

# THE SPIN NUCLEON STRUCTURE INVESTIGATION AT HERMES: RECENT HIGHLIGHTS.

Contalbrigo Marco  
INFN Ferrara

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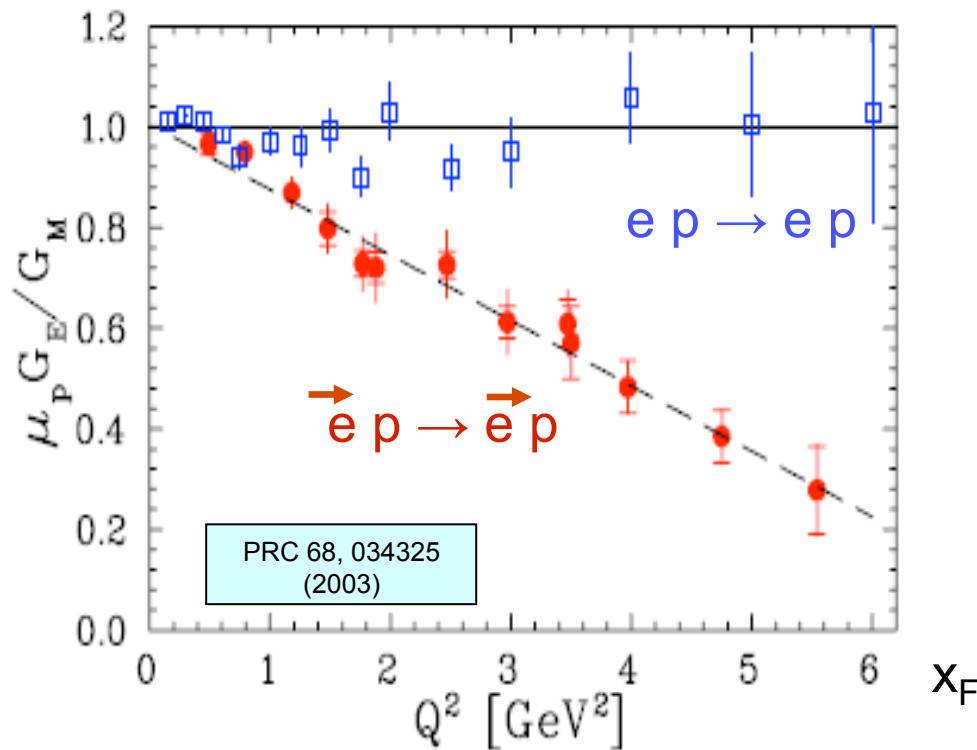
**Baryons2013 Conference**  
June 25<sup>th</sup>, 2013 Glasgow

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# The Spin Degree of Freedom

Spin degrees of freedom can explain otherwise surprising phenomena and bring new insights into nuclear matter structure

Fundamental: do not neglect it !!



# Open Issues: Test Field for QCD

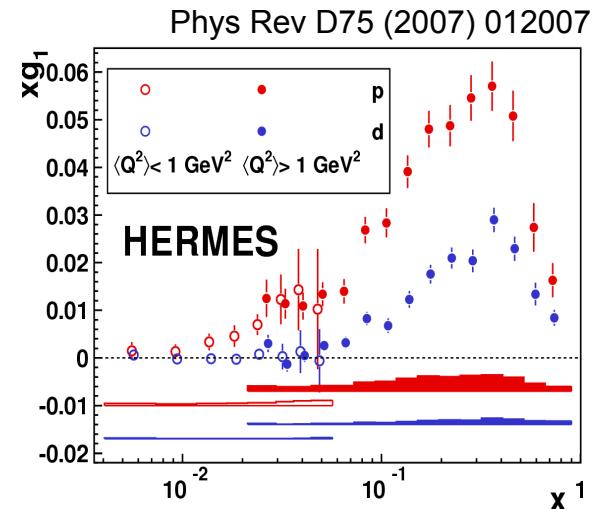
Proton spin budget: role of partonic orbital motion?

$$\Delta\Sigma = 0.33 \pm 0.03$$

from DIS

$\Delta G \sim 0.1$  at  $0.02 < x < 0.3$   
from DIS and  $p\bar{p}$  scattering

$$\frac{1}{2} = \frac{1}{2} \sum_f (q_f^+ - q_f^-) + L_q + \Delta G + L_g$$



# Open Issues: Test Field for QCD

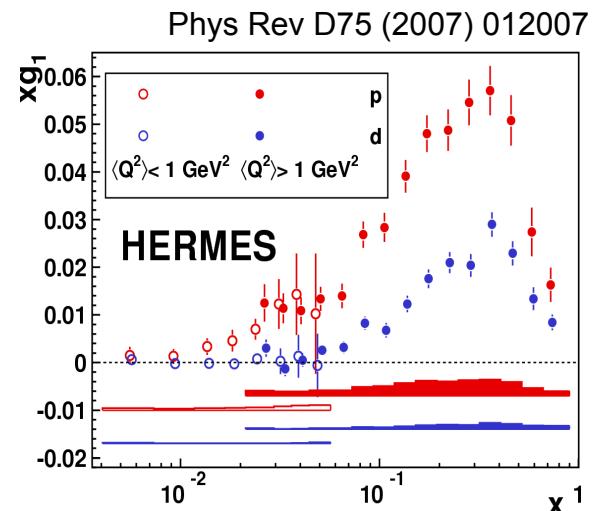
Proton spin budget: role of partonic orbital motion?

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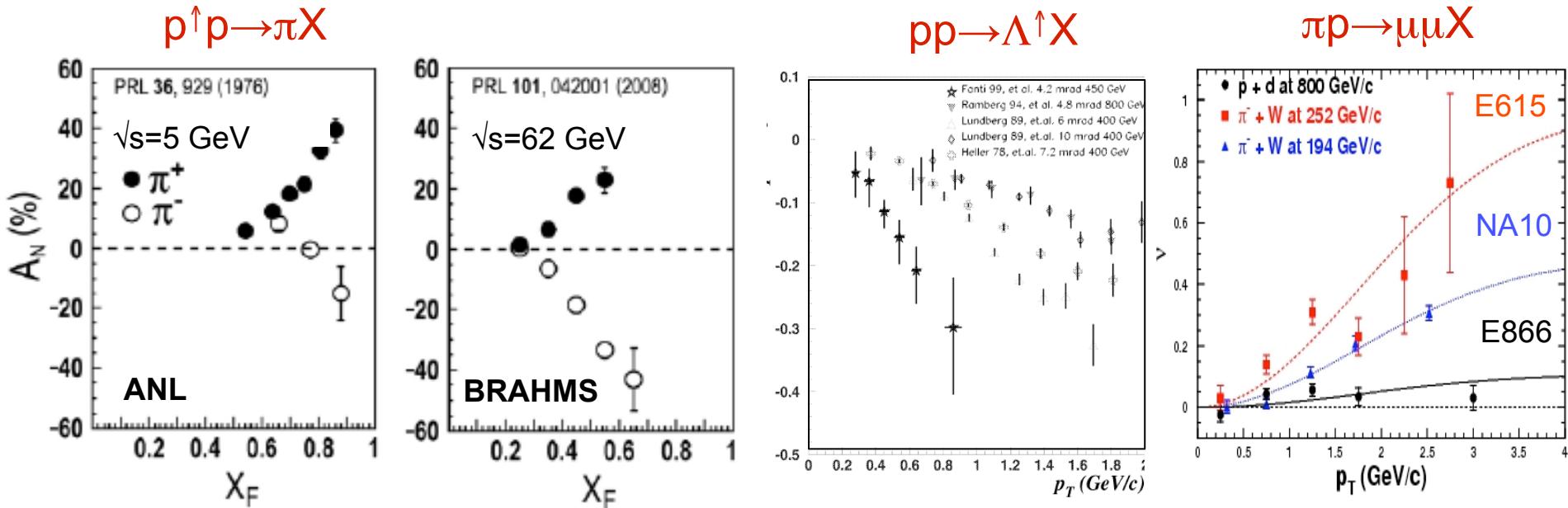
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$$\frac{1}{2} = \frac{1}{2} \sum_f (q_f^+ - q_f^-) + L_q + \Delta G + L_g$$



Single spin asymmetries: BIG (?)! although suppressed as  $m_q/Q^2$  in pQCD



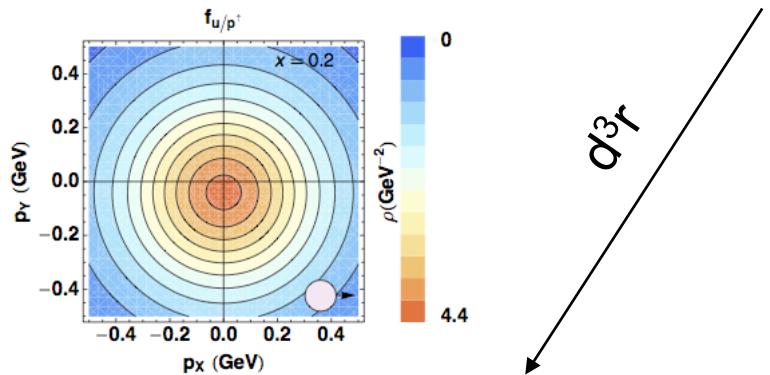
# The Real Experience: 3D !



# Quantum Phase-space Distributions of Quarks

$$W_p^q(x, k_T, r) \text{ "Mother" Wigner distributions}$$

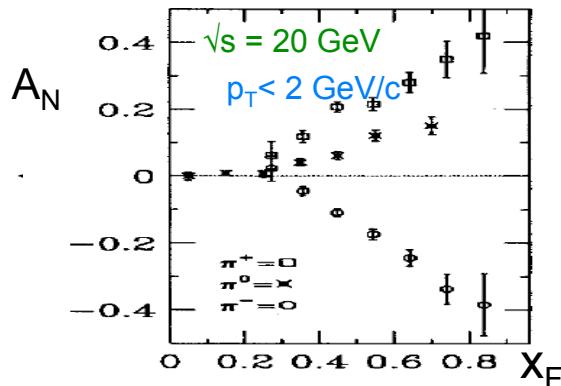
Probability to find a quark  $q$  in a nucleon  $P$  with a certain polarization in a position  $r$  & momentum  $k$



TMD PDFs:  $f_p^u(x, k_T, \dots)$

Semi-inclusive measurements  
Momentum transfer to quark  
Direct info about momentum distribution

May explain SSA & Lam-Tung

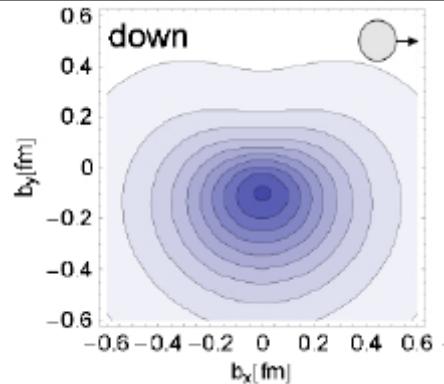
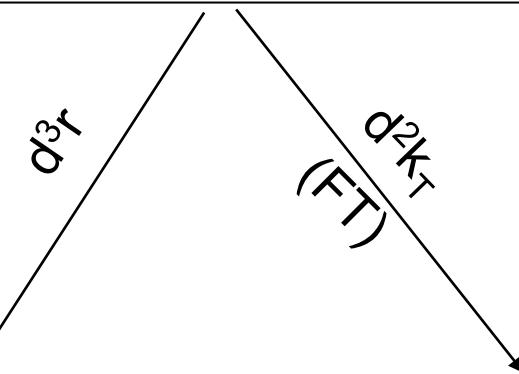
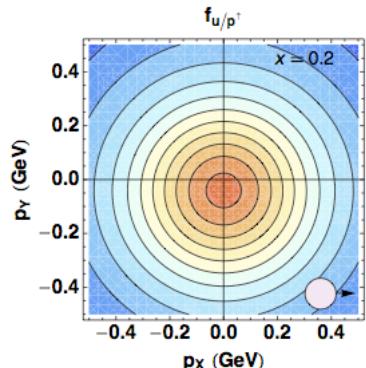


PDFs  $f_p^u(x, \dots)$

# Quantum Phase-space Distributions of Quarks

$W_p^q(x, k_T, r)$  "Mother" Wigner distributions

Probability to find a quark  $q$  in a nucleon  $P$  with a certain polarization in a position  $r$  & momentum  $k$



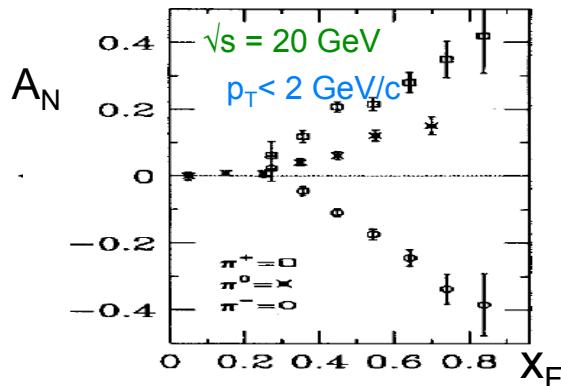
TMD PDFs:  $f_p^u(x, k_T), \dots$

GPDs:  $H_p^u(x, \xi, t), \dots$

Semi-inclusive measurements  
Momentum transfer to quark  
Direct info about momentum distribution

Exclusive Measurements  
Momentum transfer to target  
Direct info about spatial distribution

May explain SSA & Lam-Tung



PDFs  $f_p^u(x), \dots$

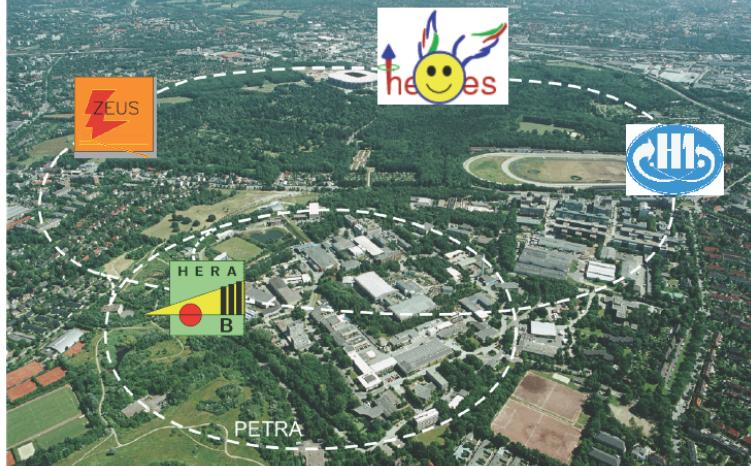
May solve proton spin puzzle

$$J_q = \frac{1}{2} \Delta \Sigma + L_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H(x, \xi, t) + E(x, \xi, t)]$$

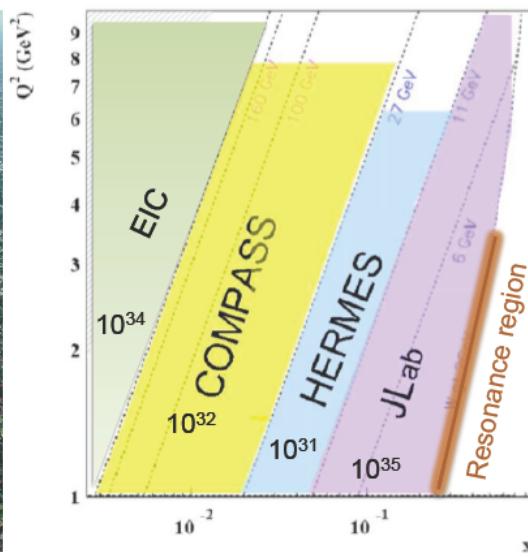
# The HERMES Experiment

27.6 GeV e+/e- HERA beam

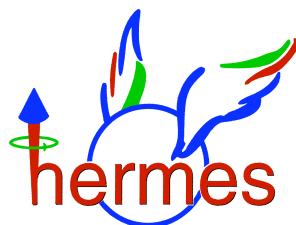
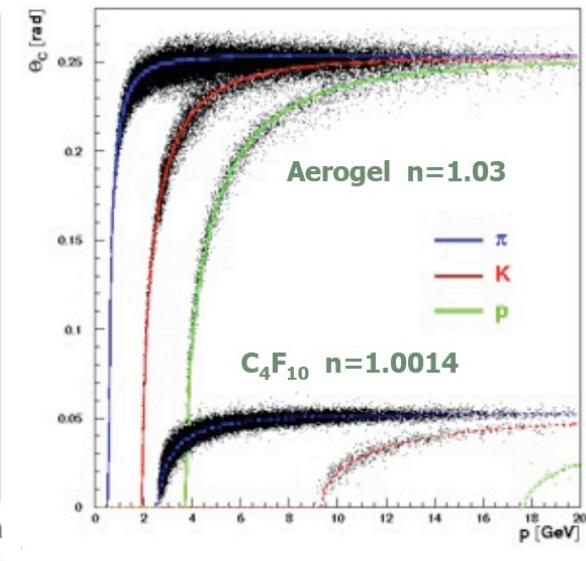
DESY-Hamburg:



Valence and sea



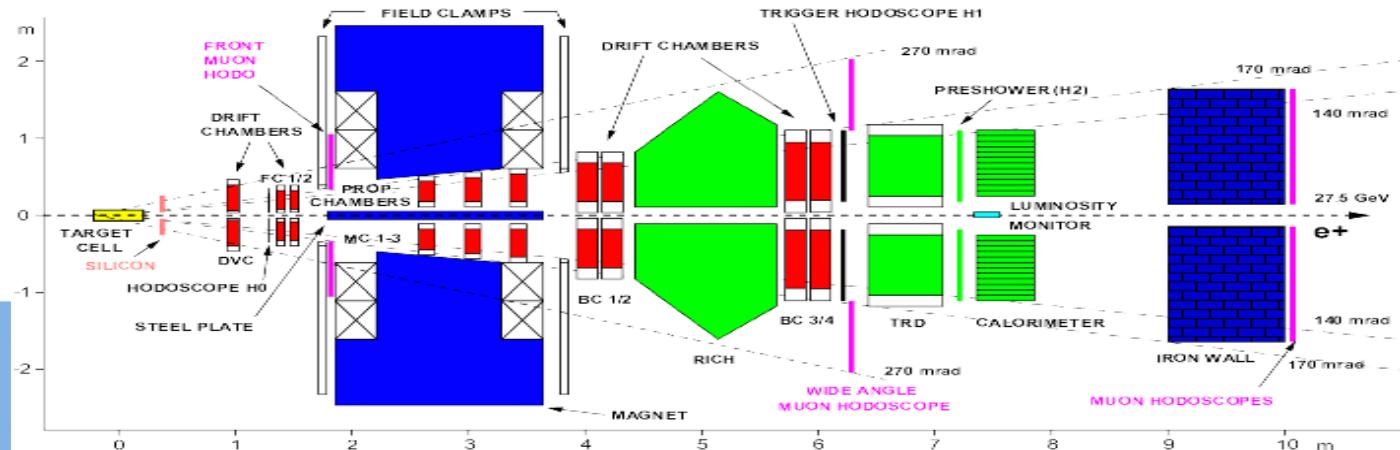
Electron and Hadron ID



Data taking: 95-07

Internal gaseous target:

- 96-00 (p/d) Lpol
- 02-05 (p) Tpol
- 06-07 (p/d) Unpol



# SIDIS FOR TRANSVERSE MOMENTUM DEPENDENCE

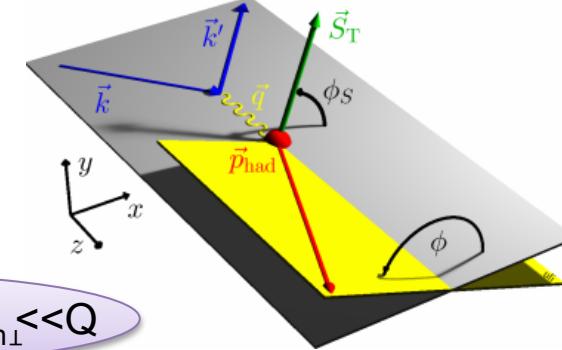
# The SIDIS Case

nucleon polarisation

		quark polarisation		
N/q		U	L	T
U	$f_1$			$h_1^\perp$
L		$g_1$	$h_{1L}^\perp$	
T	$f_{1T}^\perp$	$g_{1T}^\perp$	$h_1$	$h_{1T}^\perp$

Number Density  
Helicity  
Sivers  
Worm-gear  
Transversity  
Pretzelosity

SIDIS cross section  
(transversely pol. target):

