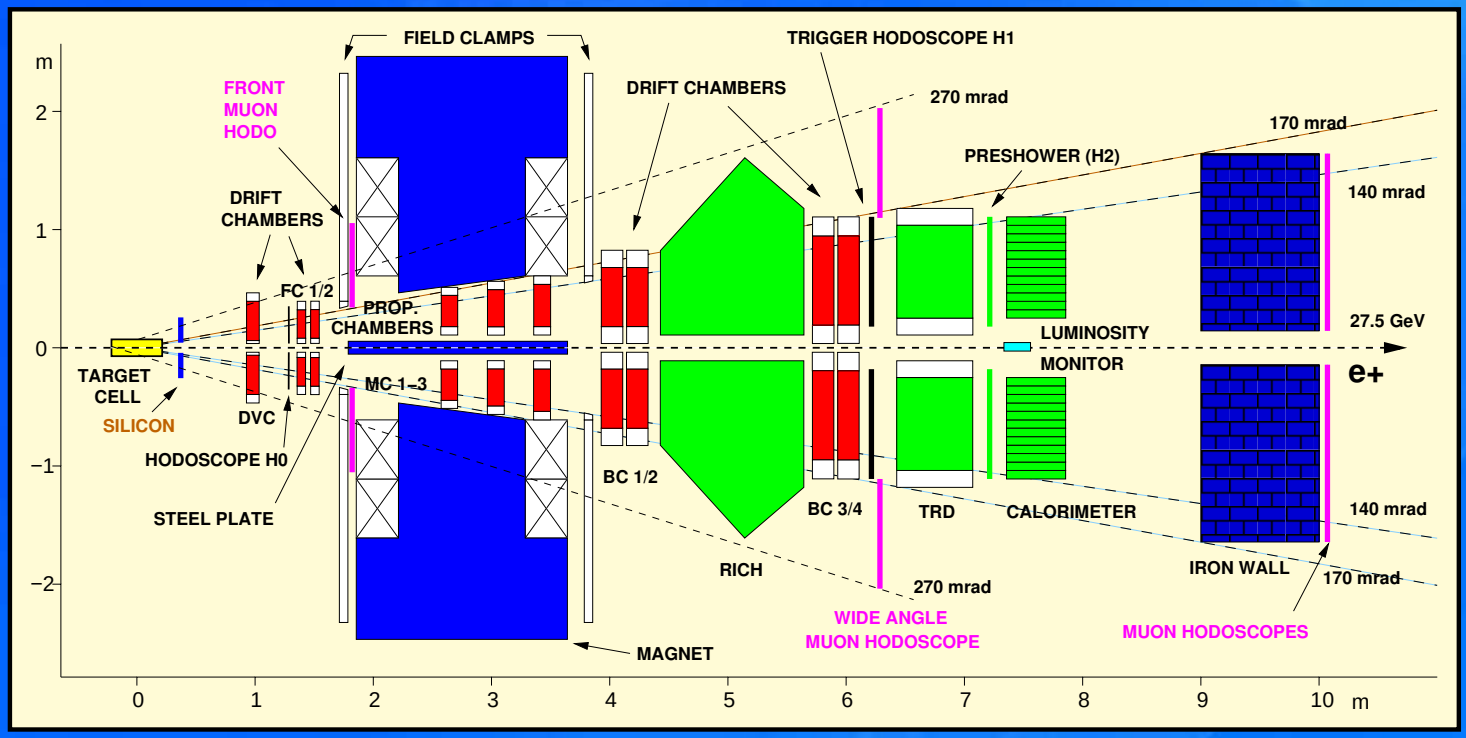
Spin Physics at HERMES

E.C. Aschenauer

DESY-ZEUTHEN

on behalf of the Collaboration

The HERMES Experiment at HERA



Kinematic Range: 0.02 $\leq$ x $\leq$ 0.8 at $Q^2 \geq 1 GeV^2$ and W $\geq$ 2GeV

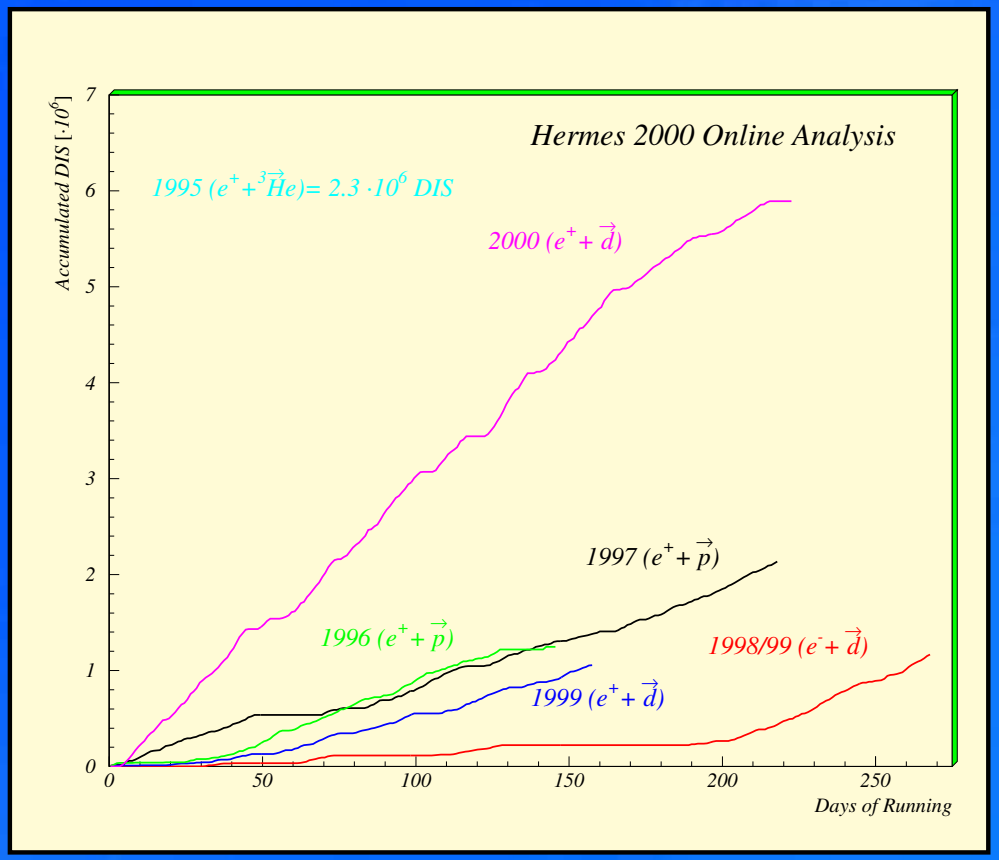
Reconstruction: δp/p 0.7 - 1.3%, $\delta\Theta \leq$ 0.6mrad

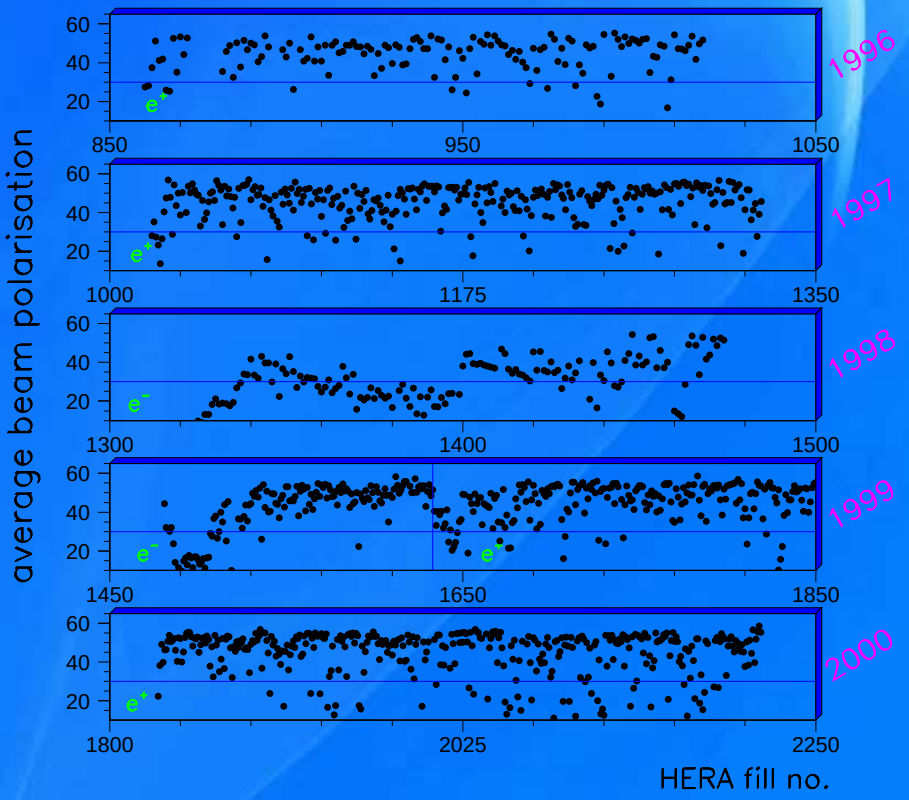
Internal Gas Target: $\overrightarrow{He}$, $\overrightarrow{D}$, $\overrightarrow{H}$, $H^{\uparrow}$ unpol: H_2, D_2, He, N_2, Ne, Ar, Kr

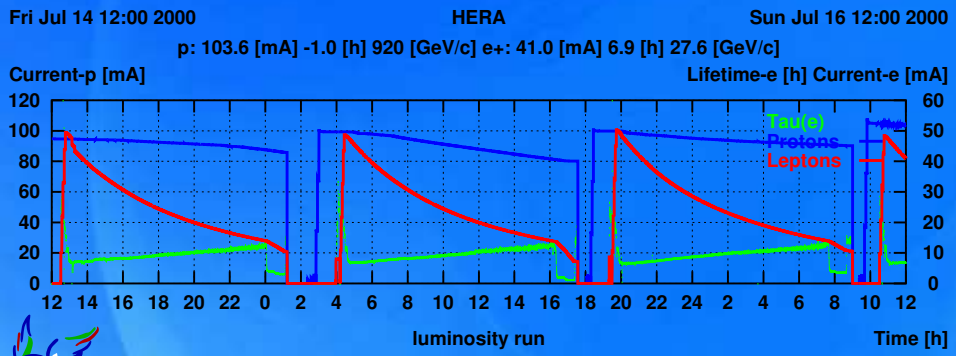
Particle ID: TRD, Preshower, Calorimeter

$\rightarrow$ 1997: $\check{C}$erenkov 1998 $\rightarrow$: RICH + Muon-ID

HERMES Run I







2000:

50% more polarised target density

Perfect performance of HERA

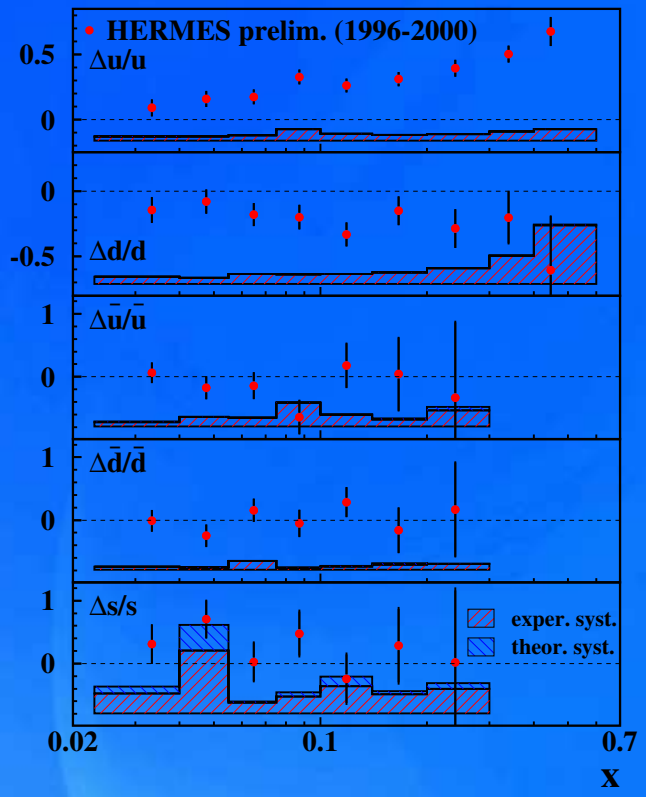
The Spin Structure of the Nucleon

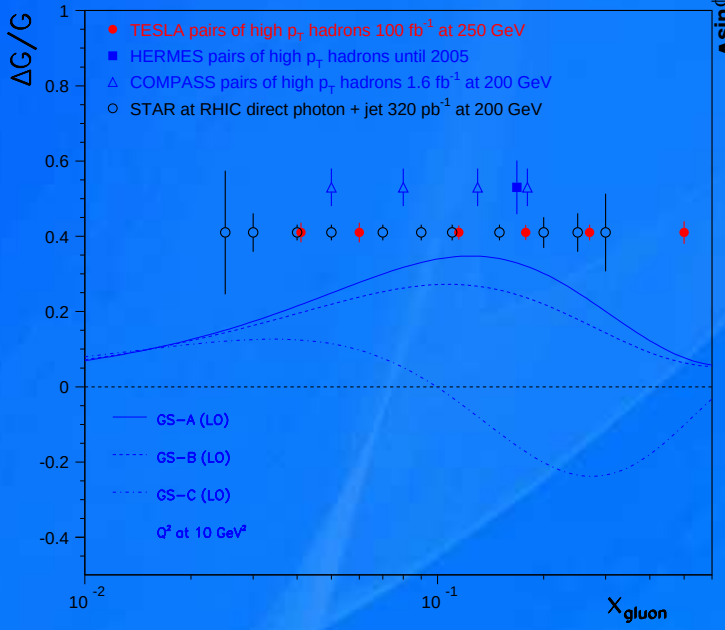
$$\frac{1}{2} = \frac{1}{2}\underbrace{(\Delta u + \Delta d + \Delta s)} + \Delta G + \underbrace{L_q + L_g}$$

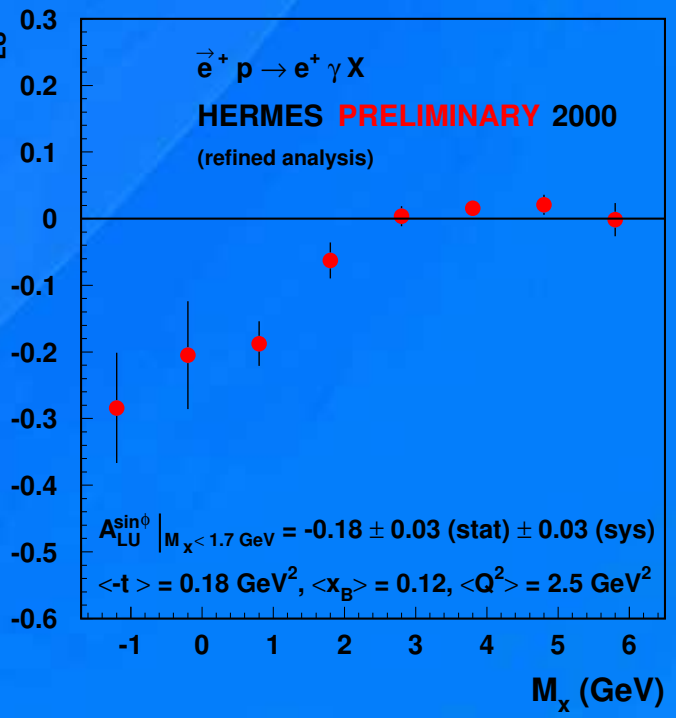
inclusive: g_p^1, $g_n^1 \rightarrow \Delta\Sigma$
semi-incl.: flavor separation

isolate PGF
high-p_t hadrons

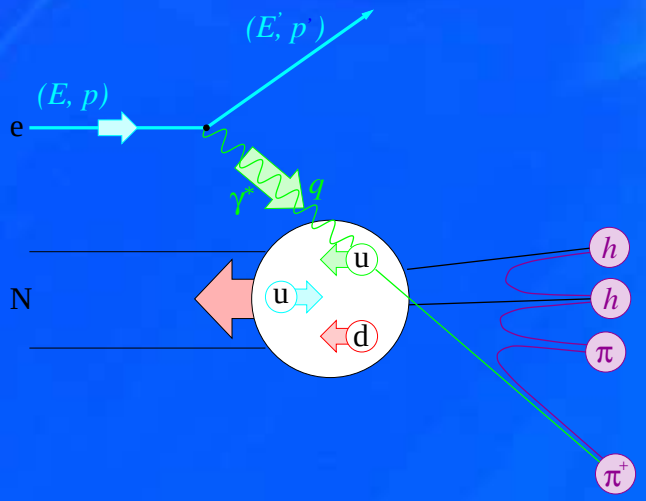
exclusive: π, γ
Production







Polarized Deep Inelastic Scattering



Important Variables:

$$Q^2 \overset{lab}{=} 4EE'\sin^2\left(\frac{\theta}{2}\right) \qquad \nu \overset{lab}{=} E - E'$$

$$x \overset{lab}{=} \frac{Q^2}{2m\nu} \qquad y \overset{lab}{=} \frac{\nu}{E} = \frac{p\cdot q}{p\cdot k}$$

$$z \overset{lab}{=} \frac{E_h}{\nu}$$

Cross Section:

$$\frac{d^2\sigma}{d\Omega dE^2} = \frac{\alpha^2 E'}{Q^2 E} L_{\mu\nu} W^{\mu\nu}$$

$$L_{\mu\nu} = 2[k_\mu k'_\nu + k_\nu k'_\mu - \left(k\cdot k' - m_e^2\right) g_{\mu\nu} + im_e \epsilon_{\mu\nu\alpha\beta} S^\alpha \left(k-k'\right)^\beta]$$

