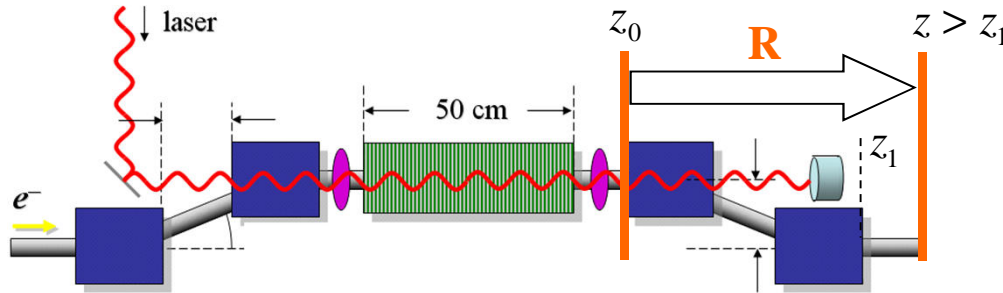


Trickle Heating

theory from SLAC-PUB-13854
Z. Huang et. al.



$$\begin{pmatrix} x \\ x' \\ y \\ y' \\ z \\ \delta \end{pmatrix} = \begin{bmatrix} R_{11} & R_{12} & 0 & 0 & 0 & R_{16} \\ R_{21} & R_{22} & 0 & 0 & 0 & R_{26} \\ 0 & 0 & R_{33} & R_{34} & 0 & 0 \\ 0 & 0 & R_{43} & R_{44} & 0 & 0 \\ R_{51} & R_{52} & 0 & 0 & 1 & R_{56} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \begin{pmatrix} x_0 \\ x'_0 \\ y_0 \\ y'_0 \\ z_0 \\ \delta_0 \end{pmatrix} \quad \begin{aligned} R_{26} &= R_{51} = 0 \\ R_{16} &= R_{52} \end{aligned}$$

effect of transverse emittance \rightarrow xz-plane
slice with $z_0 = 0 \quad \delta_0 = 0$

$$\langle xx \rangle = R_{11}^2 \langle x_0 x_0 \rangle + 2R_{11}R_{12} \langle x_0 x'_0 \rangle + R_{12}^2 \langle x'_0 x'_0 \rangle$$

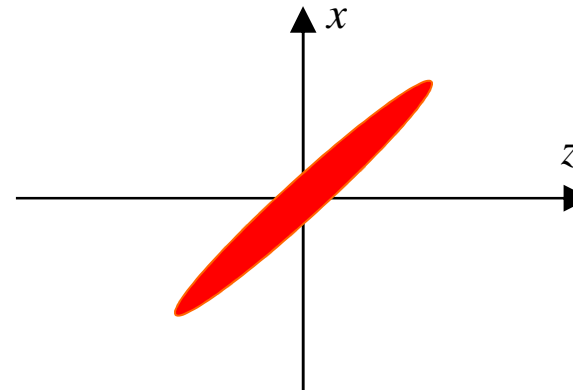
$$\langle zz \rangle = R_{52}^2 \langle x'_0 x'_0 \rangle$$

$$\langle xz \rangle = R_{52}R_{11} \langle x_0 x'_0 \rangle + R_{52}R_{12} \langle x'_0 x'_0 \rangle$$



slice at $z_0 \rightarrow$ distribution after LH

$$\begin{bmatrix} \langle xx \rangle & \langle xz \rangle \\ \langle xz \rangle & \langle zz \rangle \end{bmatrix} = \varepsilon_x \begin{bmatrix} \beta_{x0} R_{11}^2 - 2\alpha_0 R_{11} R_{12} + \gamma_{x0} R_{12}^2 & \dots \\ \gamma_{x0} R_{12} R_{52} - \alpha_0 R_{11} R_{52} & \gamma_{x0} R_{52}^2 \end{bmatrix}$$

