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HERA Collider physics - introduction





Stefan Schmitt



Results from H1 and ZEUS

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- Introduction
 - The HERA collider and collider experiments
 - HERA kinematics
- Selected physics results in perturbative QCD
 - Inclusive cross sections, structure functions, PDFs
 - Heavy flavor production

Next talk: E. Gallo about searches and electroweak physics at HERA

Disclaimer:

this talk is on HERA result, but reflects my personal opinions only.

There is a slight preference in showing results from H1 rather than ZEUS, simply because I know the H1 results better. Please apologize for that.

The HERA collider

i

200

100

- Operated from 1992 to 2007
- Circumference 6.3 km
- Electrons or positrons colliding with protons
- Proton: 460-920 GeV, Leptons 27.6 GeV
- Peak luminosity ~7×10³¹ cm⁻²s⁻¹
- Lepton beam polarisation up to 40-60% (Sokolov-Ternov effect, rise-time ~30 minutes)





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HERA compared to other colliders

 HERA at construction time: energy frontier (E_p~Tevatron, E_e~ ½ LEP)

Detectors were designed for discoveries, not so much for precision

- EIC compared to HERA:
 - Reduced center-of-mass energy ×0.3
 - Much higher luminosity ×100
 - Better lepton polarisation
 - Target polarisation
 - Heavy targets
 - Much improved detectors: tracking, acceptance, particle identification, forward detectors, ...





The HERA publication harvest



Status: Feb 2020

H1+ZEUS combined	8 publication
H1	223 publications
ZEUS	250 publications

- Both collaborations are still active and open for new members
- Data are available for analysis at DESY, including computing infrastructure (batch system)

- Top-ten cited (excluding detector papers) JHEP 1001 (2010) 109 H1+ZEUS 1000+ Data combination, PDF Eur.Phys.J. C21 (2001) 33 700+ Low-x, PDF, alpha s H1 Nucl.Phys. B470 (1996) 3 H1 500+ Low-x, PDF Eur.Phys.J. C21 (2001) 443 ZEUS 500+ Low-x, PDF Phys.Lett. B315 (1993) 481 ZEUS 500+ Observation of diffraction Nucl.Phys. B407 (1993) 515 H1 400+ Rise of F2 at low-x Eur.Phys.J. C75 (2015) 580 H1+ZEUS 400+ Data combination, Low-x, PDF Phys.Lett. B316 (1993) 412 ZEUS 400+ Rise of F2 at low-x Z.Phys. C76 (1997) 613 400+ Difffractive PDF H1 Z.Phys. C74 (1997) 207 ZEUS 400+ High Q² DIS
- 500+ citations: proton at low-x and PDFs
- 250+ citations: total cross-section, diffractive PDF, diffractive vector mesons, pentaquark
- 200+ citations: charm, jets, DVCS

HERA data are used mainly to study: PDFs and perturbative QCD, low-x and diffraction, transition from soft to hard QCD

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The HERA detectors H1 and ZEUS





HERA boost visualized



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Processes studied at the HERA collider



- Neutral Current DIS (Deep Inelastic Scattering)
 - electron in main detector
- Charged current DIS
 - neutrino with high transverse momentum (escapes detection)
- Photoproduction
 - Electron scattered at very low angle (dedicated low-angle detector or not detected)



Small electron scattering angle (frequent event) Hadrons are spread all over Electron beam

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Processes studied at the HERA collider



- Neutral Current DIS
 - electron in main detector
- Charged current DIS
 - neutrino with high transverse momentum (escapes detection)
- Photoproduction
 - Electron scattered at very low angle (dedicated low-angle detector or not detected)



Neutral current (NC) event



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Processes studied at the HERA collider

- Neutral Current DIS
 - electron in main detector
- Charged current DIS
 - neutrino with high transverse momentum (escapes detection)
- Photoproduction
 - Electron scattered at very low angle (not detected or scattered into dedicated low-angle tagger) Election



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Photoproduction (most frequent type of event)







Photoproduction and DIS

- Main kinematic variable: negative four-momentum squared Q²= -(e-e')²
- Q² provides a natural hard scale for perturbative calculations
- Deep-inelastic scattering (**DIS**): $Q^2 \gg 0$
 - Perturbative QCD applicable
- Photoproduction: $Q^2 \sim 0$
 - Perturbative QCD works only if there is another hard scale (jet, E heavy quark, etc)



Neutral current (NC) event





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Neutral current DIS kinematics at HERA

