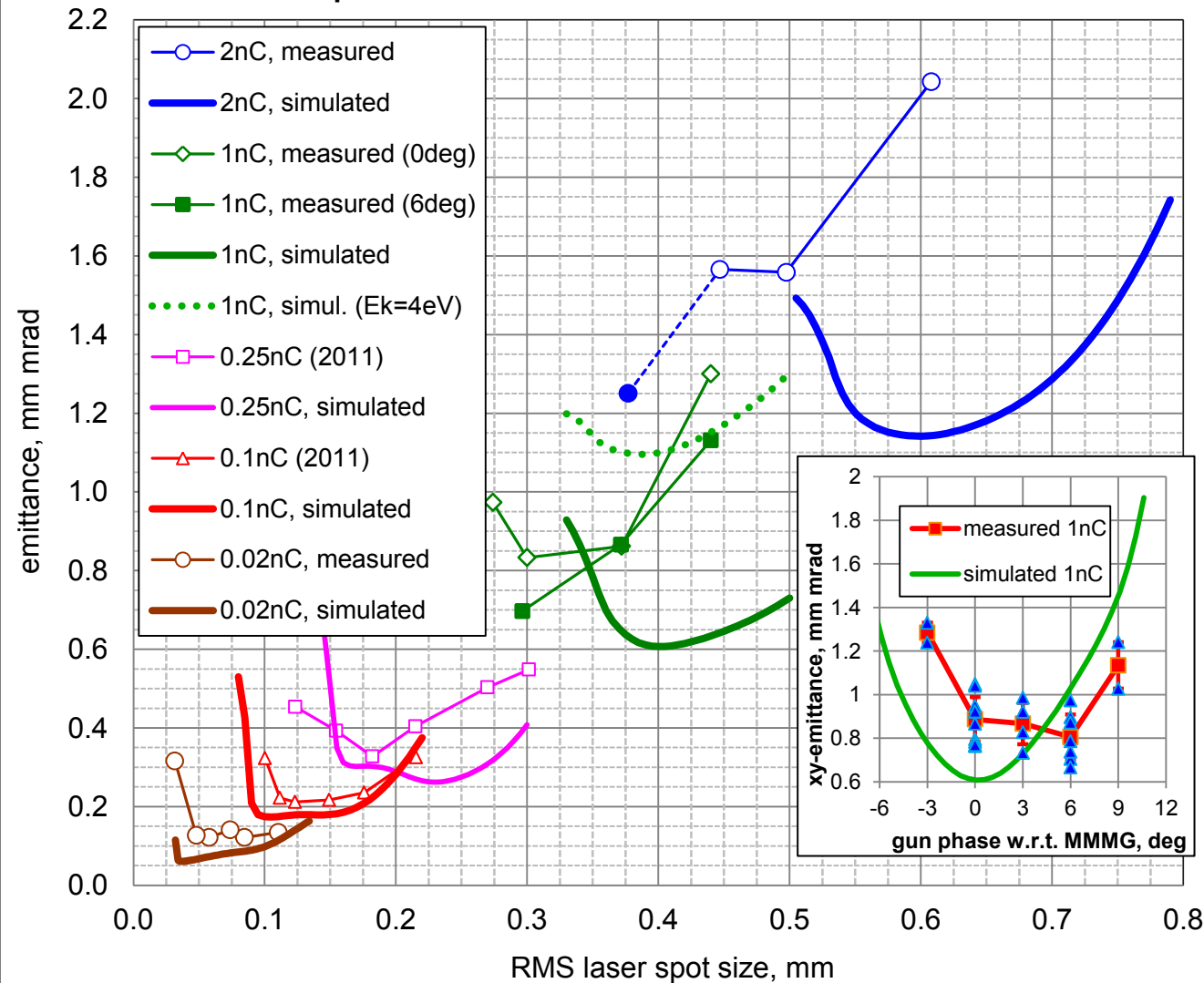


# Simulations at PITZ, status 2012

Mikhail Krasilnikov, DESY

# Emittance vs. Laser Spot size for various charges

Emittance optimization in 2011: measurements and simulations

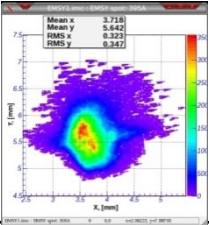
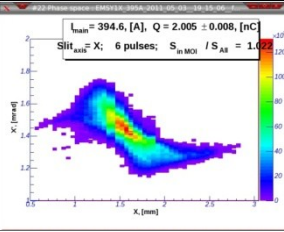
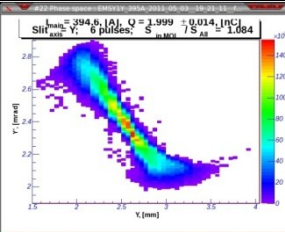
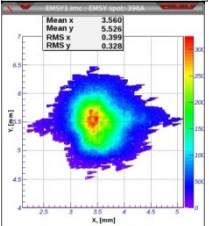
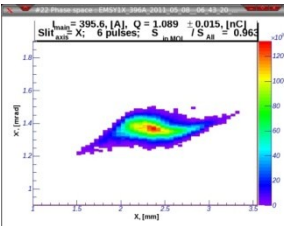
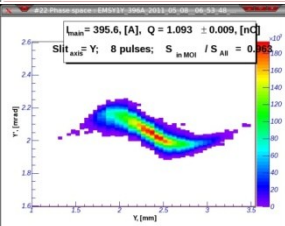
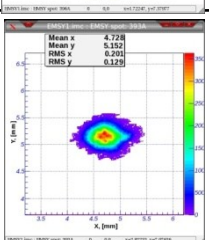
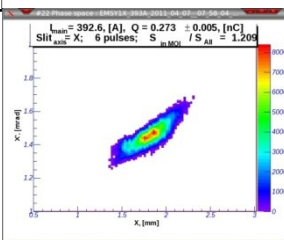
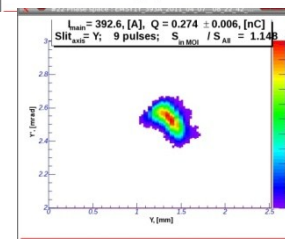
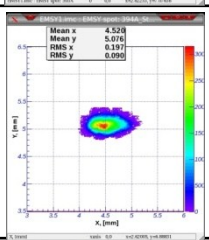
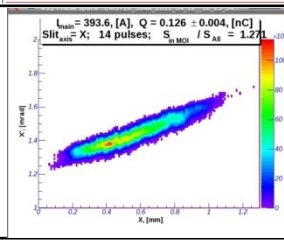
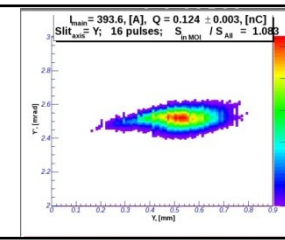
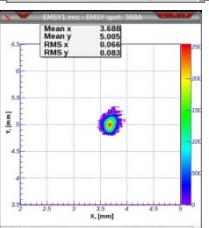
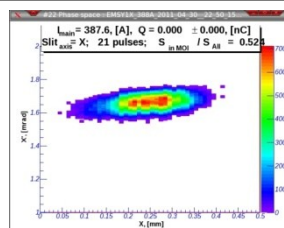
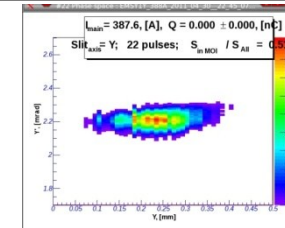


