

Investigating the Higgs self-couplings through HHH production

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based on work in progress with Georg Weiglein



3 August 2023

Introduction

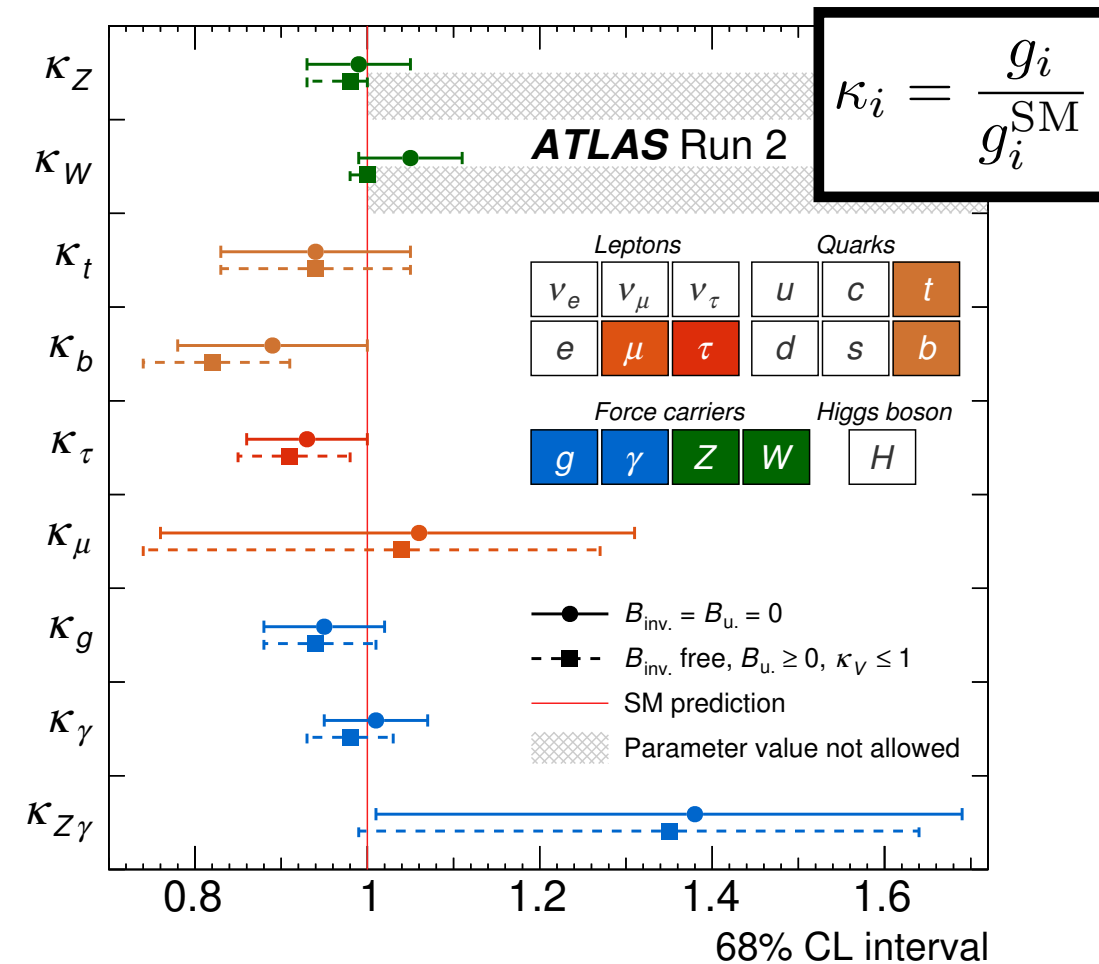
- Higgs discovery at the LHC
- Tremendous efforts from experiments to pinpoint consistency with SM Higgs

- **Most challenging:**

SM Potential: $V(\Phi) = \lambda(\Phi^\dagger\Phi)^2 - \mu^2\Phi^\dagger\Phi$

$$\supset -\lambda v H^3 - \frac{\lambda}{4} H^4$$

BSM theories → more complicated shapes

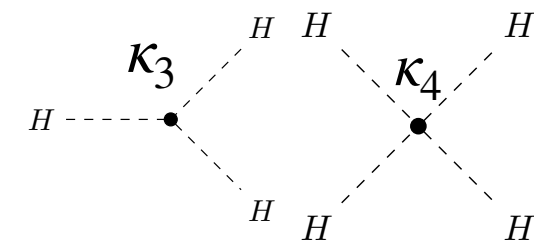


- **First step:**

▸ measure κ_3 → double-Higgs production

- **But:** κ_3 also appears in triple-Higgs production along with κ_4

small cross sections



Content

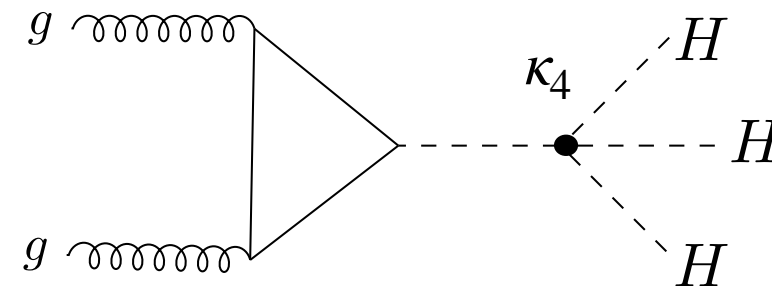
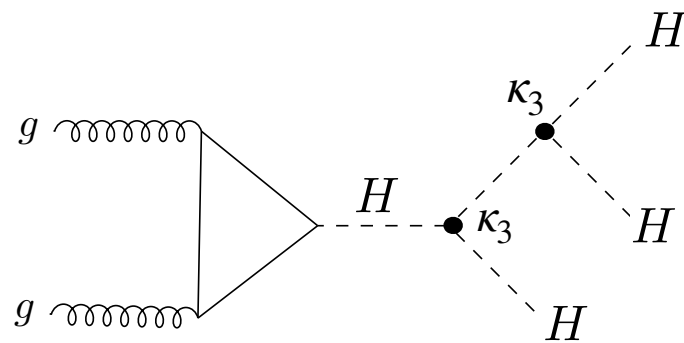
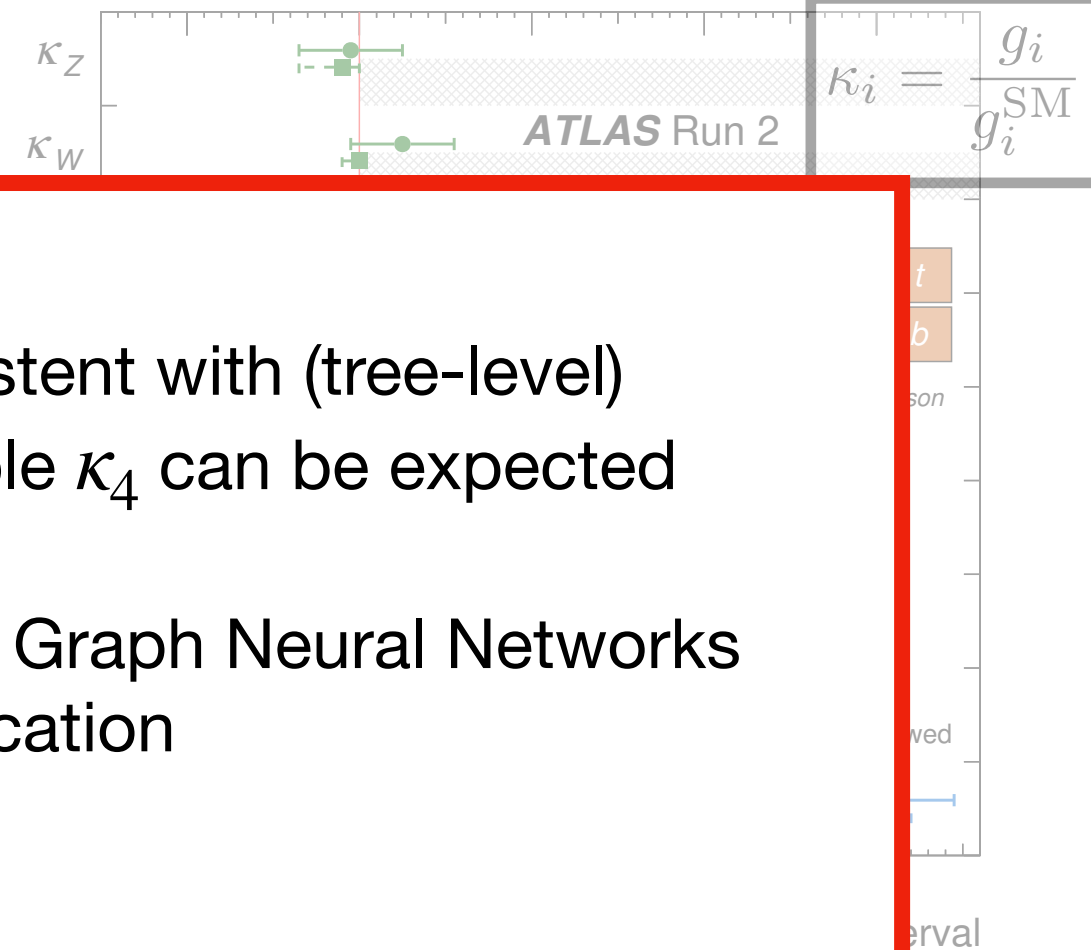
- Higgs discovery at the LHC

- Tree
level

- SM

- BSM

- Values of (κ_3, κ_4) theoretically consistent with (tree-level) perturbative unitarity and why sizeable κ_4 can be expected
- HL-LHC exclusions for (κ_3, κ_4) using Graph Neural Networks (GNN) for signal-background classification
- Interpreting GNN results
- Comparison with future linear lepton collider concepts



Perturbative unitarity and Higgs couplings

- Process relevant for κ_3, κ_4 is $HH \rightarrow HH$ scattering (see also [Liu et al `18])
- Jacob-Wick expansion allows to extract partial waves

$$\beta(x, y, z) = x^2 + y^2 + z^2 - 2xy - 2yz - 2xz$$

$$a_{fi}^J = \frac{\beta^{1/4}(s, m_{f_1}^2, m_{f_1}^2) \beta^{1/4}(s, m_{i_1}^2, m_{i_1}^2)}{32\pi s} \int_{-1}^1 d\cos\theta \mathcal{D}_{\mu_i \mu_f}^J \mathcal{M}(s, \cos\theta)$$

Wigner functions

- Tree level unitarity:

$$\text{Im} a_{ii}^0 \geq |a_{ii}^0|^2 \implies |\text{Re} a_{ii}^0| \leq \frac{1}{2}$$

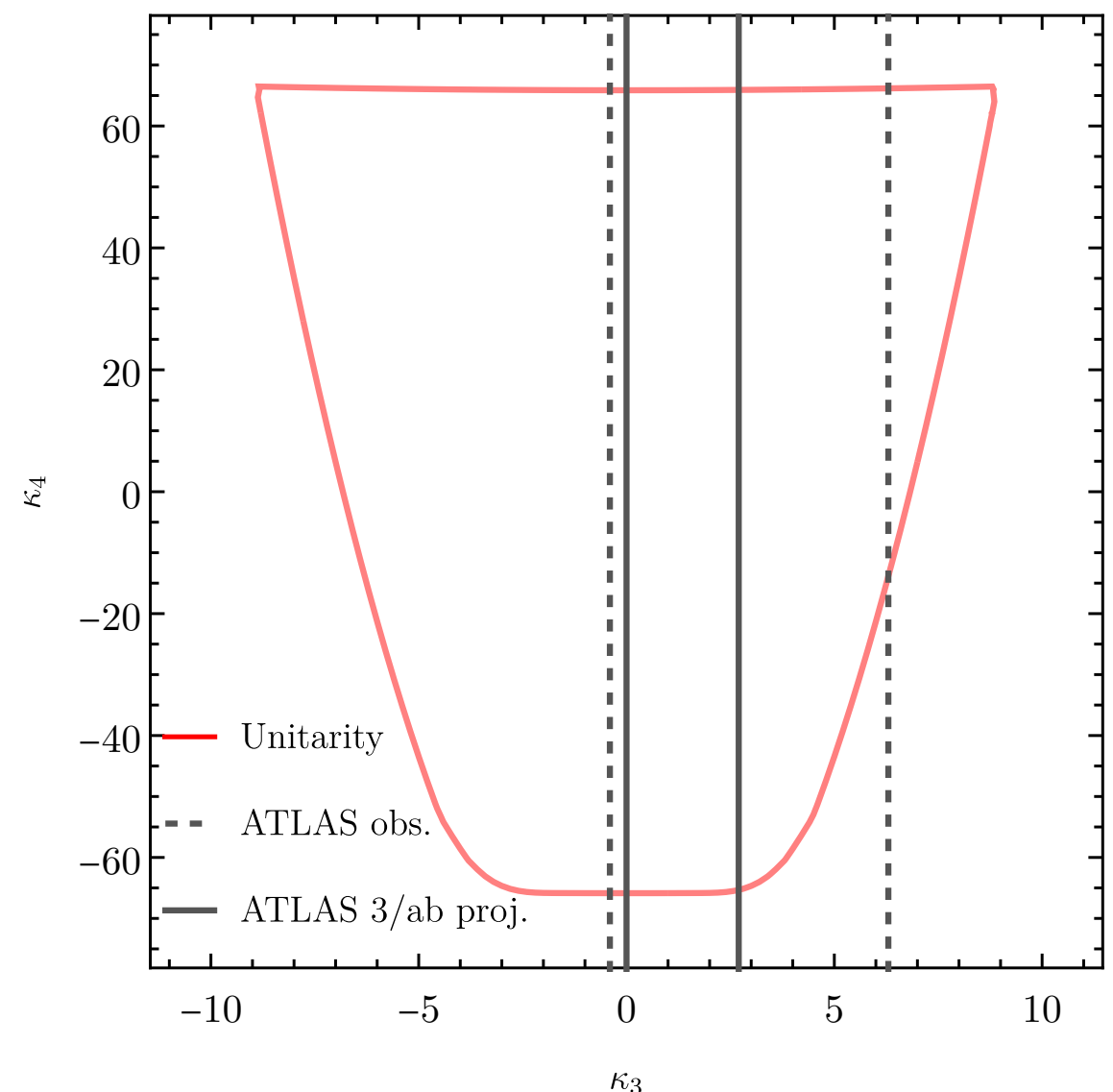
ATLAS current bounds: $[-0.4, 6.3]$

95 % CL

CMS & ATLAS HH projections: $[0.1, 2.3]$

[ATLAS 2211.01216]