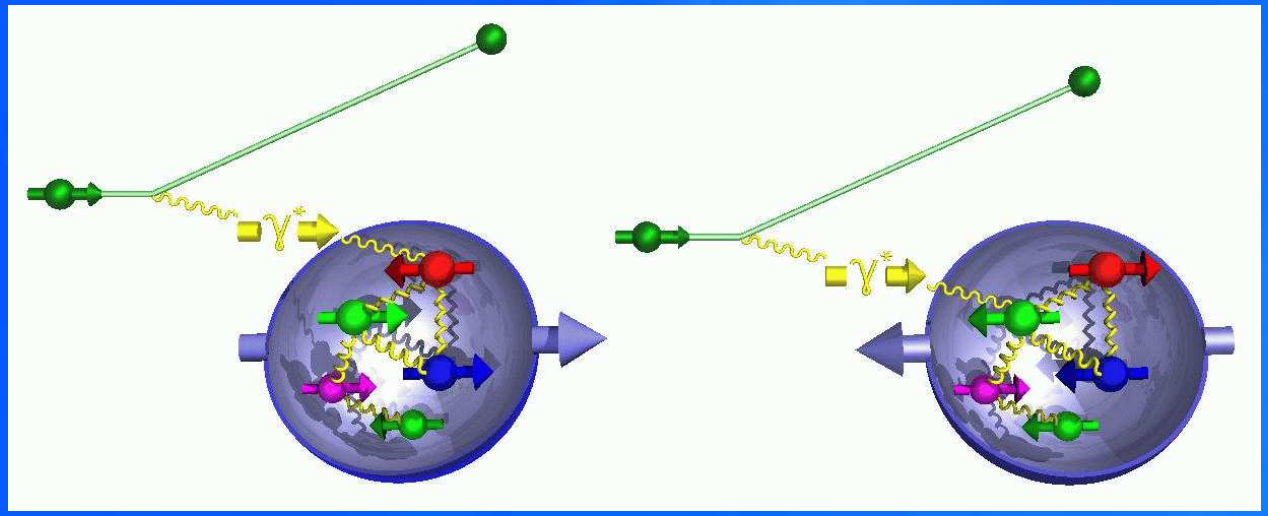
$$W^{\mu\nu} = -g^{\mu\nu} F_1\left(x,Q^2\right) + \frac{p^\mu p^\nu}{\nu} F_2\left(x,Q^2\right)$$
$$+ i\epsilon^{\mu\nu\lambda\sigma}\frac{q_\lambda}{\nu}\left(S_\sigma g_1\left(x,Q^2\right) + \frac{1}{\nu}\left(p\cdot qS_\sigma - S\cdot qp_\sigma\right) g_2\left(x,Q^2\right)\right)$$

(for spin 1)
$$-b1\left(x,Q^2\right) r_{\mu\nu} + \frac{1}{6} b2\left(x,Q^2\right)\left(s_{\mu\nu} + t_{\mu\nu} + u_{\mu\nu}\right)$$
$$+\frac{1}{2} b3\left(x,Q^2\right)\left(s_{\mu\nu} - u_{\mu\nu}\right) + \frac{1}{2} b4\left(x,Q^2\right)\left(s_{\mu\nu} - t_{\mu\nu}\right)$$

F_1,F_2 / g_1,g_2 $\Longrightarrow$ **Unpolarized / Polarized Structure Functions**

NO: relativistic effects , intrinsic k_T, quark masses and correlations

Virtual Photon Asymmetry



polarized Photon can only probe quarks with spin opposite to its own

$\vec{S}_\gamma + \vec{S}_N = 3/2$ $\quad \sigma_{3/2} \sim q^-(x)$

unpolarized target + beam

$\vec{S}_\gamma + \vec{S}_N = 1/2$ $\quad \sigma_{1/2} \sim q^+(x)$

polarized target + beam

flipping target spin

Quark Distributions:

$$q_f(x) := q_f^+(x) + q_f^-(x)$$

Quark Spin Distributions:

$$\Delta q_f(x) := q_f^+(x) - q_f^-(x)$$

($f = u, d, s, \overline{u}, \overline{d}, \overline{s}$)

Virtual Photon Asymmetries:

$$A_1 = \frac{\sigma_{\frac{1}{2}} - \sigma_{\frac{3}{2}}}{\sigma_{\frac{1}{2}} + \sigma_{\frac{3}{2}}} = \frac{g_1 - \gamma^2 g_2}{F_1} \qquad A_2 = \frac{\sigma_{TL}}{\sigma_T} = \frac{\gamma(g_1 + g_2)}{F_1}$$

Measurable Asymmetries:

$$A_\parallel = \frac{\sigma^{\rightleftarrows} - \sigma^{\rightrightarrows}}{\sigma^{\rightleftarrows} + \sigma^{\rightrightarrows}} \qquad A_\perp = \frac{\sigma^{\uparrow\rightarrow} - \sigma^{\uparrow\leftarrow}}{\sigma^{\uparrow\rightarrow} + \sigma^{\uparrow\leftarrow}}$$

$$A_\parallel = D(A_1 + \eta A_2) \qquad A_\perp = d(A_2 + \xi A_1)$$

$F_1(x)$ = $\frac{1}{2}\sum_i e_i^2 (q_i^+(x) + q_i^-(x))$ = $\frac{1}{2}\sum_i e^2 q_i(x)$

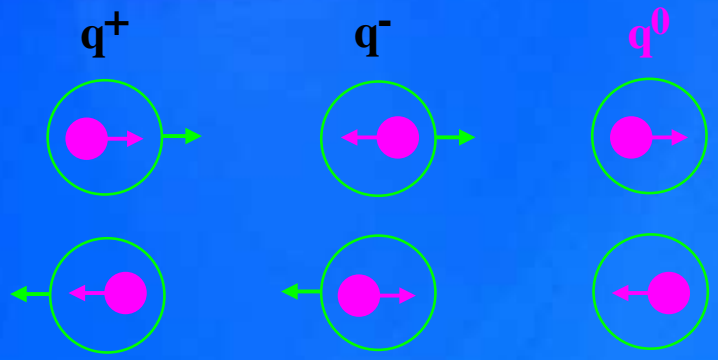
2xF_1 measures the momentum distribution of quarks

$g_1(x)$ = $\frac{1}{2}\sum_i e_i^2 (q_i^+(x) - q_i^-(x))$ = $\frac{1}{2}\sum_i e^2 \Delta q_i(x)$

g_1 measures the spin distribution of quarks

With: $D, d, R, \epsilon, \gamma$ **being kinematic factors**

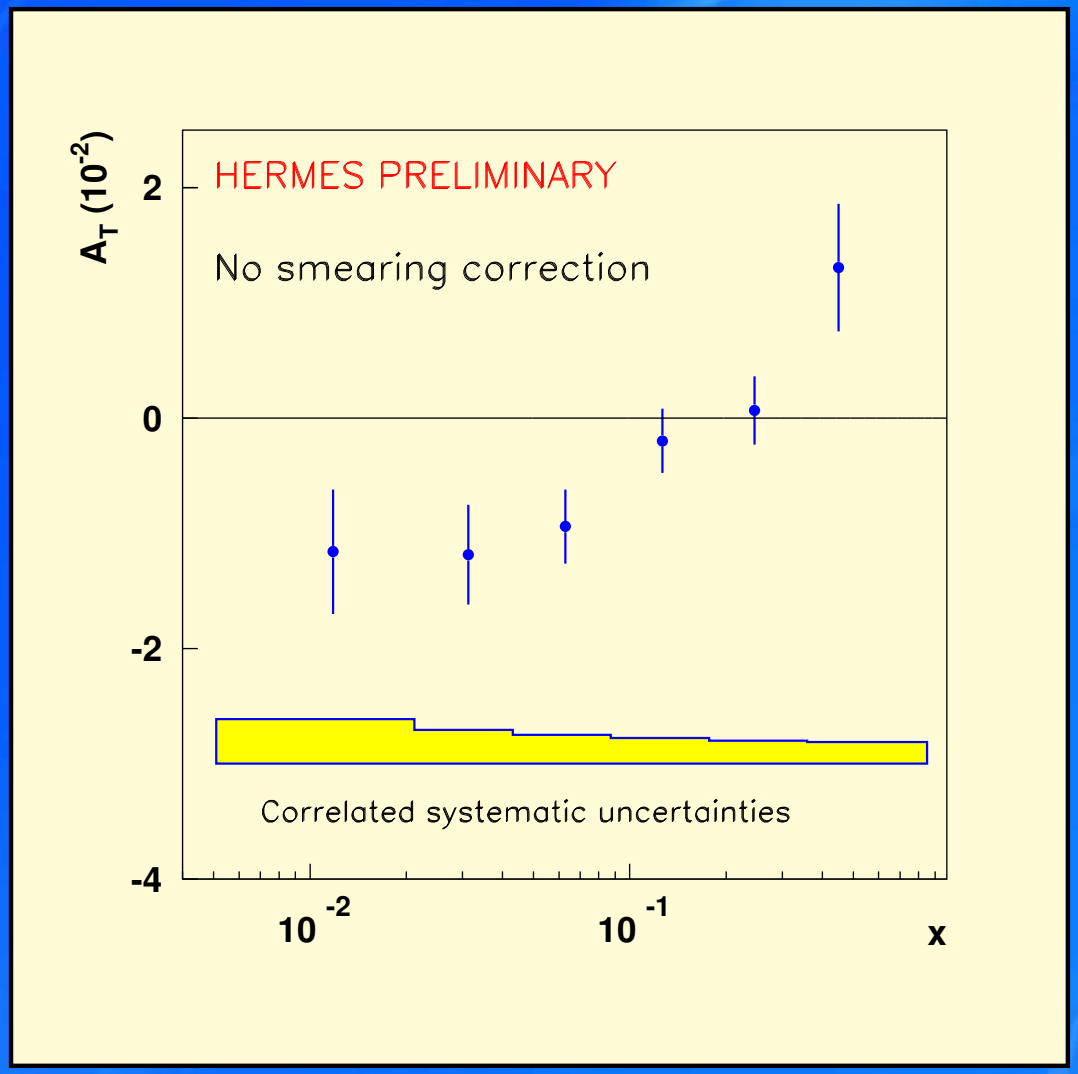
The Tensor Polarized Structure Funktion b_1^d



	Proton	Deuteron
$F_1(x)$:	$\frac{1}{2}\sum_i e_i^2(q_i^+(x)+q_i^-(x))$	$\frac{1}{3}\sum_i e_i^2(q_i^+(x)+q_i^-(x)+q_i^0(x))$
$g_1(x)$:	$\frac{1}{2}\sum_i e_i^2(q_i^+(x)-q_i^-(x))$	$\frac{1}{2}\sum_i e_i^2(q_i^+(x)-q_i^-(x))$
$b_1(x)$:		$\frac{1}{2}\sum_i e_i^2(2q_i^0(x)-(q_i^+(x)-q_i^-(x)))$

In the parton model b_1^d measures the difference in the quark momentum distributions of helicity 1 and 0 targets

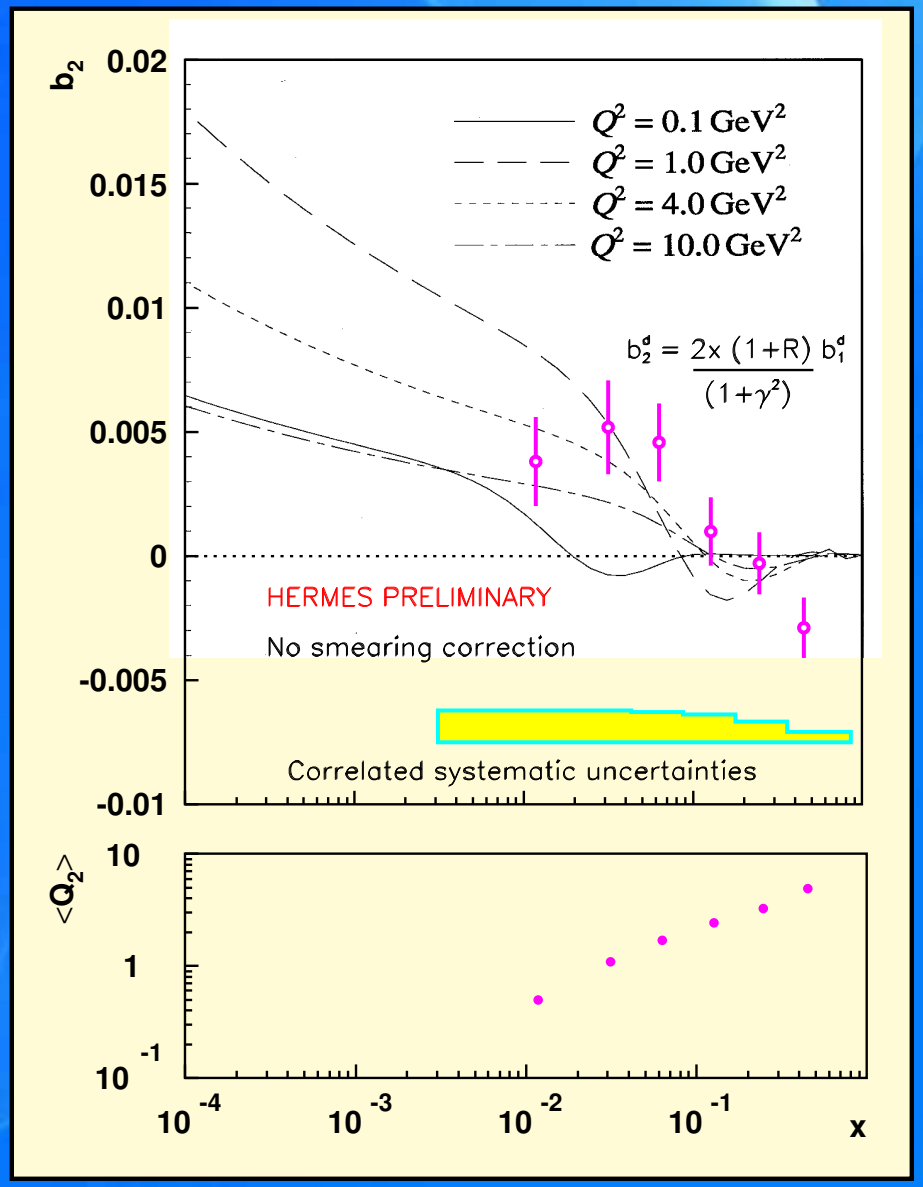
Results on b_1^d



$$A_T = \frac{1}{T} \cdot \frac{\frac{N^+}{L^+} + \frac{N^-}{L^-} - 2\frac{N^0}{L^0}}{\frac{N^+}{L^+} + \frac{N^-}{L^-} + \frac{N^0}{L^0}}$$