## Minimum emittance

Charge, nC	Meas., mm mrad	Simul., mm mrad
2	1.25	1.14
1	0.70	0.61
0.25	0.33	0.26
0.1	0.21	0.17
0.02	0.12	0.06

- Optimum machine parameters (laser spot size, gun phase):  
experiment  $\neq$  simulations
- Difference in the optimum laser spot size is bigger for higher charges (~good agreement for 100pC)
- A radial homogeneous laser pulse distribution is used in simulations whereas the experimental transverse distribution is not perfect
- Artificial increase of the thermal kinetic energy at the cathode (from 0.55eV to 4eV) did not improve the understanding

# Measured Phase Spaces for various bunch charges

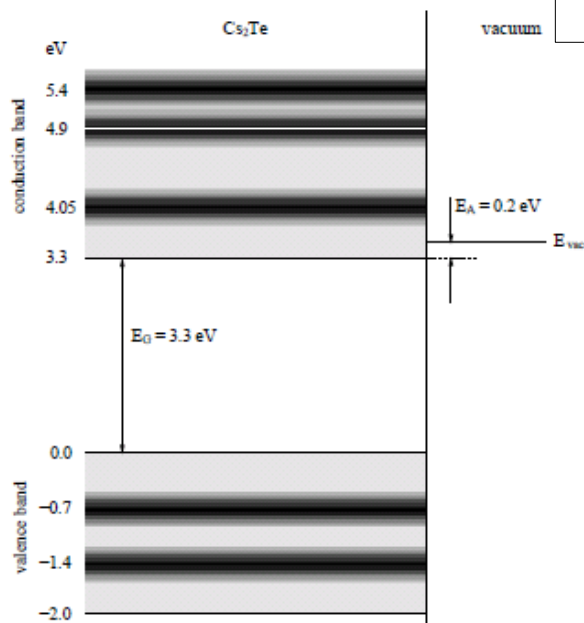
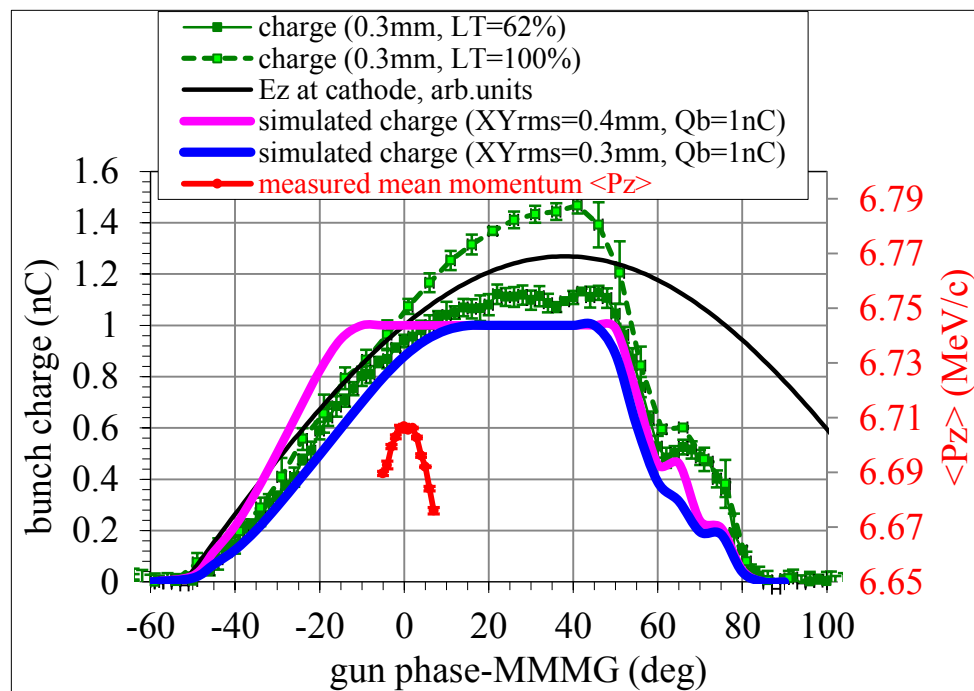
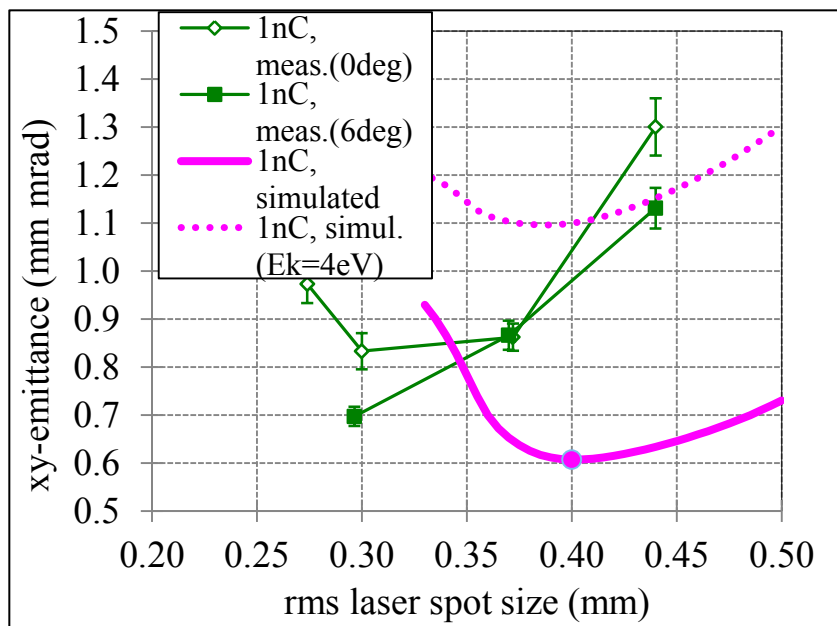
Qbunch	Beam at EMSY1		Horizontal phase space	Vertical phase space	$\phi_{\text{gun}}$
Las.XYrms	XY-Image	$\sigma_x / \sigma_y$	$\epsilon_x$	$\epsilon_y$	
2 nC 0.38 mm		0.323mm 0.347mm	 $I_{\text{beam}} = 394.6 \text{ [A]}, Q = 2.005 \pm 0.006 \text{ [nC]}$ Slit <sub>axis</sub> X; 6 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 1.002$	 $I_{\text{beam}} = 394.6 \text{ [A]}, Q = 1.999 \pm 0.014 \text{ [nC]}$ Slit <sub>axis</sub> Y; 6 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 1.084$	+6deg
1 nC 0.30 mm		0.399mm 0.328mm	 $I_{\text{beam}} = 395.6 \text{ [A]}, Q = 1.089 \pm 0.015 \text{ [nC]}$ Slit <sub>axis</sub> X; 6 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 0.965$	 $I_{\text{beam}} = 395.6 \text{ [A]}, Q = 1.093 \pm 0.009 \text{ [nC]}$ Slit <sub>axis</sub> Y; 8 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 0$	+6deg
0.25 nC 0.18 mm		0.201mm 0.129mm	 $I_{\text{beam}} = 392.6 \text{ [A]}, Q = 0.273 \pm 0.005 \text{ [nC]}$ Slit <sub>axis</sub> X; 6 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 1.209$	 $I_{\text{beam}} = 392.6 \text{ [A]}, Q = 0.274 \pm 0.006 \text{ [nC]}$ Slit <sub>axis</sub> Y; 9 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 1.148$	0deg
0.1 nC 0.12 mm		0.197mm 0.090mm	 $I_{\text{beam}} = 393.6 \text{ [A]}, Q = 0.126 \pm 0.004 \text{ [nC]}$ Slit <sub>axis</sub> X; 14 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 1.271$	 $I_{\text{beam}} = 393.6 \text{ [A]}, Q = 0.124 \pm 0.003 \text{ [nC]}$ Slit <sub>axis</sub> Y; 16 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 1.083$	0deg
0.02 nC 0.08 mm		0.066mm 0.083mm	 $I_{\text{beam}} = 387.6 \text{ [A]}, Q = 0.000 \pm 0.000 \text{ [nC]}$ Slit <sub>axis</sub> X; 21 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 0.521$	 $I_{\text{beam}} = 387.6 \text{ [A]}, Q = 0.000 \pm 0.000 \text{ [nC]}$ Slit <sub>axis</sub> Y; 22 pulses; $S_{\text{in MCH}} / S_{\text{AB}} = 0.521$	0deg

