# Python for Computational Science



Hans Fangohr

Max Planck Institute for the Structure and Dynamics of Matter Hamburg (Germany)

University of Southampton (UK) January 27, 2023



hans.fangohr@mpsd.mpg.de https://fangohr.github.io @ProfCompMod@fosstodon.org@

#### Outline

- 1. Python for Computational Science
- 2. Python prompt
- 3. Functions
- 4. About Python
- 5. Coding style
- 6. Conditionals, if-else
- 7. Sequences
- 8. Loops
- 9. Some things revisited
- 10. Reading and Writing files
- 11. Exceptions
- 12. Printing

- 13. Higher Order Functions
- 14. Modules
- 15. Default arguments
- 16. Namespaces
- 17. Editors and IDEs
- 18. List comprehension
- 19. Dictionaries
- 20. Recursion
- 21. Common Computational Tasks
- 22. Root finding
- 23. Derivatives
- 24. Numpy
- 25. Higher Order Functions 2: Functional tools
- 26. Numerical Integration
- 27. Numpy usage examples

- 28. Closures
- 29. Scientific Python
- 30. FIFO example and Object Oriented Programming (OOP)
- 31. Environments and Python Package Index
- 32. ODEs
- 33. Sympy
- 34. Testing
- 35. Object Oriented Programming
- 36. Pandas
- 37. Practical computational science recommendations
- 38. What to learn next?
- 39. Typing
- 40. Useful tools

Python for Computational Science

# **Computational Science**

- use of computers to support research and operation in science, engineering, industry and services
- · applications include
  - computer simulations
  - analysis of data / data science / data analitics
  - · virtual design optimisation
  - symbolic mathematics
  - · artificial intelligence/machine learning

# Computational science examples

- Molecular Dynamics (https://physics.weber.edu/ schroeder/software/demos/MDv0.html)
- Imaging at European X-Ray Free Electron Laser (European XFEL)
  - · large data sets (data creation up to 1000TB per week)
  - Computational modelling to extract structure of sample

### Is computational science important?

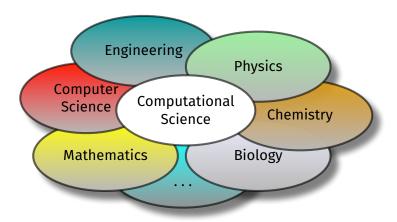
From a study of the Software Sustainability Institute (UK):

- 92% of academics use research software
- 69% say that their research would not be practical without it
- 56% develop their own software

[1] https://www.software.ac.uk/blog/

2014-12-04-its-impossible-conduct-research-without-software-say-7-out-10-uk-researchers

# Computational Science — a new domain



Computational science (and data science): an *enabling methodology*, like literacy and mathematics

# Computational Science — a new domain

- · Computational Science is not Computer Science
- specific skill set required: application domain knowledge and computational science
- · often scientists who learn the computational side
- no clear career path: neither scientist nor software engineer
- growing movement to establish such roles in academia: Research Software Engineer
  - https://www.software.ac.uk
  - https://www.de-rse.org



· "better software, better research"

# This course: Introduction to Python for Computational Science

- introduces the foundations of computational science and data science
- Python programming language
- focus on parts of the Python programming language relevant to computational science
- computational science methodology
- · research software engineering
- enable self-directed learning in the future

# This course: Why Python?

- Python is relatively easy to learn [1]
- high efficiency: a few lines of code achieve a lot of computation
- · growing use in (open source) academia and industry, thus
- many relevant libraries available
- minimises the time of the programmer
- but: (naive) Python in general much slower execution than compiled languages (such as Fortran, C, C++, Rust, ...).

```
[1] https:
//link.springer.com/chapter/10.1007/978-3-540-25944-2_157
```

### This course: learning methods

- · daily lectures
- daily laboratory sessions (think computer laboratory)
  - opportunity to start and complete self-paced exercises, and to ask for any other clarification.
- automatic feedback on submitted exercises
- teaching materials and lectures are designed to support practical exercises

#### This course: Practicalities

Source of information:

http://www.desy.de/~fangohr/teaching/py4cs2023

- · time table
- laboratory exercises
- pdf files of these slides (may change)
- additional textbook
- further materials

Remote learning brings extra challenges! Let's try to make this informal and interactive (Zoom & Zulip)

# Python prompt

### The Python prompt

- Spyder (or IDLE, or python or python.exe from shell/Terminal/MS-Dos prompt, or IPython)
- Python prompt waits for input:
  - >>>
- Interactive Python prompt waits for input:In [1]:
- · Read, Evaluate, Print, Loop  $\rightarrow$  REPL

# Hello World program

Standard greeting:

print("Hello World") Entered interactively in Python prompt: >>> print("Hello World") Hello World Or in IPython prompt: In [1]: print("Hello world") Hello world

#### A calculator

```
>>> 2 + 3
5
>>> 42 - 15.3
26.7
>>> 100 * 11
1100
>>> 2400 / 20
120
>>> 2 ** 3
                          # 2 to the power of 3
8
                          # sqrt of 9
>>> 9 ** 0.5
3.0
```

# Create variables through assignment

```
>>> a = 10
>>> b = 20
>>> a
10
>>> b
20
>>> a + b
30
>>> ab2 = (a + b) / 2
>>> ab2
15
```

# Important data types / type()

```
>>> a = 1
>>> type(a)
<class int>
                       # integer
>>> b = 1.0
>>> type(b)
<class float>
                       # float
>>> c = '1.0'
>>> type(c)
<class str>
                       # string
>>> d = 1 + 3j
>>> type(d)
<class complex>
                       # complex number
```

# Summary useful commands (introspection)

- $\cdot$  print(x) to display the object x
- type(x) to determine the type of object x
- $\cdot$  help(x) to obtain the documentation string
- dir(x) to display the methods and members of object x, or the current name space (dir()).

#### Example:

```
>>> help("abs")
Help on built-in function abs:
abs(...)
   abs(number) -> number

Return the absolute value of the argument.
```

### Interactive documentation, introspection

```
>>> word = 'test'
>>> print(word)
test
>>> type(word)
<class str>
>>> dir(word)
['__add__', '__class__', '__contains__', ...,
' doc ', ..., 'capitalize', <snip>,
'endswith', ..., 'upper', 'zfill']
>>> word.upper()
'TEST'
>>> word.capitalize()
'Test'
>>> word.endswith('st')
True
>>> word.endswith('a')
False
```

# **Functions**

#### First use of functions

```
Example 1:

def mysum(a, b):
    return a + b

# main program starts here
print("The sum of 3 and 4 is", mysum(3, 4))
```

#### Functions should be documented

```
def mysum(a, b):
    """Return the sum of parameters a and b."""
    return a + b
# main program starts here
print("The sum of 3 and 4 is", mysum(3, 4))
Can now use the help function for our new function:
>>> help(mysum)
Help on function mysum in module main :
mysum(a, b)
    Return the sum of parameters a and b.
```

# Function documentation strings

```
def mysum(a, b):
    """Return the sum of parameters a and b."""
    return a + b
```

#### Essential information for documentation string:

- · What inputs does the function expect?
- · What does the function do?
- · What does it return

#### Desirable:

- Examples
- Notes on algorithm (if relevant)
- exceptions that might be raised
- [Author, date, contact details: not needed if version control is used]

LAB1

# Function documentation string example 1

```
def mysum(a, b):
    """Return the sum of parameters a and b.
    Parameters
    a : numeric
       first input
    b : numeric
        second input
    Returns
    a+b : numeric
        returns the sum (using the + operator) of a and b. The return type will
        depend on the types of `a` and `b`, and what the plus operator returns.
    Examples
    >>> mysum(10, 20)
    30
    >>> mysum(1.5, -4)
    -2.5
    Notes
    History: example first created 2002, last modified 2013
    Hans Fangohr, fangohr@soton.ac.uk,
    ...
    return a + b
```

# Function documentation string example 2

```
def factorial(n):
    """Compute the factorial.
    Parameters
    n : int
        Natural number `n` > 0 for which the factorial is computed.
    Returns
    n! : int
        Returns n * (n-1) * (n-2) * ... * 2 * 1
    Examples
    >>> factorial(1)
    >>> factorial(3)
    6
    >>> factorial(10)
    3628800
    >>> factorial(20)
    2432902008176640000
    assert n > 0
    if n == 1:
        return 1
    else:
      roturn n + factorial(n - 1)
```

# Function terminology

```
x = -1.5
y = abs(x)
```

- x is the argument given to the function (also called *input* or *parameter*)
- y is the return value (the result of the function's computation)
- · Functions may expect zero, one or more arguments
- Not all functions (seem to) return a value. (If no return keyword is used, the special object None is returned.)

# Function example

```
def plus42(n):
    """Add 42 to n and return""" # docstring
    1 = n + 42
                                    # body of
                                    # function
    return l
a = 8
b = plus42(a) # not part of function definition
After execution, b carries the value 50 (and a = 8).
```

# **Summary functions**

- Functions provide (black boxes of) functionality: crucial building blocks that hide complexity
- interaction (input, output) through input arguments and return values (*printing* and *returning* values is not the same!)
- docstring provides the specification (contract) of the function's input, output and behaviour
- a function should (normally) not modify input arguments (watch out for lists, dicts, more complex data structures as input arguments)

# Functions printing vs returning values

Given the following two function definitions:

```
def print42():
    print(42)

def return42():
    return 42
```

we use the Python prompt to explore the difference:

```
>>> b = return42()  # return 42, is assigned
>>> print(b)  # to b
42

>>> a = print42()  # return None, and
42  # print 42 to screen
>>> print(a)
None  # special object None
```

If we use IPython, it shows whether a function returns something (i.e. not None) through the Out [ ] token:

```
In [1]: return42()
Out[1]: 42  # Return value of 42

In [2]: print42()
42  # No 'Out [ ]', so no
# returned value
```

# **About Python**

## Python

## What is Python?

- · High level programming language
- interpreted
- supports three main programming styles (imperative=procedural, object-oriented, functional)
- General purpose tool, yet good for numeric work with extension libraries

### Availability

- Python is free
- Python is platform independent (works on Windows, Linux/Unix, Mac OS, ...)
- Python is open source

## Python documentation

There is lots of documentation that you should learn to use:

- Teaching materials on website, including these slides and a text-book like document
  - · Online documentation, for example
    - Python home page (http://www.python.org)
    - Matplotlib (publication figures)
    - Numpy (fast vectors and matrices, (NUMerical PYthon)
    - SciPy (scientific algorithms, solve\_ivp)
    - SymPy (Symbolic calculation)
- interactive documentation

# Which Python version

- There are currently two versions of Python:
  - · Python 2.7 and
  - Python 3.x
- · We will use version 3.9 or later
- Python 2.x and 3.x are incompatible although the changes only affect very few commands.
- · Write new programs in Python 3.
- You may have to read / work with Python 2 code at some point.

# The math module (import math)

```
>>> import math
>>> math.sqrt(4)
2.0
>>> math.pi
3.141592653589793
>>> dir(math) #attributes of 'math' object
[' doc _', '__file__', < snip >
'acos', 'acosh', 'asin', 'asinh', 'atan', 'atan2',
'atanh', 'ceil', 'copysign', 'cos', 'e', 'erf',
'exp', <snip>, 'sqrt', 'tan', 'tanh', 'trunc']
>>> help(math.sqrt) # ask for help on sqrt
sqrt(...)
    sqrt(x)
    Return the square root of x.
```

# Name spaces and modules

Three (good) options to access a module:

1. use the full name:

```
import math
print(math.sin(0.5))
```

2. use some abbreviation
 import math as m
 print(m.sin(0.5))
 print(m.pi)

3. import all objects we need explicitly
 from math import sin, pi
 print(sin(0.5))
 print(pi)

# Python 2: Integer division

Dividing two integers in Python 1 and 2 returns an integer:

We find the same behaviour in Java, C, Fortran, and many other programming languages.

#### Solutions:

• change (at least) one of the integer numbers into a floating point number (i.e.  $1 \rightarrow 1.0$ ).

· Or use float function to convert variable to float

```
>>> a = 1
>>> b = 2
>>> 1 / float(b)
0.5
```

• Or make use of Python's future division:

```
>>> from __future__ import division
>>> 1 / 2
0.5
```

# Python 3: Integer division

### In Python 3:

```
>>> 1 / 2 0.5
```

Dividing 2 integers returns a float:

```
>>> 4 / 2
2.0
>>> type(4 / 2)
<class float>
```

If we want integer division (i.e. an operation that returns an integer, and/or which replicates the default behaviour of Python 2), we use //:

# Coding style

# Coding style

- · Python programs must follow Python syntax.
- Python programs should follow Python style guide, because
  - · readability is key (debugging, documentation, team effort)
  - conventions improve effectiveness

# Common style guide: PEP8

## From http://www.python.org/dev/peps/pep-0008/:

- This document gives coding conventions for the Python code [...]
- This style guide evolves over time as additional conventions are identified and past conventions are rendered obsolete by changes in the language itself.
- Many projects have their own coding style guidelines. In the event of any conflicts, such project-specific guides take precedence for that project.
- One of Guido van Rossum's key insights is that code is read much more often than it is written. The guidelines provided here are intended to improve the readability of code and make it consistent across the wide spectrum of Python code. "Readability counts".

# PEP8 Style guide

- Indentation: use 4 spaces
- One space around assignment operator (=) operator:
   c = 5 and not c=5.
- Spaces around arithmetic operators can vary. Both
   x = 3\*a + 4\*b and x = 3 \* a + 4 \* b are okay.
- No space before and after parentheses:x = sin(x) but not x = sin(x)
- A space after comma: range(5, 10) and not range(5,10).
- No whitespace at end of line
- · No whitespace in empty line

- One or no empty line between statements within function
- Two empty lines between functions
- · One import statement per line
- import first standard Python library (such as math), then third-party packages (numpy, scipy, ...), then our own modules
- no spaces around = when used in keyword arguments:
   "Hello World".split(sep=' ') but not
   "Hello World".split(sep = ' ')

## PEP8 Style Summary

- Try to follow PEP8 guide, in particular for new code.
- Use tools to help us, for example Spyder editor can show PEP8 violations.
  - Similar tools/plugins are available for other editors. editors.
- pycodestyle program available to check source code from command line (used to be called pep8 in the past).
   To check file myfile.py for PEP8 compliance: pycodestyle myfile.py

# Style conventions for documentation strings

- Python documentation strings (pydoc) conventions:
  - PEP257 docstring style (from 2001), basis for both
  - numpydoc style (science) and
  - Google pydoc style
- Examples on slide 26 and 27 are compatible with all conventions
- Editors can highlight deviations
- Program to check documentation string style compliance in file myfile.py:
  - pydocstyle --convention=pep257 myfile.py
  - pydocstyle --convention=numpy myfile.py
  - pydocstyle --convention=google myfile.py

# Conditionals, if-else

#### Truth values

The python values True and False are special inbuilt objects:

```
>>> a = True
>>> print(a)
True
>>> type(a)
<class bool>
>>> b = False
>>> print(b)
False
>>> type(b)
<class bool>
```

We can operate with these two logical values using boolean logic, for example the logical and operation (and):

>>> True and True # logical and operation
True
>>> True and False
False
>>> False and True
False
>>> False and False
False

There is also logical or (or) and the negation (not):

```
>>> True or False
True
>>> not True
False
>>> not False
True
>>> True and not False
True
```

In computer code, we often need to evaluate some expression that is either true or false (sometimes called a "predicate"). For example:

```
>>> x = 30
                # assign 30 to x
>>> x >= 30
                # is x greater than or equal to 30?
True
>>> x > 15
                # is x greater than 15
True
>>> x > 30
False
>>> x == 30 # is x the same as 30?
True
>>> not x == 42 # is x not the same as 42?
True
\Rightarrow x != 42 # is x not the same as 42?
True
```

### if-then-else

The if-else command allows to branch the execution path depending on a condition. For example:

The general structure of the if-else statement is

if A:
B
else:

where A is the predicate.

- If A evaluates to True, then all commands B are carried out (and C is skipped).
- If A evaluates to False, then all commands C are carried out (and B) is skipped.
- · if and else are Python keywords.

A and B can each consist of multiple lines, and are grouped through indentation as usual in Python.

## if-else example

```
def slength1(s):
    """Returns a string describing the
    length of the sequence s"""
    if len(s) > 10:
        ans = 'very long'
    else:
        ans = 'normal'
    return ans
>>> slength1("Hello")
'normal'
>>> slength1("HelloHello")
'normal'
>>> slength1("Hello again")
'very long'
```

# if-elif-else example

If more cases need to be distinguished, we can use the keyword elif (standing for ELse IF) as many times as desired:

```
def slength2(s):
    if len(s) == 0:
        ans = 'empty'
    elif len(s) > 10:
        ans = 'very long'
    elif len(s) > 7:
        ans = 'normal'
    else:
        ans = 'short'
    return ans
```

```
>>> slength2("")
'empty'
>>> slength2("Good Morning")
'very long'
>>> slength2("Greetings")
'normal'
>>> slength2("Hi")
'short'
```

LAB2

# Sequences

# Sequences overview

### Different types of sequences

- strings
- · lists (mutable)
- tuples (immutable)
- arrays (mutable, part of numpy)

They share common behaviour.

# Strings

```
>>> a = "Hello World"
>>> type(a)
<class str>
>>> len(a)
11
>>> print(a)
Hello World
Different possibilities to limit strings:
  'A string'
  "Another string"
  "A string with a ' in the middle"
  """A string with triple quotes can
  extend over several
  lines"""
```

# Strings 2 (exercise)

• Define a, b and c at the Python prompt:

```
>>> a = "One"
>>> b = "Two"
>>> c = "Three"
```

• Exercise: What do the following expressions evaluate to?

```
1. d = a + b + c

2. 5 * d

3. d[0], d[1], d[2] (indexing)

4. d[-1]

5. d[4:] (slicing)
```

# Strings 3 (exercise)

```
>>> s="""My first look at Python was an
... accident, and I didn't much like what
... I saw at the time."""
```

### For the string s:

- · count the number of (i) letters 'e' and (ii) substrings 'an'
- replace all letters 'a' with '0'
- · make all letters uppercase
- make all capital letters lowercase, and all lower case letters to capitals

```
[] # the empty list
[42] # a 1-element list
[5, 'hello', 17.3] # a 3-element list
[[1, 2], [3, 4], [5, 6]] # a list of lists
```

- Lists store an ordered sequence of Python objects
- · Access through index (and slicing) as for strings.
- use help(), often used list methods is append()

(In general computer science terminology, vector or array might be better name as the actual implementation is not a linked list, but direct  $\mathcal{O}(1)$  access through the index is possible.)

## Example program: using lists

```
>>> a = []
                    # creates a list
>>> a.append('dog') # appends string 'dog'
>>> a.append('cat') # ...
>>> a.append('mouse')
>>> print(a)
['dog', 'cat', 'mouse']
>>> print(a[0])
               # access first element
dog
                     # (with index 0)
>>> print(a[1])
                    # ...
cat
>>> print(a[2])
mouse
>>> print(a[-1])
                # access last element
mouse
>>> print(a[-2])
                # second last
cat
```

# Example program: lists containing a list

```
>>> a = ['dog', 'cat', 'mouse', [1, 10, 100, 1000]]
>>> a
['dog', 'cat', 'mouse', [1, 10, 100, 1000]]
>>> a[0]
dog
>>> a[3]
[1, 10, 100, 1000]
>>> max(a[3])
1000
>>> min(a[3])
>>> a[3][0]
>>> a[3][1]
10
>>> a[3][3]
1000
```

# Sequences – more examples

```
>>> a = "hello world"
>>> a[4]
0'
>>> a[4:7]
'o w'
>>> len(a)
11
>>> 'd' in a
True
>>> 'x' in a
False
>>> a + a
'hello worldhello world'
>>> 3 * a
'hello worldhello worldhello world'
```

# **Tuples**

- tuples are very similar to lists
- tuples are immutable (unchangeable) whereas lists are mutable (changeable)
- tuples are usually written using parentheses (↔ "round brackets"):

```
>>> t = (3, 4, 50) # t for Tuple
>>> t
(3, 4, 50)
>>> type(t)
<class tuple>
>>> l = [3, 4, 50] # compare with l for List
```

```
>>> l
[3, 4, 50]
>>> type(l)
<class list>
```

## Tuples are defined by comma

• tuples are defined by the comma (!), not the parenthesis

```
>>> a = 10, 20, 30
>>> type(a)
<class tuple>
```

 the parentheses are usually optional (but should be written anyway):

```
>>> a = (10, 20, 30)
>>> type(a)
<class tuple>
```

# Tuples are sequences

normal indexing and slicing (because tuple is a sequence)

```
>>> t[1]
4
>>> t[:-1]
(3, 4)
```

## Why do we need tuples (in addition to lists)?

- 1. use tuples if you want to make sure that a set of objects doesn't change.
- 2. Using tuples, we can assign several variables in one line (known as *tuple packing* and *unpacking*)

$$x, y, z = 0, 0, 1$$

This allows "instantaneous swap" of values:

$$a, b = b, a$$

Strictly: "tuple packing" on right hand side and "sequence unpacking" on left.

3. functions return tuples if they return more than one object

```
def f(x):
    return x**2, x**3
a, b = f(x)
```

4. tuples can be used as keys for dictionaries as they are immutable

#### (Im)mutables

• Strings — like tuples — are immutable:

```
>>> a = 'hello world'  # String example
>>> a[3] = 'x'
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
TypeError: object does not support item assignment
```

 strings can only be 'changed' by creating a new string, for example:

```
>>> a = a[0:3] + 'x' + a[4:]
>>> a
'helxo world'
```

#### **Summary sequences**

- · lists, strings and tuples (and arrays) are sequences.
- sequences share the following operations

a[i]	returns element with index <i>i</i> of a
a[i:j]	returns elements $i$ up to $j-1$
len(a)	returns number of elements in sequence
min(a)	returns smallest value in sequence
max(a)	returns largest value in sequence
x in a	returns True if x is element in a
a + b	concatenates a and b
n * a	creates n copies of sequence a

In the table above, a and b are sequences, i, j and n are integers, x is an element.

