

High-energy QCD near the unitarity limit: k_T -factorization, color glass, and all that

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SPhT Saclay & CNRS

BFKL evolution & k_T -factorization



k_T -factorization

● general

- DIS
- gluon production
- quark-pair production
- BFKL problems

Saturation

CGC factorization

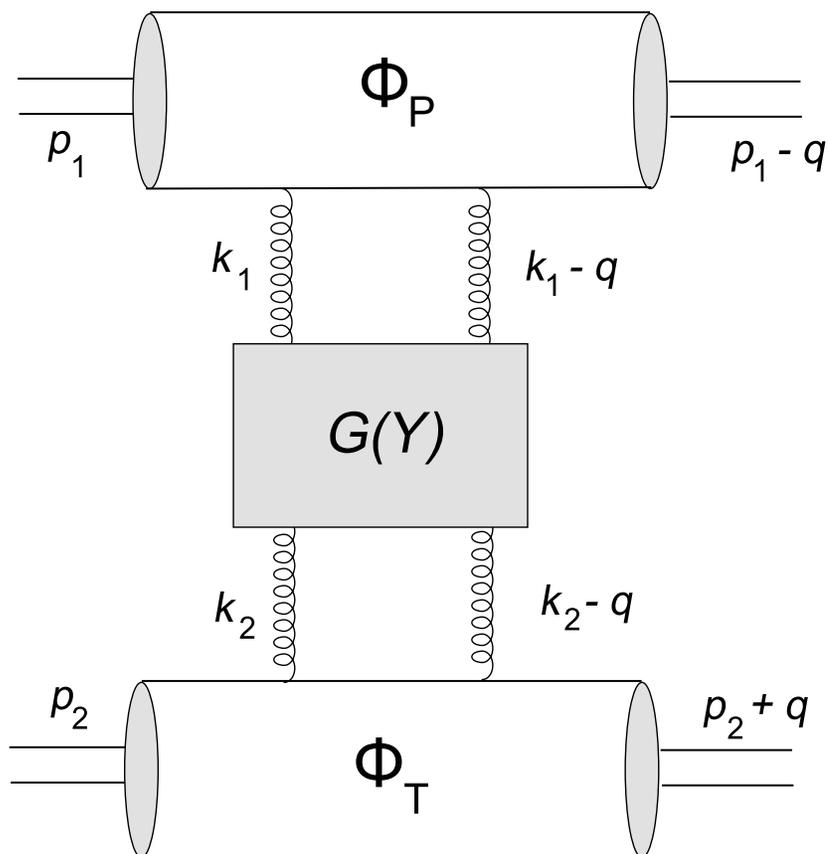
Non-linear evolution

DIS Diffraction

Backup

CGC

DIS Diffraction



$$T(s, t) = \int \frac{d^2 \mathbf{k}_1}{(2\pi)^2} \frac{d^2 \mathbf{k}_2}{(2\pi)^2} \frac{\Phi_P(\mathbf{k}_1, \mathbf{q}) \Phi_T(\mathbf{k}_2, \mathbf{q})}{k_2^2 (\mathbf{k}_1 - \mathbf{q})^2} \mathcal{G}_{\text{BFKL}}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{q}; Y)$$

■ $Y \sim \ln s$: rapidity, $t \sim -q^2$: momentum transfer

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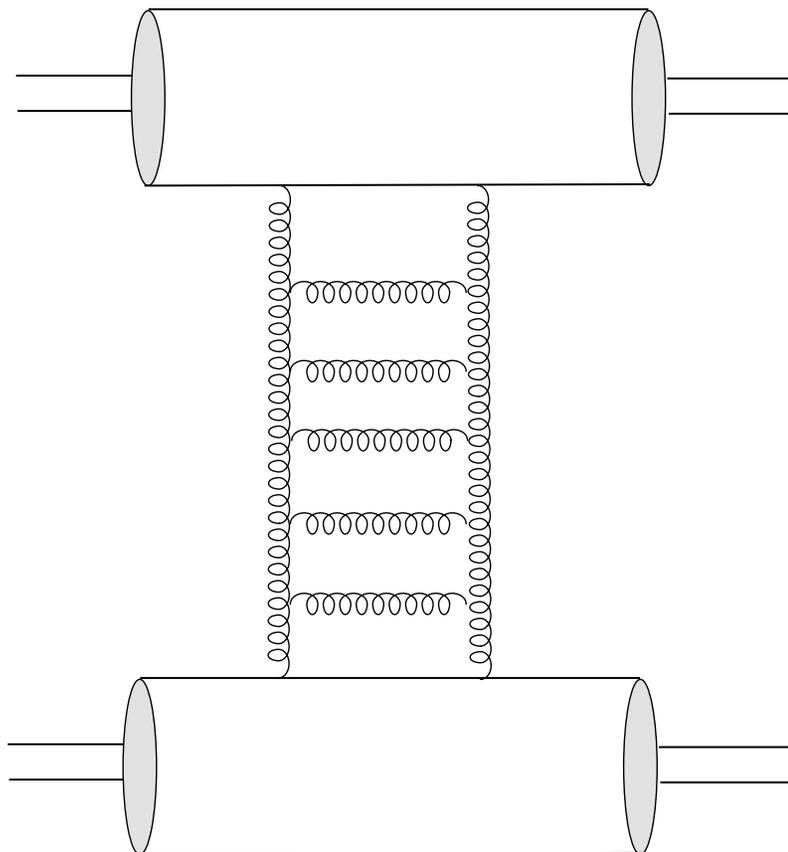
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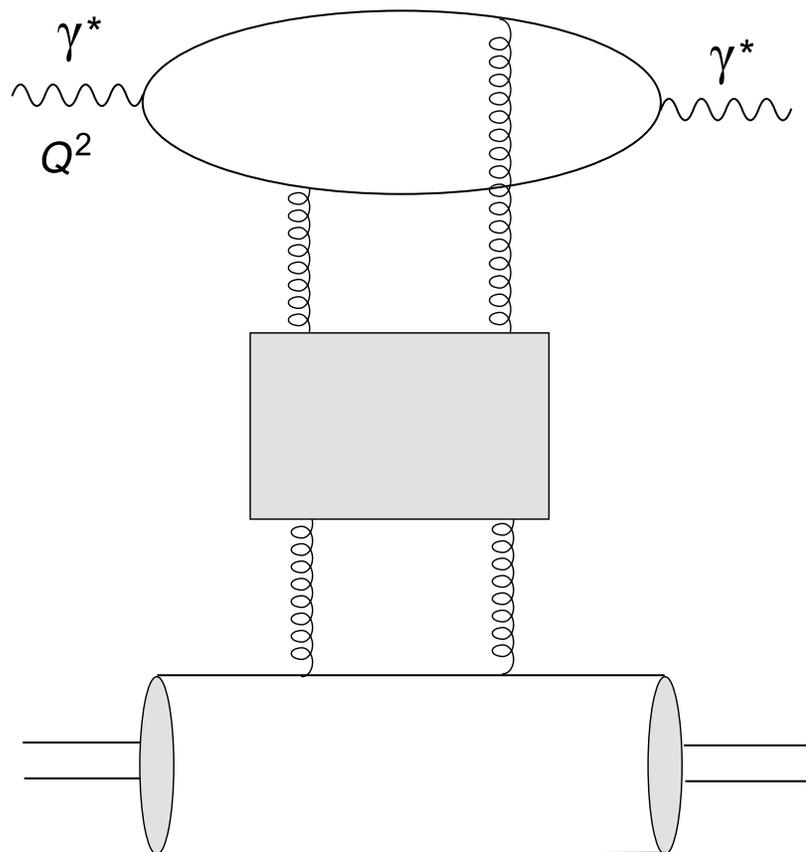
DIS Diffraction



$$\mathcal{G}_{\text{BFKL}}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{q} = 0; Y) \simeq \frac{e^{\omega_0 Y}}{(k_1^2 k_2^2)^{1/2}} \frac{1}{\sqrt{\pi D_0 \tau}} \exp \left\{ -\frac{\ln^2(k_1^2/k_2^2)}{4D_0 Y} \right\}$$

- $\omega_0 = 4 \ln 2 \bar{\alpha}_s$: 'intercept', $D_0 = 14 \zeta(3) \bar{\alpha}_s$: 'diffusion'

Deep inelastic scattering at small x



$$\sigma_{\gamma^* h}(Y, Q^2) = \int \frac{d^2 \mathbf{k}_1}{(2\pi)^2} \frac{d^2 \mathbf{k}_2}{(2\pi)^2} \frac{\Phi_{\gamma^*}(\mathbf{k}_1) \Phi_h(\mathbf{k}_2)}{k_1^2 k_2^2} \mathcal{G}_{\text{BFKL}}(\mathbf{k}_1, \mathbf{k}_2; Y)$$

■ $Y = \ln(1/x) \sim \ln(s/Q^2)$

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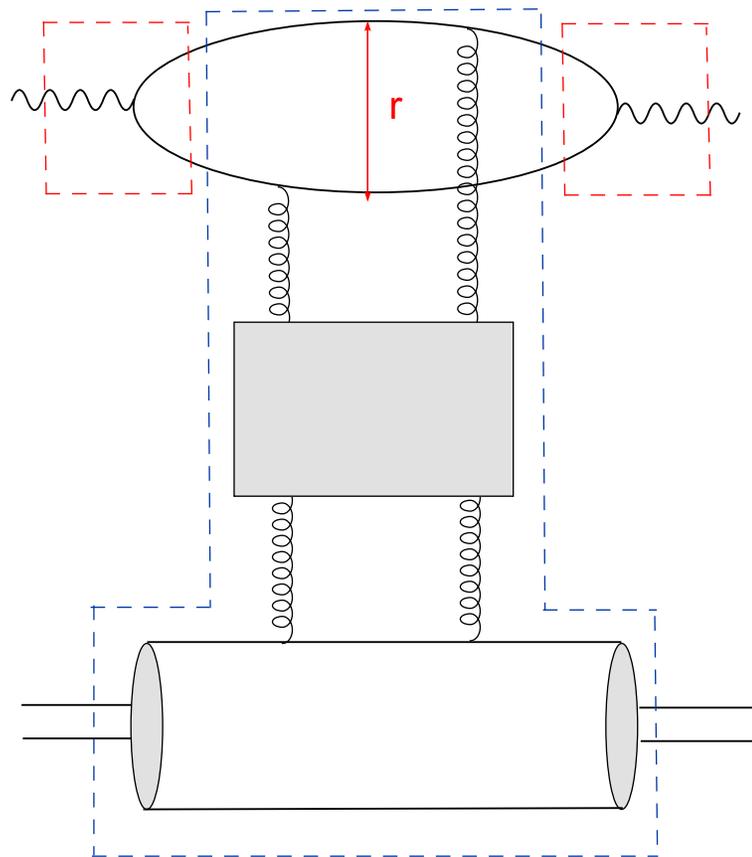
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$$\sigma_{\gamma^* h}(Q^2, Y) = \int_0^1 dz \int d^2 r \sum_{p=T,L} |\Psi_p(z, r)|^2 \sigma_{\text{dipole}}(r, Y)$$

- A $q\bar{q}$ color dipole with transverse size r

Deep inelastic scattering at small x



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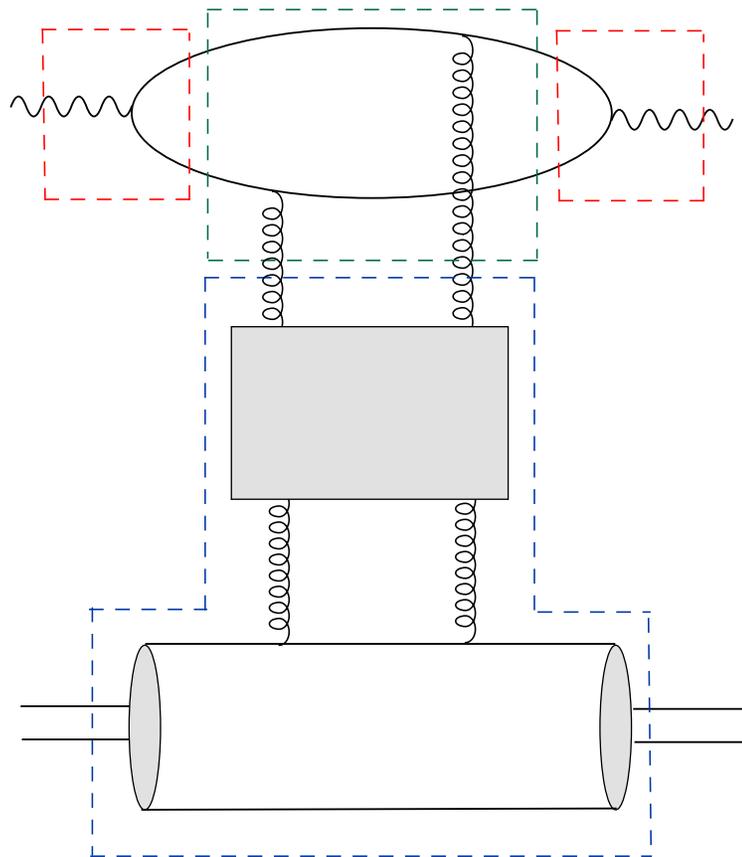
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$$\sigma_{\text{dipole}}(\mathbf{r}, Y) \approx \frac{4\pi}{N_c} \alpha_s \int \frac{d^2\mathbf{k}}{k^2} \varphi(\mathbf{k}, Y) \left(1 - e^{i\mathbf{k}\cdot\mathbf{r}}\right)$$

- $\varphi(\mathbf{k}, Y)$: the ‘unintegrated gluon distribution’
($\varphi \propto 1/k^2$ at very large k : bremsstrahlung)

Gluon production in hadron-hadron collisions



k_T -factorization

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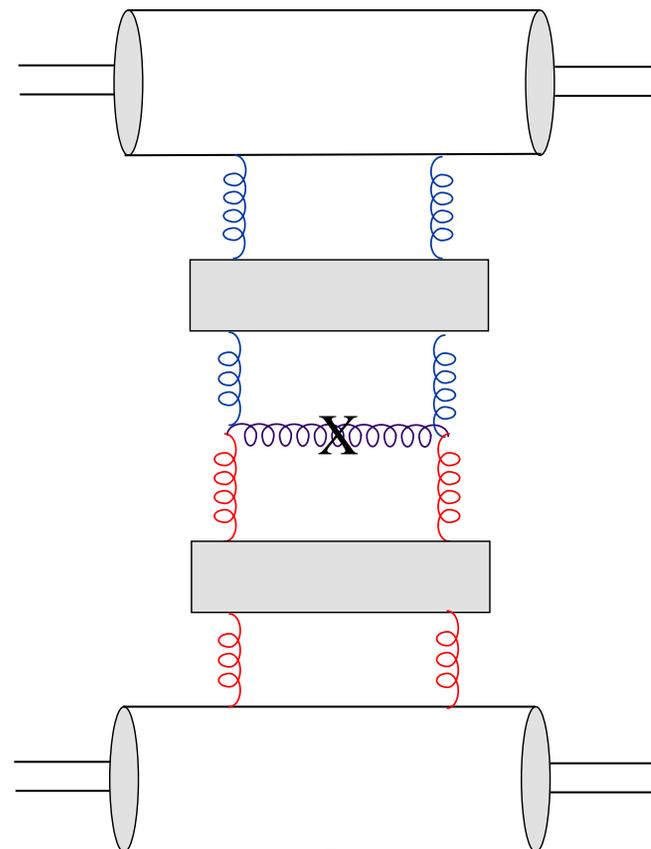
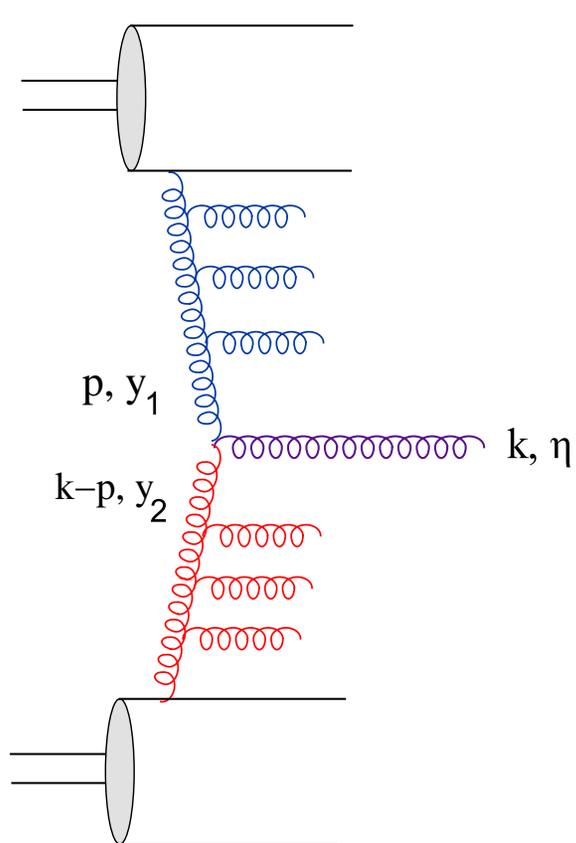
Non-linear evolution

