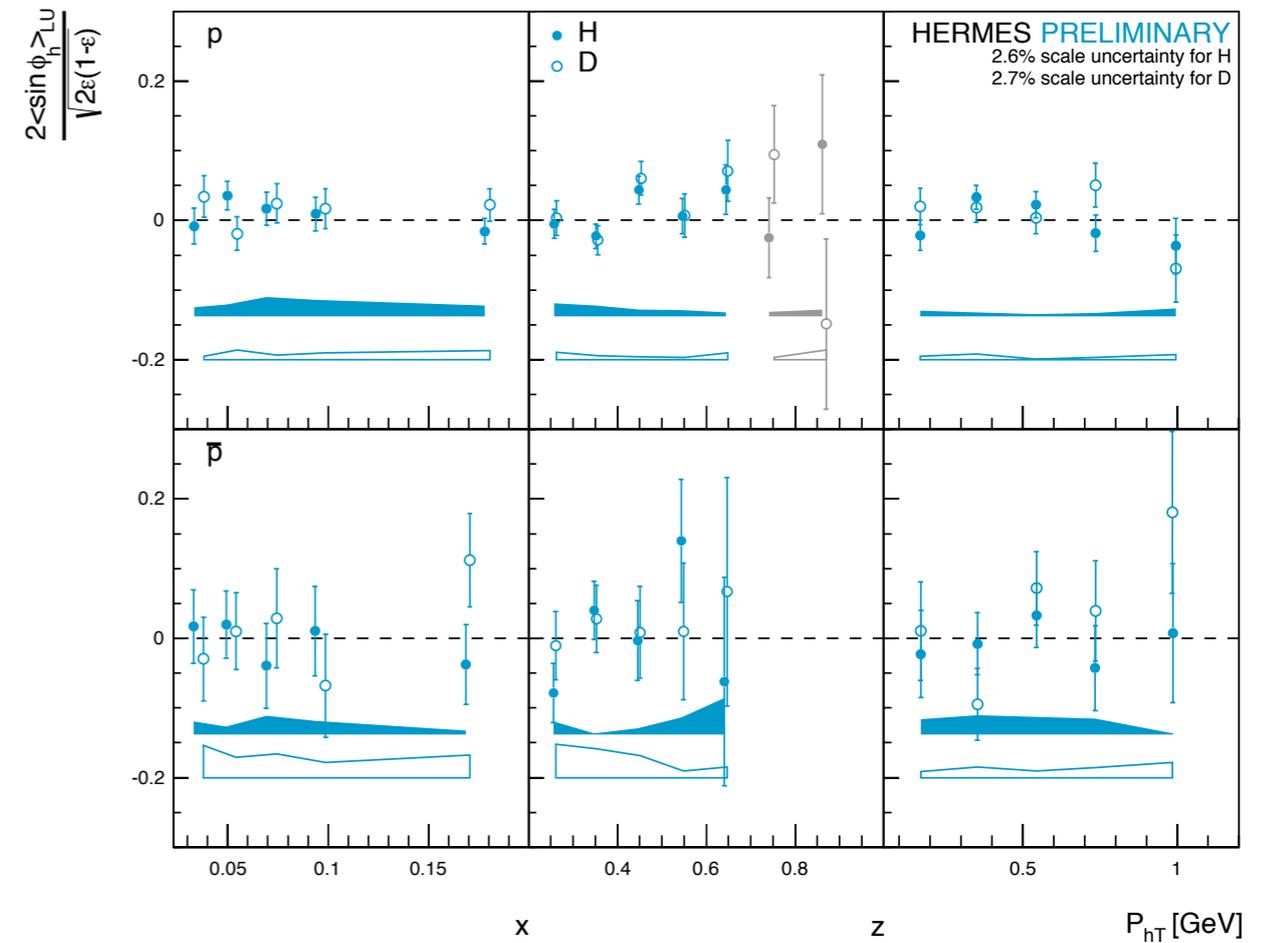
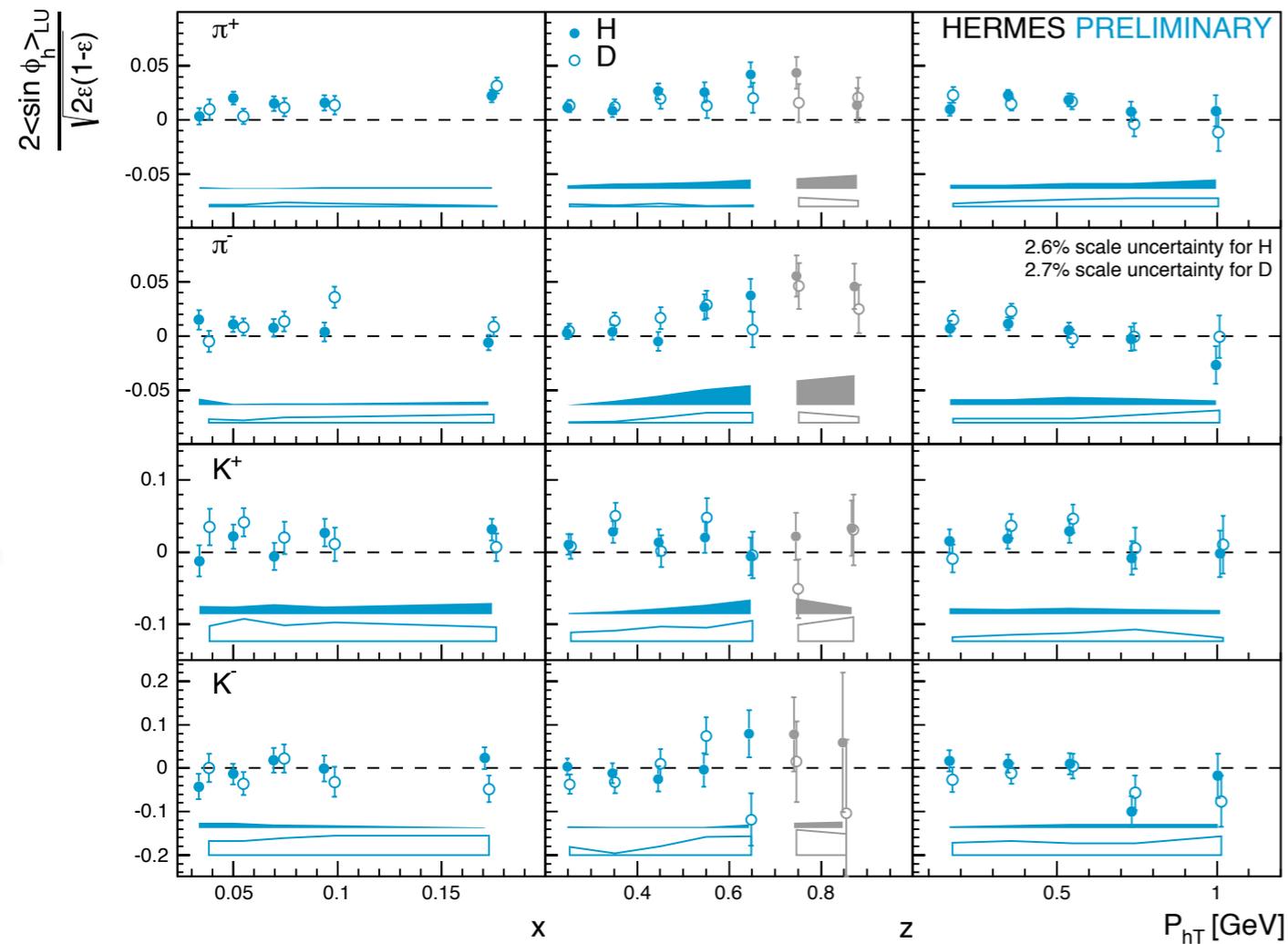
$$\frac{M_h}{Mz} h_1^\perp E \oplus xg^\perp D_1 \oplus \frac{M_h}{Mz} f_1 G^\perp \oplus \color{red} xeH_1^\perp$$



- significant positive amplitudes for (in particular positive) pions

Subleading twist III - $\langle \sin(\phi) \rangle_{LU}$

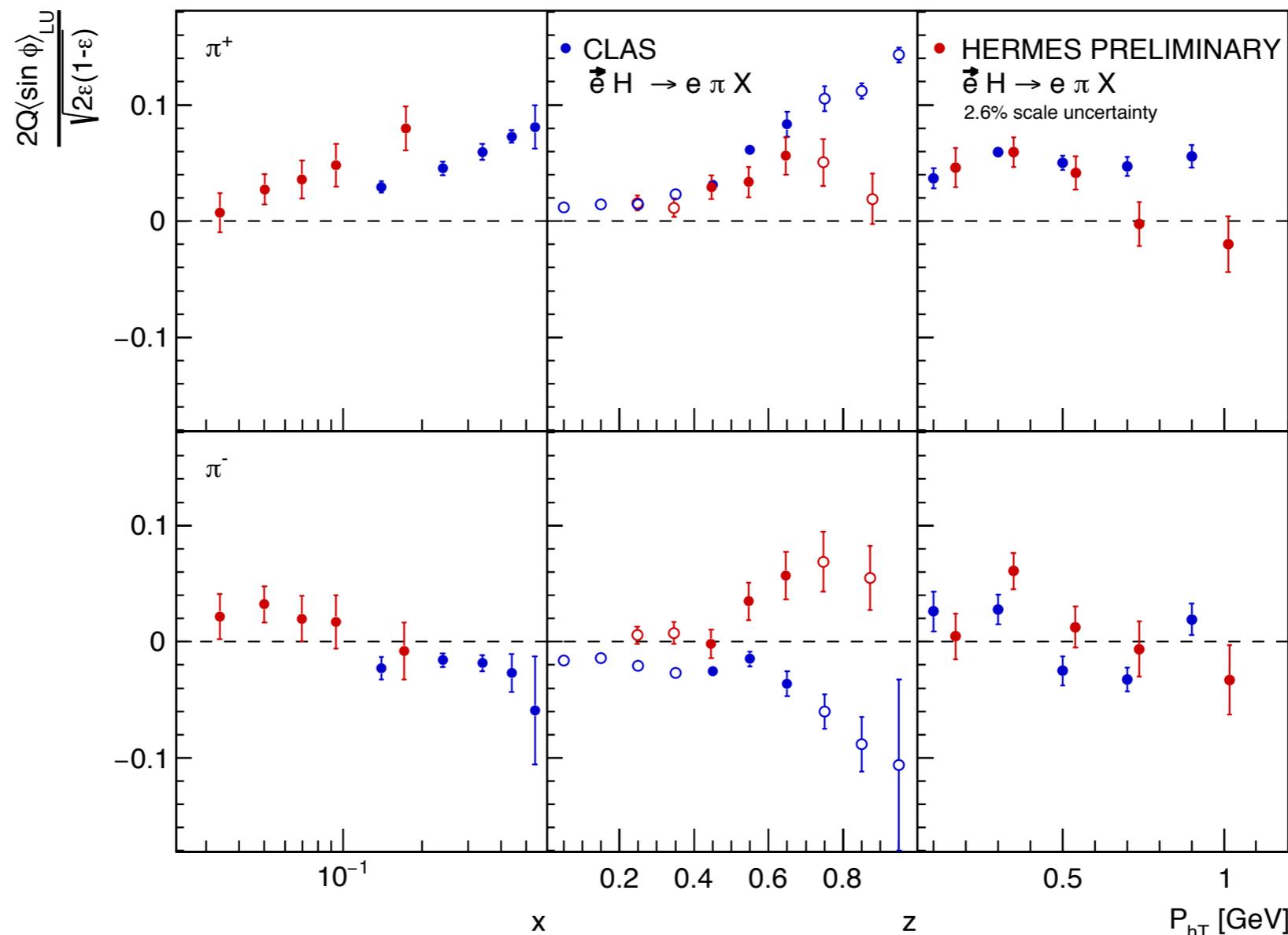
$$\frac{M_h}{M_z} h_1^\perp E \oplus x g^\perp D_1 \oplus \frac{M_h}{M_z} f_1 G^\perp \oplus x e H_1^\perp$$



- mostly consistent w/ zero for other hadrons (except maybe K^+)