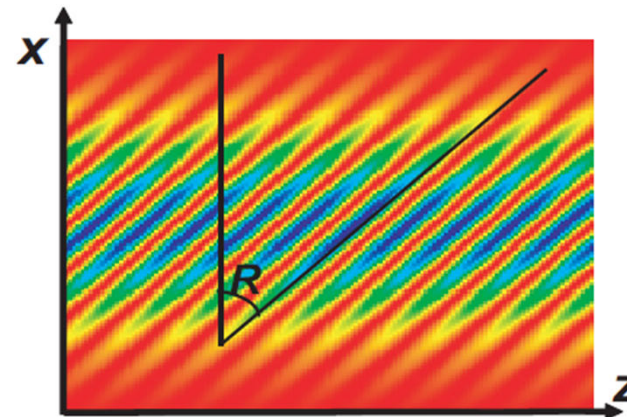


area $\det[\dots] = \varepsilon_x (R_{11} R_{52})^2$

energy modulation in LH \rightarrow tilted microbunches

tilt angle $R = \frac{\langle xz \rangle}{\langle xx \rangle}$

$$= -R_{52} \left(R_{21} + \frac{\alpha}{\beta} R_{11} \right)$$



3D Impedance

generalization (on axis):

$$E_z(k_0) = \frac{-eik_0}{2\pi\epsilon_0\gamma^2\lambda_0} \int dx dy dz \times \rho(x, y, z) e^{-ik_0z} K_0\left(\frac{k_0 r}{\gamma}\right)$$



$$E_z(k_0) = \frac{-eik_0}{2\pi\epsilon_0\gamma^2\lambda_0} \int dx dx' dy dy' dz dz' \delta \times f(x, x', y, y', z, z') e^{-ik_0z} K_0\left(\frac{k_0 r}{\gamma}\right)$$

integration for (nominal) Gaussian transverse phase space:

$$E_z(k_0) \approx \frac{iI_0 Z_0}{2\pi k_0 \sigma_r^2} J_1(k_0 R_{56} \delta_L) \exp\left(-\frac{1}{2}(k_0 R_{56} \sigma_{\delta_0})^2\right) \exp\left(-\frac{\epsilon}{2\beta}(k_0 R_{52} R_{11})^2\right) \frac{1}{1 + \gamma^2 R^2}$$

for $k_0 \sigma_r / \gamma \gg 1$ with ϵ, α, β Twiss parameters

$$R = -R_{52} \left(R_{21} + \frac{\alpha}{\beta} R_{11} \right)$$



Trickle Heating

induced energy modulation:

$$\delta_{\text{LSC}} = \frac{1}{\gamma m_0 c^2} \int E_z(k_0) dz$$

$$\delta_{\text{LSC}} = \frac{2i}{k_0 \gamma} \frac{L_{\text{eff}}}{\epsilon \bar{\beta}} \frac{I_0}{I_A} J_1(k_0 R_{56} \delta_L) \exp\left(-\frac{1}{2} (k_0 R_{56} \sigma_{\delta 0})^2\right)$$

$$\text{with } L_{\text{eff}} = \int dz \times \frac{\epsilon \bar{\beta}}{\sigma_r^2} \exp\left(-\frac{\epsilon}{2\bar{\beta}} (k_0 R_{52} R_{11})^2\right) \frac{1}{1 + \gamma^2 R^2}$$

$\bar{\beta}$ typical beta function

$$\sigma_r^2 \approx \sigma_x \sigma_y \quad (\text{round beam})$$

rms energy spread with trickle heating:

$$\sigma_\delta \approx \sqrt{\sigma_{\delta 0}^2 + 0.5(\delta_L(\delta_0))^2 + 2|\delta_{\text{LSC}}(\delta_L(\delta_0))|}$$

$$\text{with } \delta_L(\delta_0) = \sqrt{s_f} \delta_0$$

$s_f \approx 1$ shape factor (electron/photon beam size)