T: target polarisation

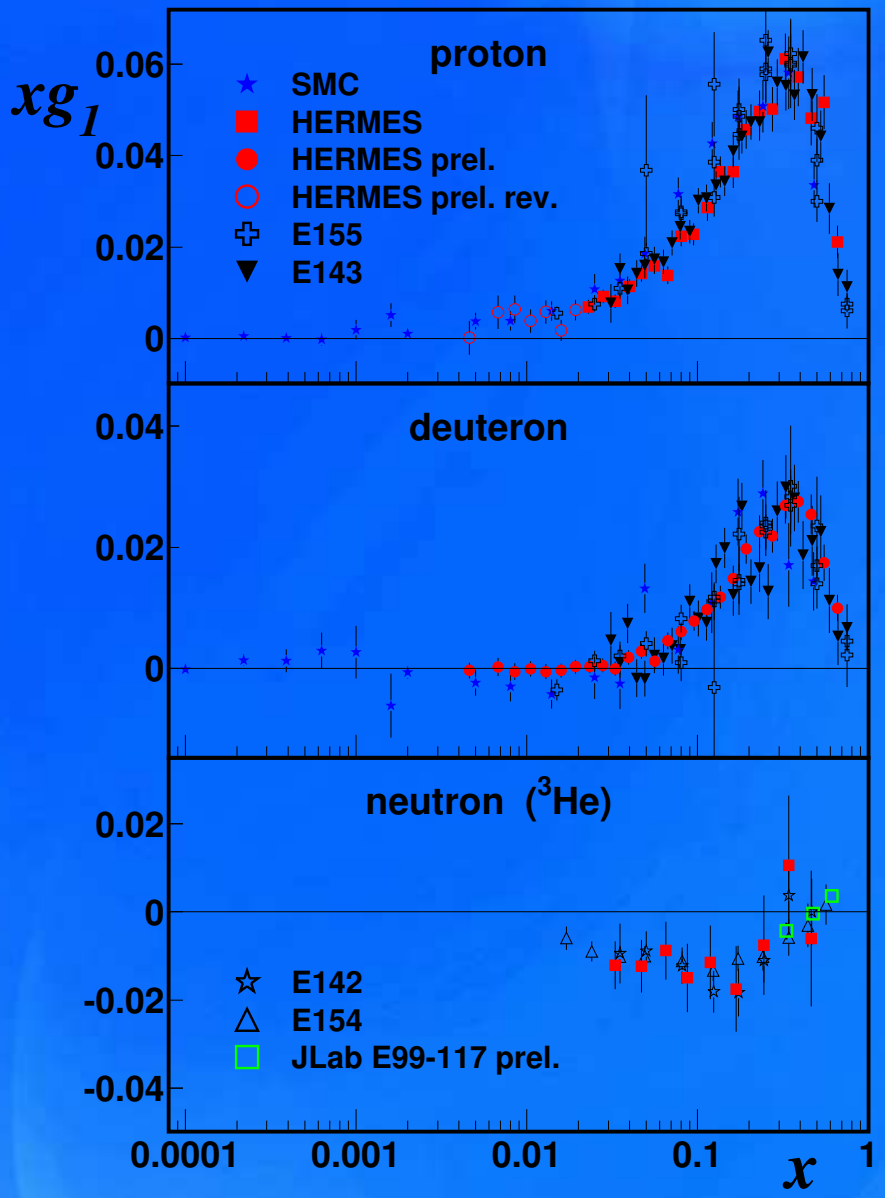
L^+, L^-, L^0: dead-time corrected luminosities

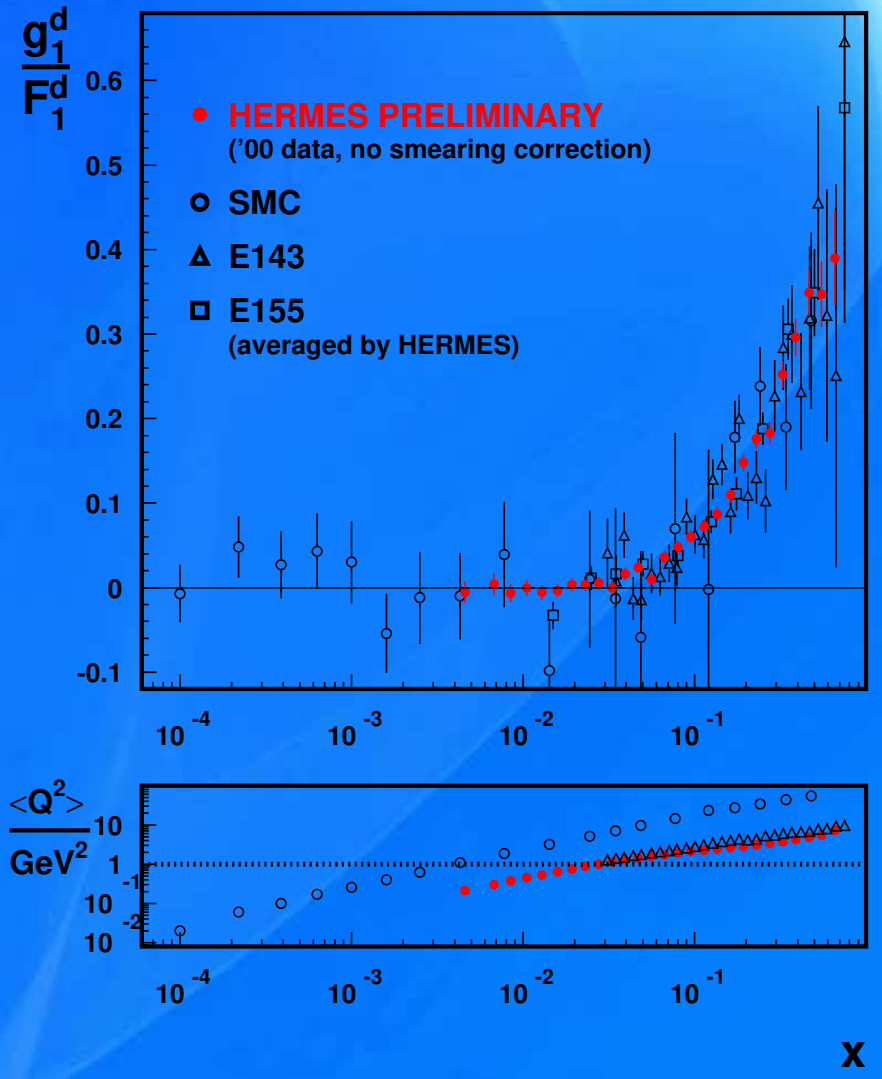


$$b_2 = 2x\frac{1+R}{1+\gamma} b_1 = 2x\frac{1+R}{1+\gamma} \cdot \frac{-3}{2} \cdot A_T \cdot F_1^d$$

K.Bora & R.L. Jaffe Phys.Rev.D57 (1998) 6906

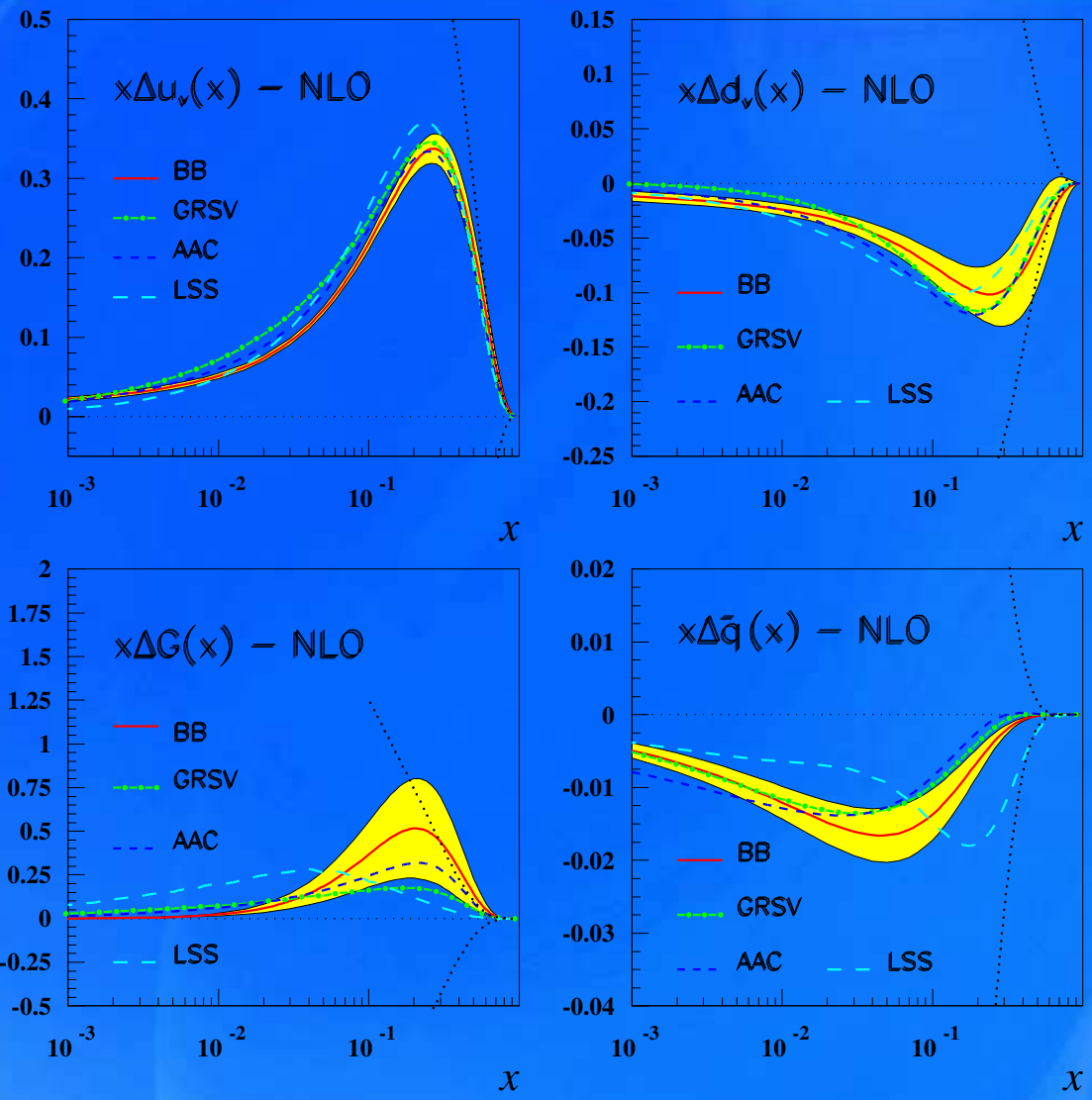
World data on g1(x)





Data given at measured $< Q^2 >$: 0.02 - 58 GeV^2

NLO pQCD Fits to g1(x,Q)^2



NLO QCD ($\bar{M}\bar{S}$) fit at Q^2 = 4 GeV^2 fully propagated stat. uncertainties (yellow bands BB 2002)

$\Delta s(\bar{q})$ = **-0.07 ± 0.02 (stat.)** < 0

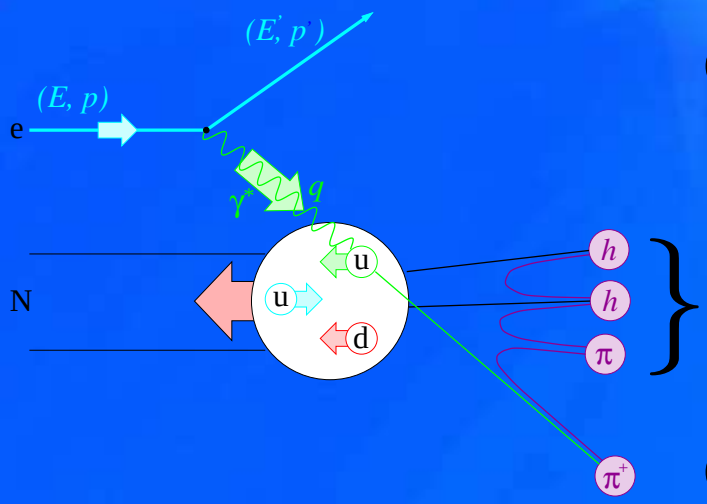
ΔG = **1.03 ± 0.55 (stat.)** > 0

BUT SU(3)$_f$ symmetry breaking?

BB: Blümlein Böttcher hep/ph 0203155
LSS: Leader et al. hep/ph 0111267
GRSV: Glück et al. hep/ph 0011215
AAC: Goto et al. hep/ph 0001046

more direct probes for △ G and △ s needed

Semi-inclusive DIS



Correlation between detected hadron and struck q_f

$\longrightarrow$ 'Flavor - Separation'

Target fragments

Current fragments

Inclusive DIS: $\Delta\Sigma = (\Delta u + \Delta\bar{u} + \Delta d + \Delta\bar{d} + \Delta s + \Delta\bar{s})$

Semi-inclusive DIS: Δu, $\Delta\bar{u}$, Δd, $\Delta\bar{d}$, Δs, $\Delta\bar{s}$

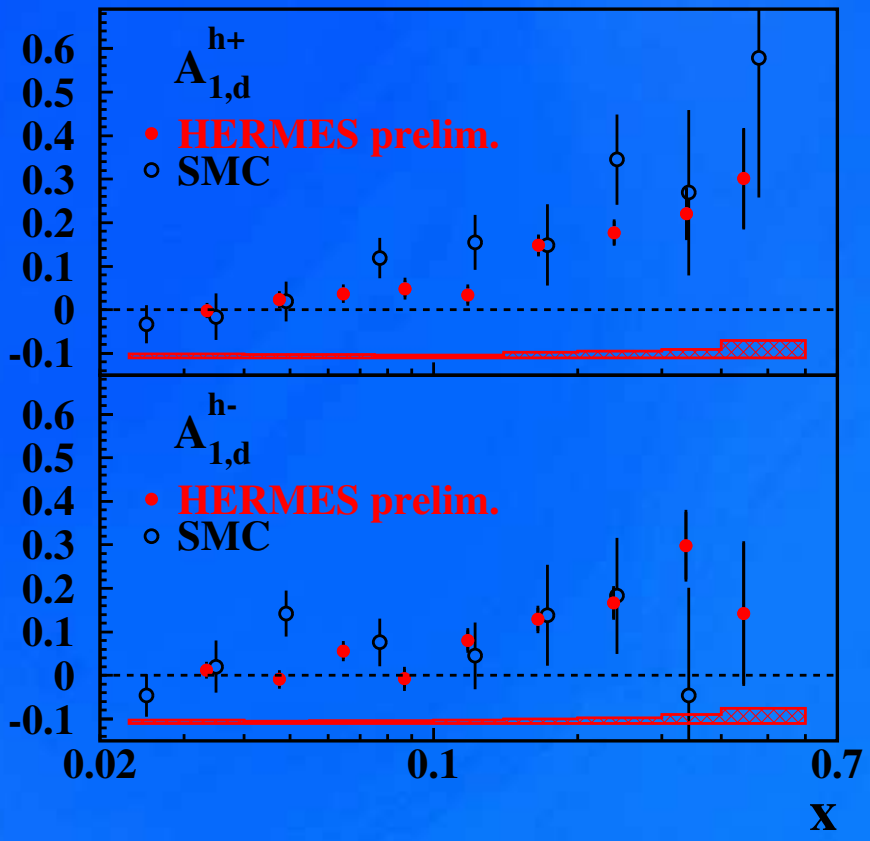
In LO-QCD:

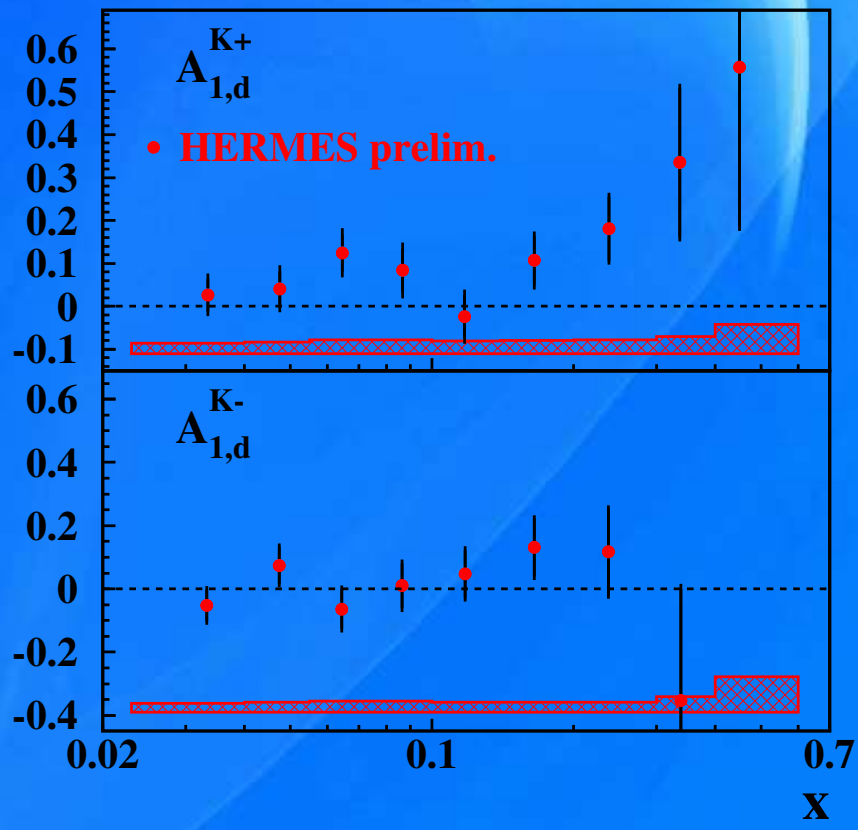
$$A_1^{\mathrm{h}}(x,Q^2) = \frac{\sigma^h_{1/2} - \sigma^h_{3/2}}{\sigma^h_{1/2} + \sigma^h_{3/2}} = \frac{1+R(x,Q^2)}{1+\gamma^2} \cdot \frac{\sum_f e_f^2 \Delta q_f(x,Q^2) \int dz D_f^{\mathrm{h}}(z,Q^2)}{\sum_f e_f^2 q_f(x,Q^2) \int dz D_f^{\mathrm{h}}(z,Q^2)}$$

$(\Delta q_f), q_f$ **(Polarized) quark distributions**

$D_f^{\mathrm{h}}(z)$ **fragmentation functions giving the probability that a (struck) quark of flavor f fragments into a hadron of type h.**

Hadron Asymmetries on the Deuteron





- **HERMES selects hadrons with the following cuts:**
  - $0.2 \leq z = E_h/\nu \leq 0.8$ **and** $x_F \geq 0.1$ **and** $W^2 \geq 10GeV^2$
  - **HERMES-Deuterium** $\pi^{\pm}$ **and** $\mathrm{K}^{\pm}$ **data identified by RICH**

Δq-Extraction

- **Rewrite Photon-Nucleon Asymmetry**

$$\mathbf{A_1^h(x)} \overset{\mathbf{g_2=0}}{\simeq} \mathbf{C} \cdot \sum_{\mathbf{q}} \underbrace{\frac{e_q^2 q(x) \int dz D_q^{\mathrm{h}}(z)}{\sum_{q\prime} e_{q\prime}^2 q\prime(x) \int dz D_{q\prime}^{\mathrm{h}}(z)}}_{P_q^h(x,z)} \frac{\mathbf{\Delta q(x)}}{\mathbf{q(x)}}$$

- $P_q^h(x,z)$ **Purity, the prob. a hadron h originates from an event with struck quark f**
  Effective Purities accounting for detector effect may be computed via Monte Carlo based on the JetSet model for fragmentation

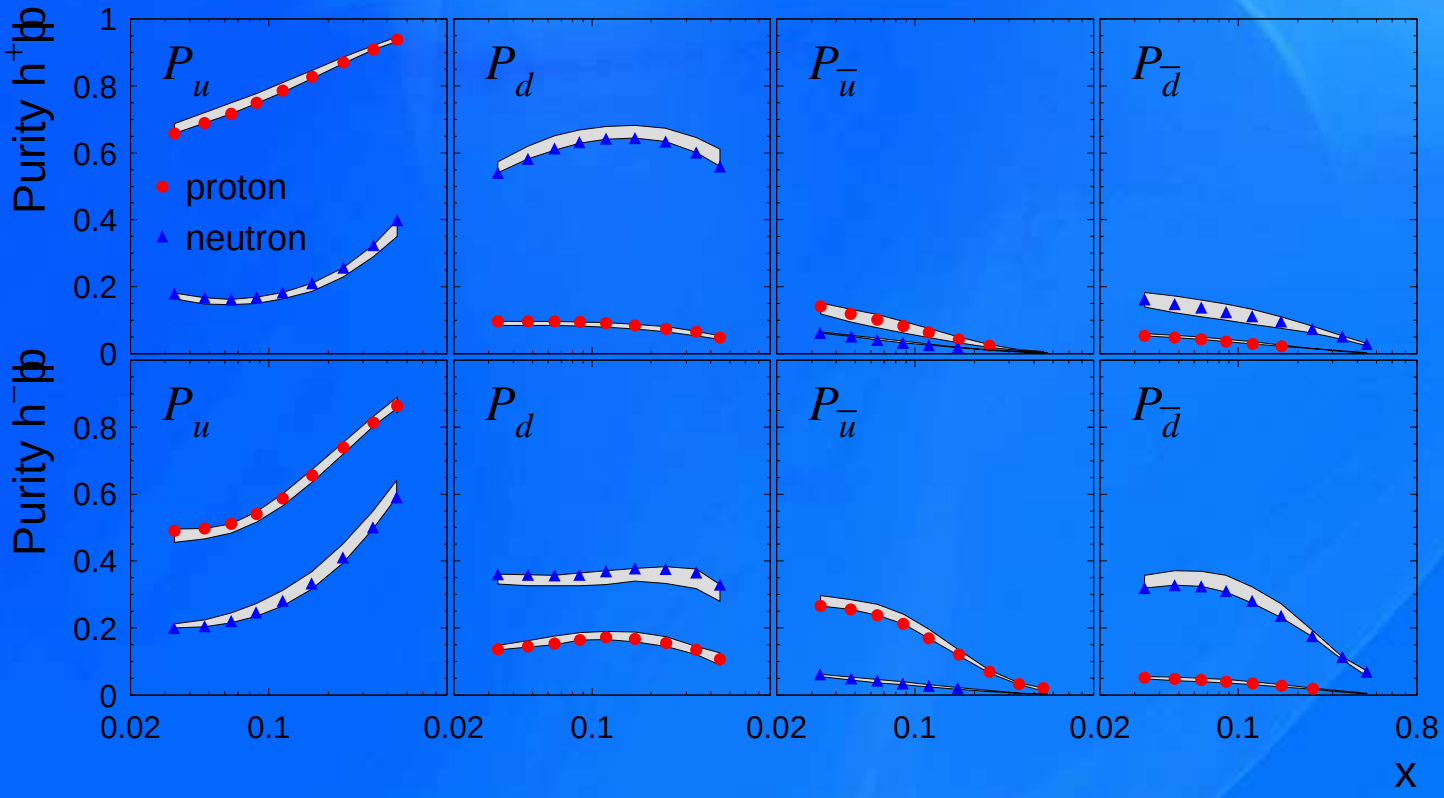
- **Solve linear system for $\vec{Q}$ with**

$$\vec{\mathbf{A}} = (\mathbf{A_{1,p}(x)}, \mathbf{A_{1,d}(x)}, \mathbf{A_{1,p}^{\pi^\pm}(x)}, \mathbf{A_{1,d}^{\pi^\pm}(x)}, \mathbf{A_{1,d}^{K^\pm}(x)})$$

$$\vec{A} = \mathcal{P}\vec{Q}$$

$$\vec{\mathbf{Q}} = (\frac{\mathbf{\Delta u}}{\mathbf{u}}, \frac{\mathbf{\Delta d}}{\mathbf{d}}, \frac{\mathbf{\Delta \bar{u}}}{\mathbf{\bar{u}}}, \frac{\mathbf{\Delta \bar{d}}}{\mathbf{\bar{d}}}, \frac{\mathbf{\Delta s + \Delta \bar{s}}}{\mathbf{s + \bar{s}}})$$

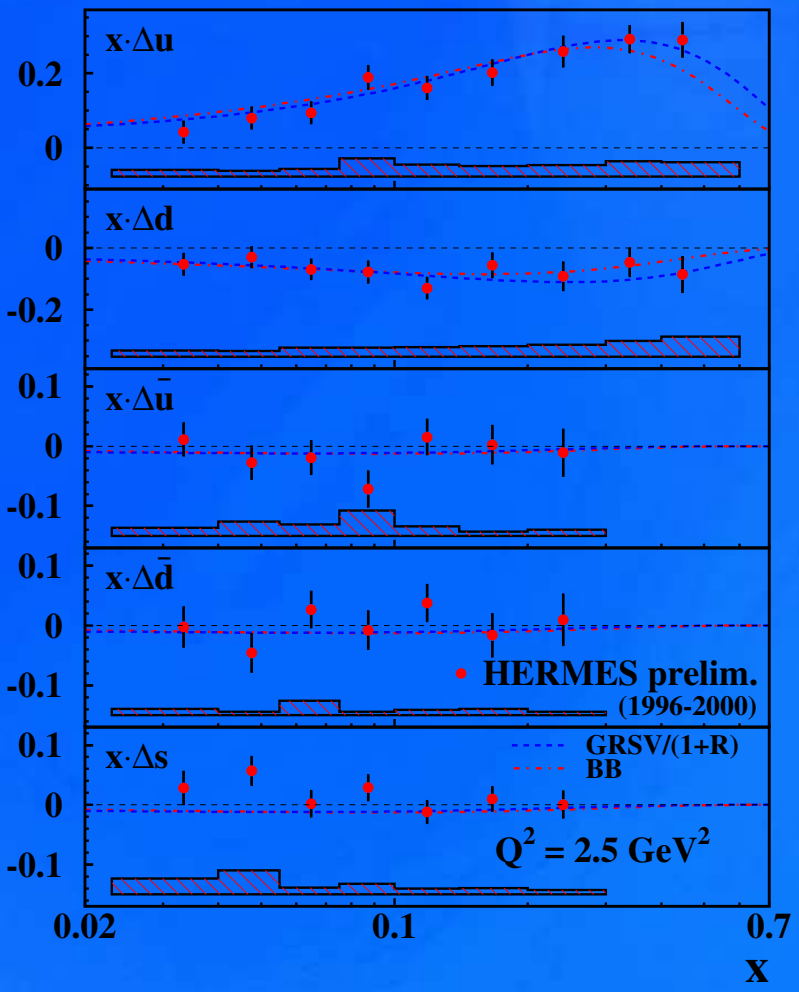
Purities for charged Hadrons

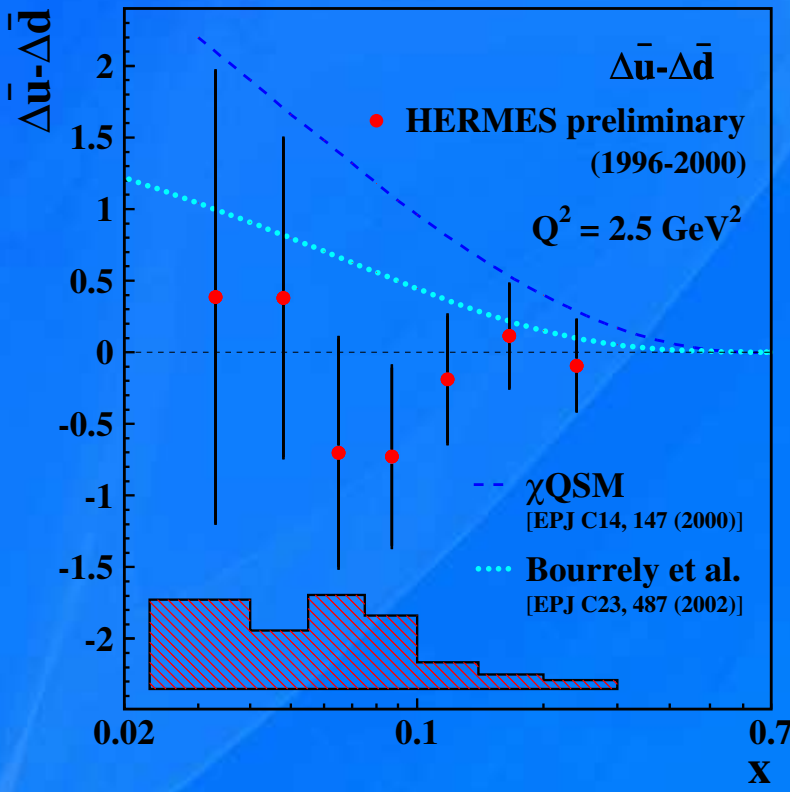


shaded bands indicate the systematic uncertainties

- **An adequate degree of orthogonality is provided**
  - u **versus** d **from h**$+$
  - **valence versus sea from hadron charged**
  - $\bar{u}$ **versus** $\bar{d}$ **from h**$-$
- **Kaons have about 10% sensitivity of the strange sea (not shown)**

Polarized Quark Densities at LO



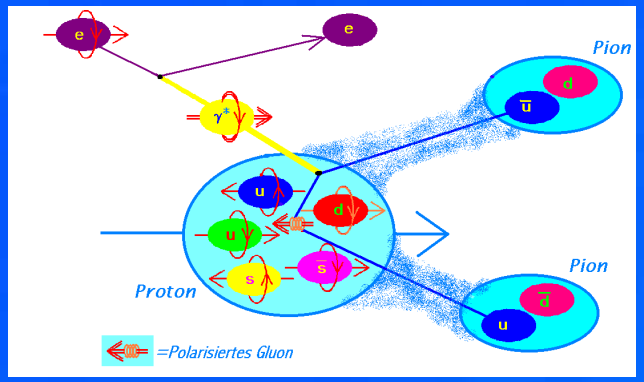


strange sea polarization $\Delta s \geq 0$

The Hermes data are consistent with SU(3)$_f$ symmetry
Data disfavor χQSM of Dressler et al.