Subleading twist III - $\langle \sin(\phi) \rangle_{LU}$

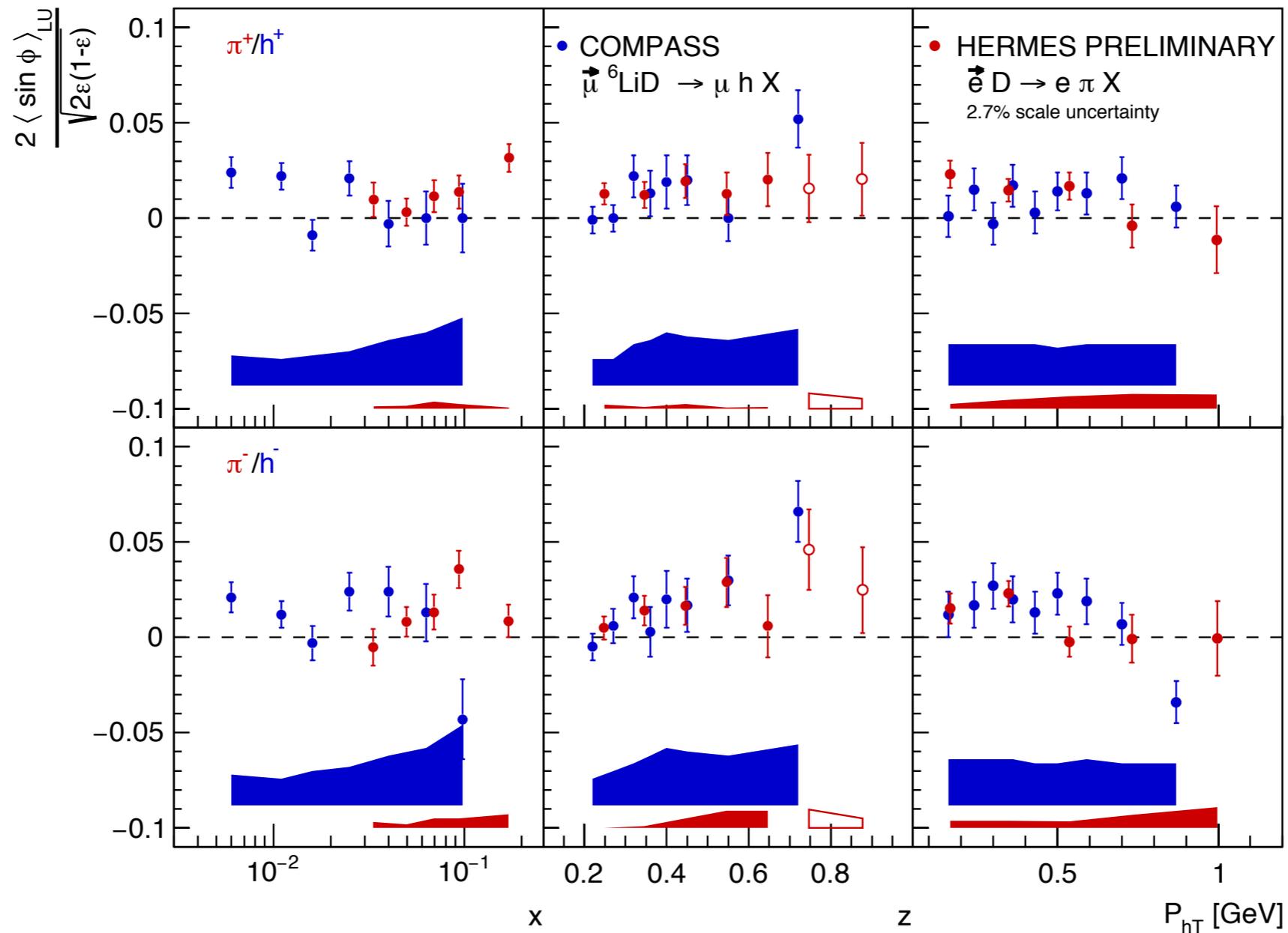
$$\frac{M_h}{M_z} h_1^\perp E \oplus x g^\perp D_1 \oplus \frac{M_h}{M_z} f_1 G^\perp \oplus x e H_1^\perp$$



- opposite behavior at HERMES/CLAS of negative pions in z projection due to different x-range probed
- CLAS more sensitive to $e(x)$ Collins term due to higher x probed?

Subleading twist III - $\langle \sin(\phi) \rangle_{LU}$

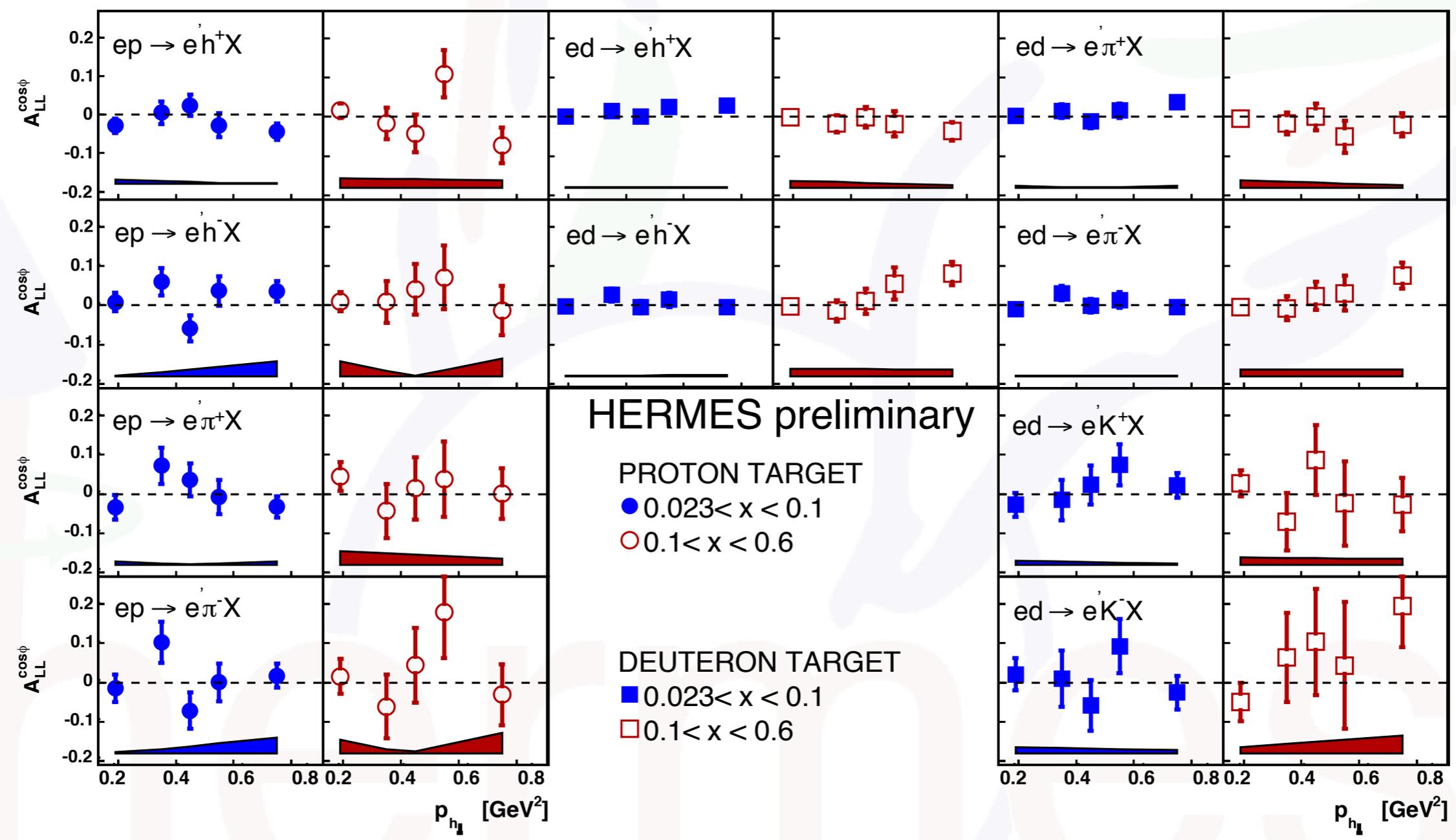
$$\frac{M_h}{M_z} h_1^\perp E \oplus x g^\perp D_1 \oplus \frac{M_h}{M_z} f_1 G^\perp \oplus x e H_1^\perp$$



- consistent behavior for charged pions / hadrons at HERMES / COMPASS for isoscalar targets

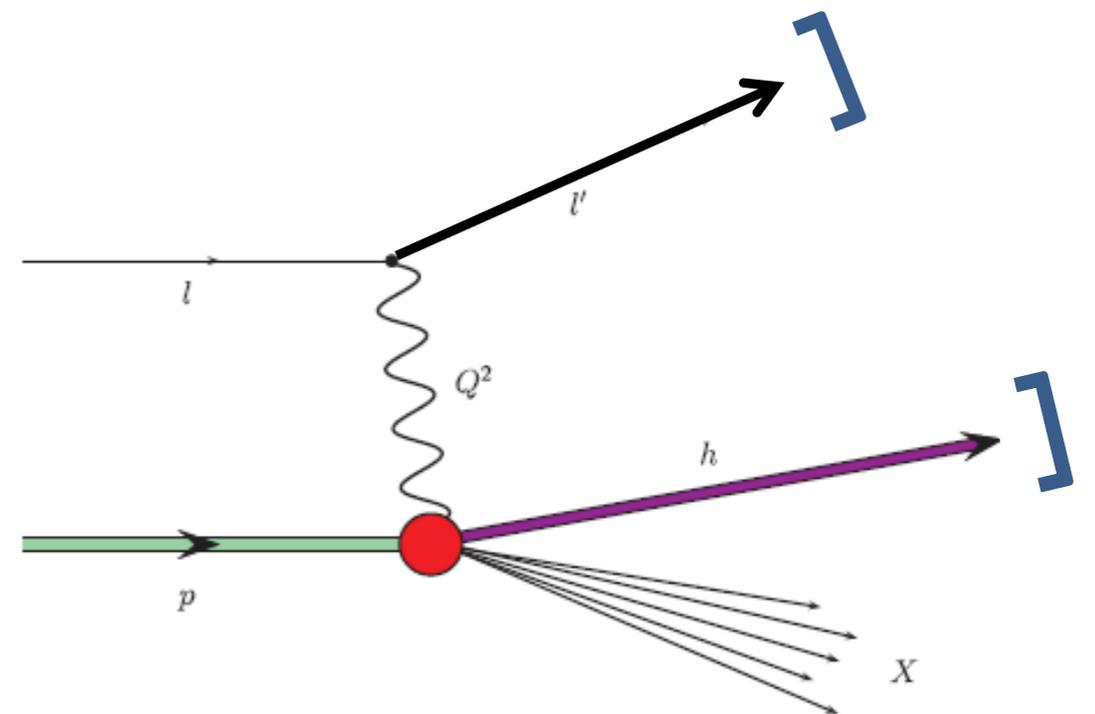
Subleading twist IV - $\langle \cos(\phi) \rangle_{LL}$

$$F_{LL}^{\cos \phi} \stackrel{WW}{\simeq} g_{1L}(x, p_T^2) \otimes D_1(z, k_T^2)$$