[CERN Yellow Rep. 1902.00134]



Extension of SM potential by operators

Linear power expansion for higher order terms in Λ^{-1} orders:

[Boudjema, Chopin '96]
[Maltoni, Pagani, Zhao '18]

$$V_{\text{BSM}} = \frac{C_6}{\Lambda^2} \left(\Phi^\dagger \Phi - \frac{v^2}{2} \right)^3 + \frac{C_8}{\Lambda^4} \left(\Phi^\dagger \Phi - \frac{v^2}{2} \right)^4 + \dots$$

Contributions to κ_3, κ_4 :

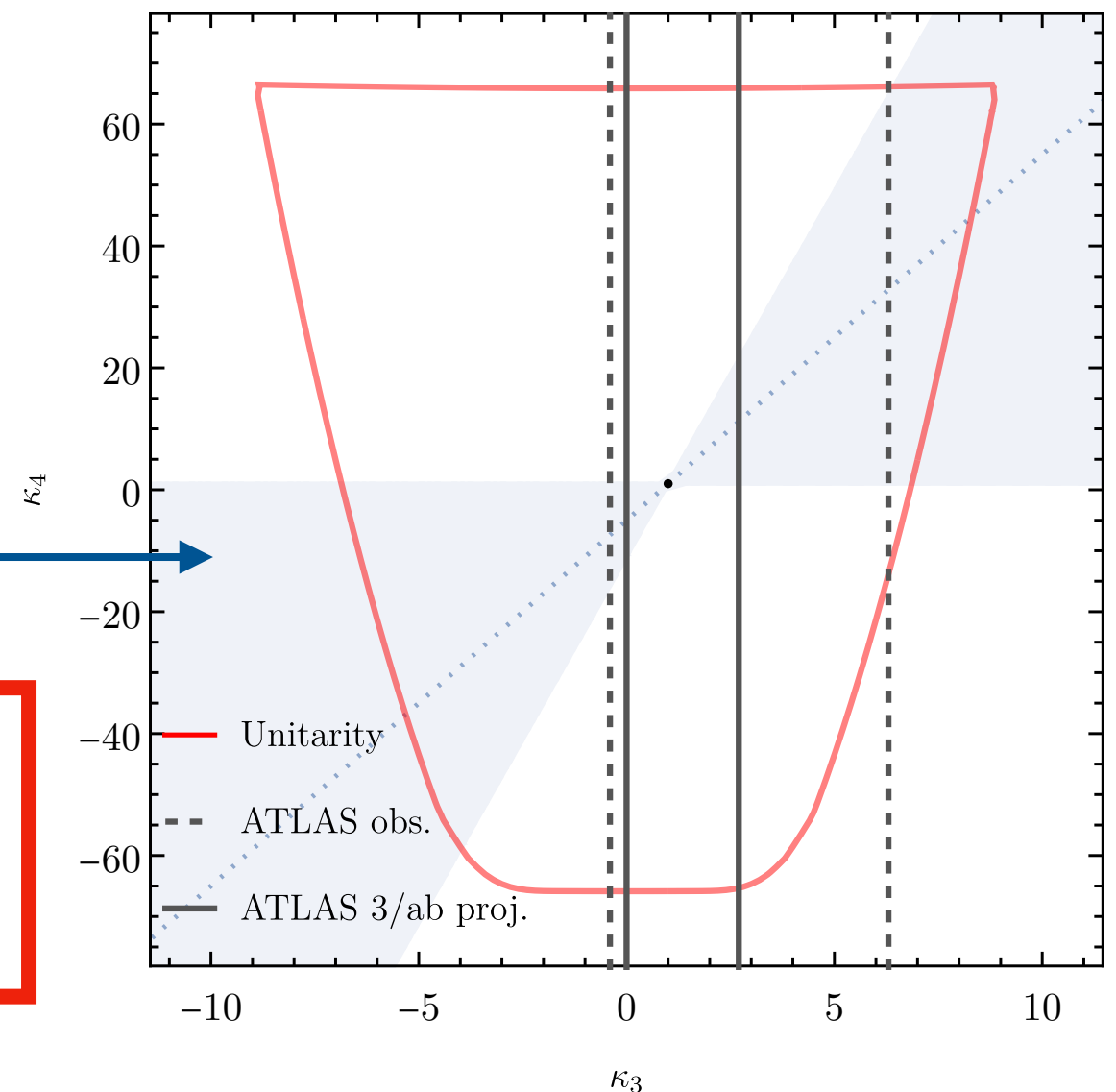
$$(\kappa_3 - 1) = \frac{C_6 v^2}{\lambda \Lambda^2},$$

$$(\kappa_4 - 1) = \frac{6C_6 v^2}{\lambda \Lambda^2} + \frac{4C_8 v^4}{\lambda \Lambda^4}$$

vanishing dimension-8 $\longrightarrow \simeq 6(\kappa_3 - 1) + \mathcal{O}\left(\frac{1}{\Lambda^4}\right)$

Shaded region: $\frac{4C_8 v^4}{\lambda \Lambda^4} < \frac{6C_6 v^2}{\lambda \Lambda^2}$

Electroweak Chiral Lagrangian (HEFT):
Higgs introduced as singlet and κ_3 and κ_4 are **free parameters** $\rightarrow$ probes **non-linearity**



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Contribution

$$(\kappa_3 - 1) = \frac{C_6 v^2}{\lambda \Lambda^2},$$

$$(\kappa_4 - 1) = \frac{6C_6 v^2}{\lambda \Lambda^2} + \frac{4C_8 v^4}{\lambda \Lambda^4}$$

$$\simeq 6(\kappa_3 - 1) + \mathcal{O}\left(\frac{1}{\Lambda^4}\right)$$

- indicates that κ_3 deviations imply even larger κ_4 deviations

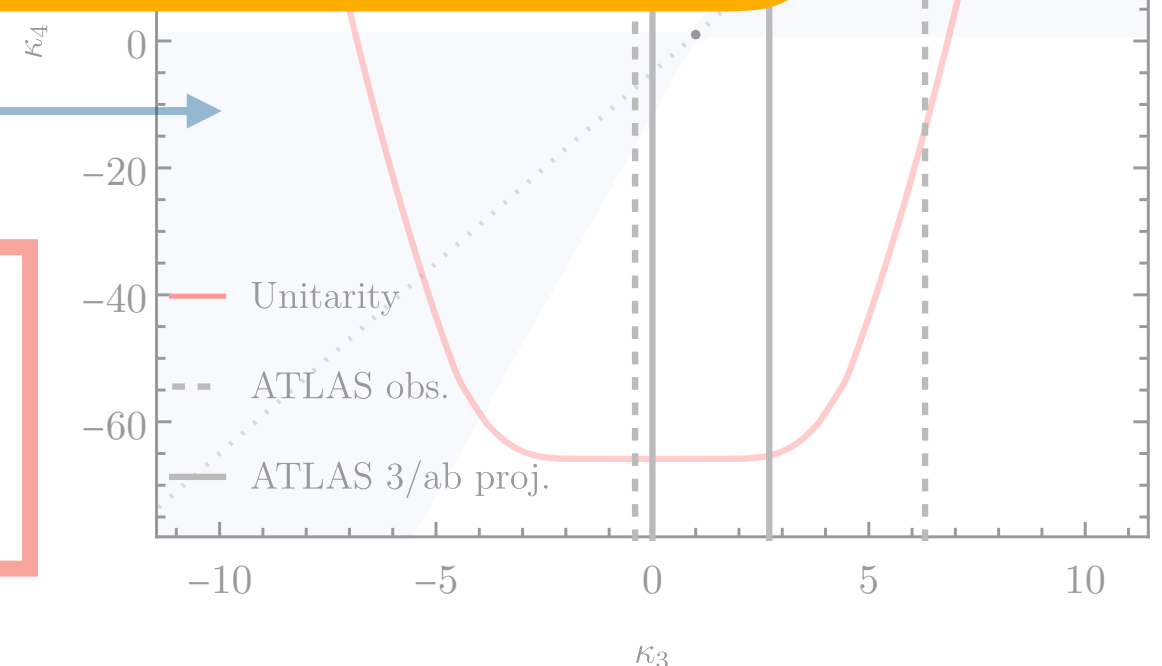
- Can be checked with a concrete UV model

vanishing
dimension-8

Shaded region: $\frac{4C_8 v^4}{\lambda \Lambda^4} < \frac{6C_6 v^2}{\lambda \Lambda^2}$

Electroweak Chiral Lagrangian (HEFT):

Higgs introduced as singlet and κ_3 and κ_4 are
free parameters → probes **non-linearity**



Model example: 2HDM

- Consider the 2HDM as an example

- Two-Higgs Doublet Model (2HDM) → a second doublet: $\Phi_1 = \begin{pmatrix} \phi_1^+ \\ \frac{1}{\sqrt{2}}(v_1 + \rho_1 + i\eta_1) \end{pmatrix}, \Phi_2 = \begin{pmatrix} \phi_2^+ \\ \frac{1}{\sqrt{2}}(v_2 + \rho_2 + i\eta_2) \end{pmatrix}$

$$V_{2\text{HDM}} = m_{11}^2(\Phi_1^\dagger \Phi_1) + m_{22}^2(\Phi_2^\dagger \Phi_2) - m_{12}^2(\Phi_1^\dagger \Phi_2 + \Phi_2^\dagger \Phi_1) + \frac{\lambda_1}{2}(\Phi_1^\dagger \Phi_1)^2 + \frac{\lambda_2}{2}(\Phi_2^\dagger \Phi_2)^2 \\ + \lambda_3(\Phi_1^\dagger \Phi_1)(\Phi_2^\dagger \Phi_2) + \lambda_4(\Phi_1^\dagger \Phi_2)(\Phi_2^\dagger \Phi_1) + \frac{\lambda_5}{2} \left((\Phi_1^\dagger \Phi_2)^2 + (\Phi_2^\dagger \Phi_1)^2 \right)$$

- Free parameters: $m_H, m_{H'}, m_A, m_{H^\pm}, m_{12}^2, v, \cos(\beta - \alpha), \tan \beta$

Scalar Particle content:

Neutral scalars: $H', H(m_H = 125 \text{ GeV})$

Neutral pseudoscalars: A

Charged scalars: $H^\pm$

Alignment limit → couplings of
light Higgs same as SM
 $\cos(\beta - \alpha) = 0$

- Sizeable contributions to κ_3 at loop-level

reproduce 1-loop results

2-loop calculations: [Braathen, Kanemura '20],
[Bahl, Braathen, Weiglein '22]
anyH3: [Bahl, Braathen, Gabelmann, Weiglein '23]

Model example: 2HDM - calculation

- 1-loop calculation for κ_3, κ_4 with FeynArts, FormCalc, LoopTools in alignment limit

$$\hat{\Gamma}_{3H}^{(1)} = \left[H \text{---} \text{(shaded circle)} + H \text{---} \otimes \right]_{\text{zero momenta}}$$

- 2HDM renormalisation constants calculated with on-shell conditions
- divergence cancellation checked analytically

$$\Gamma_{3H}^{\text{CT}} = -\frac{3}{v} \left[\delta M_H^2 + \frac{\delta T_H}{v} + M_H^2 \left(\delta Z_e + \frac{3}{2} \delta Z_H - \frac{\delta M_W^2}{2M_W^2} - \frac{\delta s_W}{s_W} \right) \right]$$

$$\Gamma_{4H}^{\text{CT}} = -\frac{3}{v^2} \left[\delta M_H^2 + \frac{\delta T_H}{v} + 2M_H^2 \left(\delta Z_e + \delta Z_H - \frac{\delta M_W^2}{2M_W^2} - \frac{\delta s_W}{s_W} \right) \right]$$