Direct Measurements of ΔG

Isolate the photon-gluon fusion process (PGF)

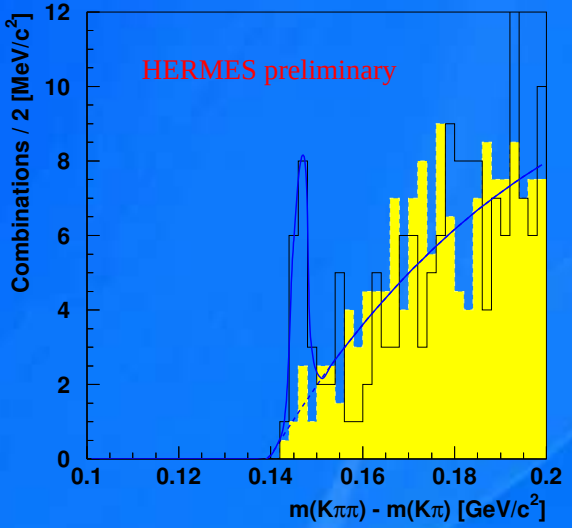


OPEN CHARM

reconstruct D^*, D^0

$$A_{||} = \frac{N^{\rightleftarrows}_{c\bar{c}} - N^{\rightrightarrows}_{c\bar{c}}}{N^{\rightleftarrows}_{c\bar{c}} + N^{\rightrightarrows}_{c\bar{c}}}$$

$$A^{\gamma p \to c\bar{c}} \sim \Delta G/G$$



$$D^* \to K + \pi + \pi_{slow}$$

HIGH-P_T

pairs of high-P_T hadrons

$$A_{||} = \frac{N^{\rightleftarrows}_{h^\pm} - N^{\rightrightarrows}_{h^\pm}}{N^{\rightleftarrows}_{h^\pm} + N^{\rightrightarrows}_{h^\pm}}$$

$$A^{\gamma p \to h^+ h^-} \sim \Delta G/G$$

additionally:

use identified hadrons

pairs of high-P_T Pions

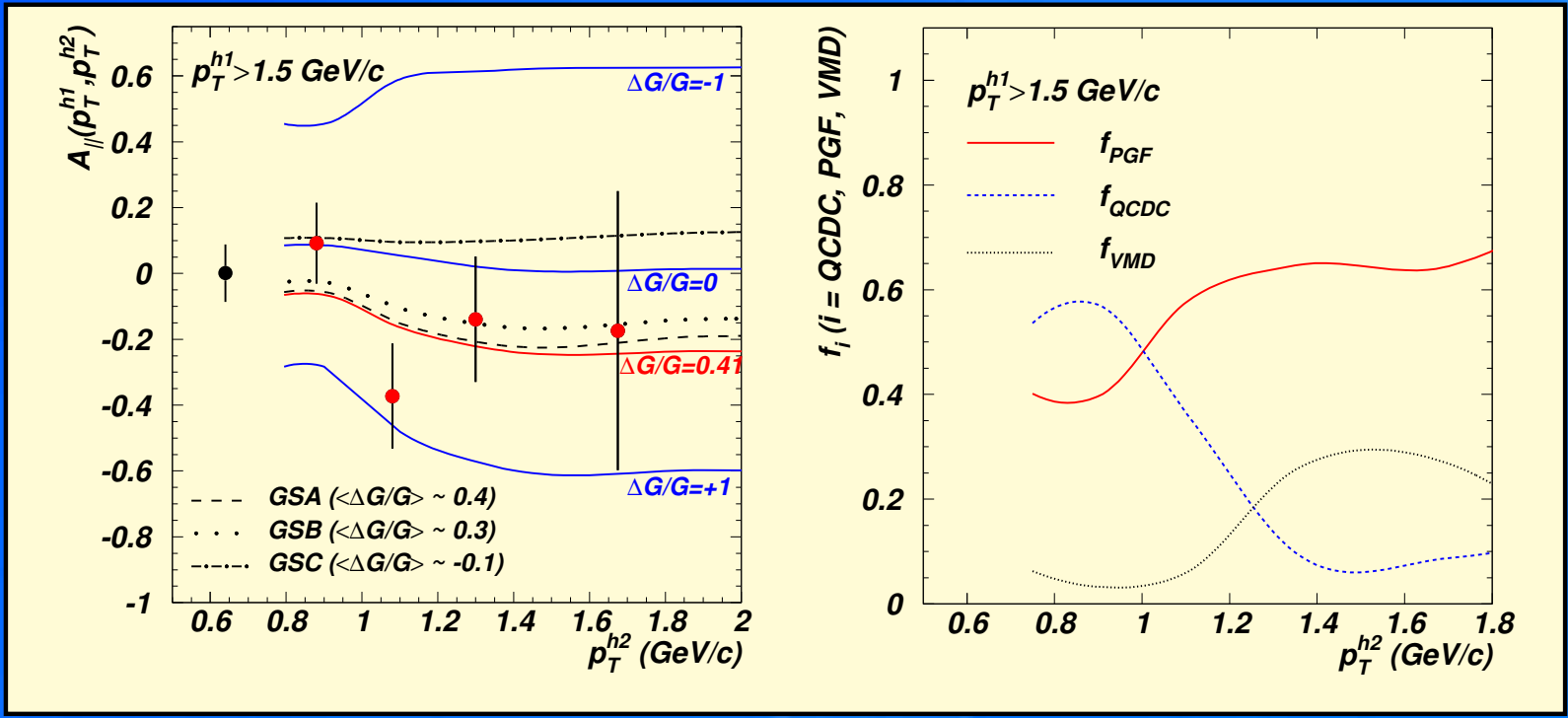
pairs of high-P_T Kaons

Pairs of high-P_T Hadrons

within LO pQCD and PYTHIA5 MC model

$\Delta G/G$ = $0.41 \pm 0.18\ (\text{stat.}) \pm 0.03\ (\text{exp.syst.})$

at $\langle x_G \rangle = 0.17$ and $\langle \hat{p}_T^2 \rangle = 2.1$ GeV^2



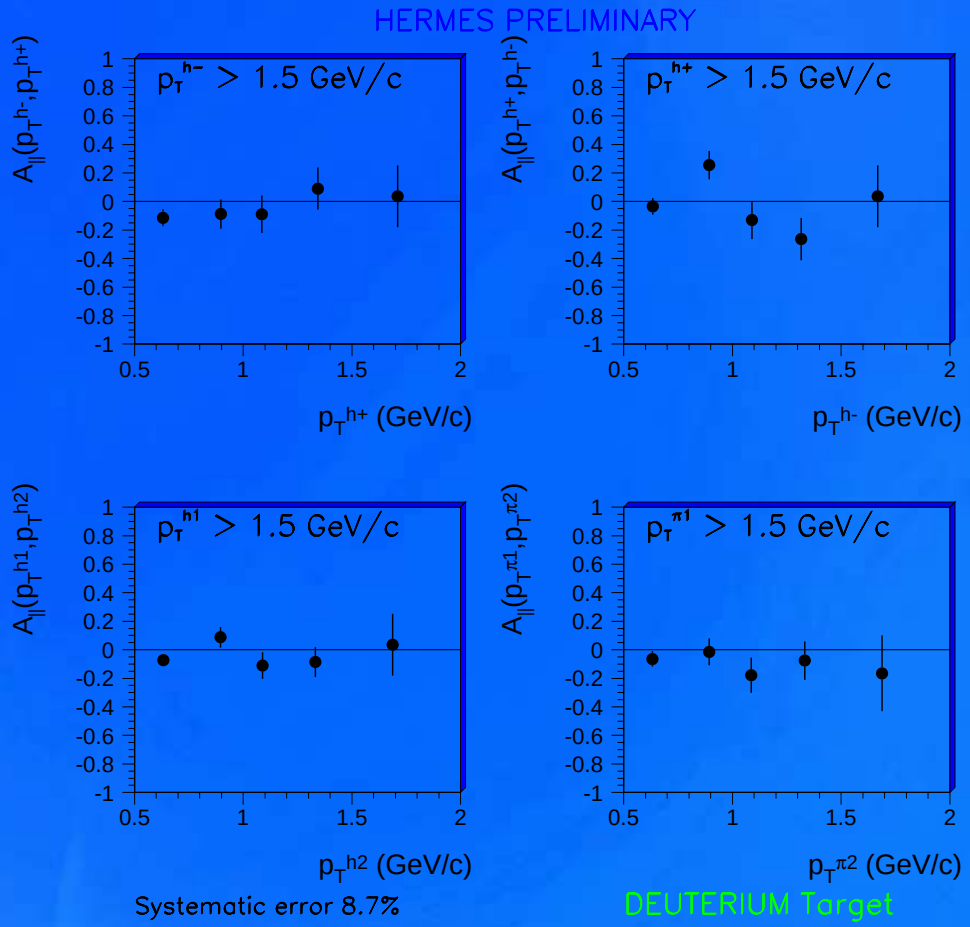
A. Airapetian et al, Phys. Rev. Lett. 84 (2000) 2584

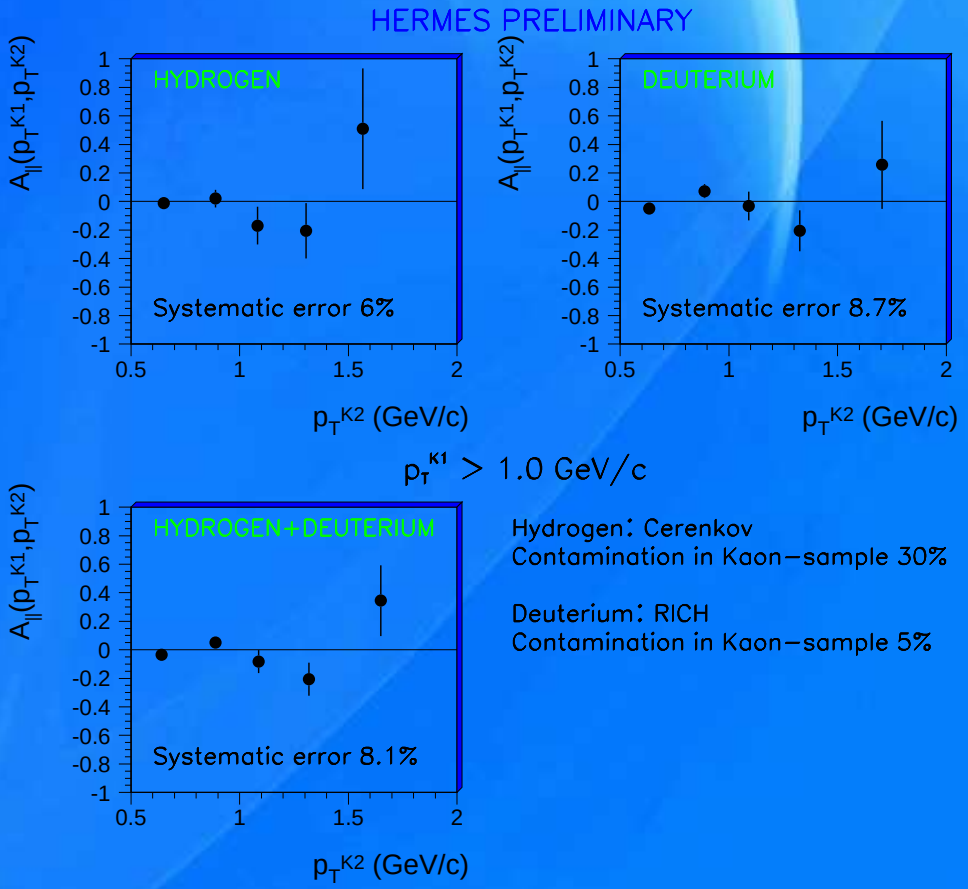
Target: Hydrogen

Extraction strongly Model dependent

Deuterium Results

Statistics: ~ 3 x hydrogen statistics



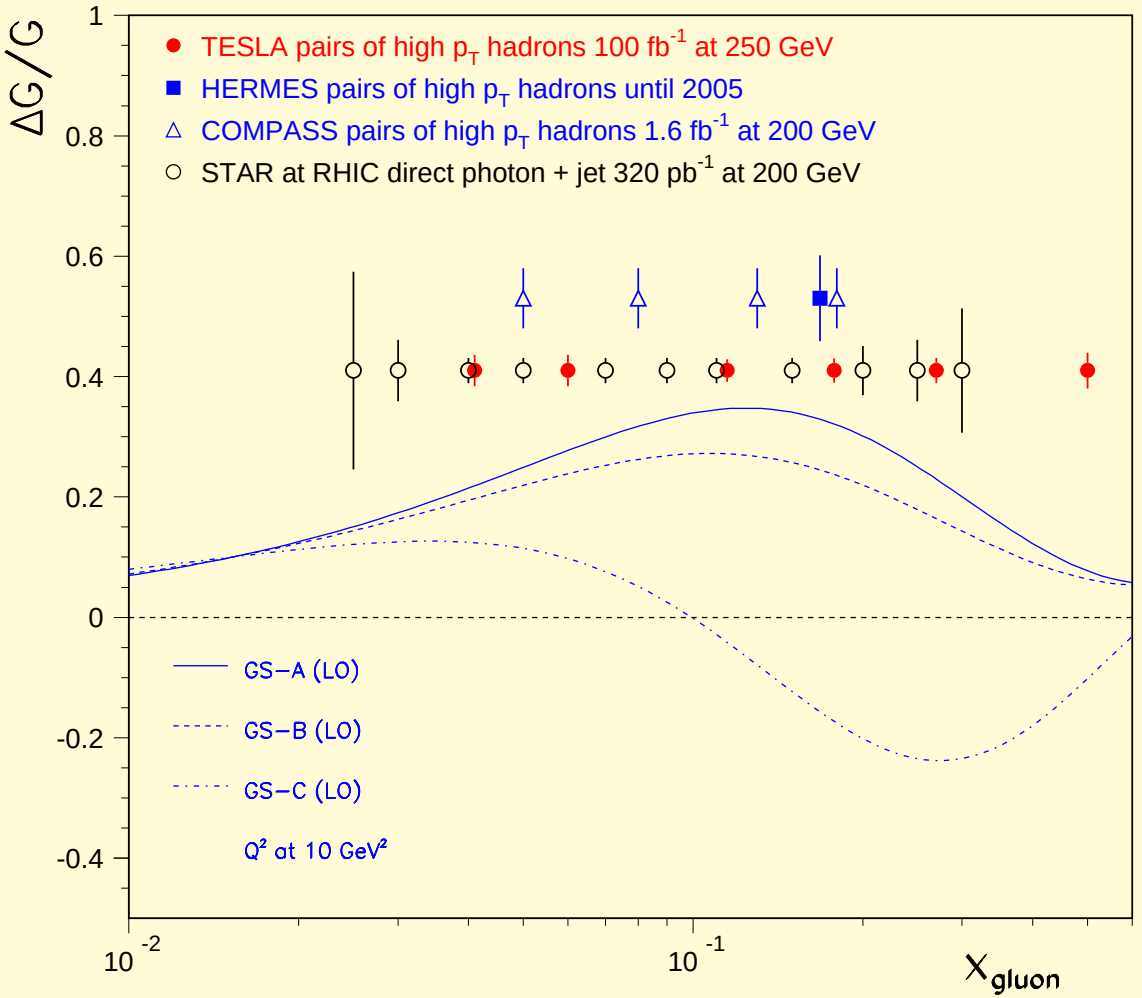


Hydrogen: Cerenkov
Contamination in Kaon−sample 30%

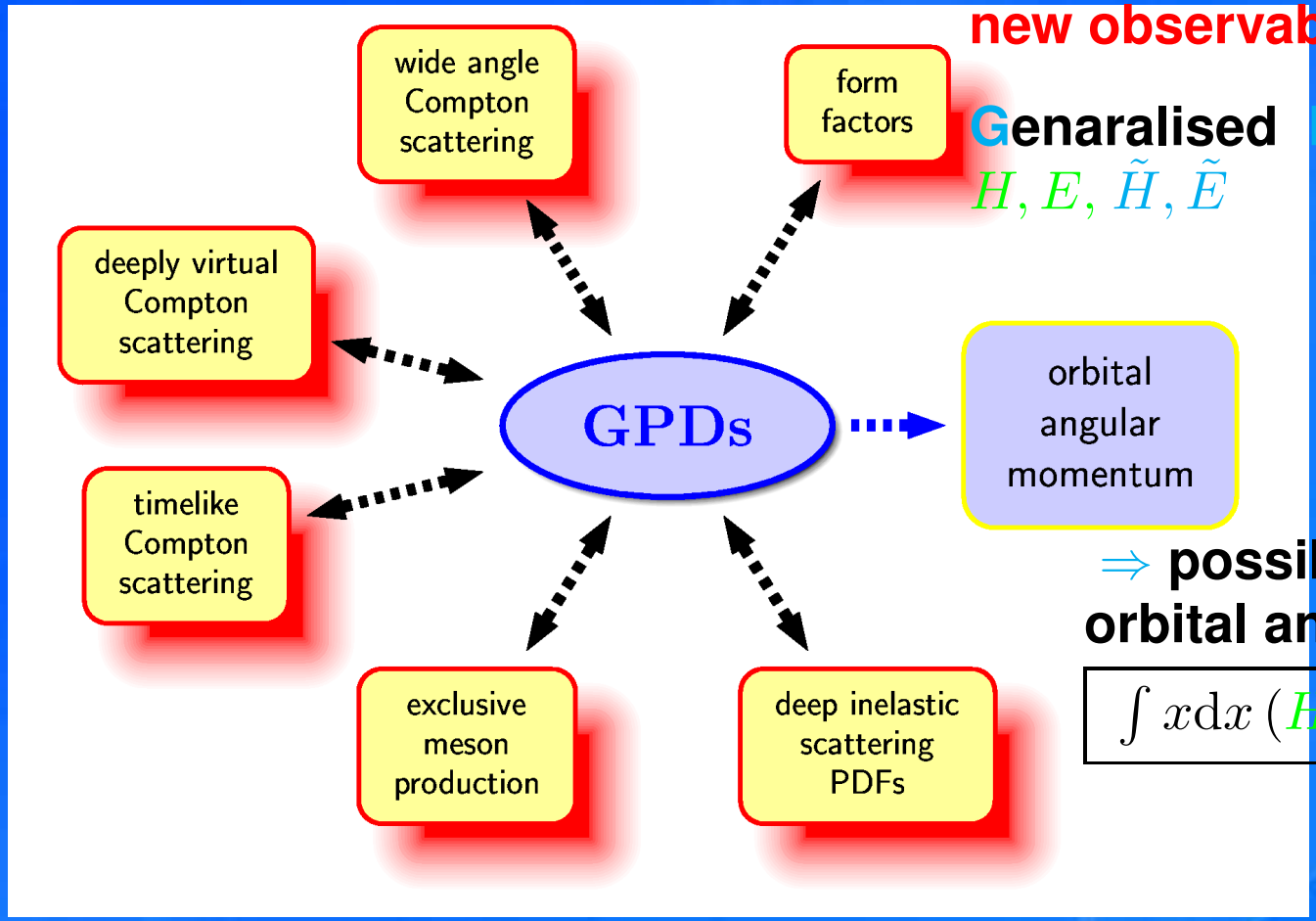
Deuterium: RICH
Contamination in Kaon−sample 5%