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DIS Diffraction



$$\frac{d\sigma}{d\eta d^2\mathbf{k}} = \frac{\bar{\alpha}_s}{k_\perp^2} \int \frac{d^2\mathbf{p}}{(2\pi)^2} \varphi_1(\mathbf{p}, y_1) \varphi_2(\mathbf{k} - \mathbf{p}, y_2)$$

- $y_i = \ln(1/x_i)$ where $x_{1,2} = (k_\perp/\sqrt{s})e^{\pm\eta}$



$q\bar{q}$ production in hadron-hadron collisions

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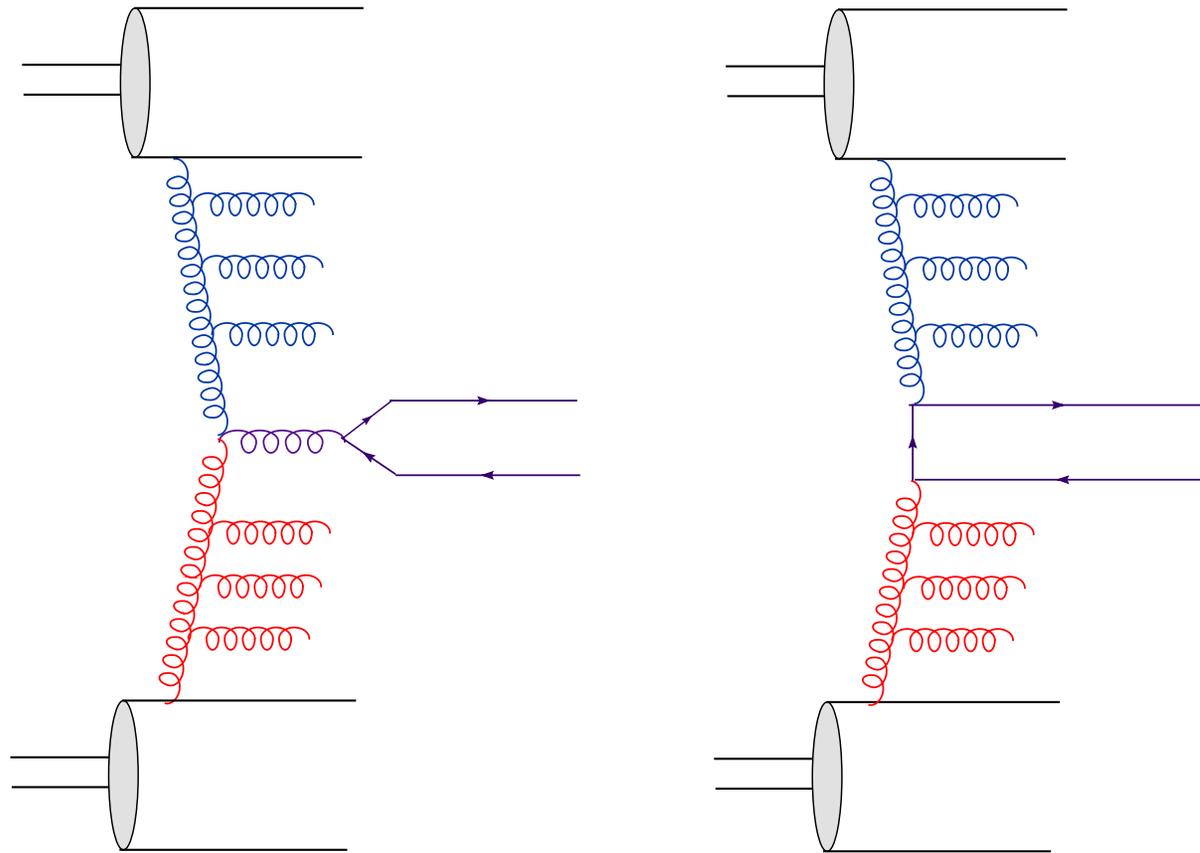
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- The unintegrated gluon distribution is ‘universal’

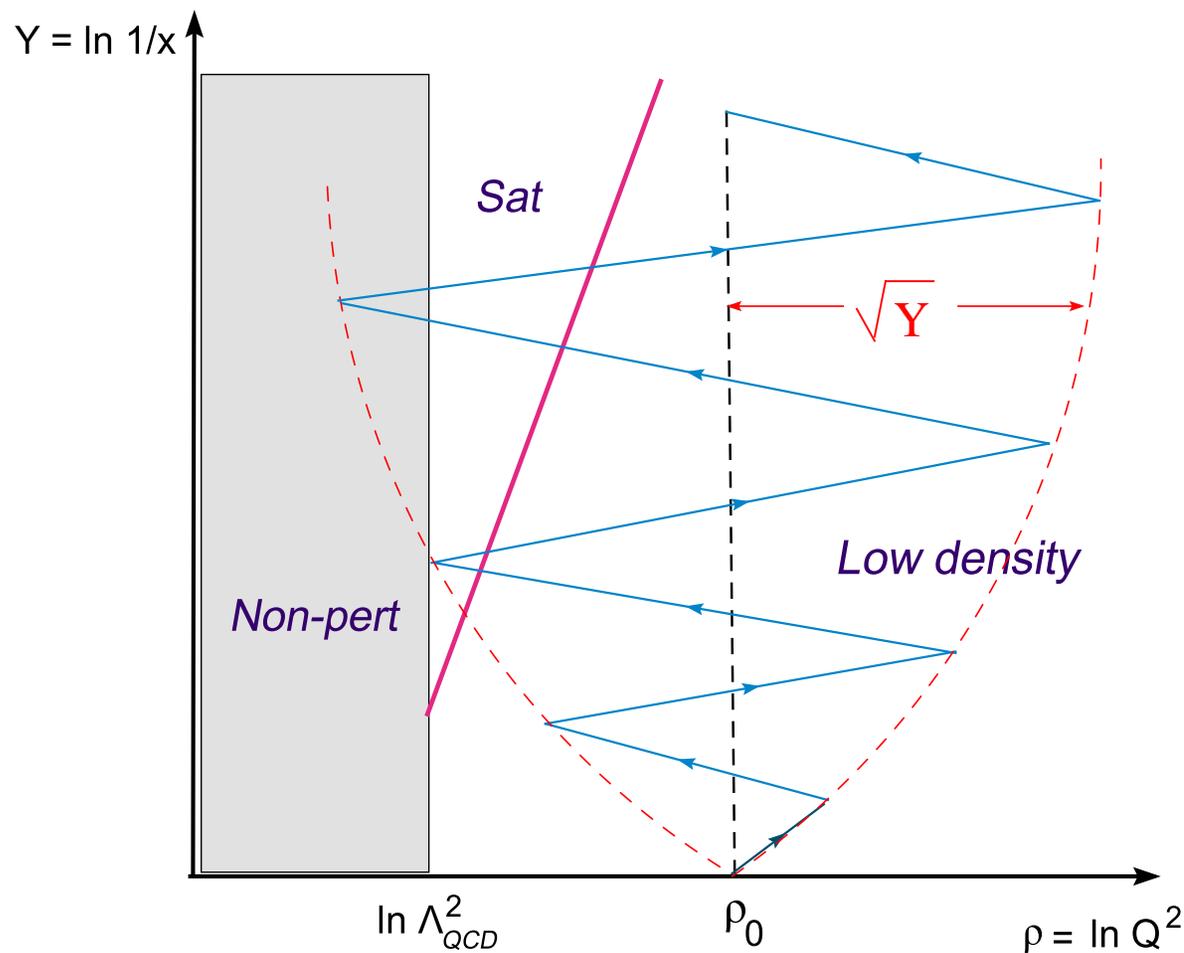
$$\varphi(\mathbf{k}, Y) \sim \int d^2\mathbf{r} e^{i\mathbf{k}\cdot\mathbf{r}} \langle F_a^{+i}(\mathbf{r}) F_a^{+i}(0) \rangle_Y$$

- A single scattering measures just the **gluon density**

Conceptual problems at high energy

■ **Unitarity violation** : $T(Y) \propto e^{\omega_0 Y}$

■ **Infrared diffusion** :



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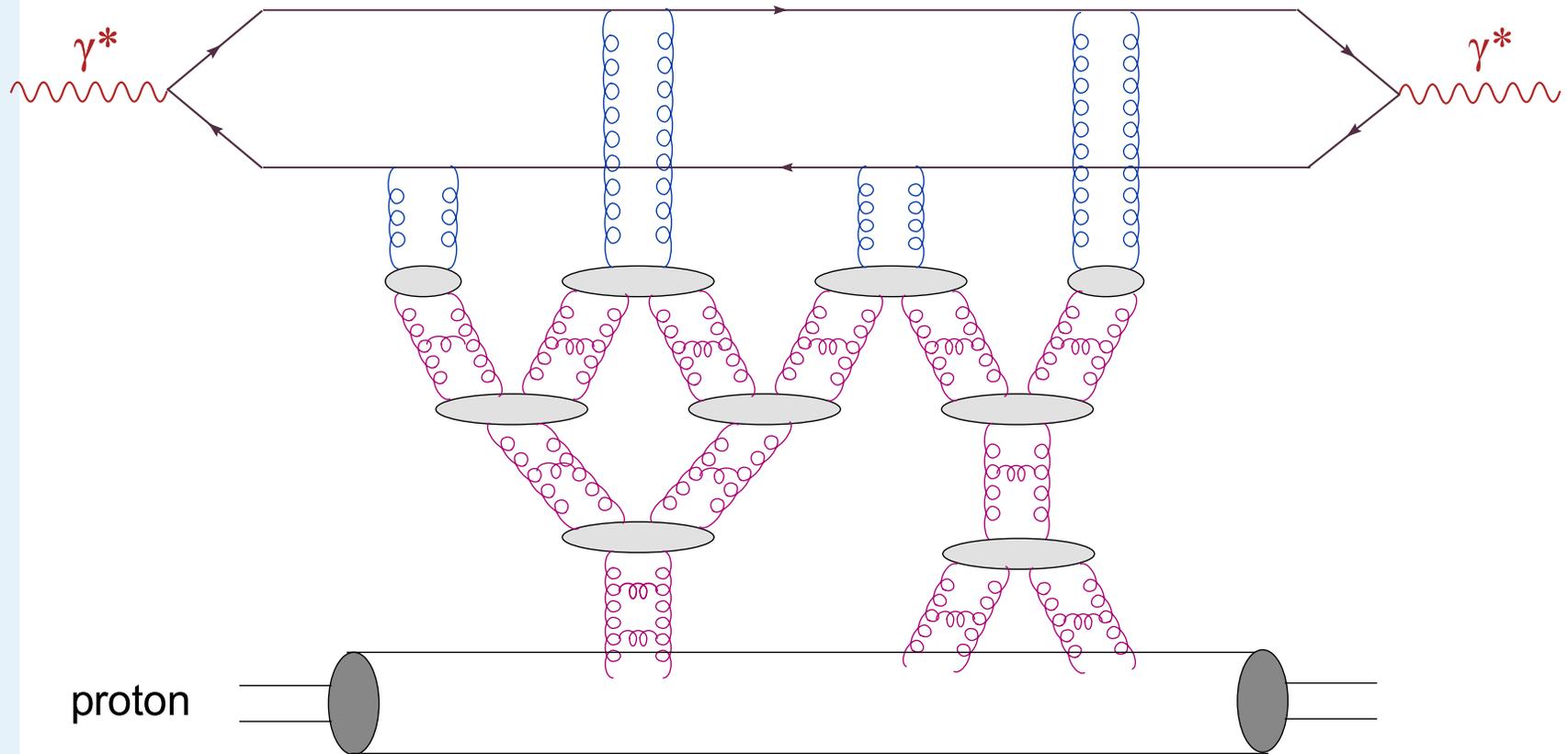
Backup

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DIS Diffraction



DIS at **very** small values of x



k_T -factorization

Saturation

● DIS at high-energy

● Saturation

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DIS Diffraction

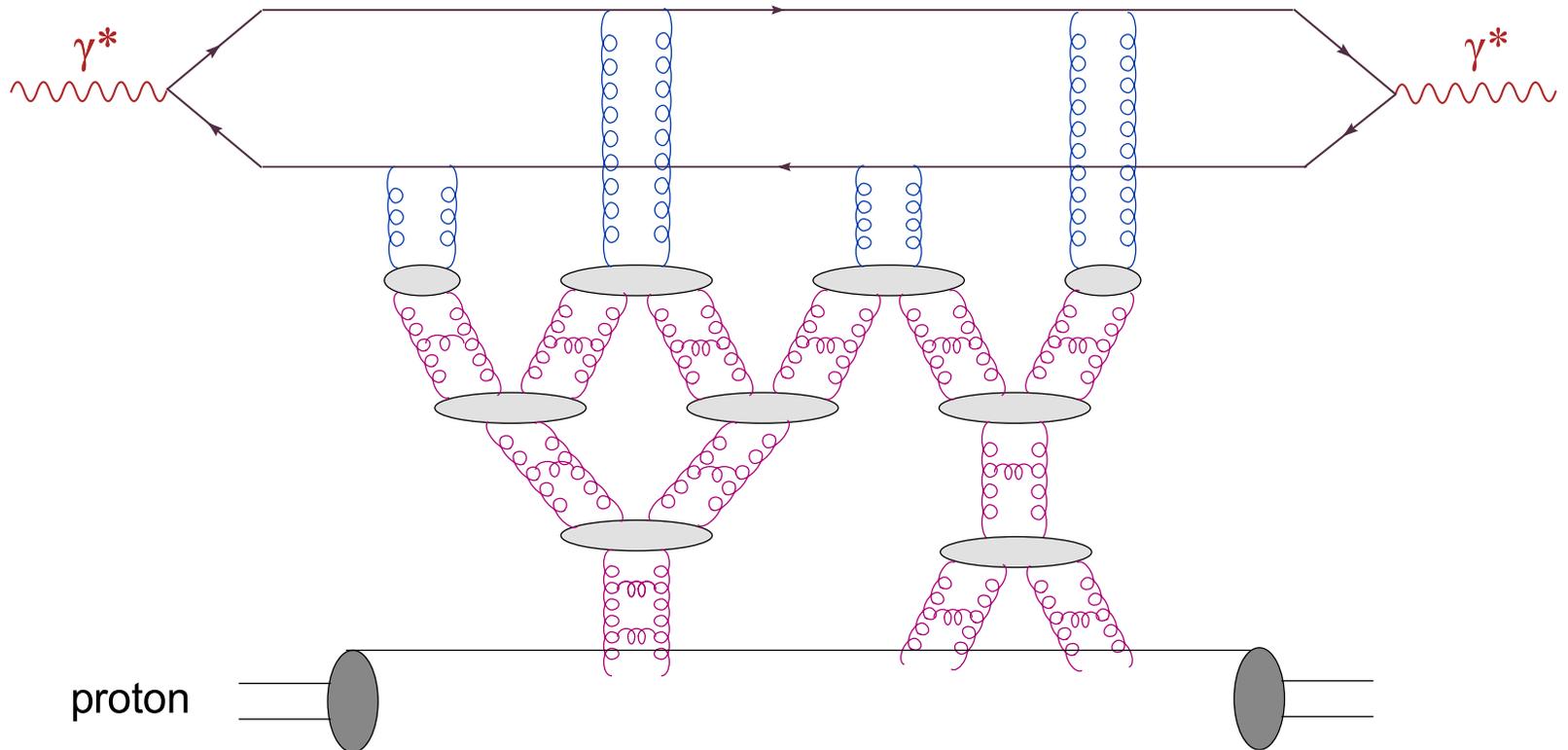
■ **Gluon recombination/splitting in the hadron wavefunction**

