## **Transverse phase space studies of** XFEL 250 pC beam vs laser shaping

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### Outline

- Motivation
- Transverse phase space
- Analysis of simulations
- Analysis of experiments
- Summary

## **Motivation**

#### How to compare emittance values between XFEL injector and PITZ

- 250 pC emittance statistics
  - PITZ: ~0.47-0.8 mm.mrad
    - Slit scan  $\rightarrow$  2D phase space distribution  $\rightarrow$  emittance
  - XFEL: ~0.35 0.8 mm.mrad
    - Phase advance scan  $\rightarrow$  <xx>, <xx'>, <x'x'> (if no tomography)
    - Emittance depends on beam size calculation (rms or Gaussian fitting)
  - How to compare the two?







 $\min(\sqrt{\beta_{\rm X}} \cdot \beta_{\rm y})$  [m]

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## LCLS injector with Gaussian truncation optimization

#### **LCLS-I** injector example

- 2012, LCLS experience: (PRST AB 15, 090701)
  - 150 pC, ~1.3 ps (rms) laser
  - Uniform  $\rightarrow$  1.1- $\sigma$  Gaussian truncation





Simulated slice emittance

## **Phase space description**

#### **Position and angle coordinates**

- Transverse phase space by trajectory perspective
  - position and angle, x and x'

• RMS emittance, 
$$\varepsilon_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle x * x' \rangle^2}$$

• Twiss parameters, 
$$\beta = \frac{\langle x^2 \rangle}{\varepsilon}$$
,  $\gamma = \frac{\langle x'^2 \rangle}{\varepsilon}$ ,  $\alpha = -\frac{\langle x * x' \rangle}{\varepsilon}$ 

• RMS emittance ellipse, 
$$\varepsilon_{rms} = \gamma x^2 + 2\alpha x x' + \beta {x'}^2$$

- Pro: easy to describe beam in real space
- Con: not a good way to describe beam quality in phase space, i.e. phase space density (2D)
  - If the 95% phase space distribution is the same, but outside 5% particles become very bad, then  $\varepsilon_{rms}$  becomes very bad, just like the rms size calculation of 1D distribution.



DESY.

## Action and phase analysis of phase space

Another method to analyze phase space data

- Get to know this idea during an interview
  - Method is published, the author is also employed by our group
    - <u>C. Richard</u>, J.P. Carneiro, L.R. Prost, A.V. Shemyakin, Analysis of Allison Scanner Phase Portraits Using Action-Phase Coordinates", in Proc. NAPAC'19, Lansing, MI, USA, Sep. 2019, paper TUPLS08.
    - <u>C. Richard</u>, M. Alvarez, J.P. Carneiro, B. Hanna, L.R. Prost, A. Saini, V. Scarpine, A.V. Shemyakin, Measurements of a 2.1 MeV H<sup>-</sup> Beams with an Allison Scanner", Review of Scientic Instruments, 2020



## **Phase space transformation**

#### Action and phase coordinates

- Transform into new coordinates
  - Action (ellipse area/2pi)

• 
$$J_i = \frac{1}{2} \left( \gamma x_i^2 + 2\alpha x_i x_i' + \beta x_i'^2 \right)$$
  
• 
$$\varepsilon_{rms} = \frac{1}{N} \sum_i J_i$$

• Phase

• 
$$J_i = \frac{1}{2\beta} \left( x_i^2 + (\alpha x_i + \beta x_i')^2 \right)$$

• 
$$\phi_i = \tan^{-1} \frac{\alpha x_i + \beta x'_i}{x_i}$$

- Pro: project particles to the J axis, i.e. dQ/J, better describes phase space density.
- Con: cannot describe beam motion in real space



## **Phase space transformation**

#### Action and phase coordinates

- Core emittance analysis
  - Assume the phase space core is a good approximation of Gaussian distribution

• 
$$dQ = \frac{Q}{2\pi\varepsilon_0} \exp\left(-\frac{\gamma x_i^2 + 2\alpha x_i x_i' + \beta x_i'^2}{2\varepsilon_0}\right) dx dx'$$
  
 $= \frac{Q}{\varepsilon_0} \exp\left(-\frac{J}{\varepsilon_0}\right) dJ$ 

- Fit exponential density decay  $\rightarrow$  core emittance  $\varepsilon_0$ 
  - Non Gaussian 'halo particles' does not matter anymore in calculating core emittance
  - Similar to XFEL emittance calculation by using Gaussian fitted beam size

Phase space renormalization

• 
$$\varepsilon_i = \gamma x_i^2 + 2\alpha x_i x_i' + \beta x_i'^2$$

• 
$$\frac{\varepsilon_i}{\varepsilon_0} = \left(\frac{x}{\sqrt{\varepsilon_0\beta}}\right)^2 + \left(\frac{\alpha x_i + \beta x_i'}{\sqrt{\varepsilon_0\beta}}\right)^2$$



# 250 pC simulations with the PITZ beamline vs laser shaping

## 250 pC simulation vs laser shapes

#### gun 6.3 MeV/c, booster exit ~19.5 MeV/c

- Thermal emittance setting: 1 mm.mrad/mm (measurements)
- Laser rms size 0.25 mm
  - Gaussian: 6 ps FWHM (close to MBI laser values)
  - Flattop/Parabolic/Ellipsodial, final peak current ~20 A
    - Tune duration to get same emission peak current
- Projected emittance
  - 6-20% reduction on 100% emittance
  - 10-16% reduction on <u>95% emittance</u>
  - Negligible difference (+/-3%) on core emittance