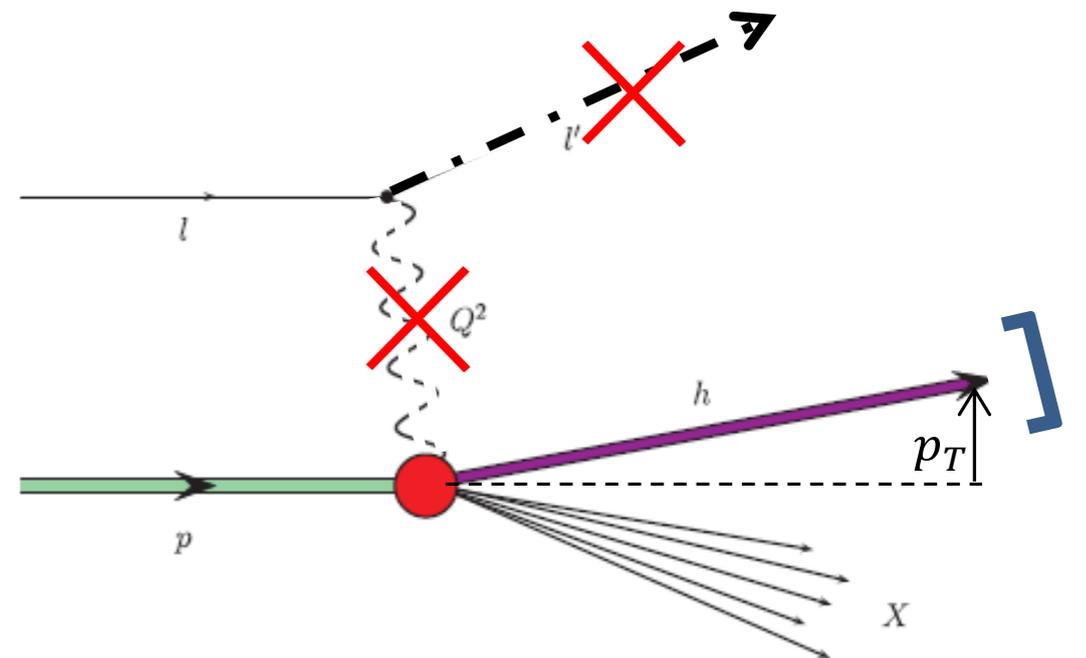


● “polarized” Cahn effect largely consistent with zero

Semi-inclusive hadrons



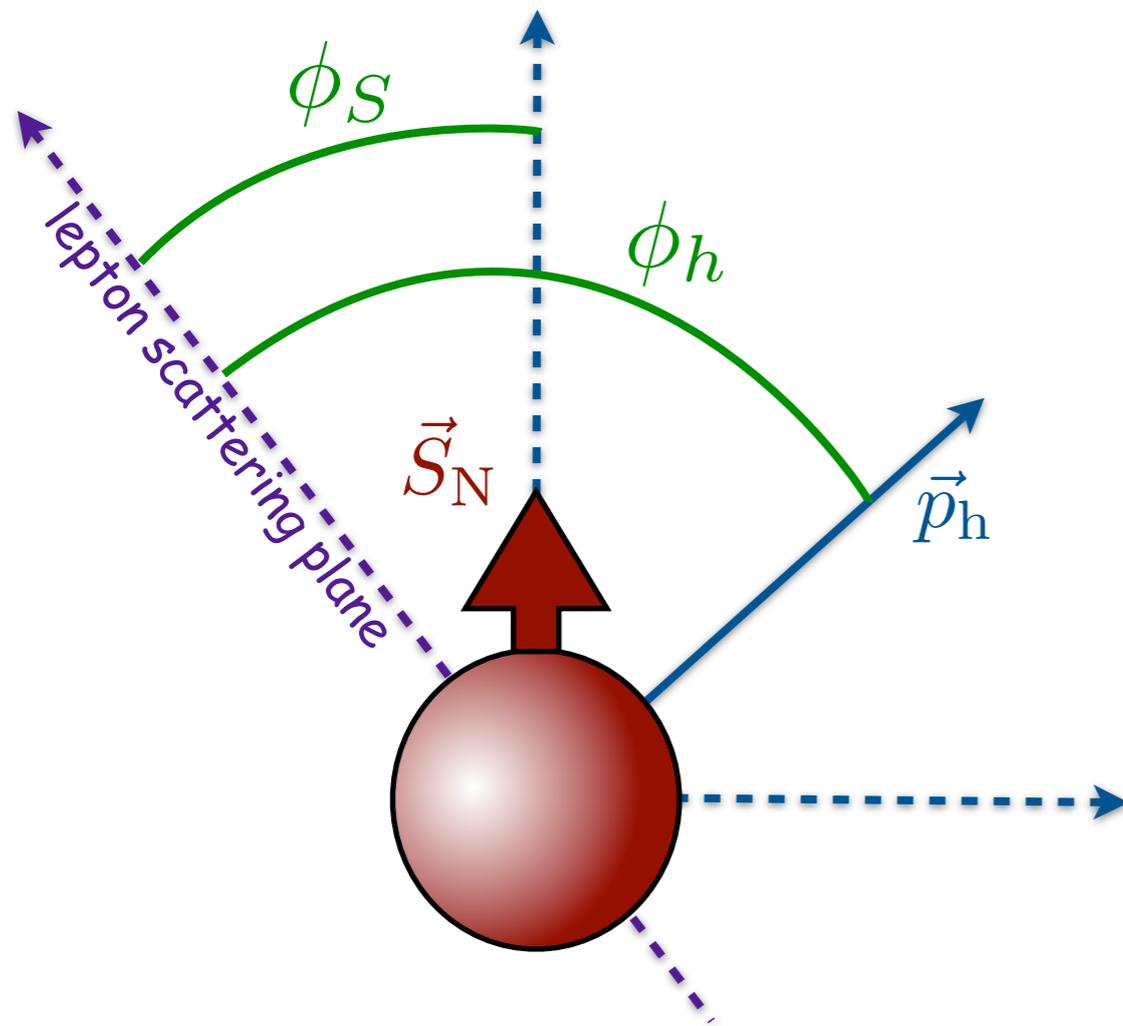
~~S~~emi-inclusive hadrons



[click here if \(likely\) out of time](#)

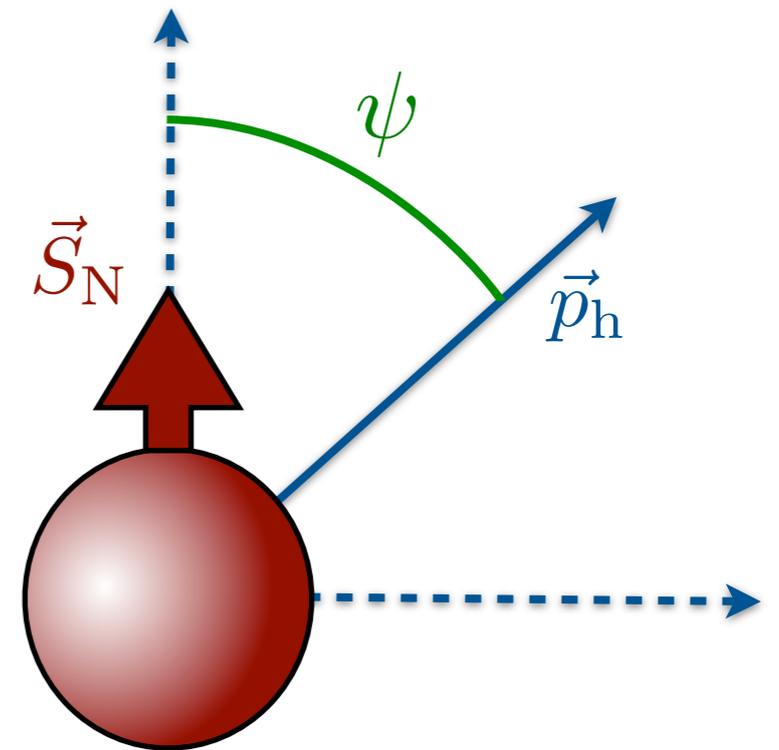
Inclusive hadron electro-production

$$ep^{\uparrow} \rightarrow ehX$$



virtual photon going
into the page

$$ep^{\uparrow} \rightarrow hX$$



lepton beam going
into the page

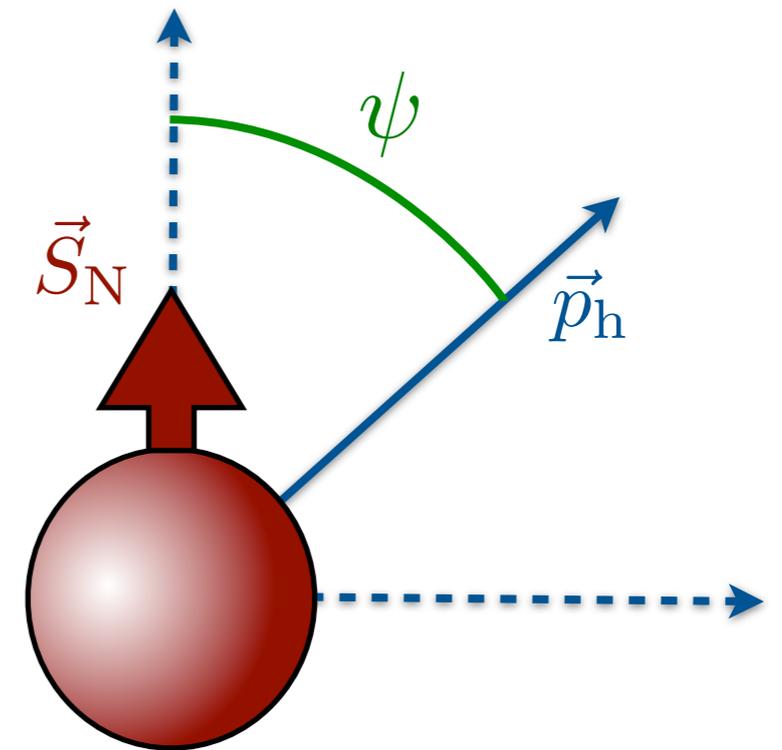
$$\psi \simeq \phi_h - \phi_S$$

→ "Sivers angle"

Inclusive hadron electro-production

- scattered lepton undetected
 ↳ lepton kinematics unknown
- dominated by quasi-real photo-production (low Q^2)
 ↳ hadronic component of photon relevant?
- cross section proportional to $S_N (\mathbf{k} \times \mathbf{p}_h) \sim \sin\psi$

$$ep^{\uparrow} \rightarrow hX$$



$$A_{UT}(P_T, x_F, \psi) = A_{UT}^{\sin\psi}(P_T, x_F) \sin\psi$$

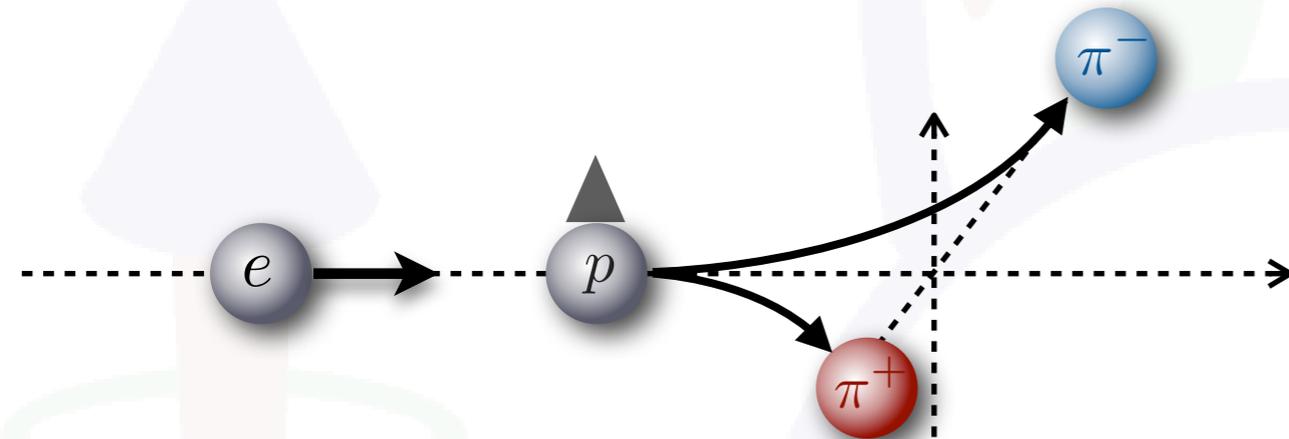
$$A_N \equiv \frac{\int_{\pi}^{2\pi} d\psi \sigma_{UT} \sin\psi - \int_0^{\pi} d\psi \sigma_{UT} \sin\psi}{\int_0^{2\pi} d\psi \sigma_{UU}}$$