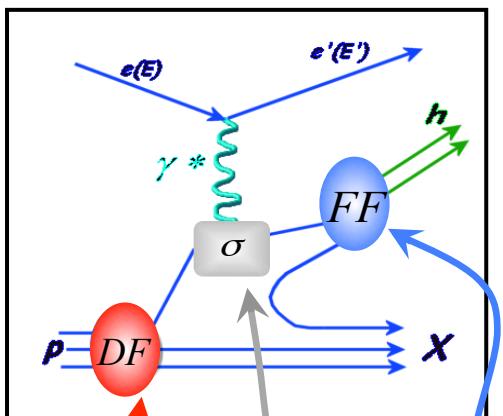


TMD factorization for  $P_{h\perp} \ll Q$

$$f \otimes D = \int_q e_q^2 d^2 p_T d^2 k_T \dots w(k_T, p_T) f^q(x, k_T^2) D^q(z, p_T^2)$$

Involved phenomenology due to the convolution over transverse momentum

$h_1 \otimes H_1^\perp$



$$\sigma^{ep \rightarrow ehX} = \sum_q (DF) \otimes \sigma^{eq \rightarrow eq} \otimes FF$$

$$\frac{d^6 \sigma}{dx dy dz d\phi_S d\phi dP_{h\perp}^2} \stackrel{\text{Leading}}{\underset{\text{Twist}}{\propto}} S_T \left\{ \sin(\phi - \phi_S) F_{UT,T}^{\sin(\phi - \phi_S)} \right\}$$

$f_{1T}^\perp \otimes D_1$

$$+ S_T \left\{ \varepsilon \sin(\phi + \phi_S) F_{UT}^{\sin(\phi + \phi_S)} + \varepsilon \sin(3\phi - \phi_S) F_{UT}^{\sin(3\phi - \phi_S)} \right\}$$

$h_{1T}^\perp \otimes H_1^\perp$

$$+ S_T \lambda_e \left\{ \sqrt{1 - \varepsilon^2} \cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)} \right\} + \dots$$

# Leading Twist TMDs

		quark polarisation		
N/q		U	L	T
U	$f_1$  Number Density			$h_1^\perp$  Boer-Mulders
L		$g_1$  Helicity	$h_{1L}^\perp$  Worm-gear	
T	$f_{1T}^\perp$  Sivers	$g_{1T}^\perp$  Worm-gear	$h_1$  Transversity	$h_{1T}^\perp$  Pretzelosity

## Number density and helicity:

Focusing here in transverse momentum dependence

## Transversity:

Survives transverse momentum integration  
(missing leading-twist collinear piece)

Differs from helicity due to relativistic effects and  
no mix with gluons in the spin-1/2 nucleon

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## Off-diagonal elements:

Interference between wave functions with different angular momenta: contains information about parton orbital angular motion and spin-orbit effects

Testing QCD at the amplitude level

## T-odd elements:

- sign change between DY and SIDIS
  - universality of TMDs

**Strict prediction from TMDs + QCD !**

# Leading Twist TMDs

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		quark polarisation		
N/q		U	L	T
U	$D_1$			$H_1^\perp$
L			$G_{1L}$	$H_{1L}^\perp$
T		$D_{1T}^\perp$	$G_{1T}$	$H_1$
				$H_{1T}^\perp$

# First TMD Evidences

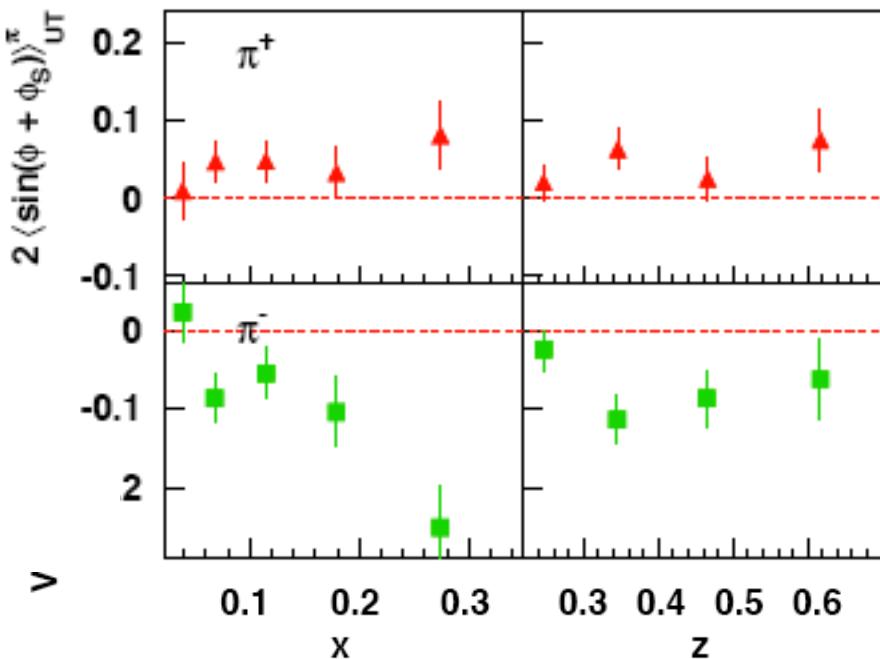
$$\sigma_{UT}^{\sin(\phi+\phi_S)} \propto h_1 \otimes H_1^\perp$$

SIDIS:  
 $\text{ep} \rightarrow \text{e}'\text{hX}$

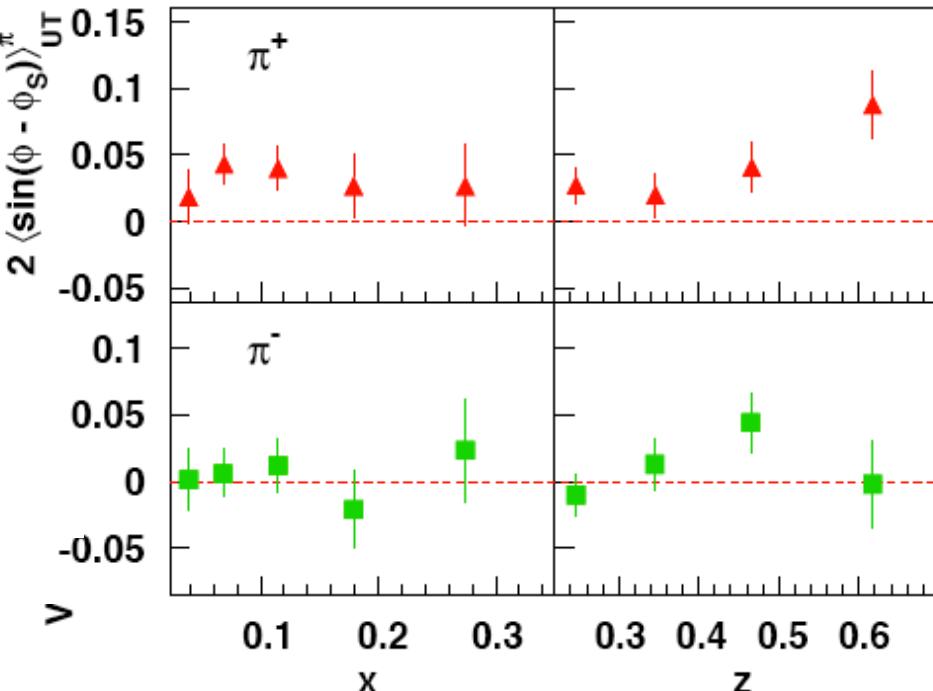
$$\sigma_{UT}^{\sin(\phi-\phi_S)} \propto f_{1T}^\perp \otimes D_1$$

2005: First evidence from HERMES measuring SIDIS on proton

A. Airapetian et al, Phys. Rev. Lett. 94 (2005) 012002



Non-zero transversity !!  
 Non-zero Collins function !!

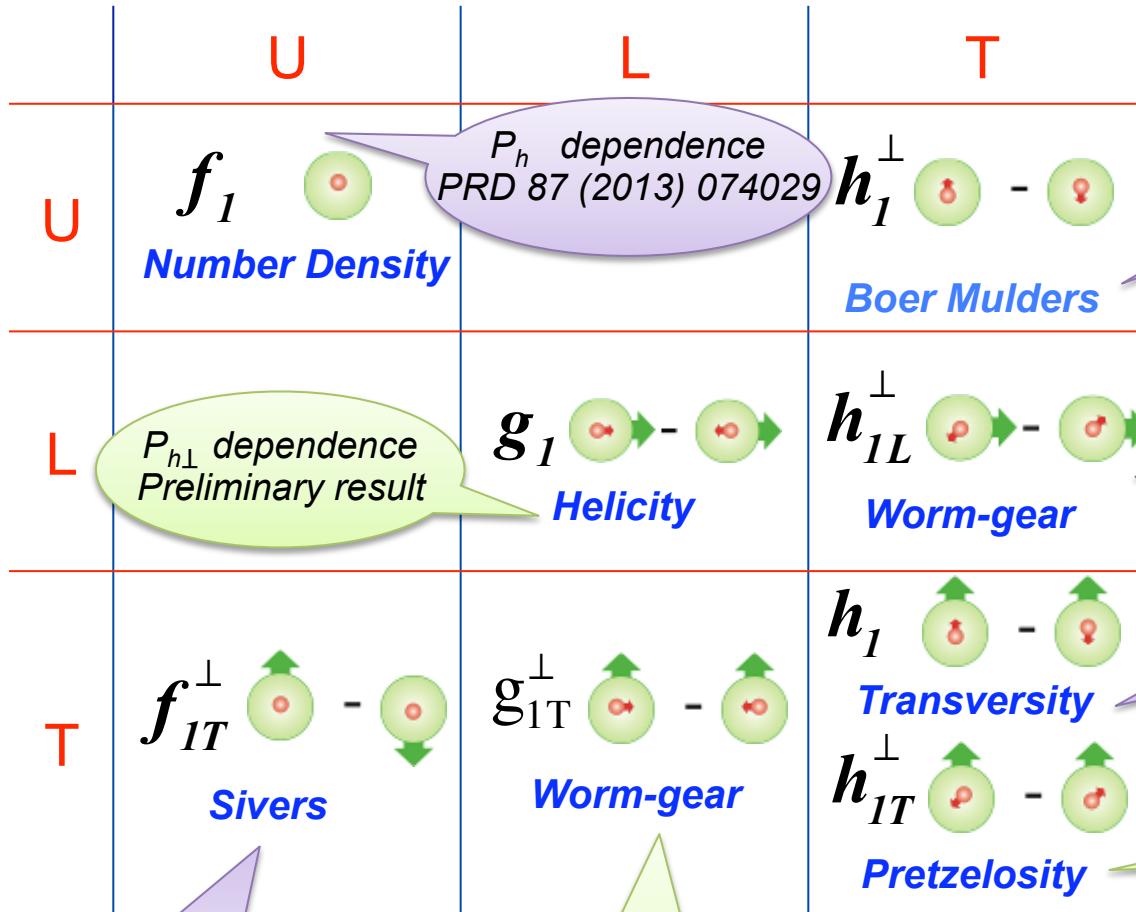


Non-zero Sivers function !!

# Leading Twist TMDs

## Quark polarisation

Nucleon polarisation



**Different from zero !**  
 PRL 94 (2005) 012002  
 PRL 103 (2009) 152002

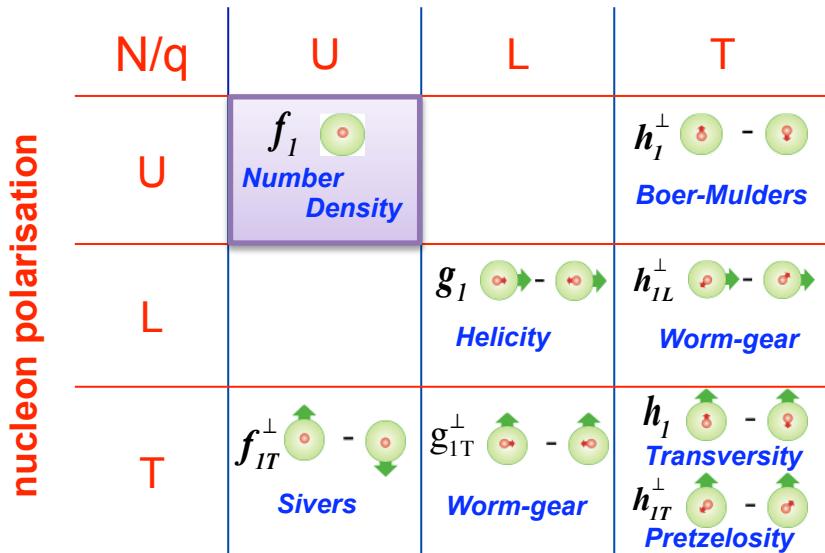
**Hint of non-zero signal**  
*Preliminary result*

**HERMES has access to all of them through specific azimuthal modulations ( $\phi, \phi_S$ ) of the cross-section thanks to the polarized beam and target**

# Parton Number Density

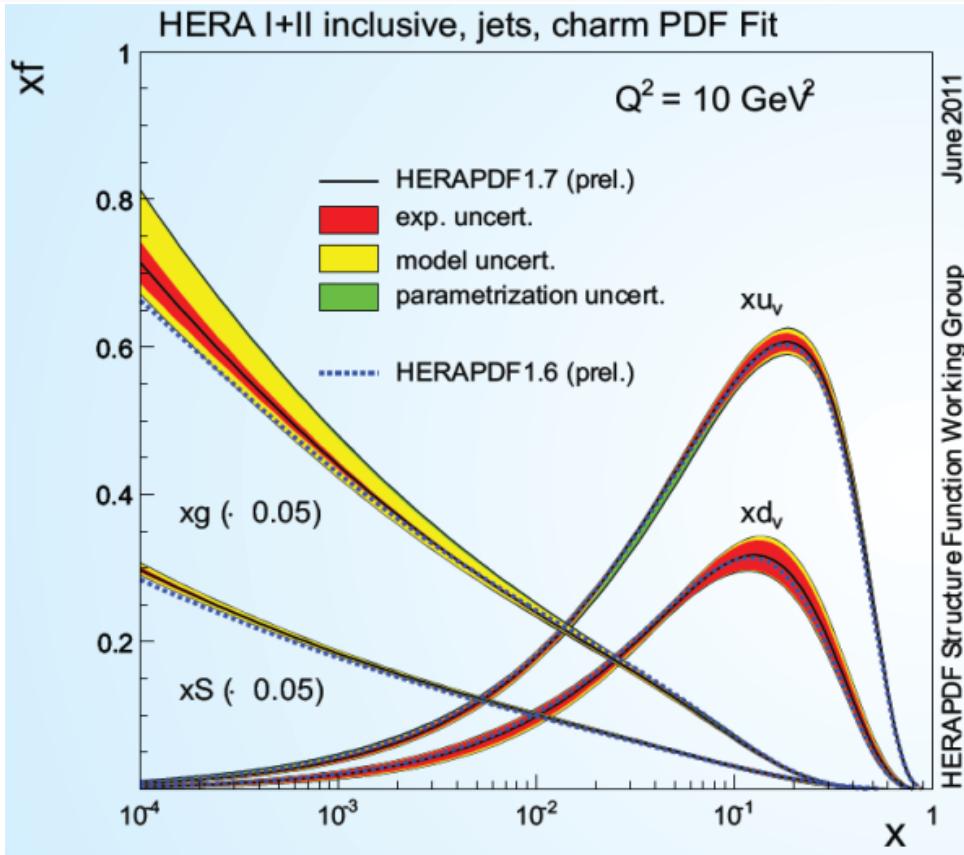


# NUMBER DENSITY



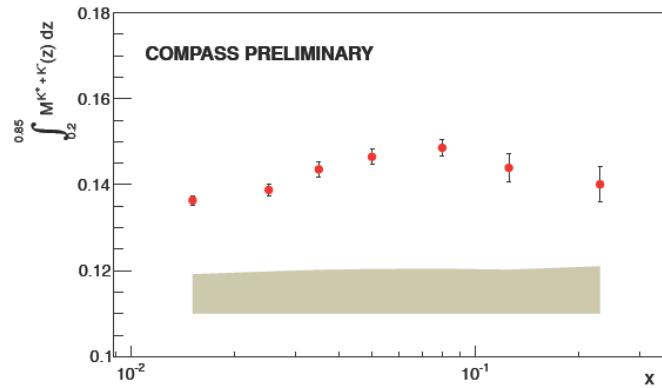
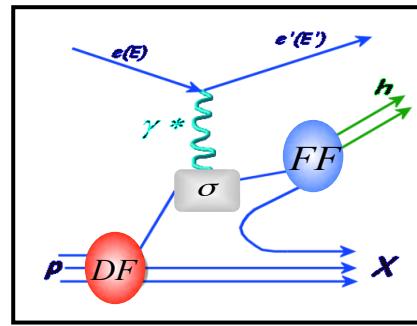
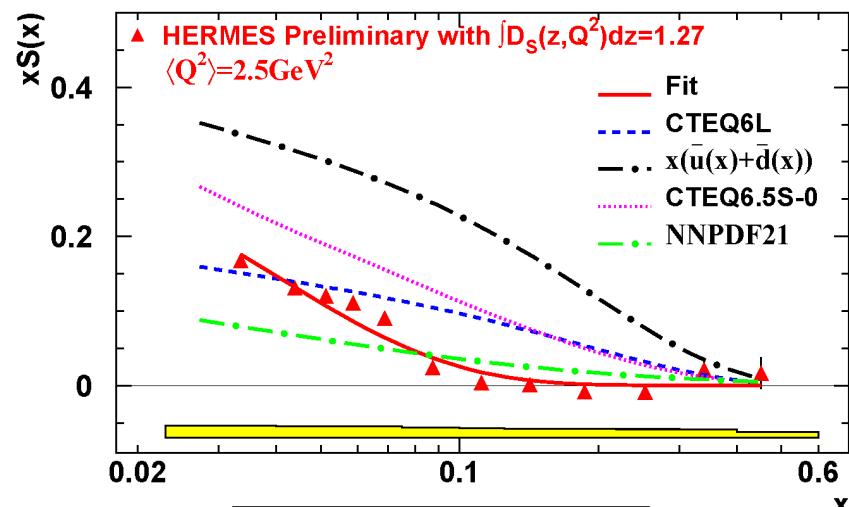
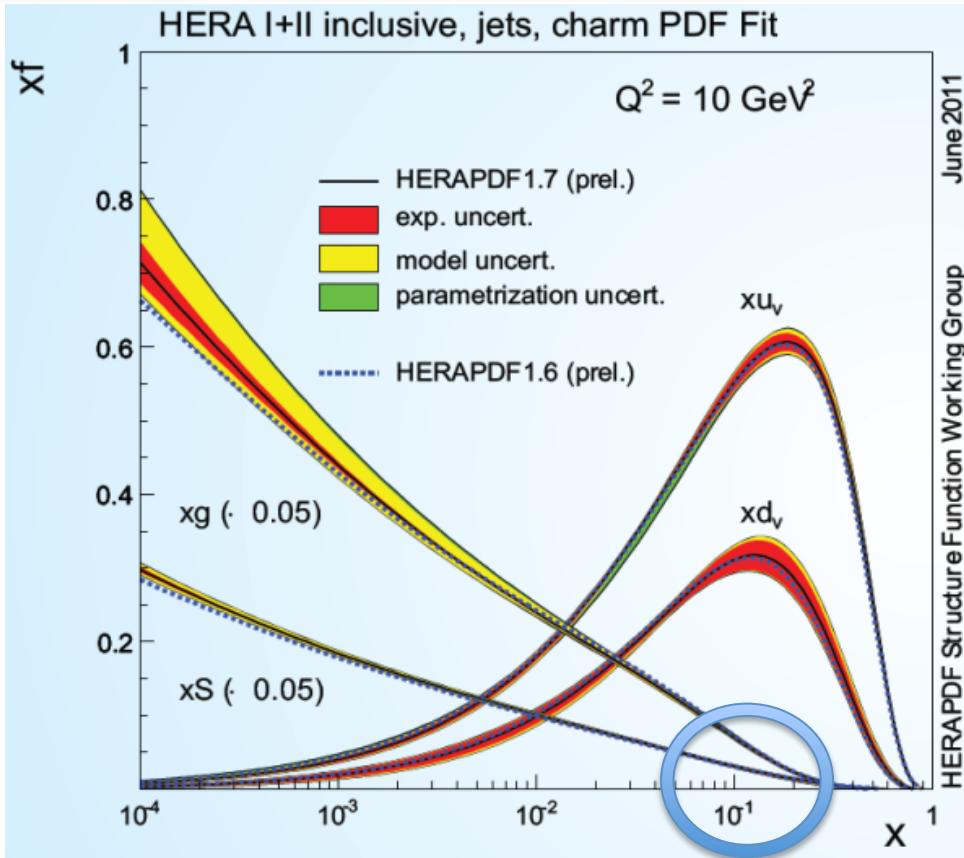
(THE BASELINE)