#### Conversions

 We can convert any sequence into a tuple using the tuple function:

```
>>> tuple([1, 4, "dog"])
(1, 4, 'dog')
```

Similarly, the list function, converts sequences into lists:

```
>>> list((10, 20, 30))
[10, 20, 30]
```

 Looking ahead to iterators, we note that list and tuple can also convert from iterators:

```
>>> list(range(5))
[0, 1, 2, 3, 4]
```

And if you ever need to create an iterator from a sequence, the iter function can this:

```
>>> iter([1, 2, 3])
<list_iterator object at 0x1013f1fd0>
```

# Loops

#### Introduction loops

Computers are good at repeating tasks (often the same task for many different sets of data).

Loops are the way to execute the same (or very similar) tasks repeatedly ("in a loop").

Python provides the "for loop" and the "while loop".

#### Example program: for-loop

```
animals = ['dog', 'cat', 'mouse']
for animal in animals:
    print(f"This is the {animal}!")
produces
This is the dog!
This is the cat!
This is the mouse!
```

The for-loop *iterates* through the sequence animals and assigns the values in the sequence subsequently to the name animal.

# Iterating over integers

Often we need to iterate over a sequence of integers:

```
for i in [0, 1, 2, 3, 4, 5]:
    print(f"the square of {i} is {i**2}")
produces
the square of 0 is 0
the square of 1 is 1
the square of 2 is 4
the square of 3 is 9
the square of 4 is 16
the square of 5 is 25
```

# Iterating over integers with range

The range(n) object is used to iterate over a sequence of increasing integer values up to (but not including) n:

```
for i in range(6):
    print(f"the square of {i} is {i**2}")
produces
the square of 0 is 0
the square of 1 is 1
the square of 2 is 4
the square of 3 is 9
the square of 4 is 16
the square of 5 is 25
```

# The range object

- range is used to iterate over integer sequences
- · (Advanced:) range has its own type:

```
>>> type(range(6))
<class range>
```

• We can use the range object in for loops:

```
>>> for i in range(3):
... print(f"i={i}")
i=0
i=1
i=2
```

We can convert it to a list:

```
>>> list(range(6))
[0, 1, 2, 3, 4, 5]
```

 This conversion to list is useful to understand what sequences the range object would provide if used in a for loop:

```
>>> list(range(6))
[0, 1, 2, 3, 4, 5]
>>> list(range(0, 6))
[0, 1, 2, 3, 4, 5]
>>> list(range(3, 6))
[3, 4, 5]
>>> list(range(-3, 0))
[-3, -2, -1]
```

#### Summary range

#### range

range([start,] stop [,step]) iterates over integers
from start to stop (but not including stop) in steps of
step.

start defaults to 0 and step defaults to 1.

```
>>> list(range(0, 10))
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
>>> list(range(0, 10, 2))
[0, 2, 4, 6, 8]
>>> list(range(5, 4))
[] # no iterations
```

range objects are lazy sequences (Python range is not an iterator)

#### Iterating over sequences with for-loop

- for loop iterates over iterables.
- · Sequences are iterable.

```
for i in [0, 3, 4, 19]:
    print(i)
for animal in ['dog', 'cat', 'mouse']:
    print(animal)
for letter in "Hello World":
                                  # strings are
    print(letter)
                                  # sequences
for i in range(5):
                                  # range objects
    print(i)
                                  # are sequences
```

#### Reminder: If-then-else

```
• Example 1 (if-then-else)
    a = 42
    if a > 0:
        print("a is positive")
    else:
        print("a is negative or zero")
```

#### Another iteration example

This example generates a list of numbers often used in hotels to label floors (more info)

```
def skip13(a, b):
    """Given ints a and b, return
    list of ints from a to b without 13"""
    result = []
    for k in range(a, b):
        if k == 13:
            pass
                            # do nothing
        else:
            result.append(k)
    return result
```

#### Another iteration example 2

This example generates a list of numbers often used in hotels to label floors (more info)

```
def skip13(a, b):
    result = []
    for k in range(a, b):
        if k == 13:
            continue # jump to next iteration
        result.append(k)
    return result
```

# Exercise range\_double

Write a function range\_double(n) that generates a list of numbers similar to list(range(n)). In contrast to list(range(n)), each value in the list should be multiplied by 2. For example:

```
>>> range_double(4)
[0, 2, 4, 6]
>>> range_double(10)
[0, 2, 4, 6, 8, 10, 12, 14, 16, 18]
```

For comparison the behaviour of **range**:

```
>>> list(range(4))
[0, 1, 2, 3]
>>> list(range(10))
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
```

#### For loop summary

- for-loop to iterate over sequences
- · can use range to generate sequences of integers
- special keywords:
  - continue skip remainder of body of statements and continue with next iteration
  - break leave for-loop immediately
- · Advanced:
  - · can iterate over any iterable
  - · we can create our own iterables
  - See summary Socratica on Iterators, Iterables, and Itertools

#### Exercise: First In First Out (FIFO) queue

Write a *First-In-First-Out* queue implementation, with functions:

- add(name) to add a customer with name name (call this when a new customer arrives)
- next() to be called when the next customer will be served. This function returns the name of the customer
- show() to print all names of customers that are currently waiting
- length() to return the number of currently waiting customers

Suggest to use a global variable q and define this in the first line of the file by assigning an empty list: q = [].

# While loops

- Reminder: a for loop iterates over a given sequence or iterator
- · A while loop iterates while a condition is fulfilled

#### Example:

8

```
x = 64
while x > 10:
    x = x // 2
    print(x)
produces
32
16
```

```
*
```

```
Determine \epsilon:
eps = 1.0
while eps + 1 > 1:
    eps = eps / 2.0
print(f"epsilon is {eps}")
Output:
epsilon is 1.11022302463e-16
```

#### Iterables and iterators (advanced)

- · an object is iterable if the for-loop can iterate over it
- an *iterator* has a \_\_next()\_\_ method, i.e. can be used with next(). The iterator is iterable.

```
>>> i = iter(["dog", "cat"]) # create iterator
                                 # from list
>>> next(i)
'dog'
>>> next(i)
'cat'
>>> next(i)
                                 # reached end
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
  StopIteration
```

#### **Generators** (advanced)

- Generators are functions defined using yield instead of return
- When called, a generator returns an *object that behaves like an iterator*: it has a next method.

```
>>> next(s)
1
>>> next(s)
4
>>> next(s)
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
StopIteration
```

The execution flow returns at the yield keyword (similar to return), but the flow continues after the yield when the next method is called the next time.

A more detailed example demonstrates this:

```
def squares(n):
    print("begin squares()")
    for i in range(n):
        print(f" before yield i={i}")
        vield i**2
        print(f" after yield i={i}")
>>> g = squares(3)
>>> next(g)
begin squares()
  before yield i= 0
0
>>> next(g)
  after yield i= 0
  before yield i= 1
```

```
>>> next(g)
  after yield i= 1
  before yield i= 2
4
>>> next(g)
  after yield i= 2
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
StopIteration
```

See also Socratica on Iterators, Iterables, and Itertools

Some things revisited

#### What are variables?

In Python, variables are references to (or names of) objects.

This is why in the following example, **a** and **b** represent the same list: **a** and **b** are two different references to the same object:

```
>>> a = [0, 2, 4, 6] # bind name 'a' to list
                      # object [0,2,4,6].
>>> a
[0, 2, 4, 6]
>>> b = a
                      # bind name 'b' to the same
>>> b
                      # list object.
[0, 2, 4, 6]
>>> b[1]
                      # show second element in list
                      # object.
>>> b[1] = 10
                      # modify 2nd elememnt (via b).
>>> b
                      # show b.
[0, 10, 4, 6]
>>> a
                      # show a.
[0, 10, 4, 6]
```

#### id, == and is

- Two objects a and b are the *same object* if they live in the same place in memory.
- Python provides the id function that returns the identity of an object. (It is the memory address.)
- We check with id(a) == id(b) or a is b wether a and b are the same object.
- Two different objects can have the same value. We check
   with ==
   See "Equality and identity", section 3.5

#### Example 1

#### Example 2

#### Functions - side effect

If we carry out some activity A, and this has an (unexpected) effect on something else, we speak about *side effects*. Example:

```
def sum(xs):
    s = 0
    for i in range(len(xs)):
        s = s + xs.pop()
    return s
xs = [10, 20, 30]
print(f"xs = {xs};     ", end='')
print(f"sum(xs)={sum(xs)};  ", end='')
print(f"xs = \{xs\}")
```

Output:

```
xs = [10, 20, 30]; sum(xs)=60; xs = []
```

#### Functions - side effect 2

Better ways to compute the sum of a list xs (or sequence in general)

```
    use in-built command sum(xs)

    use indices to iterate over list

    def sum(xs):
        S = 0
        for i in range(len(xs)):
             s = s + xs[i]
        return s
• or (better): iterate over list elements directly
    def sum(xs):
        S = 0
         for elem in xs
             s = s + elem
        return s
```

### To print or to return?

- A function that returns the control flow through the return keyword, will return the object given after return.
- A function that does not use the **return** keyword, returns the special object **None**.
- · Generally, functions should return a value
- Generally, functions should not print anything
- Calling functions from the prompt can cause some confusion here: if the function returns a value and the value is not assigned, it will be printed.
- · See slide 31.

Reading and Writing files

# File input/output

It is a (surprisingly) common task to

- · read some input data file
- · do some calculation/filtering/processing with the data
- · write some output data file with results

Python distinguishes between

- text files ('t')
- binary files 'b')

If we don't specify the file type, Python assumes we mean text files.

# Writing a text file

```
>>> f = open('test.txt', 'wt') # Write Textfile
>>> f.write("first line\nsecond line")
22  # returns number of chars written
>>> f.close()
creates a file test.txt that reads
first line
second line
```

• To write data, we need to open the file with 'w' mode:

```
f = open('test.txt', 'w')
```

By default, Python assumes we mean text files. However, we can be explicit and say that we want to create a Text file for Writing:

```
f = open('test.txt', 'wt')
```

- If the file exists, it will be overridden with an empty file when the open command is executed.
- The file object f has a method f.write which takes a string as in input argument.
- Must close file at the end of writing process using f.close().

# Reading a text file

We create a file object f using

```
>>> f = open('test.txt', 'rt') # Read Textfile
and have different ways of reading the data:
```

f.readlines() returns a list of strings (each being one line)

```
>>> f = open('test.txt', 'rt')
>>> lines = f.readlines()
>>> f.close()
>>> lines
['first line\n', 'second line']
```

2. f.read() returns one long string for the whole file

```
>>> f = open('test.txt', 'rt')
>>> data = f.read()
>>> f.close()
>>> data
'first line\nsecond line'
```

3. Use text file **f** as an iterable object: process one line in each iteration (important for large files):

```
>>> f = open('test.txt', 'rt')
>>> for line in f:
... print(line, end='')
...
first line
```

```
second line
>>> f.close()
```

# Opening and automatic file closing through context manager

Python provides *context managers* that we use using with. For the file access:

```
>>> with open('test.txt', 'rt') as f:
...    data = f.read()
...
>>> data
'first line\nsecond line'
```

If we use the file context manager, it will close the file automatically (when the control flows leaves the indented block).

Once you are familiar with file access, we recommend you use this method.

### Use case: Reading a file, iterating over lines

 Often we want to process line by line. Typical code fragment:

```
f = open('myfile.txt', 'rt')
lines = f.readlines()
f.close()
# some processing of the lines object
```

- It is good practice to close a file as soon as possible.
- Better: using the context manager:

```
with open('myfile.txt', 'rt') as f:
    lines = f.readlines()
# some processing of the lines object
```

# Splitting a string

 We often need to split a string into smaller parts: use string method split(): (try help("".split) at the Python prompt for more info)

#### Example:

```
>>> c = 'This is my string'
>>> c.split()
['This', 'is', 'my', 'string']
>>> c.split('i')
['Th', 's ', 's my str', 'ng']
```

# Exercise: Shopping list

#### Given a list

```
bread 1 1.39
tomatoes 6 0.26
milk 3 1.45
coffee 3 2.99
```

Write program that computes total cost per item, and writes to shopping\_cost.txt:

bread	1.39
tomatoes	1.56
milk	4.35
coffee	8.97

#### One solution

One solution is **shopping\_cost.py** 

```
fin = open('shopping.txt', 'tr') # INput File
lines = fin.readlines()
fin.close() # close file as soon as possible
fout = open('shopping_cost.txt', 'tw') # OUTput File
for line in lines:
   words = line.split()
    itemname = words[0]
    number = int(words[1])
    cost = float(words[2])
    totalcost = number * cost
    fout.write(f"{itemname:20} {totalcost}\n")
fout.close()
```

#### Exercise

Write function print\_line\_sum\_of\_file(filename) that reads a file of name filename containing numbers separated by spaces, and which computes and prints the sum for each line. A data file might look like

LAB4

# Binary files 1

• Files that store *binary* data are opened using the 'b' flag (instead of 't' for Text):

```
f = open('data.dat', 'br')
```

- For text files, we read and write str objects. For binary files, use the bytes type instead.
- By default, store data in text files. Text files are human readable (that's good) but take more disk space than binary files.
- Reading and writing binary data is outside the scope of this introductory module. If you read arbitrary binary data, you may need the struct module.
- · For large/complex scientific data, consider HDF5.

#### HDF5 files

- If you need to store large and/or complex data, consider the use of HDF5 files: https: //portal.hdfgroup.org/display/HDF5/HDF5
- Python interface: https://www.h5py.org (import h5py)
- · hdf5 files
  - provide a hierarchical structure (like subdirectories and files)
  - · can compress data on the fly
  - supported by many tools
  - standard in some areas of science
  - optimised for large volume of data and effective access

#### HDF5 files: Example data European XFEL

```
[fangohr@max-display001]/gpfs/exfel/exp/XMPL/201750/p700000/raw/r0002% ls -lh
total 756G
-r--r-- 1 xmpldag exfel 7.5G Feb 12 18:50 RAW-R0034-AGIPD00-S00000.h5
-r--r--- 1 xmpldag exfel 8.1G Feb 12 18:51 RAW-R0034-AGIPD00-S00001.h5
-r--r--- 1 xmpldaq exfel 8.1G Feb 12 18:52 RAW-R0034-AGIPD00-S00002.h5
-r--r-- 1 xmpldag exfel 8.1G Feb 12 19:13 RAW-R0034-AGIPD02-S00003.h5
-r--r-- 1 xmpldaq exfel 8.1G Feb 12 19:14 RAW-R0034-AGIPD02-S00004.h5
-r--r--- 1 xmpldag exfel 7.4G Feb 12 19:15 RAW-R0034-AGIPD02-S00005.h5
-r--r-- 1 xmpldag exfel 194K Feb 12 19:15 RAW-R0034-AGIPD02-S00006.h5
-r--r-- 1 xmpldag exfel 7.5G Feb 12 18:02 RAW-R0034-AGIPD03-S00000.h5
-r--r--- 1 xmpldag exfel 8.1G Feb 12 18:03 RAW-R0034-AGIPD03-S00001.h5
-r--r--- 1 xmpldaq exfel 8.1G Feb 12 18:04 RAW-R0034-AGIPD03-S00002.h5
-r--r-- 1 xmpldag exfel 8.1G Feb 12 18:05 RAW-R0034-AGIPD03-S00003.h5
-r--r-- 1 xmpldaq exfel 8.1G Feb 12 18:06 RAW-R0034-AGIPD03-S00004.h5
-r--r--- 1 xmpldag exfel 7.5G Feb 12 18:07 RAW-R0034-AGIPD03-S00005.h5
-r--r-- 1 xmpldag exfel 194K Feb 12 18:07 RAW-R0034-AGIPD03-S00006.h5
-r--r-- 1 xmpldaq exfel 7.5G Feb 12 19:28 RAW-R0034-AGIPD04-S00000.h5
-r--r--- 1 xmpldaq exfel 8.1G Feb 12 19:30 RAW-R0034-AGIPD04-S00001.h5
-r--r-- 1 xmpldag exfel 8.1G Feb 12 19:31 RAW-R0034-AGIPD04-S00002.h5
-r--r-- 1 xmpldaq exfel 8.1G Feb 12 19:32 RAW-R0034-AGIPD04-S00003.h5
-r--r-- 1 xmpldaq exfel 8.1G Feb 12 19:33 RAW-R0034-AGIPD04-S00004.h5
-r--r-- 1 xmpldag exfel 7.5G Feb 12 19:34 RAW-R0034-AGIPD04-S00005.h5
-r--r-- 1 xmpldag exfel 194K Feb 12 19:34 RAW-R0034-AGIPD04-S00006.h5
```

#### HDF5 files: Example data European XFEL

- SPB IRU AGIPD1M1/CTRL/MC1

```
[fangohr@max-display001]/gpfs/exfel/exp/XMPL/201750/p700000/raw/r0002% lsxfel
r0002 : Run directory
# of trains: 3392
Duration:
            0:05:39.100000
First train ID: 79726751
Last train ID: 79730142
16 detector modules (SPB DET AGIPD1M-1)
  e.g. module SPB DET AGIPD1M-1 0 : 512 x 128 pixels
  64 frames per train, 191872 total frames
3 instrument sources (excluding detectors):
  - SA1 XTD2 XGM/XGM/DOOCS:output
  - SPB IRU SIDEMIC CAM:dagOutput
  - SPB XTD9 XGM/XGM/DOOCS:output
13 control sources:
  - ACC SYS DOOCS/CTRL/BEAMCONDITIONS
  - SA1 XTD2 XGM/XGM/DOOCS
 - SPB IRU AGIPD1M/PSC/HV
 - SPB IRU_AGIPD1M/TSENS/H1_T_EXTHOUS
  - SPB IRU AGIPD1M/TSENS/H2 T EXTHOUS
  - SPB IRU AGIPD1M/TSENS/Q1 T BLOCK
  - SPB IRU AGIPD1M/TSENS/02 T BLOCK
 - SPB IRU AGIPD1M/TSENS/03 T BLOCK
  - SPB_IRU_AGIPD1M/TSENS/Q4_T_BLOCK
```

# Exceptions

## Exceptions

- Errors arising during the execution of a program result in "exceptions" being 'raised' (or 'thrown').
- We have seen exceptions before, for example when dividing by zero:

```
>>> 4.5 / 0
Traceback (most recent call last):
   File "<stdin>", line 1, in <module>
ZeroDivisionError: float division by zero
or when we try to access an undefined variable:
```

```
>>> print(x)
Traceback (most recent call last):
   File "<stdin>", line 1, in <module>
NameError: name 'x' is not defined
```

- Exceptions are a modern way of dealing with error situations
- We will now see
  - what exceptions are coming with Python
  - how we can "catch" exceptions
  - · how we can raise ("throw") exceptions in our code

### In-built Python exceptions

Python's in-built exceptions (from https://docs.python.org/3/library/exceptions.html)

```
BaseException
 +-- SystemExit
 +-- KeyboardInterrupt
 +-- GeneratorExit
 +-- Exception
      +-- StopIteration
      +-- StopAsyncIteration
      +-- ArithmeticError
           +-- FloatingPointError
           +-- OverflowError
          +-- ZeroDivisionError
      +-- AssertionFrror
      +-- AttributeError
      +-- BufferFrror
      +-- EOFError
```

+-- ImportError +-- ModuleNotFoundFrror +-- LookupError +-- IndexFrror +-- KevError +-- MemoryError +-- NameError +-- UnboundLocal Error +-- OSError +-- BlockingIOError +-- ChildProcessError +-- ConnectionError +-- BrokenPipeError +-- ConnectionAbortedError +-- ConnectionRefusedError +-- ConnectionResetError +-- FileExistsError +-- FileNotFoundError +-- InterruptedError +-- IsADirectoryError +-- NotADirectoryError

+-- PermissionError +-- ProcessLookupError +-- TimeoutError +-- ReferenceFrror +-- RuntimeError +-- NotImplementedError +-- RecursionError +-- SyntaxError +-- IndentationError +-- TabFrror +-- SystemError +-- TypeError +-- ValueError +-- UnicodeError +-- UnicodeDecodeError +-- UnicodeEncodeError +-- UnicodeTranslateFrror +-- Warning +-- DeprecationWarning +-- PendingDeprecationWarning +-- RuntimeWarning

- +-- SyntaxWarning
- +-- UserWarning
- +-- FutureWarning
- +-- ImportWarning
- +-- UnicodeWarning
- +-- BytesWarning
- +-- ResourceWarning

Somewhat advanced use of Python: We can provide our own exception classes (by inheriting from Exception).

### **Exceptions example**

· suppose we try to read data from a file:

```
f = open('myfilename.dat', 'r')
for line in f.readlines():
    print(line)
```

• If the file doesn't exist, then the open() function raises the FileNotFoundError exception:

```
FileNotFoundError: [Errno 2] No such file

→ or directory: 'myfilename.txt
```

## Catching exceptions

We can modify our code to 'catch' this error:

```
try:
1
       f = open('xmyfilename.txt', 'r')
  except FileNotFoundError:
       print("The file couldn't be found.")
4
  else:
       # this is executed if no exception is raised
       for line in f:
7
           print(line)
8
       f.close()
   which produces this message:
   The file couldn't be found.
```

The try branch (line 3) will be executed.

- Should an FileNotFoundError exception be raised, then the except branch (starting line 5) will be executed.
- Should no exception be raised in the try branch, then the except branch is ignored, and the program carries on starting in line 9.
- the sys.exit(n) function call stops the program, and returns the value of the integer n to the operating system as an error code.

Slight extension to print more detailed error message:

```
try:
1
       f = open('myfilename.txt', 'r')
2
   except FileNotFoundError as error:
       print("The file couldn't be found.")
       print(f"Error message: {error}")
5
   else:
       # this is executed if no exception is raised
7
       for line in f:
            print(line)
       f.close()
10
   Output:
   The file couldn't be found.
   Error message: [Errno 2] No such file or directory:
   → 'mvfilename.txt'
```

# Catching exceptions summary

- Catching exceptions allows us to take action on errors that occur
  - For the file-reading example, we could ask the user to provide another file name if the file can't be opened.
- Catching an exception once an error has occurred may be easier than checking beforehand whether a problem will occur ("It is easier to ask forgiveness than get permission".)