zoomed

# Problems

- > Photo emission → charge production
- > E-beam asymmetry investigations:
  - Cathode laser transverse distribution
  - Solenoid imperfections
  - RF gun coaxial power coupler → kick ? (new)
- > 3D ellipsoidal distribution (new):  
Impact of laser pulse shape imperfections

# Emission studies: motivation



**Cs<sub>2</sub>Te:**

$$E_G = 3.3 \text{ eV}$$

$$E_A = E_{vac} - E_G = 0.2 \text{ eV}$$

$$E_T = E_G + E_A = 3.5 \text{ eV}$$

$$E_k = E_{ph} - E_T = 4.05 \text{ eV} - E_T = 0.55 \text{ eV}$$

R. A. Powel et. al.  
Photoemission Studies  
of Cesium Telluride.  
Phys. Rev. B, 8:  
3987–3995, 1973.

**?Field enhancement?**

# Emission studies: modeling

D.Dowell, J.Schmerge "Quantum efficiency and thermal emittance of metal photocathodes", PRST-AB 12, 074201 (2009)

$$QE \approx \frac{1 - R(\omega)}{1 + \frac{\lambda_{opt}(\omega)}{\bar{\lambda}_{e-e}(\omega)}} \cdot \frac{(\hbar\omega - \phi_{eff})^2}{8\phi_{eff}(E_F + \phi_W)}, \text{ where the effective work function (Schottky term): } \phi_{eff} = \phi_W - e \sqrt{\frac{e\beta E}{4\pi\epsilon_0}}$$

The emitted charge:

$$Q = \frac{1 - R(\omega)}{1 + \frac{\lambda_{opt}(\omega)}{\bar{\lambda}_{e-e}(\omega)}} \cdot \frac{N_\gamma}{8\phi_{eff}(E_F + \phi_W)} \left( \hbar\omega - \phi_W + e \sqrt{\frac{e\beta E}{4\pi\epsilon_0}} \right)^2$$

D.Dowell, PAC 2011 Tutorial → Derivation of Schottky scan function: emitted charge vs. launch phase → 2-parameter fit

$$Q \propto \eta \cdot LT \cdot (1 + b\sqrt{E})^m$$

$LT$  = laser transmission (%)

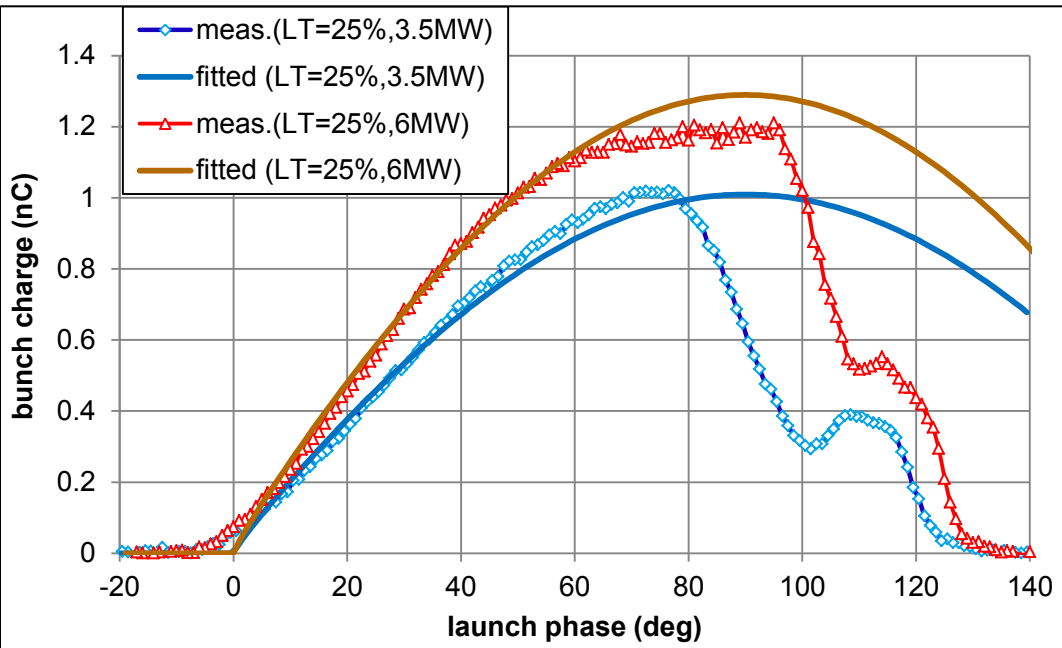
$E$  – field at the cathode (MV/m)

$\eta, b, m$  – fitting parameters

# Emission studies: modeling → RF field influence (LT=25%)

$$Q \propto \eta \cdot LT \cdot (1 + b\sqrt{E})^m$$

$LT$  = laser transmission (%)  
 $E$  – field at the cathode (MV/m)  
 $\eta, b, m$  – fitting parameters



$LT = LT0 = 25\%$  (1nC at MMMG phase for 6MW)

RF power (MW)	Ecath (MV/m)	max <Pz> (MeV/c)
6.02	62.0	6.83
3.54	47.6	5.43

Fitting:  
 Phase range: 10→70deg  
 $E = E_{cath} \cdot \sin\varphi_0$   
 $\eta = 1.2148E-5$   
 $b = 10.9222$   
 $m = 1.8705 (1.8977-2.1081) \rightarrow 2$   
 +convolution with laser temporal profile

## Measurements:

Laser:

- Temporal → flattop 2/20\2ps
- Transverse → 0.3 mm rms

Main solenoid: 400A

Charge measured by LOW.ICT1 → z=0.9m

# Emission studies: modeling → RF field

**Simultaneous** fitting (LT=13% and 25%):

Phase range: 10→70deg

$$E = E_{cath} \cdot \sin\varphi_0$$

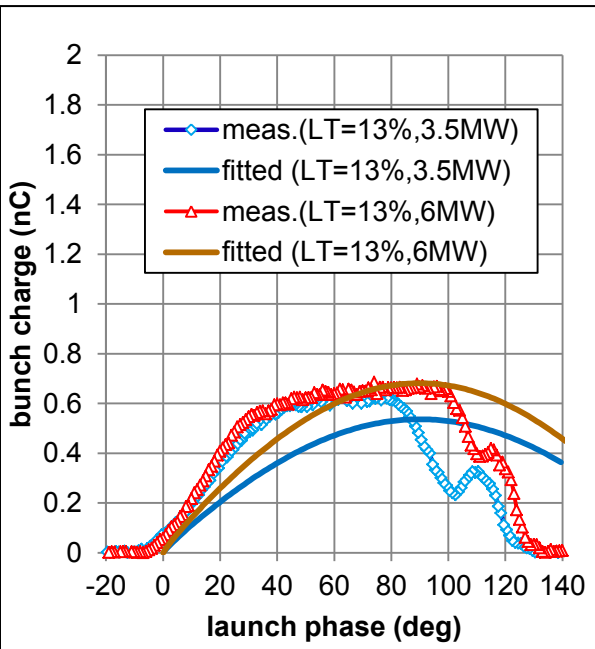
$$\eta = 8.44E-8$$

$$b = 205.9$$

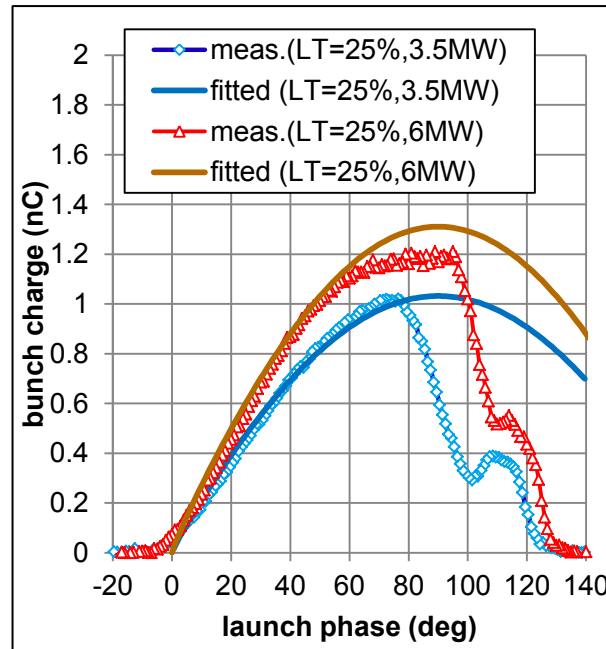
$$m = 1.805$$

$$Q \propto \eta \cdot LT \cdot (1 + b\sqrt{E})^m$$

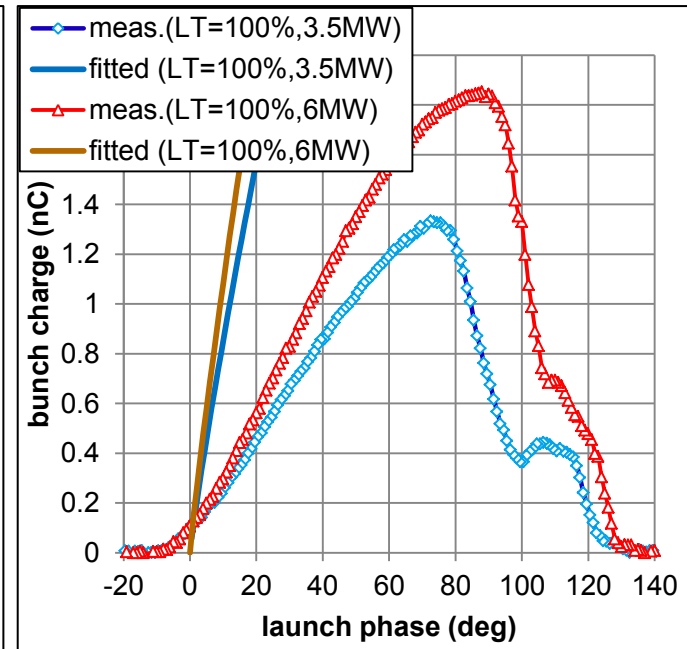
LT=13%



LT=25%



LT=100%

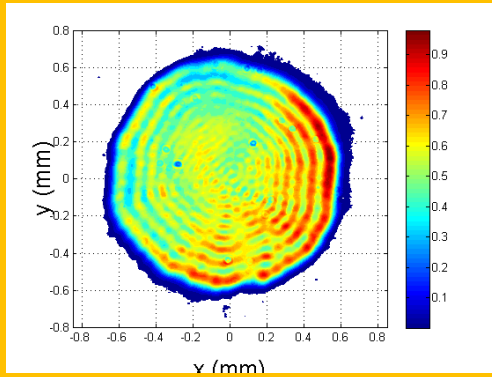
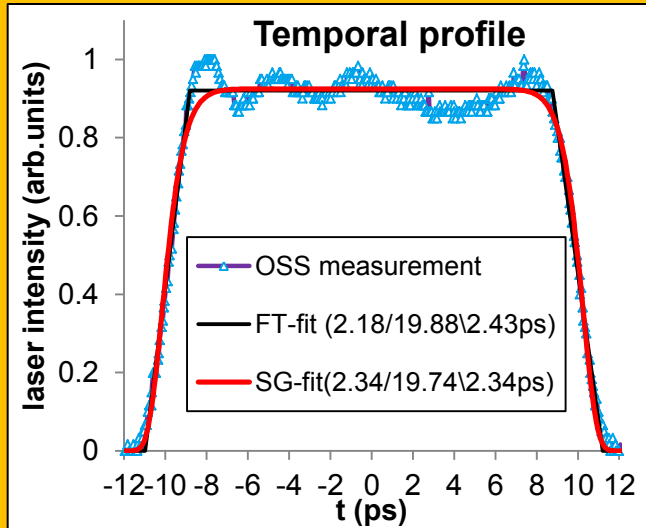


- Simultaneous fitting → assumptions are not correct?
- Almost no RF impact for low SC density
- RF field impact increases with SC density increase