- Kinematic variables: Q², x, y, Q²=sxy
- Determine from 4-vectors of beam particles e, p, scattered electron e' and hadronic final state X



- "Electron" method: $y=y_e$ and $p_T=p_{T,e}$
- At low y, the electron method is limited by energy resolution, initial and final state radiation
 → use y=y_h (sigma method)
- Other methods also in use: double-angle, etc





Neutral current DIS kinematics at HERA

 10^{5}

10⁴

10³

 10^{2}

10

-1 10

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10⁻⁷

BCDMS

E665

SLAC

M = 10 GeV

- "Electron" method: $y=y_e$ and $p_T=p_{T,e}$
- At low y, use $y=y_h$ (sigma method) \rightarrow hadrons • contributing to y_h have to be within detector acceptance \rightarrow low y / high x is not accessible

HERA is "low-x" because of acceptance limitations in the forward (proton) direction

Radiative effects

Radiation from electron line

electron momentum \rightarrow use calorimeter corresponds to very low "true" Q² at proton vertex

Radiative effects: HERA methods

Kinematics of radiative photons

Radiation from electron line has three poles: ISR, FSR, QED Compton

Radiation has two effects

- For a given event, the kinematic reconstruction is distorted
 - \rightarrow apply cuts, use "robust" kinematic reconstruction (Sigma method or similar)
- For a given class of events, the predicted cross section changes wrt. the Born level

 \rightarrow radiative corrections, ratio of prediction with and without radiation, model-dependent

HERA physics topics

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Electron

 Color-neutral probe, source of virtual or quasi-real photons

Proton

 Source of quarks and gluons

HERA physics topics

Electron

 Color-neutral probe, source of virtual or quasi-real photons

Proton

 Source of quarks and gluons

Inclusive cross sections, PDFs

H1+ZEUS Data combination Inclusive cross sections, PDF fit Longitudinal structure function

Deep-inelastic scattering at HERA

- High Q² ~ 10⁴ GeV² probes smallest distances
- Combination of H1 and ZEUS data (41 datasets)
- Neutral Current (NC):
 - photon, Z-boson exchange
 - Cross section shape similar to 1/Q⁴
- Charged Current (CC):
 - W-boson exchange M_w=80.4 GeV
 - Cross section shape $\sim 1/(Q^2+M_W^2)^2$

High Q²: rare events \rightarrow Talk by E. Gallo

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Proton structure measurement at HERA

- momentum fraction of the struck quark
- Scaling violations: for fixed x, the cross section does change with Q²

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 O^2/GeV^2

10

10

EPJ C75 (2015) 85

Structure functions and parton densities

у²в 1

$$\frac{d^{2}\sigma_{NC}^{\pm}}{dx dQ^{2}} \frac{Q^{4}x}{2 \pi \alpha_{+}^{2Y}} = \sigma_{r, NC}^{\pm} = \widetilde{F}_{2} \mp \frac{Y_{-}}{Y_{+}} x \widetilde{F}_{3} \left(-\frac{y^{2}}{Y_{+}} \widetilde{F}_{L}\right)$$

inelasticity $y = Q^{2}/(sx)$
belicity factors: $Y_{\pm} = 1 \pm (1-y)^{2}$
Suppressed by

• Structure functions are related to quark and gluon count in the proton

$$\widetilde{F}_{2} \sim \sum (xq + x q) \text{ valence plus sea quarks } \rightarrow \text{dominant}$$

$$x \widetilde{F}_{3} \sim \frac{Q^{2}}{Q^{2} + m_{Z}^{2}} \sum (xq - x q) \text{ valence quarks } \rightarrow \text{contributes}$$

$$\widetilde{F}_{L} \sim xg \text{ gluons} \rightarrow \text{contributes}$$

$$\widetilde{F}_{L} \sim xg \text{ gluons}$$

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- Dokshitzer, Gribow, **Based on DGLAP equations** Lipatow, Altarelli, Parisi
 - Given the PDF as a function of x at one scale μ_0 , DGLAP predicts the PDF at another scale μ_1
- Factorisation: PDFs are universal: ep, pp, ... $\sigma_{ep} = \text{PDF}(\mu, x) \otimes |M|^2 + \text{higher twist}$ $\sigma_{nn} = \text{PDF}(\mu, x_1) \otimes \text{PDF}(\mu, x_2) \otimes |M|^2 + \text{higher twist}$
- Measure at HERA \rightarrow predict LHC cross section
- Within HERA: measurements at different $\mu^2 = Q^2$ are fitted to the same PDF using DGLAP