$\sigma_{\delta 0}$ uncorr. spread before heater



Trickle Heating II

for: $\sigma_{\delta 0} \ll \sigma_{\delta}$

$$\sigma_{\delta} \approx \sqrt{A\delta_0^2 + (BJ_1(C\delta_0))^2}$$

with $A = 0.5s_f$

$$B = \frac{2\sqrt{2}}{k_0\gamma} \frac{L_{\text{eff}}}{\varepsilon\bar{\beta}} \frac{I_0}{I_A} \exp\left(-\frac{1}{2}(k_0R_{56}\sigma_{\delta 0})^2\right)$$

$$C = \sqrt{s_f} k_0 R_{56}$$

example LCLS:

$$R_{56} = 3.9 \text{ mm} \quad (\text{last two magnets of chicane})$$

$$\lambda_0 = 758 \text{ nm}$$

$$I_0 = 37 \text{ A}$$

$$\gamma\varepsilon = 0.4 \text{ } \mu\text{m}$$

$$m_0\gamma c^2 = 135 \text{ MeV}$$

→

$$A \approx 0.331$$

$$L_{\text{eff}} = 0.81 \text{ m} \quad \text{for} \quad \bar{\beta} = 5 \text{ m}$$

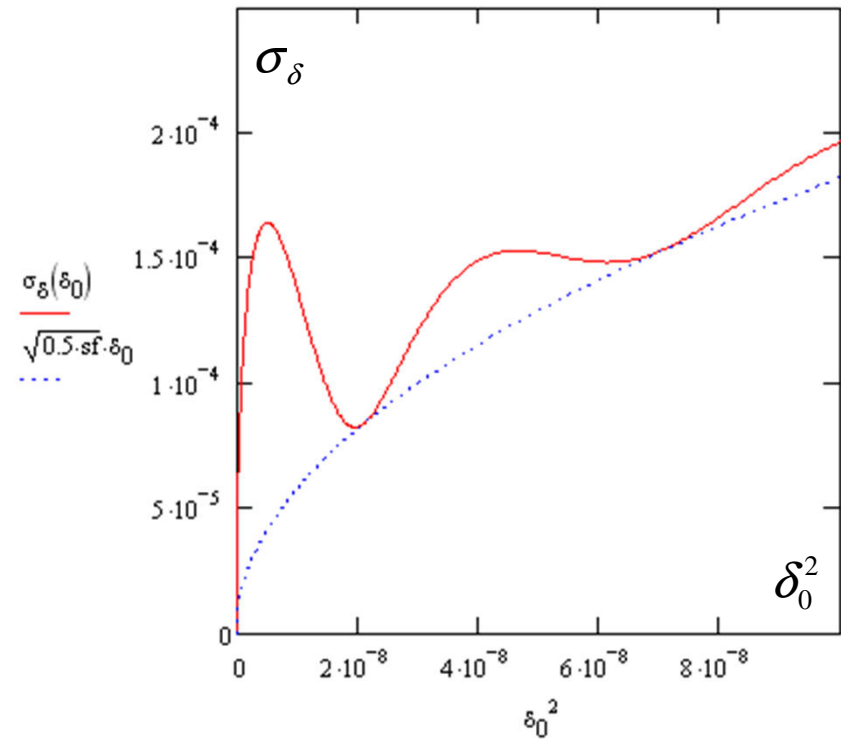
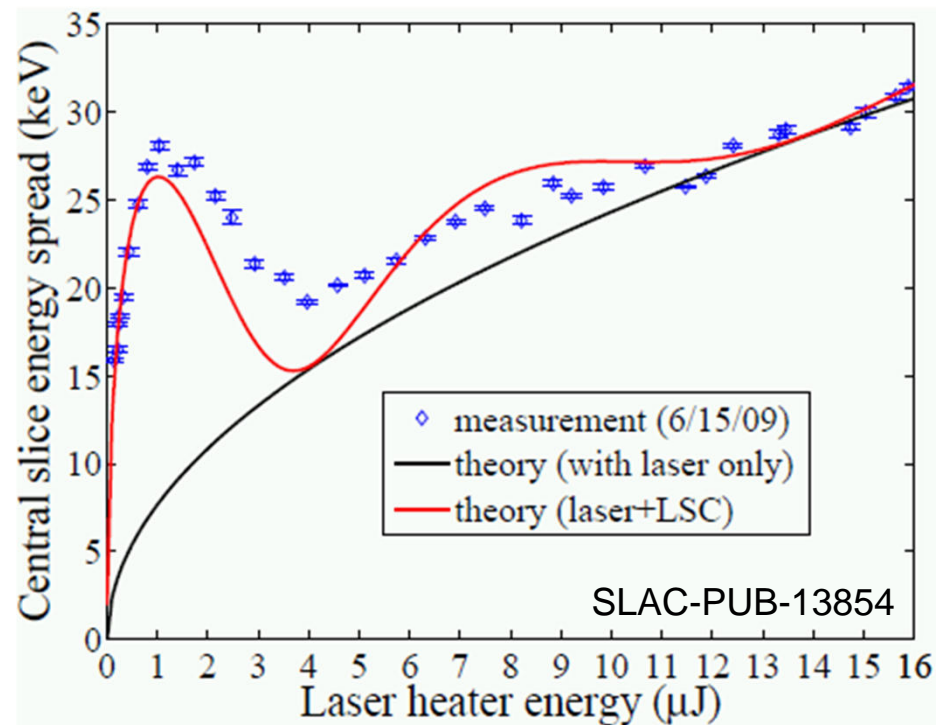
$$B \approx 2.73 \cdot 10^{-4} \quad (\text{to spectrometer})$$