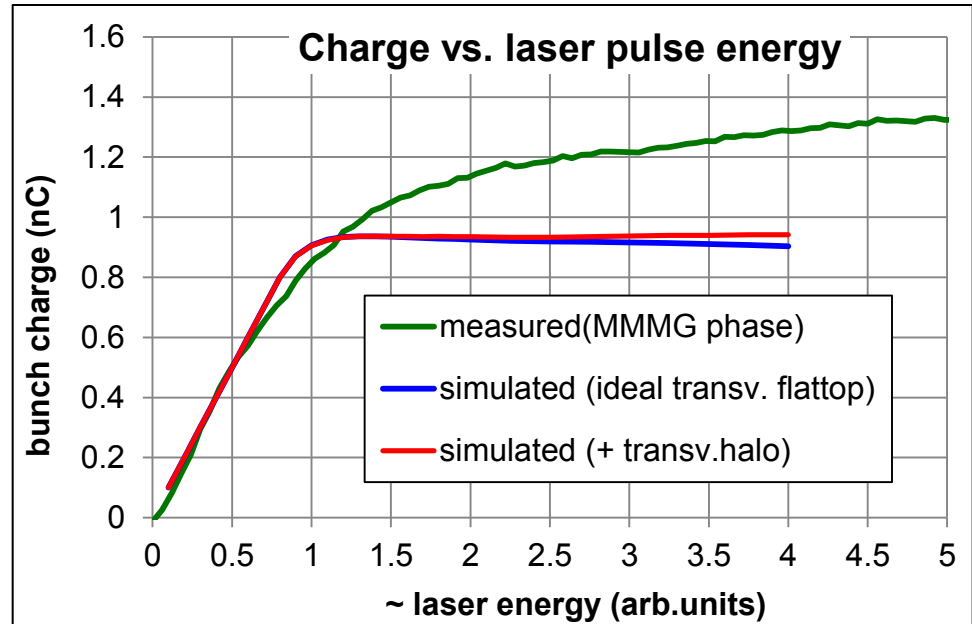
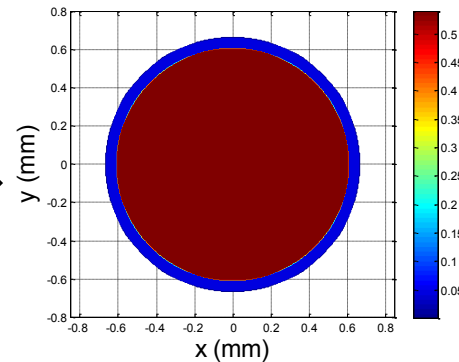


# Emission studies: LT scans and ASTRA simulations

## Measured cathode laser shapes



Transverse halo modeling in ASTRA

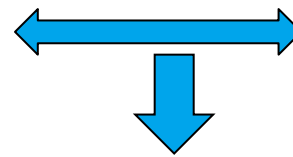


Rather small effect!

# ASTRA simulations: Schottky effect implementation

ASTRA: charge of a particle at the time of its emission:

$$Q = Q_0 + S_{Schottky} \cdot \sqrt{E} + L_{Schottky} \cdot E$$



$$Q \propto \eta \cdot LT \cdot (1 + b\sqrt{E})^2$$

ASTRA input:

$[Q_{bunch}, S_{Schottky}] \rightarrow$  2-parameter fitting

$$L_{Schottky} = \frac{S_{Schottky}^2}{Q_{bunch}}$$

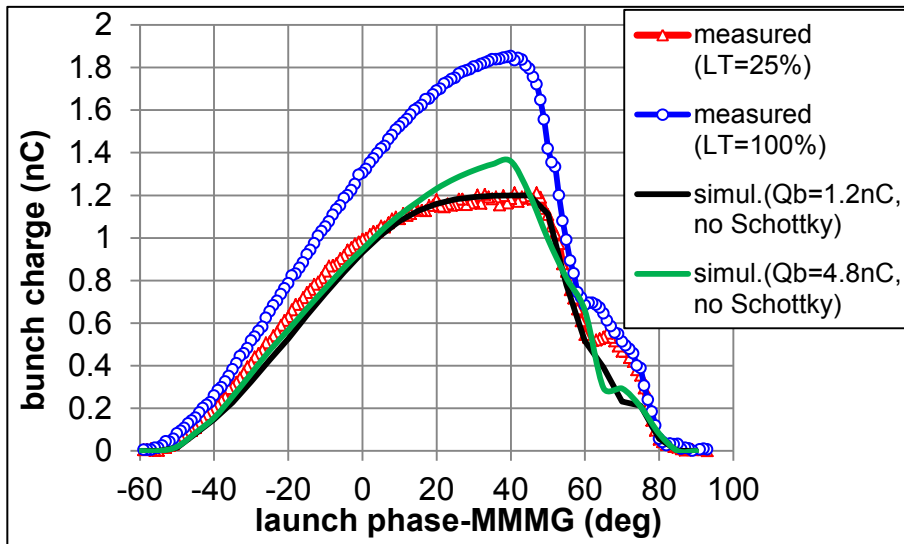
$$LT = \xi \cdot LT_0$$

$$Q_{bunch} = \xi \cdot Q_{bunch_0}$$

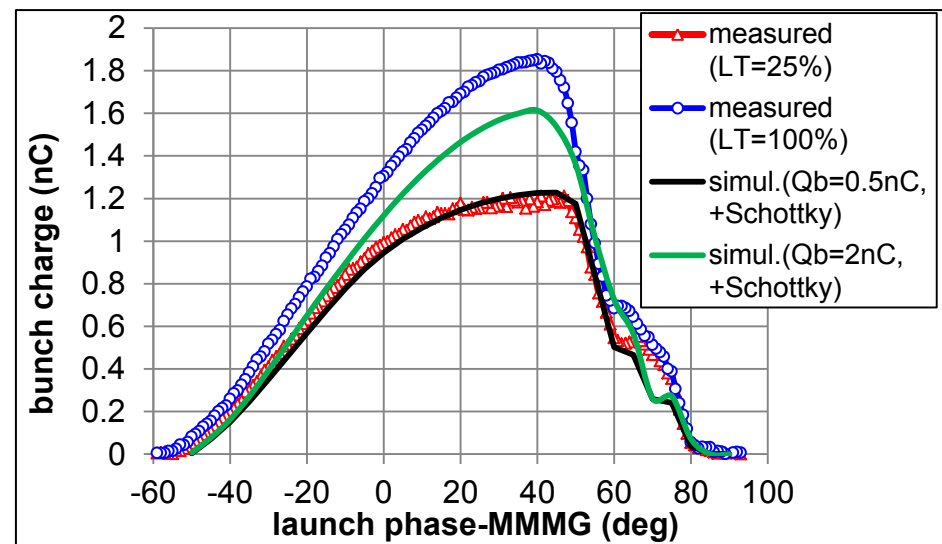
$$S_{Schottky} = \xi \cdot S_{Schottky_0}$$