■ **Leading-order effects at sufficiently high energy !**

◆ Recombination requires $\varphi(\mathbf{k}, Y) \sim 1/\alpha_s$: $\alpha_s \varphi \sim (\alpha_s \varphi)^2$

◆ Splitting important when $\varphi(\mathbf{k}, Y) \sim \alpha_s$: $\alpha_s^2 \varphi \sim \alpha_s \varphi^2$

Saturation & Unitarization for DIS



- **Saturation momentum:** $\varphi(\mathbf{k}, Y) \sim 1/\alpha_s$ when $k_{\perp} \sim Q_s(Y)$

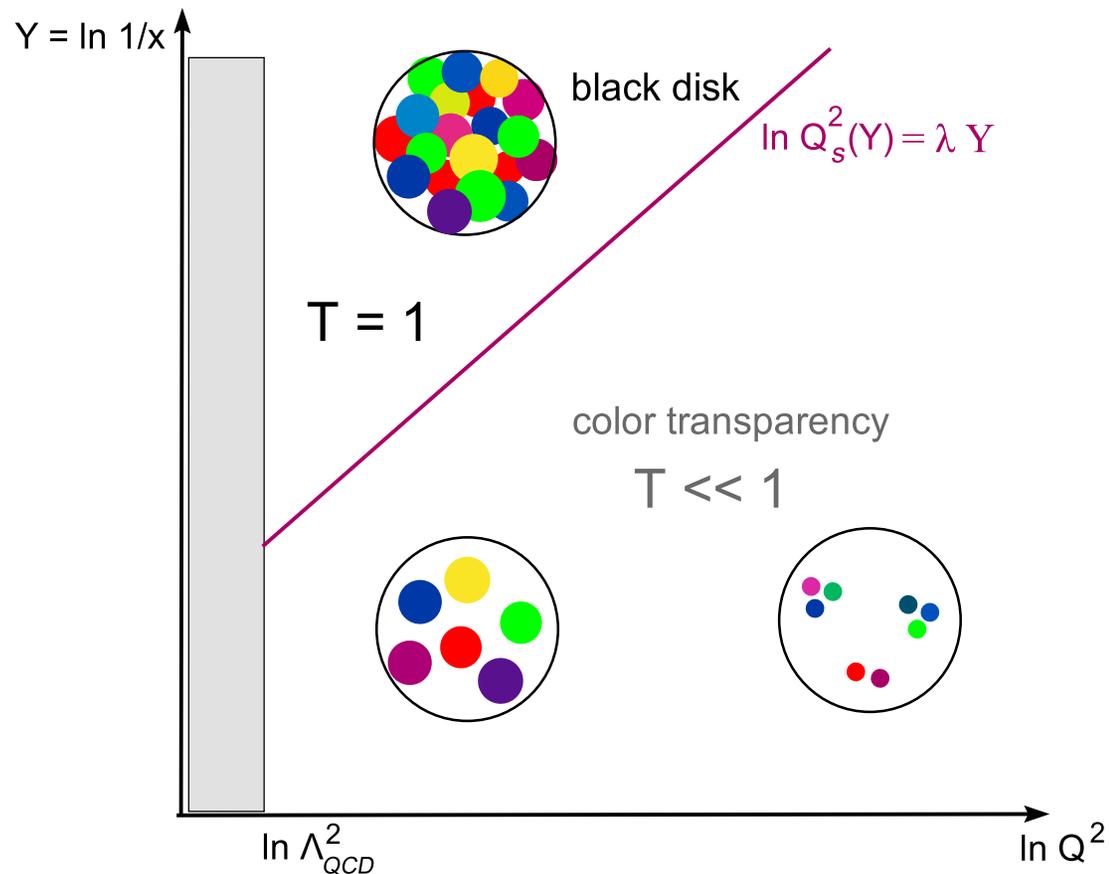
$$\text{LO BFKL : } \varphi(\mathbf{k}, Y) \sim \left(\frac{\Lambda^2}{k^2} \right)^{1/2} e^{\omega_0 Y} \implies Q_s^2(Y) \sim \alpha_s^2 \Lambda^2 e^{2\omega_0 Y}$$

- **NLO BFKL :** $2\omega_0 \sim \mathcal{O}(1) \implies \lambda \approx 0.3$

Saturation & Unitarization for DIS

- The unitarization scale for dipole scattering (hence, for DIS)

$$T_{\text{dipole}}(r, Y) = 1 \quad \text{for} \quad r \gtrsim 1/Q_s(Y) \quad (r^2 \longleftrightarrow 1/Q^2)$$



k_T -factorization

Saturation

● DIS at high-energy

● Saturation

CGC factorization

Non-linear evolution

DIS Diffraction

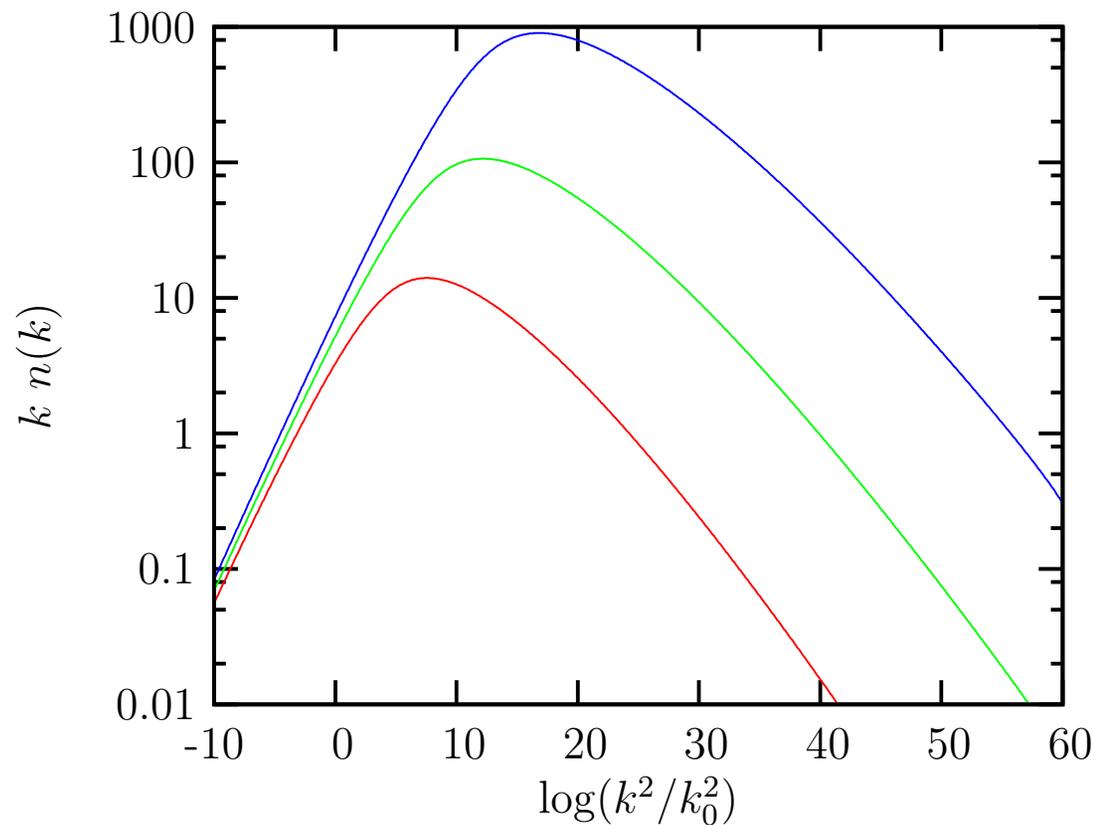
Backup

CGC

DIS Diffraction

Saturation & Unitarization for DIS

- The typical transverse momentum of the gluon distribution



$$xG(x, Q^2) = \int^Q dk k \varphi(k, Y)$$

k_T -factorization

Saturation

● DIS at high-energy

● Saturation

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Non-linear evolution

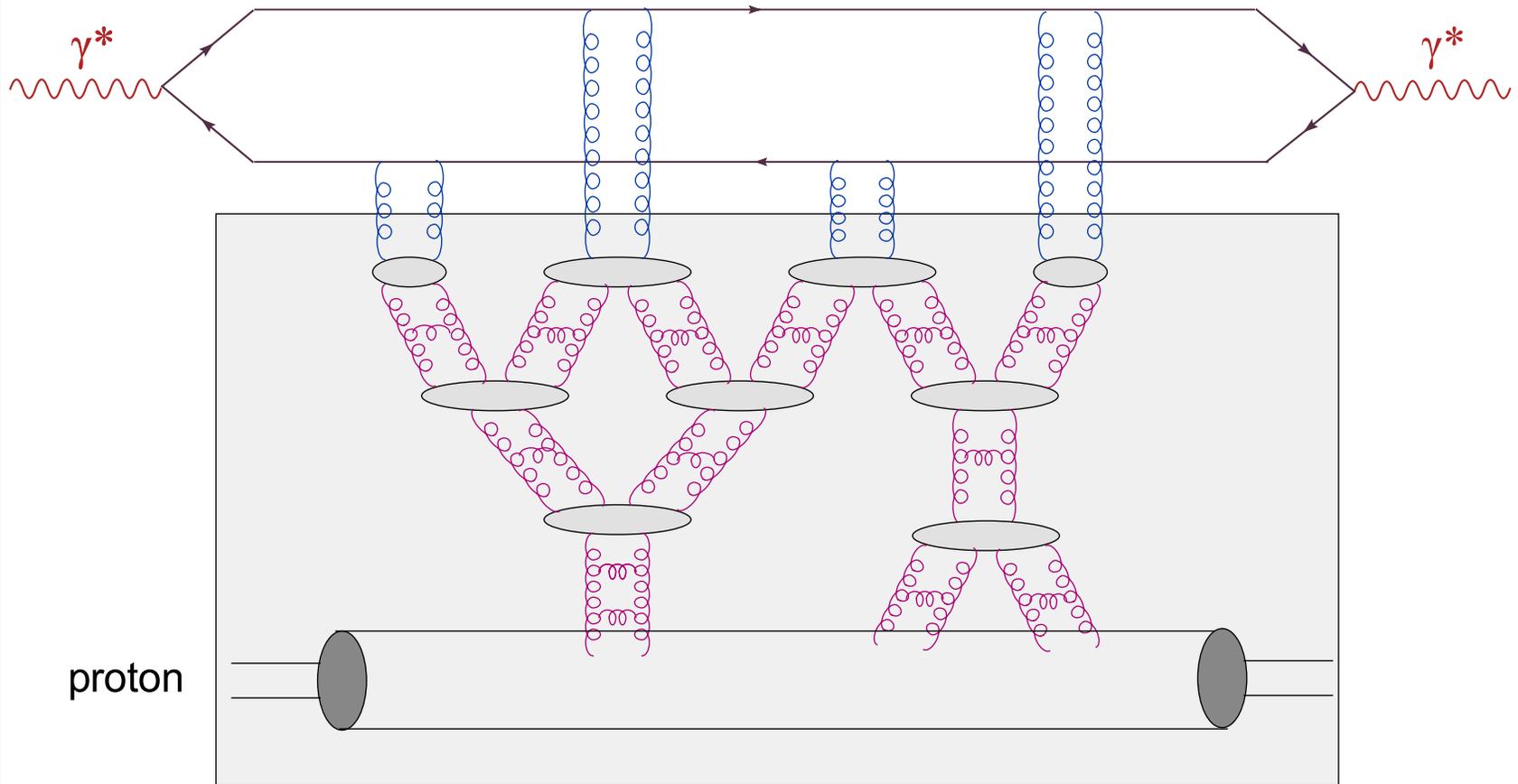
DIS Diffraction

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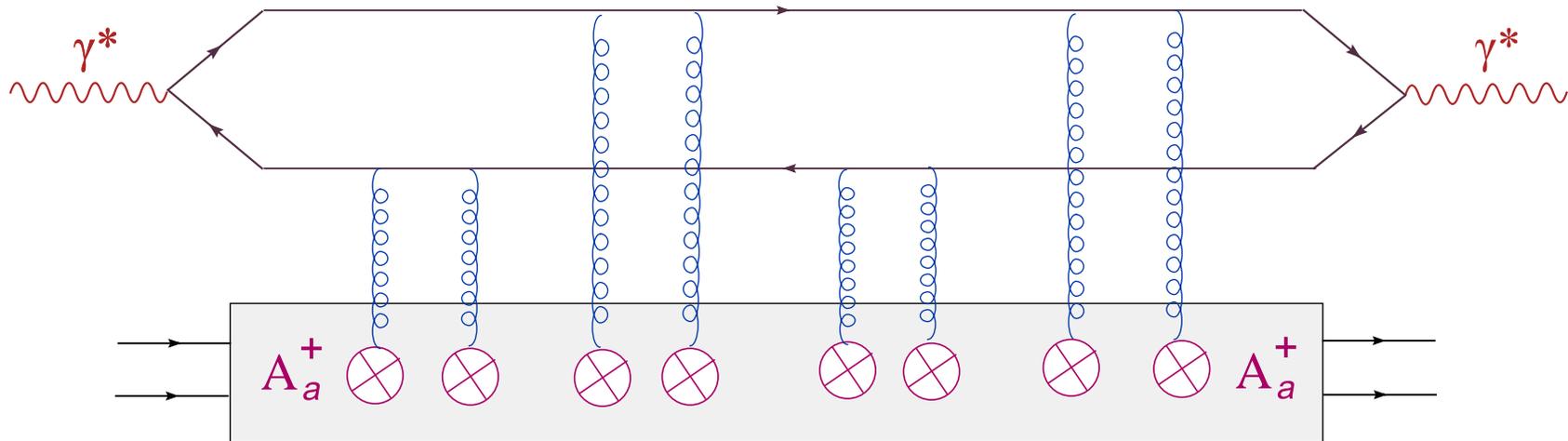
DIS Diffraction

CGC factorization of DIS



- Include all the evolution effects in the target wavefunction
- Replace the target by a **random 'background color field'** off which the dipole scatters in the **eikonal approximation**

CGC factorization of DIS



- Multiple scattering off a given configuration of target fields A :

$$S(\mathbf{x}, \mathbf{y})[A] = \frac{1}{N_c} \text{tr}(V_{\mathbf{x}}^\dagger V_{\mathbf{y}})$$

$$V(\mathbf{x}) \equiv \text{P exp} \left(ig \int dx^- A_a^+(x^-, \mathbf{x}) T^a \right) \quad (\text{Wilson line})$$

- Average over A^+ with weight function $W_Y[A]$ (“ $|\Psi[A]|^2$ ”)

$$\langle S(\mathbf{x}, \mathbf{y}) \rangle_Y = \int \mathcal{D}[A] W_Y[A] S(\mathbf{x}, \mathbf{y})[A]$$

- Functional evolution equation for $W_Y[\rho]$: ‘JIMWLK’

k_T -factorization

Saturation

CGC factorization

● CGC factorization (I)

● CGC factorization (II)