$$s_f = 0.662$$

$$C \approx 2.63 \cdot 10^4$$



Example LCLS



Comparison XFEL, LCLS

XFEL (1nC)

$$R_{56} = 2.3 \text{ mm}$$

$$\lambda_0 = 1047 \text{ nm}$$

$$I_0 = 50 \text{ A}$$

$$\gamma\mathcal{E} = 1.0 \text{ } \mu\text{m}$$

$$m_0\gamma c^2 = 130 \text{ MeV}$$

$$L_{\text{eff}} = 0.48 \text{ m}$$

$$s_f = 0.5$$

$$A \approx 0.25$$

$$B \approx 1.29 \cdot 10^{-4}$$

$$C \approx 0.99 \cdot 10^4$$

LCLS

$$R_{56} = 3.9 \text{ mm}$$

$$\lambda_0 = 758 \text{ nm}$$

$$I_0 = 37 \text{ A}$$

$$\gamma\mathcal{E} = 0.4 \text{ } \mu\text{m}$$

$$m_0\gamma c^2 = 135 \text{ MeV}$$

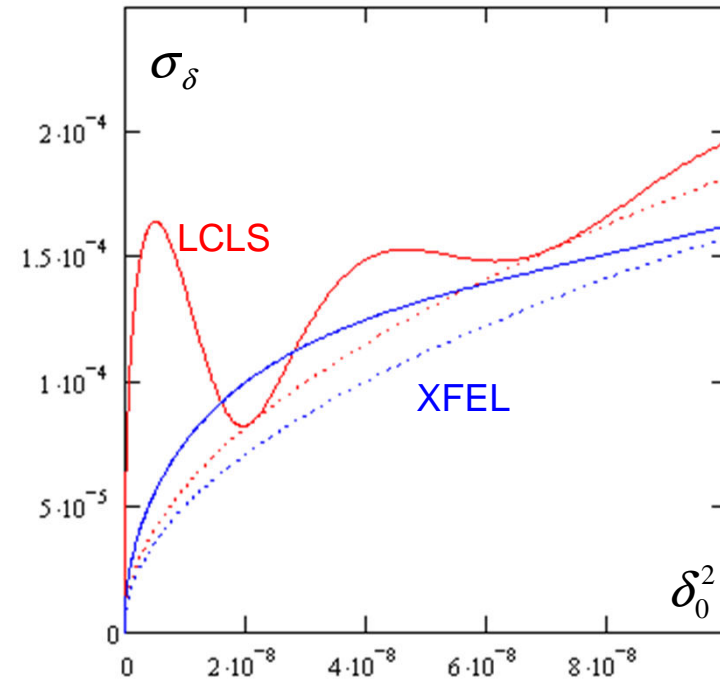
$$L_{\text{eff}} = 0.81 \text{ m for } \bar{\beta} = 5 \text{ m}$$