# Overview try-except-else-finally

```
try:
    # statement that might raise an exception
    pass
except SomeError:
    # deal with error
    pass
else:
    # code to execute if no error is raised
    pass
finally:
    # code that must always be executed
    # (for example closing a file)
    pass
```

### try-except example

From Python documentation

```
trv:
    f = open("myfile.txt")
    s = f.readline()
    i = int(s.strip())
except OSError as err:
    print("OS error:", err)
except ValueError:
    print("Could not convert data to an integer.")
except Exception as err:
    print(f"Unexpected {err=}, {type(err)=}")
    raise
```

The last raise re-raises the last exception as if it wasn't caught before.

# Raising exceptions

- Because exceptions are Python's way of dealing with runtime errors, we should use exceptions to report errors that occur in our own code.
- To raise a ValueError exception, we use raise ValueError("Message") and can attach a message "Message" (of type string) to that exception which can be seen when the exception is reported or caught:

```
>>> raise ValueError("Some problem occurred")
Traceback (most recent call last):
   File "<stdin>", line 1, in <module>
ValueError: Some problem occurred
```

### Raising NotImplementedError Example

def my complicated function(x):

Often used is the NotImplementedError in incremental software development:

```
message = f"Called with x={x}"
    raise NotImplementedError(message)

If we call the function:

>>> my_complicated_function(42)

Traceback (most recent call last):
    File "<stdin>", line 1, in <module>
    File "<stdin>", line 3, in my_complicated_function
NotImplementedError: Called with x=42
```

#### Exercise

Extend print\_line\_sum\_of\_file(filename) so that if the data file contains non-numbers (i.e. strings), these evaluate to the value 0. For example

```
1 2 4 -> 7
1 cat 4 -> 5
coffee -> 0
```

LAB5

Printing

# Printing basics

- the print function sends content to the "standard output" (usually the screen)
- $\cdot$  print() prints an empty line:

```
>>> print()
```

• Given a single string argument, this is printed, followed by a new line character:

```
>>> print("Hello")
Hello
```

 Given another object (not a string), the print function will ask the object for its preferred way to be represented as a string (via the \_\_str\_\_ method):

```
>>> print(42)
42
```

• Given multiple objects separated by commas, they will be printed separated by a space character:

```
>>> print("dog", "cat", 42)
dog cat 42
```

• To supress printing of a new line, use the end=' ' option:

```
>>> print("Dog", end=''); print("Cat")
DogCat
>>>
```

Or customise the token added at the end of the string.

```
>>> print("Dog", end=' [bark]\n')
Dog [bark]
```

• We can also redirect printing into file objects:

```
>>> with open("test.txt", "tw") as f:
... print("Hello World", file=f)
...
>>> with open("test.txt") as f:
... f.read()
...
'Hello World\n'
```

### Common strategy for the print command

 Construct some string s, then print this string using the print function

```
>>> s = "I am the string to be printed"
>>> print(s)
I am the string to be printed
```

• The question is, how can we construct the string s? We talk about string formatting.

# String formatting overview

- 1. 1991: % operator (Python 1 and 2)
- 2. 2006: str.format() "new style" or "advanced" string formatting (Python 3)
- 3. 2016: f-strings (Python 3.6)

# 1. String formatting: the percentage (%) operator

#### % operator syntax

Syntax: A % B

where A is a string, and B a Python object, or a tuple of

Python objects.

The format string **A** needs to contain *k* format specifiers if the tuple has length *k*. The operation returns a string.

Example: basic formatting of one number

```
>>> import math
>>> p = math.pi
>>> "%f" % p
                  # format p as float (%f)
'3.141593'
                  # returns string
>>> "%d" % p
                  # format p as integer (%d)
131
>>> "%e" % p
                  # format p in exponential style
'3.141593e+00'
>>> "%g" % p
                  # format using fewer characters
'3,14159'
```

The format specifiers can be combined with arbitrary characters in string:

```
>>> 'the value of pi is approx %f' % p
'the value of pi is approx 3.141593'
>>> '%d is my preferred number' % 42
'42 is my preferred number'
```

#### Combining multiple objects

```
>>> "%d times %d is %d" % (10, 42, 10 * 42)
'10 times 42 is 420'
>>> "pi=%f and 3*pi=%f is approx 10" % (p, 3*p)
'pi=3.141593 and 3*pi=9.424778 is approx 10'
```

# Fixing width and/or precision of resulting string

```
>>> '%f' % 3.14 # default width and precision
'3.140000'
>>> '%10f' % 3.14  # 10 characters long
' 3.140000'
>>> '%10.2f' % 3.14 # 10 long, 2 post-dec digits
      3.14'
>>> '%.2f' % 3.14  # 2 post-decimal digits
'3.14'
```

```
>>> '%.14f' % 3.14 # 14 post-decimal digits '3.1400000000000000'
```

There is also the format specifier %s that expects a string, or an object that can provide its own string representation.

Combined with a width specifier, this can be used to align columns of strings in tables:

## Common formatting specifiers

A list of common formatting specifiers, with example output for the astronomical unit (AU) which is the distance from Earth to Sun [in metres]:

```
>>> AU = 149597870700  # astronomical unit [m] 
>>> "%f" % AU  # line 1 in table 
'149597870700 000000'
```

1475770707000000		
specifier	style	Example output for AU
%f	floating point	149597870700.000000
%e	exponential notation	1.495979e+11
%g	shorter of %e or %f	1.49598e+11
%d	integer	149597870700
%s	str()	149597870700
%r	repr()	149597870700

# Summary %-operator for printing

Create string using the %-operator, then pass the string to the print function. Typically done in the same line:

```
>>> import math
>>> print("My pi = %.2f." % math.pi)
My pi = 3.14.
```

Print multiple values:

```
>>> print("a=%d b=%d" % (10, 20))
a=10 b=20
```

Very similar syntax exists in other languages, for example C and Matlab, for formatted data output to screen and files.

# 2. New style string formatting (format method)

A new(er) system of built-in formatting has been proposed (PEP3101), titled Advanced String Formatting and is available in Python 3.

#### Basic ideas in examples:

· Pairs of curly braces are the placeholders.

```
>>> "{} owns {} bikes".format('Peter', 4)
'Peter owns 4 bikes'
```

 Formatting behaviour of %f can be achieved through {:f}, (same for %d, %e, etc)

```
>>> "Pi is approx {:f}.".format(math.pi)
'Pi is approx 3.141593.'
```

· Width and post decimal digits can be specified as before:

```
>>> "Pi is approx {:6.2f}.".format(math.pi)
'Pi is approx 3.14.'
>>> "Pi is approx {:.2f}.".format(math.pi)
'Pi is approx 3.14.'
```

#### **Further Reading**

- Examples
   http://docs.python.org/library/string.html#formatexamples
- Python Enhancement Proposal 3101

# 3. f-strings (formatted string literals)

- · Introduced in Python 3.6
- Described in PEP498 https://www.python.org/dev/peps/pep-0498/
- combines with str.format syntax

# f-strings examples

```
>>> name = "Fred"
>>> f"He said his name is {name}."
'He said his name is Fred.'
>>> value = 12.34567
>>> f"result: {value}"
'result: 12.34567'
```

### f-strings re-use new style syntax

We can combine f-strings with new format specifiers:

```
>>> value = 12.34567
>>> f"result: {value:10}" # 10 spaces
'result: 12.34567'
>>> f"result: {value:e}" # %e behaviour
'result: 1.234567e+01'
>>> f"result: {value:f}" # %f behaviour
'result: 12.345670'
>>> f"result: {value:.4f}" # 4 post-decimal digits
'result: 12.3457'
>>> f"result: {value:.4}" # 4 digits precision
'result: 12.35'
```

### Expressions in f-strings are evaluated at run time

We can evaluate Python expressions in the f-strings:

```
>>> import math
>>> f"The diagonal has length {math.sqrt(2)}."
'The diagonal has length 1.4142135623730951.'
```

(Advanced:) Precision specifier can be variables:

### Show variable name and value with {name=}

Convenient short cut for debugging print statements:

```
>>> a = 10
>>> b = 20
>>> c = math.sqrt(a**2 + b**2)
>>> f"State: {a=} {b=} {c=}"
'State: a=10 b=20 c=22.360679774997898'
```

# Comparison string formatting generations 1

```
Example 1
>>> value = 42
>>> "the value is %s" % value
'the value is 42'
>>> "the value is {}".format(value)
'the value is 42'
>>> f"the value is {value}"
'the value is 42'
```

### Comparison string formatting generations 2

#### Example 2

```
>>> import math
>>> x = math.pi
# conventional:
>>> "x is %f and x^2 is approx %.1f" % (x, x**2)
'x is 3.141593 and x^2 is approx 9.9'
# new-style:
>>> "x is \{:f\} and x^2 is approx \{:.1f\}".format(x, x**2)
'x is 3.141593 and x^2 is approx 9.9'
# f-strings:
>>> f''x is \{x:f\} and x^2 is approx \{x**2:.1f\}''
'x is 3.141593 and x^2 is approx 9.9'
>>> f"{x=:f} and {x**2=:.1f}" # alternative simplification
x=3.141593 and x**2=9.9
                                                             156
```

# What formatting should I use?

- · use f-strings if you can
- The .format method more elegant and versatile than %
- · % operator style okay, links to Matlab, C, ...
- · Choice partly a matter of taste, history and existing code
  - · do your collaborators know the method you use?
  - Should be aware (in a passive sense) of different possible styles (so we can read code from others)

# Changes from Python 2 to Python 3: print

One (maybe the most obvious) change going from Python 2 to Python 3 is that the **print** command loses its special status. In Python 2, we could print "Hello World" using

```
print "Hello World" # allowed in Python 2
```

Effectively, we call the function print with the argument "Hello World". All other functions in Python are called such that the argument is enclosed in parentheses, i.e.

```
print("Hello World") # required in Python 3
```

This is the new convention *required* in Python 3 (and *allowed* for recent version of Python 2.x.)

### The str function and \_\_str\_\_ method

All objects in Python should provide a method \_\_str\_\_ which returns an *informal* string representation of the object.

This method a.\_\_str\_\_ is called when we apply the str function to object a:

```
>>> a = 3.14
>>> a. str ()
'3.14'
>>> str(a)
'3.14'
>>> import datetime
>>> now = datetime.datetime.now()
>>> now
datetime.datetime(2022, 1, 13, 13, 44, 56, 392268)
>>> str(now)
'2022-01-13 13:44:56.392268'
```

### Implicit calling of str function

The string method  $x.\_str\_$  of object x is called implicitly, when we

- · use the "%s" format specifier in %-operator formatting to print x
- use the "{}" format specifier in .format to print x
- use the "{x}" notation in f-strings
- pass the object x directly to the print command

```
>>> now = datetime.datetime.now()
>>> now
datetime.datetime(2022, 1, 13, 13, 44, 56, 392268)
>>> "%s" % now
'2022-01-13 13:44:56.392268'
>>> "{}".format(now)
'2022-01-13 13:44:56.392268'
>>> f"{now}"
12022-01-13 13:44:56.3922681
>>> print(now)
2022-01-13 13:44:56.392268
```

# The repr function and \_\_repr\_\_ method

- The repr function should convert a given object into an as accurate as possible string representation
- The repr function will generally provide a more detailed string than str.
- Applying repr to the object x will attempt to call x.\_\_repr\_\_().
- The python (and IPython) prompt uses repr to 'display' objects.

#### Example:

```
>>> import datetime
>>> t = datetime.datetime.now()
>>> str(t)
'2022-01-13 13:55:39.158456'
>>> repr(t)
'datetime.datetime(2022, 1, 13, 13, 55, 39, 158456)'
>>> t
datetime.datetime(2022, 1, 13, 13, 55, 39, 158456)
```

For many objects, str(x) and repr(x) return the same string.

#### The eval function

The eval function accepts a string, and evaluates the string (as if it was entered at the Python prompt):

```
>>> x = 1
>>> eval('x + 1')
>>> s = "[10, 20, 30]"
>>> type(s)
<class str>
>>> eval(s)
[10, 20, 30]
>>> type(eval(s))
<class list>
```

### The repr and eval function

Given an accurate representation of an object as a string, we can convert that string into the object using the eval function.

```
>>> i = 42
>>> type(i)
<class int>
>>> repr(i)
'42'
>>> type(repr(i))
<class str>
>>> eval(repr(i))
42
>>> type(eval(repr(i)))
<class int>
```

The datetime example:

```
>>> import datetime
>>> t = datetime.datetime.now()
>>> t as string = repr(t)
>>> t as string
'datetime.datetime(2016, 9, 8, 14, 28, 48, 648192)'
>>> t2 = eval(t as string)
>>> †2
datetime.datetime(2016, 9, 8, 14, 28, 48, 648192)
>>> type(t2)
<class datetime.datetime>
>>> t == t2
True
```

**Higher Order Functions** 

#### Motivational exercise: function tables

• Write a function  $print_x2_table()$  that prints a table of values of  $f(x) = x^2$  for x = 0, 0.5, 1.0, ... 2.5, i.e.

- 0.0 0.0
- 0.5 0.25
- 1.0 1.0
- 1.5 2.25
- 2.0 4.0
- 2.5 6.25
- Then do the same for  $f(x) = x^3$
- Then do the same for  $f(x) = \sin(x)$

## Can we avoid code duplication?

```
Idea: Pass function f(x) to tabulate to tabulating function
Example: (print f table.py)
def print f table(f):
    for i in range(6):
         x = i * 0.5
         fx = f(x)
         print(f"{x} {fx}")
def square(x):
    return x ** 2
```

#### print\_f\_table(square)

#### produces

- 0.0 0.0
- 0.5 0.25
- 1.0 1.0
- 1.5 2.25
- 2.0 4.0
- 2.5 6.25

# Can we avoid code duplication (2)?

```
def print f table(f):
    for i in range(6):
        x = i * 0.5
        fx = f(x)
        print(f"{x} {fx}")
def square(x):
    return x ** 2
def cubic(x):
    return x ** 3
```

```
print("Square"); print_f_table(square)
print("Cubic"); print_f_table(cubic)
produces:
```

# Square

1	
0.0	0.0
0.5	0.25
1.0	1.0

1.5 2.25 2.0 4.0

2.5 6.25

Cubic

0.0 0.0

0.5 0.125

1.0 1.0

1.5 3.375

2.0 8.0

2.5 15.625

#### Example: iterating over functions

```
    Example (trigtable.py):

 import math
 funcs = [math.sin, math.cos]
 for f in funcs:
     fname = f. name
     for x in [0, math.pi/2]:
          fx = f(x)
          print(f"{fname}({x:.3f}) = {fx:.3f}")
 produces
        sin(0.000) = 0.000
       sin(1.571) = 1.000
        cos(0.000) = 1.000
        cos(1.571) = 0.000
```

## Higher order functions / are first class objects

Functions are 'just' objects in Python. Related terminology:

- Higher order functions accept (or return) functions as arguments.

# Modules

# Writing module files

- Motivation: it is useful to bundle functions that are used repeatedly and belong to the same subject area into one module file (also called "library")
- This allows to re-use the functions in multiple Python applications.
- Every Python file can be imported as a module.
- If the module file contains commands (other than class and function *definitions*) then these are executed when the file is imported. This can be desired but sometimes it is not.

## The internal \_\_name\_\_ variable (1)

Here is an example of a module file saved as module1.py:

def someusefulfunction():
 pass

```
print(f"My name is {__name__}")
We can execute this module file, and the output is
My name is __main__
```

The internal variable \_\_name\_\_ takes the (string) value
 "\_\_main\_\_" if the program file module1.py is executed.

 On the other hand, we can import module1.py in another file, for example like this:

#### import module1

The output is now:

#### My name is module1

 We see that \_\_name\_\_ inside a module takes the value of the module name if the file is imported.

```
if __name__ == __main__...
```

```
module2.py:

def someusefulfunction():
    pass

if __name__ == "__main__":
    print("I am the top level")

else:
    print(f"I am imported as a library '{__name__}}'")
```

- Line 5 is only executed when the module is executed as the top level (for example as python module2.py, or pressing F5 in Spyder when editing the dile module2.py).
- \_\_name\_\_ allows conditional execution of code when top-level or imported.

#### Application file example

```
def useful_function():
    # Core function in this app.
    # Could be useful in other apps.
    pass
def main():
    # Main functionality of this app in here.
    x = useful function()
    # ...
if __name__ == "__main__":
    main() # start main application
else:
    # get here if the file is imported
    pass
```

#### Library file example

```
def useful_function():
    # core functionality of library here
    pass
def test for useful function():
    print("Running self test ...")
if name == " main ":
    test for useful function()
else:
    print("Setting up library")
    # initialisation code that might be needed
    # if imported as a library
```

Default arguments

#### Default argument values

- Motivation:
  - · suppose we need to compute the area of rectangles and
  - · we know the side lengths a and b.
  - Most of the time, b=1 but sometimes b can take other values

```
oution 1:
    def area(a, b):
        return a * b

print(f"The area is {area(3, 1)}")
    print(f"The area is {area(2.5, 1)}")
    print(f"The area is {area(2.5, 2)}")
```

- We can make the function more user friendly by providing a *default* value for b. We then only have to specify b if it is different from this default value:
- · Solution 2 (with default value for argument b):

def area(a, b=1):

```
return a * b

print(f"The area is {area(3, 1)}")
print(f"The area is {area(2.5, 1)}")
```

print(f"The area is {area(2.5, 2)}")

- If a default value is defined, then this parameter (here b) is optional when the function is called.
- Default parameters have to be at the end of the argument list in the function definition.

#### Default argument values

You may have met default arguments in use before, for example

- the print function uses end='\n' as a default value
- the list.pop method uses index=-1 as a default

LAB6

#### Keyword argument values

- We can call functions with a "keyword" and a value. (The keyword is the name of the variable in the function definition.)
- · Here is an example

```
def f(a, b, c):
    print(f"{a=} {b=} {c=}")

f(1, 2, 3)
f(c=3, a=1, b=2)
f(1, c=3, b=2)
which produces this output:
```

- If we use *only* keyword arguments in the function call, then we do not need to know the *order* of the arguments. (This is good.)
- Choosing meaningful variable names in the function definition makes the function more user friendly.

## Combining keyword arguments with default argument values

- Can combine default value arguments and keyword arguments
- Example: Imagine for a numerical integration routine we use 100 subdivisions unless the user provides a number def trapez(function, a, b, subdivisions=100):

```
# code missing here
pass
```

#### Advanced: disallow or enforce keyword argument use

```
def standard_arg(arg):
    print(arg)
def pos only arg(arg, /):
    print(arg)
def kwd only arg(*, arg):
    print(arg)
def combined_example(pos_only, /, standard, *, kwd_only):
    print(pos_only, standard, kwd_only)
```

# Namespaces

## Name spaces — what can be seen where?

#### We distinguish between

- · global variables (defined in main program) and
- · local variables (defined for example in functions)
- built-in functions

# Python's look up rule

#### Python's look up rule for Names

When coming across an identifier, Python looks for this in the following order in

- the local name space (L)
- (if appropriate in the next higher level local name space), (L<sup>2</sup>, L<sup>3</sup>, ...)
- the global name space (G)
- the set of built-in commands (B)

This is summarised as "LGB" or "LnGB".

If the identifier cannot be found, a NameError is raised.

#### Local names shadow global names

• This means, we can *read* global variables from functions. Example:

```
def f():
    print(x)

x = 'I am global'
f()
Output:
I am global
```

• but local variables "shadow" global variables:

```
def f():
    y = 'I am local y'
    print(x)
    print(y)
x = 'I am global x'
y = 'I am global y'
f()
print("back in main:")
print(y)
Output:
I am global x
I am local y
back in main:
I am global y
```

• To *modify* global variables within a local namespace, we need to use the **global** keyword.

(This is not recommended so we won't explain it. See also next slide.)

## Why should I care about global variables?

- Generally, the use of global variables is not recommended:
  - functions should take all necessary input as arguments and
  - return all relevant output.
  - This makes the functions work as independent units which is good engineering practice and essential to control complexity of software.
- However, sometimes the same constant or variable (such as the mass of an object) is required throughout a program:

- it is not good practice to define this variable more than once (it is likely that we assign different values and get inconsistent results)
- in this case in small programs the use of (read-only) global variables may be acceptable.
- Object Oriented Programming provides a somewhat neater solution to this.

# Editors and IDEs

## IPython (interactive python)

- Interactive Python (ipython from Command Prompt/Unix-shell)
- · command history (across sessions), auto completion,
- special commands:
  - %run myfile will execute file myfile.py in current name space
  - %reset can delete all objects if required
  - use range? instead of help(range)
  - %logstart will log your session
  - · %prun will profile code
  - %timeit can measure execution time
  - %load loads file for editing (also from URL)
  - · %debug start debugger after error
  - · %pdb automatic calling of debugger
- Much more (read at http://ipython.org)

#### Jupyter Notebook useful for research and data science

- · Used to be the IPython Notebook, but now supports many more languages (JUlia, PYThon, ER ightarrow JUPYTER)
- Notebook is executable document hosted in web browser.
- Home page http://jupyter.org

#### Great value for research

- Fangohr etal: Data Exploration and Analysis with Jupyter Notebooks 10.18429/JACOW-ICALEPCS2019-TUCPR02 (2020)
- Granger and Perez: Thinking and Storytelling with Jupyter, 10.1109/MCSE.2021.3059263 (2021)
- Fangohr, Di Pierro and Kluyver: *Jupyter in Computational Science*, 10.1109/MCSE.2021.3059494 (2021)
- Beg, Fangohr, etal: Using Jupyter for reproducible scientific workflows, Computing in Science and Engineering 23, 36-46
   10.1109/MCSE.2021.3052101 (2021)
- Blog entry: Jupyter for Computational Science and Data Science (2022)

#### ... and many others

#### Including

- Fmacs
- · vim (vi)
- vim and Emacs → Spacemacs
- Spyder
- PyCharm (commercial)
- Visual studio code
- Sublime Text (commercial)
- ...

# List comprehension

## List comprehension

- List comprehension follows the mathematical "set builder notation"
- Convenient way to process a list into another list (without for-loop).