HERA and EIC kinematic reach

- HERA kinematic reach
 - For low Q²<100 GeV²:
 - low-x: 3×10⁻⁵<x<3×10⁻²
 - High-x is probed only for or high Q² (but is statistically limited)
- Nevertheless, HERA data is the fundament of all modern PDF determinations
- The EIC is going to improve substantially the precision at high x

HERAPDF NNLO QCD fit

- The experimental uncertainties are small
- At low x<10⁻³, model and parametrization uncertainties dominate
- The high-x region is not measured well, only constrained by sum-rules and choice of parametrization
 - \rightarrow EIC data are needed to improve on this

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Data at low-x and DGLAP fit

- Zoom in at low-x, low Q²
- Theoretically and experimentally challenging
- Experiment: low-y → low electron energy, background
- Theory: higher orders, resummation, ...

H1 and ZEUS

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Measurement of F_{L}

 $\sigma_{r,NC} \simeq F_2 - \frac{y^2}{Y_+} F_L \qquad y = Q^2 / (sx)$ $F_L \sim \alpha_s xg(x) \text{ direct probe of gluon density}$

- Reasonable agreement of F_{L} and predictions
- HERA: small energy range √s=225-320 GeV, so only limited range of F_L is accessible _____
- HERA+EIC: possible measurement of F_L _ over a much large range → powerful test of QCD

Hard probes: heavy flavour

H1+ZEUS charm and beauty data combination Charm and beauty masses

Heavy flavor and jet production

- Charm and beauty quarks or extra partons are emitted in higher order QCD processes
- Sensitive to fundamental QCD parameters: couplings and quark masses
- Measurement presented here
 - Charm and beauty structure functions

Example contribution to charm and beauty production

Example contribution to jet production

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Charm and beauty data combination

- Combination of 13 datasets on charm and beauty in DIS from H1 and ZEUS
- Experimental methods
 - Fully reconstructed D or D* decays
 - High P_T leptons from heavy flavor decays
 - Secondary decay vertices
- Accuracy of the combined data:

~5-9% for charm, ~15-25% for beauty

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Structure functions with charm, beauty

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Structure functions with charm, beauty

 \rightarrow can extract quark masses m_c and m_b from HERA data alone:

 $m_c(m_c) = 1.290^{+0.077}_{-0.053}$ $m_h(m_h) = 4.049^{+0.138}_{-0.118}$

Particle data group: m_a =1.28±0.025 GeV $m_{h} = 4.18 \pm 0.03 \text{ GeV}$

NLO HERAPDF2.0 FF3A

HERA

Data are statistically limited \rightarrow looking forward to have precision data from EIC.

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Summary

- HERA: operated 1993-2007, still with active analyses
- New members are welcome in H1 or ZEUS, to contribute some of the studies we missed in the past
- Kinematic reconstruction: forward (proton) acceptance and photon radiation from electron line together limit the accessible range in (Q²,x)

 \rightarrow improvement at EIC expected from 3× lower centre-of-mass energy (at same Q² access ~10× higher x) and from better detector acceptance

• Some highlights from HERA combined data: inclusive cross sections, PDF fits, charm and beauty production in DIS

Backup slides

Accelerators for particle physics at DESY

- DESY was founded in 1959
- German national laboratory for particle physics, accelerators, synchrotron sources
- Accelerators for particle physics
 - DESY 1964-1978 [6 GeV] Since 1978: used as pre-accelerator only
 - DORIS 1974-1992 [e⁺e⁻ √s=12 GeV] 1992-2012: used as synchrotron source
 - PETRA 1978-1986 [e⁺e⁻ √s=45 GeV] 1990-2007: pre-accelerator, since 2009 synchrotron source
 - HERA 1992-2007 [e⁺p √s=320 GeV]
- DESY accelerators in 2020
 - \rightarrow photon science

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~5 miles to city center

The Sigma method

Kinematic reconstruction using four-٠ vectors (neglecting p and e masses):

$$y_e = 1 - \frac{(e'p)}{(ep)}, y_h = \frac{(Xp)}{(ep)}$$
$$Q^2 = \frac{p_T^2}{1-y}, x = \frac{Q^2}{sy}$$

HERA frame: electron beam along -z • axis \rightarrow ratios of 4-vector products result in expressions involving (E-p₋)