		Spatial uniform			Spatial 1o truncation		
		100%	95%	Core	100%	95%	Core
	Gaussian	0.70	0.40	0.29	0.66	0.36	0.32
	Flattop	0.60	0.39	0.29	0.53	0.33	0.31
	Parabolic	0.53	0.37	0.28	0.42	0.31	0.31
DESY.	Ellipsoidal	0.40	0.30	0.30	0.40	0.30	0.30



## 250 pC simulation vs laser shapes

#### gun 6.3 MeV/c, booster exit ~19.5 MeV/c

- Thermal emittance setting: 1 mm.mrad/mm (measurements)
- Laser rms size 0.25 mm
  - Gaussian: 6 ps FWHM (close to MBI laser values)
  - Flattop/Parabolic/Ellipsodial, final peak current ~20 A
    - Tune duration to get same emission peak current
- Central slice emittance
  - 42% reduction on 100% emittance
  - 33% reduction on <u>95% emittance</u>
  - Negligible difference (+/-3%) on core emittance

		Spatial uniform			Spatial 1o truncation		
		100%	95%	Core	100%	95%	Core
	Gaussian	0.53	0.38	0.26	0.30	0.25	0.27
	Flattop	0.56	0.39	0.26	0.32	0.26	0.28
	Parabolic	0.56	0.40	0.25	0.33	0.26	0.27
DESY	Ellipsoidal	0.34	0.28	0.29	0.34	0.28	0.29



## 250 pC vs laser shaping

#### **Projected phase space**



- Four laser shapes
  - Gaussian 6 ps FWHM, trans. Uniform
  - Gaussian 6 ps FWHM, trans. 1sigma truncation
  - Flattop 7 ps FWHM, 2 ps rising edge, trans. Uniform
  - Flattop 10 ps FWHM, 2 ps rising edge, trans. Uniform
- Core emittance is ~0.3 mm.mrad
  - 9 sigma phase space area → action J =1.3 mm.mrad
  - Phase space density <u>no difference</u> within 9 sigma phase space

## 250 pC vs laser spatial shaping

**Central slice emittance** 

Core emittance ~0.27 mm.mrad, 9 sigma phase space action J =1.2 mm.mrad



Spatial 1-sigma truncation

Spatial uniform

# 250 pC experiment

## **Preparation of Truncated Gaussian Laser Pulses**

Zoom telescope and beam shaping aperture



- Zoom telescope: laser transverse size on beam shaping aperture (BSA) is adjusted to achieve truncation to varying degrees
- Advantage: Varying truncation can be studies for fixed BSA size; quickly adjustable



## **Range of Truncated Gaussians in this Study**

Transverse laser distribution recorded with virtual cathode camera

DESY.





## 250 pC (Uniform)

#### MBI ~7 ps FWHM, BSA1mm, 6.3 MeV/c 20210316N





	simu	exp	errbar
95% emit	0.40	0.46	0.01
90% emit	0.29	0.34	/
Core	0.29	0.36	0.005
Peak density	3.00	2.4	0.1
Core ratio	86%	86%	/

Phase space normalized by core emittance

0.9

0.8

0.7

0.6 0.5 0.4

0.3

0.2

0.1



1.1

0.9

0.8

0.7 0.0 Integration 6.0

0.4

0.3

0.2

0.1

5

## 250 pC (1 $\sigma$ truncation)

MBI ~7 ps FWHM, BSA1mm, 6.3 MeV/c 20191218A



core emit: 0.358



	simu	exp	errbar
95% emit	0.36	0.38	0.004
90% emit	0.28	0.31	/
Core	0.31	0.37	0.01
Peak density	3.0	2.51	0.10
Core ratio	91%	93%	/

1.1

Peak density: 2.67, Core emittance: 0.369

10<sup>0</sup>

## Comparison between 250 pC to 500 pC

experiment data, Gaussian 6-7 ps laser, 6.3 MeV/c

250 pC slice emittance measurements •



250 pC (BSA1)

'Uniform'

80% 2019

0.52

0.39

2.4

93%

**Truncation** 

60% 2019

0.38

0.37

2.5

93%

50 um slit

'Uniform'

80% 2021

0.64

0.51

1.7

86%

10 um slit

250 pC vs 500 pC measurements ٠

'Uniform'

80% 2021

0.46

0.36

2.4

86%

10 um slit

~95% emit

Core

Peak density

Core charge

DESY.

500 pC laser truncation measurements



due to better beam, or 10 um slit effect.



- Action and phase analysis is applied to PITZ data for core emittance, which is comparable with XFEL injector emittance values based on Gaussian beam fitting.
- <u>Simulations</u> show <u>95-100% emittance</u> can be reduced by laser spatial shaping (<u>up to 30-40%</u>), but <u>core</u> <u>emittance is not sensitive</u> to laser shaping, laser shaping optimizes <u>non-Gaussian tails (5-15% charge)</u> in phase space.
- Experiments show 95-100% emittance of <u>250 pC</u> is optimized with <u>60% truncation</u> laser, <u>500 pC</u> is optimized with <u>20% truncation</u> laser.
  - 100-95% emittances do show large reduction for both projected and slice emittance (up to 35%).
  - Projected core emittance is not sensitive to laser shaping, as expected by simulations.
  - Slice core emittance does show a ~16% reduction due to laser spatial shaping, but projected core emittances are very close → Slice emittance measurements are not as often as projected emittance, not sure it's real or just coincidence.
  - Best proj. core emittance for 250 pC and 500 pC from 2021
    - 250 pC: ~<u>0.36 mm.mrad (ideal simulations ~</u>0.3 mm.mrad)
- ~20% higher.
- 500 pC: ~<u>0.51 mm.mrad (ideal simulations ~0.4 mm.mrad</u>)