**Schottky constants should be scaled with laser pulse energy**

No Schottky effect applied



Schottky parameter fitting



$$\xi = \frac{100\%}{25\%} = 4$$

Qbunch= 0.5nC

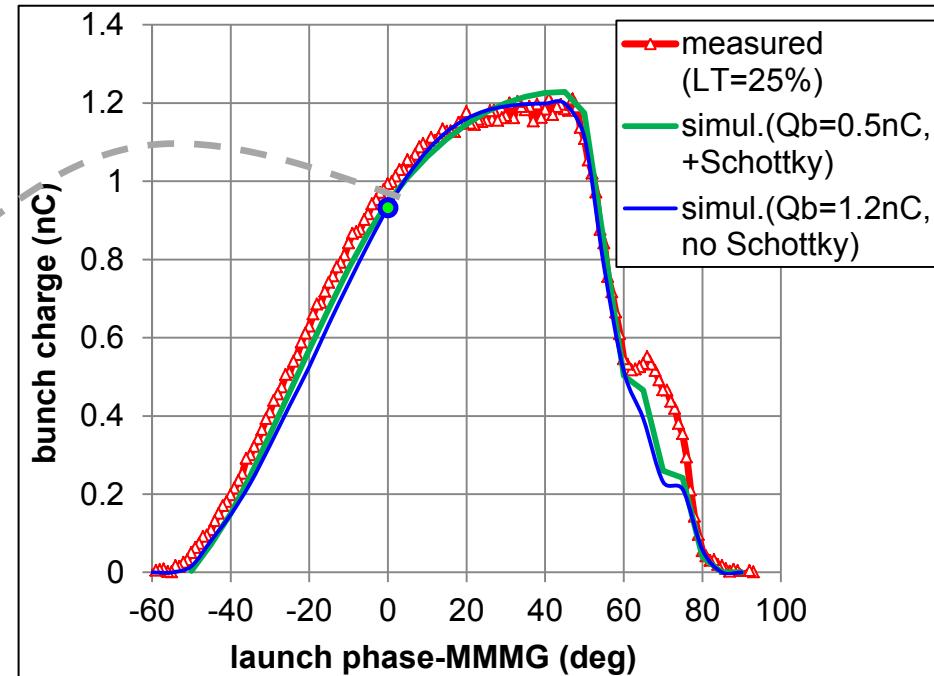
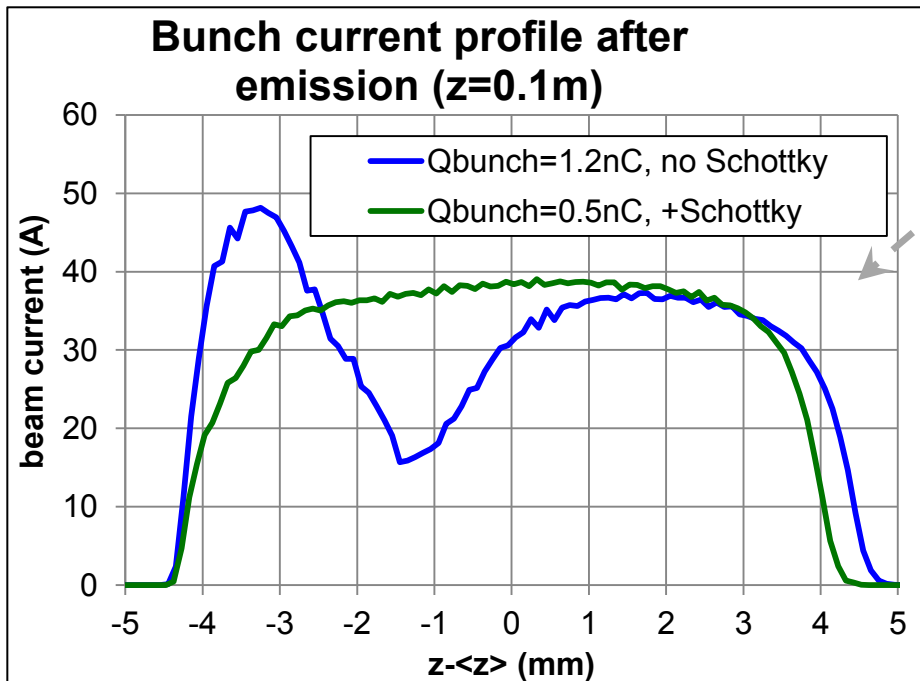
L\_Schottky= 0.0059983

S\_Schottky= 0.109529

0

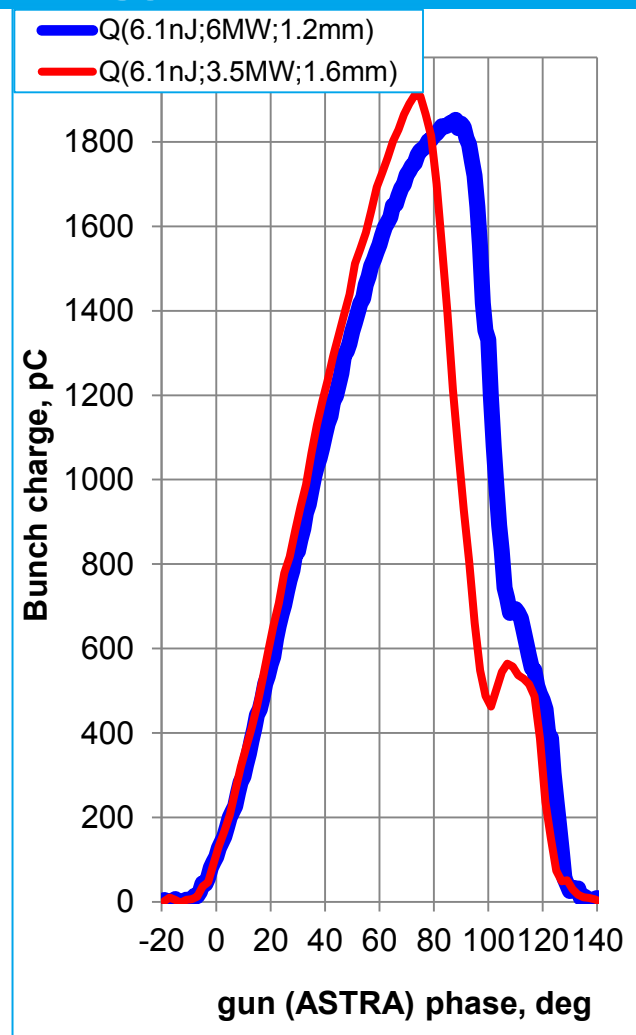
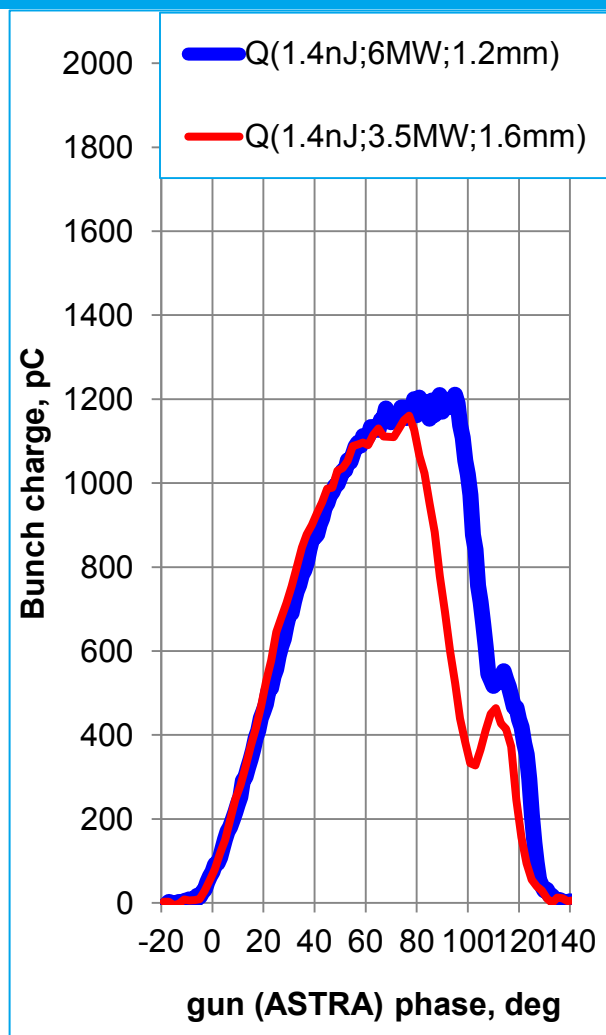
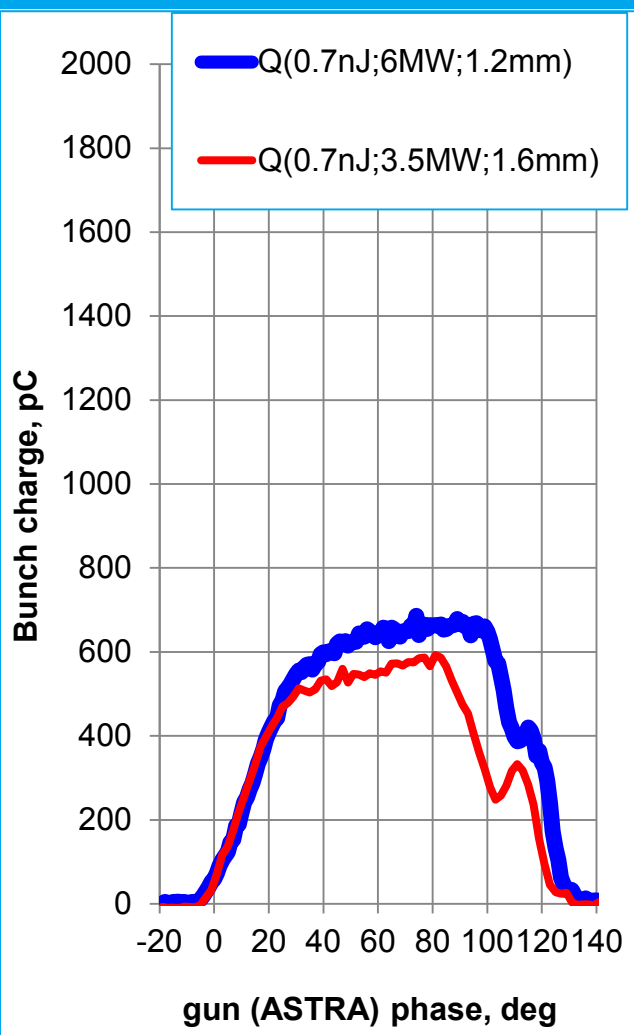