#### Examples

```
>>> [2*i for i in range(5)]
[0, 2, 4, 6, 8]

>>> [x**2 for x in range(10)]
[0, 1, 4, 9, 16, 25, 36, 49, 64, 81]
```

#### List comprehension structure

Structure of list comprehension:

```
[EXPRESSION(OBJECT) for OBJECT in SEQUENCE]
```

where **EXPRESSION**, **OBJECT**, and **SEQUENCE** can vary. Examples:

```
>>> [2*i for i in range(5)]
[0, 2, 4, 6, 8]
>>> import math
>>> [math.sqrt(x) for x in [1, 4, 9, 16]]
[1.0, 2.0, 3.0, 4.0]
>>> [s.capitalize() for s in ["dog", "cat", "mouse"]]
['Dog', 'Cat', 'Mouse']
```

#### List comprehension example 1 and 2

Can be useful to populate lists with numbers quickly

```
• Example 1:
 >>> ys = [x**2 for x in range(10)]
 >>> VS
 [0, 1, 4, 9, 16, 25, 36, 49, 64, 81]
• Example 2:
 >>> import math
 >>> xs = [0.1 * i for i in range(5)]
 >>> xs
 [0.0, 0.1, 0.2, 0.3, 0.4]
 >>> ys = [math.exp(x) for x in xs]
 >>> vs
 [1.0, 1.1051709180756477, 1.2214027581601699,
  1.3498588075760032, 1.4918246976412703
```

# List comprehension with filter

- include OBJECT only if CONDITION(OBJECT) is True.
- Example:

```
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
>>> [i for i in range(10) if i > 5]
[6, 7, 8, 9]
>>> [i for i in range(10) if i**2 > 5]
[3, 4, 5, 6, 7, 8, 9]
```

>>> [i for i in range(10)]

# Dictionary comprehension

In addition to list comprehension there is also dictionary comprehension available:

```
>>> {x: x**2 for x in range(5)}
{0: 0, 1: 1, 2: 4, 3: 9, 4: 16}
>>> {word: len(word) for word in ["dog", "bird", "mouse"]}
{'dog': 3, 'bird': 4, 'mouse': 5}
```

#### Generator comprehension (advanced)

Generators (see slide 92) can also be created using a comprehension syntax:

```
\Rightarrow gen = (x**2 \text{ for } x \text{ in range}(5))
>>> type(gen)
<class 'generator'>
>>> for item in gen:
... print(item)
9
16
>>> list( (x**2 for x in range(5)) )
[0, 1, 4, 9, 16]
>>>
```

# **Dictionaries**

#### **Dictionaries**

Python provides another data type: the dictionary.

Dictionaries are also called "associative arrays" and "hash tables".

· Dictionaries are unordered sets of key-value pairs.

Starting from Python 3.7, dictionaries preserve insertion order.

· An empty dictionary can be created using curly braces:

```
>>> d = {}
```

· Keyword-value pairs can be added like this:

We can retrieve values by using the keyword as the index:

```
>>> d['today']
"22 deg C"
```

```
d.keys() returns all keys:
 >>> d.keys()
 ['today', 'yesterday']
· d.values() returns all values:
 >>> d.values()
 ['22 deg C', '19 deg C']
· Check if key is in dictionary:
 >>> 'today' in d.keys()
 True
 Equivalent to
 >>> 'today' in d
 True
```

# Dictionary example 1

```
order = {} # create empty dictionary
# add orders as they come in
order['Peter'] = 'Sparkling water'
order['Paul'] = 'Half pint of beer'
order['Mary'] = 'Gin tonic'
# deliver order at bar
for person in order.keys():
    print(f"{person} requests {order[person]}")
which produces this output:
Peter requests sparkling water
Paul requests Half pint of beer
Mary requests Gin tonic
```

# Dictionary

#### Some more technicalities:

- The dictionary key can be any immutable Python object.
   This includes:
  - numbers
  - strings
  - · tuples.
- dictionaries are very fast in retrieving values (when given the key)

## Dictionary use case

```
# keys are names of people
# values are the room numbers
room = {} # better name: room by person
room["Andy"] = 1031
room["Barbara"] = 1027
room["Charles"] = 1033
for person in room.kevs():
    print(f"{person} works in {room[person]}")
Output:
Andy works in 1031
Barbara works in 1027
Charles works in 1033
```

#### Without dictionary:

```
people = ["Andy", "Barbara", "Charles"]
rooms = [1031, 1027, 1033]
# possible inconsistency here since we have two lists
if not len(people) == len(rooms):
    raise ValueError("people and rooms differ in length")
for i in range(len(rooms)):
    print(f"{people[i]} works in room {rooms[i]}")
Output:
Andy works in room 1031
Barbara works in room 1027
Charles works in room 1033
```

#### Iterating over dictionaries

Iterating over the dictionary itself is equivalent to iterating over the keys. Example:

```
order = {} # create empty dictionary
# add orders as they come in
order['Peter'] = 'Sparkling water'
order['Paul'] = 'Half pint of beer'
order['Mary'] = 'Gin tonic'
# iterating over keys:
for person in order.keys():
    print(f"{person} requests {order[person]}")
# is equivalent to iterating over the dictionary:
for person in order:
    print(f"{person} requests {order[person]}")
```

# Summary dictionaries

#### What to remember:

- Python provides dictionaries
- very powerful construct
- a bit like a data base (and values can be dictionary objects)
- fast to retrieve value
- likely to be useful if you are dealing with two lists at the same time (possibly one of them contains the keyword and the other the value)
- useful if you have a data set that needs to be indexed by strings or tuples (or other immutable objects)

# Recursion

#### Recursion



Recursion in a screen recording program, where the smaller window contains a snapshot of the entire screen. Source:

http://en.wikipedia.org/wiki/Recursion

#### Recursion example: factorial

- Computing the factorial (i.e. n!) can be done by computing (n-1)!n, i.e. we reduce the problem of size n to a problem of size n-1.
- For recursive problems, we always need a *base case*. For the factorial we know that 0! = 1.
- For n = 4:

$$4! = 3! \cdot 4$$

$$= 2! \cdot 3 \cdot 4$$

$$= 1! \cdot 2 \cdot 3 \cdot 4$$

$$= 0! \cdot 1 \cdot 2 \cdot 3 \cdot 4$$

$$= 1 \cdot 1 \cdot 2 \cdot 3 \cdot 4$$

$$= 24.$$
(1)
(2)
(3)
(4)
(5)

#### Recursion example

Python code to compute the factorial recursively:

```
def factorial(n):
     if n == 0:
        return 1
     else:
        return n * factorial(n-1)
Usage output:
   >>> factorial(0)
   factorial(0)
   >>> factorial(2)
   >>> factorial(4)
   24
```

## Recursion example Fibonacci numbers

```
Defined (recursively) as f(n) = f(n-1) + f(n-2) for integers n,
and n > 0, and f(1) = 0 and f(2) = 1
Python implementation (fibonacci.py):
def f(n):
     if n == 1:
         return 0
     elif n == 2:
         return 1
     else:
         return f(n-2) + f(n-1)
```

#### **Recursion exercises**

- Write a function recsum(n) that sums the numbers from 1 to n recursively
- 2. Study the recursive Fibonacci function from slide 216:
  - what is the largest number n for which we can reasonable compute f(n) within a minute?
  - Can you write faster versions of the Fibonacci function?
     (There are faster versions with and without recursion.)

# Common Computational Tasks

#### Overview common computational tasks

- Data file processing, python & numpy (array)
- Random number generation and Fourier transforms (numpy)
- Linear algebra (numpy)
- Interpolation of data (scipy.interpolate.interp)
- Fitting a curve to data (scipy.optimize.curve\_fit)
- Integrating a function numerically (scipy.integrate.quad)
- Integrating a ordinary differential equation numerically (scipy.integrate.solve\_ivp)

- Finding the root of a function (scipy.optimize.fsolve, scipy.optimize.brentq)
- Minimising a function (scipy.optimize.fmin)
- Symbolic manipulation of terms, including integration, differentiation and code generation (sympy)
- Data science, processing, cleaning and analysing data, tabular data (pandas)

Root finding

#### Rootfinding

#### **Root finding**

Given a function f(x), we are searching an  $x_0$  so  $f(x_0) = 0$ . We call  $x_0$  a root of f(x).

#### Why?

• Often need to know when a particular function reaches a value, for example the water temperature *T(t)* reaching 373 *K*. In that case, we define

$$f(t) = T(t) - 373$$

and search the root  $t_0$  for f(t)

#### We introduce two methods:

- · Bisection method
- Newton method

# The bisection algorithm

- Function: bisect(f, a, b)
- Assumptions:
  - Given: a (float)
  - Given: b (float)
  - Given: f(x), continuous with single root in [a, b], i.e. f(a)f(b) < 0
  - Given:  $f_{\text{tol}}$  (float), for example  $f_{\text{tol}} = 10^{-6}$

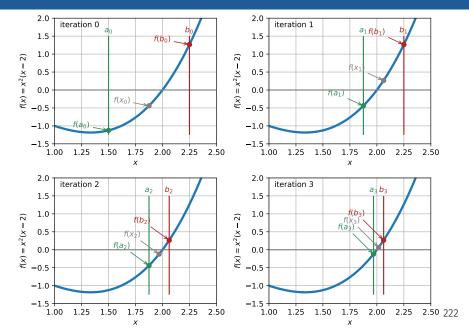
The bisection method returns x so that  $|f(x)| < f_{\text{tol}}$ .

- 1. x = (a + b)/2
- 2. while  $|f(x)| > f_{\text{tol}}$  do

• if 
$$f(x)f(a) > 0$$
  
then  $a \leftarrow x$  # throw away left half  
else  $b \leftarrow x$  # throw away right half  
•  $x = (a + b)/2$ 

3. return x

# The bisection algorithm

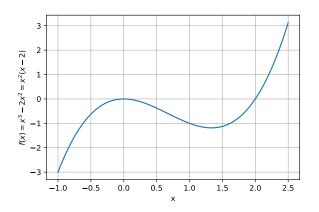


#### The bisection function from **scipy**

- Scientific Python provides an interface to the "Minpack" library. One of the functions is
- scipy.optimize.bisect(f, a, b[, xtol])
  - f is the function for which we search x such that f(x) = 0
  - a is the lower limit of the bracket [a, b] around the root
  - $\cdot$  b is the upper limit of the bracket [a, b] around the root
  - xtol is an optional parameter that can be used to modify the default accuracy of  $x_{\rm tol}=10^{-12}$
- the bisect function stops 'bisecting' the interval around the root when |b-a| < xtol.

#### Example

- Find root of function  $f(x) = x^2(x-2)$
- f has a double root at x = 0, and a single root at x = 2.
- Ask algorithm to find single root at x = 2.



# Using bisection algorithm from scipy

```
from scipy.optimize import bisect
def f(x):
    """returns f(x)=x^3-2x^2. Has roots at
    x=0 (double root) and x=2"""
    return x ** 3 - 2 * x ** 2
# main program starts here
x = bisect(f, a=1.5, b=3, xtol=1e-6)
print(f"Root is approx {x}.")
print(f"The exact error is \{x-2\}.")
print(f"Error is less than 1e-6: {abs(x-2)<1e-6}")</pre>
produces
Root is approx 2.000000238418579.
The exact error is 2.384185791015625e-07.
Error is less than 1e-6: True
```

#### The Newton method

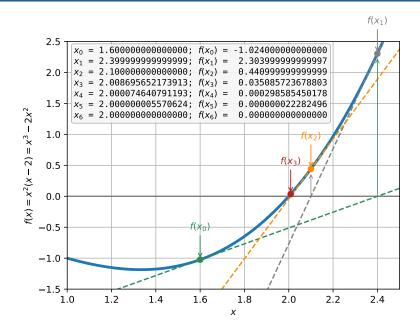
- Newton method for root finding: find  $x_0$  so that  $f(x_0) = 0$ .
- Idea: close to the root, the tangent of f(x) is likely to point to the root. Make use of this information.
- Algorithm: while |f(x)| > ftol, do

$$x \leftarrow x - \frac{f(x)}{f'(x)}$$

where 
$$f'(x) = \frac{\mathrm{d}f}{\mathrm{d}x}(x)$$
.

- Much better convergence than bisection method
- · but not guaranteed to converge.
- Need a good initial guess x for the root.
- Need a way to compute (approximate)  $f' \equiv \frac{df}{dx}(x)$ .

#### The Newton method (tol=1e-15)



## Using Newton algorithm from scipy

```
from scipy.optimize import newton
def f(x):
    """returns f(x)=x^3-2x^2. Has roots at
    x=0 (double root) and x=2"""
    return x ** 3 - 2 * x ** 2
# main program starts here
x = newton(f, x0=1.6, tol=1e-6)
print(f"Root is approx {x}.")
print(f"The exact error is {2-x}.")
produces
Root is approx 1.999999999999902.
The exact error is 9.769962616701378e-15.
Uses Secant method as f' is not known (and not passed to newton).
```

## Comparison Bisection & Newton method

#### Bisection method

- Requires root in bracket [a, b]
- guaranteed to converge (for single roots)
- Library function: scipy.optimize.bisect

#### Newton method

- Requires good initial guess x for root x<sub>0</sub>
- · may never converge
- but if it does, it is quicker than the bisection method
- Library function: scipy.optimize.newton

## Root finding summary

- Given the function f(x), applications for root finding include:
  - to find  $x_1$  so that  $f(x_1) = y$  for a given y (this is equivalent to computing the inverse of the function f).
  - to find crossing point  $x_c$  of two functions  $f_1(x)$  and  $f_2(x)$  (by finding root of difference function  $g(x) = f_1(x) f_2(x)$ )
- Recommended method: scipy.optimize.brentq which combines the safe feature of the bisect method with the speed of the Newton method.
- For multi-dimensional functions f(x), use scipy.optimize.fsolve.

## Using BrentQ algorithm from scipy

```
from scipy.optimize import brentq
def f(x):
    """returns f(x)=x^3-2x^2. Has roots at
    x=0 (double root) and x=2"""
    return x ** 3 - 2 * x ** 2
# main program starts here
x = brentq(f, a=1.5, b=3, xtol=1e-6)
print(f"Root is approx {x}.")
print(f"The exact error is {2-x}.")
produces:
Root is approx 2.0000000189582865.
The exact error is -1.8958286496228993e-08.
```

## Using fsolve algorithm from scipy

```
from scipy.optimize import fsolve # multidimensional solver
def f(x):
    """returns f(x)=x^2-2x^2. Has roots at
    x=0 (double root) and x=2"""
    return x**3 - 2 * x**2
sol = fsolve(f, x0=[1.6])
x = sol[0] # root x is first entry
print(f"Returned object is {sol=}.")
print(f"Root is approx {x}")
print(f"The exact error is {2-x}.")
produces:
Returned object is sol=array([2.]).
Root is approx. 2.0
The exact error is 0.0.
```

#### Example fsolve for multi-dimensional optimisation problem

```
from scipy.optimize import fsolve # multidimensional solver
def f(v):
    """Return f(x, y) = (x^3, y). Trivial example with
    root at x=0 and y=-1"""
    X \cdot V = V
    return x**3, y+1
x, y = fsolve(f, x0=[2, 2]) # start search from <math>x=2, y=2
print(f"Root is approx. {x=} {v=}")
produces:
Root is approx. x=2.2748231592544493e-17 y=-1.0
```

# Derivatives

#### Overview

#### Motivation:

- We need derivatives of functions for some optimisation and root finding algorithms
- Not always is the function analytically known (but we are usually able to compute the function numerically)
- The material presented here forms the basis of the finite-difference technique that is commonly used to solve ordinary and partial differential equations.
- The following slides show
  - · the forward difference technique,
  - the backward difference technique and the
  - central difference technique to approximate the derivative of a function.
  - We also derive the accuracy of each of these methods.

#### The 1st derivative

• (Possible) Definition of the derivative (or "differential operator"  $\frac{d}{dx}$ )

$$\frac{\mathrm{d}f}{\mathrm{d}x}(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h}$$

Use difference operator to approximate differential operator

$$f'(x) = \frac{\mathrm{d}f}{\mathrm{d}x}(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h} \approx \frac{f(x+h) - f(x)}{h}$$

- $\rightarrow$  can now compute an approximation of f' simply by evaluating f.
- This is called the forward difference because we use f(x) and f(x + h).
- · Important question: How accurate is this approximation?

## Example 1: forward difference

Using forward difference to estimate the derivative of  $f(x) = \exp(x)$ 

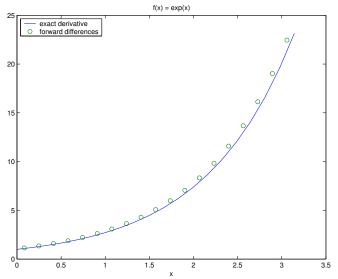
$$f'(x) \approx \frac{f(x+h) - f(x)}{h} = \frac{\exp(x+h) - \exp(x)}{h}$$

Numerical example:

- h = 0.1, x = 1
- $f'(1.0) \approx \frac{\exp(1.1) \exp(1)}{0.1} = 2.8588$
- Exact answers is  $f'(1.0) = \exp(1.0) = 2.7182$
- error is 2.8588-2.7182 = 0.1406 (relative error is about 5%).

# Example (1): forward difference

Comparison: forward difference and exact derivative of exp(x)



## Accuracy of the forward difference

Formal derivation using the Taylor series of f around x

$$f(x+h) = \sum_{n=0}^{\infty} h^n \frac{f^{(n)}(x)}{n!}$$
  
=  $f(x) + hf'(x) + h^2 \frac{f''(x)}{2!} + h^3 \frac{f'''(x)}{3!} + \dots$ 

• Rearranging for f'(x)

$$hf'(x) = f(x+h) - f(x) - h^2 \frac{f''(x)}{2!} - h^3 \frac{f'''(x)}{3!} - \dots$$

$$f'(x) = \frac{1}{h} \left( f(x+h) - f(x) - h^2 \frac{f''(x)}{2!} - h^3 \frac{f'''(x)}{3!} - \dots \right)$$

$$= \frac{f(x+h) - f(x)}{h} - \frac{h^2 \frac{f''(x)}{2!} - h^3 \frac{f'''(x)}{3!}}{h} - \dots$$

$$= \frac{f(x+h) - f(x)}{h} - h \frac{f''(x)}{2!} - h^2 \frac{f'''(x)}{3!} - \dots$$

## Accuracy of the forward difference (2)

$$f'(x) = \frac{f(x+h) - f(x)}{h} - \underbrace{h \frac{f''(x)}{2!} - h^2 \frac{f'''(x)}{3!} - \dots}_{E_{\text{forw}}(h)}$$
$$f'(x) = \frac{f(x+h) - f(x)}{h} + E_{\text{forw}}(h)$$

• Therefore, the error term  $E_{\text{forw}}(h)$  is

$$E_{\text{forw}}(h) = -h \frac{f''(x)}{2!} - h^2 \frac{f'''(x)}{3!} - \dots$$

· Can also be expressed as

$$f'(x) = \frac{f(x+h) - f(x)}{h} + \mathcal{O}(h)$$

## The 1st derivative using the backward difference

• Another definition of the derivative (or "differential operator"  $\frac{d}{dx}$ )

$$\frac{\mathrm{d}f}{\mathrm{d}x}(x) = \lim_{h \to 0} \frac{f(x) - f(x-h)}{h}$$

Use difference operator to approximate differential operator

$$\frac{\mathrm{d}f}{\mathrm{d}x}(x) = \lim_{h \to 0} \frac{f(x) - f(x-h)}{h} \approx \frac{f(x) - f(x-h)}{h}$$

- This is called the backward difference because we use f(x) and f(x h).
- How accurate is the backward difference?

## Accuracy of the backward difference

Formal derivation using the Taylor Series of f around x

$$f(x-h) = f(x) - hf'(x) + h^2 \frac{f''(x)}{2!} - h^3 \frac{f'''(x)}{3!} + \dots$$

• Rearranging for f'(x)

$$hf'(x) = f(x) - f(x - h) + h^2 \frac{f''(x)}{2!} - h^3 \frac{f'''(x)}{3!} - \dots$$

$$f'(x) = \frac{1}{h} \left( f(x) - f(x - h) + h^2 \frac{f''(x)}{2!} - h^3 \frac{f'''(x)}{3!} - \dots \right)$$

$$= \frac{f(x) - f(x - h)}{h} + \frac{h^2 \frac{f''(x)}{2!} - h^3 \frac{f'''(x)}{3!}}{h} - \dots$$

$$= \frac{f(x) - f(x - h)}{h} + h \frac{f''(x)}{2!} - h^2 \frac{f'''(x)}{3!} - \dots$$

## Accuracy of the backward difference (2)

$$f'(x) = \frac{f(x) - f(x - h)}{h} + \underbrace{h \frac{f''(x)}{2!} - h^2 \frac{f'''(x)}{3!} - \dots}_{E_{\text{back}}(h)}$$

$$f'(x) = \frac{f(x) - f(x - h)}{h} + E_{\text{back}}(h)$$
(7)

• Therefore, the error term  $E_{\text{back}}(h)$  is

$$E_{\text{back}}(h) = h \frac{f''(x)}{2!} - h^2 \frac{f'''(x)}{3!} - \dots$$

· Can also be expressed as

$$f'(x) = \frac{f(x) - f(x - h)}{h} + \mathcal{O}(h)$$

## Combining backward and forward differences (1)

The approximations are

forward:

$$f'(x) = \frac{f(x+h) - f(x)}{h} + E_{\text{forw}}(h)$$
 (8)

backward

$$f'(x) = \frac{f(x) - f(x - h)}{h} + E_{\text{back}}(h)$$
 (9)

$$E_{\text{forw}}(h) = -h\frac{f''(x)}{2!} - h^2 \frac{f'''(x)}{3!} - h^3 \frac{f''''(x)}{4!} - h^4 \frac{f'''''(x)}{5!} - \dots$$

$$E_{\text{back}}(h) = h\frac{f''(x)}{2!} - h^2 \frac{f'''(x)}{3!} + h^3 \frac{f''''(x)}{4!} - h^4 \frac{f'''''(x)}{5!} + \dots$$

 $\Rightarrow$  Add equations (8) and (9) together, then the error cancels partly.