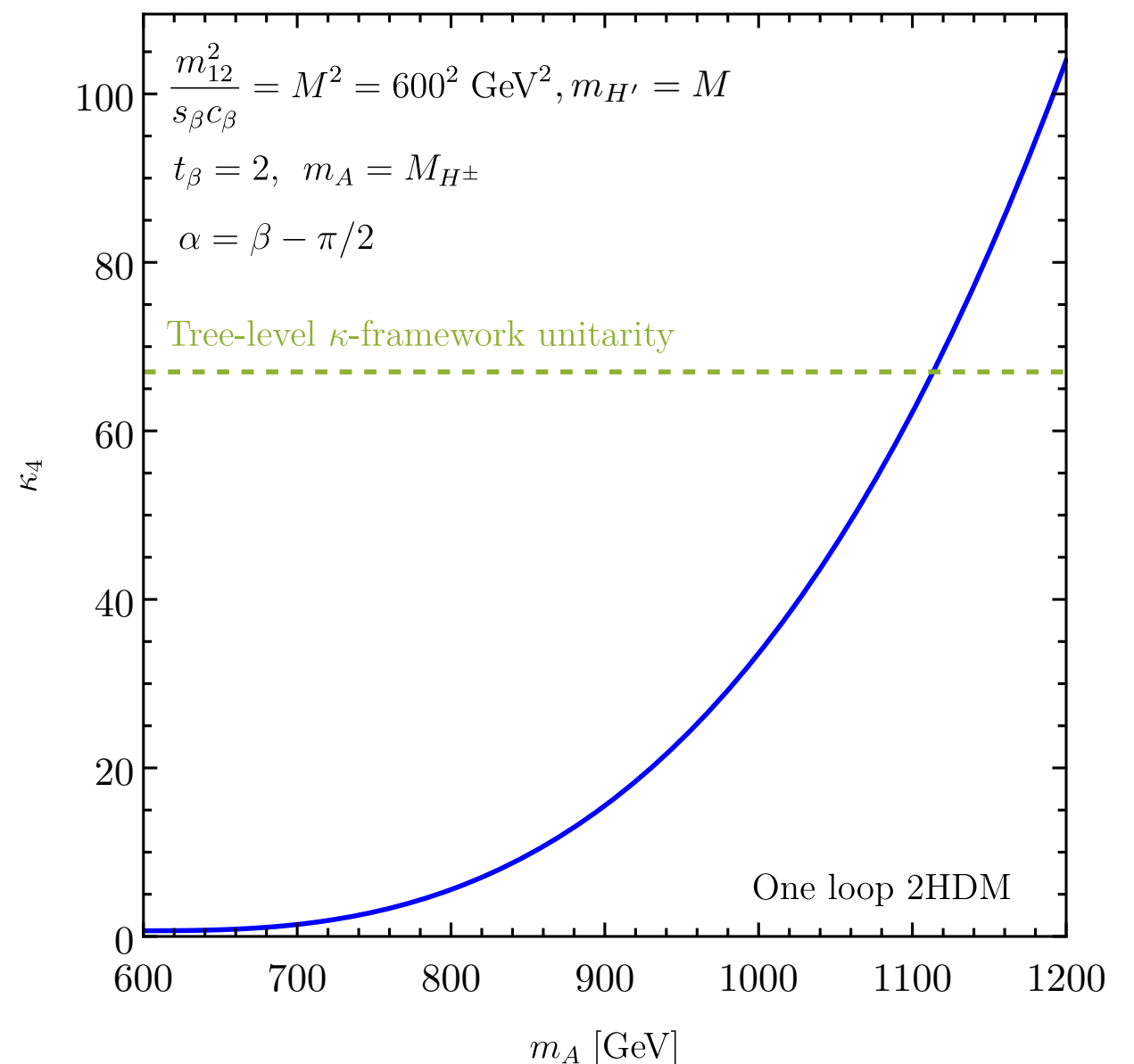
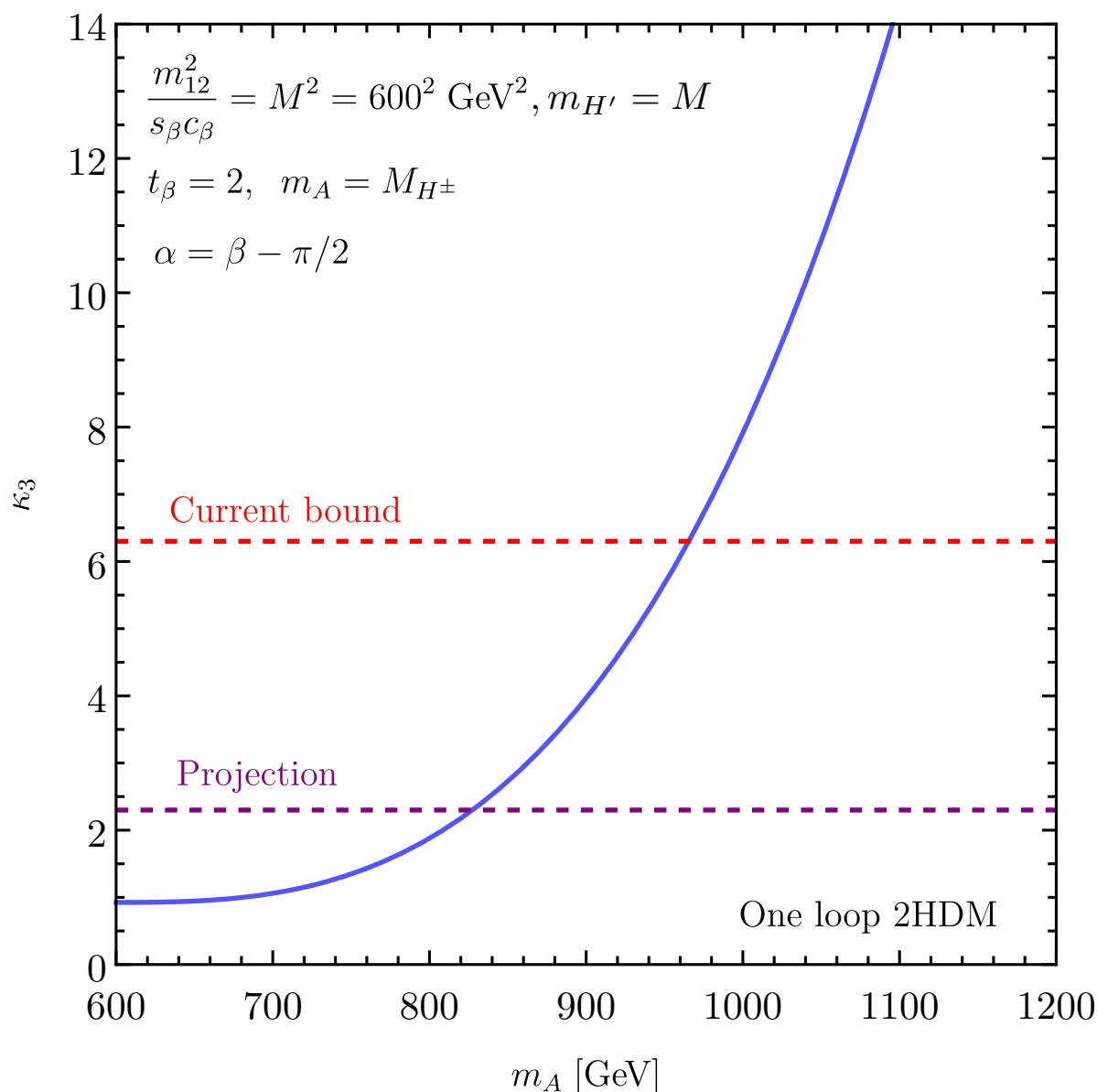
$$\hat{\Gamma}_{4H}^{(1)} = \left[\begin{array}{c} H \quad \quad H \\ \diagdown \quad \diagup \\ \text{shaded circle} \\ \diagup \quad \diagdown \\ H \quad \quad H \end{array} + \begin{array}{c} H \quad \quad H \\ \diagdown \quad \diagup \\ \text{circle with cross} \\ \diagup \quad \diagdown \\ H \quad \quad H \end{array} \right] \text{zero momenta}$$

Model example: 2HDM - trilinear vs quartic

- Benchmark Point of [Bahl, Braathen, Weiglein '22] → cross-check κ_3 result (also with anyH3)
- Expectedly deviations in κ_3 induce sizeable deviations in κ_4

$$\kappa_i = \frac{\Gamma_i^{(0)} + \hat{\Gamma}_i^{(1)}}{\Gamma_{\text{SM},i}^{(0)}}$$

$i \in \{3H, 4H\}$

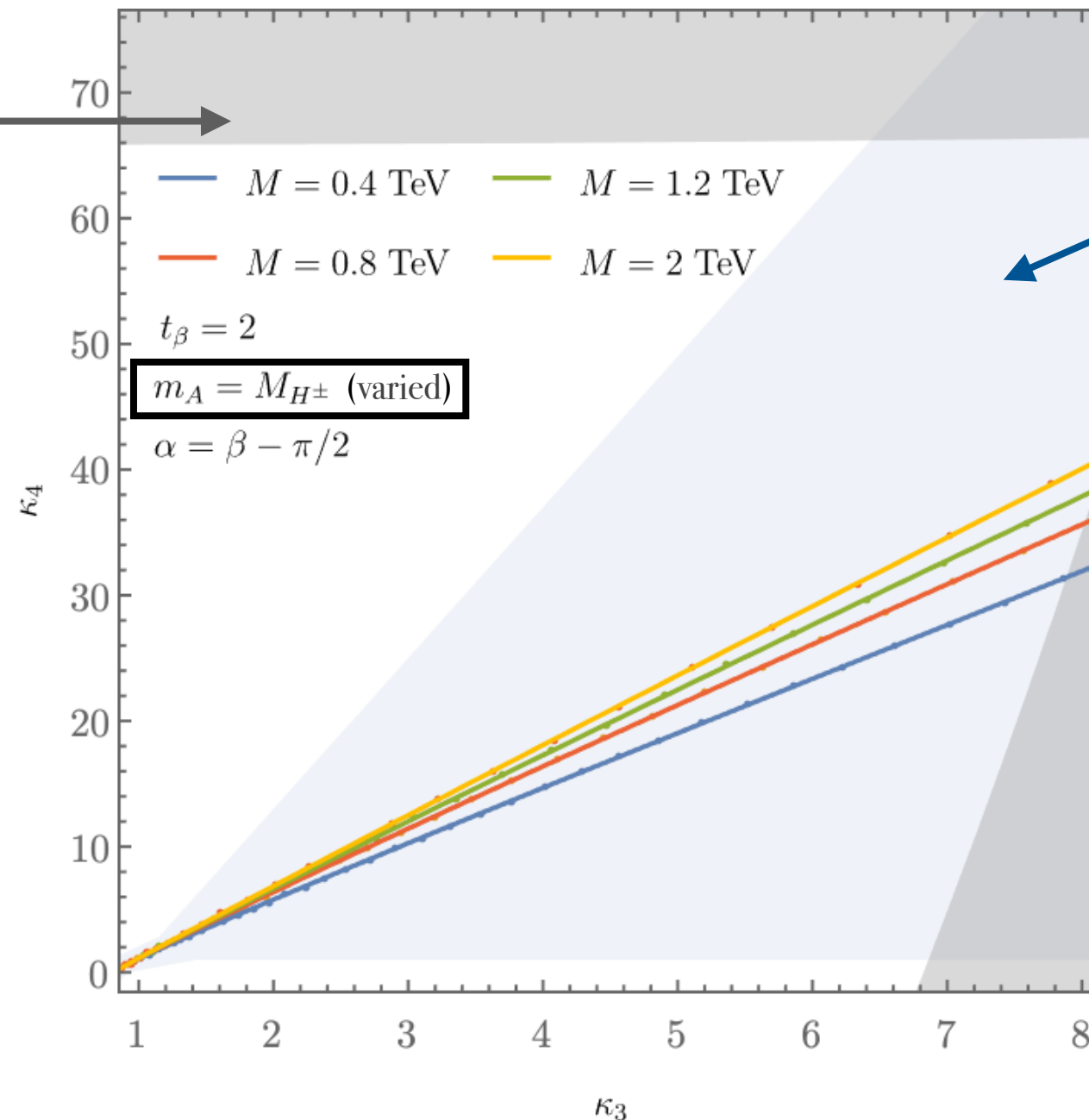


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tree-level κ -framework
perturbative unitarity



well-behaved
perturbative
expansion
from before

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tree-level κ -framework
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well-behaved
perturbative
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before

- Can HL-LHC constrain κ_4 better than unitarity?
- Can κ_3 be constrained by HHH production?



Relevant channels at LHC

- Small rates at LHC

Need dominant production & decays

- ▶ gluon fusion

$$\text{BR}(H \rightarrow b\bar{b}) = 0.584$$

- ▶ BRs: $\text{BR}(H \rightarrow \tau^+\tau^-) = 6.627 \times 10^{-2}$

$$\text{BR}(H \rightarrow \gamma\gamma) = 2.26 \times 10^{-3}$$

$2b4\tau$ and $4b2\gamma$
produce relatively few
events even for large
 $\kappa_3 \gtrsim 4.5$, $\kappa_4 \gtrsim 30$

- Focus on $6b$ and $4b2\tau$ final states with 5 and 3 tagged b -quarks, respectively

Backgrounds:

$6b$: dominant QCD contributions (see also [Papaefstathiou, Robens, Xolocotzi`21])

$4b2\tau$: $W^+W^-b\bar{b}b\bar{b}$, $Zb\bar{b}b\bar{b}$,

$t\bar{t}(H \rightarrow \tau\tau)$, $t\bar{t}(H \rightarrow b\bar{b})$,

$t\bar{t}(Z \rightarrow \tau\tau)$, $t\bar{t}(Z \rightarrow b\bar{b})$, $t\bar{t}t\bar{t}$

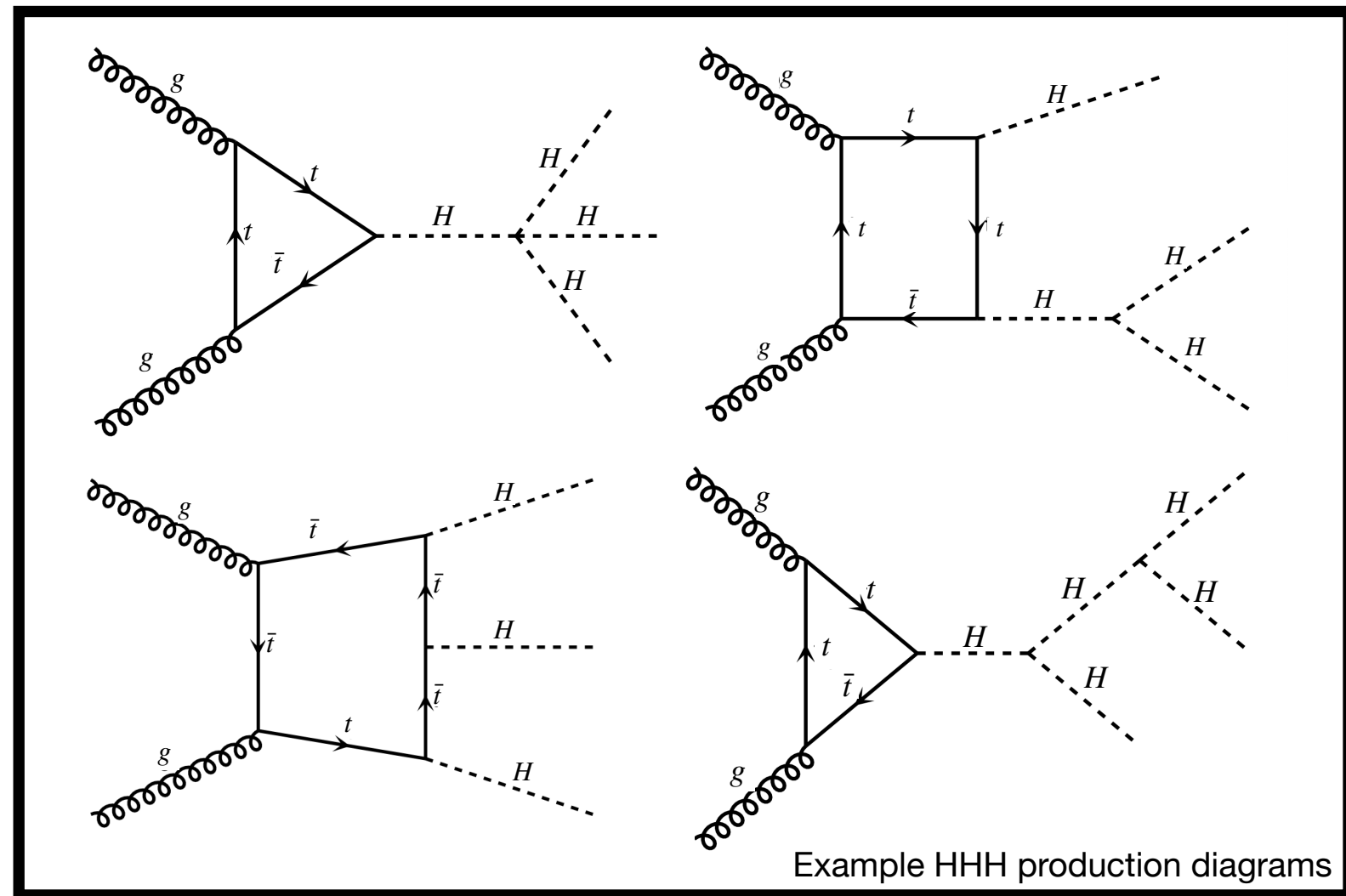
Event generation and pre-selection

- Events generated with MadGraph5_aMC@NLO
- Higgs states decayed with MadSpin

(conservative) background
K-factor of 2

signal K-factor of 1.7

[Florian, Fabre, Mazzitelli` 20]