● AA collisions

Non-linear evolution

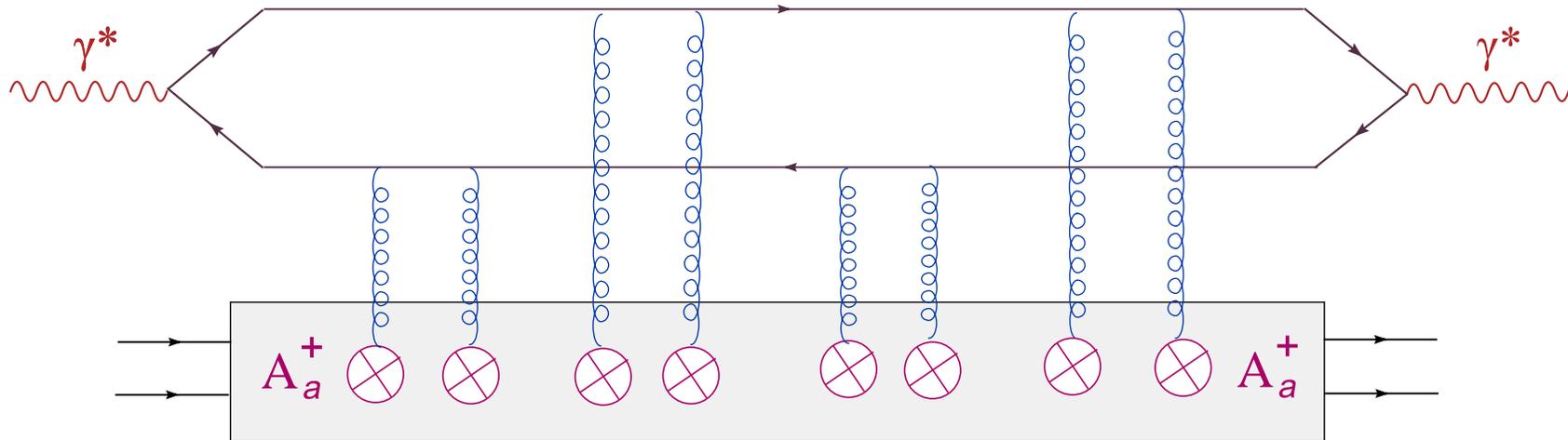
DIS Diffraction

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DIS Diffraction

CGC factorization of DIS



$$\langle S(\mathbf{x}, \mathbf{y}) \rangle_Y = \int D[A] W_Y[A] S(\mathbf{x}, \mathbf{y})[A]$$

- $W_Y[A]$: a kind of “super gluon distribution”
 - ◆ information about all the n -point correlations of A^+
 - ◆ gluon evolution up to Y (LLA) : JIMWLK eq.
 - ◆ long range correlations in rapidity and transverse space
 - ◆ color correlations

k_T -factorization

Saturation

CGC factorization

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Non-linear evolution

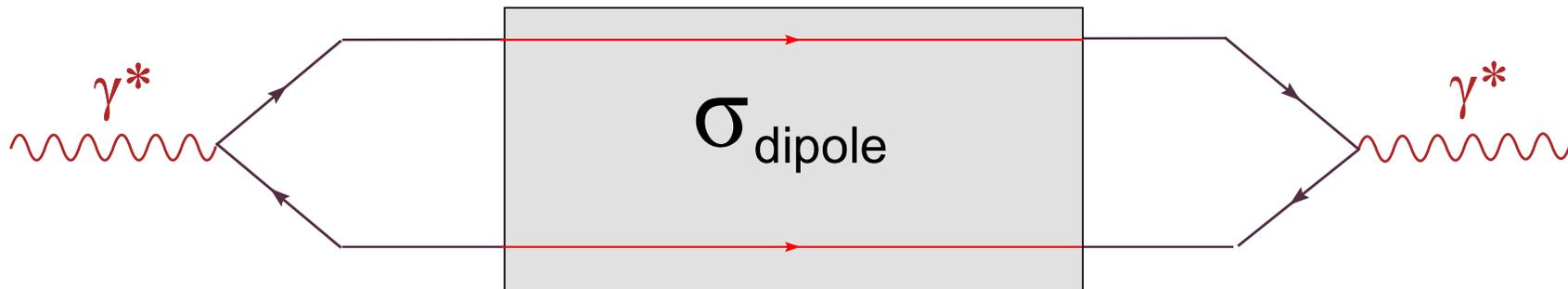
DIS Diffraction

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DIS Diffraction

CGC factorization of DIS



$$\sigma_{\gamma^* h}(Q^2, Y) = \int_0^1 dz \int d^2\mathbf{r} \sum_{p=T,L} |\Psi_p(z, \mathbf{r})|^2 \sigma_{\text{dipole}}(\mathbf{r}, Y)$$

$$\sigma_{\text{dipole}}(\mathbf{r}, Y) = 2 \int d^2\mathbf{b} [1 - \text{Re} \langle S(\mathbf{x}, \mathbf{y}) \rangle_Y]$$

- **Formally similar** to the standard k_T factorization (LO BFKL) ... except that, now, σ_{dipole} includes a lot more information !
- Only useful provided we know how to **compute** σ_{dipole} .

k_T -factorization

Saturation

CGC factorization

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Non-linear evolution

DIS Diffraction

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CGC

DIS Diffraction

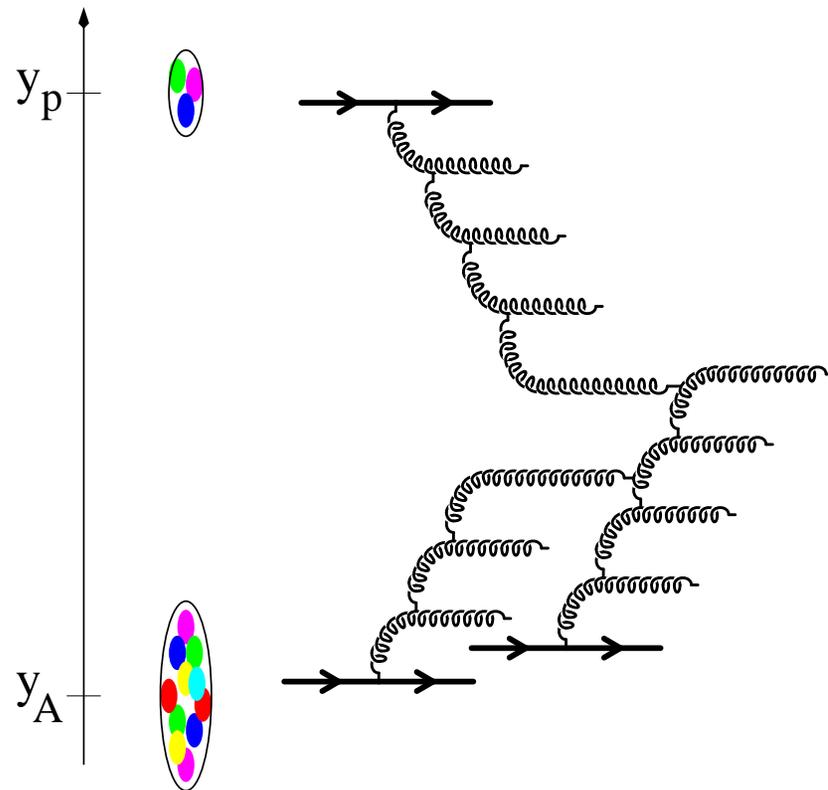


CGC factorization of particle production

■ Dense–dilute scattering

- ◆ pA collisions (RHIC, LHC)
- ◆ pp collisions at forward rapidity (LHC)

■ Only one parton from the dilute projectile gets involved



■ The particles in the final state undergo multiple scattering

k_T -factorization

Saturation

CGC factorization

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DIS Diffraction

CGC factorization of particle production



k_T -factorization

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● CGC factorization (I)

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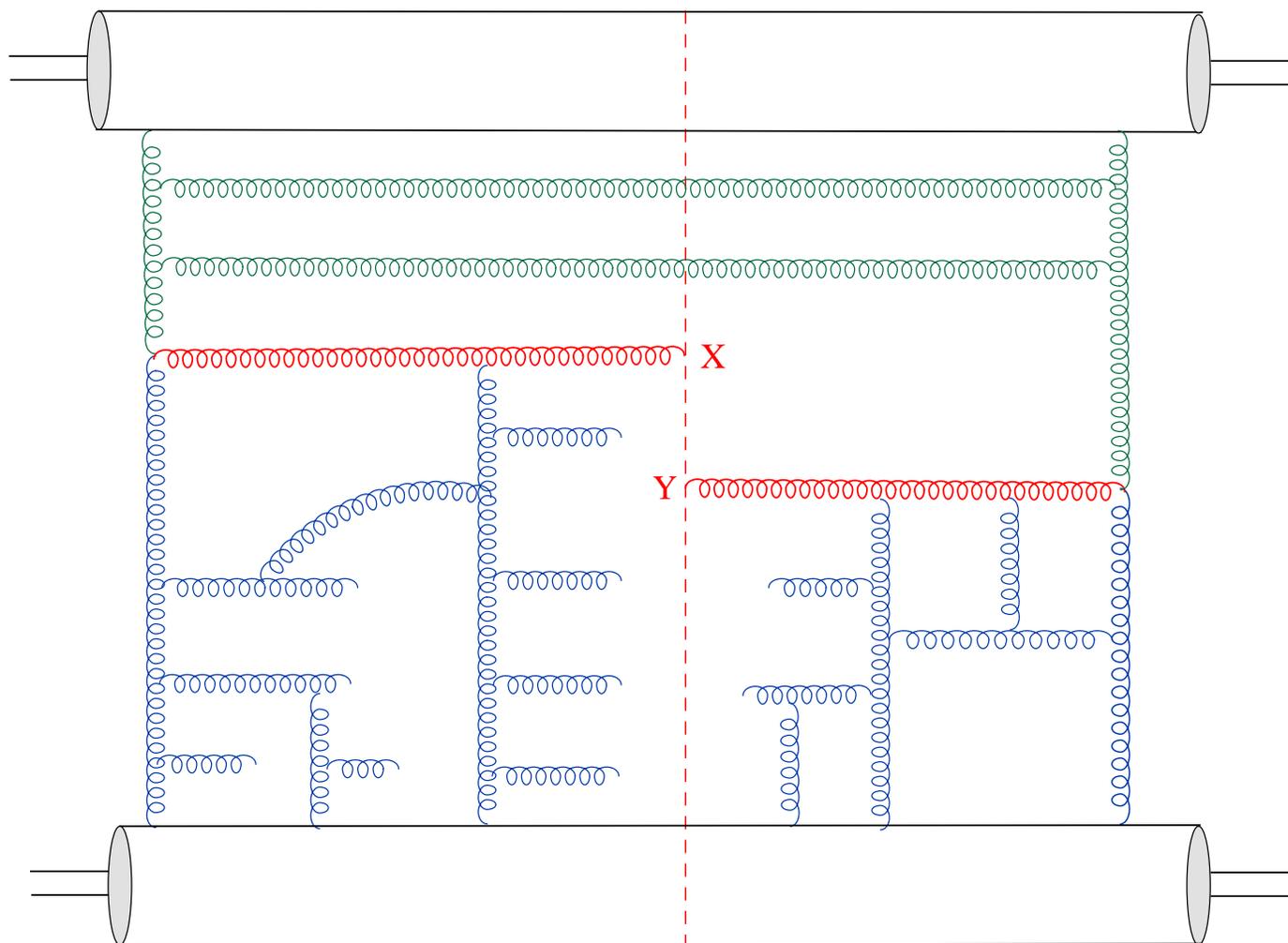
Non-linear evolution

DIS Diffraction

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CGC

DIS Diffraction



- An effective gluon–gluon dipole which multiply scatters

CGC factorization of particle production



k_T -factorization

Saturation

CGC factorization

● CGC factorization (I)

● **CGC factorization (II)**

● AA collisions

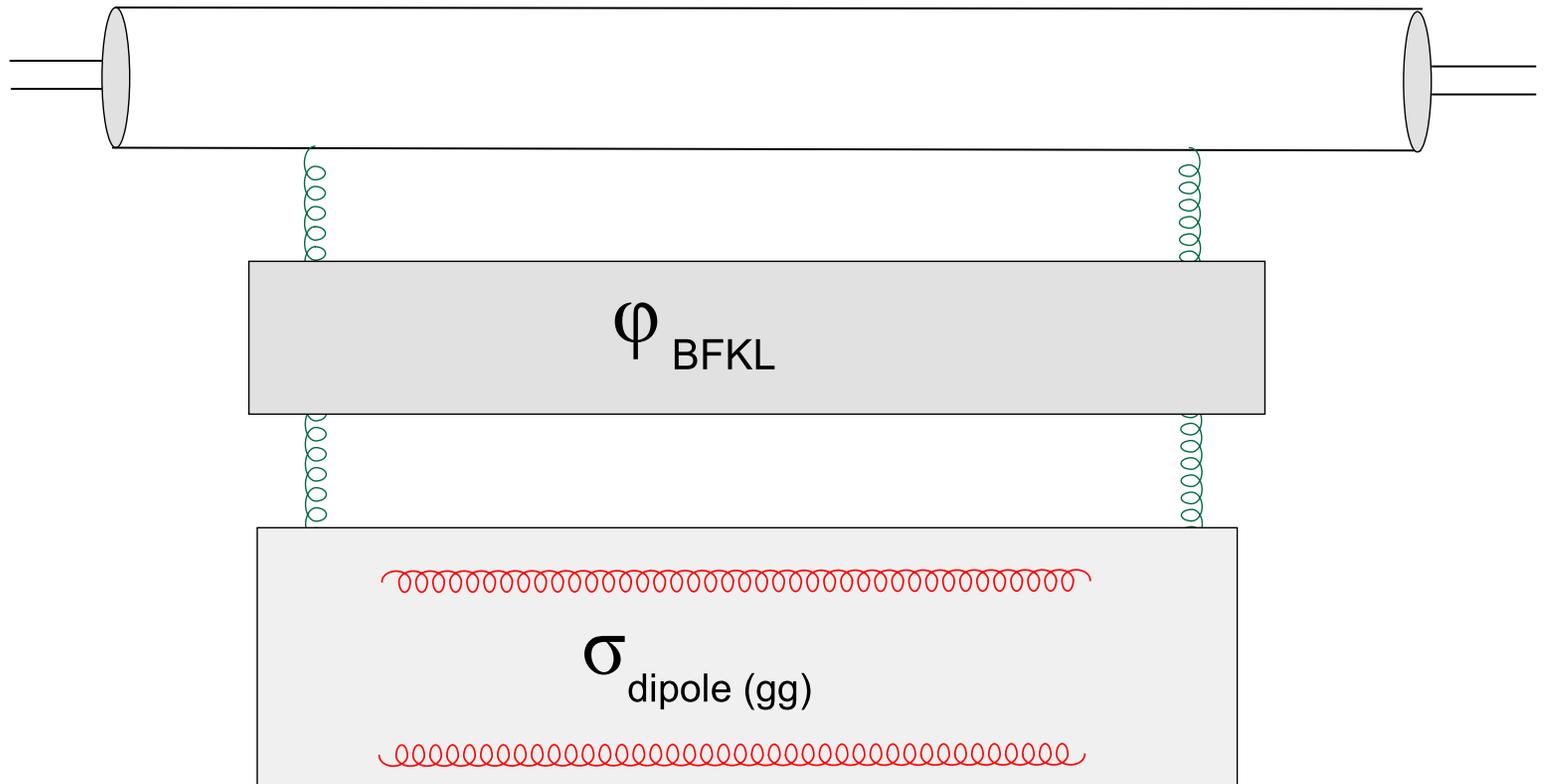
Non-linear evolution

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$$\Phi(\mathbf{k}, y) \equiv \int d^2\mathbf{r} e^{i\mathbf{k}\cdot\mathbf{r}} \nabla_{\mathbf{r}}^2 \sigma_{\text{dipole}}^{gg}(\mathbf{r}, y)$$

CGC factorization of particle production



k_T -factorization

Saturation

CGC factorization

● CGC factorization (I)