$$s_f = 0.662$$

$$A \approx 0.331$$

$$B \approx 2.73 \cdot 10^{-4}$$

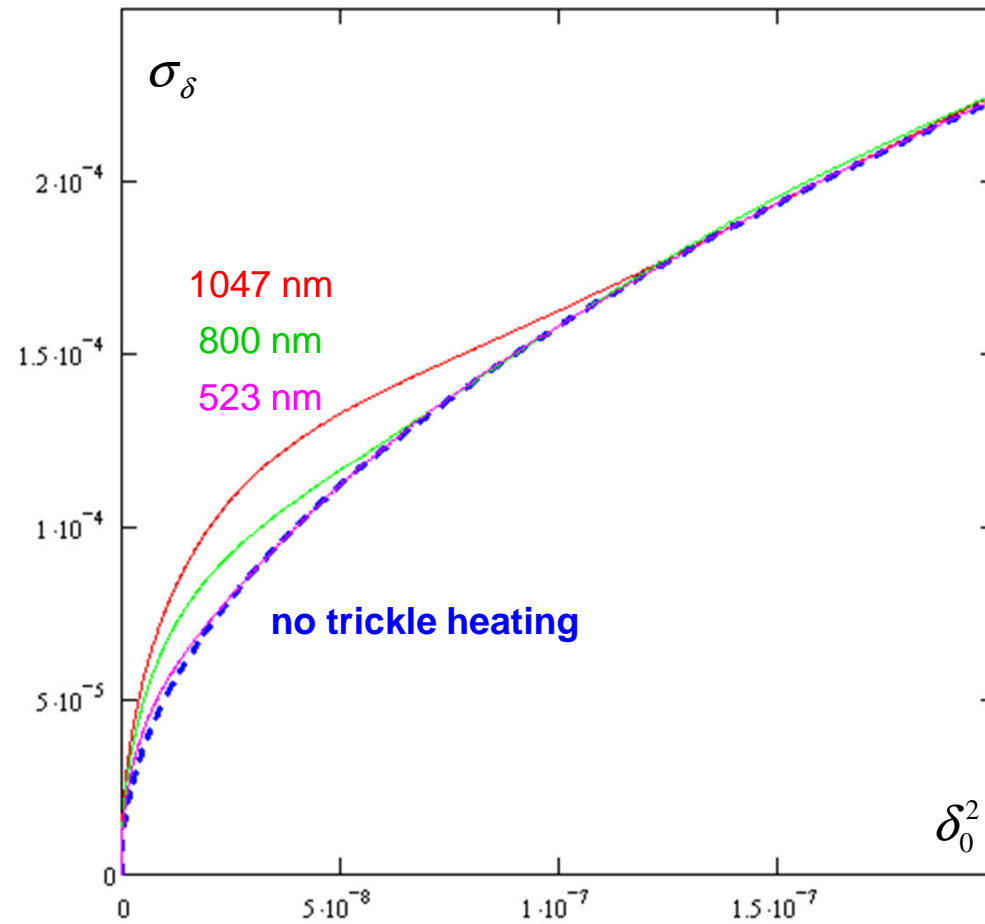
$$C \approx 2.63 \cdot 10^4$$



$$\sigma_\delta \approx \sqrt{A\delta_0^2 + (BJ_1(C\delta_0))^2}$$



XFEL 1nC, $\lambda = 1047 \text{ nm}, 800 \text{ nm}, 523 \text{ nm}$



Simplified

$$\left(\frac{\sigma_\delta}{\delta_0}\right)^2 = A + \left(B \frac{J_1(C\delta_0)}{\delta_0}\right)^2 \approx A \left(1 + \left(\frac{BC}{2\sqrt{A}}\right)^2\right) \quad \text{for } C\delta_0 \ll 1$$

enhancement factor:

$$F = \frac{BC}{2\sqrt{A}} = \frac{2 L_{\text{eff}} R_{56}}{\gamma \varepsilon \beta} \frac{I_0}{I_A} \underbrace{\exp\left(-\frac{1}{2}(k_0 R_{56} \sigma_{\delta 0})^2\right)}_{\text{weak}}$$