Pre-selection cuts:

Invariant mass of final states: $\gtrsim 350$ GeV

At least one pair of tagged states with

$$m_{ij} \in [110, 140]$$

$$p_T(b) > 30 \text{ GeV}$$

$$p_T(\tau) > 10 \text{ GeV}$$

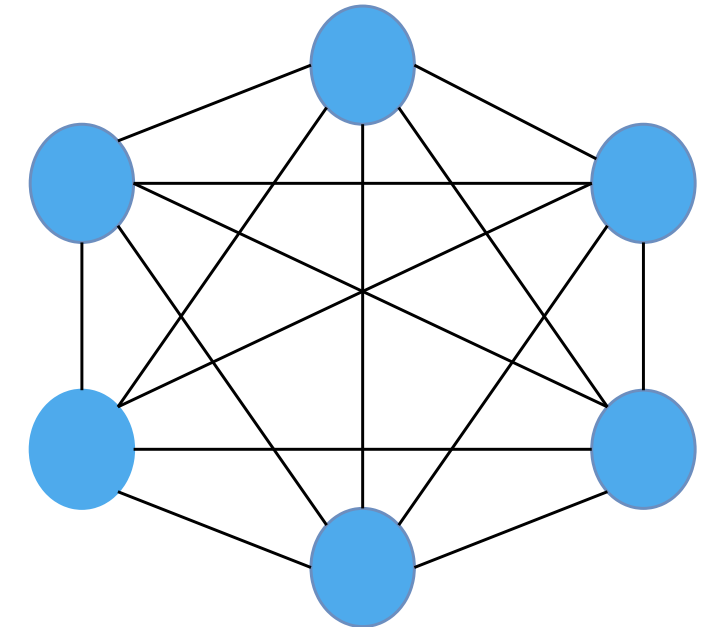
$$|\eta(\tau)| < 2.5$$

$$|\eta(b)| < 2.5$$

Graph Embedding

1.

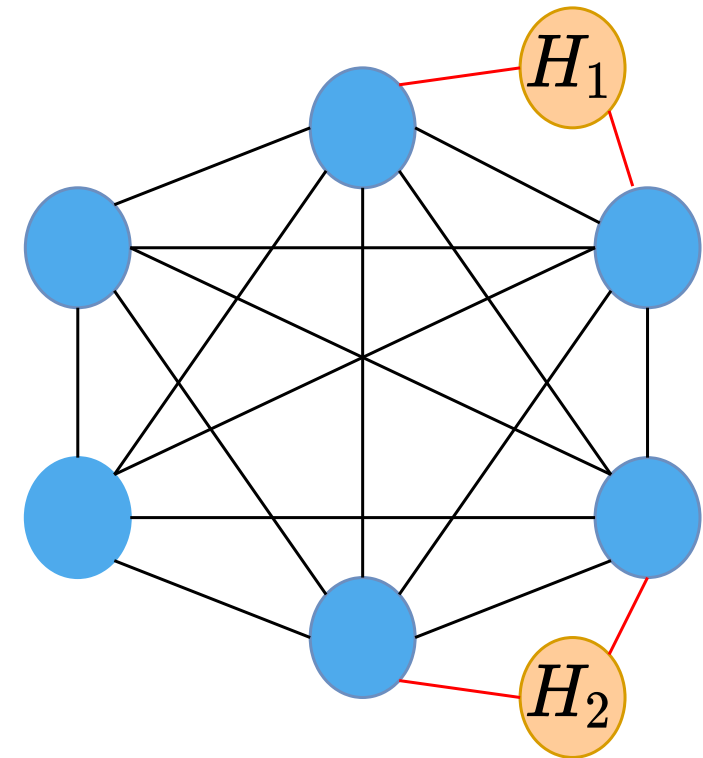
- Fully-connected nodes for b and τ final states
- Input features:** $[p_T, \eta, \phi, E, m, \text{PDGID}]$
- Additional node for Missing Transverse Momentum (MTM) in showered & reconstructed events



FC: Fully-Connected

2.

- Consider combinations of b -quarks and τ with reconstructed four-momentum $(p_i + p_j)$
- If $m_{ij} \in [100, 150]$ (GeV) add extra node H_i



RN: Reconstructed Nodes

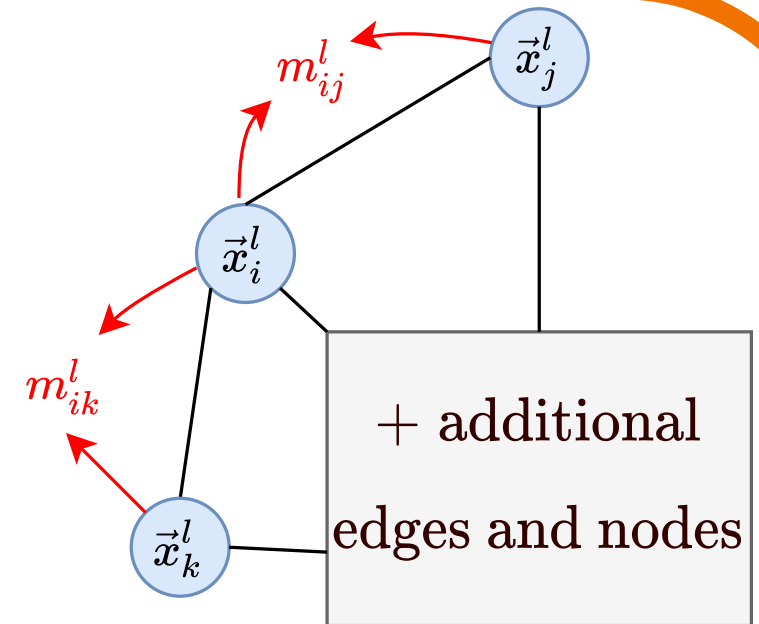
Edge Convolution

Input features: $\vec{x}_i^{(0)} \rightarrow$ update iteratively with **Edge Convolution** operation:

Edge Convolution operation

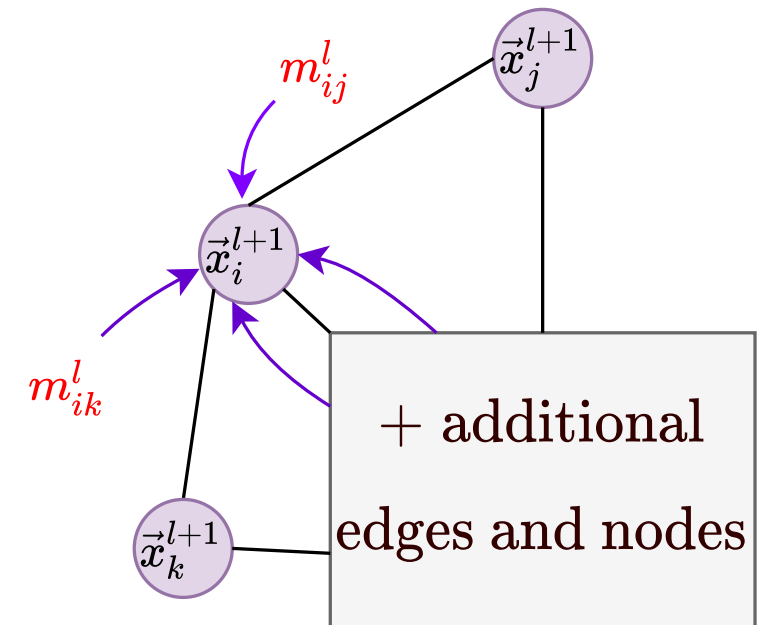
'Message' calculation:

$$m_{ij}^{(l)} = \text{RELU} \left(\underbrace{\Theta(\vec{x}_j^{(l)} - \vec{x}_i^{(l)})}_{\text{linear layers}} + \underbrace{\Phi(\vec{x}_i^{(l)})}_{\text{linear layers}} \right)$$



Aggregation: update node features

$$\vec{x}_i^{(l+1)} = \frac{1}{|\mathcal{N}(i)|} \sum_{j \in \mathcal{N}(i)} m_{ij}^{(l)}$$



GNN embedding efficiencies



- GNN trained on $(\kappa_3, \kappa_4) = (1,1)$ sample

Complex final states



- Compare embeddings at parton level with only parts of background
- Check signal efficiencies for 99% background rejection

* for parton-level considerations H_i nodes have only $[p_T, E]$ as input features, with additional noise introduced

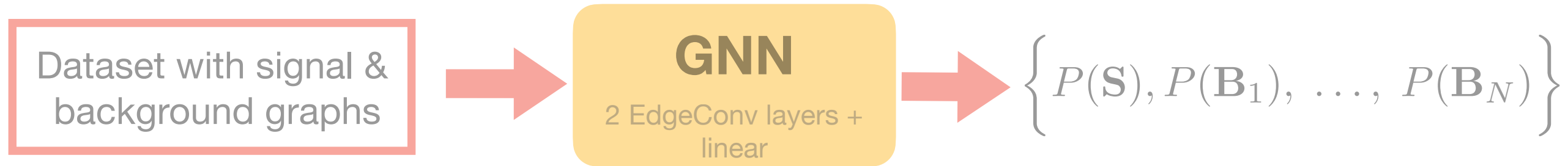
	FC efficiency	RN efficiency
$5b$	0.21	0.47
$3b2\tau$	0.27	0.98

'Reconstructed Nodes' case outperforms Fully-Connected



focus only on this embedding for full analysis

GNN embedding efficiencies



- GNN trained on $(\kappa_3, \kappa_4) = (1, 1)$ sample

- **Assumption:** Same GNN efficiency for other values of (κ_3, κ_4)

- Flat optimistic 80 % b-tagging and τ -tagging efficiency

- **Significance:**
$$Z = \sqrt{2 \left((S + B) \ln \left(1 + \frac{S}{B} \right) - S \right)}$$

from [Cowan, Cranmer, Gross, Vitells '10]

- Check signal efficiencies for 99% background rejection

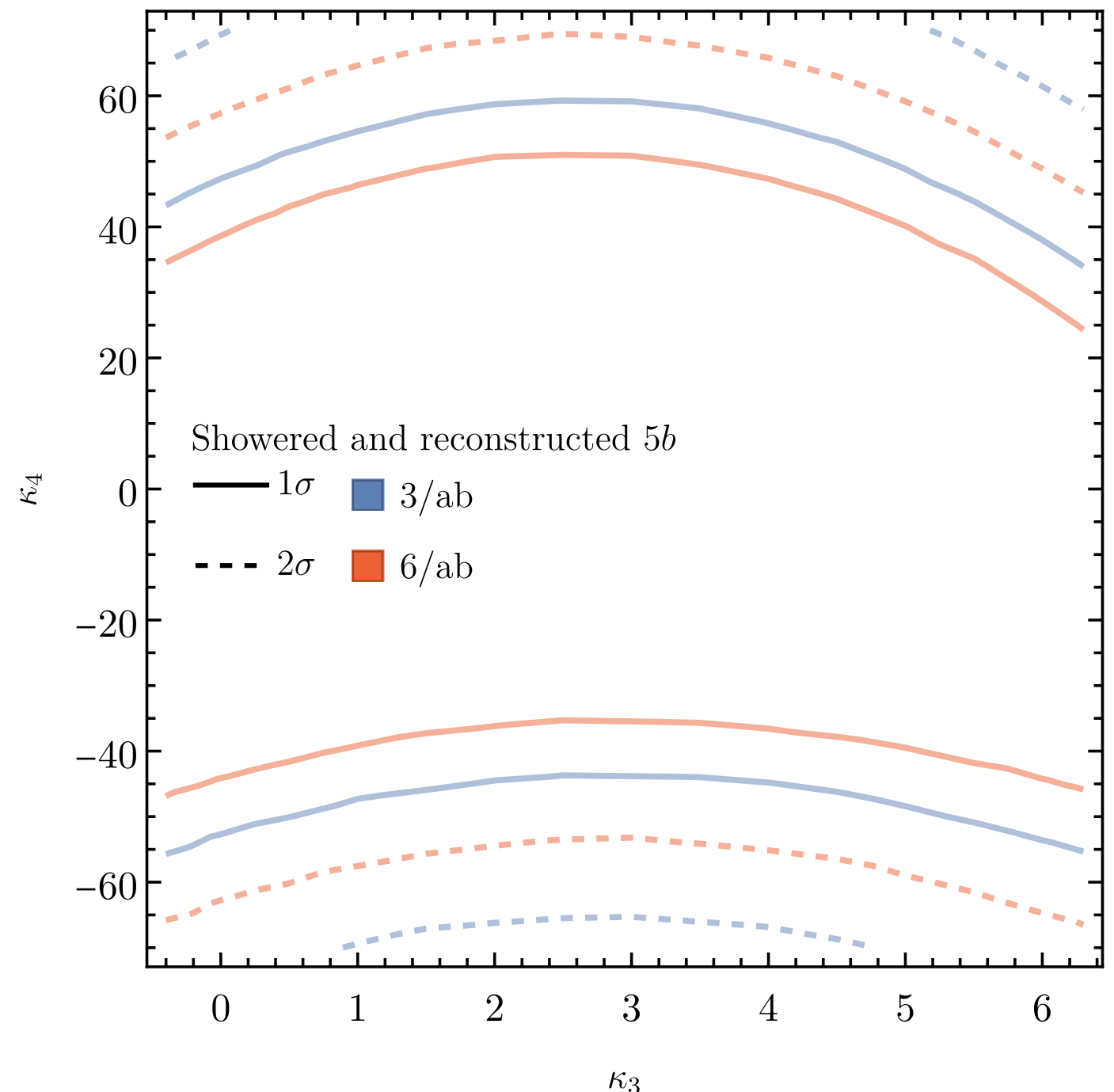
* for parton-level considerations H_i nodes have only $[p_T, E]$ as input features, with additional noise introduced

focus only on this embedding
for full analysis

Showered and reconstructed results 5b

- Showering and reconstruction of events: Pythia, FastJet, Rivet
- HL-LHC luminosity of 3/ab and ATLAS-CMS combined luminosity of 6/ab