# Combining backward and forward differences (2)

Add these lines together

$$f'(x) = \frac{f(x+h) - f(x)}{h} + E_{\text{forw}}(h)$$

$$f'(x) = \frac{f(x) - f(x-h)}{h} + E_{\text{back}}(h)$$

$$2f'(x) = \frac{f(x+h) - f(x-h)}{h} + E_{\text{forw}}(h) + E_{\text{back}}(h)$$

$$E_{\text{forw}}(h) + E_{\text{back}}(h) = -2h^2 \frac{f'''(x)}{3!} - 2h^4 \frac{f'''''(x)}{5!} - \dots$$

The combined (central) difference operator is

$$f'(x) = \frac{f(x+h) - f(x-h)}{2h} + E_{cent}(h)$$

with

$$E_{\text{cent}}(h) = -h^2 \frac{f'''(x)}{3!} - h^4 \frac{f'''''(x)}{5!} - \dots$$

#### Central difference

- Can be derived (as on previous slides) by adding forward and backward difference
- Can also be interpreted geometrically by defining the differential operator as

$$\frac{\mathrm{d}f}{\mathrm{d}x}(x) = \lim_{h \to 0} \frac{f(x+h) - f(x-h)}{2h}$$

and taking the finite difference form

$$\frac{\mathrm{d}f}{\mathrm{d}x}(x) \approx \frac{f(x+h) - f(x-h)}{2h}$$

• Error of the central difference is only  $\mathcal{O}(h^2)$ , *i.e.* better than forward or backward difference

It is generally the case that symmetric differences are more accurate than asymmetric expressions.

#### Forward, backward and central differences

- · Can approximate derivatives of f numerically
- need only function evaluations of *f*
- three different difference methods

name	formula	error
forward	$f'(x) = \frac{f(x+h)-f(x)}{h}$	$\mathcal{O}(h)$
backward	$f'(x) = \frac{f(x) - f(x-h)}{h}$	$\mathcal{O}(h)$
central	$f'(x) = \frac{f(x+h) - f(x-h)}{2h}$	$\mathcal{O}(h^2)$

## Example 2: spacing h in central differences

```
def f(x):
   """Return x^3/3. (Derivative is x^2)."""
   return x**3 / 3
print("Change x, h=1e-6 is fixed ========"")
h = 1e-6
print(" x Numer.Derivative abs. Error")
for x in range(0, 5):
   fprime = (f(x+h) - f(x-h)) / (2 * h)
   print(f''\{x:8\} \{fprime:20.15f\} \{abs(fprime-x**2):10.6g\}'')
print("\nChange h, x=2 is fixed ========")
x = 2
print(" h Numer.Derivative abs. Error")
for h in [1e-1, 1e-3, 1e-6, 1e-7, 1e-9, 1e-12, 1e-15]:
   fprime = (f(x+h) - f(x-h)) / (2 * h)
   print(f"{h:8g} {fprime:20.15f} {abs(fprime-x**2):10.6g}"
                                                       247
```

#### Example 2 output

```
Numer.Derivative
                                  abs. Error
       Х
                                  3.33333e-13
       0
              0.000000000000333
       1
              0.99999999973245
                                  2.67555e-11
              4.000000000115023
                                  1.15023e-10
       3
              9.000000002146180
                                  2.14618e-09
       4
             16.000000002236447
                                  2.23645e-09
Change h, x=2 is fixed
                                                 \rightarrow too large h:
       h
             Numer.Derivative
                                  abs. Frror
                                                    inaccurate
                                  0.00333333
     0.1
              4.003333333333333
                                                   approximation
   0.001
              4.000000333332698
                                  3.33333e-07
                                                   of derivative
   1e-06
              4.000000000115023
                                   1.15023e-10
   1e-07
              3.999999997894577
                                  2.10542e-09
                                                 \rightarrow too small h.
   1e - 09
              4.000000330961484
                                   3.30961e-07
                                                    floating point
   1e-12
              4.000355602329364
                                   0.000355602
                                                    representation
   1e-15
              3.996802888650563
                                  0.00319711
                                                    errors
```

Change x, h=1e-6 is fixed ==========

## **Summary**

- · Can approximate derivatives of *f* numerically
- · need only function evaluations of f
- three different difference methods

name	formula	error
forward	$f'(x) = \frac{f(x+h) - f(x)}{h}$	$\mathcal{O}(h)$
backward	$f'(x) = \frac{f(x) - f(x - h)}{h}$	$\mathcal{O}(h)$
central	$f'(x) = \frac{f(x+h) - f(x-h)}{2h}$	$\mathcal{O}(h^2)$

· central difference is most accurate

LAB7

# \*Note: Euler's (integration) method — derivation using finite difference operator

Use forward difference operator to approximate differential operator

$$\frac{\mathrm{d}y}{\mathrm{d}x}(x) = \lim_{h \to 0} \frac{y(x+h) - y(x)}{h} \approx \frac{y(x+h) - y(x)}{h}$$

· Change differential to difference operator in  $\frac{dy}{dx} = f(x, y)$ 

$$f(x,y) = \frac{dy}{dx} \approx \frac{y(x+h) - y(x)}{h}$$

$$hf(x,y) \approx y(x+h) - y(x)$$

$$\implies y_{i+1} = y_i + hf(x_i, y_i)$$

 → Euler's method (for ODEs) can be derived from the forward difference operator.

# \*Note: Newton's (root finding) method — derivation from Taylor series

- We are looking for a root, *i.e.* we are looking for a x so that f(x) = 0.
- We have an initial guess  $x_0$  which we refine in subsequent iterations:

$$x_{i+1} = x_i - h_i$$
 where  $h_i = \frac{f(x_i)}{f'(x_i)}$ . (10)

•

• This equation can be derived from the Taylor series of f around x. Suppose we guess the root to be at x and x + h is the actual location of the root (so h is unknown and f(x + h) = 0):

$$f(x+h) = f(x) + hf'(x) + \dots$$

$$0 = f(x) + hf'(x) + \dots$$

$$\Rightarrow 0 \approx f(x) + hf'(x)$$

$$\Leftrightarrow h \approx -\frac{f(x)}{f'(x)}.$$
(11)

# Numpy

#### numpy

#### numpy

- is an interface to high performance linear algebra libraries (such as BLAS, LAPACK, ATLAS, MKL, BLIS)
- provides
  - the array object (strictly ndarray type)
  - fast mathematical operations over arrays
  - linear algebra, Fourier transforms, random number generation
- · Numpy is *not* part of the Python standard library.

## numpy 1d-arrays (vectors)

- · An (1d) array is a sequence of objects
- · all objects in one array are of the same type

```
>>> import numpy as np # widely used convention
>>> a = np.array([1, 4, 10])
>>> a
array([ 1, 4, 10])
>>> type(a)
<class numpy.ndarray>
>>> a + 100
array([101, 104, 110])
>>> a**2
array([ 1, 16, 100])
>>> np.sqrt(a)
array([ 1. , 2. , 3.16227766])
>>> a > 3
array([False, True, True], dtype=bool)
```

## Array creation 1: from iterable

```
    1d-array (vector) from iterable

 >>> import numpy as np
 >>> a = np.array([1, 4, 10]) # from list
 >>> a
 array([ 1, 4, 10])
 >>> print(a)
 [ 1 4 10]

    2d-array (matrix) from nested sequences

 >>> B = np.array([[0, 1.5], [10, 12]]) # from nester
 >>> B
 array([[ 0., 1.5],
         [ 10. , 12. ]])
 >>> print(B)
 [[ 0. 1.5]
  [ 10. 12. ]]
```

#### Array type

- · All elements in an array must be of the same type
- For existing array, the type is the **dtype** attribute

```
>>> a.dtype
dtype('int64')
>>> B.dtype
dtype('float64')
```

• We can fix the type of the array when we create the array, for example:

```
>>> a2 = array([1, 4, 10], float)
>>> a2
array([ 1., 4., 10.])
>>> a2.dtype
dtype('float64')
```

#### Important array types

• For numerical calculations, we normally use double floats which are known as **float64** or short **float**:

```
>>> a2 = array([1, 4, 10], float)
>>> a2.dtype
dtype('float64')
```

- This is also the default type for zeros and ones.
- A full list is available at http://docs.scipy.org/doc/numpy/user/basics.types.html

## Array size

The total number of elements is given through the **size** attribute:

```
>>> a.size
3
>>> B.size
4
```

The number of bytes per item:

```
>>> a.itemsize # dtype is int64
8
>>> B.itemsize # dtype is float64
8
```

The total number of bytes used is given through the **nbytes** attribute:

```
>>> a.nbytes
24
>>> B.nbytes
32
```

# Diving in with numpy.info

```
>>> z = np.arange(0, 12, 1).reshape(3, 4)
>>> 7
array([[ 0, 1, 2, 3],
      [4, 5, 6, 7],
      [8, 9, 10, 11]])
>>> z.dtype
dtype('int64')
>>> np.info(z)
class: ndarray
shape: (3, 4)
strides: (32, 8) # 32 bytes from row to row
itemsize: 8
aligned: True
contiguous: True
fortran: False
data pointer: 0x6000012dc060
byteorder: little
byteswap: False
type: int64
>>> z.nbytes
96
```

## Array creation 2: arange and linspace

array from start up to but not including stop

```
>>> np.arange(10)
 array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])
 >>> np.arange(10, dtype=float)
 array([0., 1., 2., 3., 4., 5., 6., 7., 8., 9.])
 >>> np.arange(0, 1, 0.1)
 array([0., 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9])
· linspace(start, stop, num=50) provides num points linearly
 spaced between start and stop:
 >>> np.linspace(0, 10, 11)
 array([ 0., 1., 2., 3., 4., 5., 6., 7., 8., 9., 1
 >>> np.linspace(0, 1, 11)
```

array([0., 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1

· arange([start,] stop[, step,]) is inspired by range: create

#### Array shape

The shape is a tuple that describes

- (i) the dimensionality of the array (that is the length of the shape tuple) and
- (ii) the number of elements for each dimension ("axis")

#### Example:

```
>>> a.shape
(3,)  # 1d array with 3 elements
>>> B.shape
(2, 2)  # 2d array with 2 x 2 elements
```

Can use shape attribute to change shape:

Number of dimension also available in attribute ndim:

```
>>> B.ndim
2
>>> len(B.shape) # same as B.ndim
2
```

# Array indexing (1d arrays)

```
>>> x = np.arange(0, 10, 2)
>>> x
array([0, 2, 4, 6, 8])
>>> x[3]
6
>>> x[4]
8
>>> x[-1] # last element
8
```

# Array indexing (2d arrays)

```
>>> C = np.arange(12)
>>> C
array([ 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11])
>>> C.shape = (3, 4)
>>> C
array([[0, 1, 2, 3],
      [4.5, 6, 7]
      [8, 9, 10, 11]])
>>> C[0, 0] # first index for rows, second for columns
>>> C[2, 0]
8
>>> C[2, -1] # row 3, last column
11
>>> C[-1, -1] # last row, last column
11
                                                    264
```

# Array slicing (1d arrays)

#### Double colon operator ::

Read as **START: END: INDEXSTEP** 

If either START or END are omitted, the respective ends of the array are used. INDEXSTEP defaults to 1.

#### Examples:

```
array([0, 1, 2, 3, 4])
>>> y[0:5:2]
                       # slicing with index step 2
arrav([0, 2, 4])
>>> y[:5:2]
                       # from the beginning
array([0, 2, 4])
>>> v[0:5:-1]
                       # negative index step size
array([], dtype=int64)
>>> v[5:0:-1]
                       # from end to beginning
arrav([5, 4, 3, 2, 1])
>>> y[5:0:-2]
                       # in steps of two
array([5, 3, 1])
>>> v[::-1]
                     # reverses array elements
array([9, 8, 7, 6, 5, 4, 3, 2, 1, 0])
```

[Double colon operator works for all sequences.]

# Array slicing (2d)

Slicing for 2d (or higher dimensional arrays) is analog to 1-d slicing, but applied to each component. Common operations include extraction of a particular row or column from a matrix:

#### Array creation 3: zeros and ones

Other useful methods are zeros and ones which accept a desired matrix shape as the input:

```
>>> np.zeros((2, 4)) # two rows, 4 cols
array([[0., 0., 0., 0.],
      [0., 0., 0., 0.]
\Rightarrow np.zeros((4,)) # (4,) is tuple
array([ 0., 0., 0., 0.])
>>> np.zeros(4) # 4 works as well
array([ 0., 0., 0., 0.])
>>> np.ones((2, 7))
array([[ 1., 1., 1., 1., 1., 1., 1.],
      [1., 1., 1., 1., 1., 1., 1.]
```

## Array creation 4: eye and diag

Create Identity matrix eye (name from capital I used in equations):

Create diagonal matrix diag:

# Views of numpy arrays

Slicing a numpy array results in a view of the data (not a copy).

```
>>> C = np.arange(12).reshape(3, 4)
>>> C
array([[0, 1, 2, 3],
      [4, 5, 6, 7],
      [8, 9, 10, 11]])
>>> view C = C[0, :]
>>> view C
arrav([0, 1, 2, 3])
>>> C[0, 0] = 42
>>> view C
arrav([42, 1, 2, 3])
```

Often, this is desired — in particular when the arrays are large.

# array.base points to the view's data

- x.base == None means x is not a view.
- $\cdot$  x.base is y means x is a view of y.

#### Example:

```
>>> x = np.arange(10)
>>> x
array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])
>>> print(x.base)
None
>>> y = x[::2] # create a view with every 2nd element
>>> print(y.base)
[0 1 2 3 4 5 6 7 8 9]
>>> y.base is x
True
>>> np.shares memory(x, y) # check if x and y share mem
True
                                                      271
```

## Creating copies of numpy arrays

Create copy of 1d array:

```
>>> y = np.arange(10)
>>> V
array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])
>>> copv v = v.copv()
>>> v[0] = 42
>>> copy y
array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])
>>> print(copy v.base)
None
>>> np.shares_memory(y, copy y)
False
```

## Solving linear systems of equations

np.linealg.solve(A, b) solves Ax = b for a square matrix A and given vector b, and returns the solution vector x. Example:

$$Ax = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \begin{pmatrix} x_0 \\ x_1 \end{pmatrix} = \begin{pmatrix} 1 \\ 4 \end{pmatrix} = b$$
>>> A = np.array([[1, 0], [0, 2]])
>>> b = np.array([1, 4])
>>> from np.linalg import solve
>>> x = solve(A, b)
>>> x
array([ 1., 2.])
>>> np.dot(A, x) # Computing A\*x
array([ 1., 4.]) # this should be b

#### Other linear algebra tools

help(np.linalg) provides an overview, including

- det to compute the determinant
- eig to compute eigenvalues and eigenvectors
- · pinv to compute the (pseudo) inverse of a matrix
- svd to compute a singular value decomposition

## numpy performance optimisation

- fast if number of elements is large: for an array with one element, np.sqrt will be slower than math.sqrt
- · avoid loops (formulate instead as matrix operation)
- avoid copies of data (i.e. use views)
- · numpy can be up to  $\sim$ 100 times faster than naive Python

#### Summary

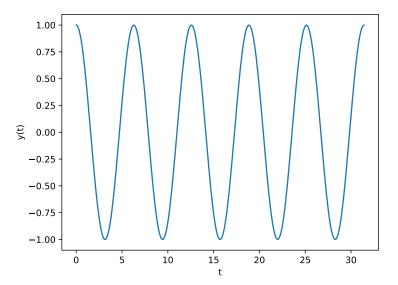
- numpy provides fast array operations (comparable to Matlab's matrices)
- elements in the array have the same type (typically a numerical type)
- · data is stored contiguously in memory (if possible)

## Further reading for numpy

- Consult Numpy documentation if used outside this course.
   Start here:
  - Basics: https://numpy.org/doc/stable/user/ absolute\_beginners.html
  - Quickstart: https: //numpy.org/doc/stable/user/quickstart.html
- Matlab users may want to read Numpy for Matlab Users

## Preview: plotting data in arrays with matplotlib

```
import matplotlib.pyplot as plt
import numpy as np
# compute data
t = np.linspace(0, 10 * np.pi, 300)
v = np.cos(t)
# create plot
fig, ax = plt.subplots() # default: one plot
ax.plot(t, v)
ax.set xlabel("t")
ax.set ylabel("y(t)")
# save figure to pdf
fig.savefig("plotting-array-matplotlib.pdf")
```



## Matplotlib

- Matplotlib tries to make easy things easy and hard things possible
- Matplotlib is a 2D plotting library which produces publication quality figures (increasingly also 3d)
- Matplotlib can be fully scripted but interactive interface available
- Two application interfaces: pylab and matplotlib.pyplot

#### Matplotlib in IPython QTConsole and Notebook

Within the IPython console (for example in Spyder) and the Jupyter Notebook, use

- %matplotlib inline to see plots inside the console window, and
- %matplotlib qt to create pop-up windows with the plot. (May need to call matplotlib.show().) We can manipulate the view interactively in that window.
- · In Spyder, the plots appear by default in the "plots" pane.
- Within the Jupyter notebook, you can use %matplotlib notebook which embeds an interactive window in the note book.

## Pylab interface

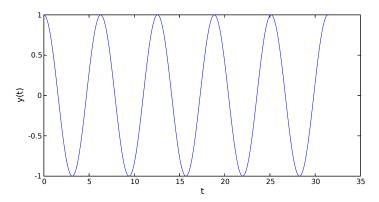
#### Pylab

**Pylab** is a Matlab-like (state-driven) plotting interface (and comes with matplotlib).

- Convenient for "simple and fast" plots. (In particular if you like Matlab.)
- Make use of help(pylab.plot) to remind you of line styles, symbols etc.

## Plotting arrays with pylab (Matlab style)

```
# Plot example (Matlab style)
import pylab
import numpy as np
t = np.arange(0, 10 * np.pi, 0.01)
v = np.cos(t)
pylab.plot(t, y)
pvlab.xlabel("t")
pylab.ylabel("y(t)")
pylab.show()
```



## Matplotlib.pyplot interface

- Matplotlib.pyplot is an object oriented plotting interface
- Very fine grained control over plots
- recommended to use

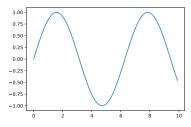
#### matplotlib.pyplot - example 1

```
import math
import matplotlib.pyplot as plt

# create some data

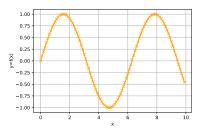
xs = [0.1*xi for xi in range(100)]
ys = [math.sin(x) for x in xs]

# create plot
fig, ax = plt.subplots()
ax.plot(xs, ys)
```



#### matplotlib.pyplot - example 2

```
fig, ax = plt.subplots(figsize=(6, 4))
ax.plot(xs, ys, 'x-', linewidth=2, color='orange')
ax.grid('on')
ax.set_xlabel('x')
ax.set_ylabel('y=f(x)')
fig.savefig("pyplot-demo2.pdf")
```



## matplotlib.pyplot - references

#### Matplotlib.pyplot

**Matplotlib.pyplot** is an object oriented plotting interface.

- prefer this over pylab
- Check gallery at https: //matplotlib.org/stable/gallery/index.html
- Try Matplotlib notebook (on module's home page) as an introduction and useful reference
- Nicolas Rougier. Scientific Visualization: Python +
   Matplotlib. Nicolas P. Rougier. 2021, 978-2- 9579901-0-8.
   hal-03427242, online at https://github.com/
   rougier/scientific-visualization-book

# Higher Order Functions 2: Functional tools

# More list processing and functional programming

- So far, have processed lists by iterating through them using for-loop
- perceived to be conceptually simple (by most learners)
   but
- not as compact as possible and not always as fast as possible
- · Alternatives:
  - · list comprehension
  - map, filter, reduce, often used with lambda

#### Anonymous function lambda

- · lambda: anonymous function (function literal)
- Useful to define a small helper function that is only needed once

```
>>> lambda a: a
<function <lambda> at 0x319c70>
>>> lambda a: 2 * a
<function <lambda> at 0x319af0>
>>> (lambda a: 2 * a)
<function <lambda> at 0x319c70>
>>> (lambda a: 2 * a)(10)
20
>>> (lambda a: 2 * a)(20)
40
```

```
>>> (lambda x, y: x + y)(10, 20)
30
>>> (lambda x, y, z: (x + y) * z )(10, 20, 2)
60
>>> type(lambda x, y: x + y)
<type 'function'>
```

## Lambda usage example 1

Integrate  $f(x) = x^2$  from 0 to 2 (numerically):

```
Without lambda (lambda1.py):
 from scipy.integrate import quad
 def f(x):
     return x * x
 y, abserr = quad(f, a=0, b=2)
 print(f"value is {y:f} +- {abserr:g}")
With lambda (lambda1b.py):
 from scipy.integrate import quad
 y, abserr = quad(lambda x: x * x, a=0, b=2)
 print(f"value is {y:f} +- {abserr:g}")
```

Output (same for both programs):

```
value is 2.666667 +- 2.96059e-14
```

# Higher order functions

Roughly: "Functions that take or return functions" (see for example Wikipedia entry)

Rough summary (check help(COMMAND) for details)

- map(function, iterable)  $\rightarrow$  iterable: apply function to all elements in iterable
- filter(function, iterable) → iterable: return items of iterable for which function(item) is true.
- reduce(function, iterable, initial) → value: apply function(x,y) from left to right to reduce iterable to a single value.

Note that sequences are iterables.