... see summary



Effective length $L_{\text{eff}} = \int dz \times \exp\left(-\frac{\varepsilon}{2\beta} (k_0 R_{52} R_{11})^2\right) \frac{\varepsilon \bar{\beta}}{\sigma_r^2} \frac{1}{1 + \gamma^2 R^2}$

W_1

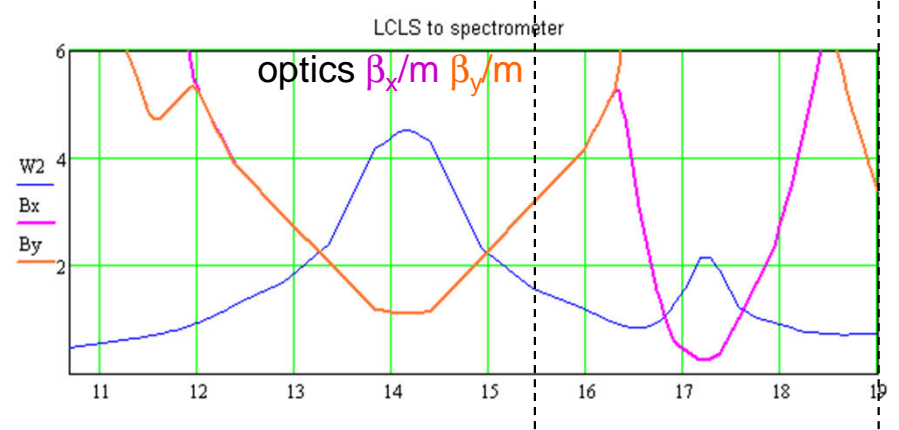
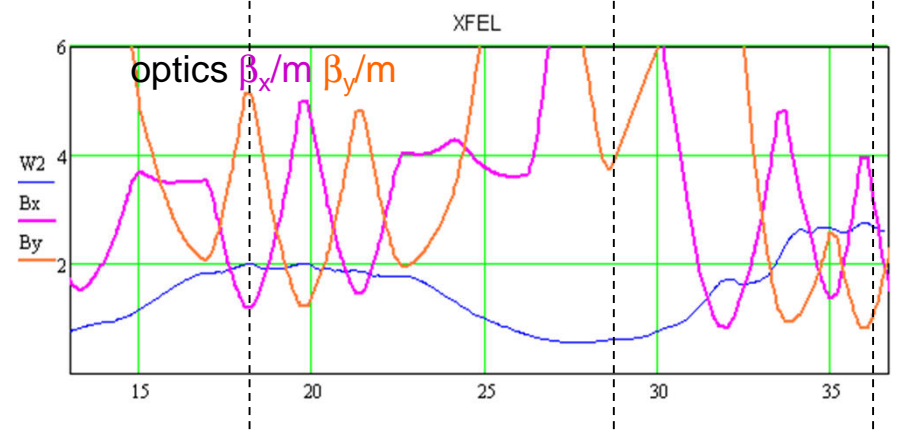
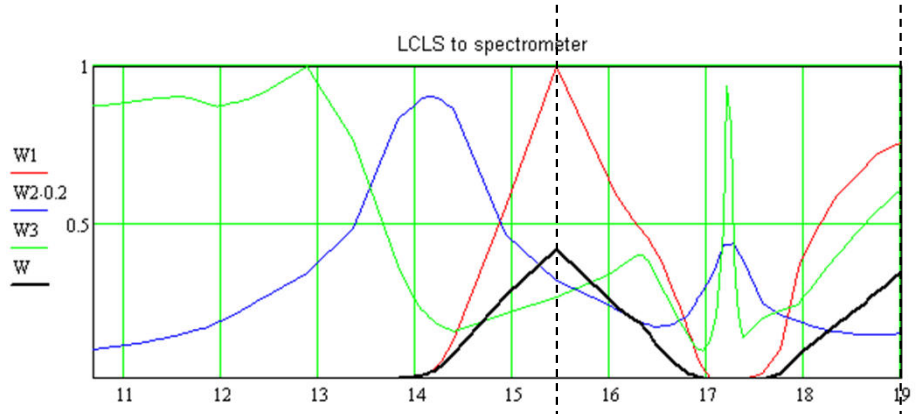
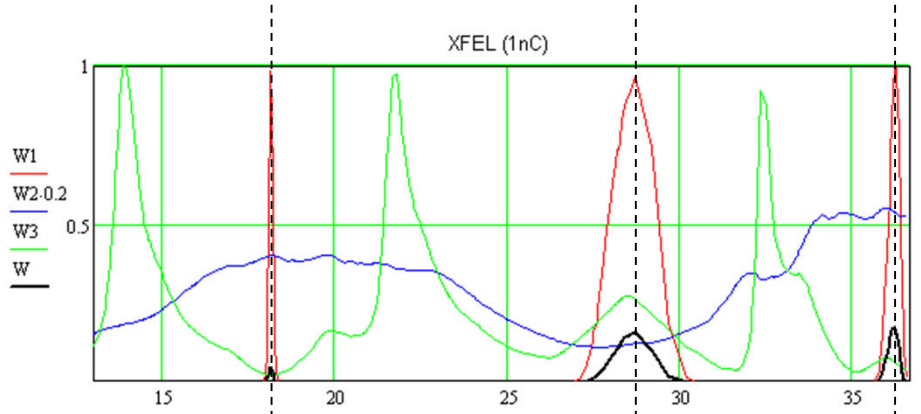
W_2