# Parton Number Density

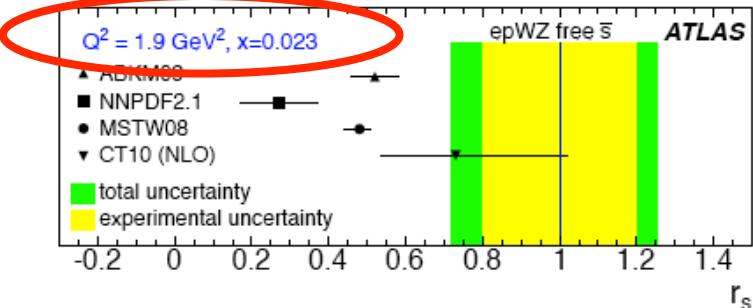


June 2011  
HERAPDF Structure Function Working Group

# Parton Number Density



ATLAS: arXiv:1206.4051  $r_s = 0.5(s + \bar{s})/d$



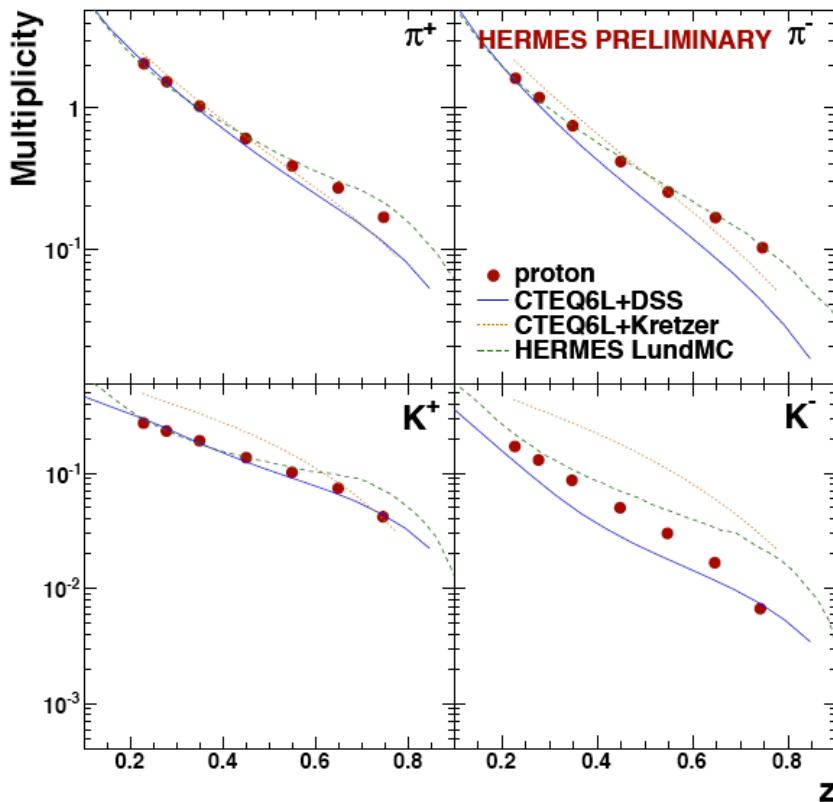
# The Hadron Multiplicities

$f_1 \cdot D_1$

LO interpretation:

$$M_N^h = \frac{1}{N_N^{DIS}(Q^2)} \frac{dN_N^h(z, Q^2)}{dz} = \frac{\sum_q e_q^2 \int dx f_{1q}(x, Q^2) D_{1q}^h(z, Q^2)}{\sum_q e_q^2 \int dx f_{1q}(x, Q^2)}$$

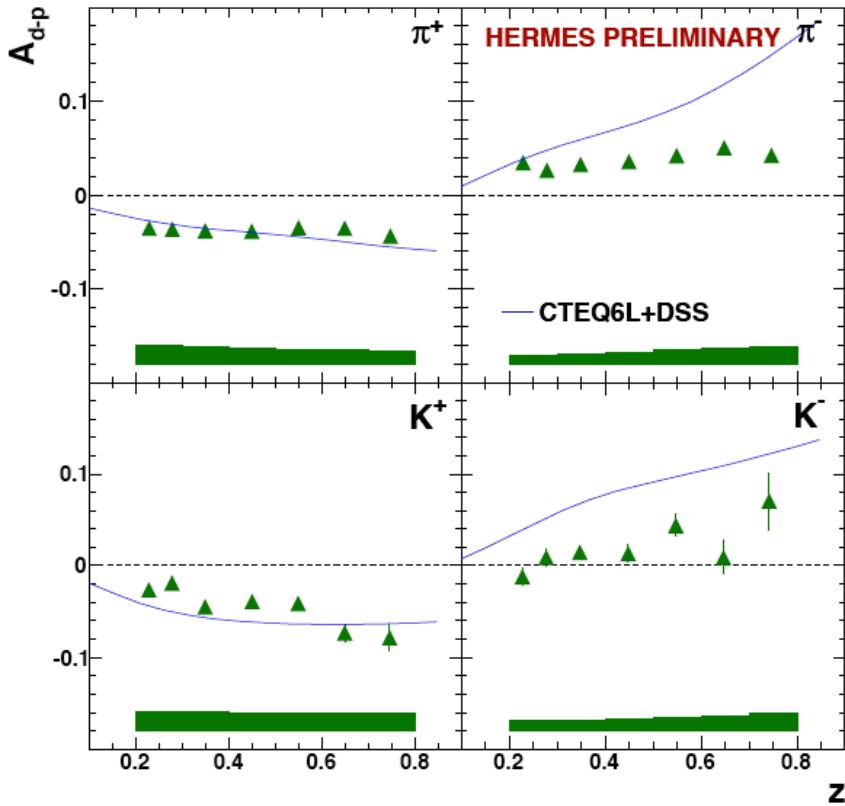
SIDIS data constrain fragmentation at low c.m. energy and bring enhanced flavor sensitivity



Proton-deuteron asymmetry:

$$A_{d-p}^h \equiv \frac{M_d^h - M_p^h}{M_d^h + M_p^h}$$

Reflects different flavor content  
Correlated systematics cancels



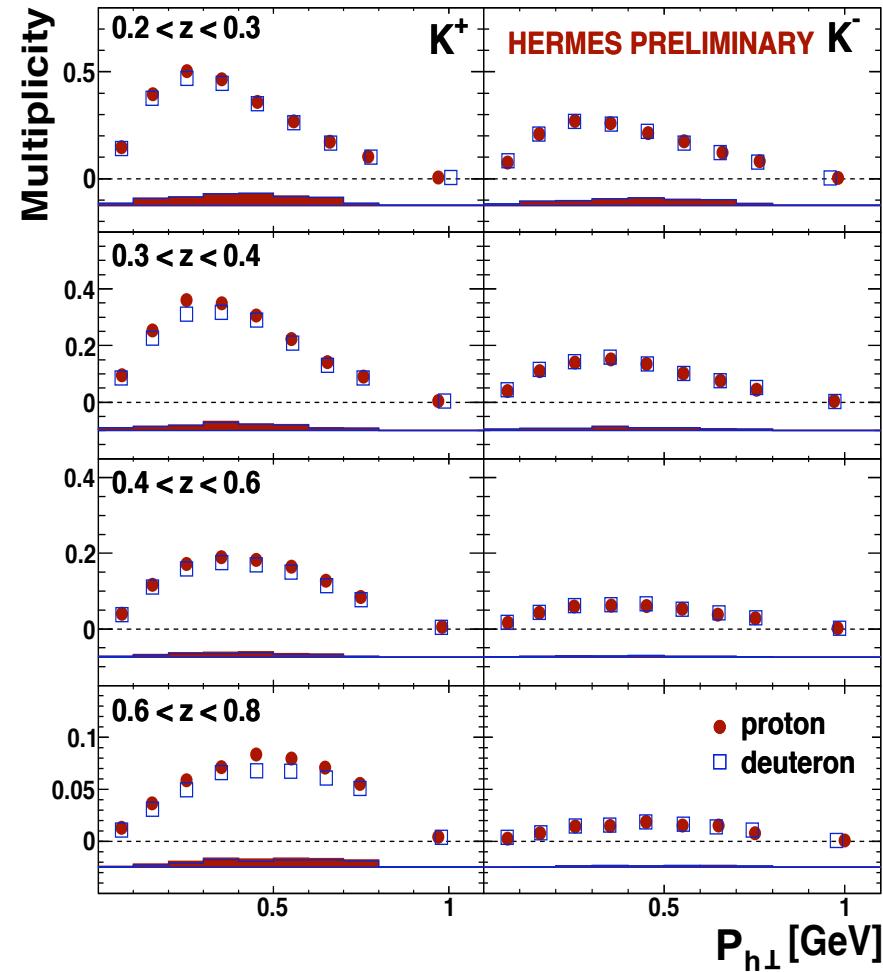
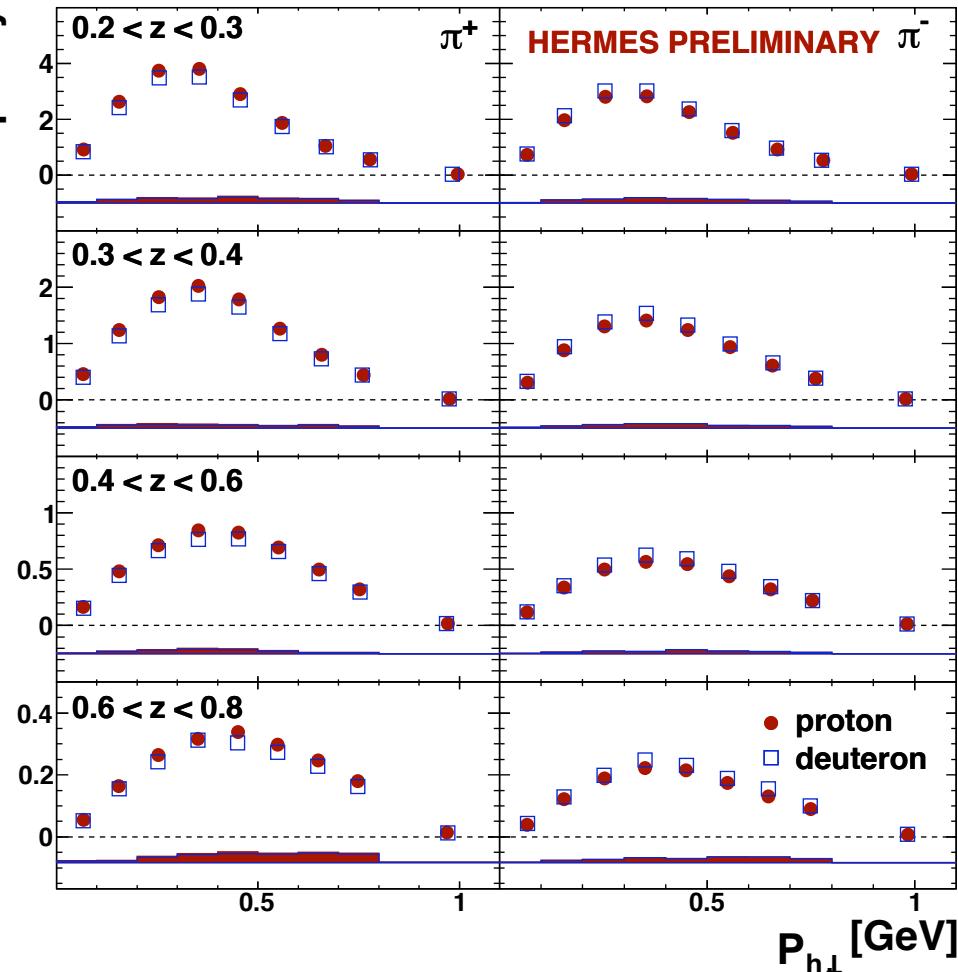
# The $P_{h\perp}$ – unintegrated Multiplicities

$f_1 \otimes D_1$

Disentanglement of  $z$  and  $P_{h\perp}$ : access to the transverse intrinsic quark  $k_T$  and fragmentation  $p_T$ ,

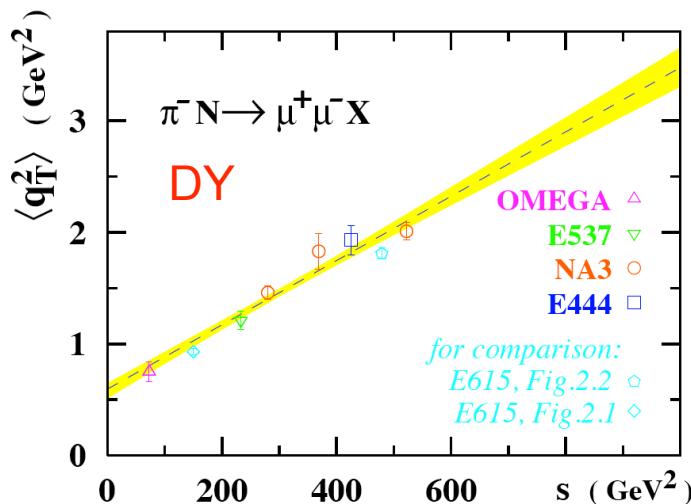
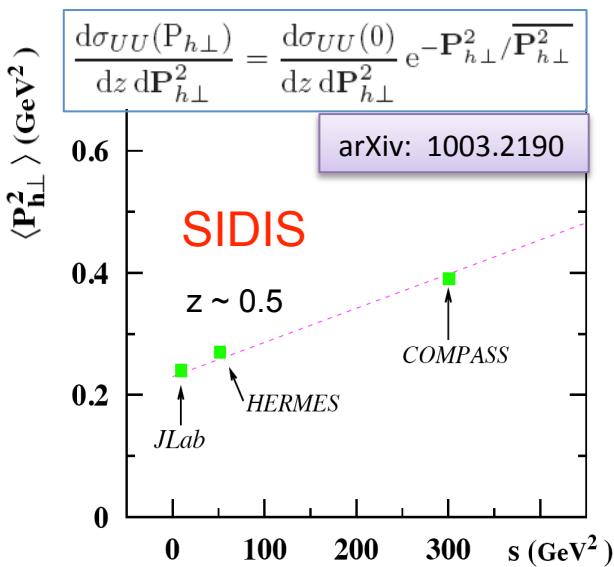
i.e. from gaussian anstaz

$$\langle P_{h\perp}^2 \rangle = z^2 \langle k_T^2 \rangle + \langle p_T^2 \rangle$$

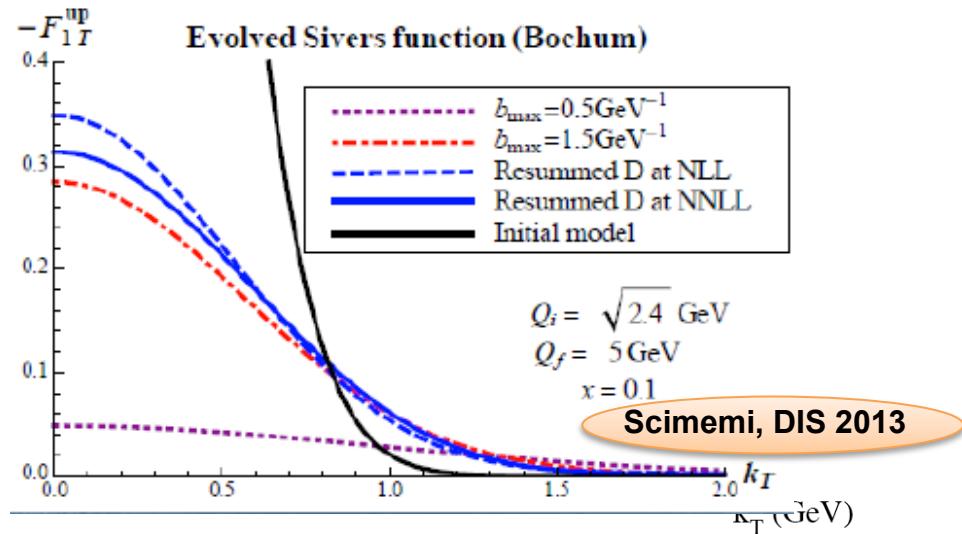
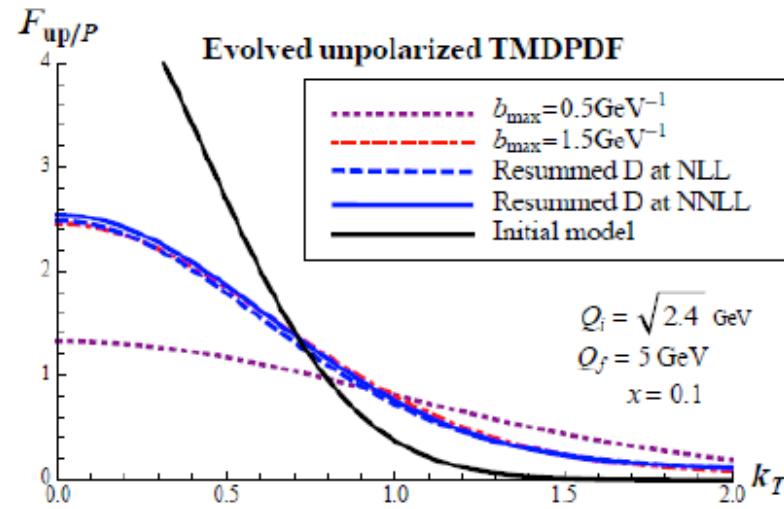


# TMD Evolution

$$f_1 \otimes D_1$$



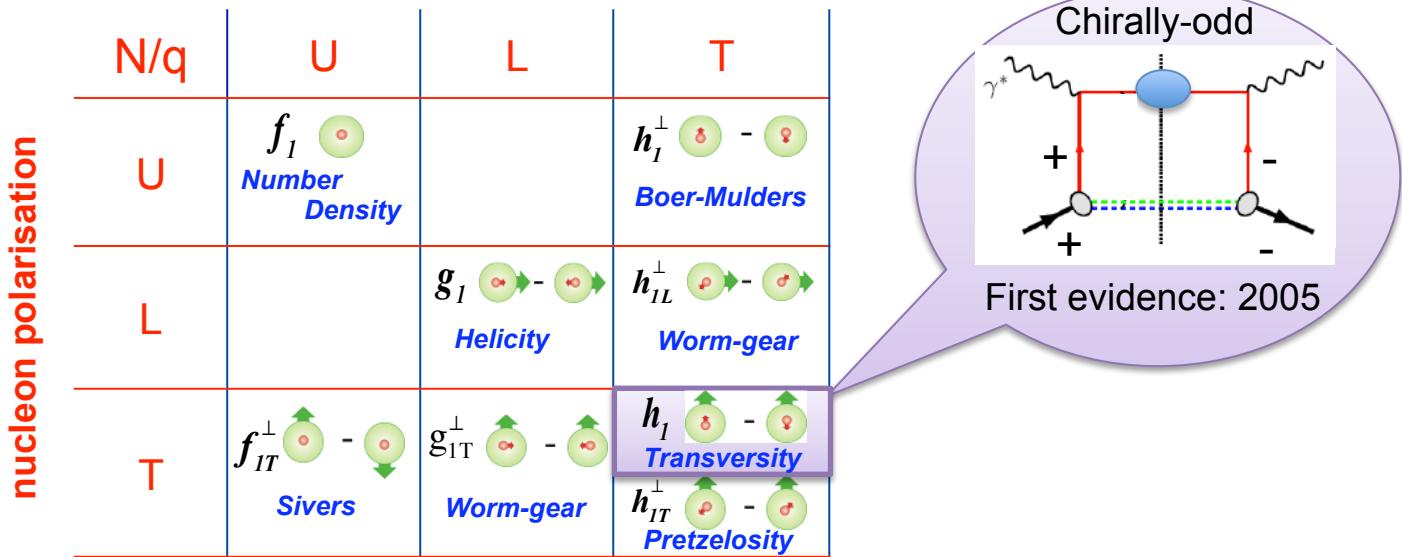
Indication of a  $k_T$  and  $p_T$  broadening with c.m. energy:  
TMD Q<sup>2</sup> evolution ≠ DGLAP