# ASTRA simulations: Schottky effect impact



Applied Schottky effect  $\rightarrow$  more smooth charge extraction

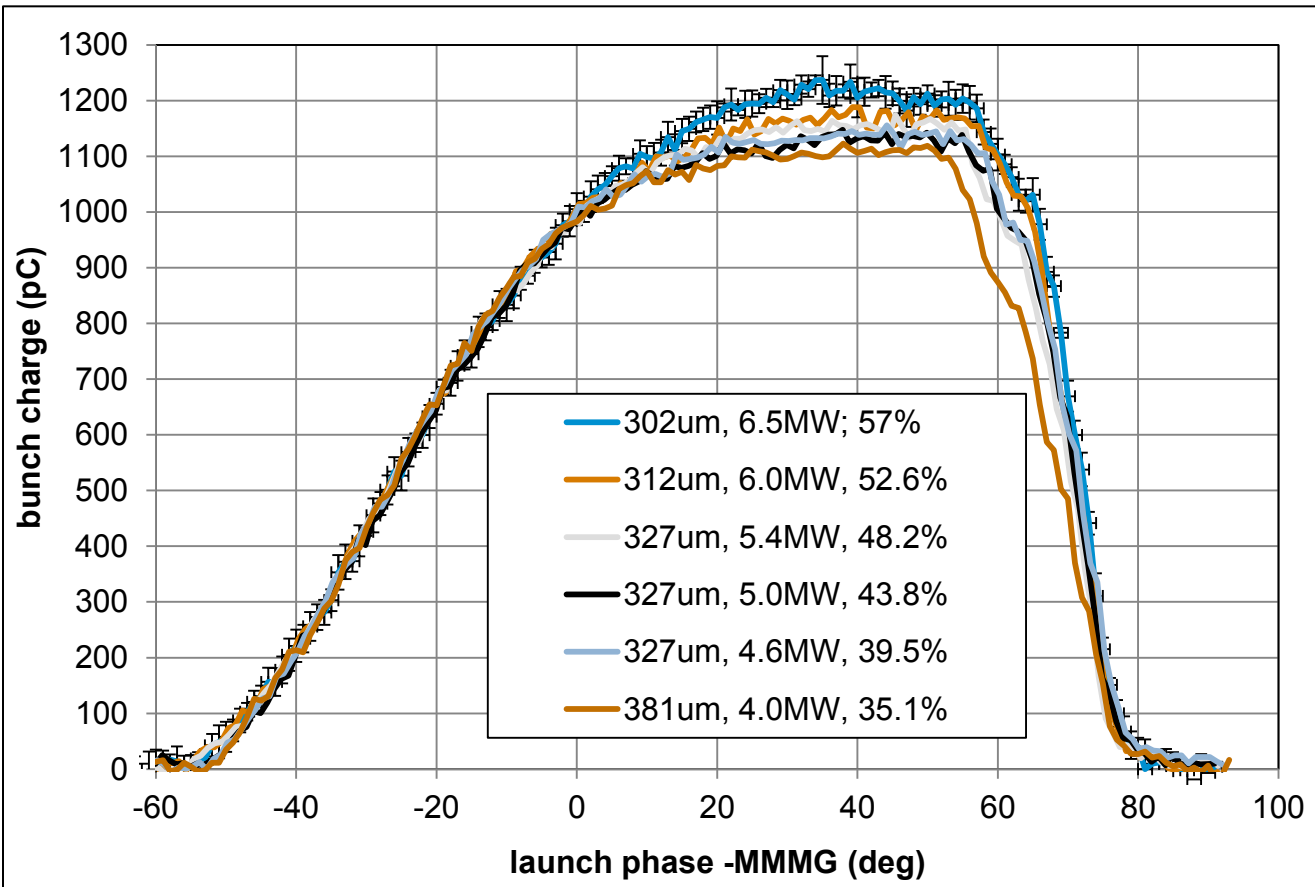
# Further emission studies: + laser spot size and pulse energy variation



$$\sqrt{P_{rf}} \cdot LaserSpotDiameter = \sqrt{6} \cdot 1.2 = \sqrt{3.5} \cdot 1.6 = 3 = inv?$$

$$E_{cath} \cdot \sqrt{\sigma_x^l \cdot \sigma_y^l} = inv?$$

# Further emission studies: Ecath·LaserSpotSize=const



Parameters in legend:  
 $(\sigma_{xy}^{laser}, P_{rf,gun}, LT)$

$\sigma_{xy}^{laser} = \sqrt{\sigma_x \cdot \sigma_y}$  - rms spot size of the cathode laser