- ‘Reconstructed Nodes’ embedding
- Re-train GNN and re-identify threshold corresponding to background rejection of $\sim 99\%$



Showered and reconstructed results $3b2\tau$

- $3b2\tau$ more complicated due to multiple backgrounds  multi-class classification
- Train on backgrounds: $W^+W^-b\bar{b}b\bar{b}$, $Zb\bar{b}b\bar{b}$, $t\bar{t}(H \rightarrow \tau^+\tau^-)$

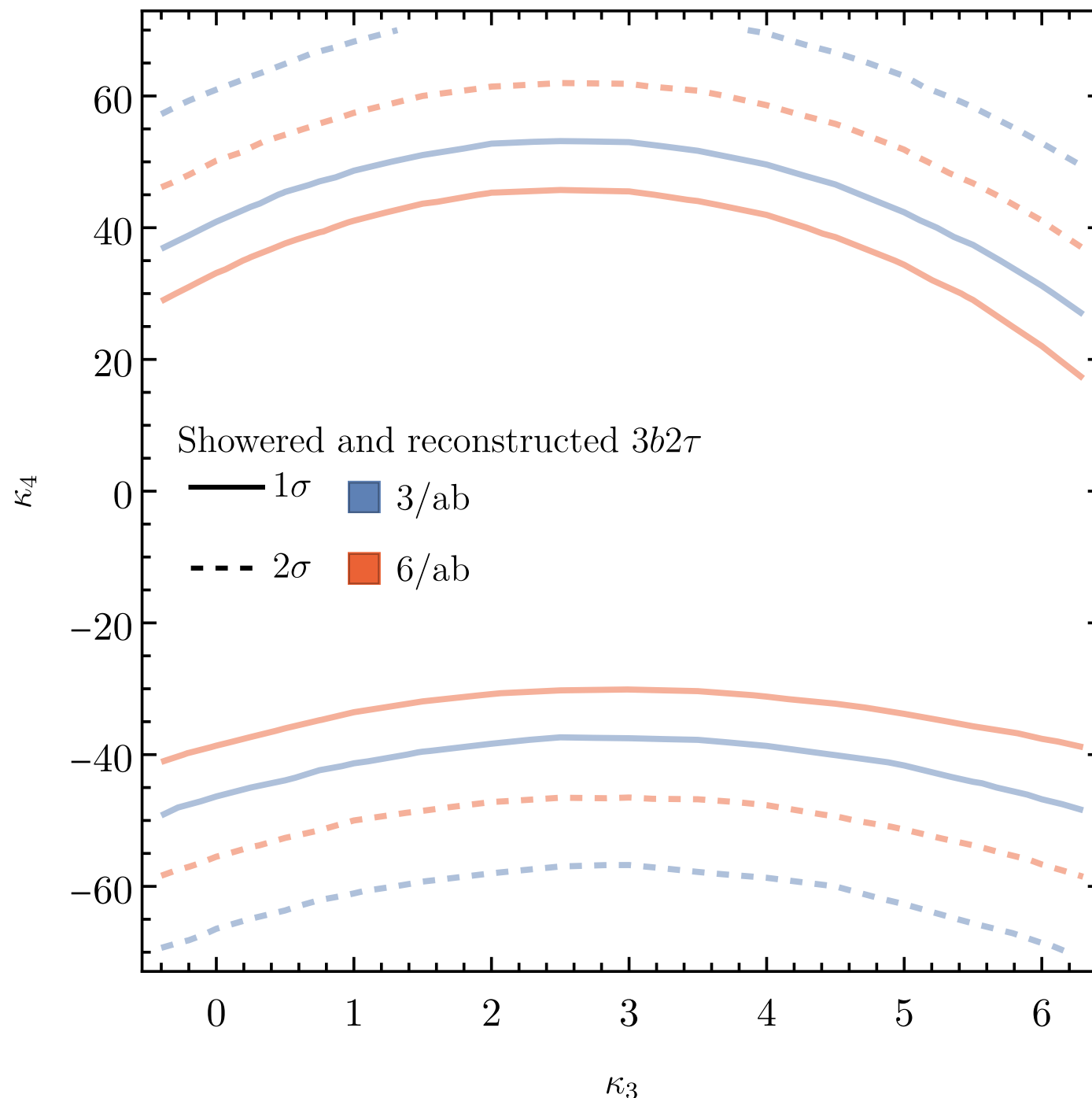
- Impose cuts on NN scores to reduce backgrounds:

$$P[W^+W^-b\bar{b}b\bar{b}] < 0.03, \quad P[Zb\bar{b}b\bar{b}] < 0.1, \quad P[t\bar{t}(H \rightarrow b\bar{b})] < 0.3$$

	$\sigma(\text{gen.})(\text{fb})$	$\sigma(\text{sel.})(\text{fb})$	$\sigma(\text{NN})(\text{fb})$
$t\bar{t}(H \rightarrow \tau\tau)$	3.8	0.17	0.010
$WWb\bar{b}b\bar{b}$	31	4.6	7.0×10^{-3}
$t\bar{t}(H \rightarrow b\bar{b})$	3.5	0.89	3.6×10^{-3}
$Zb\bar{b}b\bar{b}$	4.3	0.45	3.3×10^{-4}
$t\bar{t}(Z \rightarrow b\bar{b})$	0.77	0.15	2.9×10^{-4}
$t\bar{t}t\bar{t}$	0.38	0.091	2.1×10^{-4}
$t\bar{t}(Z \rightarrow \tau\tau)$	4.7	0.080	1.1×10^{-4}

Showered and reconstructed results $3b2\tau$

- $3b2\tau$ more complicated due to multiple backgrounds $\longrightarrow$ multi-class classification
- Train on backgrounds: $W^+W^-b\bar{b}b\bar{b}$, $Zb\bar{b}b\bar{b}$, $t\bar{t}(H \rightarrow \tau^+\tau^-)$

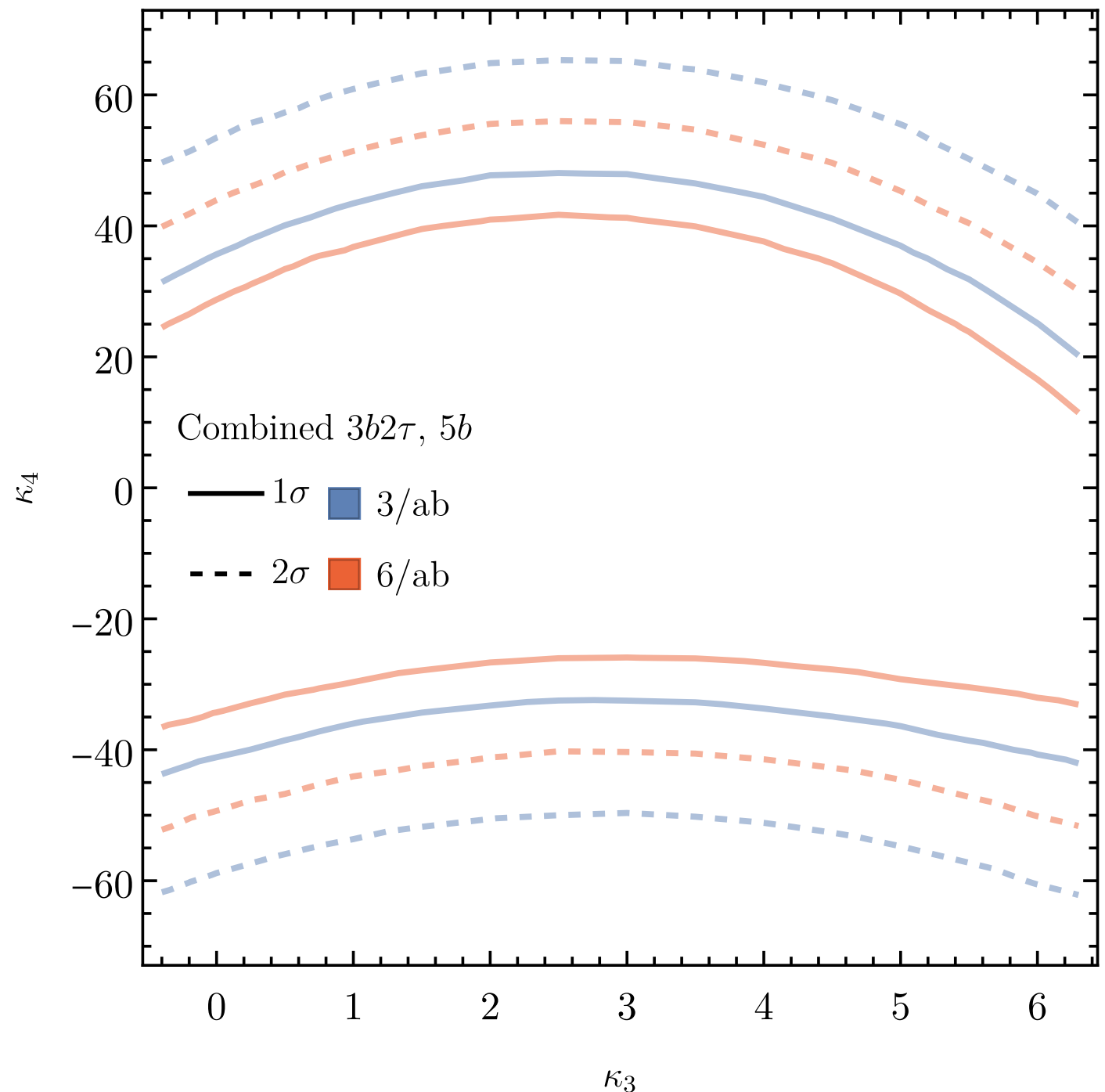


Combined Results

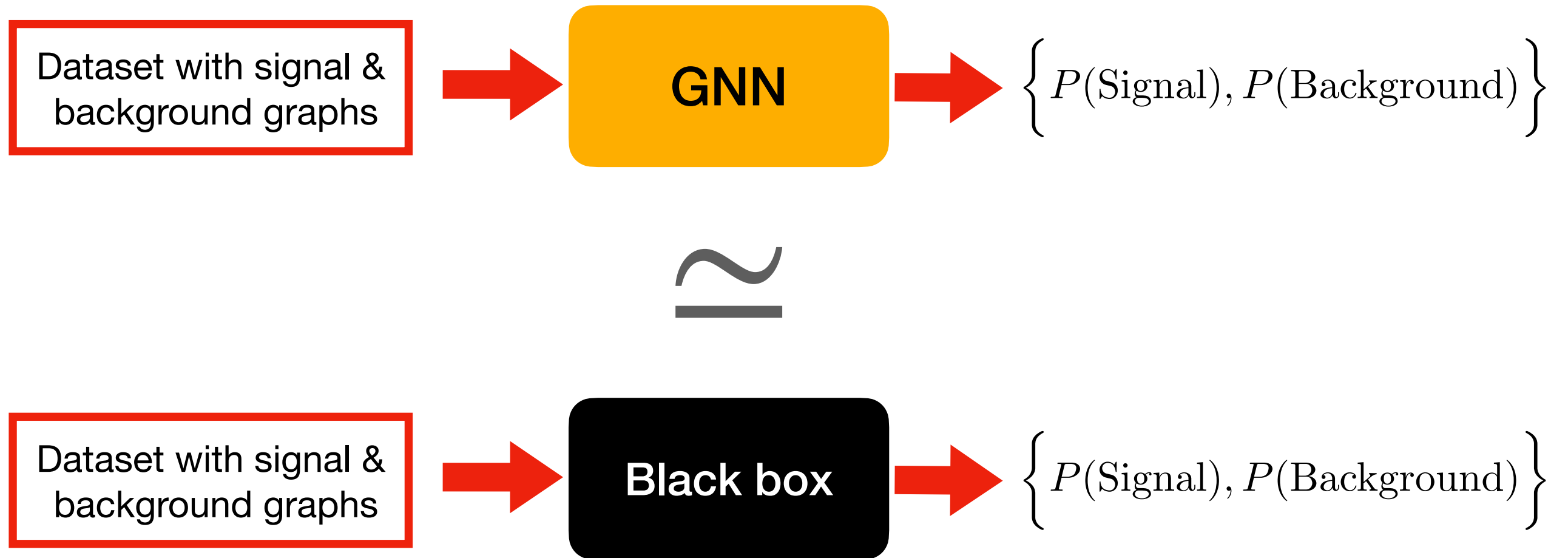
- **Assumption:** No correlations
- Simplified combination of significances (Stouffer method)