$$= -\frac{2}{\pi} A_{UT}^{\sin\psi}$$

1D dependences of $A_{UT} \sin\psi$ amplitude

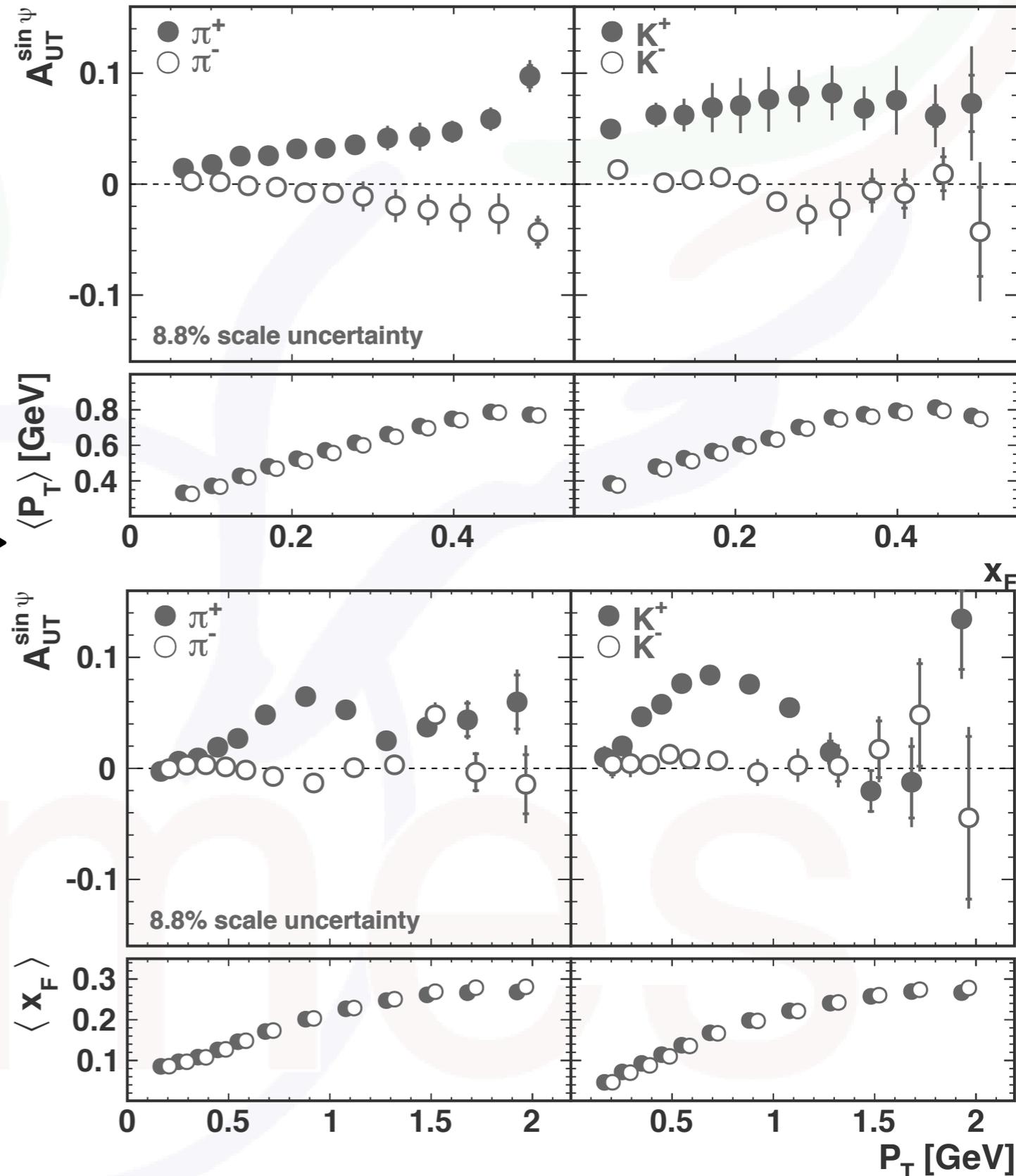
- clear left-right asymmetries for pions and positive kaons

- increasing with x_F (as in pp)



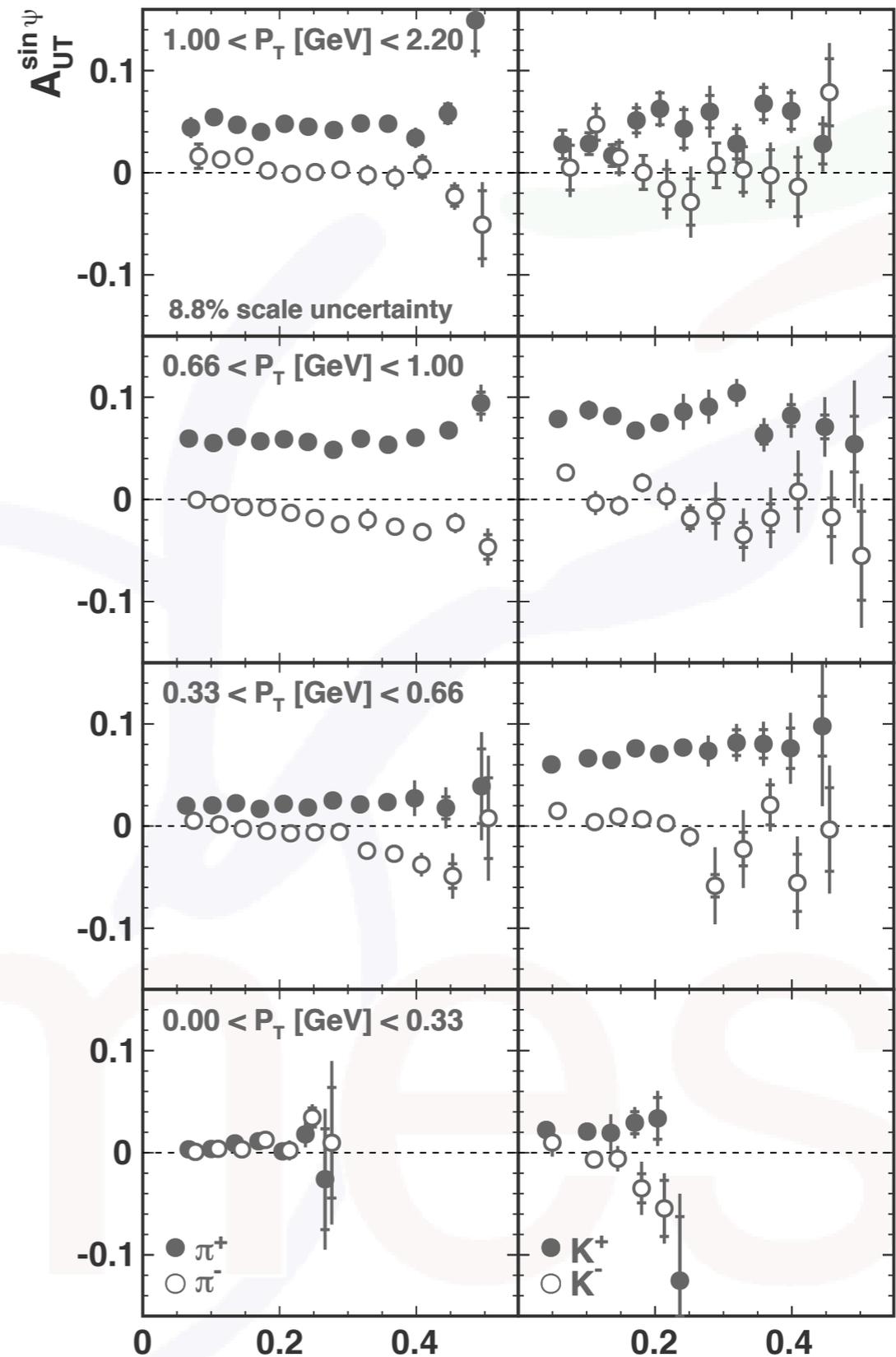
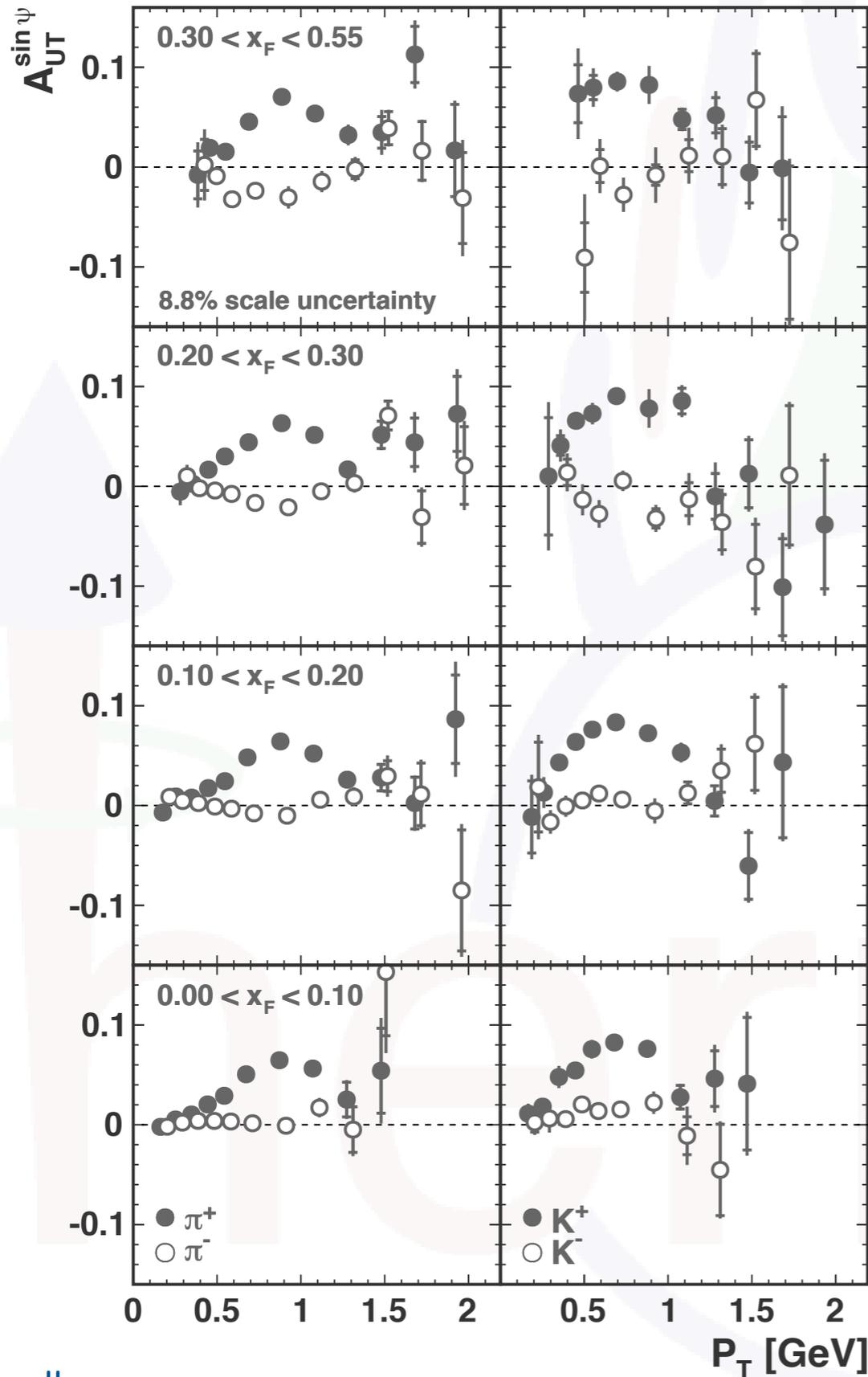
- initially increasing with P_T with a fall-off at larger P_T

[Airapetian et al., Phys. Lett. B 728, 183-190 (2014)]



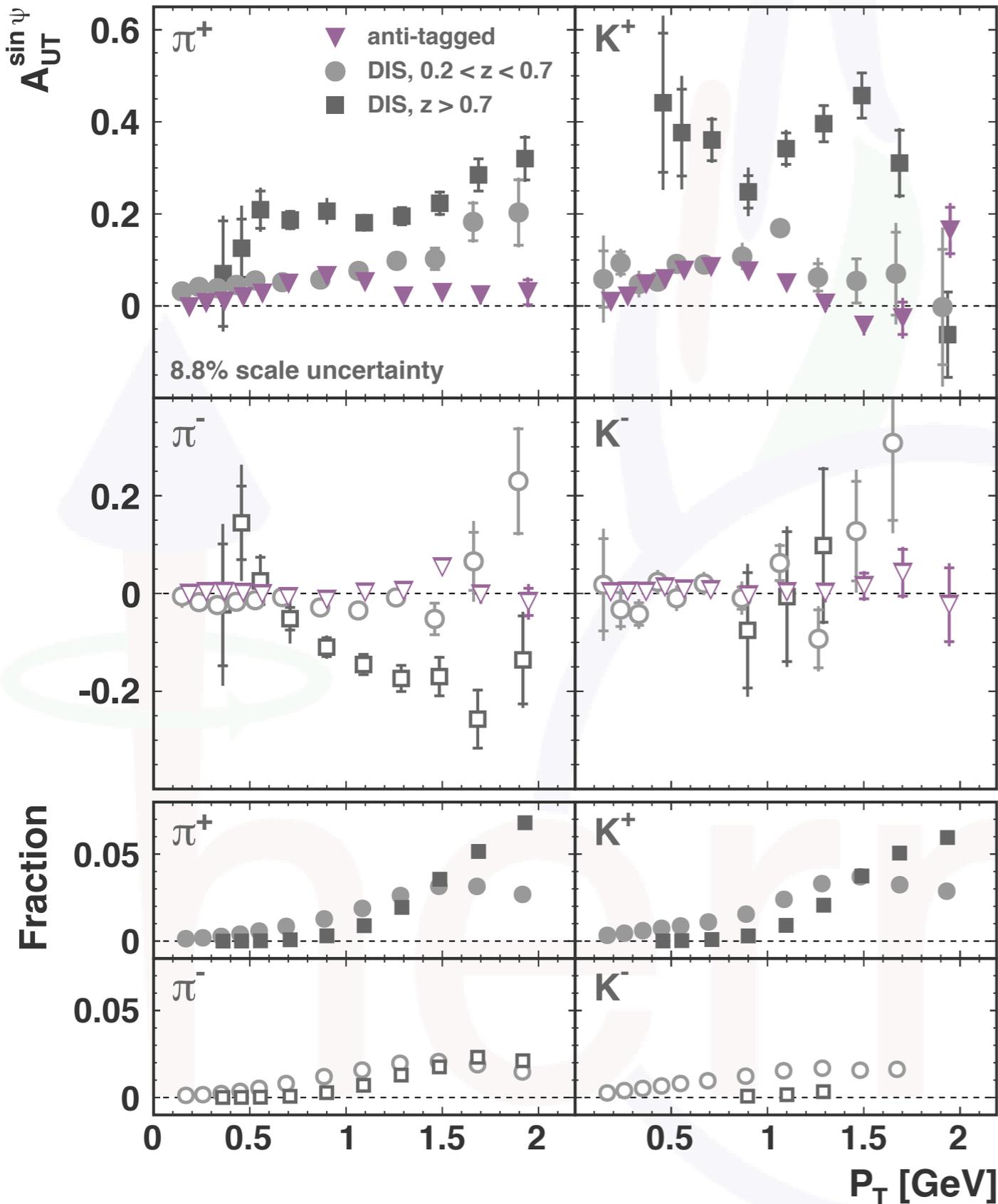
Inclusive hadrons: 2D dependences

[Airapetian et al., Phys. Lett. B 728, 183-190 (2014)]