$\Delta G/G$ Extraction still under way

$\Delta G/G$ *in 2010*



GPDs Introduction



new observables in hard exclusive processes

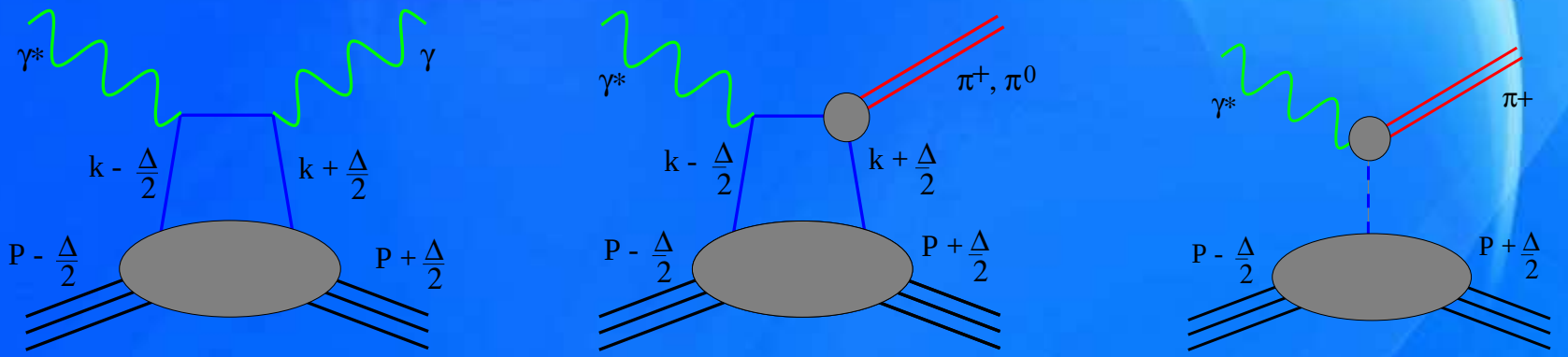
Genaralised Parton Distributions

$H, E, \tilde{H}, \tilde{E}$

$\Rightarrow$ **possible access to orbital angular momentum**

$$\int x \mathrm{d}x \, (H + E) = J_q$$

GPDs introduction II



quantum numbers of final state → select different GPDs

DVCS: $\tilde{H}, \tilde{E}$ $H, E,$

vector mesons: H, E

pseudo-scalar mesons: $\tilde{H}, \tilde{E}$

(hep-ex/0004023)

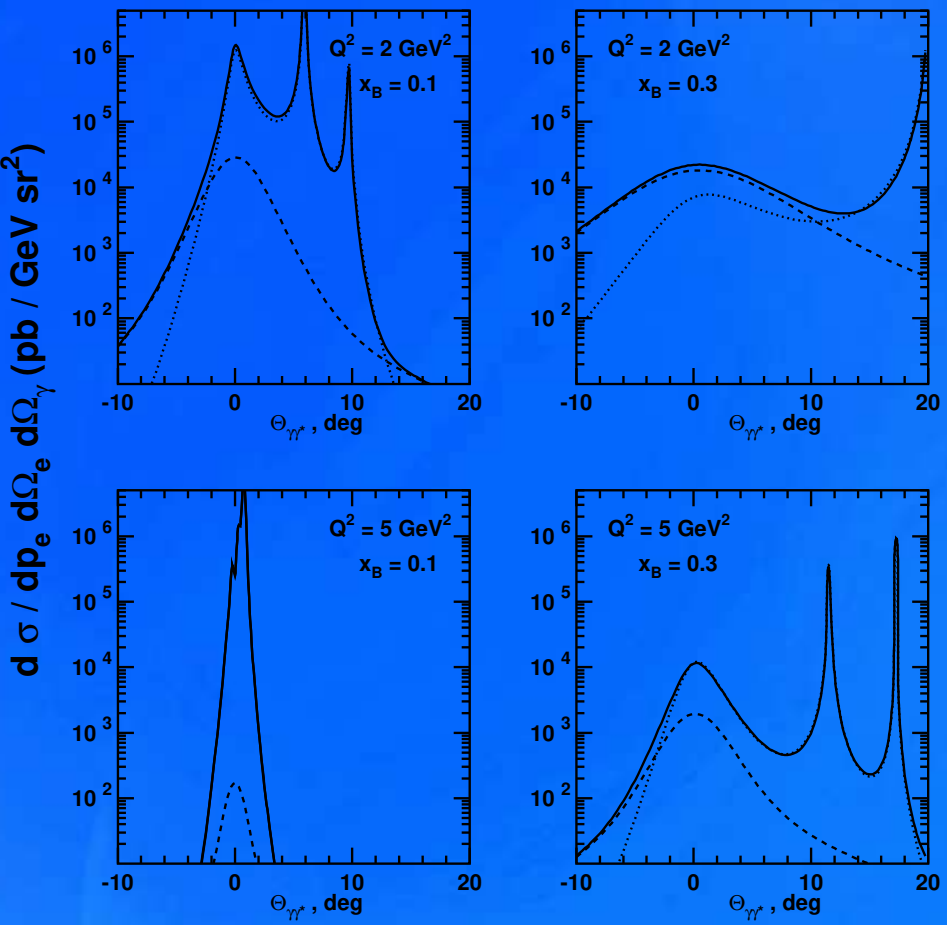
(hep-ex/0112022)

quadratic combination of GPDs appear in unpolarized cross section

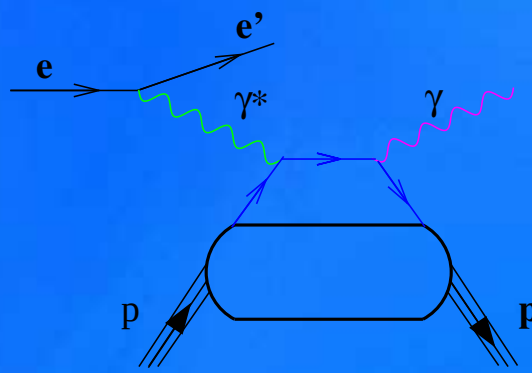
→ polarization provides new observables

DVCS $ep \to e'\gamma p$

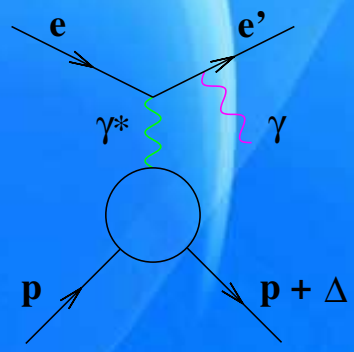
HERMES kinematics:
BH process larger than DVCS



[Korotkov, Nowak, hep-ph/0108077]



DVCS



Bethe-Heitler (BH)

$$d\sigma \propto |\mathcal{T}_{BH}|^2 + |\mathcal{T}_{DVCS}|^2 + (\mathcal{T}^*_{BH}\mathcal{T}_{DVCS} + \mathcal{T}^*_{DVCS}\mathcal{T}_{BH})$$

DVCS-BH interference:

→ **use BH as a vehicle to study DVCS**

DVCS azimuthal asymmetries

isolate BH-DVCS interference term:

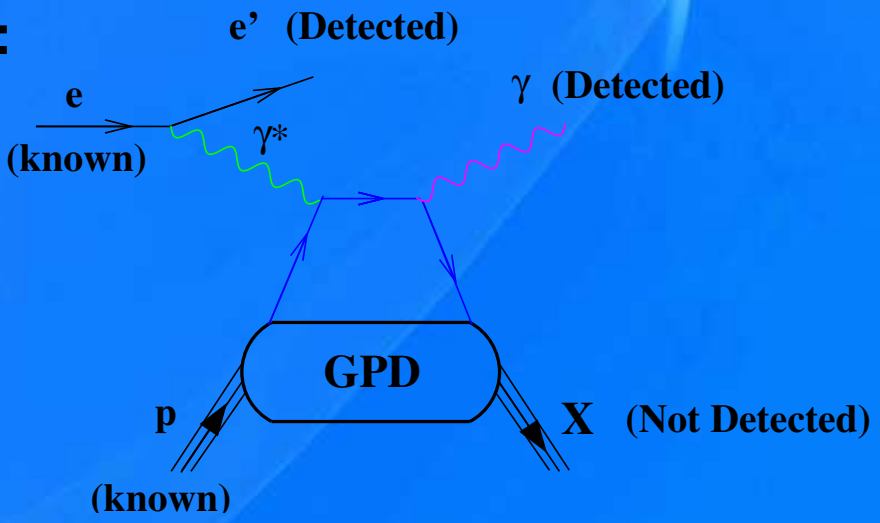
- **imaginary part $\propto$ beam helicity asymmetry:**

$$d\sigma_{e^+}^{\leftarrow} - d\sigma_{e^+}^{\rightarrow} \propto \mathrm{Im}\left(\mathcal{T}_{BH}\mathcal{T}_{DVCS}\right) \propto \sin\phi$$

- **real part $\propto$ beam charge asymmetry:**

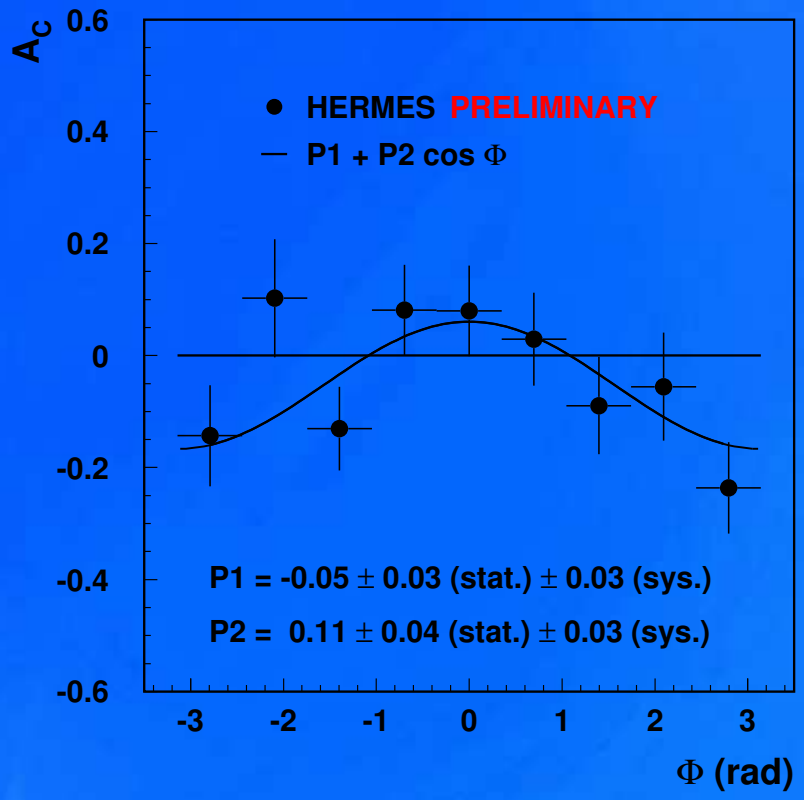
$$d\sigma_{e^+} - d\sigma_{e^-} \propto \mathrm{Re}\left(\mathcal{T}_{BH}\mathcal{T}_{DVCS}\right) \propto \cos\phi$$

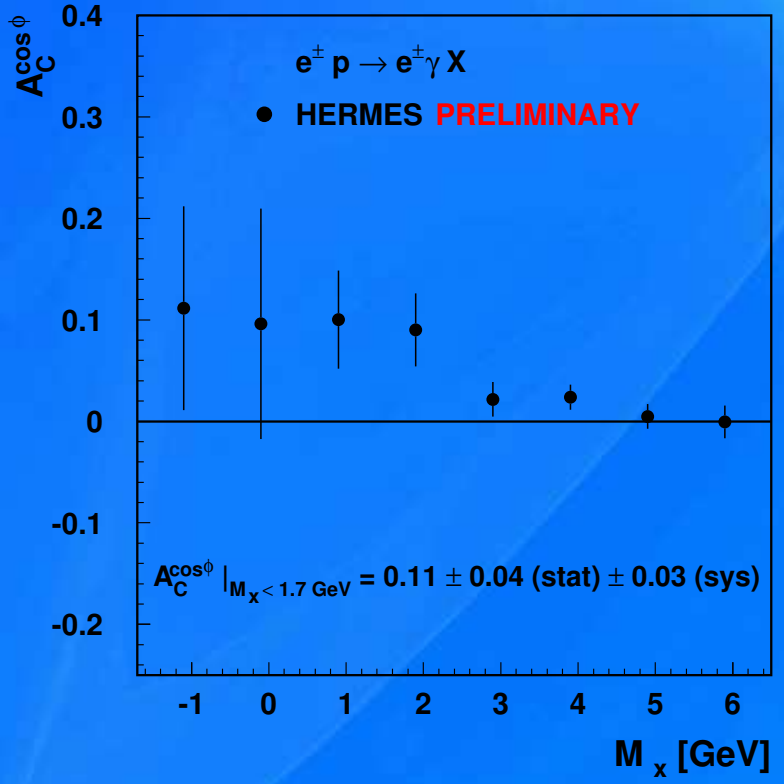
$\Rightarrow$ **both asymmetries measured by HERMES**



ϕ: **azimuthal angle between lepton scattering plane and the $\gamma^*\gamma$ - plane**

DVCS beam charge asymmetry (BCA)