● **CGC factorization (II)**

● AA collisions

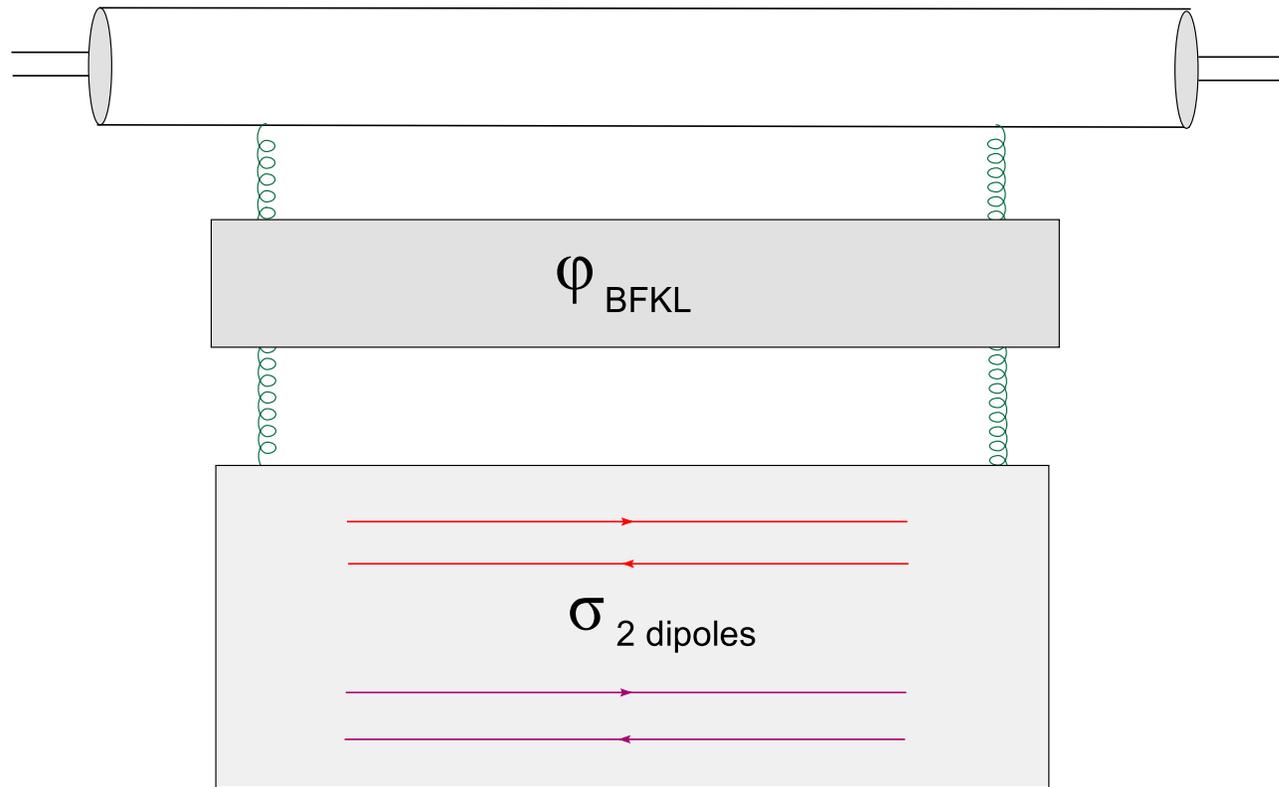
Non-linear evolution

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DIS Diffraction



- Four Wilson lines $(q, \bar{q}) \implies$ “2 dipoles”

$$\text{tr}\langle V_{\mathbf{x}}^\dagger t^a V_{\mathbf{y}} V_{\mathbf{x}'}^\dagger t^b V_{\mathbf{y}'} \rangle, \quad \text{tr}\langle V_{\mathbf{x}}^\dagger t^a V_{\mathbf{y}} t^c \tilde{V}_{\mathbf{x}'}^{cb} \rangle$$

- A new set of ‘generalized pdf’s’ :
the ensemble of gauge-invariant correlations of Wilson lines



AA collisions

k_T -factorization

Saturation

CGC factorization

● CGC factorization (I)

● CGC factorization (II)

● AA collisions

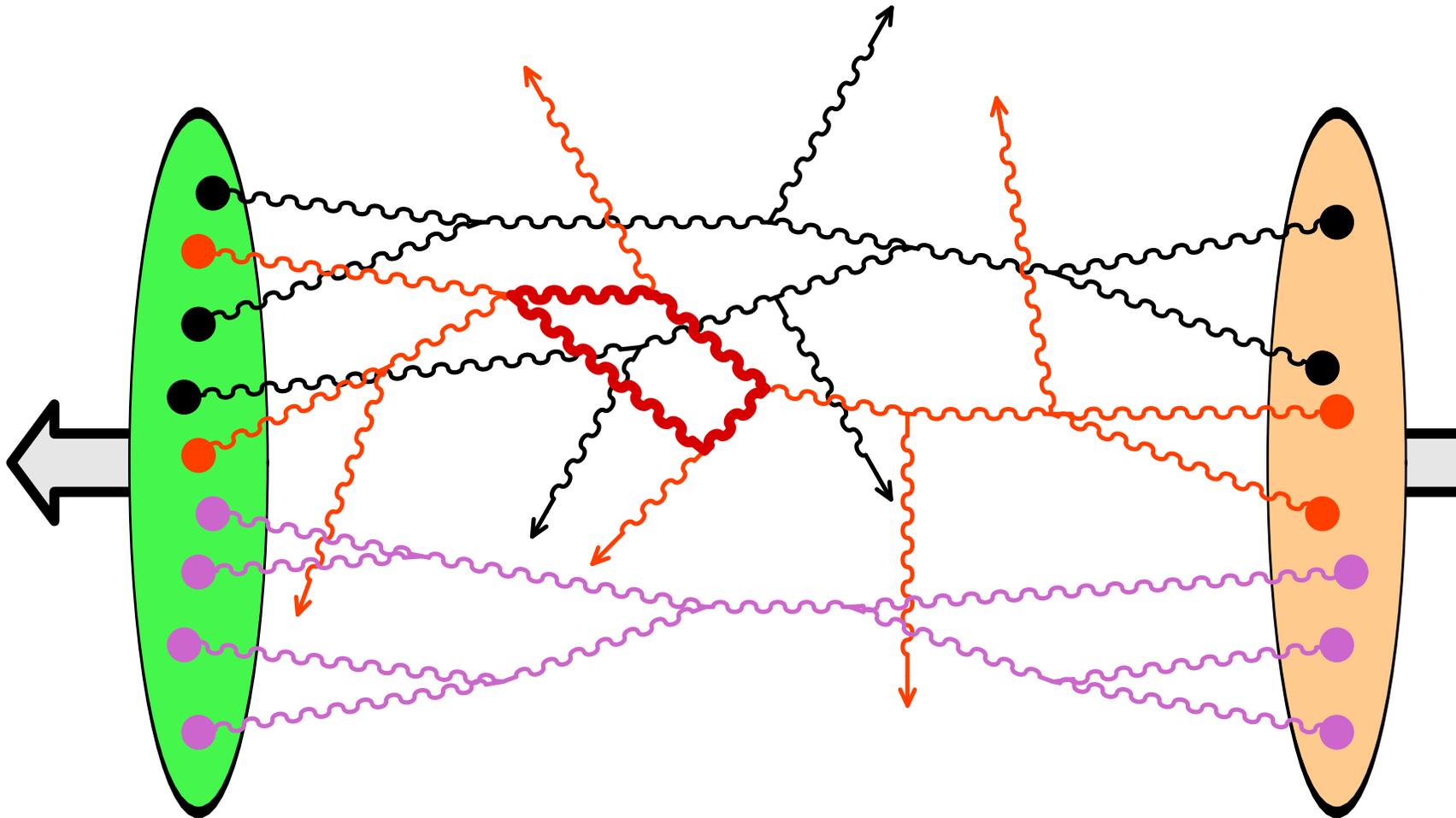
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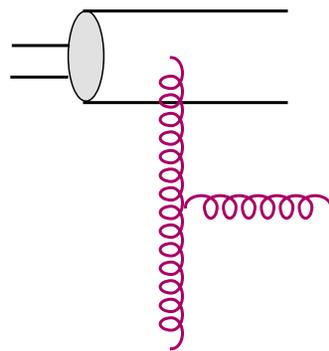
DIS Diffraction



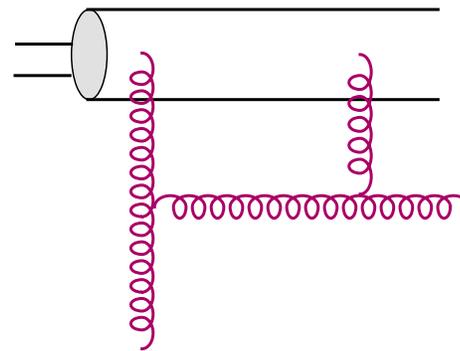
- Solve the classical Yang–Mills eqs. with two sources



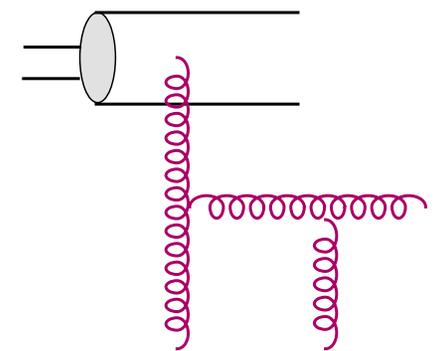
Non-linear evolution at small x



BFKL



saturation



bremsstrahlung

- Infinite hierarchy for the N -body gluon densities :

$$\frac{\partial \langle \varphi \rangle}{\partial Y} \simeq \alpha_s \langle \varphi \rangle - \alpha_s^2 \langle \varphi \varphi \rangle$$

$$\frac{\partial \langle \varphi \varphi \rangle}{\partial Y} \simeq 2\alpha_s \langle \varphi \varphi \rangle - 2\alpha_s^2 \langle \varphi \varphi \varphi \rangle + \alpha_s^2 \langle \varphi \rangle \dots$$

- ◆ Early stages: Correlations are generated via fluctuations
- ◆ Intermediate stages: ... then amplified by BFKL evolution
- ◆ High density: ... and eventually lead to saturation !

k_T -factorization

Saturation

CGC factorization

Non-linear evolution

● Pomeron loops

● BK equation

● Black spots

DIS Diffraction

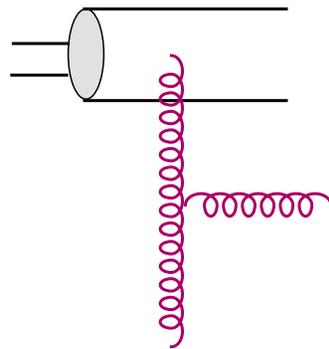
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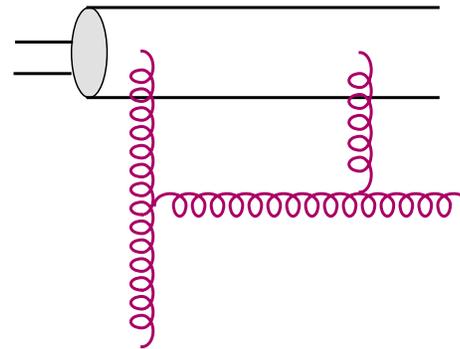
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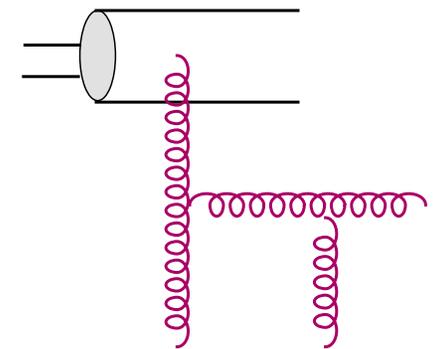
Non-linear evolution at small x



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saturation



bremsstrahlung

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- Equivalent to a single Langevin (stochastic) equation:

$$\frac{\partial \varphi}{\partial Y} = \alpha_s \partial_\rho^2 \varphi + \alpha_s \varphi - \alpha_s^2 \varphi^2 + \sqrt{\alpha_s^2 \varphi} \nu, \quad \langle \nu(Y_1) \nu(Y_2) \rangle = \delta(Y_1 - Y_2)$$

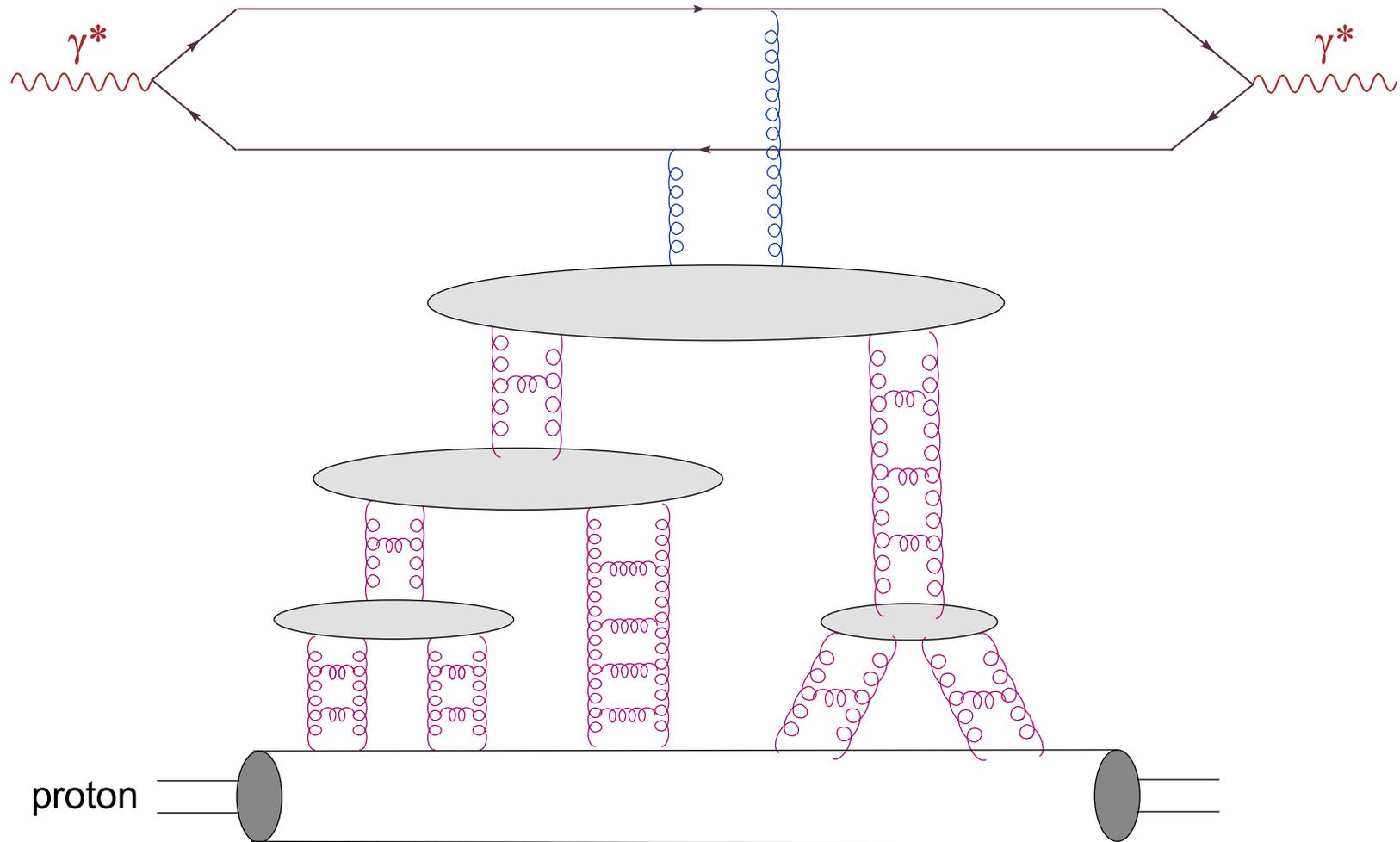
- Link to statistical physics : ‘Reaction–diffusion’ $A \rightleftharpoons 2A$

High–energy evolution in QCD \approx A classical stochastic process

- Mean field approximation: ignore the noise \implies BK equation
... almost never right !