# Parton Polarization



# TRANSVERSITY

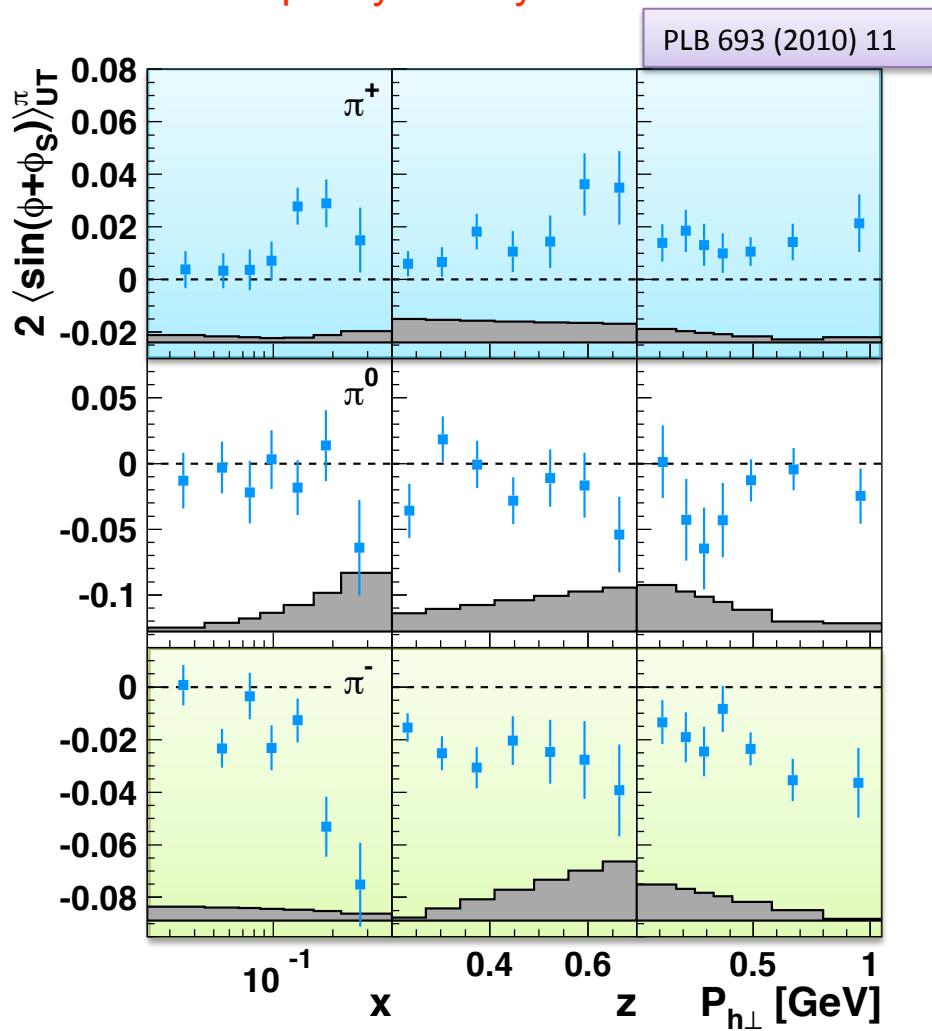


(THE COLLINEAR MISSING PIECE)

# The Collins Amplitude

$h_1 \otimes H_1^\perp$

Non zero pion signals  
Fulfill isospin symmetry



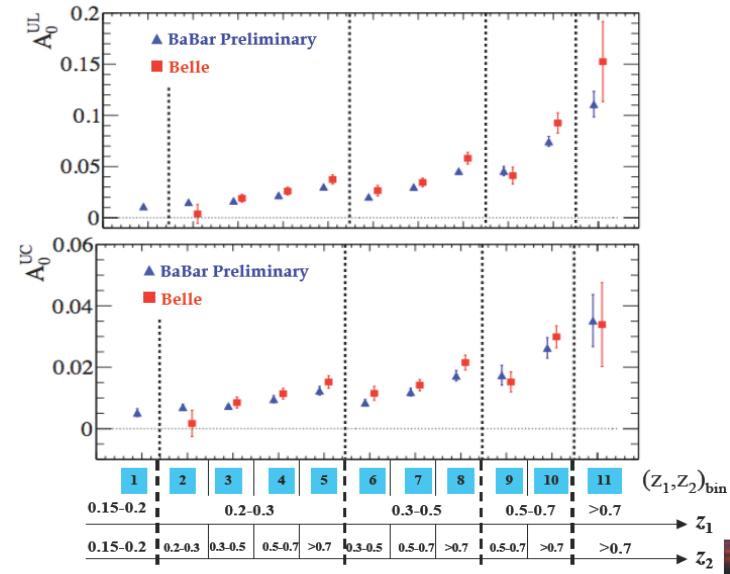
Clear & opposite signals for charged pions:

With u-dominance:  $\pi^+(u\bar{d})$     $\pi^-(\bar{u}d)$   
opposite sign for favored and unfavored Collins

Not in contradiction with Collins at BELLE

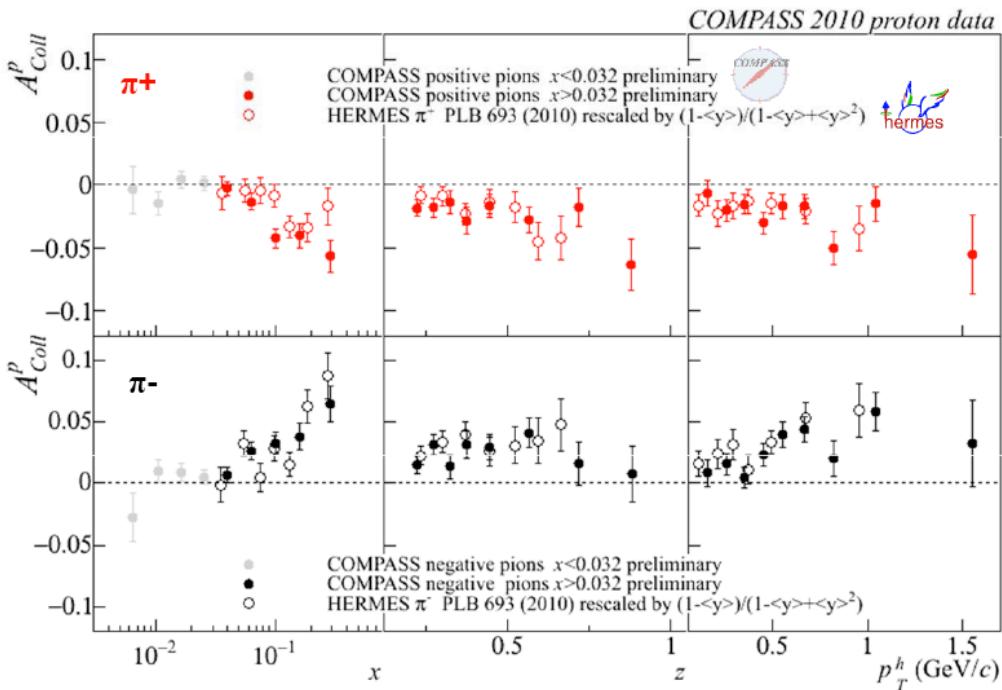
$$e^+ e^- \rightarrow h_1 h_2 X$$

$$A_{12} \propto H_1^\perp H_2^\perp$$



# The Collins Amplitude

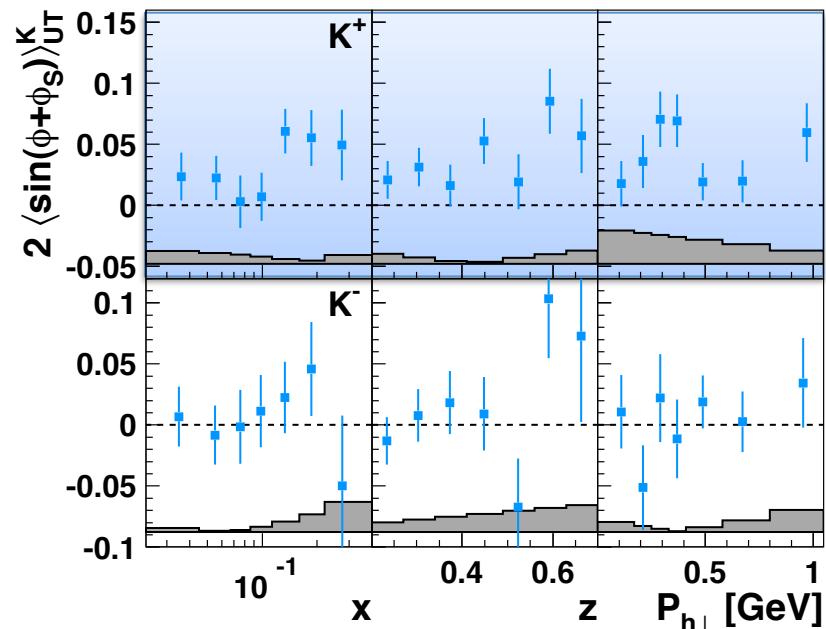
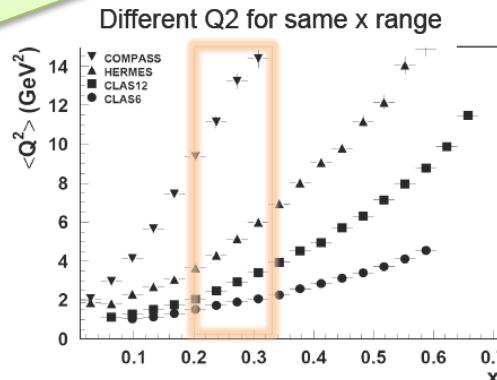
$h_1 \otimes H_1^\perp$



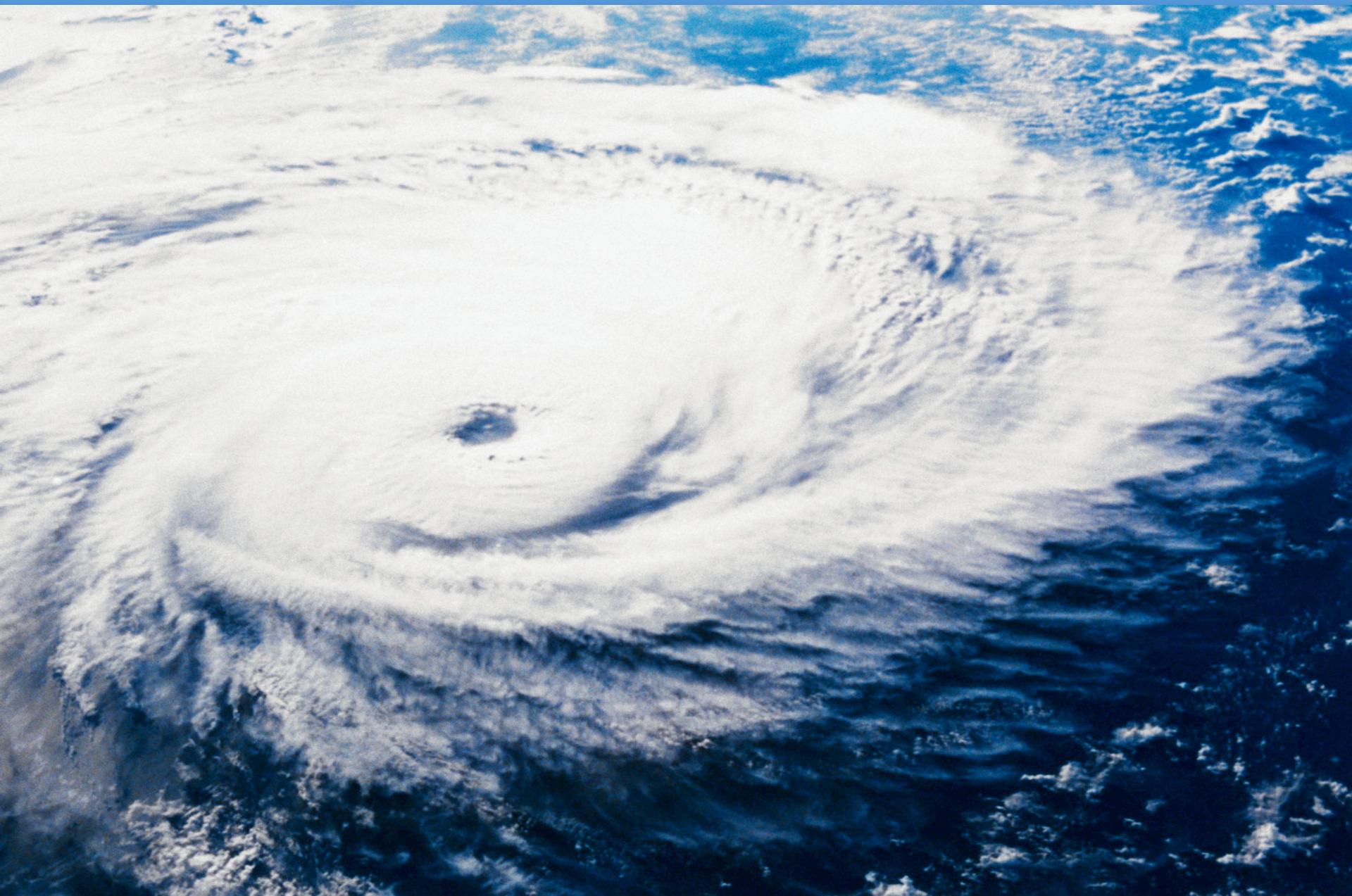
K<sup>+</sup> signal larger than π<sup>+</sup>  
role of sea quarks  
k<sub>T</sub> dependence in FFs  
higher twists effects

Peculiar K<sup>-</sup>  
no valence quark in common with proton

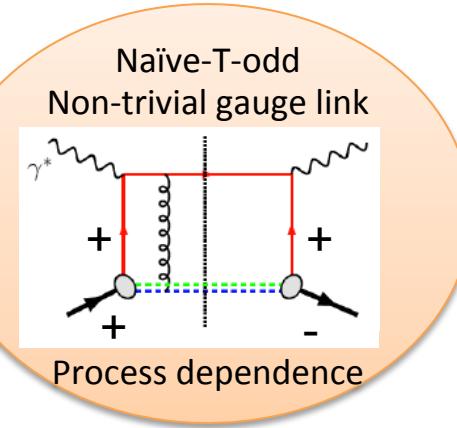
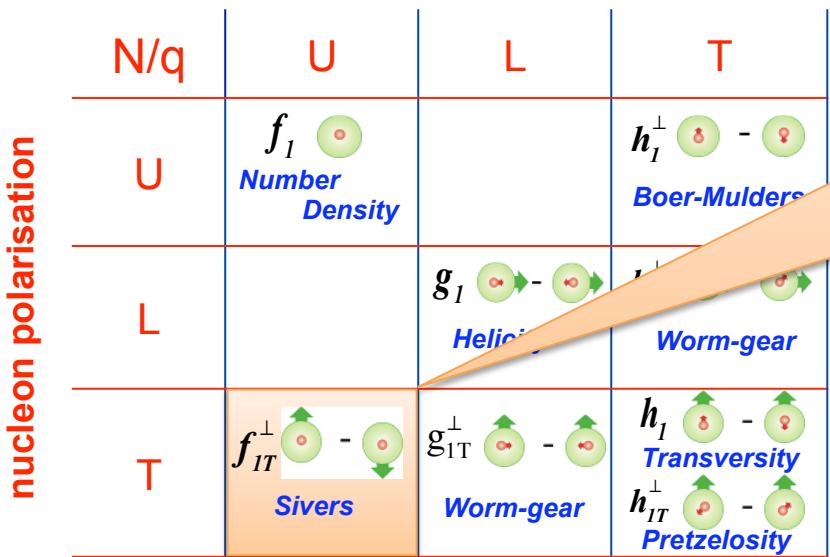
Consistent results at different Q<sup>2</sup>  
→ No higher twists  
→ No strong evolution



# Spin-Orbit Effects



# SIVERS

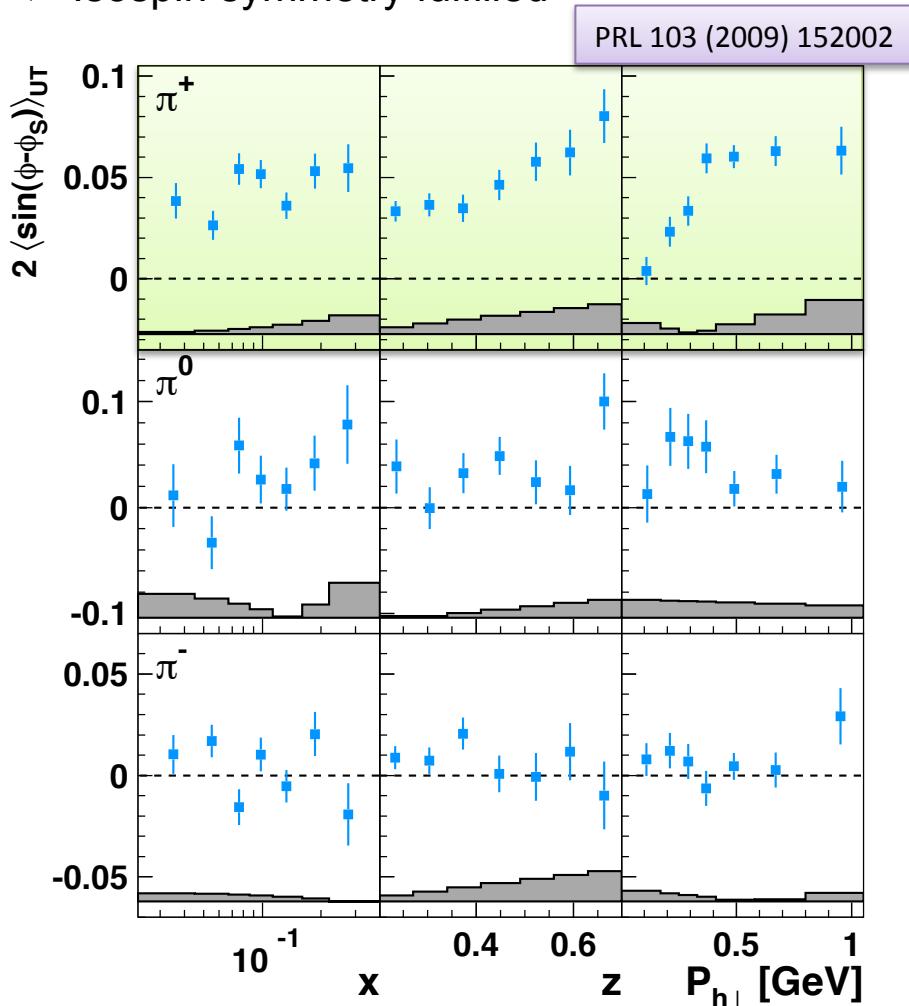


(THE TMD CHALLENGE)

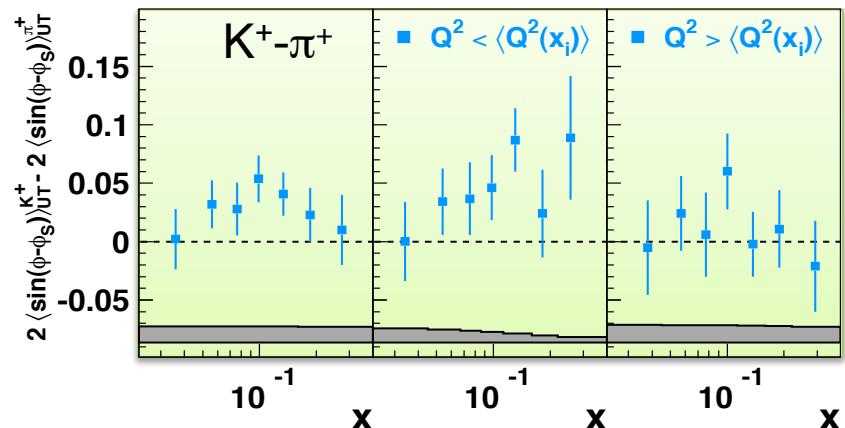
# The Sivers Amplitude @ HERMES

Pion electro-production on proton:

- ❖ Clear signal for  $\pi^+$  and for pion difference
- ❖ Isospin symmetry fulfilled

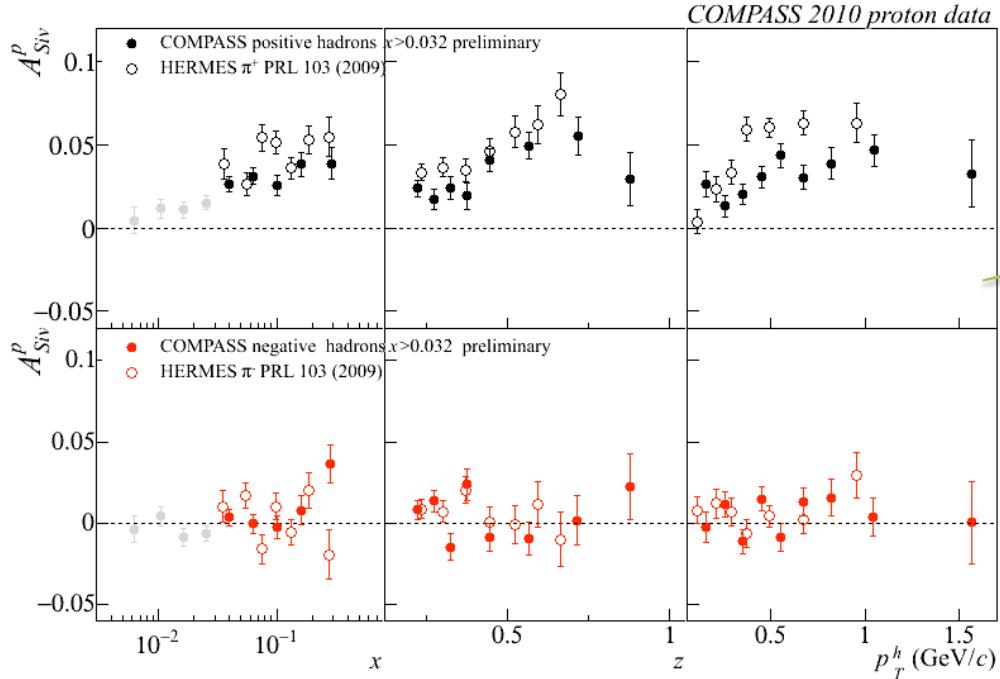


Peculiar kaon signals:



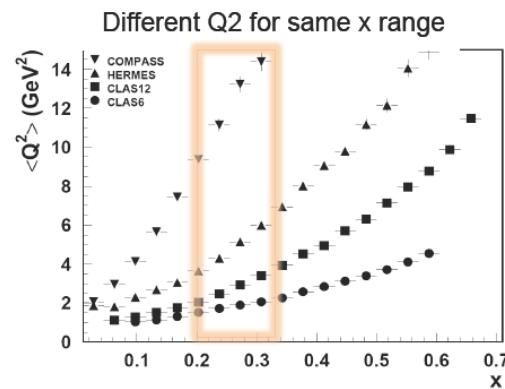
# The Sivers Signals

$$f_{1T}^\perp \otimes D_1$$



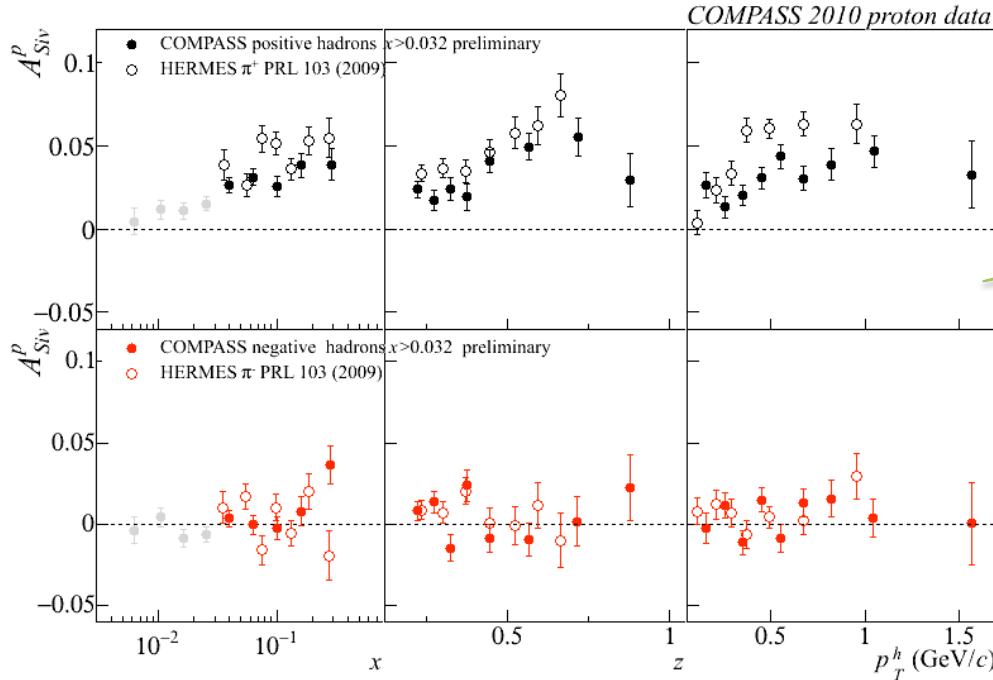
Signals of TMD evolution ?

HERMES vs COMPASS  
Comparison



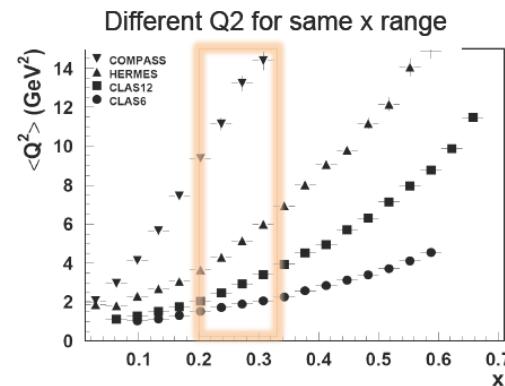
# The Sivers Signals

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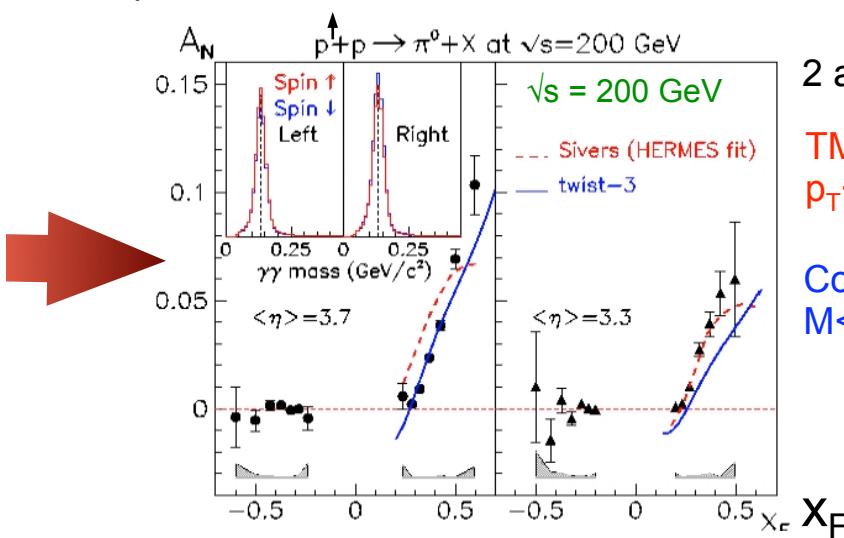
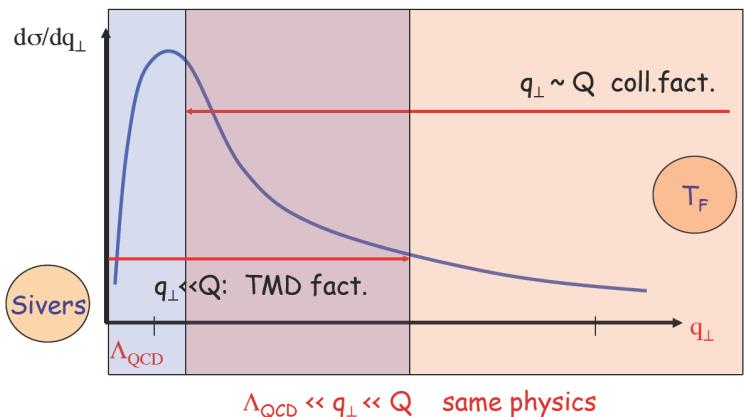


Signals of TMD evolution ?

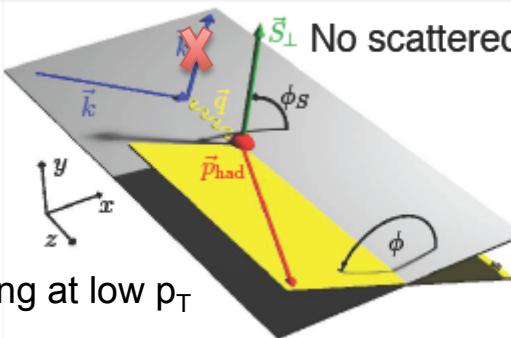
HERMES vs COMPASS  
Comparison



From SIDIS to pp collisions:

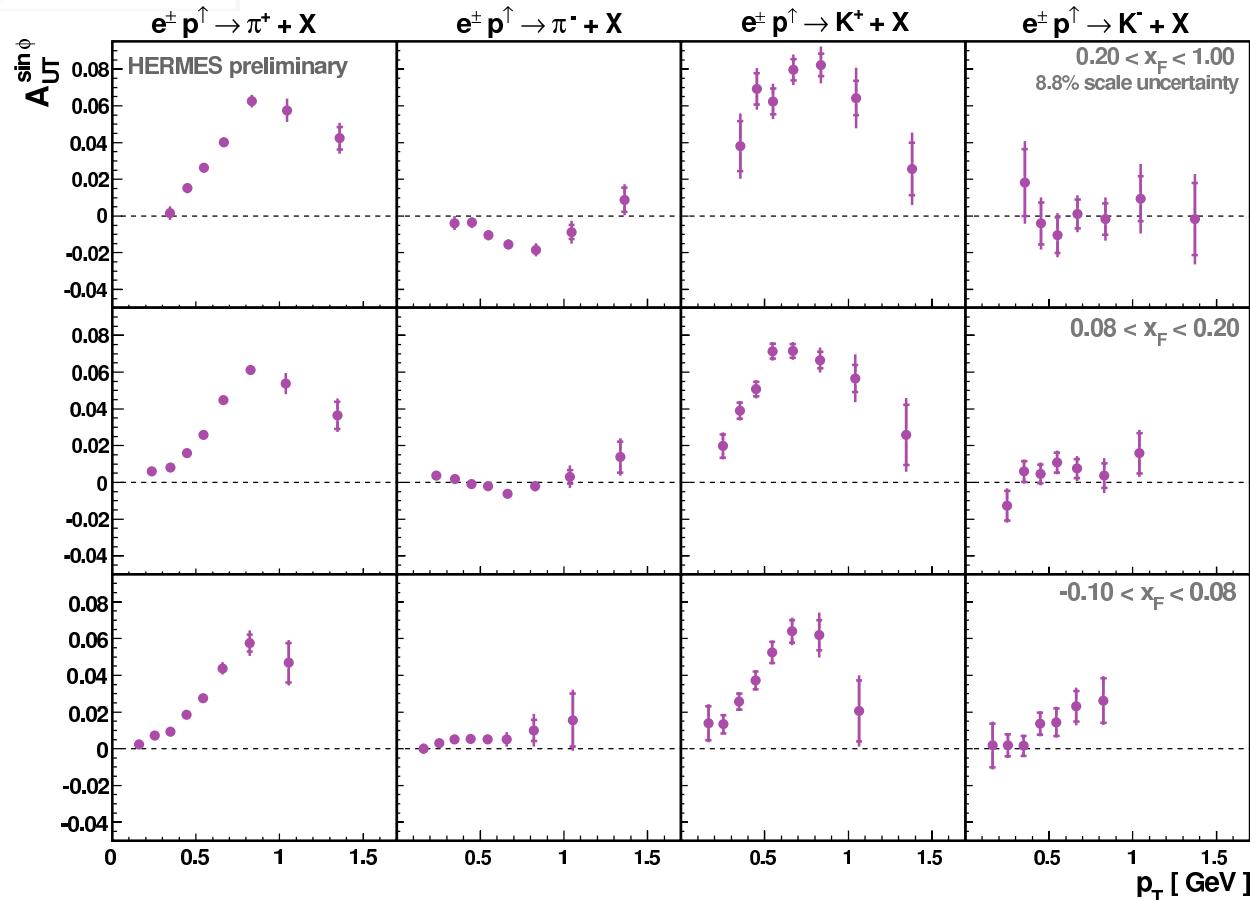


# Inclusive Hadron SSA @ HERMES

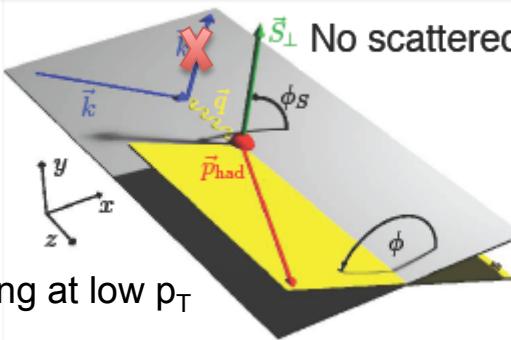


$$A(x_F, p_T, \phi) = \frac{\sigma_{UT}(x_F, p_T, \phi)}{\sigma_{UU}(x_F, p_T)} = [A_{UT} \sin\phi(x_F, p_T)] \sin\phi$$

- ❖  $A_{UT}$  is vanishing at low  $p_T$
- ❖  $Q^2$  increases with  $p_T$  approaching DIS regime
- ❖ Study transition from perturbative to non-perturbative regime



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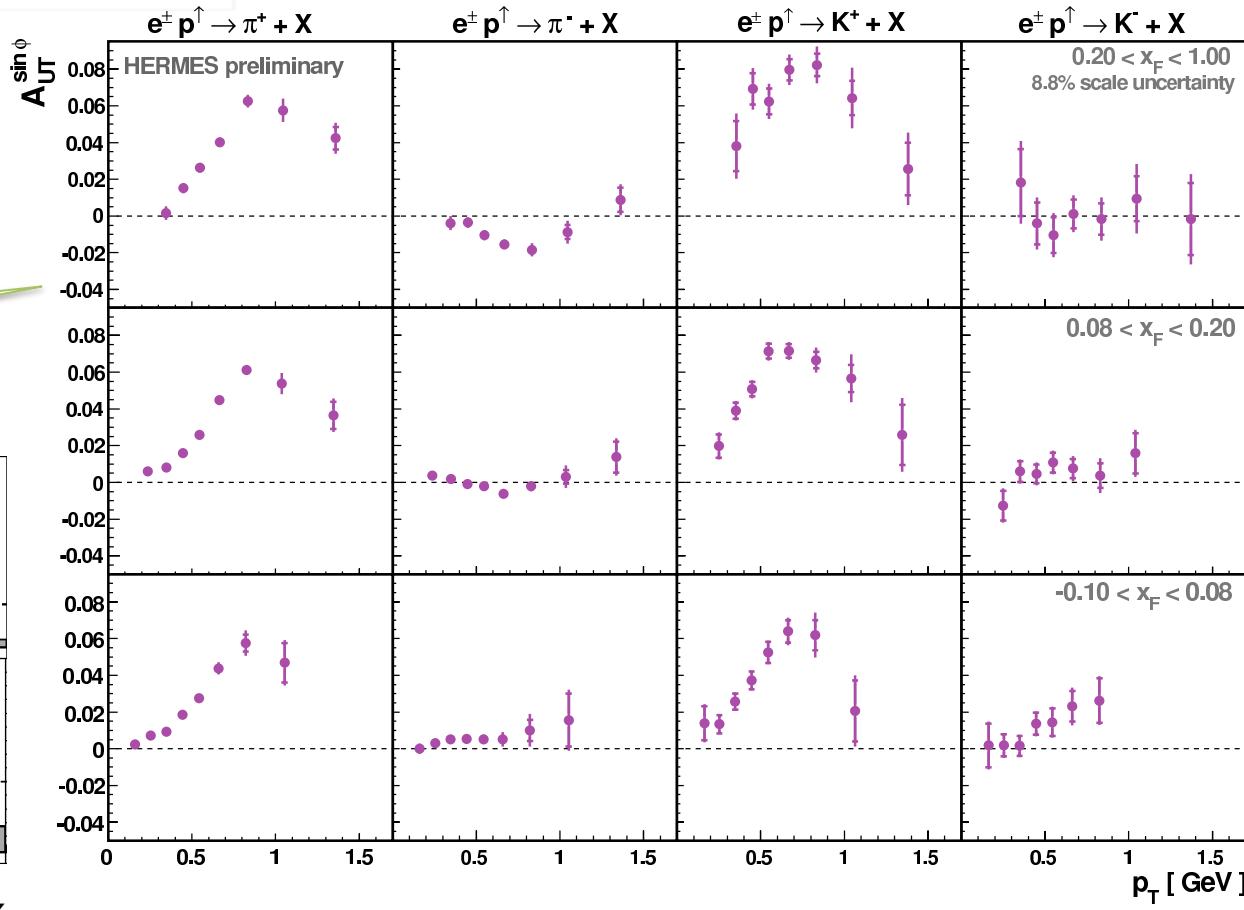


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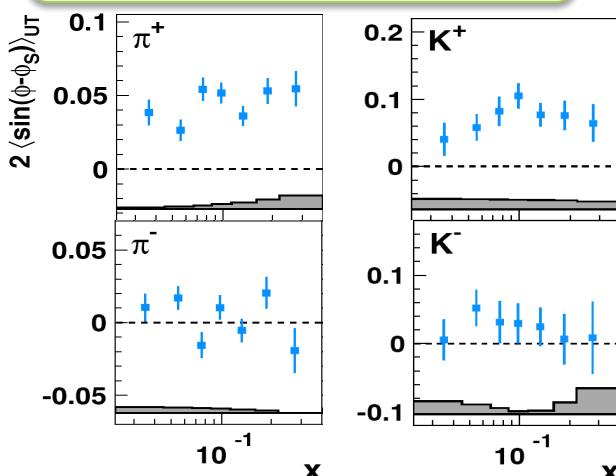
No scattered beam detected  $\rightarrow p_T, x_F$  with respect to e beam (not q-vector)

Sivers modulation  $\sin(\phi - \phi_S)$  can survive as  $\sin(\phi)$

$$A(x_F, p_T, \phi) = \frac{\sigma_{UT}(x_F, p_T, \phi)}{\sigma_{UU}(x_F, p_T)} = [A_{UT} \sin\phi(x_F, p_T)] \sin\phi$$



Non-zero signals for positive hadrons resembling Sivers



# CAHN & BOER-MULDERS

$N/q$	U	L	T
U	$f_1$ Number Density		$h_1^\perp$ Boer-Mulders
L		$g_1$ Helicity	$h_{1L}^\perp$ Worm-gear
T	$f_{1T}^\perp$ Sivers	$g_{1T}^\perp$ Worm-gear	$h_1$ Transversity $h_{1T}^\perp$ Pretzelosity

nucleon polarisation

Naïve-T-odd  
Chirally-odd  
Spin effect in unpolarized reactions

(THE NEGLECTED EFFECTS)

# The Azimuthal Modulation

$h_1^\perp \otimes H_1^\perp$

$$\frac{d^5\sigma^{ep \rightarrow e'hX}}{dx dy dz d\phi dP_{h\perp}^2} \propto \{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos(\phi) F_{UU}^{\cos(\phi)} + \varepsilon s \cos(2\phi) F_{UU}^{\cos(2\phi)} \}$$

$(f_1 \otimes D_1)/Q$

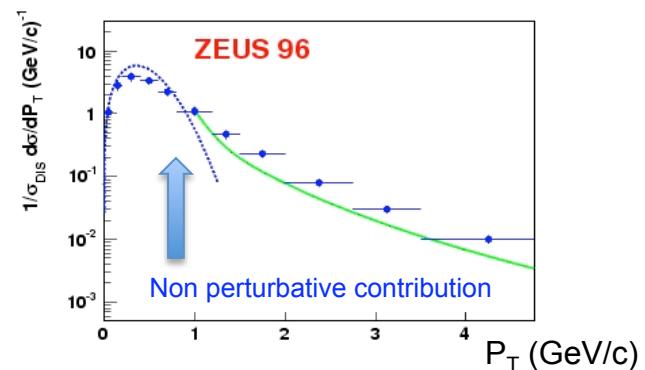
$h_1^\perp \otimes H_1^\perp$

Cahn PLB 78 (1978)

Kinematical effect predicted since 1978  
by Cahn due to non-zero intrinsic  $k_T$

Boer & Mulders PRD 57 (1998)