Asymmetries of subprocesses

[Airapetian et al., Phys. Lett. B 728, 183-190 (2014)]



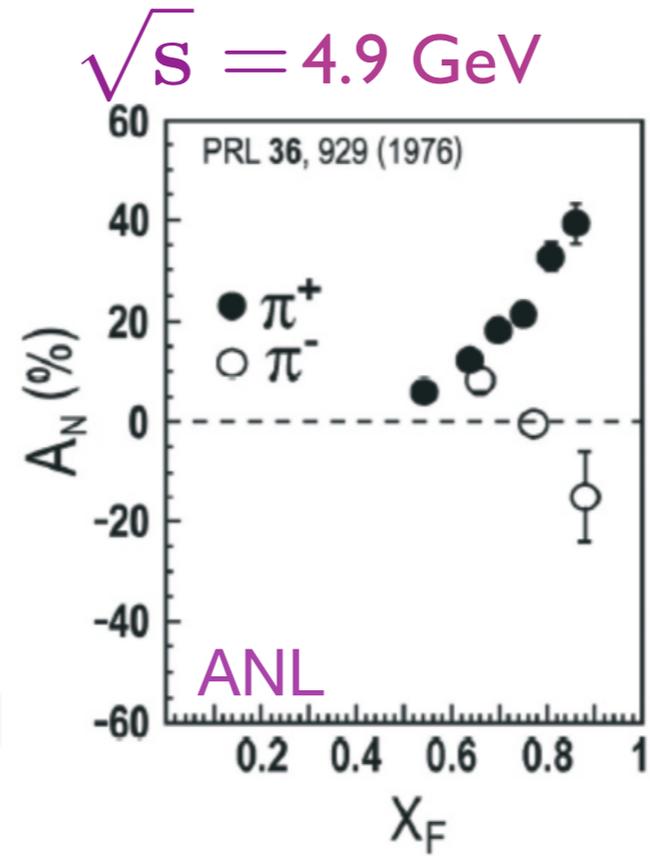
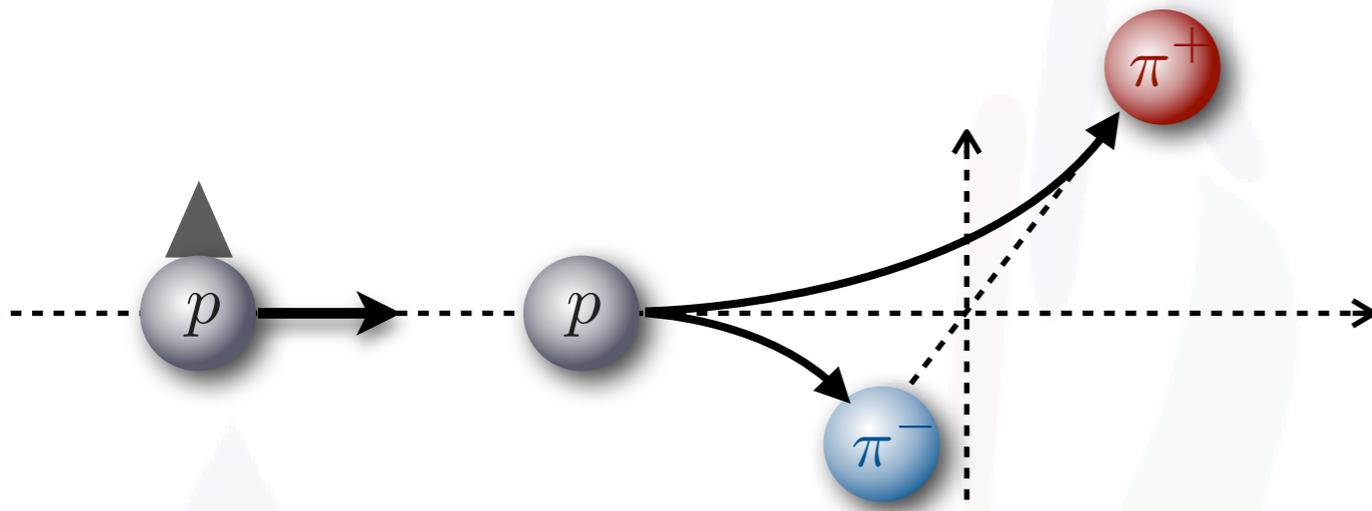
“anti-tagged”
no lepton in
acceptance

DIS
 $0.2 < z < 0.7$

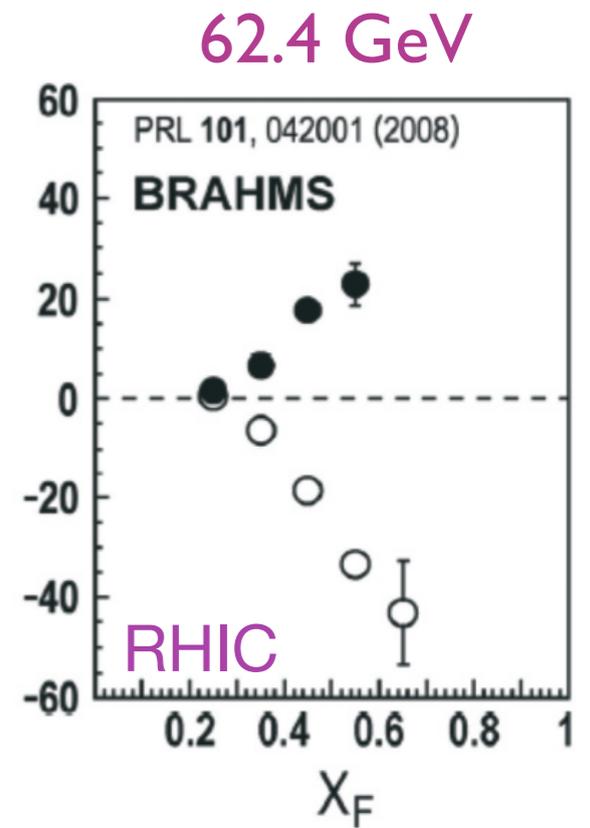
DIS $z > 0.7$

- at large P_T significant contribution from DIS events ($Q^2 > 1$)
- asymmetries increase with larger z
- large asymmetries also for π^- in case of $z > 0.7$

the other inclusive SSAs

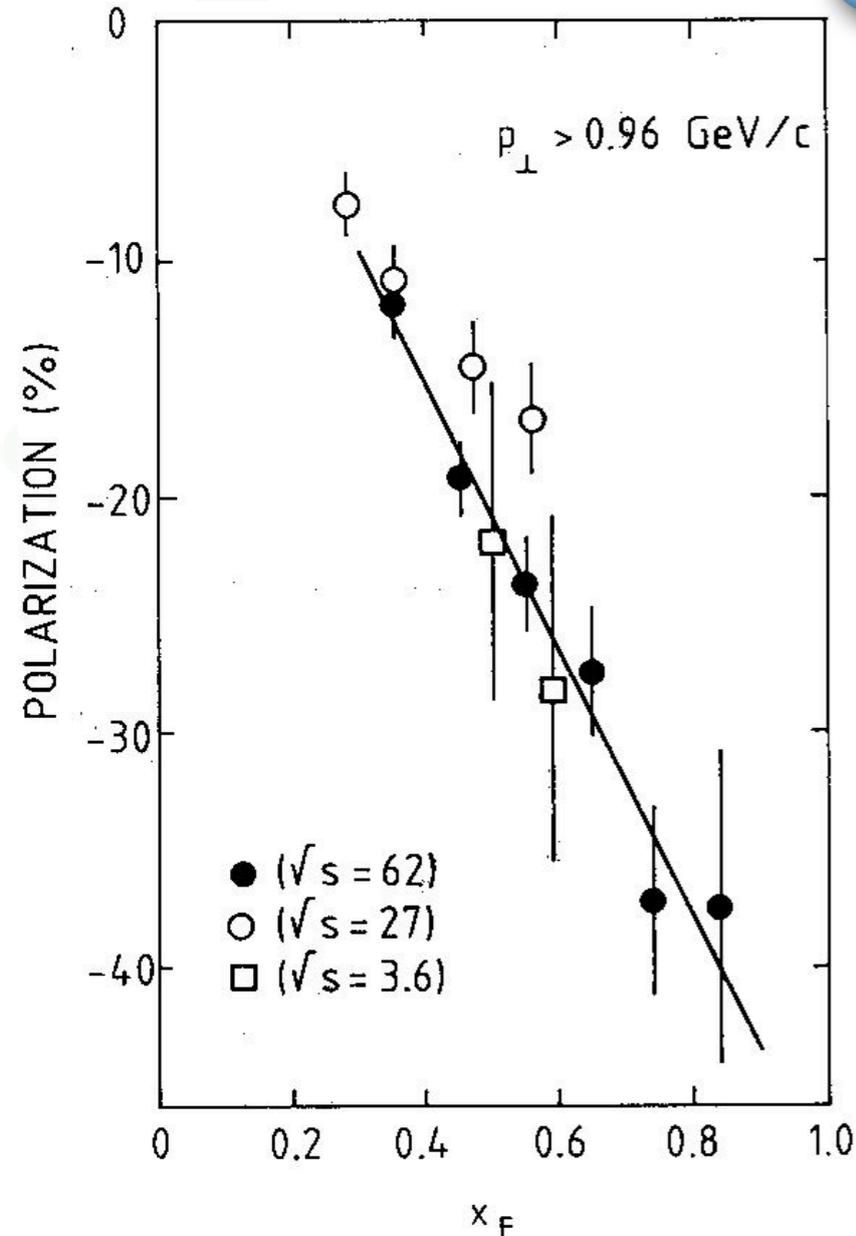
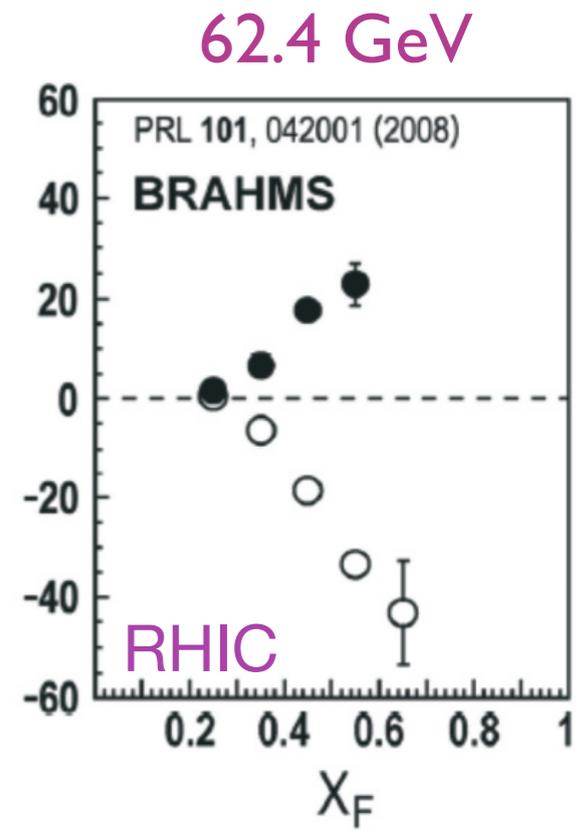
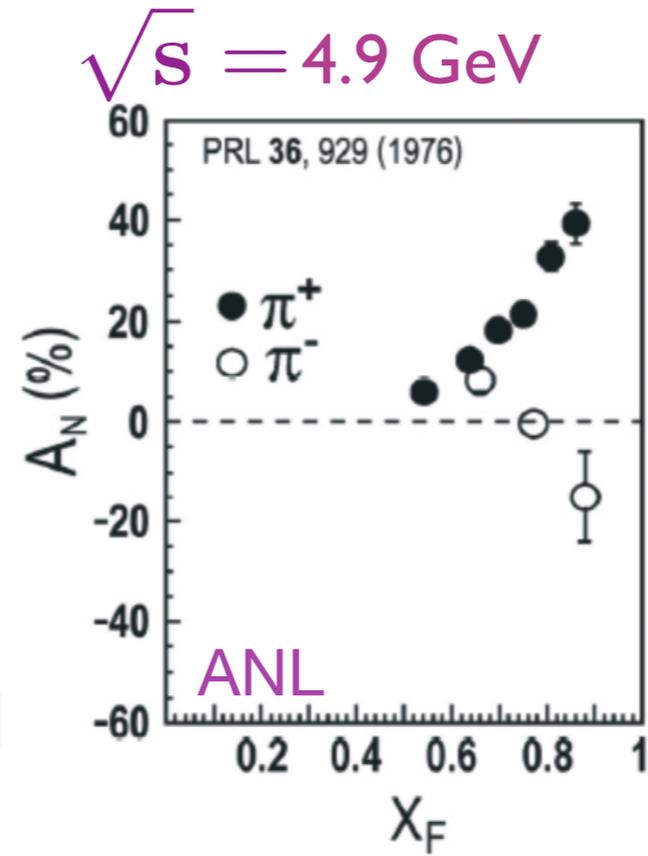
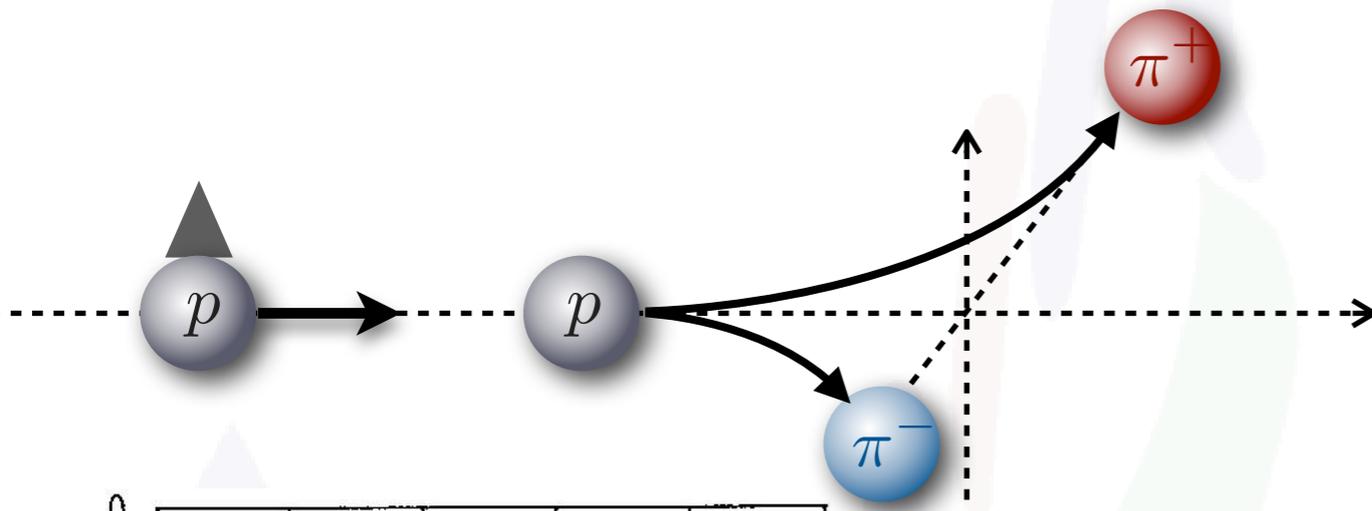