BK equation



- 'Fan diagrams' : gluon recombination alone ('high density')

k_T -factorization

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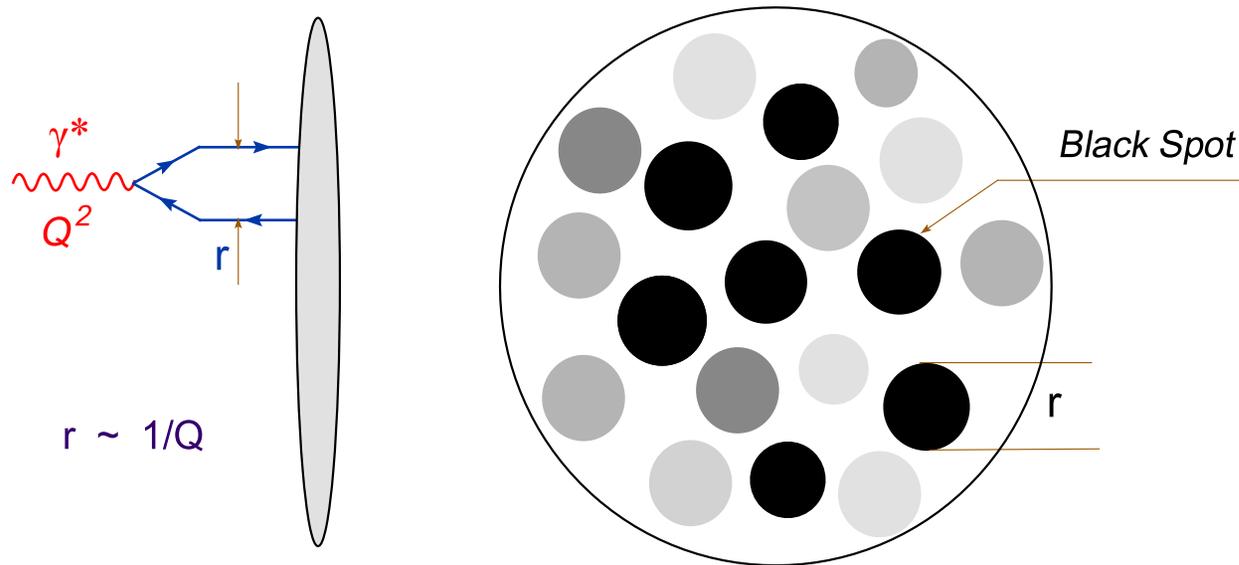
CGC

DIS Diffraction



Black spots

- A physical picture of the proton as seen DIS at **very high energy** ($DY \gg 1$) and **relatively large Q^2** : $Q^2 \gg \langle Q_s^2(Y) \rangle$
- Strong **inhomogeneities** due to fluctuations in the evolution



- ◆ 'Grey spots' : $T \ll 1$
- ◆ 'Black spots' : $T \sim 1$

- The the hadron looks dilute on the average : $\langle T \rangle \ll 1$

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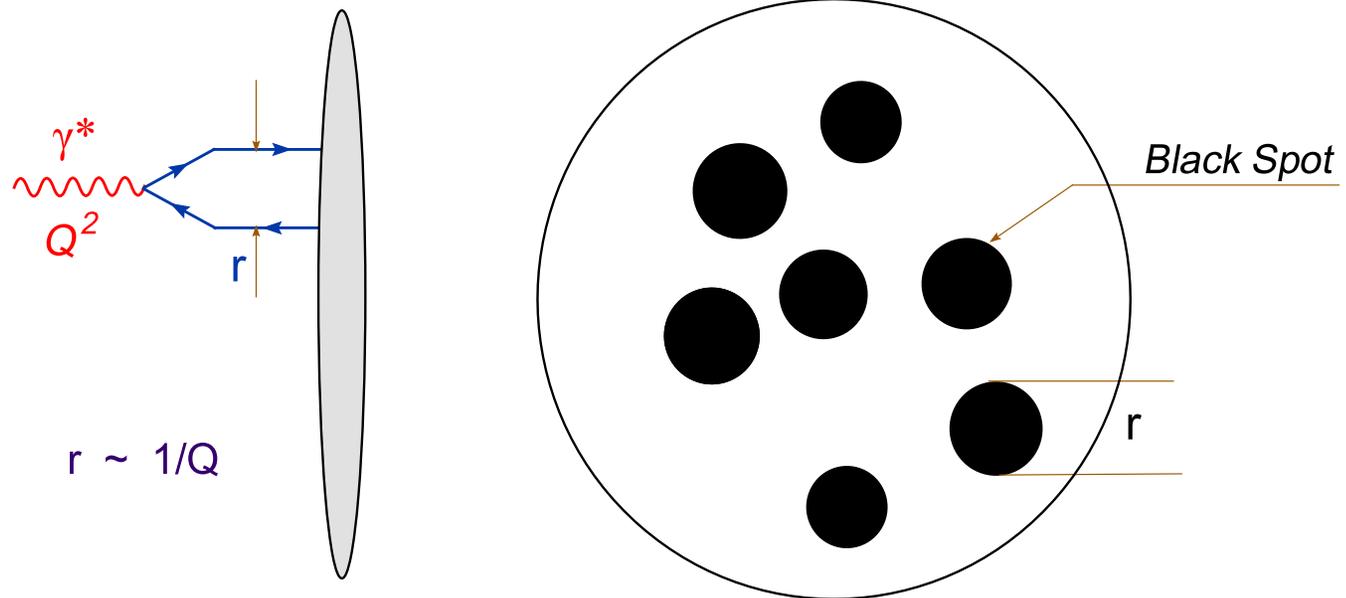
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DIS Diffraction



Black spots

- A physical picture of the proton as seen DIS at **very high energy** ($DY \gg 1$) and **relatively large Q^2** : $Q^2 \gg \langle Q_s^2(Y) \rangle$
- Strong **inhomogeneities** due to fluctuations in the evolution



- Yet, this average (hence, the cross-section) is dominated by the **rare-but-dense** fluctuations (the 'black spots')

k_T -factorization

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● BK equation

● Black spots

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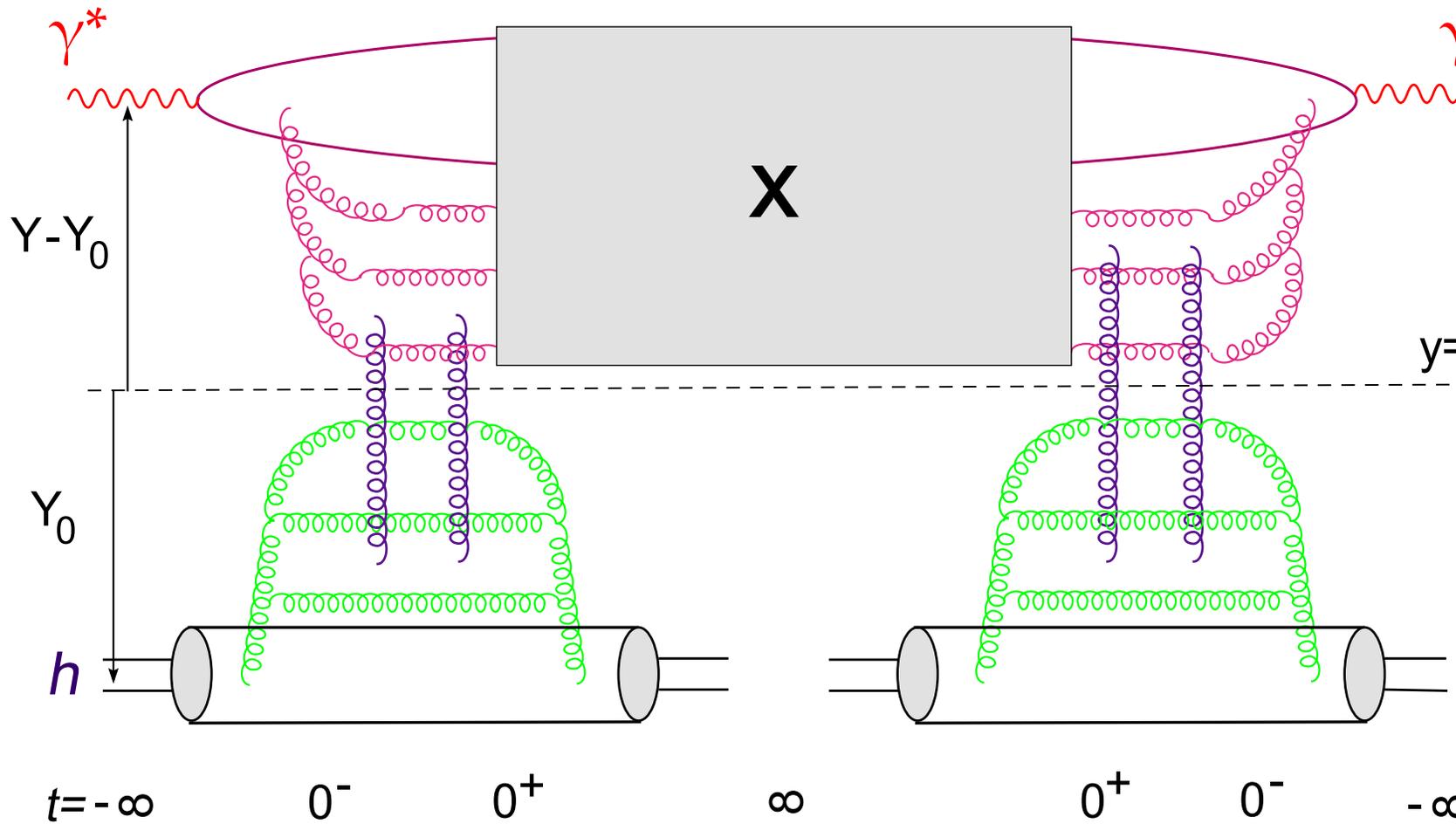
CGC

DIS Diffraction



DIS Diffraction at high energy

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$$Y \geq Y_{\text{gap}} \geq Y_0$$



DIS Diffraction at high energy

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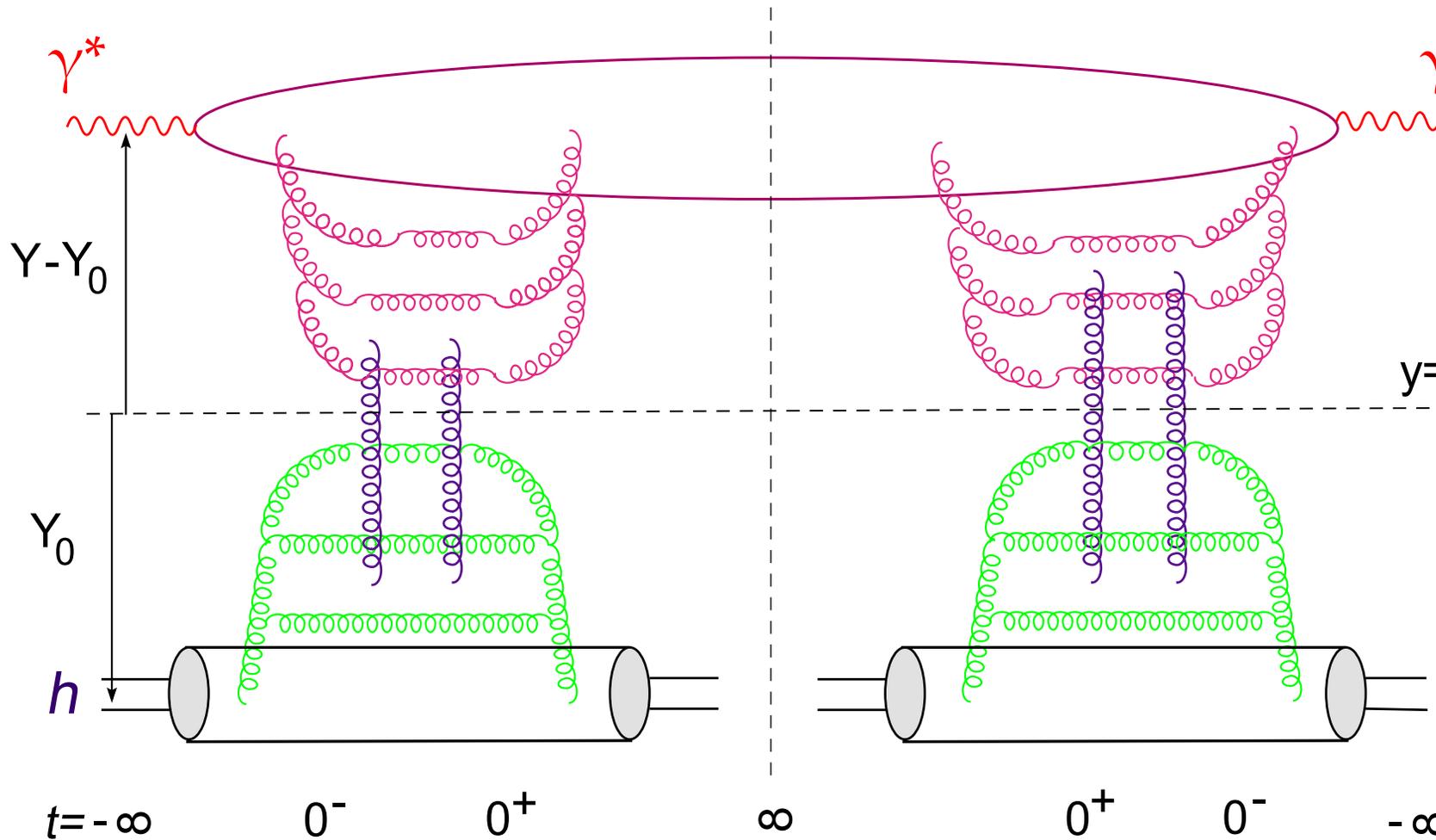
DIS Diffraction