- · map(function, iterable)  $\rightarrow$  iterable: apply function to all elements in sequence
- Example:

```
>>> def f(x):
... return x ** 2
>>> map(f, [0, 1, 2, 3, 4])
<map object at 0x1026a52e8>  # this is iterable
>>> list(map(f, [0, 1, 2, 3, 4]))  # convert to list
[0, 1, 4, 9, 16]
```

• lambda converts an expression (x \*\* 2) to a function:

```
>>> list(map(lambda x: x ** 2, [0, 1, 2, 3, 4]))
[0, 1, 4, 9, 16]
```

Equivalent operation using list comprehension:

```
>>> [x ** 2 for x in [0, 1, 2, 3, 4]]
[0, 1, 4, 9, 16]
```

#### Examples map

```
Example (maths):
 >>> import math
 >>> list(map(math.exp, [0, 0.1, 1.]))
 [1.0, 1.1051709180756477, 2.718281828459045]

    Example (slug):

 >>> news="Python programming occasionally \
 ... more fun than expected"
 >>> slug = "-".join(map(
 ... lambda w: w[0:6], news.split()))
 >>> slug
  'Python-progra-occasi-more-fun-than-expect'
 Equivalent list comprehension expression:
 >>> slug = "-".join([w[0:6] for w in news.split()])
```

#### Filter

filter(function, iterable)  $\rightarrow$  iterable: return items of iterable for which function(item) is true:

```
>>> def is_positive(n): # returns True for positive n
... return n > 0
>>> list(filter(is_positive,
... [-3, -2, -1, 0, 1, 2, 3, 4]))
[1, 2, 3, 4]
>>> list(filter(lambda n: n > 0,
... [-3, -2, -1, 0, 1, 2, 3, 4]))
[1, 2, 3, 4]
```

List comprehension equivalent:

```
>>> [n for n in [-3, -2, -1, 0, 1, 2, 3, 4] if n > 0]
[1, 2, 3, 4]
```

# Examples filter

```
>>> c = "The quick brown fox jumps".split()
>>> print(c)
['The', 'quick', 'brown', 'fox', 'jumps']
>>> def len gr 4(s): # return True if s has >4 letters
        return len(s) > 4
>>> list(map(len gr 4, c))
[False, True, True, False, True]
>>> filter(len gr 4, c)
<filter object at 0x10522e5c0>
>>> list(filter(len gr 4, c))
['quick', 'brown', 'jumps']
>>> list(filter(lambda s: len(s) > 4. c)
['quick', 'brown', 'jumps']
```

#### Equivalent operation using list comprehension:

```
>>> [s for s in c if len(s) > 4]
['quick', 'brown', 'jumps']
```

- functools.reduce(function, iterable, initial) →
   value:
   apply function(x, y) from left to right to reduce iterable to a single
   value
- · Examples:

```
>>> from functools import reduce
>>> def f(x, y):
...    print(f"Called with {x=}, {y=}")
...    return x + y
...
>>> reduce(f, [1, 3, 5], 0)
Called with x=0, y=1
Called with x=1, y=3
Called with x=4, y=5
9
```

```
>>> reduce(f, [1, 3, 5], 100)
Called with x=100, y=1
Called with x=101, y=3
Called with x=104, y=5
109
>>> reduce(f,"test","")
Called with x=, y=t
Called with x=t, y=e
Called with x=te, y=s
Called with x=tes, y=t
'test'
>>> reduce(f,"test","FIRST")
Called with x=FIRST, v=t
Called with x=FIRSTt, v=e
Called with x=FIRSTte, y=s
Called with x=FIRSTtes, y=t
'FTRSTtest'
```

# \*Operator module

- operator module contains functions which are typically accessed not by name, but via some symbols or special syntax.
- For example 3 + 4 is equivalent to operator.add(3, 4). Thus:

```
def f(x, y): return x + y
reduce(f, range(10), 0)
can also be written as:
reduce(operator.add, range(10), 0)
Note: could also use:
reduce(lambda x, y: x + y, range(10), 0)
but use of operator module is preferred (often faster).
```

# Functional programming

- Functions like map, reduce and filter are found in just about any lanugage supporting functional programming.
- · provide functional abstraction for commonly written loops
- Use those (and/or list comprehension) instead of writing loops, because
  - · Writing loops by hand is quite tedious and error-prone.
  - · The functional version is often clearer to read.
  - The functional version can result in faster code (if you can avoid lambda)

#### What command to use when?

- lambda allows to define a (usually simple) function "in-place". We need this to convert an expression into a function.
- map transforms a sequence to another sequence (of same length) using a function
- filter filters a sequence (reduces number of elements) using a function
- list comprehension transforms a list (can include filtering) using an expression
  - if you need to use a lambda in a map, you are probably better off using list comprehension.
  - if you have a function to apply, map is more compact than a list comprehension.
- reduce carries out an operation that "collects" information (sum, product, ...), for example reducing the sequence to a single number.

#### Example: squaring elements in list with expression x\*\*2

Some alternatives:

```
>>> res = []
>>> for x in range(5):
res.append(x ** 2)
>>> res
[0.1, 4.9, 16]
>>> [x ** 2 for x in range(5)]
[0, 1, 4, 9, 16]
>>> list(map(lambda x: x ** 2, range(5)))
[0, 1, 4, 9, 16]
```

# Example: squaring elements in list with function f

```
>>> def f(x):
... return x**2
>>> res = []
>>> for x in range(5):
\dots res.append(f(x))
>>> res
[0, 1, 4, 9, 16]
>>> [f(x) for x in range(5)]
[0.1, 4.9, 16]
>>> list(map(f, range(5)))
[0.1, 4.9, 16]
```

**Numerical Integration** 

## Numerical Integration 1— Overview

Different situations where we use integration:

- (A) solving (definite) integrals
- (B) solving (ordinary) differential equations
  - · more complicated than (A)
  - · Euler's method, Runge-Kutta methods

Both (A) and (B) are important.

We begin with the numeric computation of integrals (A).

# (A) Definite Integrals

Often written as

$$I = \int_{a}^{b} f(x) dx \tag{12}$$

- example:  $I = \int_{0}^{2} \exp(-x^2) dx$
- solution is  $I \in \mathbb{R}$  (i.e. a number)
- right hand side f(x) depends only on x
- if  $f(x) > 0 \quad \forall x \in [a, b]$ , then we can visualise I as the area underneath f(x)
  - Note that the integral is *not* necessarily the same as the area enclosed by f(x) and the x-axis:

$$\int_{0}^{2\pi} \sin(x) dx = 0$$
  
 
$$\int_{0}^{1} (-1) dx = -1$$

# (B) Ordinary Differential Equations (ODE)

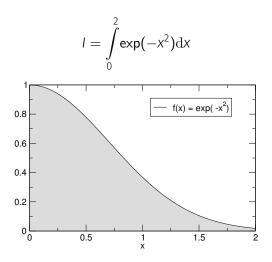
Often written as

$$y' \equiv \frac{\mathrm{d}y}{\mathrm{d}x} = f(x, y) \tag{13}$$

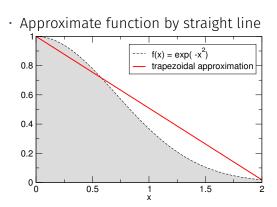
- example:  $\frac{\mathrm{d}v}{\mathrm{d}t} = \frac{1}{m}(g cv^2)$
- solution is  $y(x): \mathbb{R} \to \mathbb{R}$  (i.e. a function)
- right hand side f(x,y) depends on x and on solution y
- Can write (13) formally as  $y = \int \frac{dy}{dx} dx = \int f(x, y) dx$ . That's why we "integrate differential equations" to solve them.

# Numeric computation of definite integrals

Example:

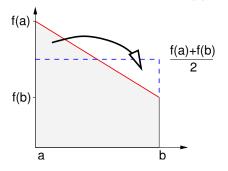


# Simple trapezoidal rule



# Simple trapezoidal rule (2)

· Compute area underneath straight line p(x)



· Result

$$A = \int_{a}^{b} p(x) dx = (b - a) \frac{f(a) + f(b)}{2}$$

# Simple trapezoidal rule (3)

Aim: compute

$$I = \int_{a}^{b} f(x) dx$$

Strategy:

• approximate f(x) with a linear function p(x):

$$p(x) \approx f(x)$$

· compute the area A underneath that function p(x):

$$A = \int_{a}^{b} p(x) dx = (b - a) \frac{f(a) + f(b)}{2}$$

approximate

$$I = \int_{a}^{b} f(x) dx \approx \int_{a}^{b} p(x) dx = A = (b - a) \frac{f(a) + f(b)}{2}$$

# Simple trapezoidal rule (4) Example

• Integrate  $f(x) = x^2$ 

$$I = \int_{0}^{2} x^{2} dx$$

What is the (correct) analytical answer?
 Integrating polynomials:

$$I = \int_{a}^{b} x^{k} dx = \left[ \frac{1}{k+1} x^{k+1} \right]_{a}^{b}$$

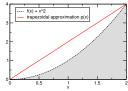
• for a = 0 and b = 2 and k = 2

$$I = \left[ \frac{1}{2+1} x^{2+1} \right]_0^2 = \frac{1}{3} 2^3 = \frac{8}{3} \approx 2.6667$$

· Using the trapezoidal rule

$$A = (b-a)\frac{f(a) + f(b)}{2} = 2\frac{0+4}{2} = 4$$

- The correct answer is I=8/3 and the approximation is A=4. We thus overestimate I by  $\frac{A-I}{I}\approx 50\%$ .
- Plotting  $f(x) = x^2$  together with the approximation reveals why we overestimate I



• The linear approximation, p(x), overestimates f(x) everywhere (except at x = a and x = b).

Therefore, the integral of p(x) is greater than the integral of f(x).

(More formally: f(x) is convex on  $[a, b] \iff f''(x) \ge 0 \quad \forall x \in [a, b]$ .)

## Composite trapezoidal rule

Example 
$$f(x) = \exp(-x^2)$$
:

$$I = \int_{0}^{2} f(x) dx = \int_{0}^{2} \exp(-x^{2}) dx$$

$$\int_{0.8}^{1} \frac{f(x) = \exp(-x^{2})}{\int_{0.5}^{1} f(x) dx} = \int_{0.5}^{1} f(x) dx + \int_{1.5}^{1} f(x) dx + \int_{1.5}^{2} f(x) dx$$

# General composite trapezoidal rule

For *n* subintervals the formulae for the composite trapezoidal rule are

$$h = \frac{b-a}{n}$$

$$x_i = a+ih \text{ with } i = 1, ..., n-1$$

$$A = \frac{h}{2} \left( f(a) + 2f(x_1) + 2f(x_2) + ... + 2f(x_{n-2}) + 2f(x_{n-1}) + f(b) \right)$$

$$= \frac{h}{2} \left( f(a) + \sum_{i=1}^{n-1} 2f(x_i) + f(b) \right)$$

# Error of composite trapezoidal rule

One of the important (and difficult) questions in numerical analysis and computing is:

· How accurate is my approximation?

For integration methods, we are interest in how much the error decreases when we decrease h (by increasing the number of subintervals, n).

For the composite trapezoidal rule it can be shown that:

$$\int_{a}^{b} f(x) dx = \frac{h}{2} \left( f(a) + f(b) + 2 \sum_{i=1}^{n-1} f(x_i) \right) + \mathcal{O}(h^2)$$

The symbol  $\mathcal{O}(h^2)$  means that the error term is (smaller or equal to an upper bound which is) proportional to  $h^2$ :

• If we take 10 times as many subintervals then h becomes 10 times smaller (because  $h = \frac{b-a}{n}$ ) and the error becomes 100 times smaller (because  $\frac{1}{10^2} = \frac{1}{100}$ ).

#### Error of composite trapezoidal rule, example

• The table below shows how the error of the approximation, A, decreases with increasing *n* for

$$I = \int_{0}^{2} x^{2} \mathrm{d}x.$$

n	h	Α	1	$\Delta = A-I$	rel.err.= $\Delta/I$
1	2.000000	4.000000	2.666667	1.333333	50.0000%
2	1.000000	3.000000	2.666667	0.333333	12.5000%
3	0.666667	2.814815	2.666667	0.148148	5.5556%
4	0.500000	2.750000	2.666667	0.083333	3.1250%
5	0.400000	2.720000	2.666667	0.053333	2.0000%
6	0.333333	2.703704	2.666667	0.037037	1.3889%
7	0.285714	2.693878	2.666667	0.027211	1.0204%
8	0.250000	2.687500	2.666667	0.020833	0.7813%
9	0.222222	2.683128	2.666667	0.016461	0.6173%
10	0.200000	2.680000	2.666667	0.013333	0.5000%
50	0.040000	2.667200	2.666667	0.000533	0.0200%
100	0.020000	2.666800	2.666667	0.000133	0.0050%

The accuracy we actually require depends on the problem under investigation – no general statement is possible.

# Summary trapezoidal rule for numerical integration

· Aim: to find an approximation of

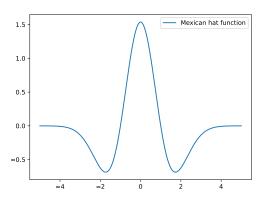
$$I = \int_{a}^{b} f(x) \mathrm{d}x$$

- · Simple trapezoidal method:
  - approximate f(x) by a simpler (linear) function p(x) and
  - integrate the approximation p(x) exactly.
- · Composite trapezoidal method:
  - divides the interval [a, b] into n equal subintervals
  - employs the simple trapezoidal method for each subinterval
  - has an error term of order  $h^2$ .

Numpy usage examples

# Making calculations fast with numpy

- Calculations using numpy are faster ( $\sim$  100 times) than using pure Python (see example next slide).
- Imagine we need to compute the mexican hat function with many points



# Making calculations fast with numpy

```
"""Demo: practical use of numpy (mexhat-numpy.py)"""
import time
import math
import matplotlib.pyplot as plt
import numpy as np
N = 100000
def mexhat py(t, sigma=1):
    """Computes Mexican hat shape, see
    http://en.wikipedia.org/wiki/Mexican hat wavelet for
    equation (13 Dec 2011)"""
    c = 2.0 / math.sqrt(3 * sigma) * math.pi**0.25
    return c * (1 - t**2 / sigma**2) * math.exp(
        -(t**2) / (2 * sigma**2))
def mexhat np(t, sigma=1):
```

```
"""Computes Mexican hat shape using numpy, see
    http://en.wikipedia.org/wiki/Mexican hat wavelet for
    equation (13 Dec 2011)"""
    c = 2.0 / math.sqrt(3 * sigma) * math.pi**0.25
    return c * (1 - t**2 / sigma**2) * np.exp(
        -(t**2) / (2 * sigma**2))
def test is really the same():
    """Checking whether mexhat_np and mexhat_py produce
    the same results."""
    xs1, vs1 = loop1()
    xs2, ys2 = loop2()
    deviation = math.sqrt(sum((ys1 - ys2) ** 2))
    print("error:", deviation)
    assert deviation < 1e-14
def loop1():
    """Compute arrays xs and ys with mexican hat function
    in ys(xs), returns tuple (xs,ys)"""
    xs = np.linspace(-5, 5, N)
    vs = []
```

```
for x in xs:
        ys.append(mexhat py(x))
    return xs, ys
def loop2():
    """As loop1, but uses numpy to be faster."""
    xs = np.linspace(-5, 5, N)
    return xs, mexhat np(xs)
def time this(f):
    """Call f, measure and return number of seconds
    execution of f() takes"""
    starttime = time.time()
    f()
    stoptime = time.time()
    return stoptime - starttime
def make_plot(filenameroot):
    fig, ax = plt.subplots()
    xs. vs = loop2()
    ax.plot(xs, ys, label="Mexican hat function")
```

```
ax.legend()
    fig.savefig(filenameroot + ".png")
    fig.savefig(filenameroot + ".pdf")
def main():
    test is really the same()
    make plot("mexhat1d")
    time1 = time this(loop1)
    time2 = time this(loop2)
    print(f"Numpy version is {time1 / time2:.1f} times faster")
if name == " main ":
   main()
Produces this output:
error: 1.1410712297602934e-15
Numpy version is 119.8 times faster
```

A lot of the source code above is focussed on measuring the execution time.

Within IPython, we could just have used **%timeit loop1()** and **%timeit loop2()** to get to the same timing information.

## arrays with only one item convert to python scalars

```
>>> b = np.array([4])
>>> b.shape
(1,)
>>> type(b)
numpy.ndarray
>>> float(b)
4.0
>>> a = np.array([4])
>>> type(a)
numpy.ndarray
>>> a.shape
(1,)
>>> float(a)
```

```
4.0
>>> import math; math.sqrt(a)
2.0
```

This allows us to write functions f(x) that can take an input argument x which can either be a numpy.array or a scalar. The  $mexhat_np(t)$  function is such an example:

## Closures

#### **Returning function objects**

We have seen that we can pass function objects as arguments to a function. Now we look at functions that *return function* objects.

```
Example (closure_adder42.py):

def make_add42():
    def add42(x):
       return x + 42
    return add42

add42 = make_add42()
print(add42(2))  # output is '44'
```

#### Closures

A closure (Wikipedia) is a function with bound variables. We often create closures by calling a function that returns a (specialised) function. For example (closure\_adder.py):

```
import math
def make adder(y):
    def adder(x):
        return x + v
    return adder
add42 = make adder(42)
addpi = make adder(math.pi)
print(add42(2))
                        # output is 44
print(addpi(-3))
                        # output is 0.14159265359
```

Scientific Python

#### SciPy (SCIentific PYthon)

#### (Partial) output of help(scipy):

```
cluster
             --- Vector Quantization / Kmeans
fft
      --- Discrete Fourier transforms
fftpack --- Legacy discrete Fourier transforms
integrate --- Integration routines
interpolate --- Interpolation Tools
io
      --- Data input and output
linalg --- Linear algebra routines
linalg.blas --- Wrappers to BLAS library
linalg.lapack --- Wrappers to LAPACK library
misc
             --- Various utilities that don't have
                another home.
ndimage
             --- N-D image package
ndr
             --- Orthogonal Distance Regression
```

optimize --- Optimization Tools

signal --- Signal Processing Tools

signal.window --- Window functions sparse --- Sparse Matrices

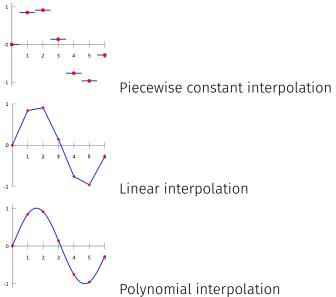
sparse.linalg --- Sparse Linear Algebra

spatial --- Spatial data structures and algorithms

special --- Special functions

stats --- Statistical Functions

#### Interpolation of discrete data points



332

#### Interpolation of data

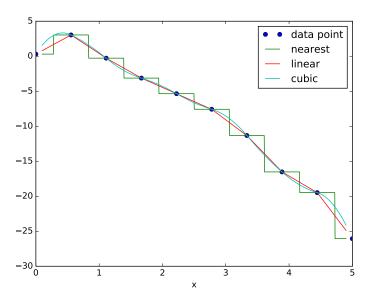
Given a set of N points  $(x_i, y_i)$  with i = 1, 2, ... N, we sometimes need a function f(x) which returns  $y_i = f(x_i)$  and interpolates the data between the  $x_i$ .

- $\cdot \rightarrow y0$  = scipy.interpolate.interp1d(x, y) provides this interpolation
- interp1d returns a callable y0 which interpolates the x-y data for any given x when called as y0(x).
- · Data interpolation of  $y_i = f(x_i)$  may be useful to
  - create smoother plots of f(x)
  - find minima/maxima of f(x)
  - find  $x_c$  so that  $f(x_c) = y_c$ , provide inverse function  $x = f^{-1}(y)$
  - integrate f(x)

#### Interpolation example

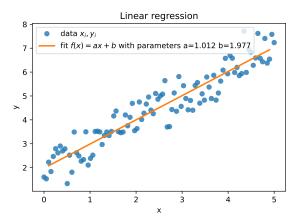
```
import matplotlib.pyplot as plt
import numpy as np
import scipy.interpolate
def create data(n):
    """Given an integer n, returns n data points
    x and values y as a numpy.array."""
    xmax = 5.0
    x = np.linspace(0, xmax, n)
    v = -(x ** 2)
    # make x-data somewhat irregular
    y += 1.5 * np.random.normal(size=len(x))
    return x, y
# main program
n = 10
x, y = create data(n)
```

```
# use finer and regular mesh for plot
xfine = np.linspace(0.1, 4.9, n * 100)
# interpolate with piecewise constant function (p=0)
y0 = scipy.interpolate.interp1d(x, y, kind="nearest")
# interpolate with piecewise linear func (p=1)
y1 = scipy.interpolate.interp1d(x, y, kind="linear")
# interpolate with cubic spline
y2 = scipy.interpolate.interp1d(x, y, kind="cubic")
fig, ax = plt.subplots()
ax.plot(x, v, "o", label="data point")
ax.plot(xfine, y0(xfine), label="nearest")
ax.plot(xfine, y1(xfine), label="linear")
ax.plot(xfine, y2(xfine), label="cubic")
ax.legend()
ax.set_xlabel("x")
fig.savefig("interpolate.pdf")
```



#### **Curve fitting**

Given n data points  $(x_i, y_i)$ , i = 1, ..., n, and a model  $y = f(x, \vec{p})$ , with model parameters  $\vec{p} = (p_1, p_2, ...)$ , find coefficients  $\vec{p}$  so that  $y_i = f(x_i, \vec{p})$  describes the data "best".