1976



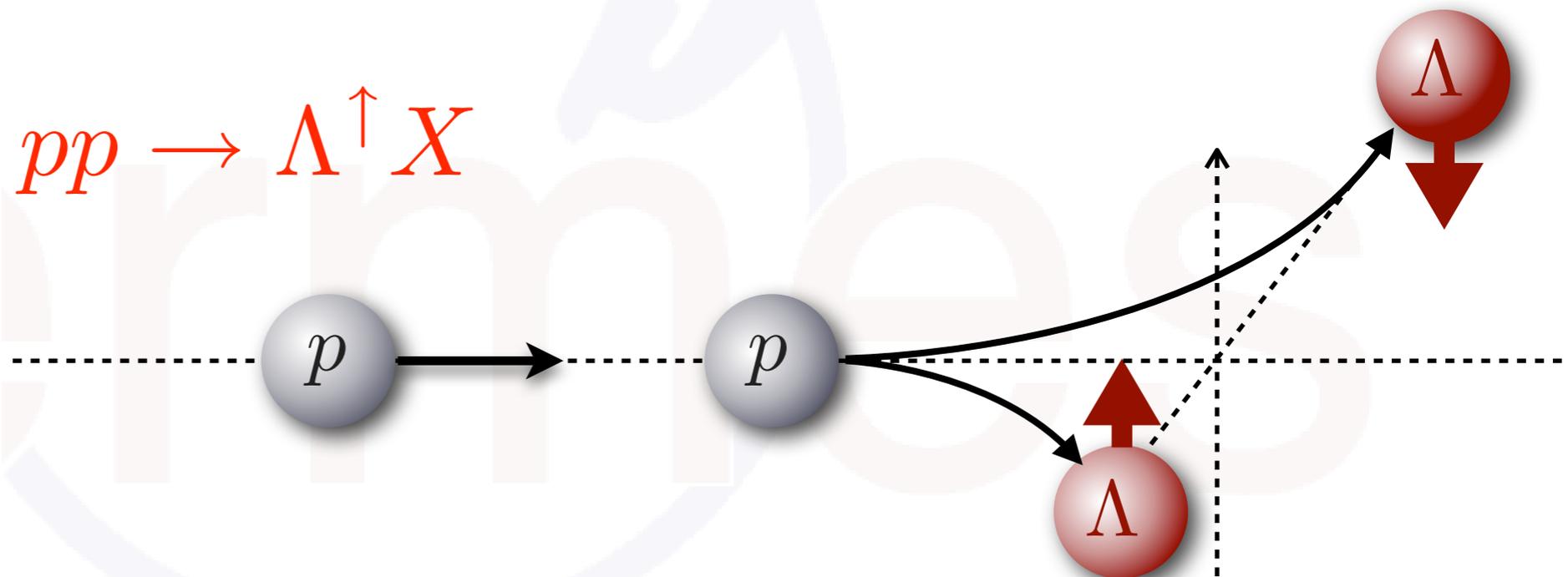
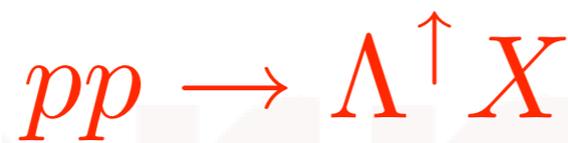
2008

the other inclusive SSAs



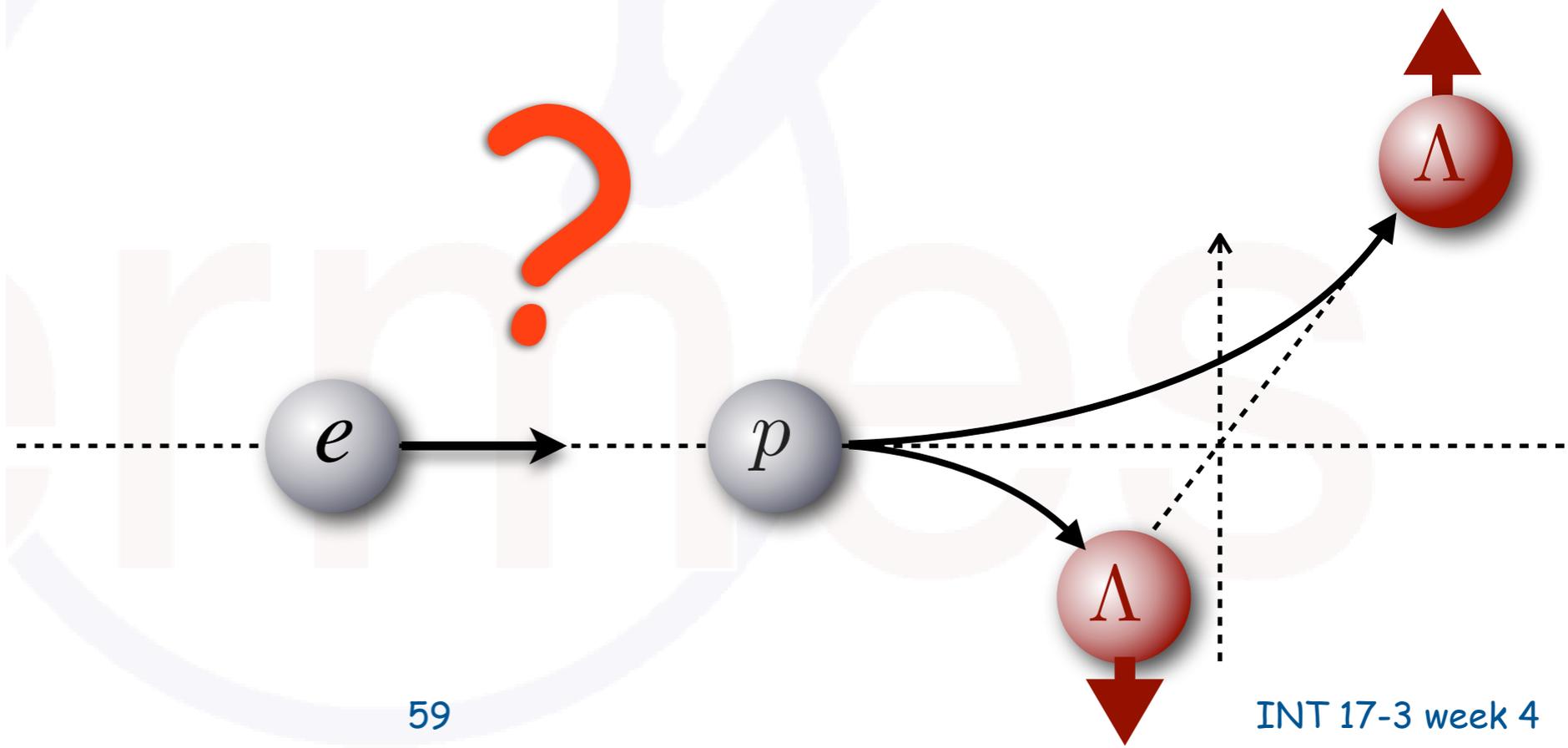
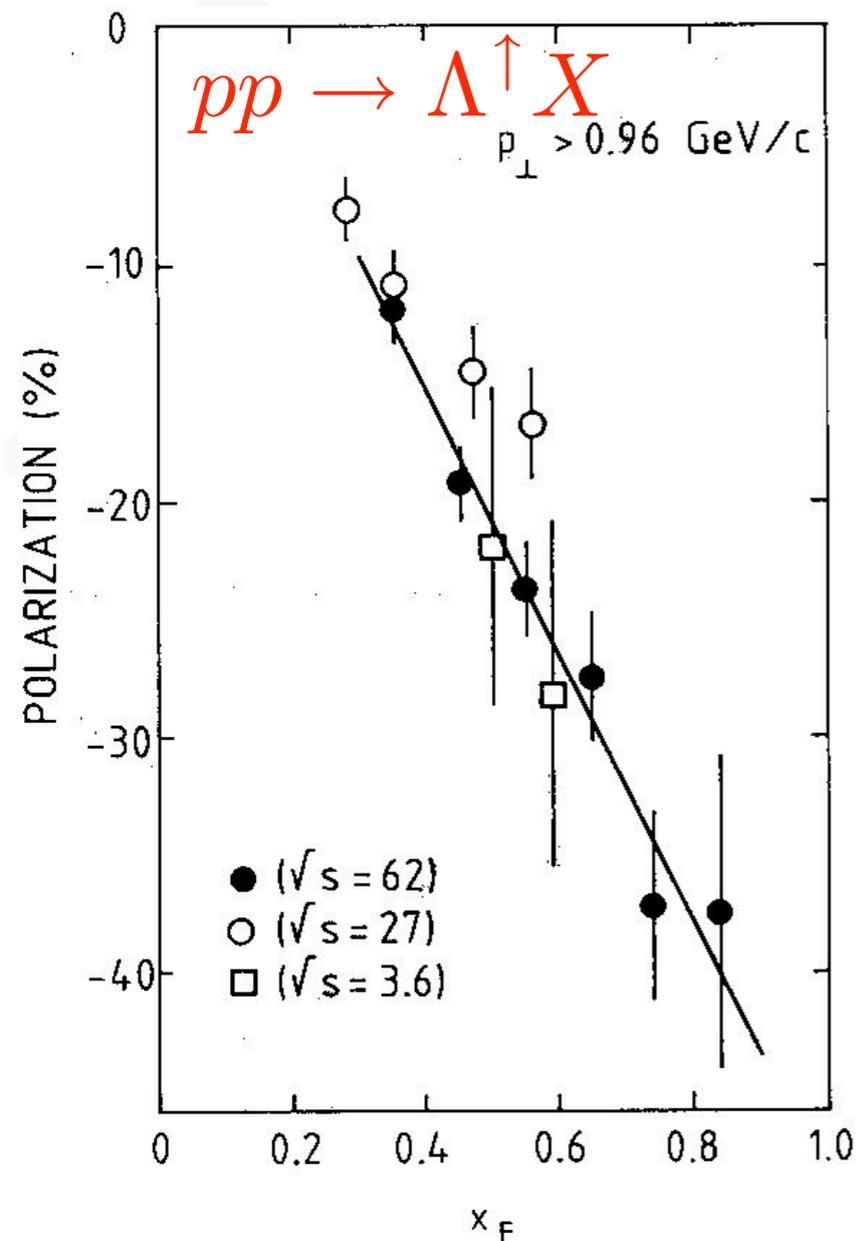
1976