$$Z_{\text{comb.}} = \frac{Z_{3b2\tau} + Z_{5b}}{\sqrt{2}}$$

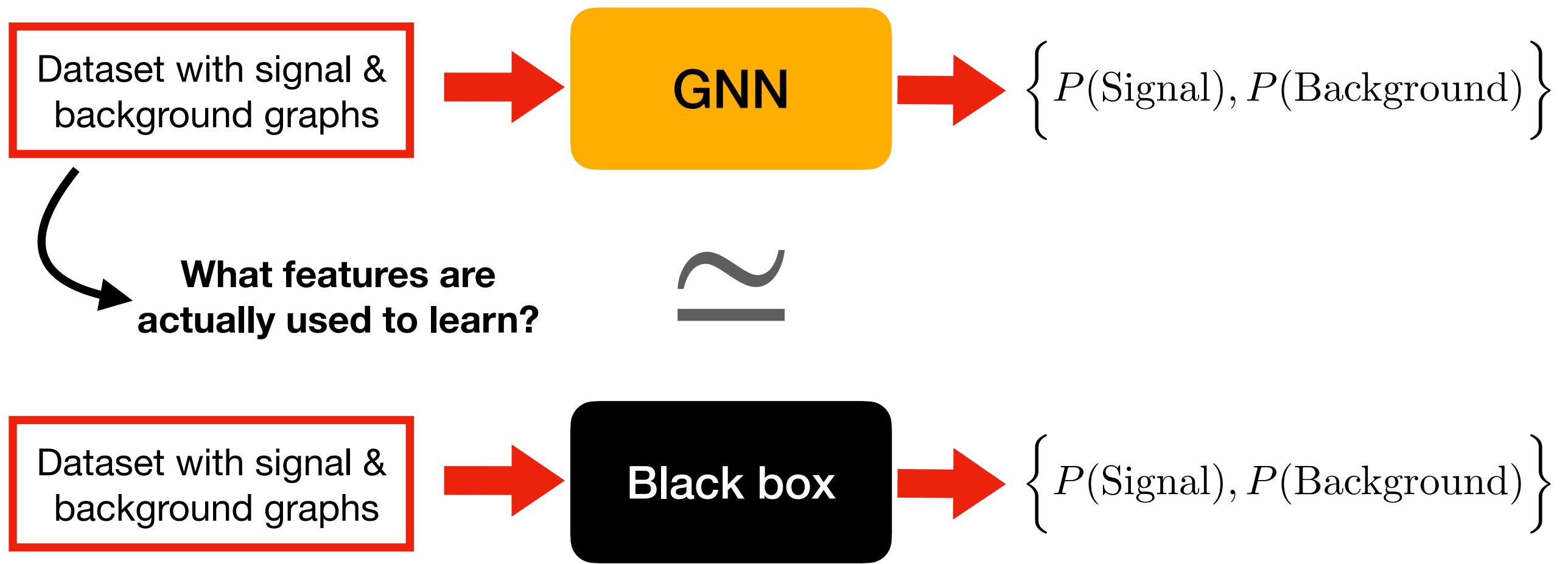
Combination of further channels and improvements of **tagging/reconstruction** methods could enhance results further



How do Neural Networks learn?



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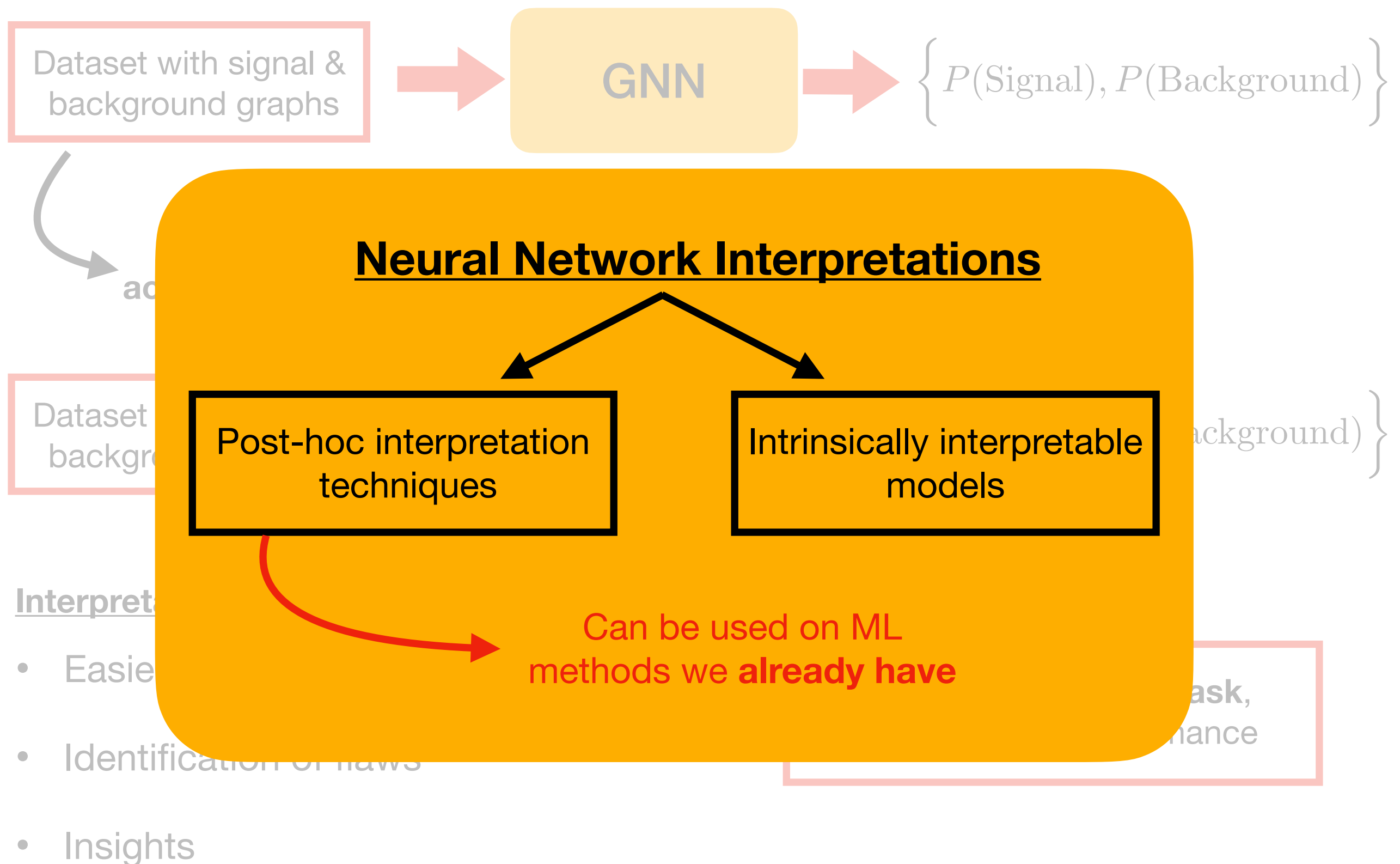


Interpretation of Neural Network results

- Easier debugging
- Identification of flaws
- Insights

Usually seen as a **secondary task**,
main focus is performance

How do Neural Networks learn?



Integrated Gradients

→ **Integrated Gradients**: [Sundararajan, Taly, Yan 1703.01365]

- ▶ axiomatic method
- ▶ uses Neural Network gradients → **fast!**
- ▶ **requires a differentiable model**

**suitable for
Neural Networks!**

• Definition:

$$\mathcal{I}_i(x) = (x_i - x'_i) \int_0^1 d\alpha \frac{\partial F(x' + \alpha(x - x'))}{\partial x_i}$$

input

baseline

Attribution scores
→ importance of feature

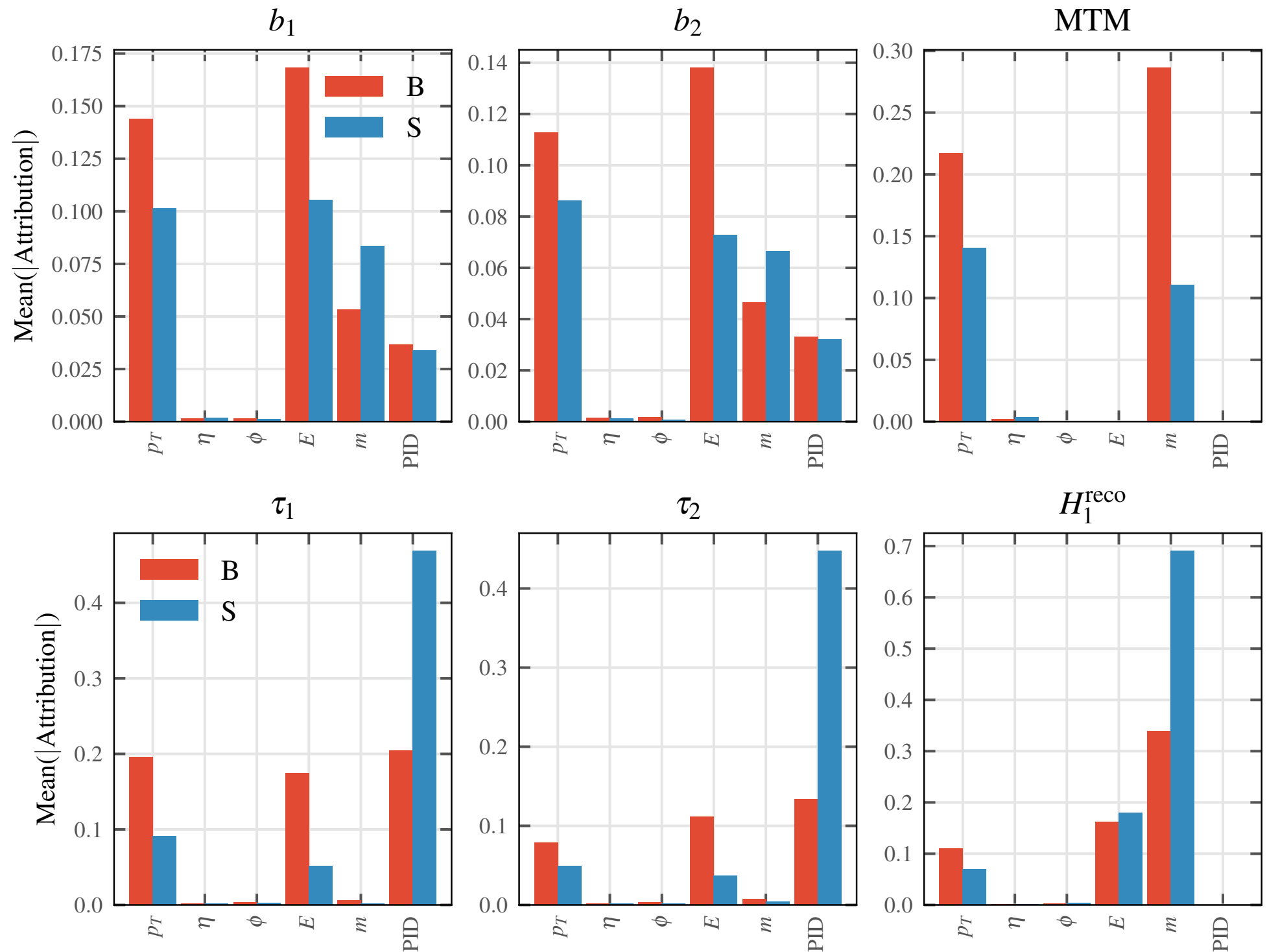
Gradient of Neural
Network F

• Easy to implement for Graph Neural Networks as well

- ▶ Does **not** take into account graph structures → work in progress in Deep Learning community
- ▶ Viable to understand important features → expect mass of reconstructed Higgs to be important