For electron: $(E-p_{z}) = E_{z}(1-\cos \theta_{z})$

Low Q²: (E-p₂)₂ is close to 2E₂

Electron method: $y_e = \frac{2E_0 - E_e(1 - \cos\theta_e)}{2E_o}$

ISR effectively changes beam energy
$$E_0$$

 $Q^2 = 2 E_0 E \left(1 + \cos \theta\right)$

 \rightarrow very poor reconstruction of low y

Sigma method: estimate effective E₀ from data and get y from hadrons

$$\Sigma = (E - p_z)_e + (E - p_z)_X$$
$$y_h \rightarrow y_{\Sigma} = \frac{(E - p_z)_X}{\Sigma}$$

Take Q² from electron method $(e\Sigma)$ or use Σ also in the Q² calculation

H1 and ZEUS

The HERA "discovery": rise of F_2 at low x

- At the time: a surprise
- Impressive improvement in precision – it took
 >20 years to achieve this

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Towards the best precision: data combination

DESY.

- Measurements of inclusive processes have been published in a total of 41 H1 and ZEUS papers
- Data have been combined to a uniform HERA dataset: EPJ C75 (2015) 12, 85
 - Measurements of NC and CC for both e⁺p and e⁻p scattering at √s=318 GeV
 - Measurements of NC e⁺p scattering at √s={225,252,300,318} GeV
- Precision better than 1.5% is reached for large parts of the data

Data Set		XBi (Grid	O ² [Ge	V ² 1 Grid	L	e ⁺ /e ⁻	\sqrt{s}	$x_{\rm Bi}, O^2$ from	Ref.
		from	to	from	to	pb ⁻¹		GeV	equations	
HERA I $E_p = 820 \text{GeV}$ and	$E_{n} = 920$	GeV data sets	5				-			
H1 syx-mb[2]	95-00	0.000005	0.02	0.2	12	2.1	e ⁺ p	301,319	13,17,18	[3]
H1 low $Q^{2}[2]$	96-00	0.0002	0.1	12	150	22	e ⁺ p	301, 319	13,17,18	[4]
H1 NC	94-97	0.0032	0.65	150	30000	35.6	e ⁺ p	301	19	[5]
H1 CC	94-97	0.013	0.40	300	15000	35.6	e ⁺ p	301	14	[5]
H1 NC	98-99	0.0032	0.65	150	30000	16.4	e ⁻ p	319	19	[6]
H1 CC	98-99	0.013	0.40	300	15000	16.4	e ⁻ p	319	14	[6]
H1 NC HY	98-99	0.0013	0.01	100	800	16.4	e p	319	13	[7]
H1 NC	99-00	0.0013	0.65	100	30000	65.2	e ⁺ p	319	19	[7]
H1 CC	99-00	0.013	0.40	300	15000	65.2	e ⁺ p	319	14	[7]
ZEUS BPC	95	0.000002	0.00006	0.11	0.65	1.65	e ⁺ p	300	13	[III]
ZEUS BPT	97	0.0000006	0.001	0.045	0.65	3.9	e ⁺ p	300	13, 19	[12]
ZEUS SVX	95	0.000012	0.0019	0.6	17	0.2	e ⁺ p	300	13	[13]
ZEUS NC [2] high/low O2	96-97	0.00006	0.65	2.7	30000	30.0	e ⁺ p	300	21	[14]
ZEUS CC	94-97	0.015	0.42	280	17000	47.7	e ⁺ p	300	14	[15]
ZEUS NC	98-99	0.005	0.65	200	30000	15.9	e p	318	20	[16]
ZEUS CC	98-99	0.015	0.42	280	30000	16.4	e ⁻ p	318	14	[17]
ZEUS NC	99-00	0.005	0.65	200	30000	63.2	e ⁺ p	318	20	[18]
ZEUS CC	99-00	0.008	0.42	280	17000	60.9	e ⁺ p	318	14	[19]
HERA II $E_p = 920 \text{ GeV}$ da	ta sets						1 - 1			1
H1 NC 1.5p	03-07	0.0008	0.65	60	30000	182	e ⁺ p	319	13, 19	[8]1
H1 CC 1.5p	03-07	0.008	0.40	300	15000	182	e ⁺ p	319	14	[8]1
H1 NC 1.5p	03-07	0.0008	0.65	60	50000	151.7	e p	319	13, 19	[8]1
H1 CC 1.5p	03-07	0.008	0.40	300	30000	151.7	e n	319	14	[8]1
H1 NC med 02 *y.5	03-07	0.0000986	0.005	85	90	97.6	e ⁺ n	319	13	[10]
H1 NC low $Q^2 * y.5$	03-07	0.000029	0.00032	2.5	12	5.9	e ⁺ n	319	13	[10]
ZEUS NC	06-07	0.005	0.65	200	30000	135.5	e ⁺ n	318	13 14 20	[22]
ZEUS CC 1.5p	06-07	0.0078	0.42	280	30000	132	e ⁺ n	318	14	[23]
ZEUS NC 1.5	05-06	0.005	0.65	200	30000	169.9	e ⁻ n	318	20	[20]
ZEUS CC 1.5	04-06	0.015	0.65	280	30000	175	e n	318	14	[21]
ZEUS NC nominal *#	06-07	0.000092	0.008343	7	110	44 5	e ⁺ n	318	13	[24]
ZEUS NC satellite *#	06-07	0.000071	0.008343	5	110	44.5	e ⁺ n	318	13	[24]
HERA II $E_{-} = 575 \text{ GeV} \text{ da}$	ta sets	0.000071	0.000545		110	44.5	L C P	510	15	[24]
H1 NC high Q^2	07	0.00065	0.65	35	800	54	e ⁺ n	252	13 10	roj
H1 NC low Q^2	07	0.0000279	0.0148	15	00	5.9	e ⁺ n	252	13,19	[10]
ZEUS NC nominal	07	0.000147	0.013340	1.5	110	7.1	e p	252	13	[24]
ZEUS NC nominal	07	0.000125	0.013349	5	110	7.1	e p	251	13	[24]
HEPA II $F = 460 \text{ GeV} \text{ da}$	to cote	0.000125	0.015545	5	110	7.1	ep	2.01	15	[24]
H1 NC high O^2	07	0.00081	0.65	35	800	11.9	a ⁺ n	225	13 10	[0]
H1 NC log Q2	07	0.000348	0.05	15	000	12.2	e p	225	13, 19	[10]
ZEUS NC nominal	07	0.0000348	0.0146	1.5	90	12.2	e p	225	13	[10]
ZEUS NC nominal	07	0.000184	0.016686	-	110	13.9	e p	225	13	[24]
ZEUS NC satellite	0/	0.000143	0.010080	3	110	13.9	ep	225	15	[24]