2008



the other inclusive SSA

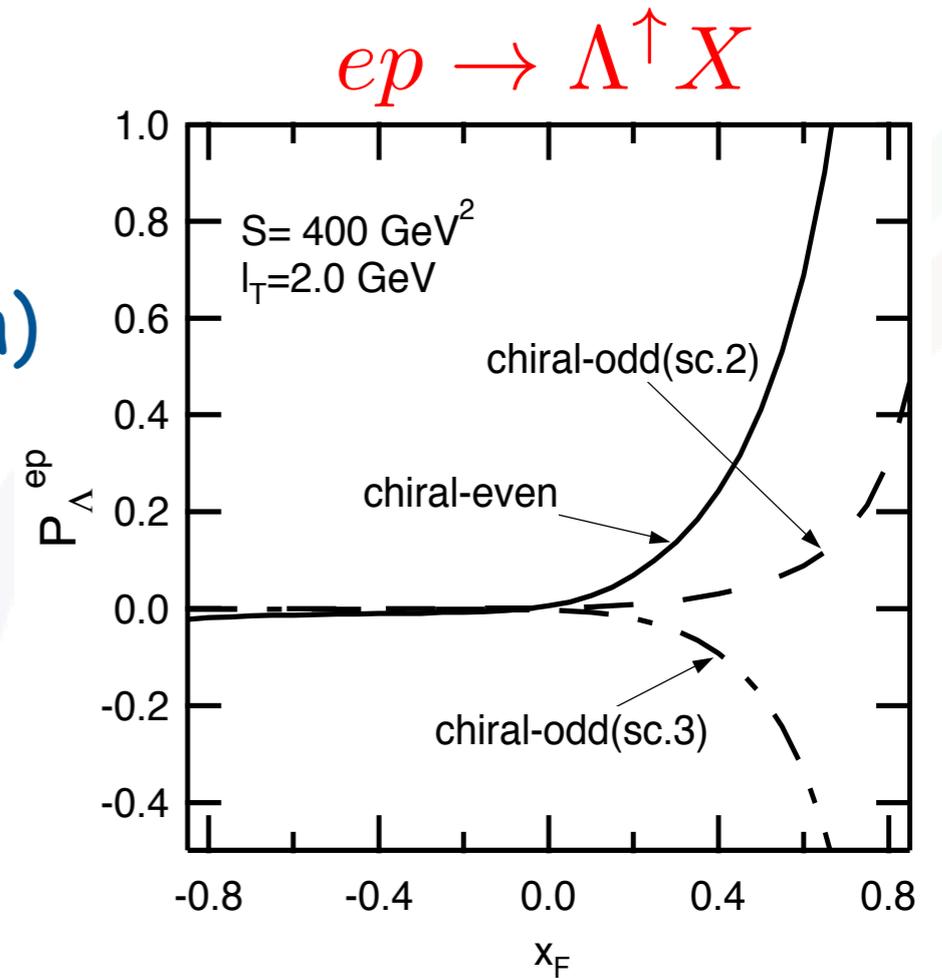
in SIDIS (large Q^2) proportional to
polarizing FF D_{1T}^\perp (naive T-odd, chiral-even)



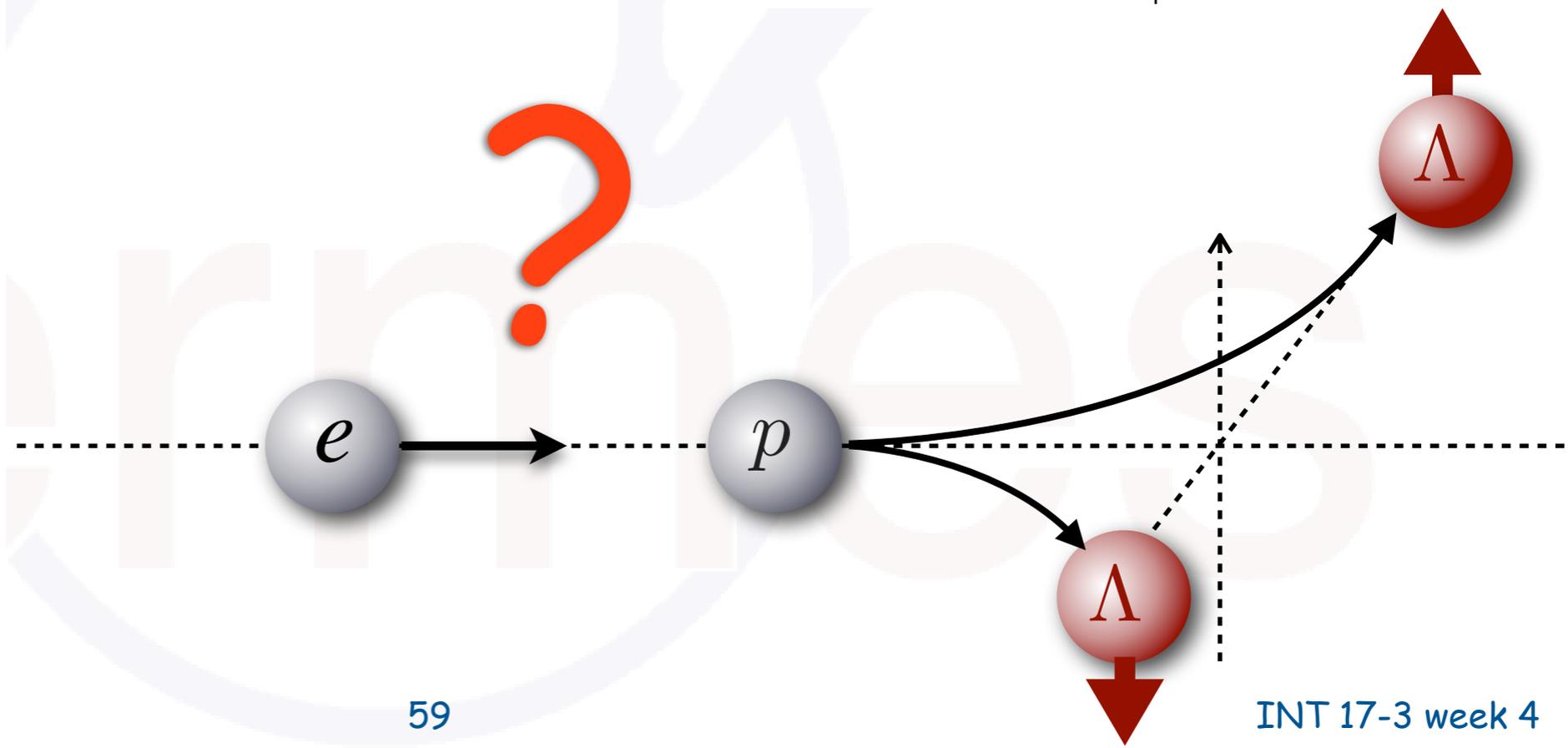
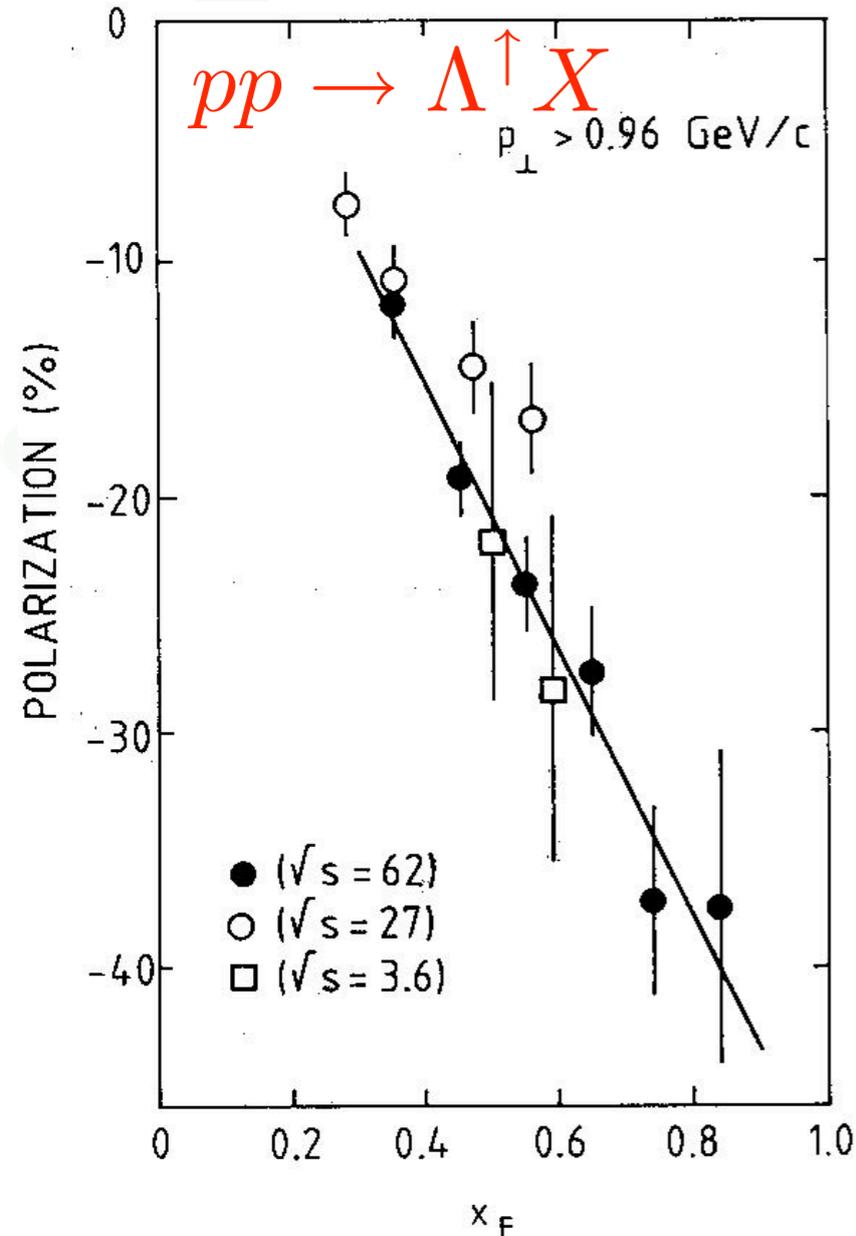
the other inclusive SSA

in SIDIS (large Q^2) proportional to polarizing FF D_{1T}^\perp (naive T-odd, chiral-even)