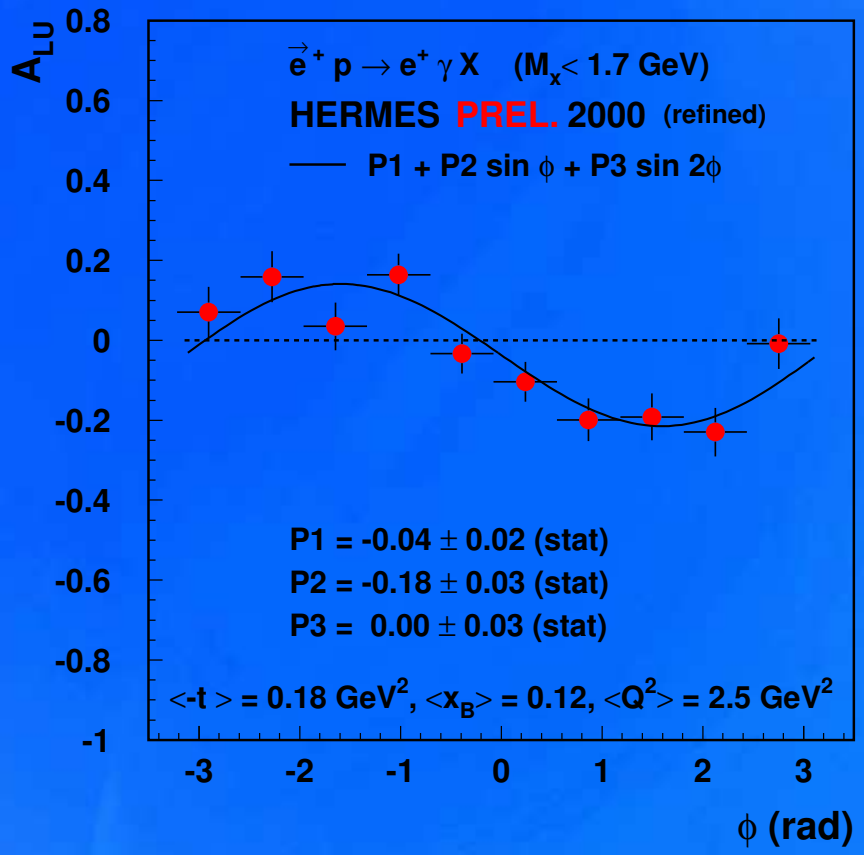


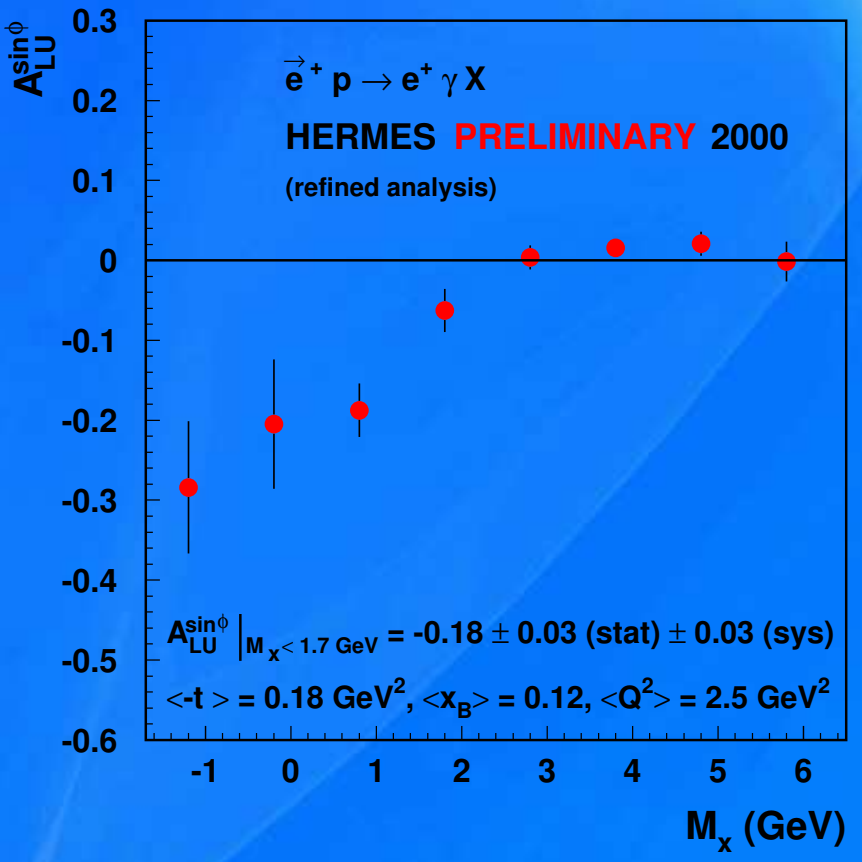
$$A_C(\phi) = \frac{N_{e+}(\phi) - N_{e-}(\phi)}{N_{e+}(\phi) + N_{e-}(\phi)}$$

$$A_C^{\cos\phi} = \frac{1}{N^{e+}} \sum_{i=1}^{N^{e+}} \cos(\phi_i) - \frac{1}{N^{e-}} \sum_{i=1}^{N^{e-}} \cos(\phi_i)$$

exclusive region: -1.5 < M_x < 1.7 GeV

DVCS single beam-spin asymmetry (SSA)





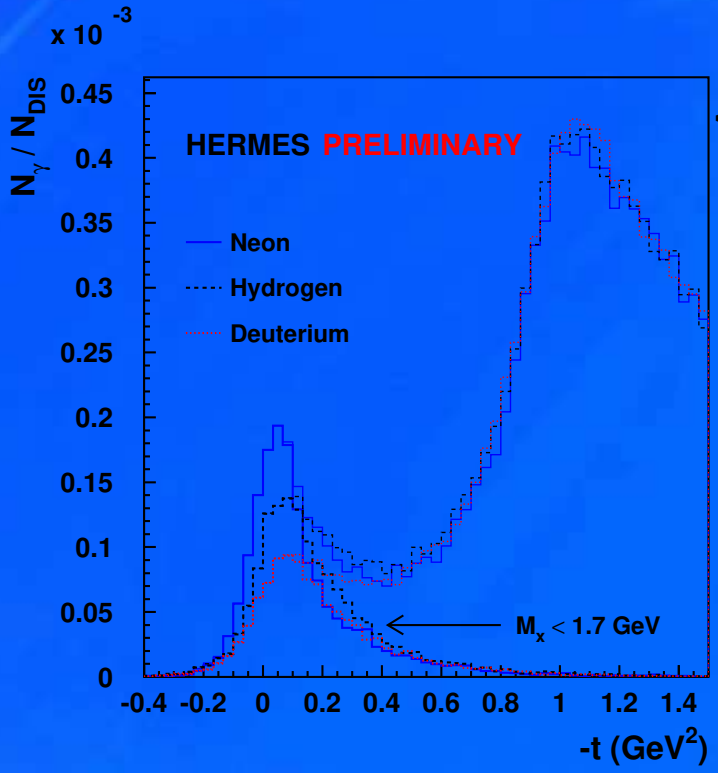
$$A_{LU}^{\sin\phi}(\phi) = -0.18 \pm 0.03 \pm 0.03$$

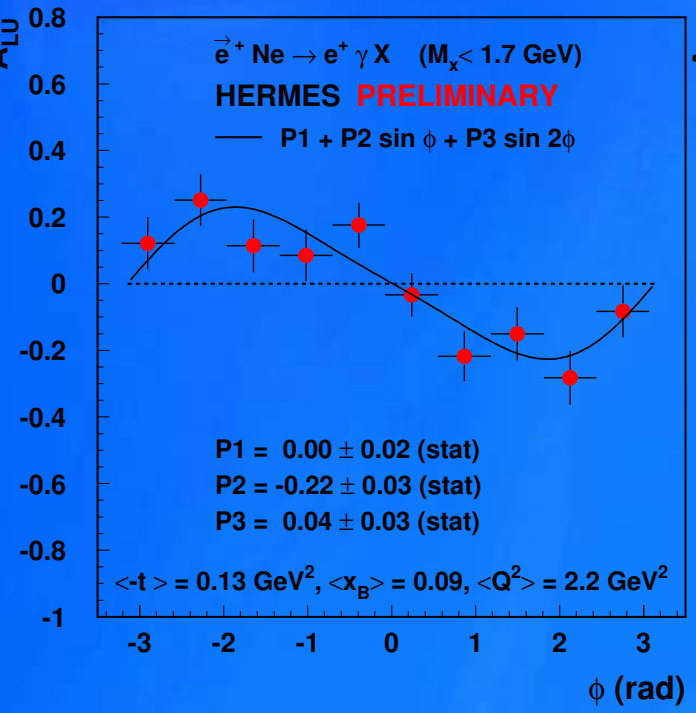
$$A_{LU} = \frac{1}{<|P_l|>} \cdot \frac{(N^+(\phi) - N^-(\phi))}{(N^+(\phi) + N^-(\phi))}$$

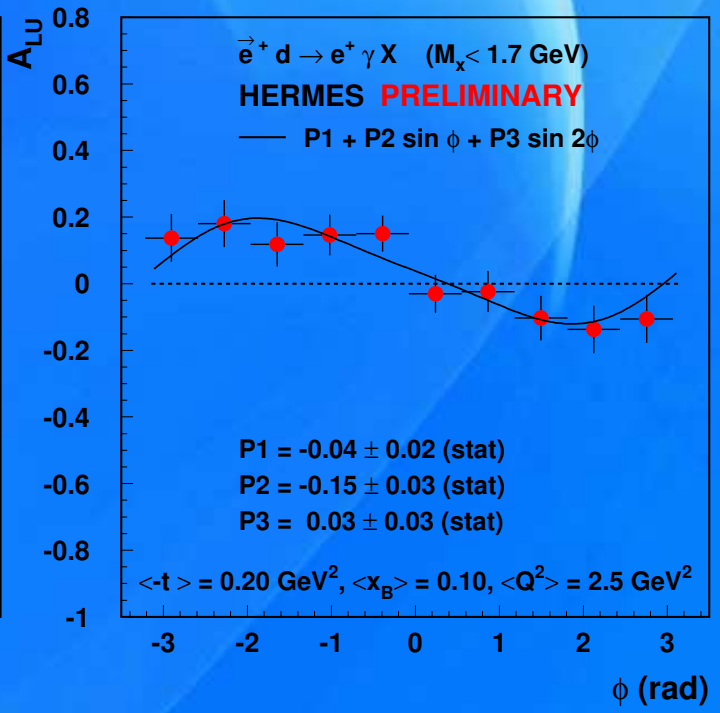
$$A_{LU}^{\sin\phi} = \frac{2}{N} \sum_{i=1}^{N} \frac{\sin(\phi_i)}{(P_l)_i}$$

96/97: [PRL87 (2001), 182001]

DVCS on Nuclear Targets







Predictions by A.V. Belitsky et al. (hep-ph/0112108):

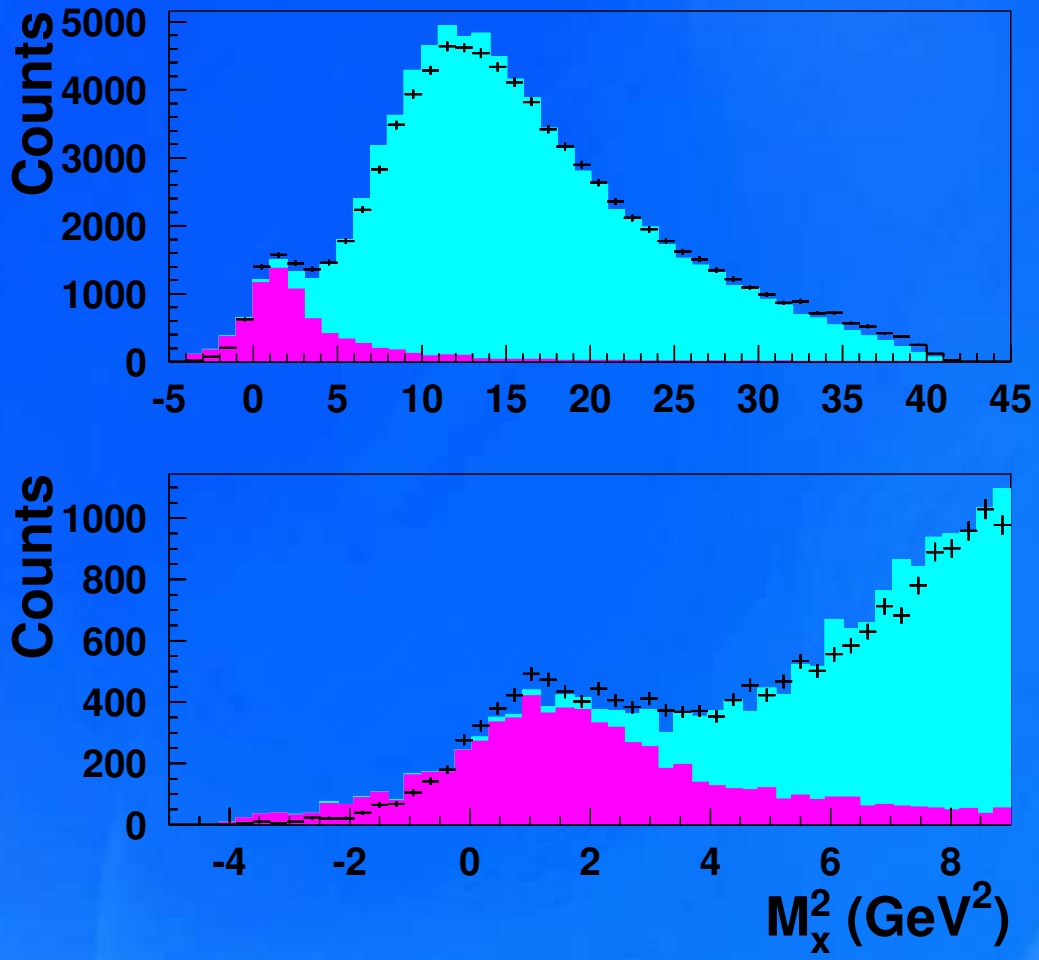
Deuterium: $A_{LU} \sim -0.13 \cdot \sin(\Phi)$ **at** $Q^2 = 4\mathrm{GeV}^2 x = 0.1, t = -0.3\mathrm{GeV}^2$

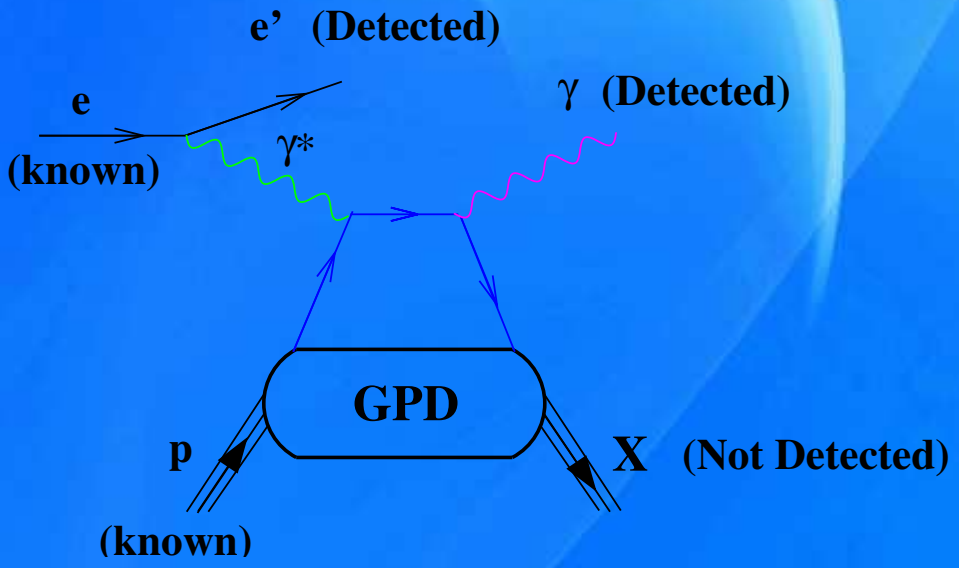
Hydrogen: $A_{LU} \sim -0.16 \cdot \sin(\Phi)$

Is there an EMC like effect for quarks?

for more details see talk by B.Seitz 6B-18

DVCS photon spectrum





Energy resolution in exclusive region:

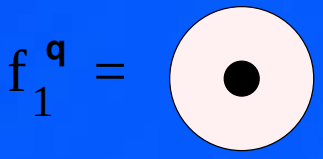
$$M_x^2 = (q + p - p_\gamma)^2 = M_p^2$$

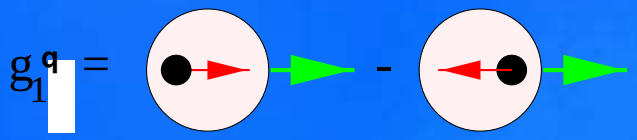
$$\longrightarrow \sigma(M_x) \approx \textbf{0.8 GeV}$$

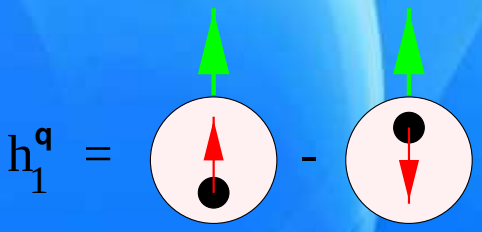
Improve Exclusivity: detect recoil proton

$\longrightarrow$ **HERMES Recoil Detector**

The 3rd Twist-2 Structure function







Unpolarized quarks and nucleons

vector charge:

$$< PS|\bar{\psi}\gamma^{\mu}\psi|PS >= \int_0^1 dx q(x) - \bar{q}(x)$$

q(x): spin averaged
well known

Longitudinally polarized quarks and nucleons

axial charge:

$$< PS|\bar{\psi}\gamma^{\mu}\gamma_5\psi|PS >= \int_0^1 dx \Delta q(x) + \Delta\bar{q}(x)$$

Δq(x): helicity difference
known

HERMES 1995-2000

Transversely polarized quarks and nucleons

tensor charge :

$$< PS|\bar{\psi}\sigma^{\mu\nu}\gamma_5\psi|PS >= \int_0^1 dx \delta q(x) - \delta\bar{q}(x)$$

δq(x): helicity flip
unmeasured

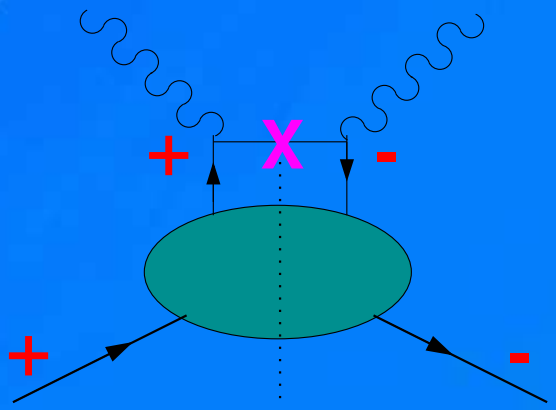
HERMES 2002. . .