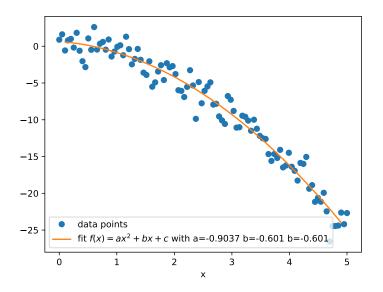


Wikipedia: Curve fitting 337

#### Curve fitting example

```
import numpy as np
import matplotlib.pyplot as plt
import scipy.optimize
def create data(n):
    """Given an integer n, returns n data points
    x and values y as a numpy.array."""
    xmax = 5.0
    x = np.linspace(0, xmax, n)
    v = -x**2
    # make x-data somewhat irregular
    v += 1.5 * np.random.normal(size=len(x))
    return x, y
def model(x, a, b, c): # Equation for fit
    return a * x ** 2 + b * x + c
```

```
# main program
n = 100
x, y = create_data(n)
# do curve fit
p, pcov = scipy.optimize.curve_fit(model, x, y)
a, b, c = p
# plot fit and data
xfine = np.linspace(0.1, 4.9, n * 5)
fig, ax = plt.subplots()
ax.plot(x, y, "o", label="data points")
label = fr"fit f(x) = ax^2 + bx + c with a=:.4 b=:.4 b=:.4"
ax.plot(xfine, model(xfine, a, b, c), label=label)
ax.legend()
ax.set xlabel("x")
fig.savefig("curvefit2.pdf")
```



#### Function integration example

```
Aim: Compute I = \int_a^b f(x) dx, with
a = -2, b = 2, f(x) = \exp(-\cos(2x\pi)) + 3.2
from math import cos, exp, pi
from scipy.integrate import quad
# function we want to integrate
def f(x):
    return exp(cos(-2 * x * pi)) + 3.2
# call quad to integrate f from -2 to 2
res, err = quad(f, -2, 2)
print(f"The numerical result is {res:f} (+-{err:g})")
which produces this output:
The numerical result is 17.864264 (+-1.55117e-11) LAB9.10
```

#### Optimisation (Minimisation)

- Optimisation typically described as: given a function f(x), find  $x_m$  so that  $f(x_m)$  is the (local) minimum of f.
- To maximise f(x), create a second function g(x) = -f(x) and minimise g(x).
- Optimisation algorithms need to be given a starting point (initial guess  $x_0$  as close as possible to  $x_m$ )
- Minimum position x obtained may be local (not global) minimum

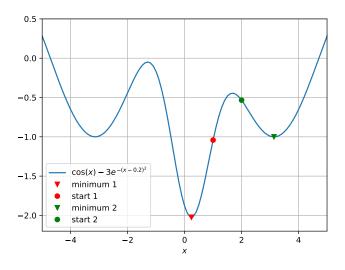
#### Optimisation example

```
import numpy as np
from scipy.optimize import fmin
import matplotlib.pyplot as plt
def f(x):
    return np.cos(x) - 3 * np.exp(-((x - 0.2) ** 2))
# find minima of f(x),
# starting from 1.0 and 2.0 respectively
minimum1 = fmin(f, 1.0)
print("Start search at x=1., minimum is", minimum1)
minimum2 = fmin(f, 2.0)
print("Start search at x=2., minimum is", minimum2)
# plot function
```

```
x = np.arange(-10, 10, 0.1)
v = f(x)
fig, ax = plt.subplots()
ax.plot(x, y, label=r"\cos(x)-3e^{-(x-0.2)^2}")
ax.set xlabel("$x$")
ax.grid()
ax.axis([-5, 5, -2.2, 0.5])
# add minimum1 to plot
ax.plot(minimum1, f(minimum1), "vr", label="minimum 1")
# add start1 to plot
ax.plot(1.0, f(1.0), "or", label="start 1")
# add minimum2 to plot
ax.plot(minimum2, f(minimum2), "vg", label="minimum 2")
# add start2 to plot
ax.plot(2.0, f(2.0), "og", label="start 2")
```

```
ax.legend(loc="lower left")
fig.savefig("fmin1.pdf")
Code produces this output:
Optimization terminated successfully.
          Current function value: -2.023866
          Iterations: 16
          Function evaluations: 32
Start search at x=1., minimum is \begin{bmatrix} 0.23964844 \end{bmatrix}
Optimization terminated successfully.
          Current function value: -1.000529
          Iterations: 16
          Function evaluations: 32
```

Start search at x=2, minimum is [ 3.13847656]



LAB11

## FIFO example and Object Oriented Programming (OOP)

#### Object Orientation (OO) and Closures

Earlier, we did an exercise for a first-in-first-out queue. At the time, we used a global variable to keep the state of the queue. To compare different approaches, the following slides show:

- 1. the original FIFO-queue solution (using a global variable, generally not good)
- a modified version where the queue variable is passed to every function (→ this is object oriented programming without objects)
- 3. an object oriented version (where the queue data is part of the queue object). Probably the best solution, see OO programming for details.
- 4. a version based on closures (where the state is part of the closures)

## Original FIFO solution (fifoqueue.py)

```
queue = []
def length():
    """Returns number of waiting customers"""
    return len(queue)
def show():
    """print list of customers, longest waiting customer at end."""
    for name in queue:
        print(f"waiting customer: {name}")
def add(name):
    """Customer with name 'name' joining the queue"""
    queue.insert(0, name)
def next():
    """Returns name of next to serve, removes customer from queue"""
    return queue.pop()
add('Spearing'); add('Fangohr'); add('Takeda')
show(): next()
```

#### Improved FIFO solution

```
Improved FIFO solution (fifoqueue2.pv)
def length(queue):
    return len(queue)
def show(queue):
    for name in queue:
        print(f"waiting customer: {name}")
def add(queue, name):
    queue.insert(0. name)
def next(queue):
    return queue.pop()
q1 = []
a2 = []
add(q1, 'Spearing'); add(q1, 'Fangohr'); add(q1, 'Takeda')
add(q2, 'John'); add(q2, 'Peter')
print(f"{length(q1)} customers in queue1:"); show(q1)
print(f"{length(q2)} customers in queue2:"); show(q2)
```

## Object-Oriented FIFO solution (fifoqueue00.py)

```
class Fifoqueue:
    def init (self):
        self.queue = []
    def length(self):
        return len(self.queue)
    def show(self):
        for name in self.queue:
            print(f"waiting customer: {name}")
    def add(self, name):
        self.queue.insert(0, name)
    def next(self):
        return self.queue.pop()
q1 = Fifoqueue(); q2 = Fifoqueue()
q1.add('Spearing'); q1.add('Fangohr'); q1.add('Takeda')
q2.add('John'); q2.add('Peter')
print(f"{q1.length()} customers in queue1:"); q1.show()
```

## \*Functional (closure) FIFO solution (fifoqueue\_closure.py)

```
def make queue():
    aueue = []
    def length():
        return len(queue)
    def show():
        for name in queue: print(f"waiting customer: {name}")
    def add(name):
        queue.insert(0, name)
    def next():
        return queue.pop()
    return add, next, show, length
q1_add, q1_next, q1_show, q1_length = make_queue()
q2_add, q2_next, q2_show, q2_length = make_queue()
q1 add('Spearing'); q1 add('Fangohr'); q1 add('Takeda')
q2_add('John'); q2_add('Peter')
print(f"{q1 length()} customers in queue1:"); q1 show()
print(f"{q2 length()} customers in queue2:"); q2 show()
                                                                  351
```

#### \*Advanced: Using double-ended-queue (deque)

Specialised double-ended-queue data structure deque [1] available in the Collections module of python:

```
from collections import deque
def length(queue):
    return len(queue)
def show(queue):
    for name in queue:
        print(f"waiting customer: {name}")
def add(queue, name):
    queue.appendleft(name)
def next(queue):
    return queue.pop()
q1 = deque()
add(q1, 'Spearing'); add(q1, 'Fangohr'); add(q1, 'Takeda')
```

## Lessons (Object Orientation)

#### Object orientation (OO):

- one important idea is to combine data and functions operating on data (in objects),
- · objects contain data but
- access to data through interface (implementation details irrelevant to user)
- can program in OO style without OO-programming language:
  - · as in FIFO2 solution
  - · as in closure based approach
- · OO mainstream programming paradigm (Java, C++, C#, ...)
- Python supports OO programming, and all things in Python are objects (see also slides 35 pp)

# Environments and Python Package Index

#### Virtual environment

Why virtual environments?

- install multiple versions of the same library (in different environments)
- good practice (reproducibility, managing different projects)

Given an installed Python interpreter, we can create virtual environments:

python -m venv myvirtualenv

We need to activate them:

source myvirtualenv/bin/activate

- The Python Package Index (PyPI) provides many python packages (https://pypi.org)
- Can search the website for packages, and available versions
- · Install locally (in virtual environment) using pip

Example: install the python cowsay package:

pip install cowsay

Uninstall:

pip install cowsay

## pip commands

- · pip install cowsay
- pip install cowsay==3.0
  - install version 3.0
- · pip uninstall cowsay
- · pip install -U cowsay
  - upgrade cowsay
- · pip show cowsay
  - show information about installed package
- · pip list
  - list installed packages
- · pip freeze
  - list installed packages in machine readable format

## Summary virtual environments and pip commands

#### **Summary**

- · create virtual environment before installing packages
- Common names for virtual environments: env, venv,
   .env, .venv
- · use (at least) one virtual environment per project
- use

```
pip freeze
```

and

pip install -r requirements.txt

to maintain reproducible environments

See more detailed discussion at: https://fangohr.github.io/ introduction-to-python-for-computational-science-and-engineer: 18-environments.html 357

#### \*For Anaconda users: interaction conda and pip

Anaconda provides packages and (conda) environments through conda.

- · Avoid mixing pip installs with conda installs, i.e.
  - if conda can install all the required packages, then use that
- · if conda cannot install the required package, either
  - · first install all that is needed/available from conda
  - then install the desired packages through pip that conda cannot provide
  - afterwards, do not use conda again to install more packages.

or (if possible)

install all packages from pip

See also https://www.anaconda.com/blog/using-pip-in-a-conda-environment

#### **ODEs**

# Ordinary Differential Equations

- Many processes, in particular time-dependent processes, can be described as Ordinary Differential Equations (ODEs), such as dynamics of engineering systems, quantum physics, chemical reactions, biological systems modelling, and population dynamics.
- ODEs have exactly one independent variable t (often, but not always representing time).
- The simplest ODE type has one degree of freedom, y, which depends on the time t, i.e. y = y(t). (For example temperature as a function of time, the distance a car has moved as function of time, etc.)

- In general, a vector y with k components can depend on the independent variable t: this is a system of ordinary differential equations with k degrees of freedom.
- The solution of the ODE is the function y(t).
- · We are typically being given
  - · an initial value  $y_0$  of y(t) at some time  $t_0$  and
  - the ODE itself which relates the change of y with t to some function f(t, y), i.e.

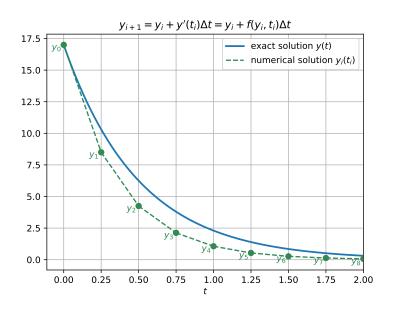
$$\frac{\mathrm{d}y}{\mathrm{d}t} = f(t, y) \tag{14}$$

• Example: looking for solution y(t) from  $t_0 = 0$  to t = 2 of

$$\frac{\mathrm{d}y}{\mathrm{d}t} = -2y \quad \text{with} \quad y_0 = y(t_0) = 17$$

The exact solution is  $y(t) = 17 \exp(-2t)$ .

# Principle of finding numerical solution to ODE



# Interface solve\_ivp

· aim: solve

$$\frac{\mathrm{d}y}{\mathrm{d}t} = f(t, y)$$

- from scipy.integrate import solve\_ivp
- solve\_ivp has the following input and output parameters:
   sol = solve\_ivp(f, t\_span, y0)
   Input:
  - f is function f(t, y) that returns the right-hand side
  - t\_span is a tuple (t0, tf) describing the span of t for which we search the solution
  - y0 is the initial value of the solution at time  $t_0$  (i.e.  $y_0 = y(t_0)$ )

#### Output:

sol is a OdeResult object that contains the solution

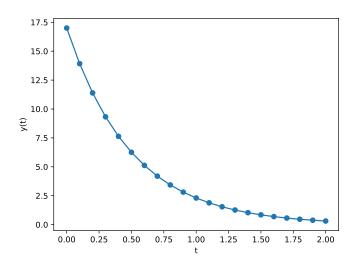
# Using solve ivp - example 1

```
Require solution y(t) from t = 0 to t = 2 of
                       \frac{\mathrm{d}y}{At} = -2y \quad \text{with} \quad y(0) = 17
import matplotlib.pyplot as plt
import numpy as np
from scipy.integrate import solve_ivp
def f(t, y):
    """this is the rhs of the ODE to integrate, i.e. dy/dt=f(y,t)"""
    return -2 * v
v0 = [17] # initial value v0=v(t0)
t0 = 0 # integration limits for t: start at t=0
tf = 2 # and finish at t=2
t eval = np.linspace(t0, tf, 21)
sol = solve ivp(fun=f, t span=[t0, tf], y0=y0, t eval=t eval)
fig, ax = plt.subplots()
ax.plot(sol.t, sol.y[0], "o-"); ax.set_xlabel("t");
```

363

# Using solve\_ivp - example 1, solution

#### Solution:

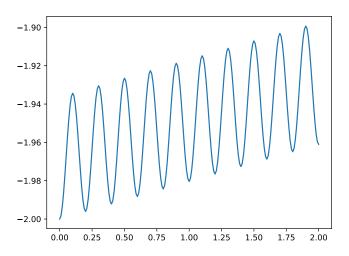


# Using **solve\_ivp** – example 2

```
Require solution y(t) from t = 0 to t = 2 of
              \frac{dy}{dt} = -\frac{1}{100}y + \sin(10\pi t) with y(0) = -2
import math
import matplotlib.pyplot as plt
import numpy as np
from scipy.integrate import solve ivp
def f(t. v):
    return -0.01 * y + math.sin(10 * math.pi * t)
ts = np.arange(0, 2.01, 0.01)
v0 = [-2]
sol = solve_ivp(f, (0, 2), y0, t_eval=ts, atol=1e-8, rtol=1e-8)
fig, ax = plt.subplots()
ax.plot(sol.t, sol.y[0])
ax.set xlabel("t"); ax.set ylabel("y(t)")
fig.savefig("odeintexample2.pdf")
```

# Using solve\_ivp - example 2, solution

#### Solution:



#### 2nd order ODE

- Any second order ODE can be re-written as two coupled first order ODE
- Example: Harmonic Oscillator (HO)
  - · Differential equation  $\frac{\mathrm{d}^2 r}{\mathrm{d}t^2} = -\omega^2 r$  or short  $r'' = -\omega^2 r$
  - Introduce v = r'
  - · rewrite equation as two first order equations

$$r'' = -\omega^2 r$$
  $\longrightarrow$   $V' = -\omega^2 r$   $r' = v$ 

- · General strategy:
  - convert higher order ODE into a set of (coupled) first order ODE
  - use computer to solve set of 1st order ODEs

### 2nd order ODE - using solve\_ivp

- One 2nd order ODE ightarrow 2 coupled 1st order ODEs
- Integration of system of 1st order ODEs:
  - "pretty much like integrating one 1st order ODE" but
  - y is now a vector (and so is f):

$$\frac{\mathrm{d}\mathbf{y}}{\mathrm{d}t} = \mathbf{f}(t,\mathbf{y}) \quad \Longleftrightarrow \quad \left(\begin{array}{c} \frac{\mathrm{d}y_1}{\mathrm{d}t} \\ \frac{\mathrm{d}y_2}{\mathrm{d}t} \end{array}\right) = \left(\begin{array}{c} f_1(t,\mathbf{y}) \\ f_2(t,\mathbf{y}) \end{array}\right)$$

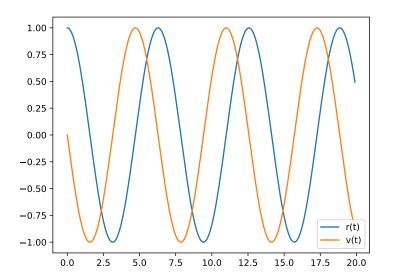
- need to pack and unpack variables into the state vector y:
- · Example harmonic oscillator:
  - decide to use this packing: y = (r, v)
  - then **f** needs to return  $\mathbf{f} = \left(\frac{\mathrm{d}r}{\mathrm{d}t}, \frac{\mathrm{d}v}{\mathrm{d}t}\right)$
- the sol object returned by solve\_ivp has an attribute sol.y which contains a vector y for every time step
  - need to extract results for r and v from that matrix  $\rightarrow$  see next slide

### 2nd order ODE – Python solution harmonic oscillator (HO)

```
from numpy import array, arange
from scipy.integrate import solve ivp
def f(t, y):
                        # right hand side, takes array(!) y
   omega = 1
   r = y[0] # extract r from array y
   v = v[1] # extract v from array y
   drdt = v  # compute right hand side
   dvdt = -omega ** 2 * r
   return array([drdt, dvdt]) # return array
ts = arange(0, 20, 0.1) # required times for solution
r0 = 1
                      # initial r
\mathbf{v} \mathbf{0} = \mathbf{0}
                     # initial v
v0 = [r0, v0] # combine r and v into v
sol = solve_ivp(f, (0, 20), y0, t_eval=ts) # solve_ivp(f, (0, 20), y0, t_eval=ts)
rs = sol.v[0]
             # extract result: r(t)
vs = sol.y[1]
             # extract result: v(t)
```

#### 2nd order ODE - result

#### Solution



### Summary 2nd order system

- Strategy:
  - transform one 2nd order ODE into 2 (coupled) first order ODEs
  - solve both first order ODEs simultaneously
- Need to use vectors (typically "arrays") in to pass state vector to right-hand-side function.
- · Use example on previous slides as guidance.

### 2 Coupled ODEs: Predator-Prey problem

- Predator and prey. Let
  - $p_1(t)$  be the number of rabbits
  - $p_2(t)$  be the number of foxes
- Time dependence of  $p_1$  and  $p_2$ :
  - Assume that rabbits proliferate at a rate a. Per unit time a number  $ap_1$  of rabbits is born.
  - Number of rabbits is reduced by collisions with foxes. Per unit time  $cp_1p_2$  rabbits are eaten.
  - Assume that birth rate of foxes depends only on food intake in form of rabbits.
  - · Assume that foxes die a natural death at a rate b.
- Numbers
  - rabbit birth rate a = 0.7

- rabbit-fox-collision rate c = 0.007
- fox death rate b = 1
- Put all together in predator-prey ODEs

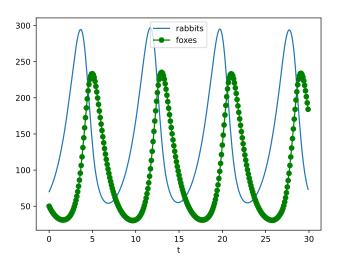
$$p'_1 = ap_1 - cp_1p_2$$
  
 $p'_2 = cp_1p_2 - bp_2$ 

• Solve for  $p_1(0) = 70$  and  $p_2(0) = 50$  for 30 units of time:

```
import matplotlib.pyplot as plt
import numpy as np
from scipy.integrate import solve_ivp
def rhs(t, y):
    a = 0.7
    c = 0.007
    b = 1
    p1 = y[0]
    p2 = y[1]
    dp1dt = a * p1 - c * p1 * p2
    dp2dt = c * p1 * p2 - b * p2
    return np.array([dp1dt, dp2dt])
p0 = [70, 50] # initial condition
t\Theta = \Theta
tfinal = 30
ts = np.arange(t0, tfinal, 0.1)
```

```
sol = solve_ivp(rhs, [t0, tfinal], p0, t_eval=ts)
p1 = sol.y[0]  # extract p1 and
p2 = sol.y[1]  # p2

fig, ax = plt.subplots()
ax.plot(sol.t, p1, label='rabbits')
ax.plot(sol.t, p2, '-og', label='foxes')
ax.legend()
ax.set_xlabel('t')
fig.savefig('predprey.pdf')
```



#### Outlook

Suppose we want to solve a (vector) ODE based on Newton's equation of motion in three dimensions:

$$\frac{\mathrm{d}^2\mathbf{r}}{\mathrm{d}t^2} = \frac{\mathbf{F}(\mathbf{r}, \mathbf{v}, t)}{m}$$

Rewrite as two first order (vector) ODEs:

$$\frac{\mathrm{d}\mathbf{v}}{\mathrm{d}t} = \frac{\mathbf{F}(\mathbf{r}, \mathbf{v}, t)}{m}$$
$$\frac{\mathrm{d}\mathbf{r}}{\mathrm{d}t} = \mathbf{v}$$

Need to pack 6 variables into "y": for example

$$\mathbf{y} = (r_x, r_y, r_z, v_x, v_y, v_z)$$

Right-hand-side function f(y, t) needs to return:

$$f = \left(\frac{dr_x}{dt}, \frac{dr_y}{dt}, \frac{dr_z}{dt}, \frac{dv_x}{dt}, \frac{dv_y}{dt}, \frac{dv_z}{dt}\right)$$
(15)

### Outlook examples

- Example: Molecular dynamics simulations have one set of 6 degrees of freedom as in equation (15) for every atom in their simulations.
- Example: Material simulations discretise space into finite elements, and for dynamic simulations the number of degrees of freedom are proportional to the number of nodes in the mesh.
- Very sophisticated time integration schemes for ODEs available (such as "sundials" suite).
- The tools in scipy.integrate are pretty useful already (solve\_ivp has multiple solvers - we have only used the default Runge Kutta 45 solver.).

Sympy

# Symbolic Python

#### What?

• symbolic algebra - computing with variables not numbers (like Mathematica, SageMath, Wolfram Alpha, other, ...)

### Why?

- Use symbolic computation before moving to numerical calculations to avoid mistakes
- · and to simplify expression as much as possible.
- · Write computer code (or LaTeX) automatically from sympy
- Or use from Python using sympy.lambdify

### Why symbolic python?

- sympy is not the only option other packages may well be faster/know more mathematics, but
  - sympy connects well to Python ecosystem of computational science tools
  - free and open source
  - · scriptable: can integrate into automatic workflows
  - very powerful

### Symbolic Python - basics

```
>>> import sympy
>>> x = sympy.Symbol('x') # define symbolic
>>> y = sympy.Symbol('y') # variables
>>> x + x
2 * x
>>> t = (x + y)**2
>>> print(t)
(x + y)**2
>>> sympy.expand(t)
x**2 + 2*x*y + y**2
>>> sympy.pprint(t)
                            # PrettyPRINT
(x + y)
>>> sympy.printing.latex(t) # Latex export
'\\left(x + y\\right)^{2}'
```

### Substituting values and numerical evalution

```
>>> t
(x + y)**2
>>> t.subs(x, 3)
                          # substituting variables
(y + 3)**2
                            # or values
>>> t.subs(x, 3).subs(y, 1)
16
>>> n = t.subs(x, 3).subs(y, sympy.pi)
>>> print(n)
(3 + pi)**2
>>> n.evalf()
                            # EVALuate to Float
37,7191603226281
>>> p = sympv.pi
>>> p
рi
>>> p.evalf()
```

```
3.14159265358979
>>> p.evalf(47)  # request 47 digits
3.1415926535897932384626433832795028841971693993
```

# Working with infinity

```
>>> from sympy import limit, sin, oo
>>> limit(1/x, x, 50) # what is 1/x if x --> 50
1/50
>>> limit(1/x, x, oo) # oo is infinity
>>> limit(sin(x) / x, x, 0)
\Rightarrow limit(sin(x)**2 / x, x, 0)
0
>>> limit(sin(x) / x**2, x, 0)
00
```

### Taylor series

```
>>> from sympy import series
>>> taylorseries = series(sin(x), x, 0)
>>> taylorseries
x - x**3/6 + x**5/120 + 0(x**6)
>>> sympy.pprint(taylorseries)
    3 5
   X X
x - -- + --- + 0(x**6)
  6 120
>>> taylorseries = series(sin(x), x, 0, n=10)
>>> sympy.pprint(taylorseries)
   X X X X
x - - + - - - - - - + - - - + 0(x*10)
   6 120 5040 362880
```

### Integration

### Solving equations

Finally, we can solve non-linear equations, for example:

### Lambdify sympy expressions

```
>>> from sympy import sin, cos, symbols, lambdify
>>> import numpy as np
>>> x = symbols('x')
>>>  symb = sin(x) + cos(x)
>>> symb
sin(x) + cos(x)
>>> f = lambdify(x, symb, 'numpy')
>>> f(0)
1.0
>>> f(np.linspace(0, 1, 10))
array([1. , 1.10471614, 1.19580783, 1.27215164,
1.33280603, 1.37702295, 1.40425706, 1.4141725 ,
1.40664697, 1.38177329])
```

Workflow: Create sympy expressions, then lambdify them to execute faster.