● DIS Diffraction

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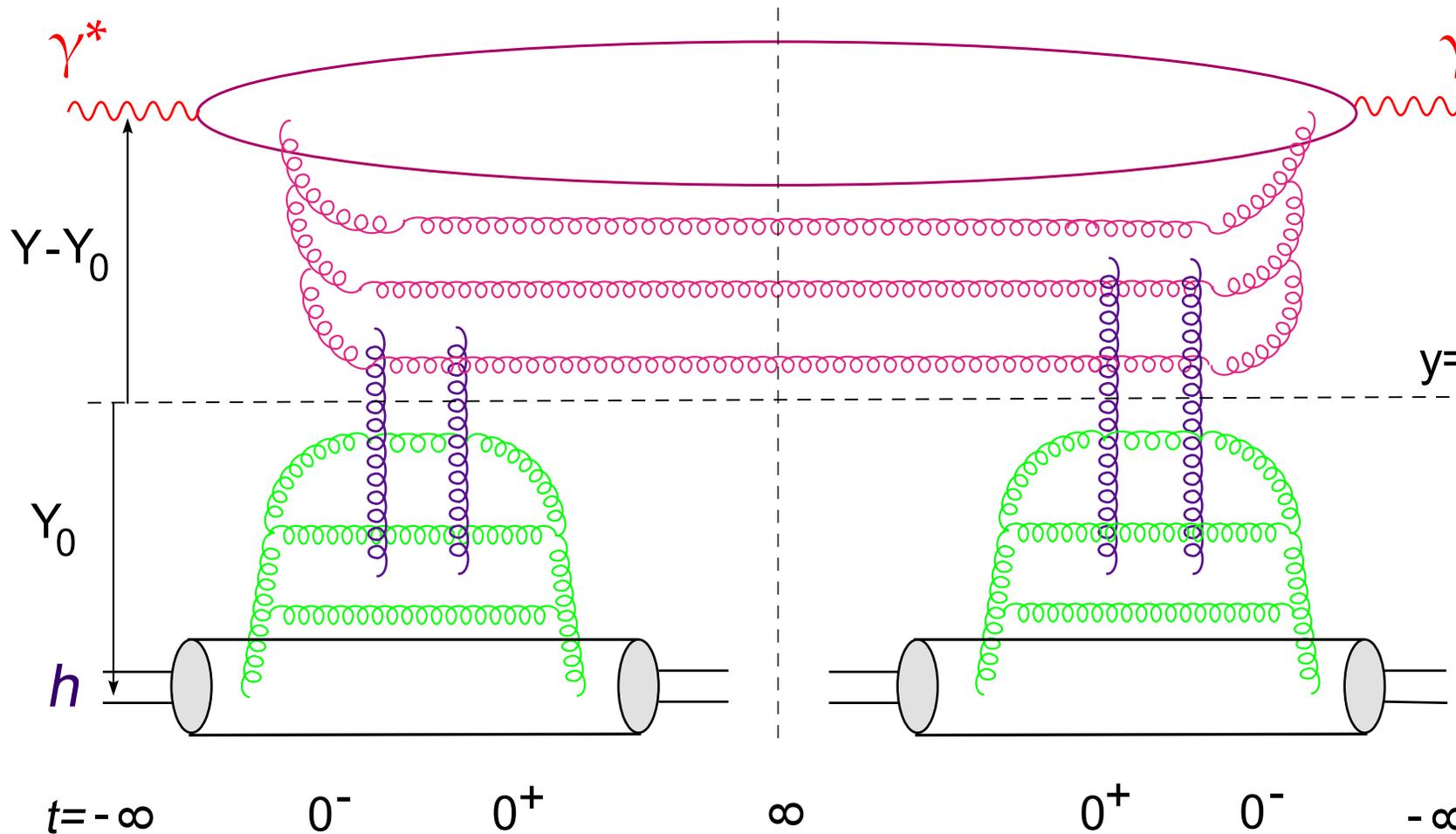


$$Y_{\text{gap}} = Y \quad (\text{elastic})$$



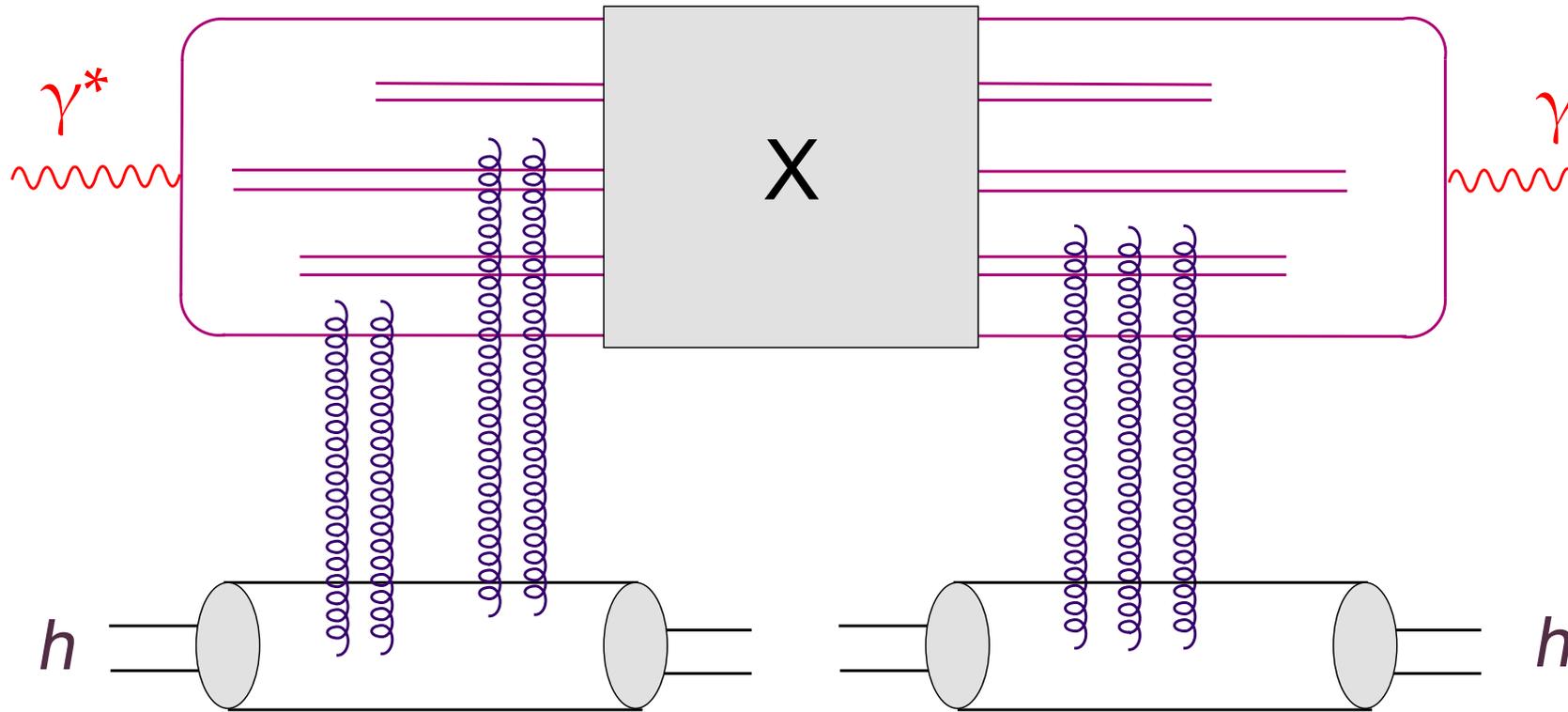
DIS Diffraction at high energy

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$$Y_{\text{gap}} = Y_0$$

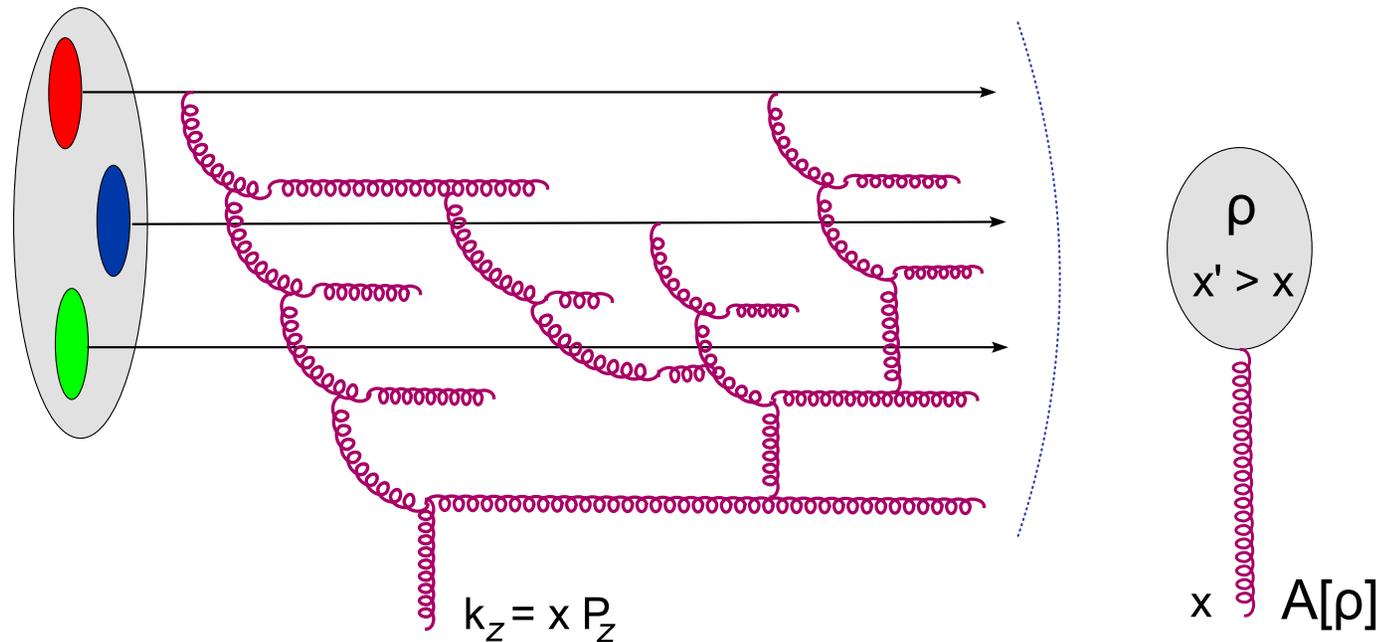
DIS Diffraction at high energy



$$\frac{d\sigma_{\text{diff}}}{d^2b}(\mathbf{r}, Y, Y_0) = \sum_{N=1}^{\infty} \int d\Gamma_N P_N(\{\mathbf{z}_i\}; Y - Y_0) |\langle 1 - S(1)S(2) \cdots S(N) \rangle|$$



The Color Glass Condensate



- **Small- x gluons :**
Classical color fields radiated by fast color sources
(gluons with $x' \gg x$) 'frozen' in some random configuration
- $W_Y[\rho]$: Probability distribution for the color charge density
(a 'generalized pdf' describing all the n -point correlations)
- Functional evolution equation for $W_Y[\rho]$: 'JIMWLK'

k_T -factorization

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DIS Diffraction

Backup

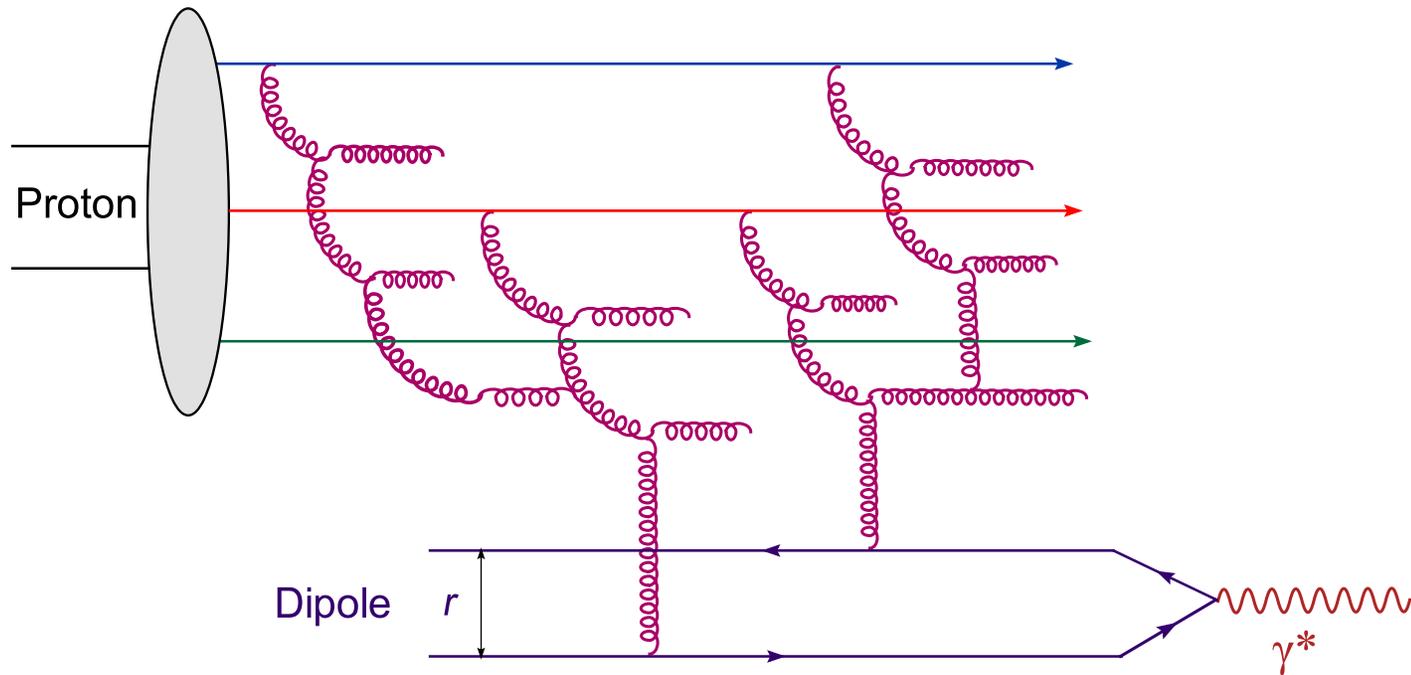
CGC

● CGC

● DIS off the CGC

DIS Diffraction

Deep Inelastic Scattering off the CGC



- Multiple scattering off a given configuration of (strong) color fields $A[\rho] \implies T(r)[\rho]$ (eikonal approximation)
- Average over ρ with weight function $W_Y[\rho]$ ('glass')

$$\langle T(r, Y) \rangle = \int D[\rho] W_Y[\rho] T(r)[\rho]$$

k_T -factorization

Saturation

CGC factorization

Non-linear evolution

DIS Diffraction

Backup

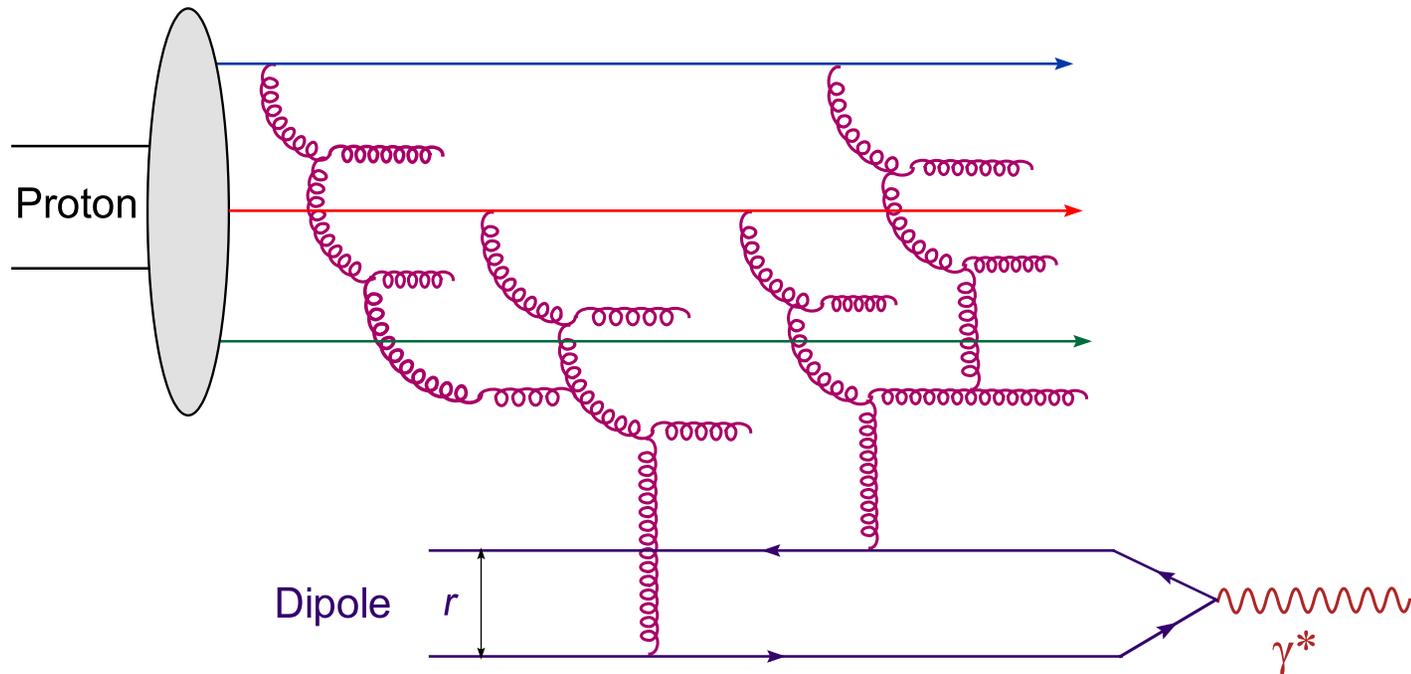
CGC

● CGC

● DIS off the CGC

DIS Diffraction

Deep Inelastic Scattering off the CGC



- Increase Y : Evolution equation for $W_Y[A]$ (JIMWLK)

$$\partial_Y \langle T(r, Y) \rangle_Y = \int D[A] \partial_Y W_Y[A] T(r)[A]$$

\implies Non-linear equation for $\langle T \rangle_Y$ (Balitsky-Kovchegov)