Leading-twist contribution introduced  
by Boer & Mulders in 1998



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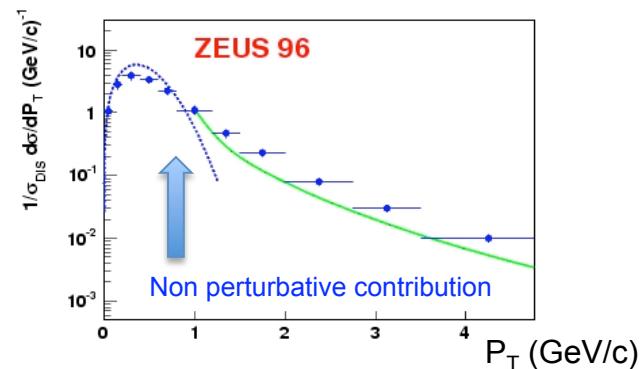
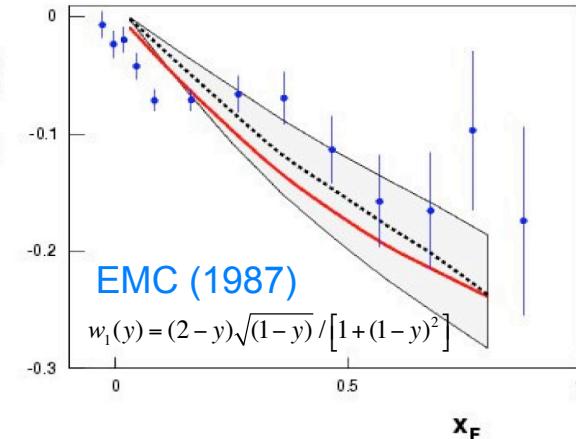
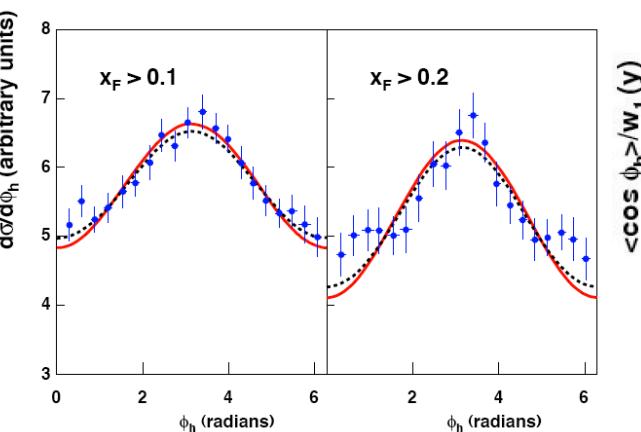
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Boer & Mulders PRD 57 (1998)

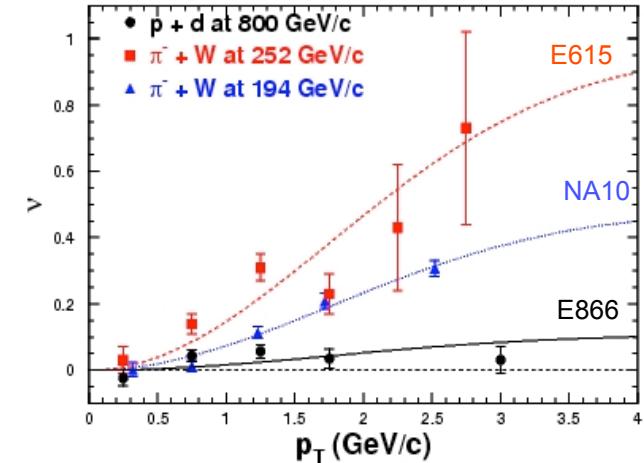
Leading-twist contribution introduced  
by Boer & Mulders in 1998

SIDIS: qualitative agreement with  
Cahn expectations till 2008

- No hadron identification
- No charge separation
- Poor statistics for  $\cos 2\phi$



DY: violation of Lam-Tung relation



# Unpolarized Cross-section

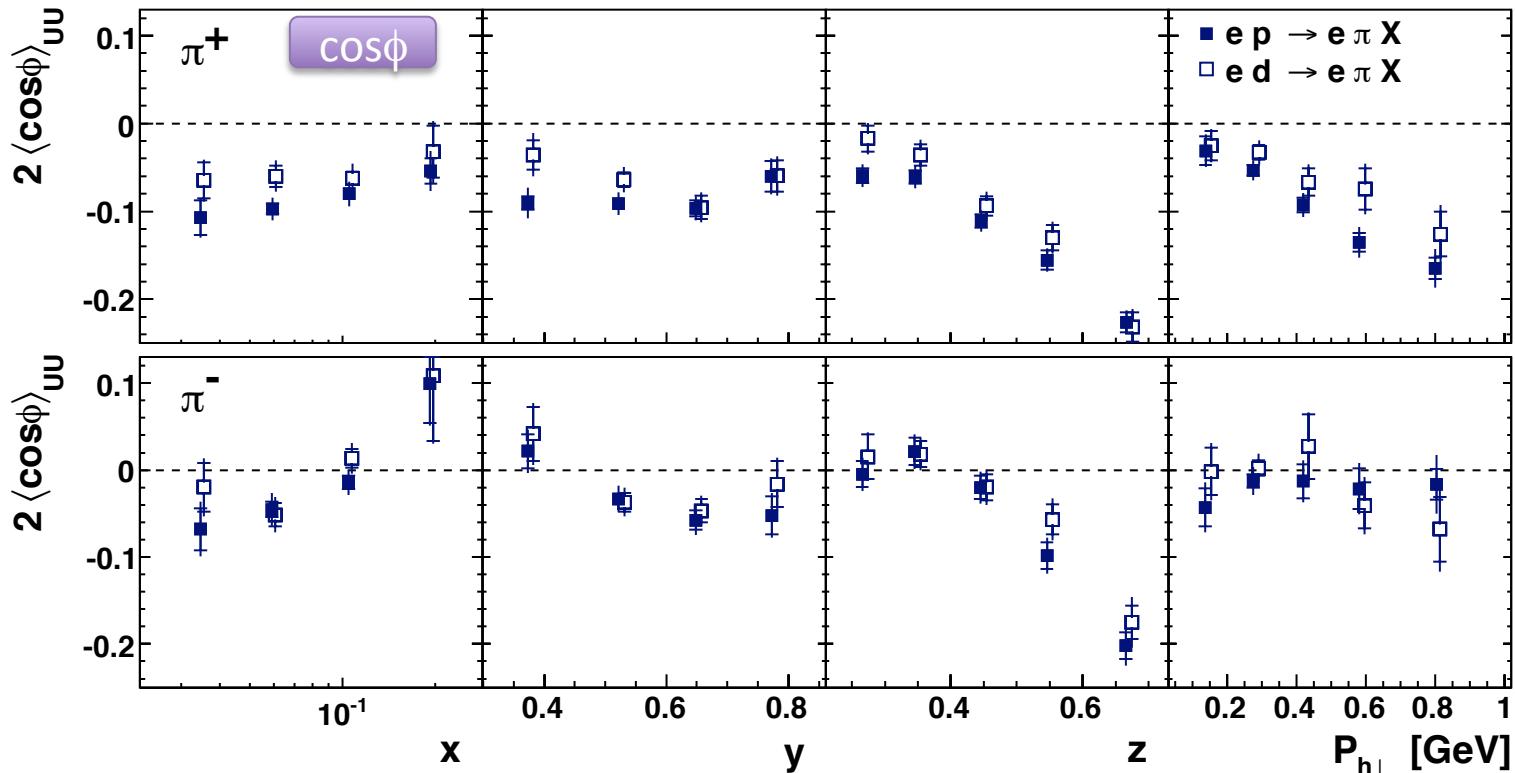
$\cos\phi$  large and negative !

Increasing with  $z$  and  $P_h$

Large difference in hadron charge !

Larger in magnitude for  $\pi^+$

$$\sigma_{UU}^{\cos(\phi)} \propto [f_1 \otimes D_1 + h_1^\perp \otimes H_1^\perp + \dots] / Q$$



# Unpolarized Cross-section

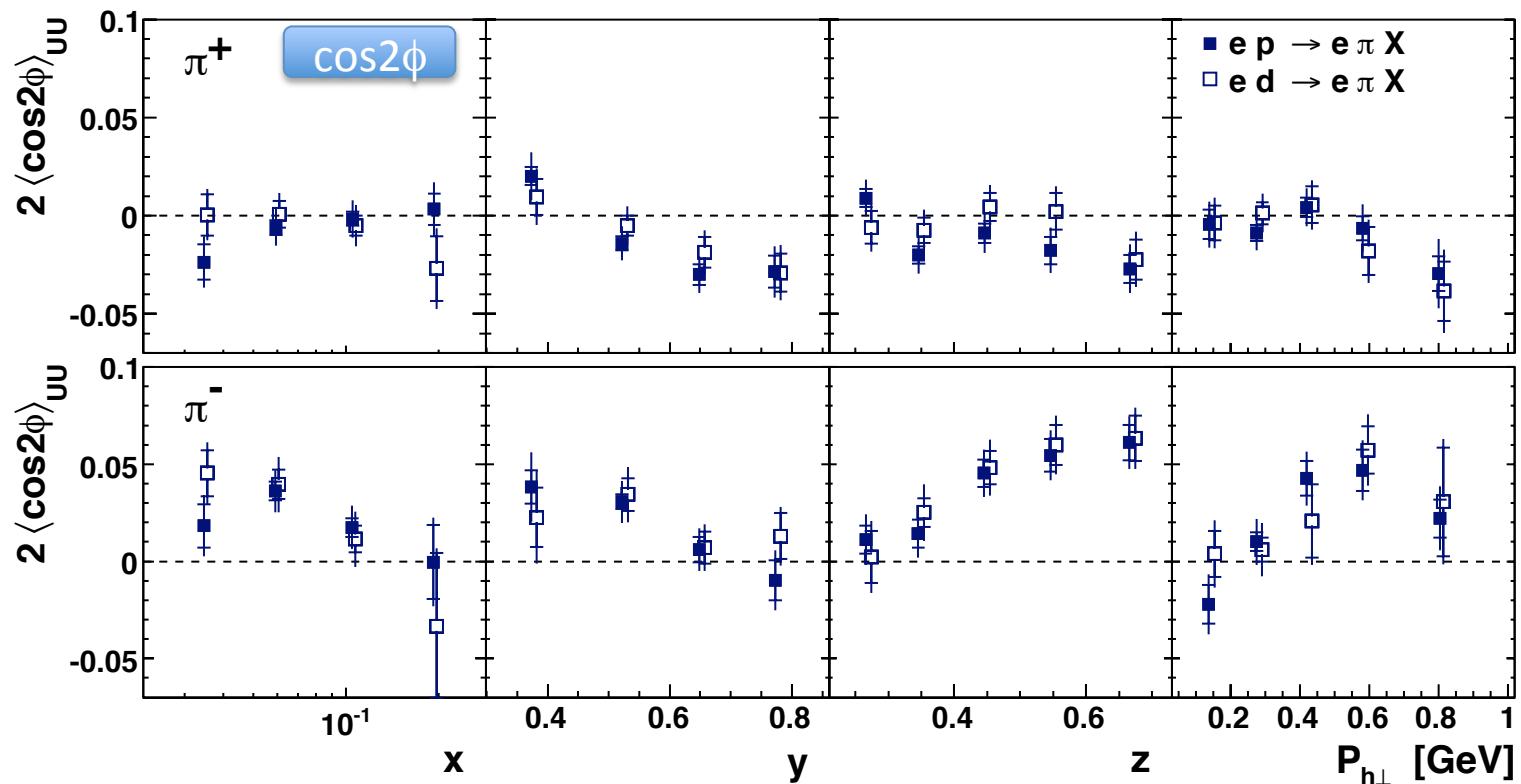
$\cos 2\phi$  non-zero !

$$\sigma_{UU}^{\cos(2\phi)} \propto h_1^\perp \otimes H_1^\perp + [f_1 \otimes D_1 + \dots] / Q^2$$

Difference in hadron charge !

Positive for  $\pi^-$

Negative for  $\pi^+$



# Unpolarized Cross-section

$\cos 2\phi$  non-zero !

$$\sigma_{UU}^{\cos(2\phi)} \propto h_1^\perp \otimes H_1^\perp + [f_1 \times O_1 + \dots] / Q^2$$

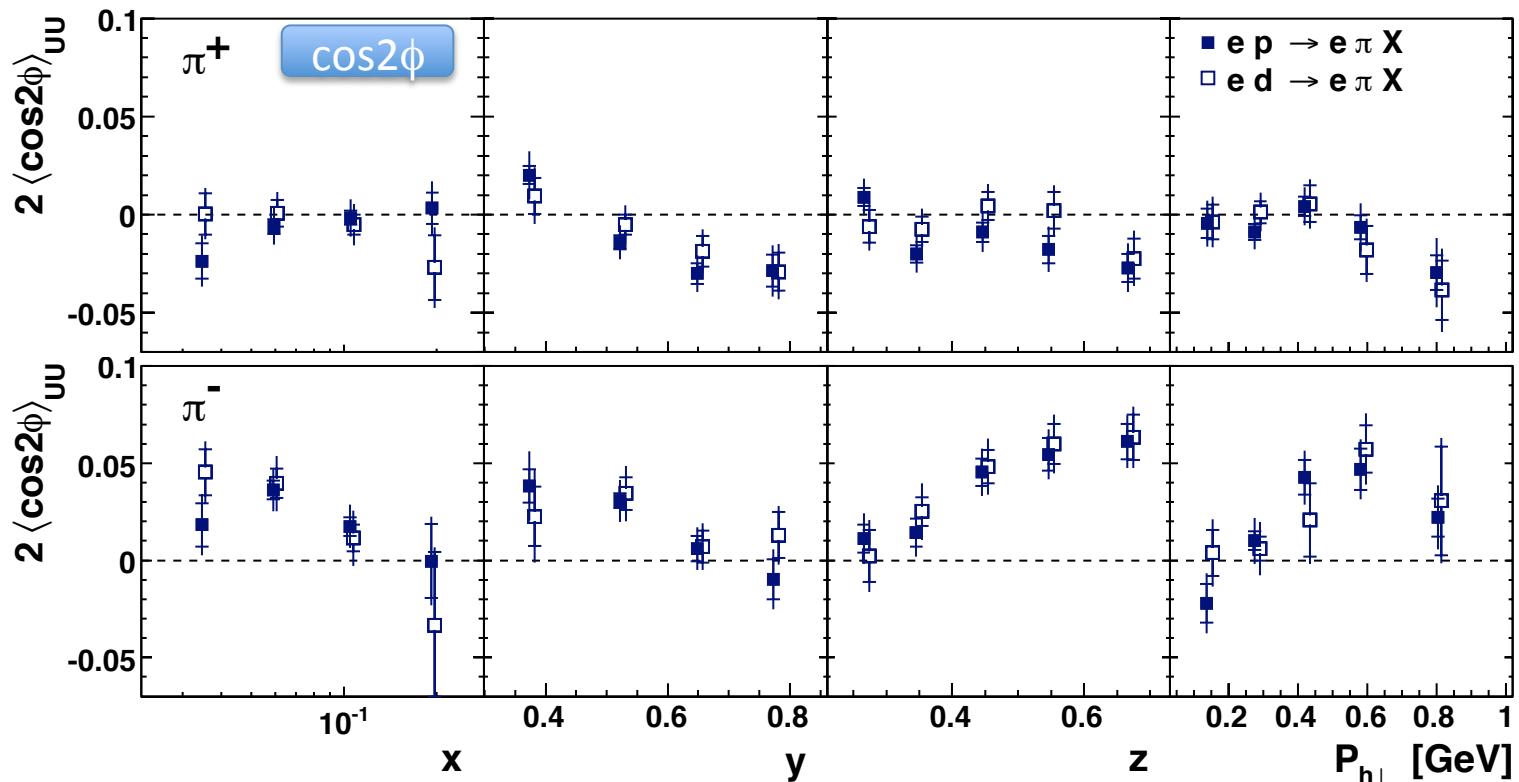
Difference in hadron charge !

Positive for  $\pi^-$

Negative for  $\pi^+$

Mild flavor dependence of  $k_T$  expected  
Hint of non-zero Boer-Mulders

Quark d vs u contribution ?  
DATA support Boer-Mulders of same sign for u and d

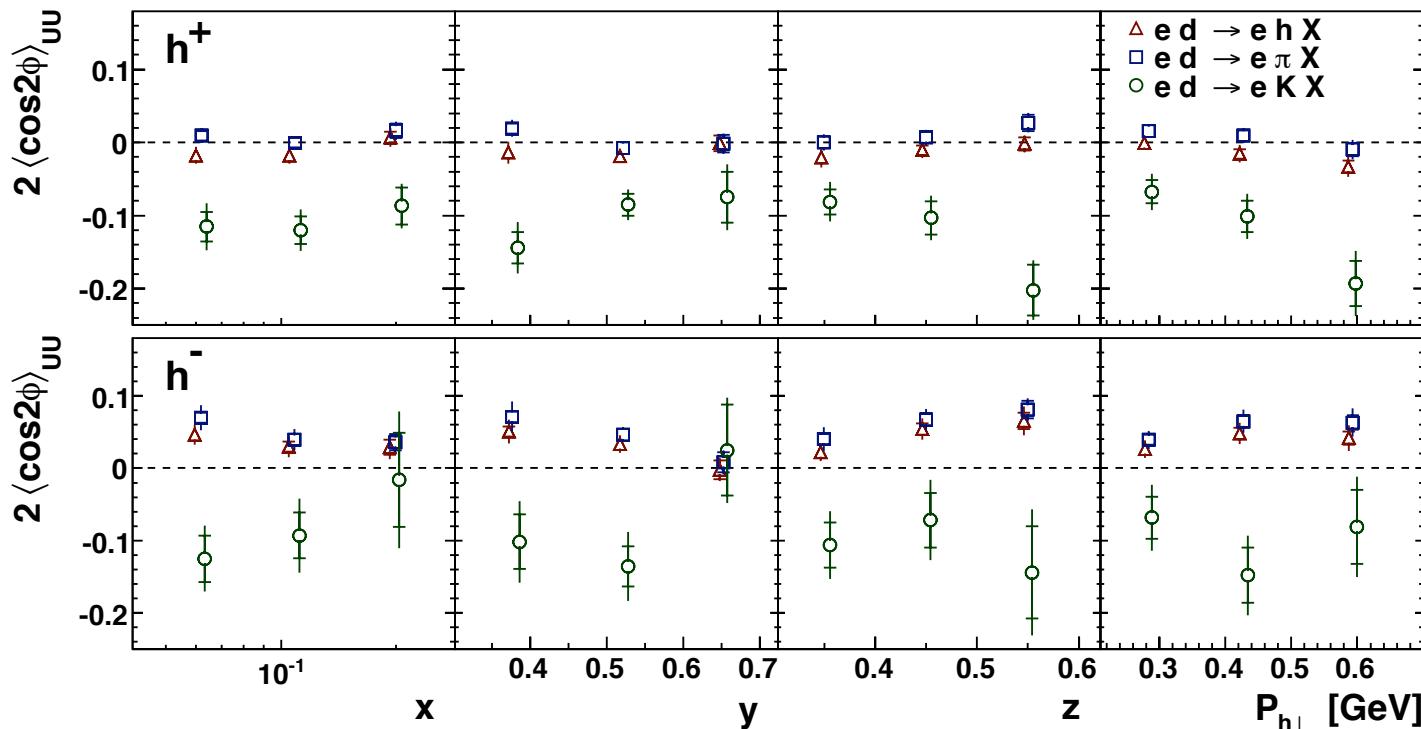


# Kaon Signals

$$\sigma_{UU}^{\cos(2\phi)} \propto h_1^\perp \otimes H_1^\perp + [f_1 \otimes D_1 + \dots] / Q^2$$

Striking difference  
versus pions !