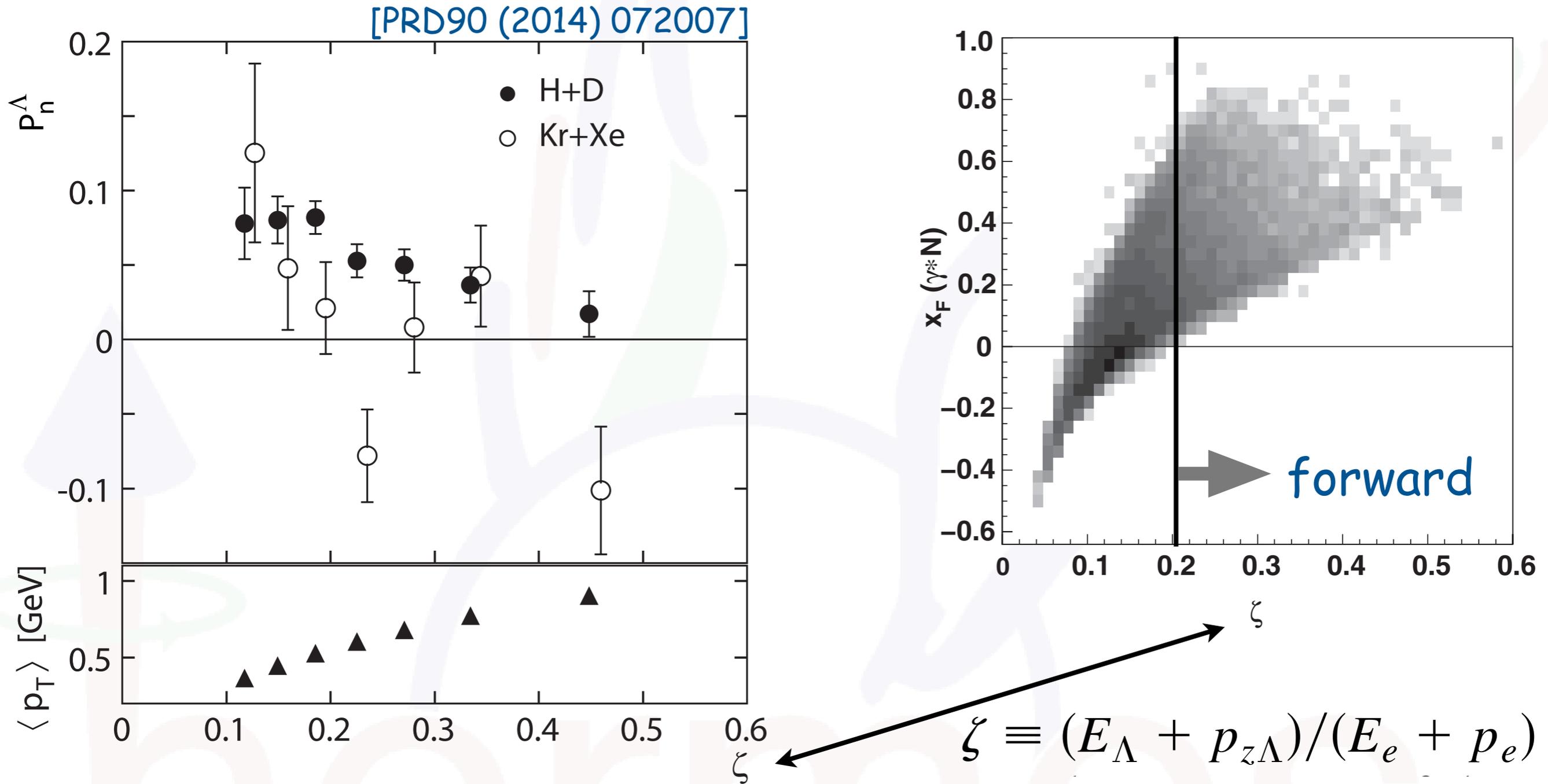
in twist-3 factorization opposite sign to pp



[Y. Koike, hep-ph/0210434]

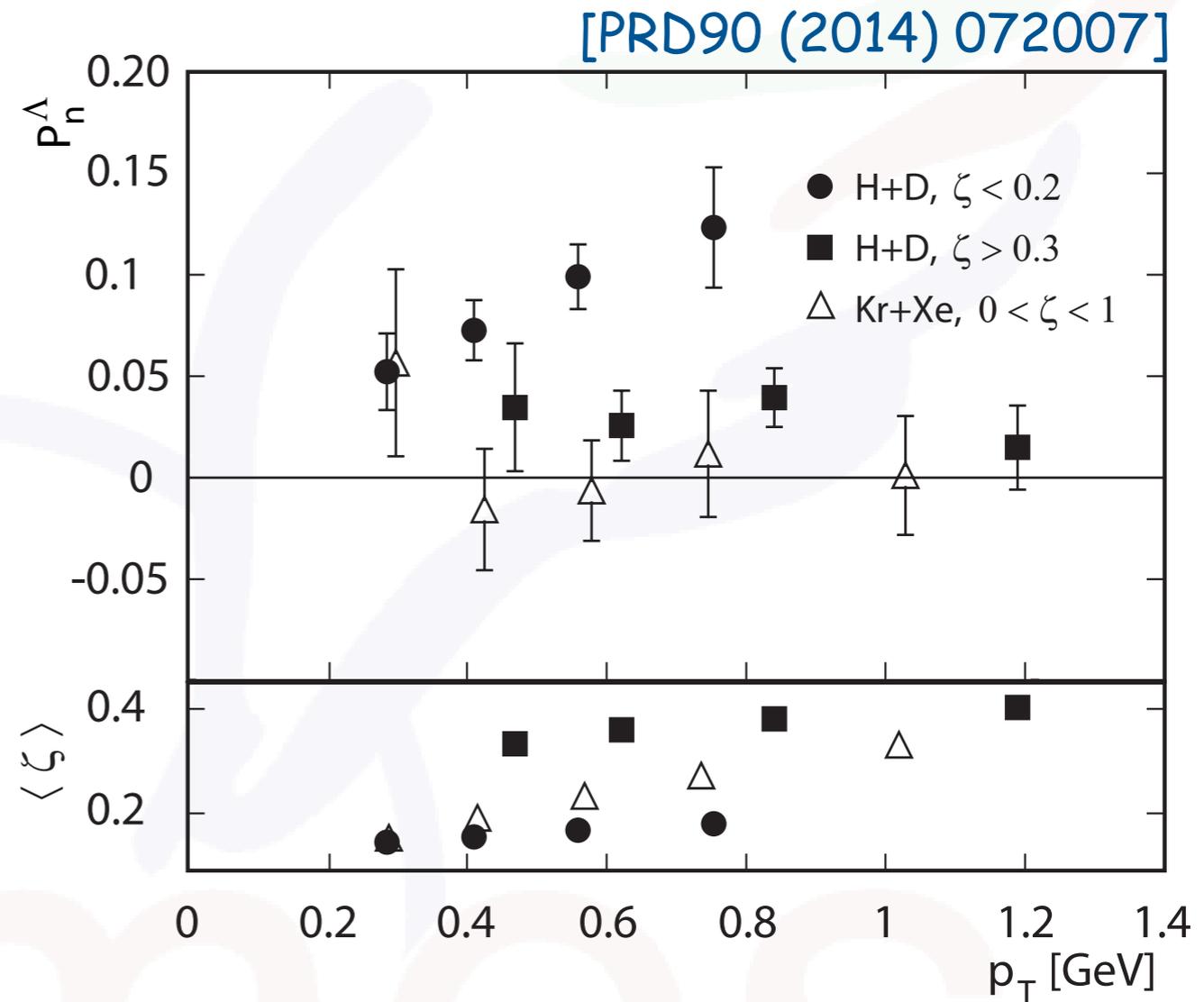
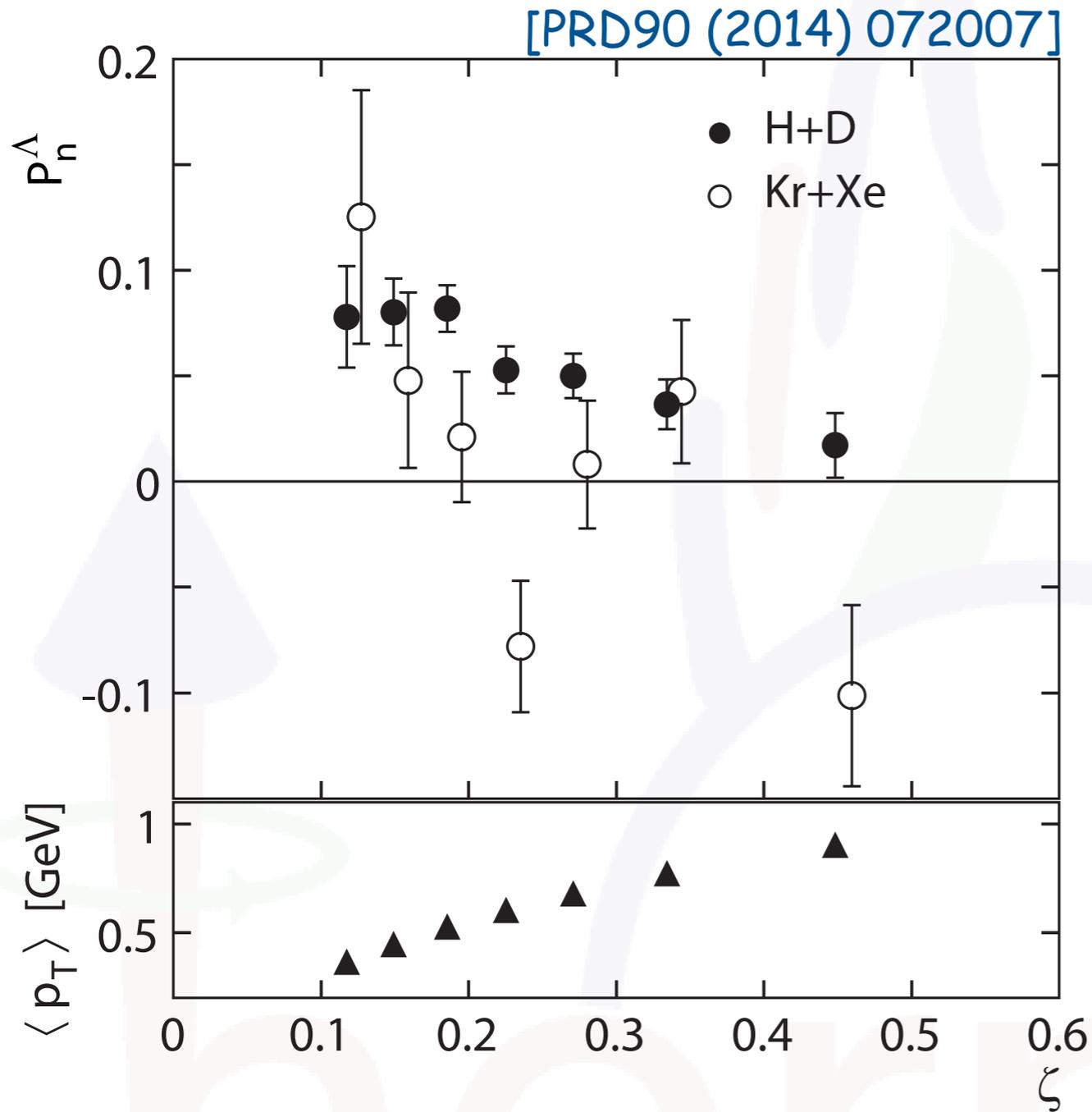


the other inclusive SSA



- larger in backward direction w.r.t. incoming lepton
- consistent with x_F dependence of twist-3 calculation (note: opposite sign conventions for x_F !)

the other inclusive SSA



- larger in backward direction w.r.t. incoming lepton
- distinct p_T dependences in forward and backward directions: rising with p_T in backward direction as in pp

conclusions before the summary

- HERMES conceived almost 3 decades ago in order to solve the "spin crisis"
- measure precisely the quark-spin and somewhat the gluon spin contribution to the proton spin
- no orbital angular momentum on the menu
- no real transverse-spin physics
- up to g_2 and the Burkhardt-Cottingham S.R. ...
... and that mainly to have a more precise g_1 measurement

conclusions before the summary

- HERMES conceived almost 3 decades ago to solve the "spin crisis"
- measure precisely the quark spin contribution to the proton spin
- no orbital angular momentum on the menu
- no real time spin physics
- ... at the Burkhardt-Cottingham S.R. ...
- ... that mainly to have a more precise g_1 measurement

always be open to trying out new paths