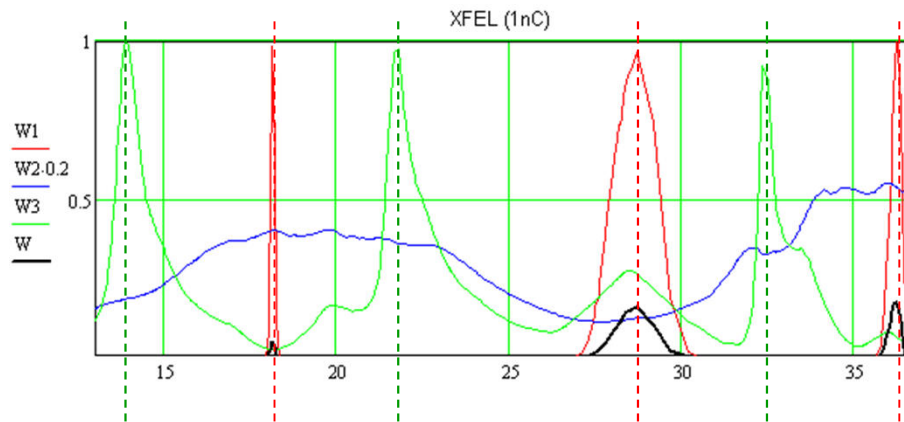
W_3

$W = W_1 W_2 W_3$

XFEL (1nC) $L_{\text{eff}} = 0.48 \text{ m}$

LCLS to spectrometer $L_{\text{eff}} = 0.81 \text{ m}$





$$R_{11}(z) = \sqrt{\frac{\beta}{\beta_0}} (\cos \psi + \alpha_0 \sin \psi)$$

$$R_{12}(z) = \sqrt{\beta\beta_0} \sin \psi$$

$$R_{11}(z) = 0 \quad \rightarrow \cos \psi + \alpha_0 \sin \psi = 0$$

$$R(z) = 0 \quad \rightarrow \langle xz \rangle = \epsilon R_{52} (\gamma_0 R_{12} - \alpha_0 R_{11}) \quad \rightarrow \sin \psi - \alpha_0 \cos \psi = 0$$