- ❖ Role of the sea
- ❖ Strange Collins
- ❖ Sub-leading twists



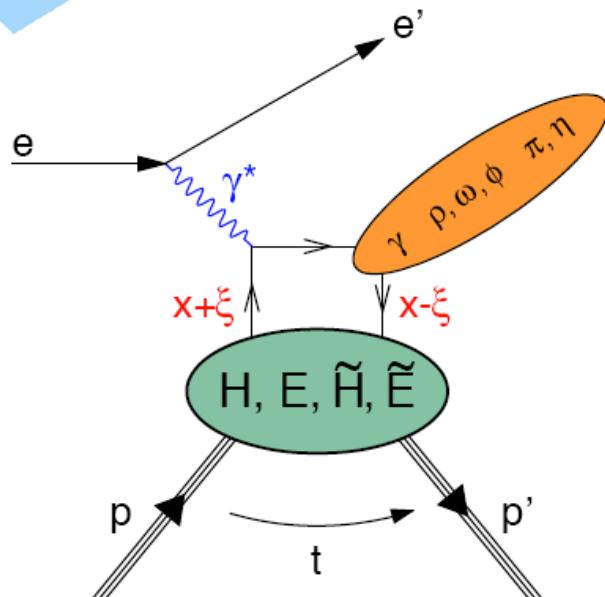
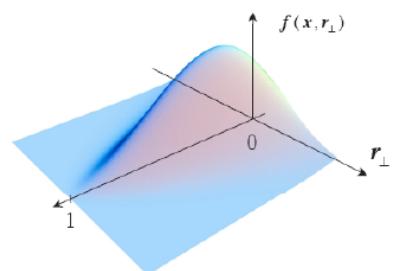
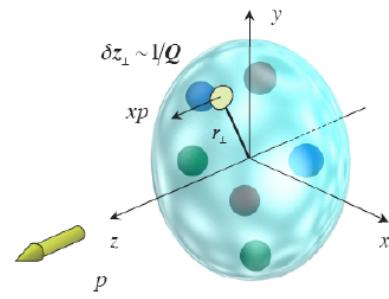
Unpolarized cross-section: any precision measurement should account for these effects

# Exclusivity



**EXCLUSIVE-DIS FOR TRANSVERSE  
POSITION DEPENDENCE**

# Generalized parton distributions



Encompass parton distributions and form factors

longitudinal momentum and transverse spatial position correlated information

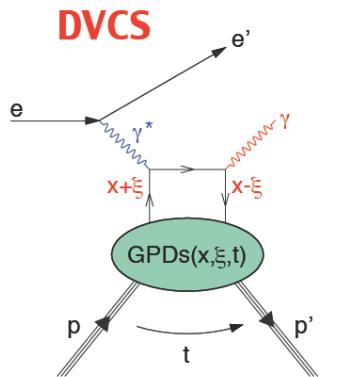
Access OAM  $L_q = J_q - \frac{1}{2}\Delta\Sigma$  via Ji sum rule

$$\mathcal{J}_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H_q(x, \xi, t) + E_q(x, \xi, t)]$$

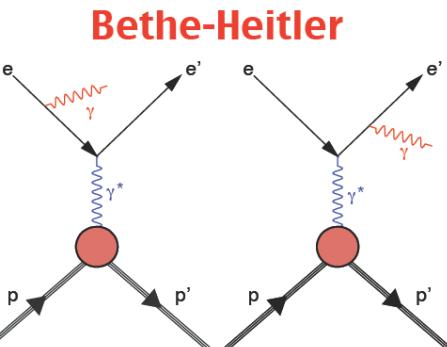
- Sensitivity of different final states to different GPDs
- For spin-1/2 target 4 chiral-even leading-twist quark GPDs:  $H, E, \tilde{H}, \tilde{E}$
- $H, \tilde{H}$  conserve nucleon helicity,  $E, \tilde{E}$  involve nucleon helicity flip
- DVCS ( $\gamma$ )  $\rightarrow H, E, \tilde{H}, \tilde{E}$
- Vector mesons ( $\rho, \omega, \phi$ )  $\rightarrow H, E$
- Pseudoscalar mesons ( $\pi, \eta$ )  $\rightarrow \tilde{H}, \tilde{E}$

# The DVCS Landscape

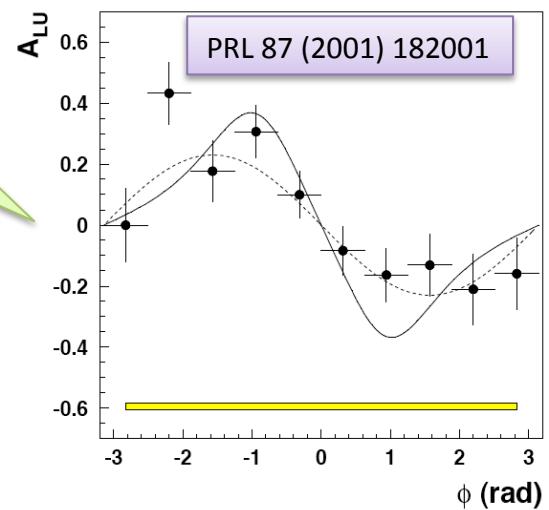
$\mathcal{H}$



$$\frac{d^4\sigma}{dQ^2 dx_B dt d\phi} \propto (|\mathcal{T}_{\text{DVCS}}|^2 + |\mathcal{T}_{\text{BH}}|^2 + \mathcal{I})$$

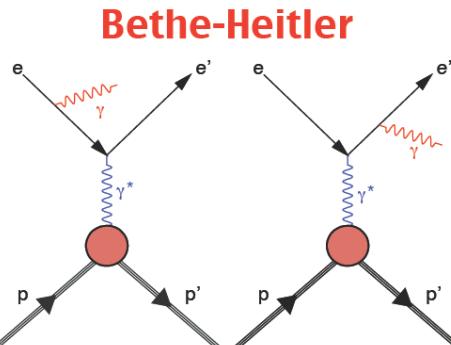
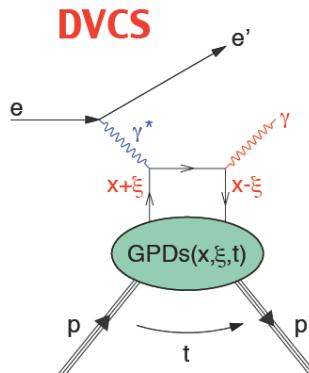


First measurement  
of DVCS beam  
asymmetry  $\text{Im}(H)$



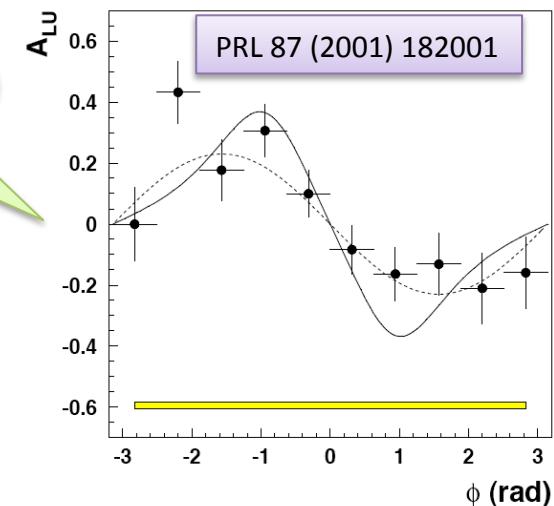
# The DVCS Landscape

$\mathcal{H}$



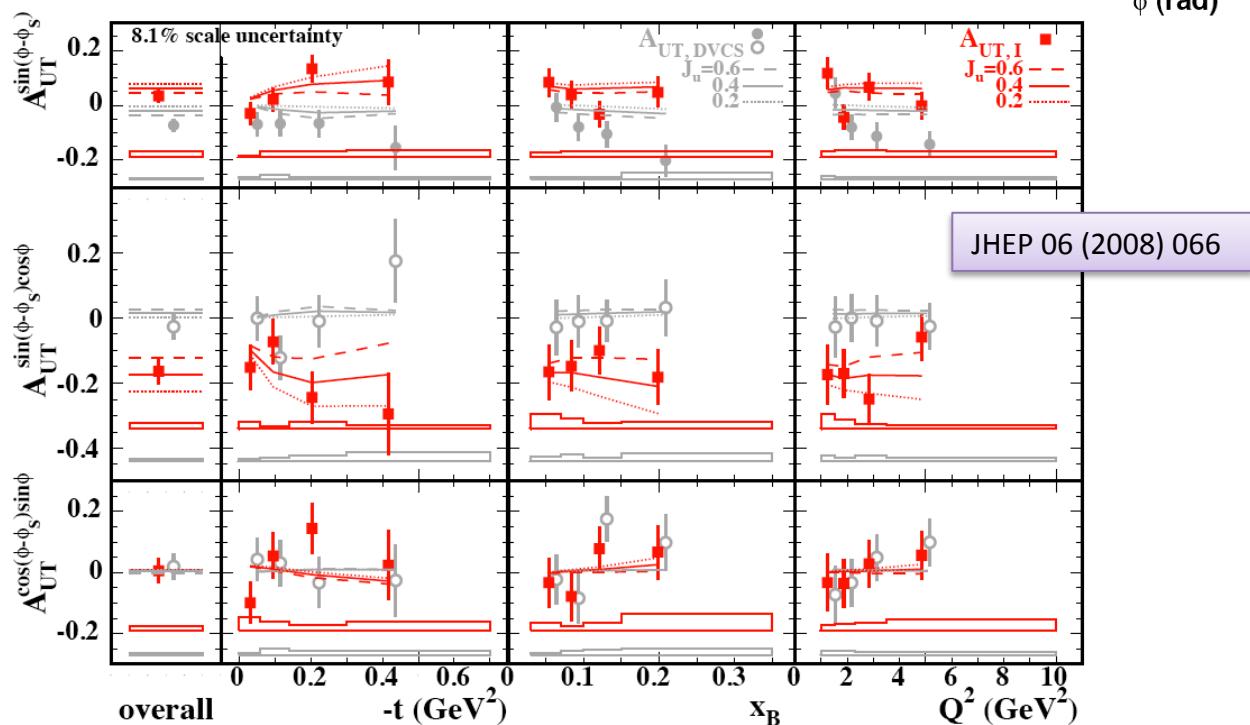
$$\frac{d^4\sigma}{dQ^2 dx_B dt d\phi} \propto (|\mathcal{T}_{\text{DVCS}}|^2 + |\mathcal{T}_{\text{BH}}|^2 + \mathcal{I})$$

First measurement  
of DVCS beam  
asymmetry  $\text{Im}(H)$



First measurement  
of DVCS asymmetry  
on a transverse target  
 $\text{Im}(H-E)$

$$\mathcal{J}_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H_q(x, \xi, t) + E_q(x, \xi, t)]$$



# The HERMES DVCS Legacy

$A_C$

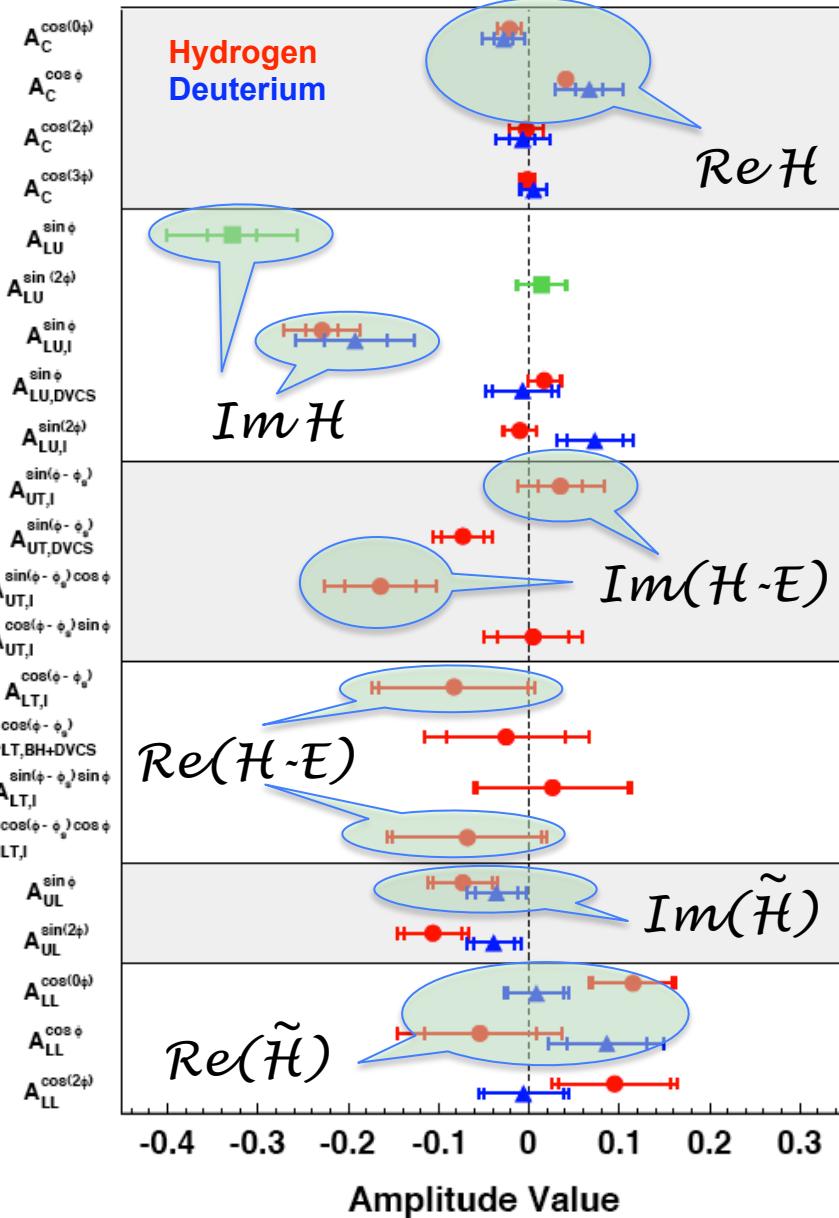
$A_{LU}$

$A_{UT}$

$A_{LT}$

$A_{UL}$

$A_{LL}$



The most complete DVCS asymmetry measurement set:

[A. Airapetian et al, JHEP 11 \(2009\)](#)

[A. Airapetian et al, JHEP10 \(2012\) 042](#)

[A. Airapetian et al, JHEP 07 \(2012\)](#)

[A. Airapetian et al, Nucl. Phys. B 829 \(2010\) 1-27](#)

[A. Airapetian et al, JHEP 06 \(2008\)](#)

[A. Airapetian et al, Phys. Lett. B 704 \(2011\)](#)

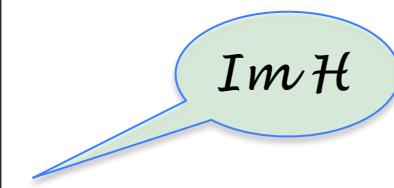
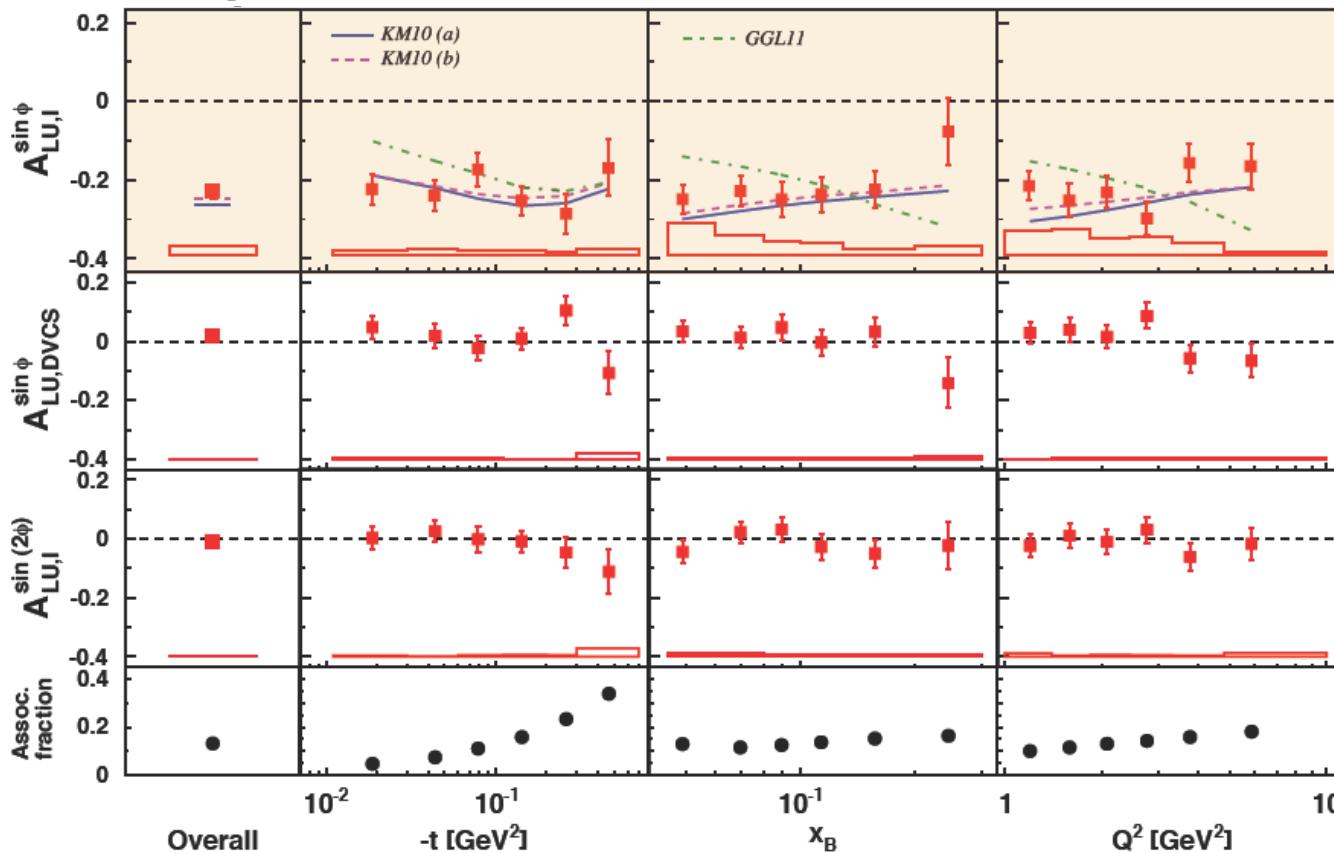
[A. Airapetian et al, JHEP 06 \(2010\)](#)

[A. Airapetian et al, Nucl. Phys. B842 \(2011\)](#)

# DVCS on Proton @ HERMES

$\mathcal{H}$

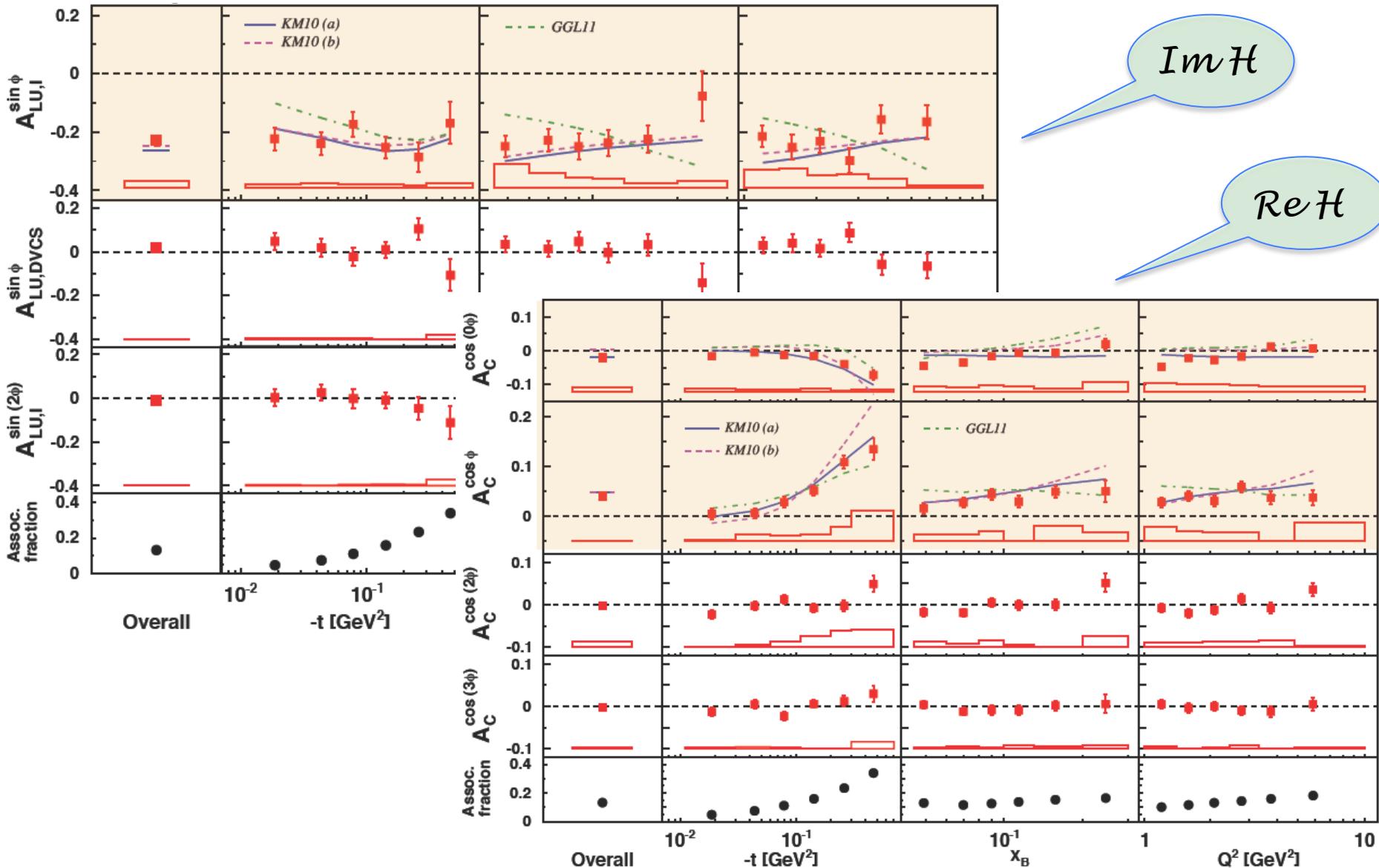
A. Airapetian et al, JHEP 07 (2012) 032



# DVCS on Proton @ HERMES

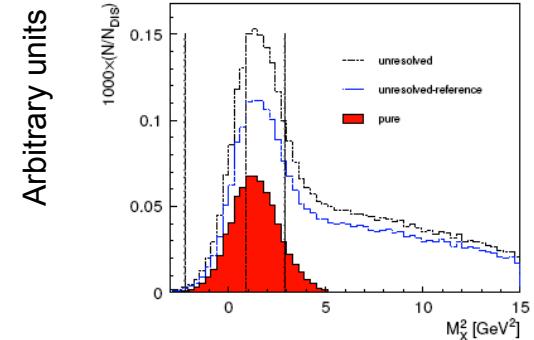
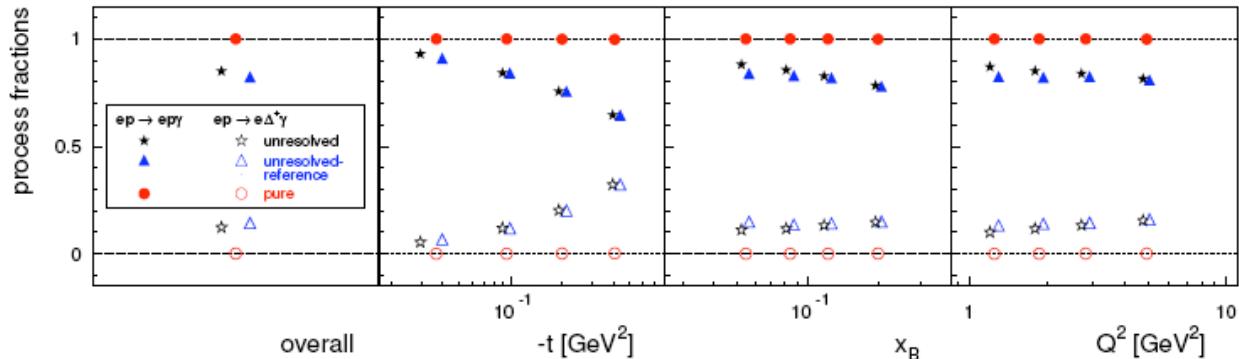
$\mathcal{H}$