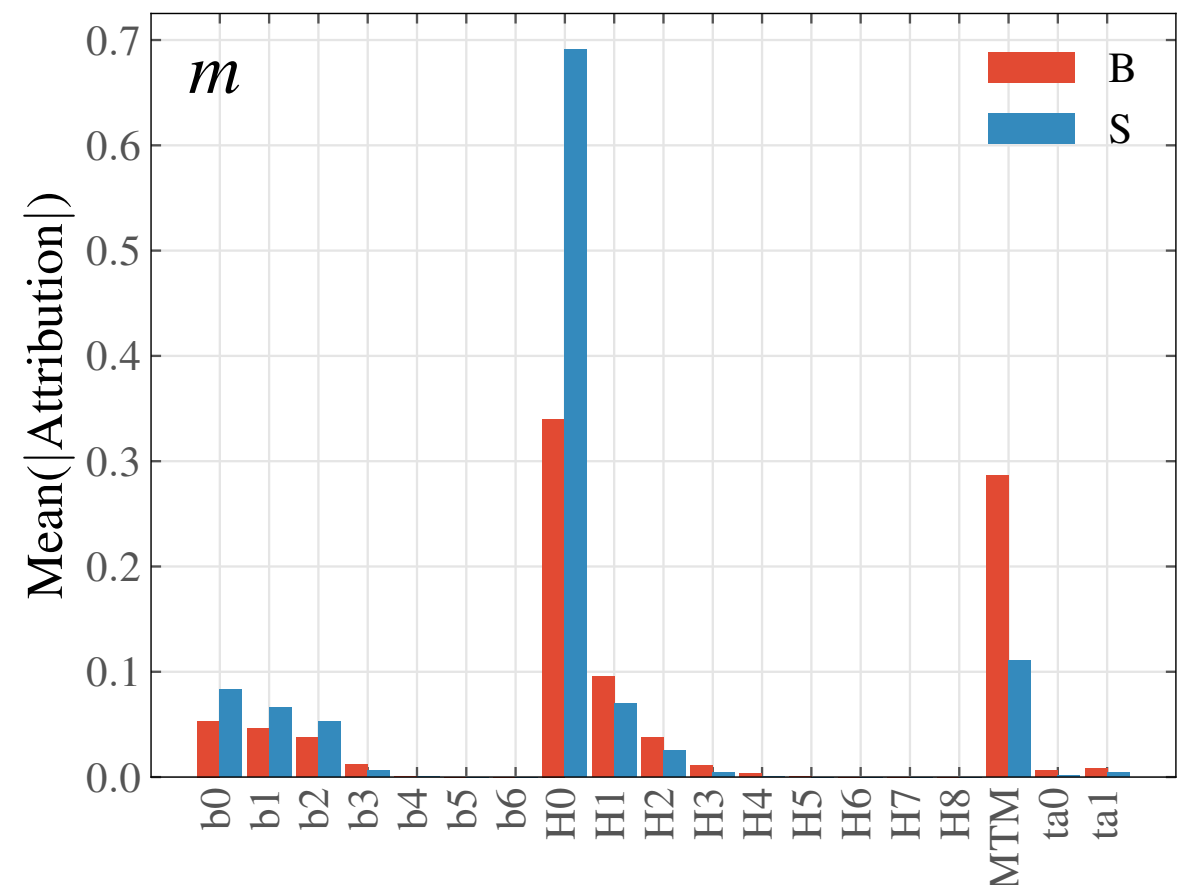
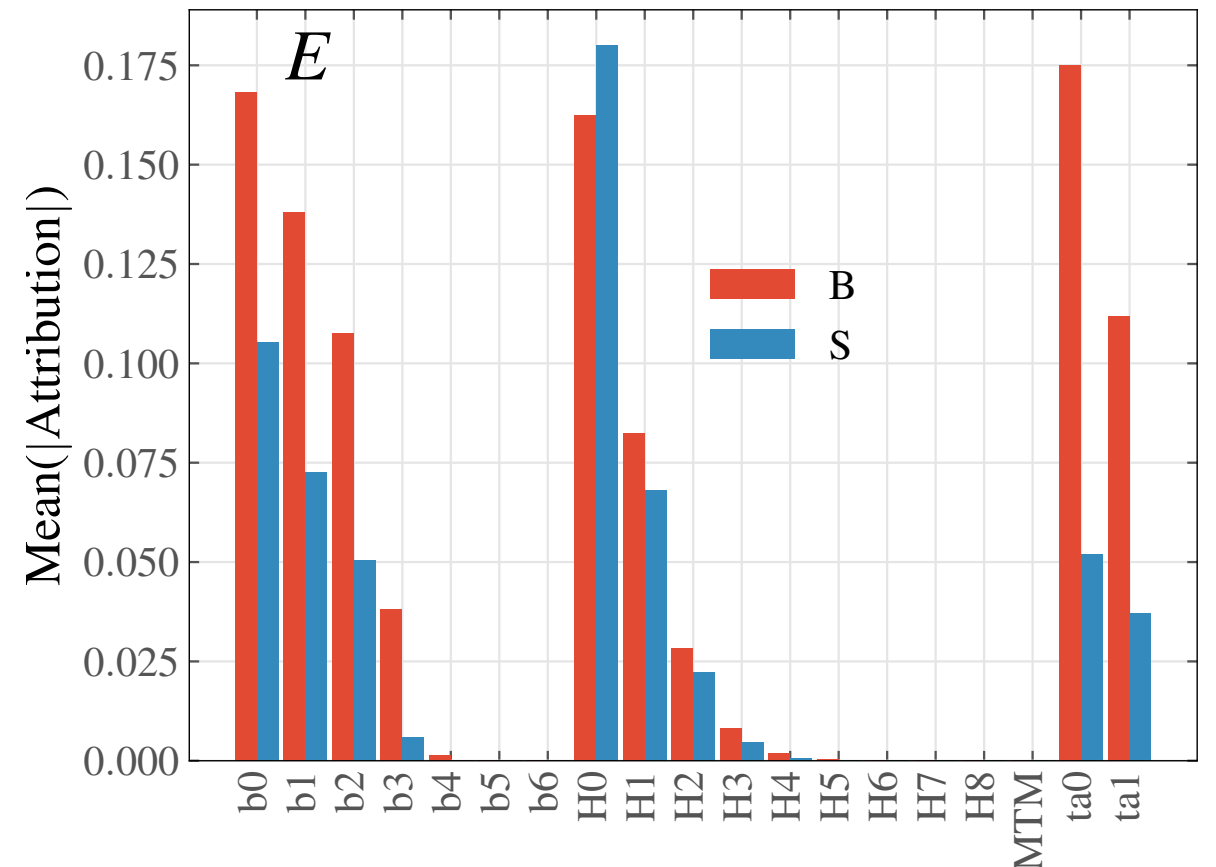
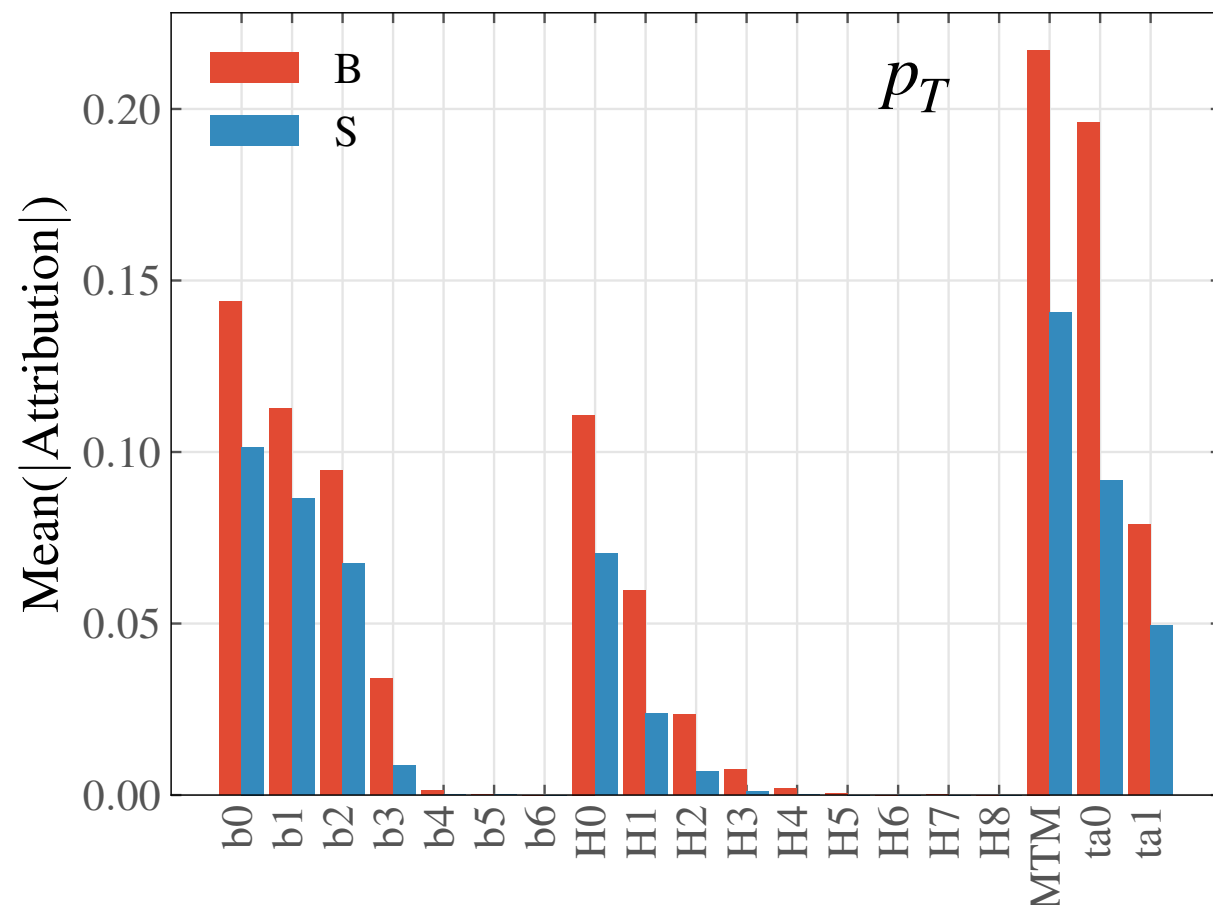
Attributions

- Tagged b -jets and τ nodes ordered by p_T
- ‘Roughly’ reconstructed Higgs nodes ordered by ‘closeness’ to 125 GeV
- p_T , E and PID more important than angular observables
- Higgs masses most important



Attribution vs. nodes

- E and p_T from leading order particles is more important
- m is more important for the reconstructed Higgs closest to the SM mass value

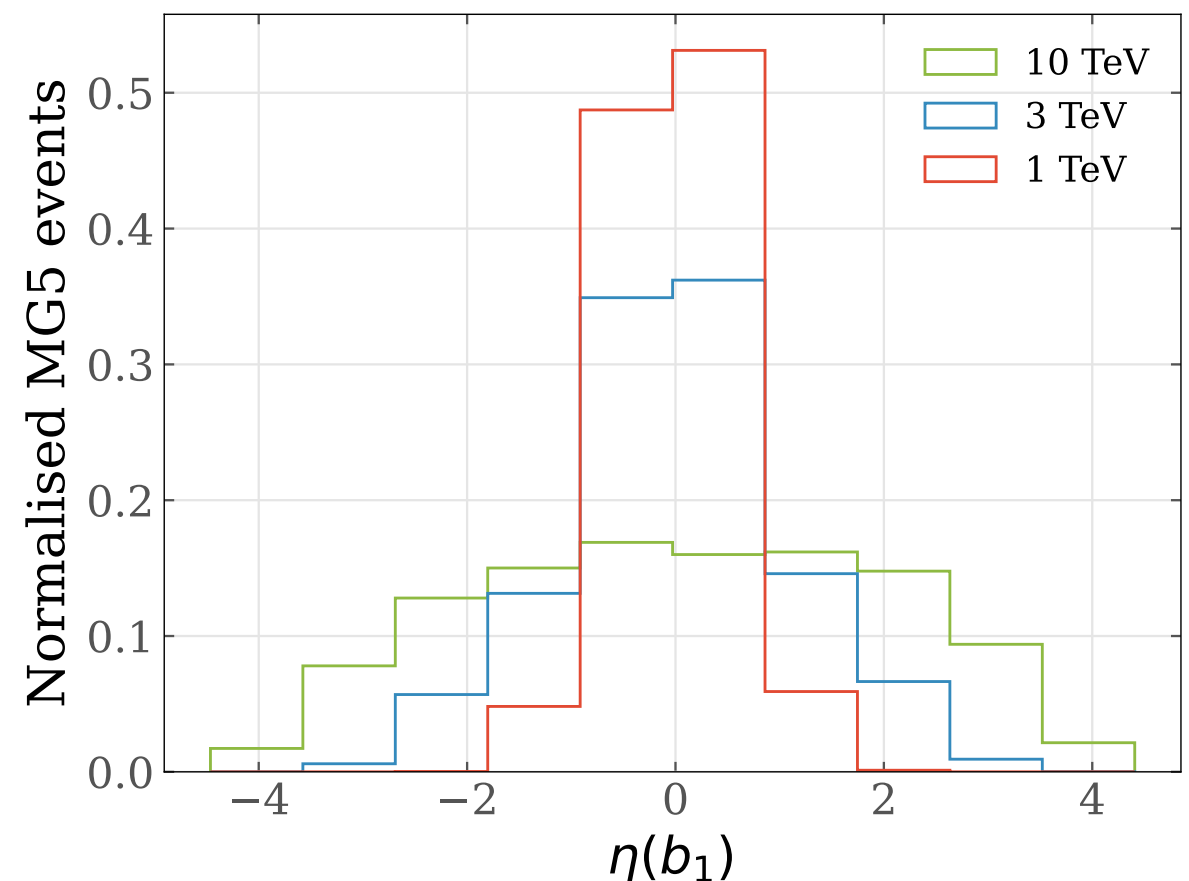


Lepton Colliders

- Complete picture of $(\kappa_3, \kappa_4) \rightarrow$ lepton colliders?
- Inclusive $\ell\ell \rightarrow HHH + X$ analysis with $H \rightarrow b\bar{b}$