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The power of combining

- A total of 2927 H1 and ZEUS measurements are averaged to about 1307 combined reduced cross sections
- Up to six measurements contribute to a single point
- Systematic uncertainties and their cross-correlations are handled consistently
- Better than 1.5% precision is reached over a wide kinematic range
- Excellent data consistency: $\chi^2/N_{D.F.} = 1687/1620$

Transition to photoproduction

- At HERA, both deep-inelastic scattering (Q²>0) and photoproduction (Q²=0) can be measured
- Can map the transition region, where Q² is very small
- challenge for perturbative calculations

Perturbative QCD	Regge theory
High Q ² »1 GeV ²	High energy W»Q
Q ² evolution: DGLAP equations	W-dependence: Regge trajectories

 $W = \sqrt{(q+p)^2} = Q^2(1/x-1)$ photon-proton energy

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Fit of photoproduction and HERA DIS data

 P_0, P_1, f_{2R}

DIS cross section:

Compton scattering

forward virtual

amplitude

- Tensor-pomeron model (Ewerz, Maniatis, Nachtmann) Ann.Phys 342 (2014) 31
- Coherent description of photoproduction and low-x DIS data
- Fit three trajectories and Q² dependencies
 - **Reggeon** $\sim W^{2\epsilon_2}$ where $\epsilon_2 = 0.0485^{+0.0088}_{-0.0090}$
 - Hard Pomeron $\sim W^{2\epsilon_0}$ where $\epsilon_0 = 0.3008^{+0.0073}_{-0.0084}$
 - Soft pomeron $\sim W^{2\epsilon_1}$ where $\epsilon_1 = 0.0935^{+0.0076}_{-0.0064}$

Compatible with canonical DL intercept $\alpha_0 = 1 + \epsilon = 1.0808$ Good fit quality: $\chi^2/N_{DF} = 587.9 / 536$ (probability 6%)

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Tensor pomeron fit at larger Q²

- Described well by the Tensor pomeron fit
- Soft pomeron contribution is significant even at Q²=10 GeV²
- Could be an indication of sizable nonperturbative contributions to the DIS data relevant for PDF fits

(e.g. HERAPDF fit includes data at Q²≥3.5 GeV²)

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Jet production in the Breit frame

- Hadrons are emitted in jets
- Interpretation: a parton is scattered off the proton and fragments
- Breit-frame: Lorentz-transformation to have proton remnant and current-jet well separated
- Measure the emission of further jets which have P_T>0 wrt expected current jet direction

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Jet cross section measurements

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Measurement of α_s