A. Airapetian et al, JHEP 07 (2012) 032

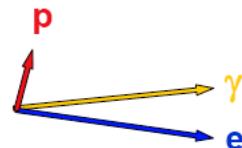


# Pure DVCS @ HERMES

$\mathcal{H}$



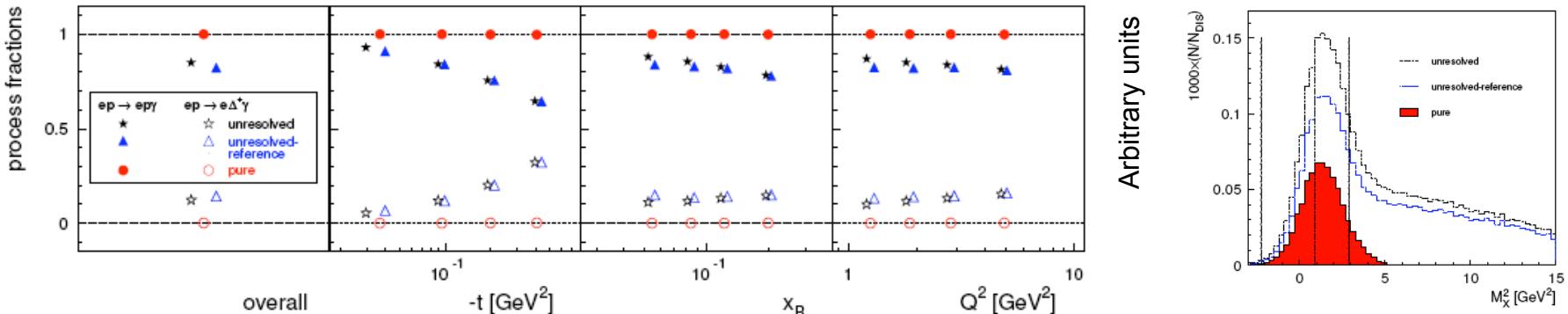
Kinematic event fitting technique: all 3 particles in the final state detected should satisfy 4-constraints on energy-momentum conservation



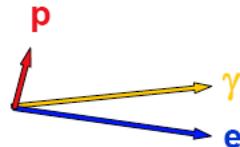
- No requirement for Recoil
- Charged recoil track in acceptance
- Kinematic fit probability > 1 %

# Pure DVCS @ HERMES

$\mathcal{H}$

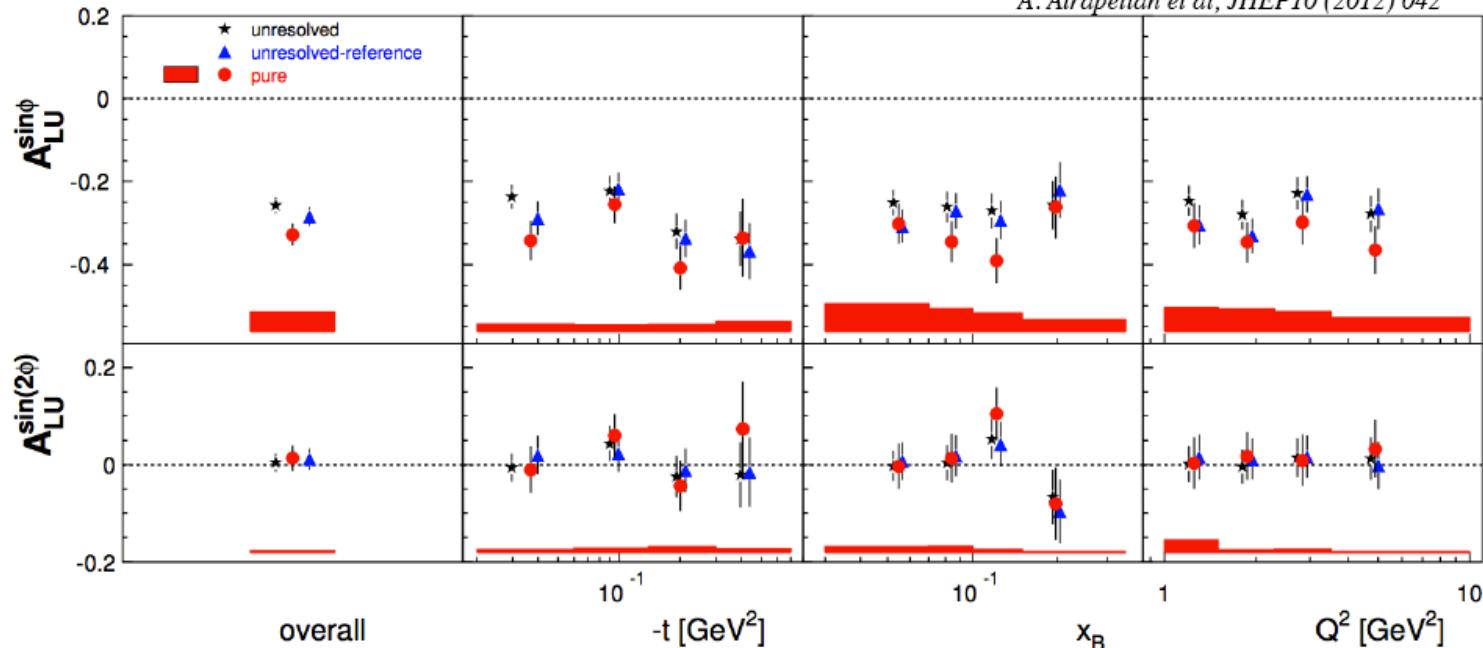


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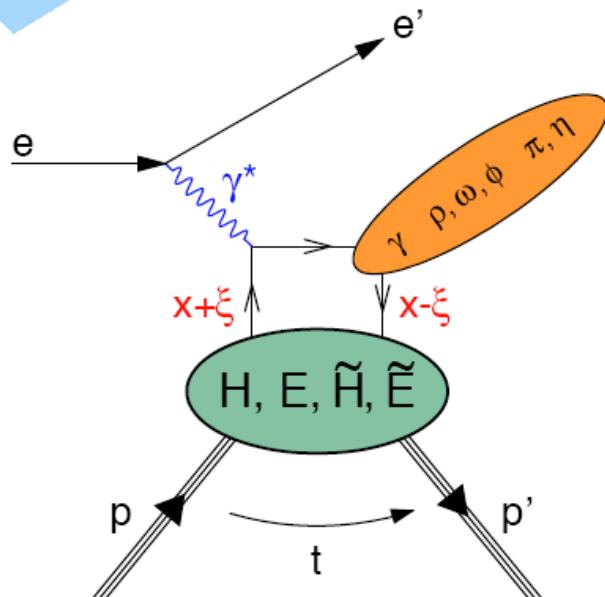
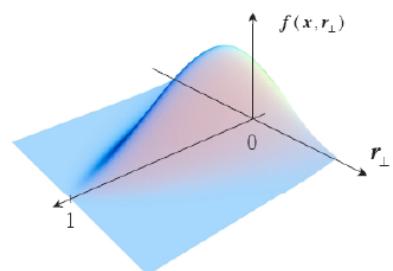
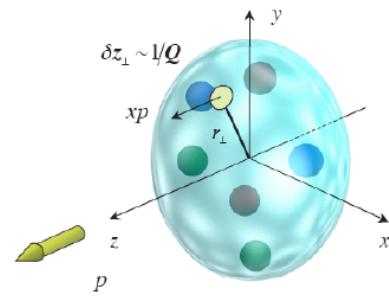


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A. Airapetian et al, JHEP10 (2012) 042



# Generalized parton distributions



Encompass parton distributions and form factors

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# Hard Exclusive $\rho^0$ Meson Production

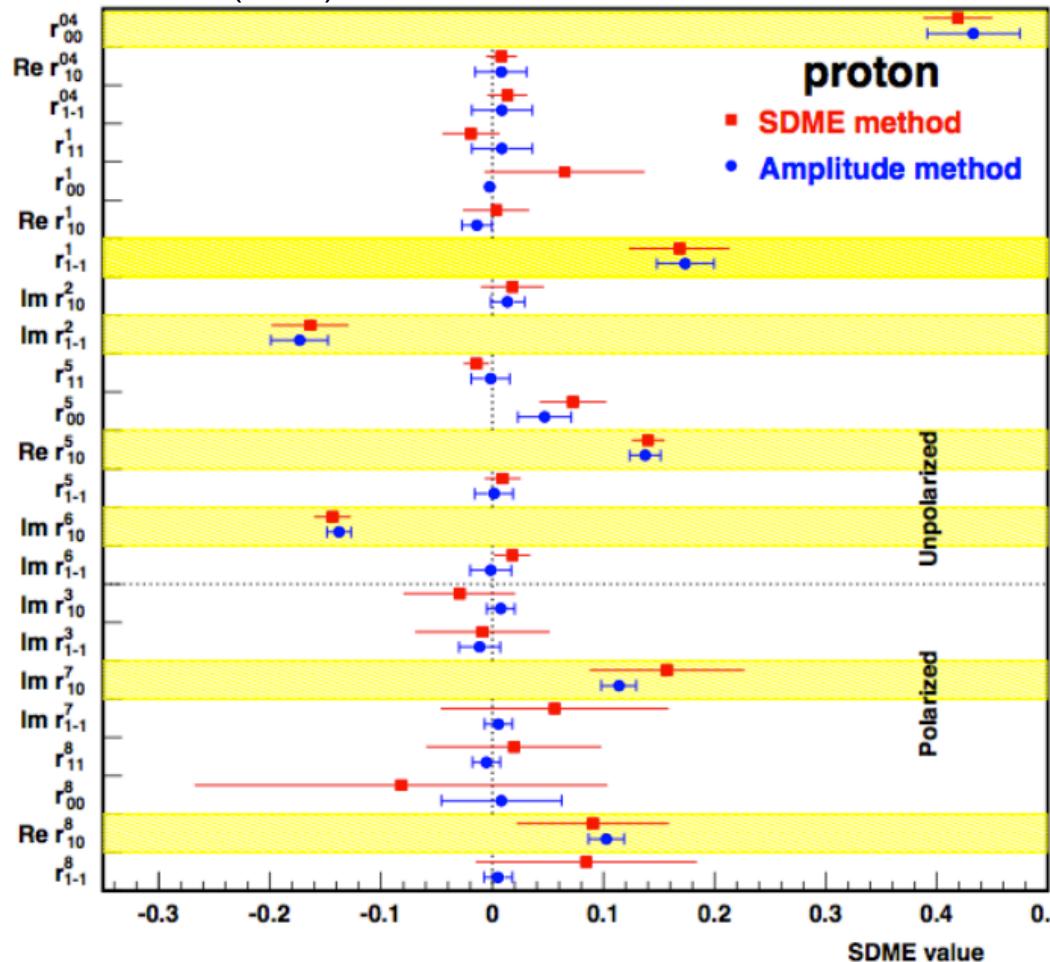
Meson SDMEs

EPJC 62 (2009) 659-694

Photon SDMEs

$$r_{\lambda_V \mu_V}^{\eta} = \frac{1}{2N} \sum_{\lambda_{\gamma} \mu_{\gamma} \lambda'_N \lambda_N} F_{\lambda_V \lambda'_N \lambda_{\gamma} \lambda_N} \Sigma_{\lambda_{\gamma} \mu_{\gamma}}^{\eta} F_{\mu_V \lambda'_N \mu_{\gamma} \lambda_N}^{*}$$

EPJC 71 (2011) 1609



Helicity Amplitudes

$$F_{\lambda_V \lambda_{\gamma}} = T_{\lambda_V \lambda_{\gamma}} + U_{\lambda_V \lambda_{\gamma}}$$

They form a basis for the SDMEs

Re-derived SDMEs consistent with published ones

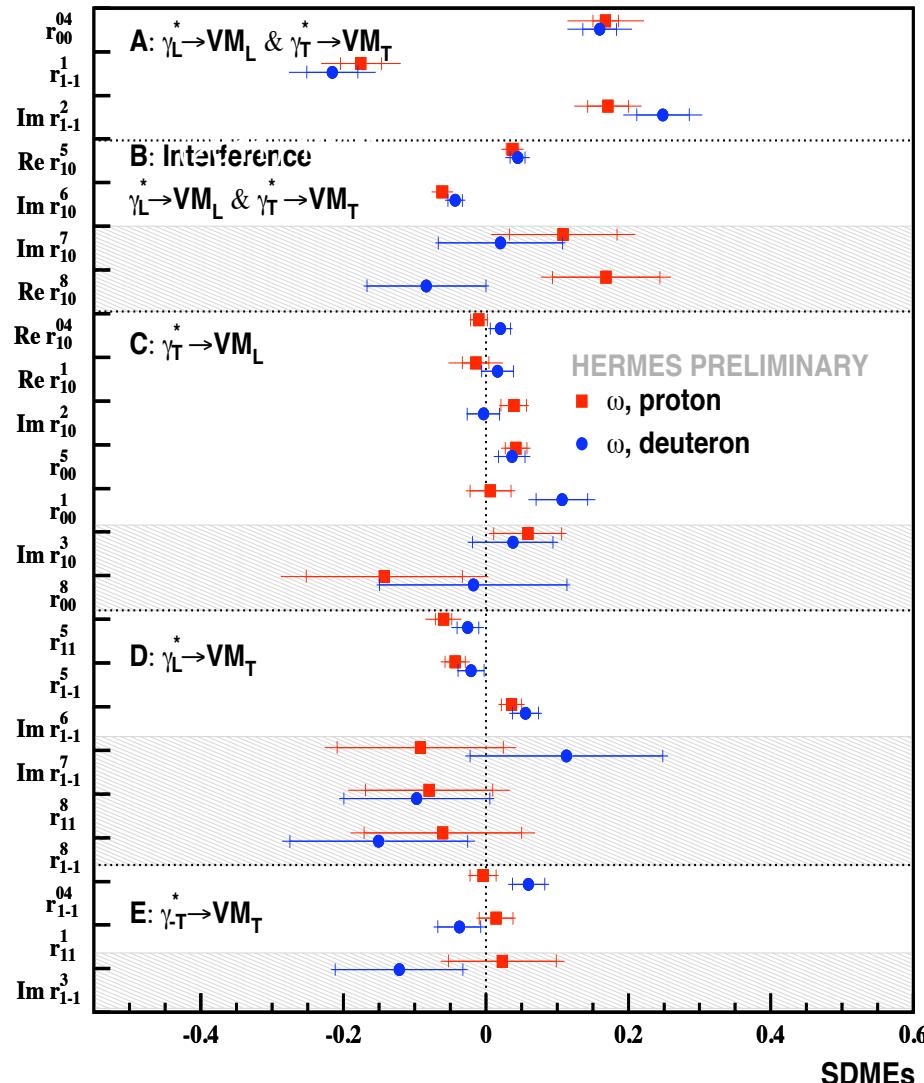
[A. Airapetian et al. EPJC 62 (2009) 659]

Enhanced sensitivity for polarized SDMEs

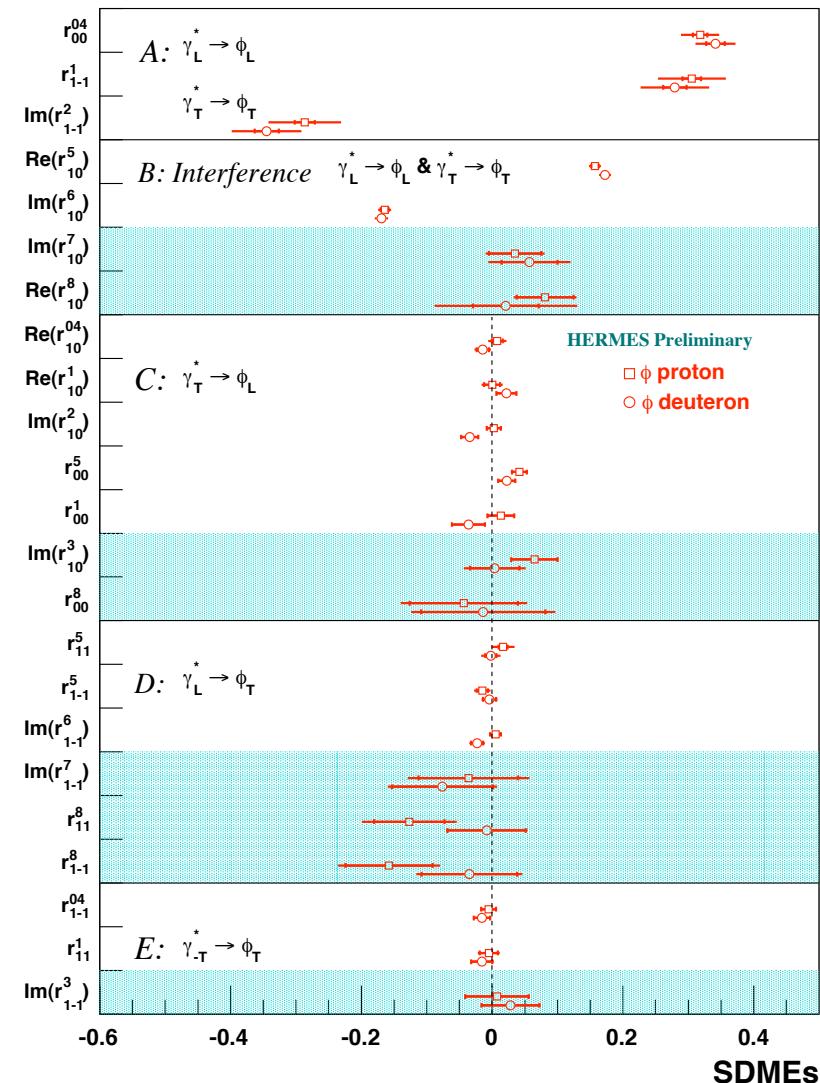
Helicity amplitudes are the fundamental quantities to be compared with theory

# Hard Exclusive Meson Production

Omega SDMEs



Phi SDMEs



# Summary

- ❖ HERMES has been a precursor experiment for TMDs and GPDs
- ❖ Data-taking closed in 2007 but analysis still ongoing
- ❖ Many innovative results in both fields and recently
  - Hadron multiplicities on a pure H target ( $\rightarrow$  I. Lehman)
  - Full-differential analysis of SIDIS unpolarized asymmetries ( $\rightarrow$  L. Pappalardo)
  - DVCS with recoil detection ( $\rightarrow$  I. Brodsky)
- ❖ Several preliminary results close to be published
  - Beam spin asymmetry in the semi-inclusive kaon sector ( $\rightarrow$  V. Zagrebelnyy)
  - Inclusive hadron and Semi-inclusive di-hadron analysis on a transverse target ( $\rightarrow$  L. Pappalardo)
  - Complete decomposition of the transverse target asymmetries ( $\rightarrow$  L. Pappalardo)
  - Associated DVCS ( $\rightarrow$  M. Murray)
  - Exclusive vector-meson production ( $\rightarrow$  A. Movsisyan)