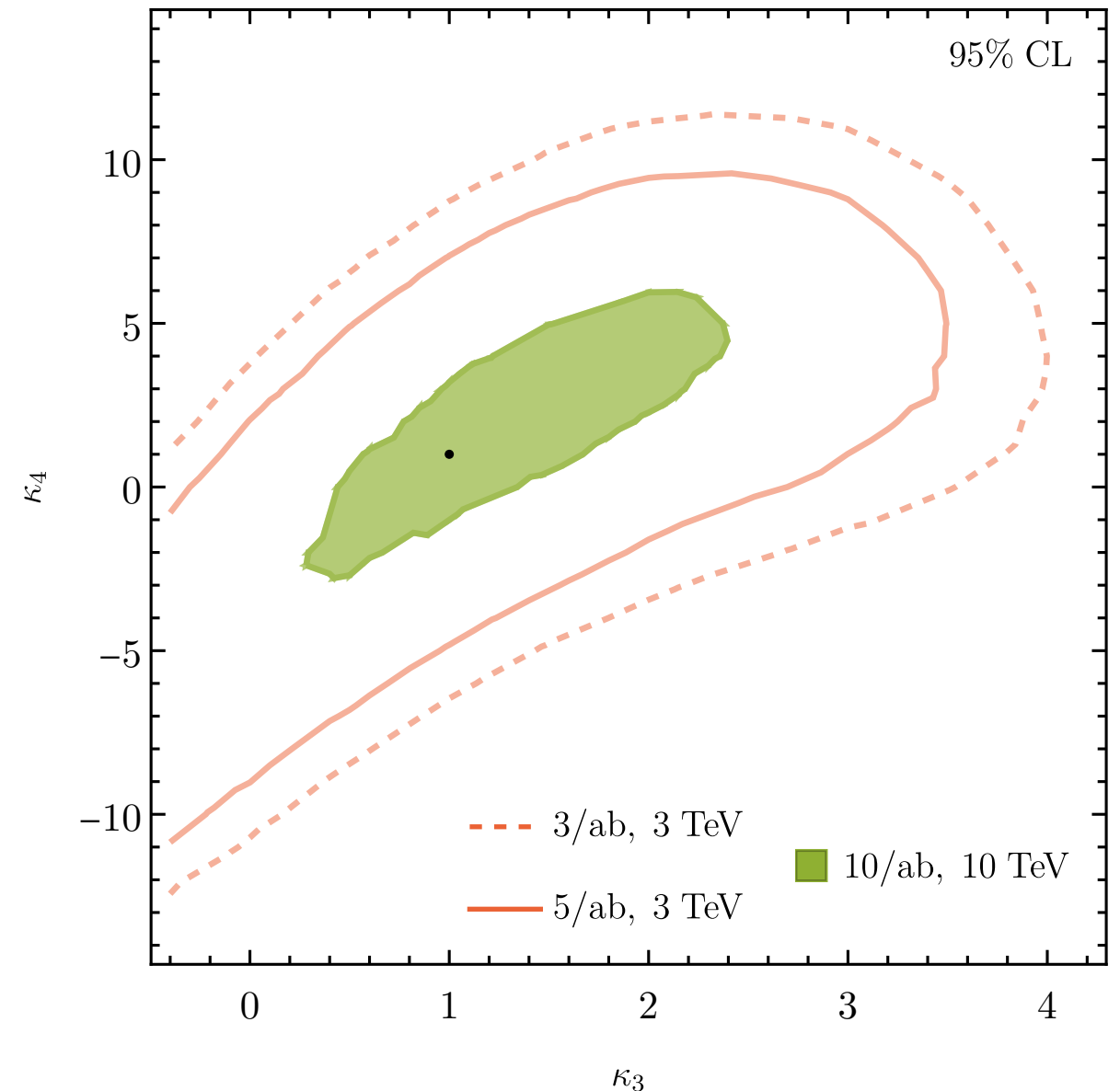
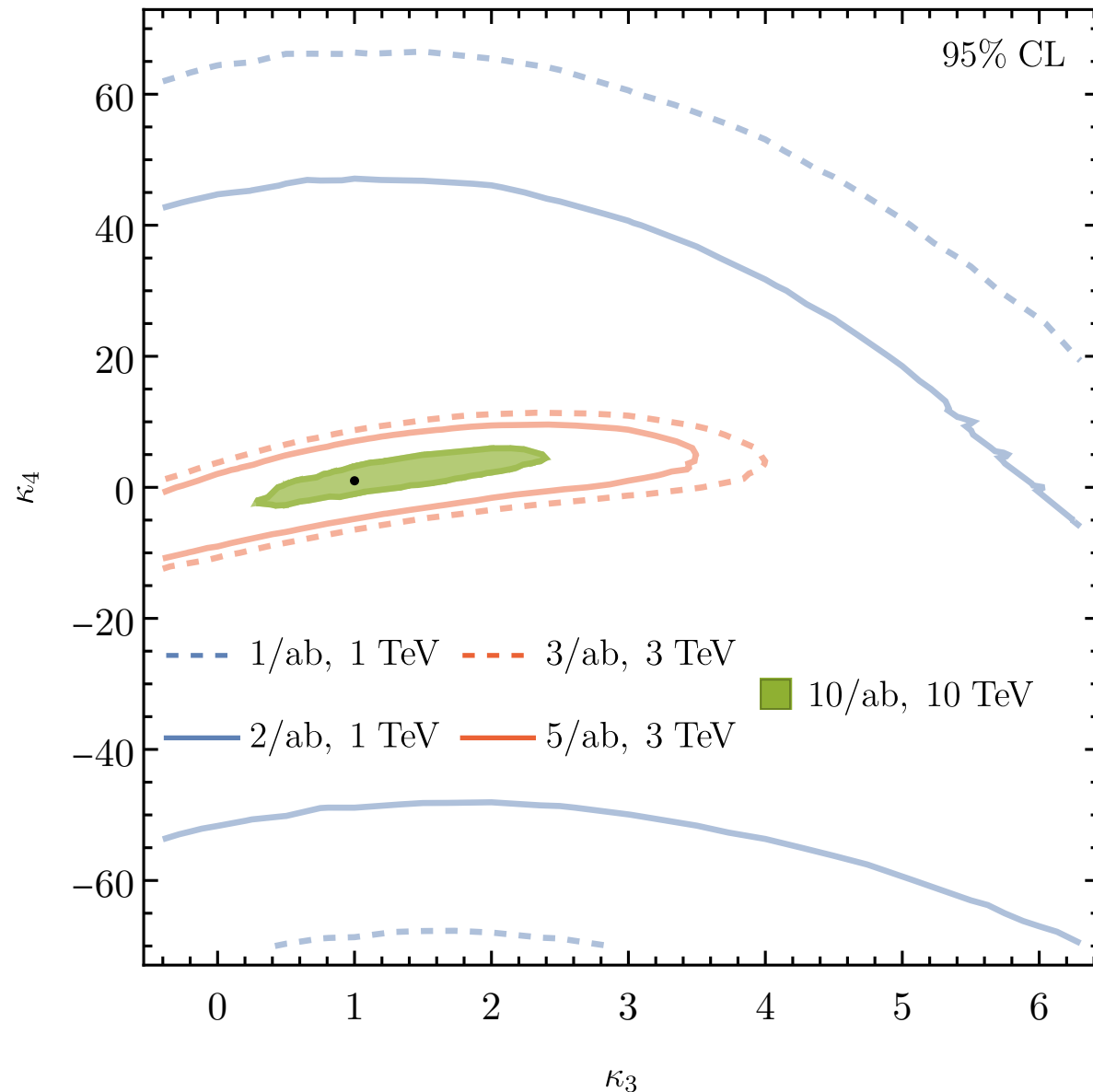
- ▶ At least 5 tagged b -quarks with $p_T(b) > 30$ GeV
- ▶ Tagging efficiency: 80 %

- **Important:** For high energies b -quarks are not only in the central part of detector $\rightarrow$ requires extended tagging capabilities
- Negligible background from other SM processes



Lepton Collider Results

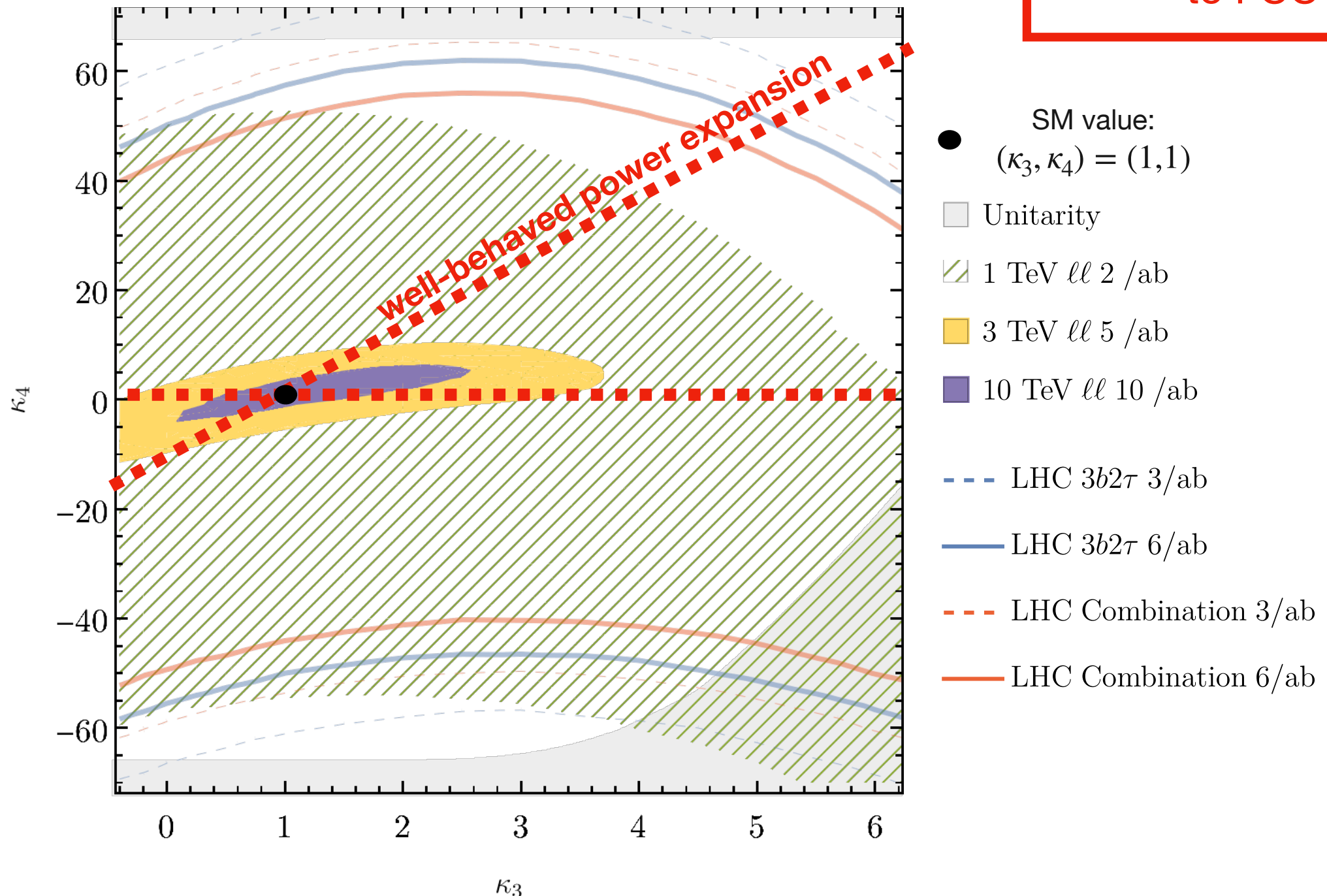
- Poissonian analysis: $\mu_{\text{up}} = \frac{1}{2} F_{\chi^2}^{-1} \left[2(n+1); \text{CL} \right]$
- Results similar to other works with dedicated analyses, e.g. [Maltoni, Pagani, Zhao `18]



HL-LHC vs. future lepton colliders

- HL-LHC can provide competitive results compared to 1 TeV collider
- High energy lepton collisions way more sensitive

But such machines
more comparable
to FCC



Conclusions

- If there is a sizeable deviation in κ_3 , an even larger deviation in κ_4 is not unreasonable 

sizeable κ_4 deviations allowed by unitarity
- **GNNs** provide enhanced results at HL-LHC
 - ▶ HL-LHC should be able to probe regions allowed by unitarity
 - ▶ HHH not powerful enough to constrain κ_3 as well as di-Higgs bounds 

BUT can provide complementary information and be used in combination with di-Higgs
- HL-LHC competitive with 1 TeV lepton colliders but higher energies more sensitive
- Neural Network interpretations useful for understanding ML techniques

Thank you!

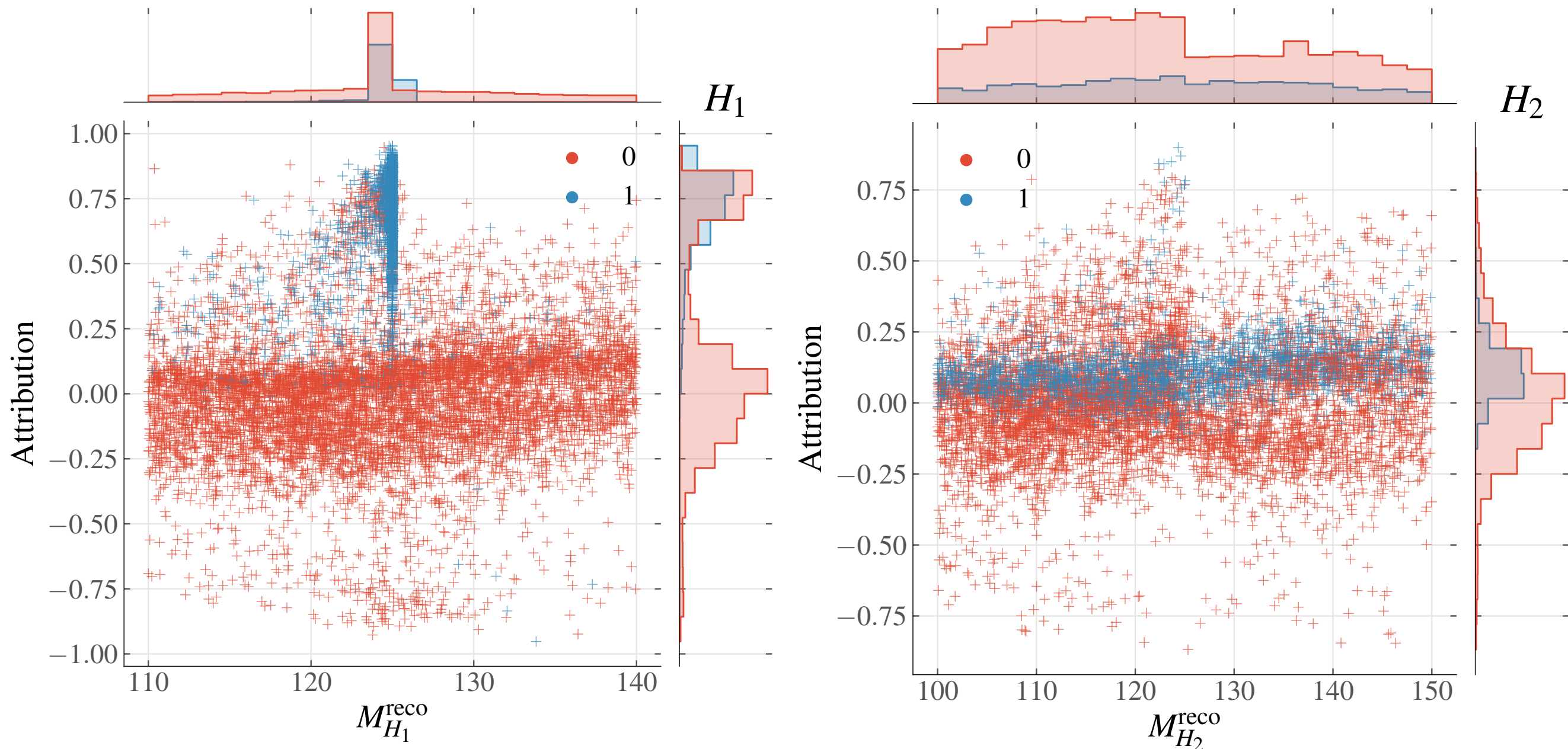
Backup: Interpretation axioms

- **Axioms:**

- **Completeness**: sum of attributions equal to difference of network output for input and baseline values
- **Sensitivity**: when baseline and input have different values and different NN outputs, attributions should also be different
- **Dummy**: A zero input should yield no attribution
- **Implementation Invariance**: If two methods are equivalent (i.e. yield same scores for all inputs despite being different) then attributions should be identical
- **Linearity**: Attributions should be linear for linear combinations of networks $aF_1 + bF_2$
- **Symmetry**: For a network symmetric for two variables $F(x, y) = F(y, x)$, the attributions should be the same

Backup: Reconstructed Higgs Mass

- **Interpretation as expected:**
If a Higgs close to 125 GeV can be found $\implies$ signal
- Complete understanding would require to study correlations between observables $\rightarrow$ **future work**



Backup: Lepton collider cross sections

- Inclusive $\ell\ell \rightarrow HHH + X$ analysis with $H \rightarrow b\bar{b}$
- Cross sections small below 1 TeV
- **Note:** $\mu^+\mu^-$ vs. e^+e^- collider at 10 TeV has difference of less than 5 % on cross sections