therefore: 90 deg phase shift between both conditions



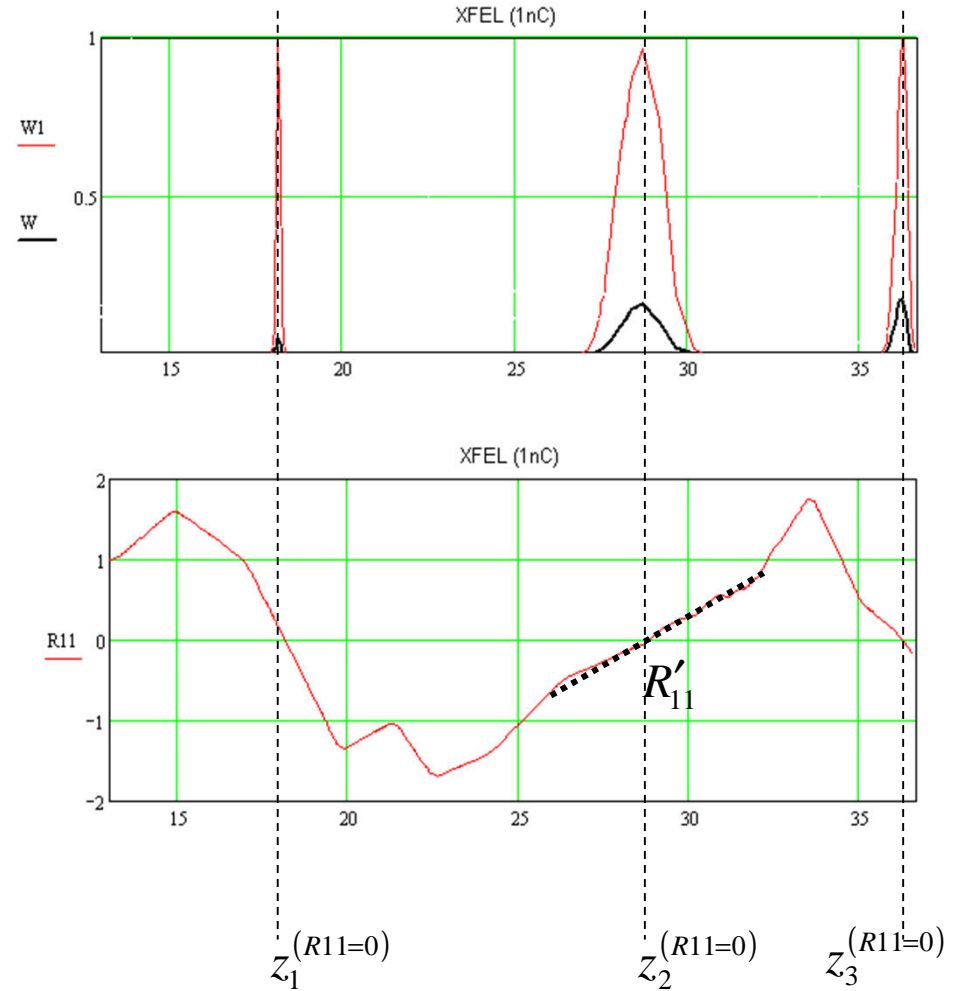
$$L_{\text{eff}} = \int dz \times \exp\left(-\frac{\varepsilon}{2\beta} (k_0 R_{52} R_{11})^2\right) \frac{\varepsilon \bar{\beta}}{\sigma_r^2} \frac{1}{1 + \gamma^2 R^2}$$

W_1

$$\int dz \times \exp\left(-\frac{\varepsilon}{2\beta} (k_0 R_{52} R_{11})^2\right) \approx \frac{\sqrt{2\pi \beta / \varepsilon}}{k_0 R_{52} R'_{11}}$$

$$L_{\text{eff}} \approx \sqrt{2\pi} \frac{\bar{\beta}}{k_0 R_{52}} \sum_{\nu} \left(\frac{1}{R'_{11}} \frac{\sigma_x}{\sigma_r^2} \frac{1}{1 + \gamma^2 R^2} \right)_{z=z_{\nu}^{(R_{11}=0)}}$$

$$L_{\text{eff}} \propto \frac{1}{k_0 R_{52} \sqrt{\varepsilon}}$$



Summary

enhancement factor

wavelength / nm

charge / nC

XFEL 523.5 801 1047

0.02	0.214	0.265	0.317
0.1	0.383	0.486	0.554
0.25	0.517	0.679	0.782
0.5	0.634	0.862	1.007
1	0.728	1.058	1.272

for $I \propto q$, $\varepsilon \propto \sqrt{q}$, $\sigma_{\delta_0} \propto q$

LCLS 6.24