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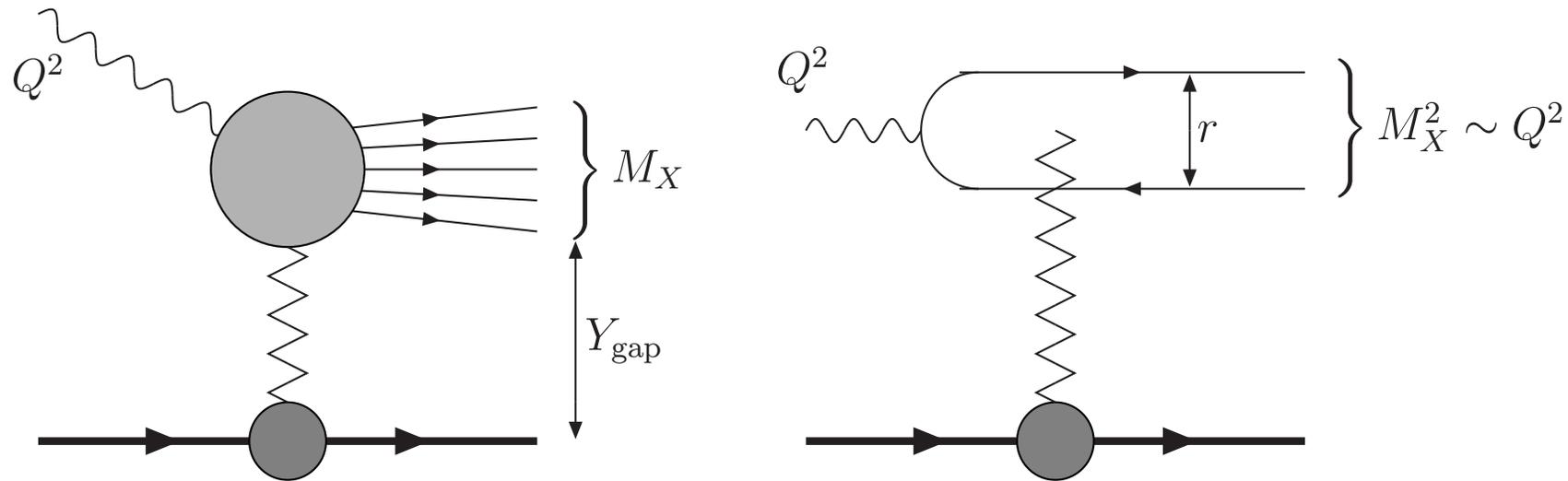
CGC

DIS Diffraction

● DIS Diffraction

● Soft diffraction (?)

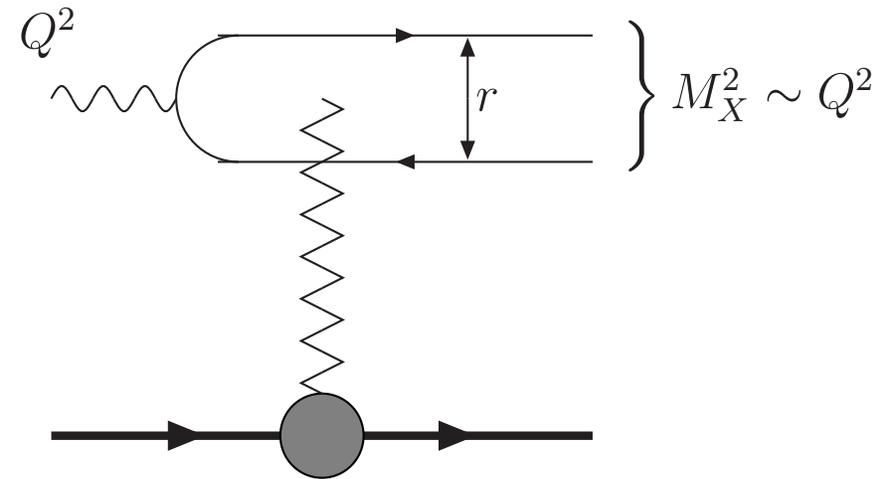
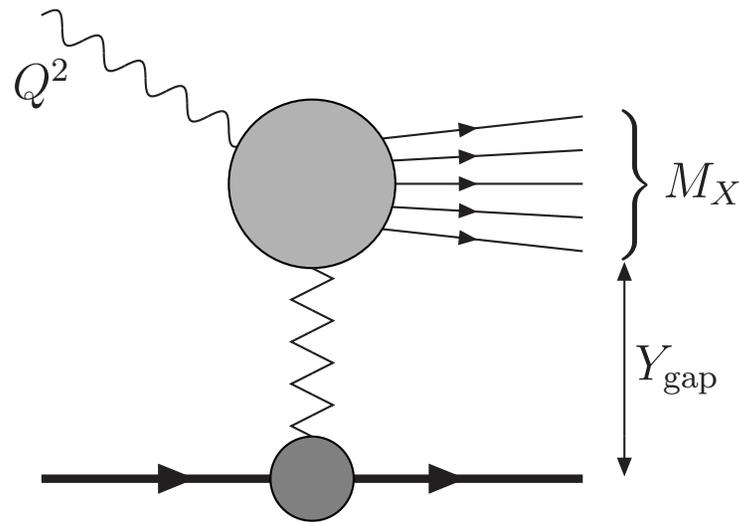
● (Semi)Hard diffraction



- An ideal laboratory to study saturation/unitarity effects
 - ◆ sensitive to relatively large dipole sizes
 - ◆ sensitive to theoretical models (or prejudices)



DIS Diffraction



- An ideal laboratory to study **saturation/unitarity effects**
 - ◆ sensitive to relatively **large dipole sizes**
 - ◆ sensitive to **theoretical models** (or prejudices)

- **Original prejudice:** “Even for large Q^2 , diffraction is **soft**”

$$\sigma_{\text{diff}} \propto x^{-2(\alpha_{\mathbb{P}}-1)} \quad \text{and hence} \quad \frac{\sigma_{\text{diff}}}{\sigma_{\text{tot}}} \sim x^{-(\alpha_{\mathbb{P}}-1)} \quad \text{at small } x \quad \checkmark$$

- k_T -factorization
- Saturation
- CGC factorization
- Non-linear evolution
- DIS Diffraction
- Backup
- CGC

- DIS Diffraction
 - DIS Diffraction
 - Soft diffraction (?)
 - (Semi)Hard diffraction

Diffraction over inclusive ratio at HERA

Golec-Biernat, Wüsthoff (99) ; Bartels, Golec-Biernat & Kowalski (02)



k_T -factorization

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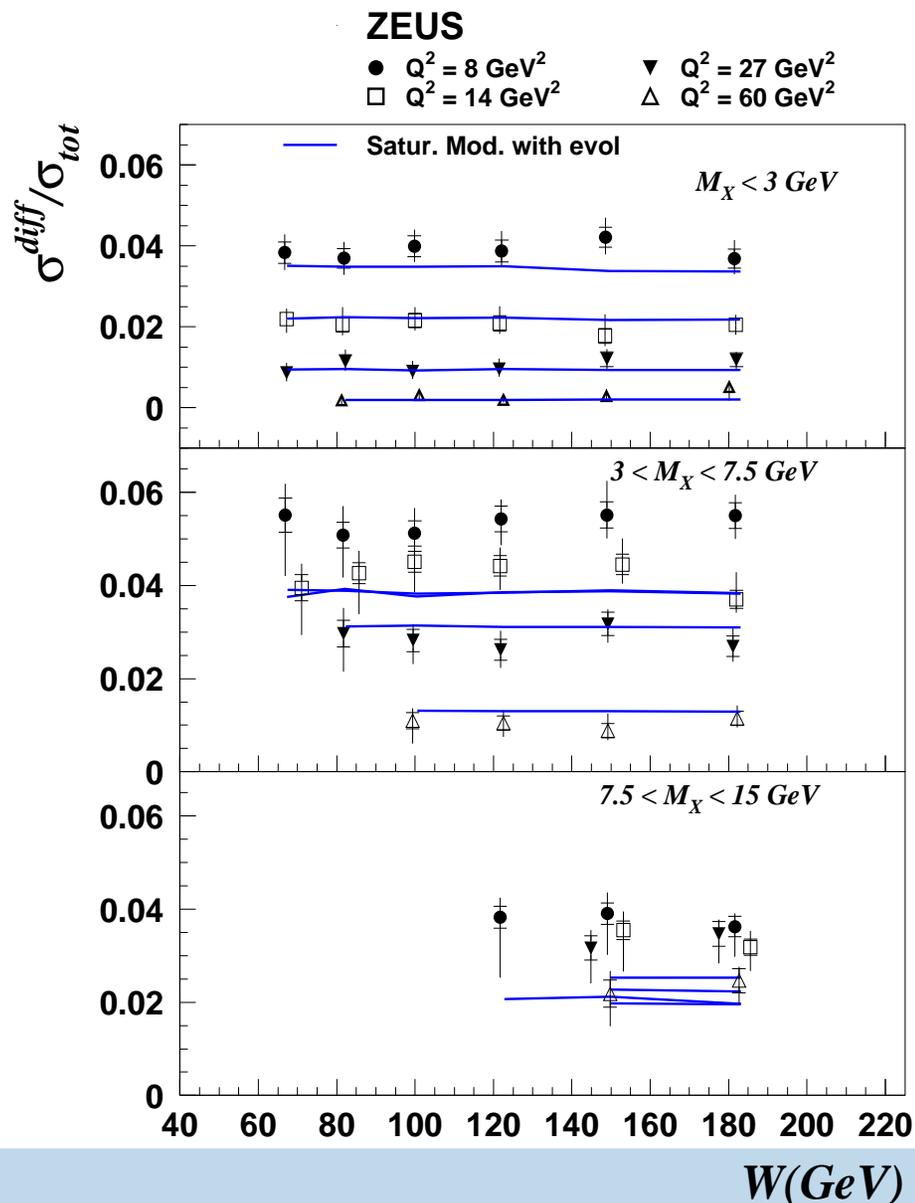
CGC

DIS Diffraction

● DIS Diffraction

● Soft diffraction (?)

● (Semi)Hard diffraction





Diffractive dissociation of the virtual photon

k_T -factorization

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● DIS Diffraction

● **Soft diffraction (?)**

● (Semi)Hard diffraction

$$\frac{d\sigma_{\text{diff}}}{d^2b} = \int dz d^2r |\Psi_\gamma(z, r; Q)|^2 \langle T(r, Y) \rangle^2$$

- The **photon wavefunction** favors **small dipoles** ($r \sim 1/Q$)

$$\frac{d\sigma_{\text{diff}}}{d^2b} \sim \frac{1}{Q^2} \int_{1/Q^2}^{\infty} \frac{dr^2}{r^4} \langle T(r, Y) \rangle^2$$

- The **dipole amplitude** favors relatively **large dipoles** :

$$T(r) \propto r^2 \quad (\text{single scattering})$$

- “The integral is dominated by **large, non-perturbative, dipoles** with size $r \sim 1/\Lambda_{\text{QCD}}$, hence the **soft pomeron** ! ”



Hardening the diffraction (1)

- At sufficiently high energy, **gluon saturation** cuts off the large dipoles already on the ‘**semi-hard**’ scale $1/Q_s$!

$$\frac{d\sigma_{\text{diff}}}{d^2b} \sim \frac{1}{Q^2} \int_{1/Q^2}^{1/Q_s^2} \frac{dr^2}{r^4} \left(r^2 Q_s^2(x) \right)^2 \sim \frac{Q_s^2(x)}{Q^2} \propto x^{-\lambda}$$

- ◆ σ_{diff} is dominated by dipole sizes $r \sim 1/Q_s(x)$!
- ◆ $\sigma_{\text{diff}} \propto x^{-\lambda}$: **single, hard** pomeron increase with $1/x$ (instead of double soft !)
- ◆ $\sigma_{\text{diff}}/\sigma_{\text{tot}} \approx$ **constant** ! ✓

- ‘**Semi-hard diffraction**’ ... at intermediate energies !

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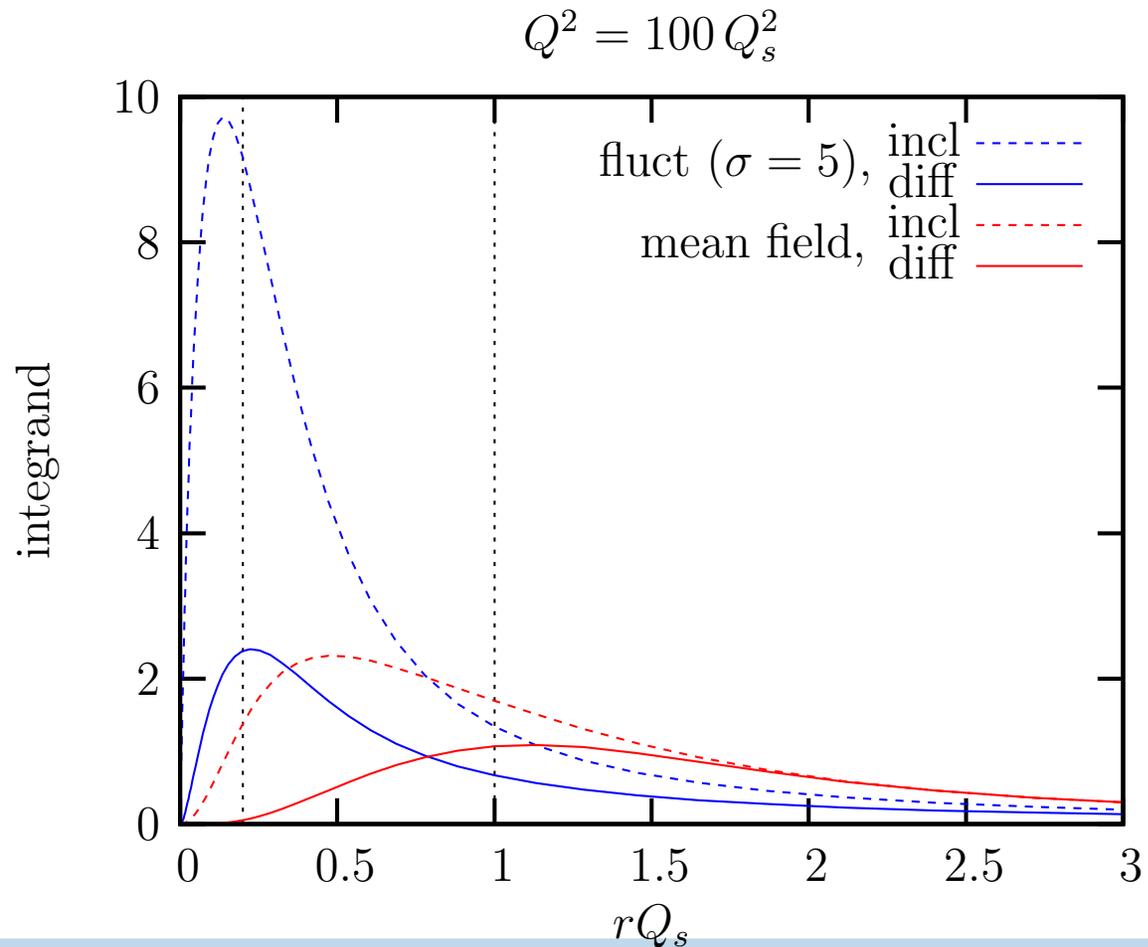
● DIS Diffraction

● Soft diffraction (?)

● (Semi)Hard diffraction

Hardening the diffraction (2)

- Very high energies: 'black spots' with $Q_s^2 \sim Q^2$
 - ◆ σ_{diff} is dominated by the **hard scale** $r \sim 1/Q$!
 - ◆ **no 'pomeron'** (power-like) increase, **diffusive scaling**, ...



k_T -factorization

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DIS Diffraction

● DIS Diffraction

● Soft diffraction (?)

● (Semi)Hard diffraction