Characteristics of Transversity

- **Non-relativistic quarks:** $\Delta q(x) = \delta q(x)$
  $\Rightarrow$ δq **probes relativistic nature of quarks**
- **Angular momentum conservation**
  $\Rightarrow$ **Transversity has no gluon component**
  $\Rightarrow$ **different** Q^2 **evolution than** $\Delta q(x)$
- q **and** $\bar{q}$ **contribute with opposite sign to** $\delta q(x)$
  $\Rightarrow$ **predominantly sensitive to valence quark polarization**
- **Bounds:**
  $\Rightarrow$ $|\delta q(x)| \leq q(x)$
  $\Rightarrow$ **Soffer bound:** $|\delta q(x)| \leq \frac{1}{2}[q(x) + \Delta q(x)]$

- **Transversity distribution CHIRAL ODD**

$\Rightarrow$ **No Access In Inclusive DIS**



How can one measure Transversity?

Need another chiral-odd object!

$\delta q(x)$ accessible in semi-inclusive DIS

$$\sigma^{ep\to ehX} = \sum_q f^{H\to q} \otimes \sigma^{eq\to eq} \otimes D^{q\to h}$$

$\Downarrow$ **chiral-odd** **DF**

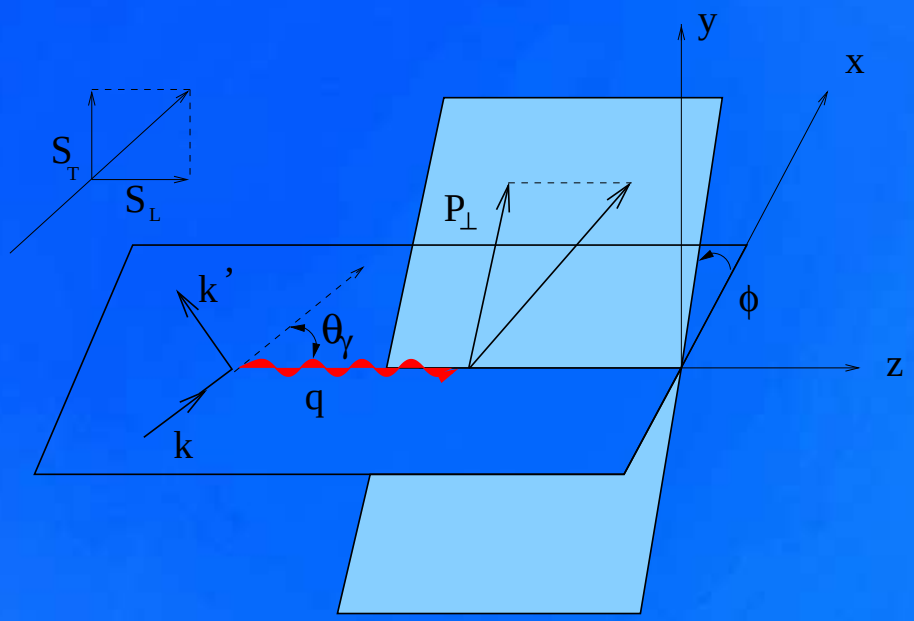
$\Downarrow$ **chiral-odd** **FF**

Study SSA with a transversely polarized target at HERMES

1. $ep^\uparrow \longrightarrow e'\pi X$ $\Leftarrow$ **Favorite Process**
   Collins,93, Kotzinian,95, Mulders et al,96
2. $ep^\uparrow \longrightarrow e'\Lambda^\uparrow X$ **Baldracchini,82, Jaffe,96**
3. $ep^\uparrow \longrightarrow e'\pi\pi X$ **Jaffe et al,97**

Single Spin Asymmetries

$$ep^{\uparrow} \longrightarrow e'\pi X$$



study azimuthal distribution of π's:

$$A^{\sin\Phi} \propto \frac{\sum_{i=1}^{N^+} \sin\Phi_i - \sum_{i=1}^{N^-} \sin\Phi_i}{\frac{1}{2}(N^+ + N^-)}$$

Transversely polarized target:

$$A_T^{\sin\Phi} \propto \frac{\sum_q e_q^2 \delta q(x) H_1^{\perp,q}(z)}{\sum_q e_q^2 q(x) D_1^q(z)}$$

$\Phi = \phi + \phi_s^l$ **Collins angle**

ϕ_s^l **... angle between target spin vector and scattering plane**

$H_1^{\perp}(z)$ **Collins fragmentation function**

First glimpse on Transversity ?!

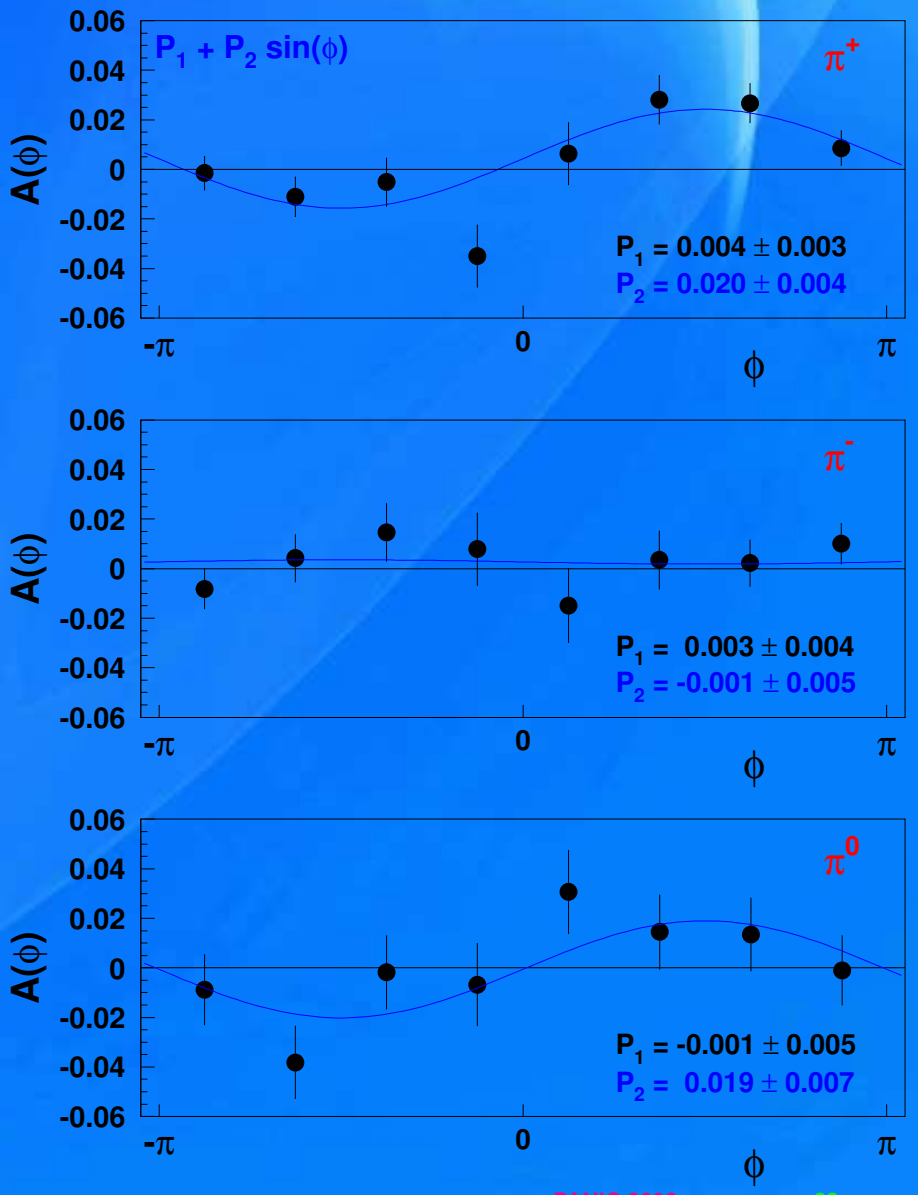
Longitudinal polarized hydrogen target

$$A_{UL}(\phi) = \frac{1}{\langle P \rangle} \cdot \frac{N^+(\phi) - N^-(\phi)}{N^+(\phi) + N^-(\phi)}$$

S_T transverse component of target spin w.r.t. virtual photon:

$$S_T \propto \sin\Theta_\gamma \simeq \frac{2Mx}{Q}\sqrt{1-y} \sim 0.15$$

π^0: hep-ex/0104005, $\pi^\pm$: hep-ex/9910062



Attempt of Interpretation

- **observe non-vanishing $\langle \sin\phi \rangle$-moments**
- **$\langle \sin 2\phi \rangle$-moment small (consistent with zero)**

Attribute asymmetry to Collins fragmentation and Transversity:

$$A_{UL}^{\sin\phi} \sim S_L \langle \sin\phi \rangle_{UL} - S_T \langle \sin\phi \rangle_{UT}$$

Longitudinally polarized in experiment (along beam direction)

L/T polarized in theory (along virtual gamma direction)

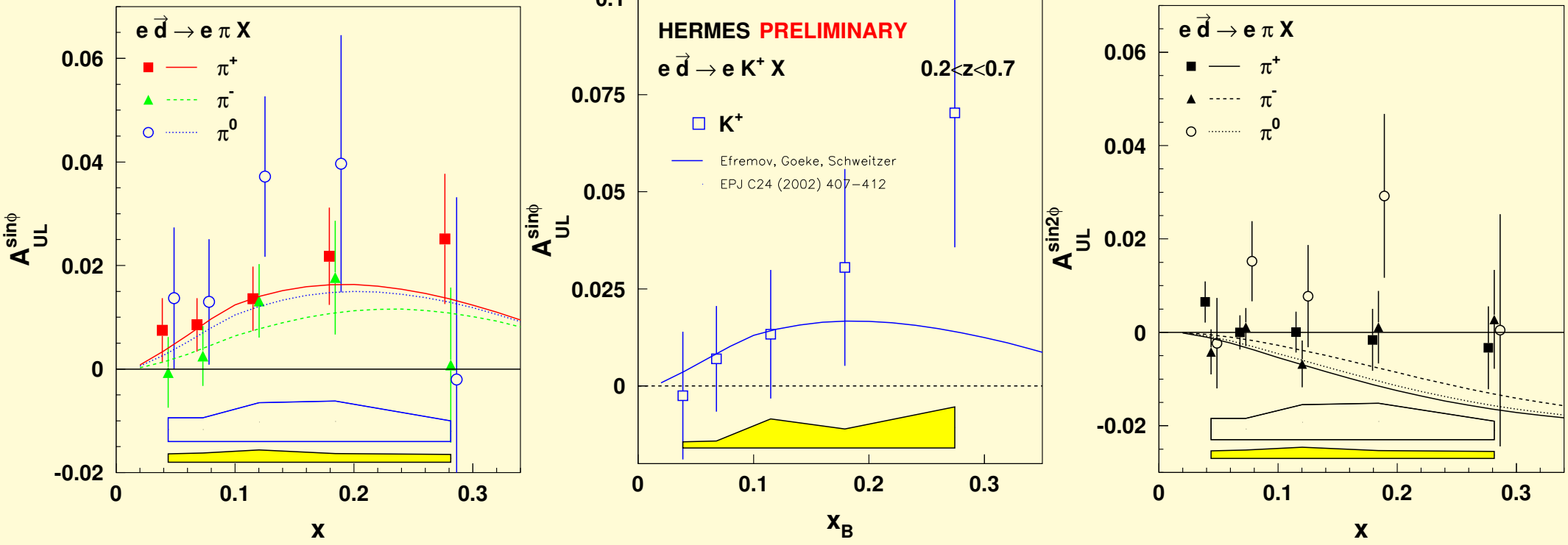
$$\langle \sin\phi \rangle_{UL} \sim \frac{1}{Q} \sum_q e_q^2 (h_L^q(x) H_1^{\perp(1),q}(z) - \frac{1}{z} h_{1L}^{\perp(1),q}(x) \tilde{H}(z))$$

$$\langle \sin\phi \rangle_{UT} \sim \sum_q e_q^2 x h_1^q(x) H_1^{\perp(1),q}(z)$$

but $S_T \sim \frac{1}{Q}$ like twist-3

$$\langle \sin 2\phi \rangle_{UL} \sim \sum_q e_q^2 x h_{1L}^{\perp(1),q}(x) H_1^{\perp(1),q}(z)$$

Deuteron Results



- h_1 from χQSM (Efremov et al. Eur.Phys.J. C24 (2002) 407)
- assume reduced twist-3 $\longrightarrow \tilde{h}_L = 0$
- $H_1^\perp$: Collins function parametrisation to fit HERMES proton data

  optimistic" result from DELPHI $e^+e^- \rightarrow 2$jets: $\frac{\langle H_1^{\perp(1)} \rangle}{\langle D_1 \rangle} = (12.5 \pm 1.4)\%$

Challenges in Interpretation

Attribute asymmetry to Sivers effect:

- **Final state interactions (Brodsky et al.)**
- **Sivers function (Sivers, Mulders et al)** $\langle \sin\phi \rangle_{UL} \sim f_{1T}^{\perp(1)} D_1$

longitudinally polarized target $\Rightarrow$ Sivers and Collins effect indistinguishable

Transversely polarized target

- $\langle \sin\phi \rangle_{UT}$ **becomes dominant**
- **Sivers and Collins distinguishable**

$\langle \sin(\phi_h^l - \phi_s^l) \rangle$ **moment** $\langle \sin(\phi_h^l + \phi_s^l) \rangle$ **moment**

for more details see talk by Toshi-Aki Shibata 2-20

Summary

- **Inclusive**
  - g1(x) high precission data on proton an deuteron
  - first measurement of b1(x)
- **Semi-Inclusive**
  - good agreement with NLO-fits to inclusive data
  - give more information $\longrightarrow$ $\Delta s(\bar{s})$ and $\Delta\bar{u} - \Delta\bar{d}$
- ΔG
  - first indication of sign by isolating PGF
  - ΔG-distribution needs results from RHIC and COMPASS
- $L_q + L_g$
  - exclusive reactions exclusively established

    $\longrightarrow$ need more work
- **Transversity**
  - results coming soon