### Sympy summary

- · Sympy is purely Python based
- fairly powerful (although better open source tools are available if required)
- we should use computers for symbolic calculations routinely alongside pen and paper, and numerical calculations
- · can produce LETEX output
- can produce C and fortran code (and wrap this up as a python function automatically ("autowrap"))

# Testing

### Testing - context

- · Writing software is easy debugging it is hard
- · When debugging, we always test
- Later code changes may require repeated testing
- Best to automate testing by writing functions that contain tests
- · A big topic: here we provide some key ideas
- · We use Python extension tool py.test, see pytest.org

### Example 1: mixstrings.py

```
def mixstrings(s1, s2):
    """Given two strings s1 and s2, create and return a new
    string that contains the letters from s1 and s2 mixed:
    i.e. s[0] = s1[0], s[1] = s2[0], s[2] = s1[1],
    s[3] = s2[1], s[4] = s1[2], ...
    If one string is longer than the other, the extra
    characters in the longer string are ignored.
    Example:
    >>> mixstrings("Hello", "12345")
    'H1e21314o5'
    # what length to process
    n = min(len(s1), len(s2))
    # collect chars in this list
    s = []
```

```
for i in range(n):
        s.append(s1[i])
        s.append(s2[i])
    return "".join(s)
def test mixstrings basics():
    assert mixstrings("hello", "world") == "hweolrllod"
    assert mixstrings("cat", "dog") == "cdaotg"
def test_mixstrings_empty():
    assert mixstrings("". "") == ""
def test mixstrings different length():
    assert mixstrings("12345", "123") == "112233"
    assert mixstrings("", "hello") == ""
if __name__ == "__main__":
    test mixstrings basics()
    test_mixstrings_empty()
    test mixstrings different length()
```

- tests are run if mixstrings.py is the top-level (tests are not run if file is imported)
- no output if all tests pass ("no news is good news")
- More common approach than calling tests from \_\_main\_\_: use py.test.

# py.test (also known as pytest)

We can use the standalone program **py.test** to run test functions in *any* python program:

- py.test will look for functions with names starting with test\_
- · and execute each of those as one test.
- Example:

 This works, even if the file to be tested (here mixstrings.py) does not refer to pytest at all.

## \*Calling pytest from a python file

If desired, one can trigger execution of pytest from python file.

Example:

```
import pytest
<parts of the file missing here>
if __name__ == "__main__":
    pytest.main(["-v", "mixstrings.py"])
```

However, it is much more common to use py.test to discover and execute the tests (often across multiple files).

#### Advanced Example 3: factorial.py

For reference: In this example, we check that an exception is raised if a particular error is made in calling the function.

```
import math
import pytest
def factorial(n):
    """ Compute and return n! recursively.
    Raise ValueError if n is negative or non-integer.
    >>> from myfactorial import factorial
    >>> [factorial(n) for n in range(5)]
    [1, 1, 2, 6, 24]
    if n < 0.
        raise ValueError(f"n should be > 0 but n={n}")
```

```
if isinstance(n, int):
        pass
    else:
        raise TypeError(f"n must be integer but is {type(n)}.")
    # actual calculation
    if n == 0:
        return 1
    else:
        return n * factorial(n - 1)
def test basics():
    assert factorial(0) == 1
    assert factorial(1) == 1
    assert factorial(3) == 6
def test against standard lib():
    for i in range(20):
        assert math.factorial(i) == factorial(i)
def test negative number raises error():
```

```
with pytest.raises(ValueError):
                               # this will pass if
      factorial(-1)
                                # factorial(-1) raises
                                # a ValueFrror
def test noninteger number raises error():
   with pytest.raises(TypeError):
      factorial(0.5)
Output from successful testing:
$> py.test -v factorial.py
platform darwin -- Python 3.10.2, pytest-7.1.2
collected 4 items
factorial.py::test basics PASSED
                                               [ 25%]
factorial.py::test_against_standard_lib PASSED
                                               [ 50%]
factorial.py::test negative number raises error PASSED
                                               [ 75%]
factorial.py::test noninteger number raises error PASSED [100%]
```

#### Notes on pytest

· Normally, we call py.test from the command line

platform darwin -- Python 3.10.2, pytest-7.1.2

 Either give filenames to process (will look for functions starting with test in those files)

• or let py.test autodiscover all files (!) starting with test to be processed.

#### Example:

# **Testing summary**

- Unit testing, integration testing, regression testing, system testing
- absolute key role in modern software engineering: always write (some) tests for your software
- bigger projects have "continuous integration testing": automatic execution of tests on any change
- "eXtreme Programming" (XP) philosophy suggests to write tests before you write code ("test-driven-development (TDD)")

Executable py.test and python module pytest are not part of the standard python library.

# Object Oriented Programming

#### Overview

- Motivation and terminology
- Time example
  - encapsulation
  - defined interfaces to hide data and implementation
  - operator overloading
  - inheritance
  - · (teaching example only: normally datetime and others)
- · Geometry example
- · Objects we have used already
- Summary

#### Motivation

- When programming we often store data
- · and do something with the data.
- For example,
  - · an array keeps the data and
  - · a function does something with it.
- Programming driven by actions (i.e. calling functions to do things) is called *imperative* or *procedural* programming.

#### **Object Orientation**

 merge data and functions (that operate on this data) together into classes.

(...and objects are "instances of a class")

## Terminology

- a class combines data and functions
   (think of a class as a blue print for an object)
- objects are instances of a class (you can build several objects from the same blue print)
- · a class contains members
- members of classes that store data are called attributes
- members of classes that are functions are called methods (or behaviours)

#### Example 1: a class to deal with time

```
class Time:
    def __init__(self, hour, min):
        self.hour = hour
        self.min = min
    def print24h(self):
        print(f"{self.hour:2}:{self.min:2}")
    def print12h(self):
        if self.hour < 12:</pre>
            ampm = "am"
        else:
            ampm = "pm"
        print(f"{self.hour % 12:2}:{self.min:2} {ampm}")
if name == " main ":
```

```
t = Time(15, 45)
    print("print as 24h: "),
    t.print24h()
    print("print as 12h: "),
    t.print12h()
    print(f"The time is {t.hour} hours and {t.min} minutes.")
which produces this output:
print as 24h:
15:45
print as 12h:
3:45 pm
The time is 15 hours and 45 minutes.
```

- · class Time: starts the definition of a class with name Time
- \_\_init\_\_ is the *constructor* and is called whenever a new object is initialised
- all methods in a class need self as the first argument. Self represents the object.
- variables can be stored and are available everywhere within the object when assigned to self, such as self.hour in the example.
- · in the main program:

  - methods of t can be called like this t.print24h().

This was a mini-example demonstrating how data attributes (*i.e.* hour and min) and methods (*i.e.* print24h() and print12h()) are combined in the Time class.

#### Members of an object

 In Python, we can use dir(t) to see the members of an object t. For example:

```
>>> t = Time(15, 45)
>>> dir(t)
['__class__', '__doc__', ...<entries removed here>....,
    'hour', 'min', 'print12h', 'print24h']
```

We can also modify attributes of an object using for example t.hour
 = 10. However, direct access to attributes is sometimes supressed
 (although it may look like direct access → property).

## Data hiding (also: information hiding)

- A well designed class provides methods to get and set attributes.
- · These methods define the interface to that class.
- Purpose of get and set methods:
  - to perform error and consistency checking when values are set
  - to hide the implementation of the class (from the user):
    - we can change the implementation of the class without changing the interface (and a user of the class would never know)
    - · makes future changes possible
- We introduce set and get methods as one would use in Java and C++ to reflect the common ground in OO class design. In Python, the use of property is often preferred over set and get methods.

#### Example 2: a class to deal with time

```
class Time:
    def init (self, hour, min):
        self.setHour(hour)
        self.setMin(min)
    def setHour(self, hour):
        if 0 <= hour <= 23:
            self. hour = hour
        else:
            raise ValueError(f"Invalid hour value: {hour}")
    def setMin(self, min):
        if 0 <= min <= 59:
            self._min = min
        else:
            raise ValueError(f"Invalid min value: {min}")
```

```
def getHour(self):
        return self. hour
    def getMin(self):
        return self._min
    def print24h(self):
        print(f"{self.getHour():2}:{self.getMin():02}")
    def print12h(self):
        if self._hour < 12:</pre>
            ampm = "am"
        else:
            ampm = "pm"
        print(f"{self. hour%12:2}:{self. min:2} {ampm}")
if name == " main ":
   t = Time(15, 45)
```

```
print("print as 24h: "),
    t.print24h()
    print("print as 12h: "),
    t.print12h()
    print(f"that is {t.getHour()} hours and {t.getMin()} minutes")
which produces
print as 24h:
15:45
print as 12h:
 3:45 pm
that is 15 hours and 45 minutes
```

## Data Hiding summary

- · providing set and get methods for attributes of an object
- The pythonic way for get and set functions is through properties. A property is a special attribute:
  - get and set functions are called automatically when the attribute is accessed or assigned to.
- Advanced: Attributes that the user cannot access directly are called *private*.
  - In Python, class attributes can never be truly private. (in contrast to C++, Java, ...)
  - Convention: an attribute starting with an underscore is private, and should not be accessed directly (by the user of the class). Example: self.\_hour

## Operator overloading

- We constantly use operators to "do stuff" with objects.
- What the operator does, depends on the objects it operates on. For example:

- This is called *operator overloading* because the operation is overloaded with more than one meaning.
- Other operators include -,\* , \*\*, [], (), >, >=, ==, <=,
  <, str(), repr(), ...</pre>
- We can overload these operators for our own objects. The next slide shows an example that overloads the > operator for the Time class.
- It also overloads the "str" and "repr" functions.

```
class Time:
    def init (self, hour, min):
       self.hour, self.min = hour, min
    def str (self):
        """overloading the str operator (STRing)"""
       return f"[ {self.hour:2d}:{self.min:2d} ]"
    def repr (self):
        """overloading the repr operator (REPResentation)"""
       return f"Time({self.hour:2d}, {self.min:2d})"
    def gt (self, other):
        """overloading the GreaterThan operator"""
       selfminutes = self.hour * 60 + self.min
       otherminutes = other.hour * 60 + other.min
       return selfminutes > otherminutes
if name _ == "__main__":
   t1 = Time(15, 45)
```

```
t2 = Time(10, 55)
    print(f"Informal string representation of t1: {str(t1)}")
    print(f"Representation of object = {repr(t1)}")
    print("compare t1 and t2: "),
    if t1 > t2:
        print("t1 is greater than t2")
Output:
Informal string representation of t1: [ 15:45 ]
Representation of object = Time(15, 45)
compare t1 and t2:
t1 is greater than t2
```

#### Inheritance

- Sometimes, we need classes that share certain (or very many, or all) attributes but are slightly different.
- Example 1: Geometry
  - · a point (in 2 dimensions) has an x and y attribute
  - · a circle is a point with a radius
  - · a cylinder is a circle with a height
- Example 2: People at universities
  - · A person has an address.
  - · A student is a person and selects modules.
  - · A lecturer is a person with teaching duties.
  - ...
- In these cases, we define a base class (or parent class) and derive other classes from it.
- This is called inheritance

#### Inheritance example Time

```
class Time:
    def __init__(self, hour, min):
        self.hour = hour
        self.min = min
    def __str__(self):
        """overloading the str operator (STRing)"""
        return f"[ {self.hour:2}:{self.min:02} ]"
    def gt (self, other):
        """overloading the GreaterThan operator"""
        selfminutes = self.hour * 60 + self.min
        otherminutes = other.hour * 60 + other.min
        return selfminutes > otherminutes
```

```
class TimeUK(Time):
    """Derived (or inherited class)"""
    def str (self):
        """overloading the str operator (STRing)"""
        if self.hour < 12:</pre>
            ampm = "am"
        else:
            ampm = "pm"
        return f"[ {self.hour%12:2}:{self.min:02} {ampm}]"
if name == " main ":
    t3 = TimeUK(15, 45)
    t4 = Time(16, 15)
    print(t3, t4)
    if t3 > t4:
```

```
print("t3 is greater than t4")
else:
    print("t3 is not greater than t4")

Output:
[ 3:45 pm] [ 16:15 ]
t3 is not greater than t4
```

## \*Inheritance example Geometry

```
import math
class Point:
                              # this is the base class
    """Class that represents a point """
   def __init__(self, x=0, y=0):
       self.x = x
       self.v = v
class Circle(Point): # is derived from Point
    """Class that represents a circle """
    def __init__(self, x=0, y=0, radius=0):
       Point.__init__(self, x, y)
       self.radius = radius
   def area(self):
       return math.pi * self.radius ** 2
```

```
"""Class that represents a cylinder"""
   def init (self, x=0, y=0, radius=0, height=0):
       Circle. init (self, x, y, radius)
       self.height = height
   def volume(self):
       return self.area() * self.height
if name == " main ":
   d = Circle(x=0, y=0, radius=1)
   print("circle area:", d.area())
   print("attributes of circle object are")
   print([name for name in dir(d) if name[:2] != " "])
   c = Cylinder(x=0, y=0, radius=1, height=2)
   print("cylinder volume:", c.volume())
   print("attributes of cylinder object are")
   print([name for name in dir(c) if name[:2] != " "])
```

Output:

```
circle area: 3.141592653589793
attributes of circle object are
['area', 'radius', 'x', 'y']
cylinder volume: 6.283185307179586
attributes of cylinder object are
['area', 'height', 'radius', 'volume', 'x', 'y']
```

# \*Inheritance (2)

• if class A should be derived from class B we need to use this syntax:

```
class A(B):
```

- Can call constructor of base class explicitly if necessary (such as in Circle calling of Point.\_\_init\_\_(...))
- Derived classes inherit attributes and methods from base class (see output on previous slide: for example the cylinder and circle object have inherited x and y from the point class).

# \*super()

```
In the Circle class definition, we can replace
```

(Same for the Cylinder class definition.)

## Everything in Python is an object

All "things" in Python are objects, including numbers, strings and functions.

```
>>> dir(42)  # numbers are objects
>>> dir(list)  # list is an object
>>> import math
>>> dir(math)  # modules are objects
>>> dir(lambda x: x)  # functions are objects
```

# **Summary Object Oriented Programming**

#### **Summary**

- Object orientation is about merging data and functions into one object (sometimes called encapsulation).
- Data hiding (through get and set methods) makes the classes more flexible: easier to maintain, possible to change internal implementation
- Through operator overloading we can make working with the objects more convenient and more flexible
- Classes can be derived from other classes: facilitates re-use of code

# Pandas

#### **Pandas**

- de-facto standard in data science (and maschine learning)
- builds on numpy
- · convenient handling of multi-dimensional data sets
- important data structures: Series and DataFrame
- excellent import and export functionality, including csv and xlsx.
- many, many, many parameters, functions, tools (Can't know them all)
- for data cleaning and data exploration typically used in Juptyter Notebook

See https://fangohr.github.io/introduction-to-python-for-computational-science-and-engineering/17-pandas.html

# Practical computational science recommendations

#### Research software development

- use version control
- · start in Python
- use tests
- · keep it simple
- · make it readable
- use notebooks for examples -> documentation (sphinx)
- · if you need to change/extend/rewrite software
  - automatic tests are your friend
  - · continuous integration
  - we can also test documentation (nbval, doctest)

#### Software too slow?

- Identify where it is slow ("Profiling")
- move execution of 'slow' operations to compiled code where necessary
  - through use of numpy
  - · through use of Cython
  - through linking to compiled code (ctypes, cython, swig, boost, f2py, ...)
- Parallelise through use of libraries that can execute in parallel
  - · mkl numpy
  - dask
  - · numba
  - pytorch, cupy, ...

Includes use of GPUs.

#### **Good practice Computational Science**

- use notebooks to document computational work
- use version control (for software, reports and papers)
- archive software and notebooks (publicly if you can)
- in particular for (more reproducible) publications [1]:
  - publish git repo with paper (Zenodo?)
  - · document your software environment
  - if you can create it automatically, this is best
  - consider making your repo binder-enabled ()

[1] Beg, Fangohr, etal: *Using Jupyter for reproducible scientific workflows*, Computing in Science and Engineering 23, 36-46 10.1109/MCSE.2021.3052101 (2021)

#### Give back to the community where you can

- Contribute to the open source tools you are using, for example
  - provide bug reports
  - suggest improvements to documentation
  - make feature requests
  - helping other users
  - ...
- Cite software that is important for your work in your papers: many packages suggest what to cite if you use them

What to learn next?

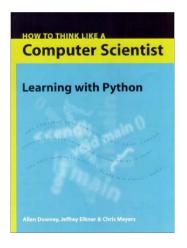
#### What programming language to learn how - suggestions

- · ...it all depends what you want to achieve:
- To learn C or Fortran, get a book or on-line tutorial.
- To learn object oriented programming (OOP), read a more detailed introduction, for example "How to think like a computer Scientist" (for Python)
- To learn C++, learn OOP using Python first, then switch to C++.

#### Note:

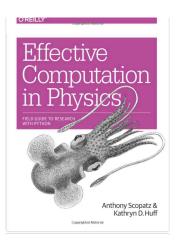
- · Python provides an excellent platform for all possible tasks
- $\Rightarrow$  it could well be all you need for some time to come.

## Further reading



- "How to Think Like a Computer Scientist: Learning with Python".
   (ISBN 0971677506) free at http://greenteapress.com/wp/thinkpython-2e/
- Very systematic presentation of all important programming concepts, including OOP.
- Aimed at first year computer science students.
- Recommended if you like programming and want to know more about the concepts.

# Further reading (2)



- "Effective Computation in Physics" (ISBN 1491901535, 2015)
- Good overview of Python language and Python-based computational ecosystem
- Also introduces data processing, version control (Git), Github, testing, HDF5, parallelism, software deployment

#### What other tools, methods and topics might be relevant?

Tools to extend your computational toolkit / suggested self-study topics

- · Systematic testing (py.test for python) and
- Test Driven Development (TDD)
- Version control (Git)
- Automate everything (continuous integration)
- Jupyter Notebook
- · 町区 (professional document creation)
- Editor (Emacs? Vim? Sublime? Eclipse? ...)
- · Containers (Docker / Singularity / ...)
- · Data management: hdf5
- · Performance: parallel computing
- Touch typing

• ...

**Typing** 

#### **Dynamic Typing**

Python derives flexibility from being dynamically typed:

```
def add(x, y):
    """Type of x and y is dynamic."""
    print(f"Type of {x=} is {type(x)}")
    return x + y
print(add(10, 20))
print(add("Hello", " World"))
Output:
Type of x=10 is <class 'int'>
30
Type of x='Hello' is <class 'str'>
Hello World
```

#### Duck typing — behaviour more important than type

```
def print length(x):
    """Works for every object with __len__ method."""
    print(f"The object of type \{type(x)\}\ has length \{len(x)\}.")
class Len42class:
    """A class where every object has length 42."""
    def len (self):
        return 42
x = [10, 20]
print_length(x) # list has length
y = Len42class() # y has length
print length(v)
Output:
The object of type <class 'list'> has length 2.
The object of type <class '__main__.Len42class'> has length 42.
```

# Static typing

- · More formal "static typing" information can be useful:
  - better (machine readable) documentation of types
  - static type checking may discover mistakes
  - editors/IDEs can use static type information
  - potential execution speed-up (see cython)
- Typing module for type annotation introduced in Python
   3.5
- Relevant PEPs: PEP483 and PEP484
- More concise introduction to typing realpython.com

#### Static typing example

 Function type annotation: expect str and return str def hello(name: str) -> str: """Given a name, return 'Hello ' + name.""" 2 return "Hello " + name 3 4 hello("Paul") # correct function call hello(42) # incorrect type · Can use mypy to do static type analysis: typing-static1.py:6: error: Argument 1 to "hello" → has incompatible type "int"; expected "str" Found 1 error in 1 file (checked 1 source file)

#### **Gradual typing**

- gradual introduction of type annotations is possible: can introduce type annotation for some functions only
- · effective to annotate most heavily used functions first
  - they are called from other places
  - · accidental calls with incorrect types can be discovered

#### Gradual typing example

```
1 · def mysum(a: int, b: int) -> int:
        """Expect two ints and return the sum."""
2
       return a + b
3
4
   def f_without_types(x):
        """Return x. A function without type annotation."""
       return x
7
8
   print(mysum(2, 3))
   print(mysum("Hello", 2023)) # will not work
10

    Can use mypy to do static type analysis:

   typing-gradual.py:10: error: Argument 1 to "mysum"
    → has incompatible type "str"; expected "int"
   Found 1 error in 1 file (checked 1 source file)
```

# Useful tools

## Black — The Uncompromising Code Formatter



"one style, as long as it is this one"

- leave formatting to black
- · focus on content (rather than formatting)
- makes code review easier
- compatible with PEP8

#### Usage:

- Check if file.py sticks to Black standard:black --check file.py
- Autoformat file.py: black file.py
- Can be used by editors (e.g. Spyder) and tools (e.g. pre-commit)

#### mypy and pytype — static type checking

Code with type annotations (see slide 439) can be analysed statically (i.e. without being executed).

#### Important tools:

- mypy https://mypy-lang.org/
- pytype https://github.com/google/pytype

Pytype can also infer types (to some degree) and merge to source.

A gentle introduction to the topic in Talk Python to Me podcast, episode 151.

commit 88dcec6a44a667436647f28a85c3e62b806eace1
Author: Hans Fangohr <a href="mailto:hans.fangohr@mpsd.mpg.de">hans.fangohr@mpsd.mpg.de</a>

Date: Fri Jan 27 14:28:17 2023 +0100

minted font size adjustments