

**5.1. Heisenberg Spin Chain: Direct Diagonalization** (4 points)

Consider the Heisenberg spin chain with periodic boundary conditions and Hamiltonian

$$\mathcal{H} = \sum_{j=1}^L (\mathcal{I}_{j,j+1} - \mathcal{P}_{j,j+1}). \tag{5.1}$$

Compute the spectrum of eigenvalues of  $\mathcal{H}$  (energies) by direct diagonalization for the cases specified below.  $M$  denotes the number of up spins.

- a) Compute the spectrum for a spin chain of length  $L = 3$  and arbitrary number  $M$  of spin flips. How do the eigenstates organize into  $\mathfrak{su}(2)$  multiplets? The generators of  $\mathfrak{su}(2)$  are  $Q^\alpha = \sum_i \sigma_i^\alpha / 2$ ,  $\alpha = x, y, z$ , and  $Q^\pm = Q^x \pm iQ^y$ .
- b) Restrict to cyclic states, i.e. identify all states that are equivalent under cyclic permutations of the spin chain sites. Compute the spectrum for the states with  $L = 4$ ,  $M = 2$ , and for  $L = 6$ ,  $M = 2, 3$ .

**5.2. Heisenberg Spin Chain: Bethe Equations** (4 points)

The Bethe equations for the  $\text{XXX}_{1/2}$  Heisenberg spin chain read

$$\left( \frac{u_k + i/2}{u_k - i/2} \right)^L = \prod_{\substack{j=1 \\ j \neq k}}^M \frac{u_k - u_j + i}{u_k - u_j - i}, \quad k = 1, \dots, M. \tag{5.2}$$

Each solution to these equations (such that all finite  $u_k$  are distinct) defines an eigenstate of the Heisenberg Hamiltonian with  $M$  up spins (magnons). The energy  $E$  and momentum  $P$  are given by

$$E = \sum_{k=1}^M \left( \frac{i}{u_k + i/2} - \frac{i}{u_k - i/2} \right), \quad e^{iP} = \prod_{k=1}^M \frac{u_k + i/2}{u_k - i/2}. \tag{5.3}$$

- a) Use the Bethe equations to compute the energy spectrum for  $L = 3$  and  $M \leq 1$ . States with  $M > L/2$  are obtained from states with  $M \leq L/2$  by flipping all spins. Compare to the results of problem 5.1 a). How are the  $\mathfrak{su}(2)$  multiplets realized?

In the following, restrict to cyclic states, i.e. require  $e^{iP} = 1$ .

- b) Compute the energy spectrum for  $L = 4$ ,  $M = 2$ , and for  $L = 6$ ,  $M = 2$ . Compare to the results of problem 5.1 b).
- c) Compute the energy spectrum from the Bethe equations for any  $L$  and  $M = 2$ .
- d) The solution for  $L = 6$ ,  $M = 3$  is singular. Show that the regularized rapidities

$$u_1 = \frac{i}{2} + \varepsilon + c\varepsilon^6, \quad u_2 = -\frac{i}{2} + \varepsilon, \quad u_3 = \frac{1 - 4u_1u_2}{4(u_1 + u_2)} + d(\varepsilon) \tag{5.4}$$

solve the Bethe equations and the condition  $e^{iP} = 1$  in the limit  $\varepsilon \rightarrow 0$  for a suitable constant  $c$  and function  $d(\varepsilon)$ . Compare to your result of problem 5.1 b).

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### 5.3. Coordinate Bethe Ansatz for the XXZ Spin Chain (4 points)

Consider the Hamiltonian  $\mathcal{H}$  of the XXZ spin chain with periodic boundary conditions:

$$\mathcal{H} = \sum_{j=1}^L \mathcal{H}_{j,j+1}, \quad \mathcal{H}_{j,k} = \frac{1}{2} [\sigma_j^x \sigma_k^x + \sigma_j^y \sigma_k^y + \Delta(\sigma_j^z \sigma_k^z - \mathbf{1}_j \mathbf{1}_k)], \quad \sigma_{L+1} \equiv \sigma_1, \quad (5.5)$$

which acts on a spin chain of length  $L$  with spins  $|\downarrow\rangle = (0, 1)^\top$  and  $|\uparrow\rangle = (1, 0)^\top$ . Here,  $\sigma_j^i$ ,  $i \in \{x, y, z\}$  are the Pauli matrices acting on the spin state at site  $j$ .

a) Consider a general state with a single up spin

$$|\psi_1\rangle = \sum_{k=1}^L f(k) |k\rangle, \quad |k\rangle = |\downarrow\downarrow \dots \downarrow\overset{k}{\uparrow}\downarrow\downarrow \dots \downarrow\downarrow\rangle. \quad (5.6)$$

Convert the eigenvalue equation  $\mathcal{H}|\psi_1\rangle = e_1|\psi_1\rangle$  to a finite difference equation for  $f(k)$ . Show that the one-magnon ansatz  $f(k) = e^{ipk}$  solves the equation, and that the dispersion relation becomes  $e_1(p) = 2(\cos(p) - \Delta)$ .

b) Now consider states with two up spins:

$$|\psi_2\rangle = \sum_{1 \leq k < \ell \leq L} f(k, \ell) |k, \ell\rangle, \quad |k, \ell\rangle = |\downarrow \dots \downarrow\overset{k}{\uparrow}\downarrow \dots \downarrow\overset{\ell}{\uparrow}\downarrow \dots \downarrow\rangle. \quad (5.7)$$

Starting with the eigenvalue equation  $\langle k, \ell | \mathcal{H} | \psi_2 \rangle = e_2 f(k, \ell)$ , derive two difference equations for  $f(k, \ell)$  by considering the two cases  $k+1 < \ell$  and  $k+1 = \ell$ . Using the two-magnon ansatz

$$f(k, \ell) = e^{ipk+iq\ell} + S(p, q) e^{iqk+ip\ell}, \quad (5.8)$$

show that the dispersion relation is  $e_2(p, q) = e_1(p) + e_1(q)$ , and that the scattering phase  $S(p, q)$  must satisfy

$$S(p, q) = - \frac{1 + e^{i(p+q)} - 2\Delta e^{iq}}{1 + e^{i(p+q)} - 2\Delta e^{ip}}. \quad (5.9)$$

*Hint:* First compute the action of  $\mathcal{H}$  on neighboring spins  $|\downarrow\downarrow\rangle$ ,  $|\uparrow\uparrow\rangle$ ,  $|\downarrow\uparrow\rangle$ , and  $|\uparrow\downarrow\rangle$ .

c) Express  $S(p, q)$  in terms of rapidities  $u, v$ , which are related to the momenta  $p, q$  via

$$e^{ip} = \frac{u + i/2}{u - i/2}. \quad (5.10)$$

Taking the limit  $\Delta \rightarrow 1$ , show that the Bethe equations  $e^{ip_k L} = \prod_{j=1, j \neq k}^M S(p_j, p_k)$  for an  $M$ -magnon state become

$$\left( \frac{u_k + i/2}{u_k - i/2} \right)^L = \prod_{\substack{j=1 \\ j \neq k}}^M \frac{u_k - u_j + i}{u_k - u_j - i}. \quad (5.11)$$