$P_{rf,gun}$  - peak rf power in the gun cavity

$LT$  - laser transmission was always tuned to keep laser pulse energy constant

#	$P_{rf,gun}$ , MW	$\sigma_{xy}^{laser}$ , mm	LT, %	$\sqrt{P_{rf,gun} \cdot \sigma_{xy}^{laser}}$
1	6.49	0.302	57.0	0.769
2	5.99	0.312	52.6	0.764
3	5.45	0.327	48.2	0.763
4	5.00	0.341	43.8	0.762
5	4.55	0.361	39.5	0.770
6	3.99	0.382	35.1	0.762
$\Delta=$	48%	-24%		STDEV=0.49%

Simultaneous variation of the rf field and the space charge density at the cathode by keeping the laser pulse energy and  $E_{cath0} \cdot \sigma_{xy}^{laser}$  constant yields very similar extracted bunch charge for a rather wide range of the launch phase.

# Conclusions (photo emission studies)

## > Studies of the space charge assisted photoemission at PITZ:

- L-band,  $\text{Cs}_2\text{Te}$ ,  $E_{\text{cath0}} > 60 \text{ MV/m}$
- Basic measurement = launch **phase scan** for a bunch charge
- Experimental optimum (w.r.t. beam emittance) conditions → **space charge assisted emission**
- Simulated **conditions** ≠ **experimental**
- **Schottky-like** effect is stronger pronounced for **higher space charge** densities
- Simple (simultaneous) fitting of the macroscopic **Schottky model** does not work
- ASTRA **simulations** of the phase scans:
  - Cathode laser **halo** implementation → rather small effect
  - Simultaneous simulations of different machine conditions are hard and still delivering generally **smaller charges** than experimentally obtained
  - Applied **Schottky-like** effect resulted in a more smooth charge extraction
- Further experimental photoemission studies:

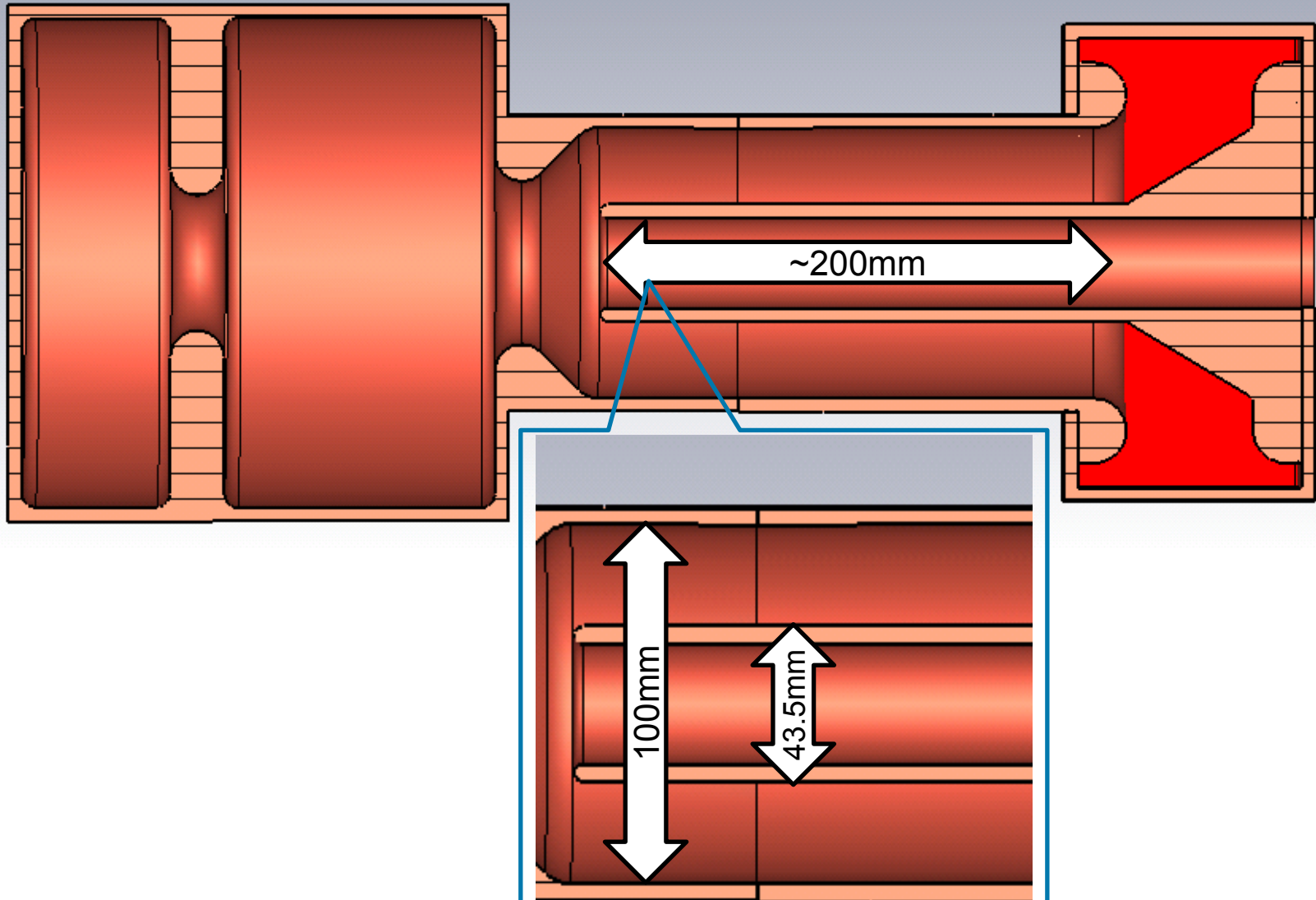
$$E_{\text{cath0}} * \sigma_{xy}^{\text{laser}} \sim \text{inv?}$$

- Several other measurements have been taken (e.g. Gaussian vs. flattop laser pulses) have been done, treatment is ongoing

# Problems

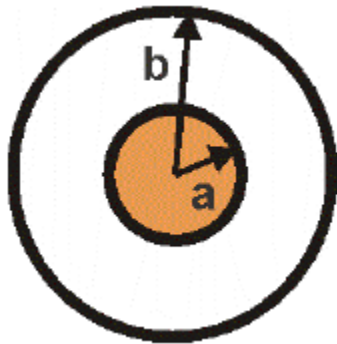
- Photo emission → charge production
- E-beam asymmetry investigations:
  - Cathode laser transverse distribution --> VC images available
  - Solenoid imperfections → ??
  - **RF gun coaxial power coupler → kick ? (new)**
- 3D ellipsoidal distribution (new):  
Impact of laser pulse shape imperfections

# PITZ RF-Gun Cavity Geometry

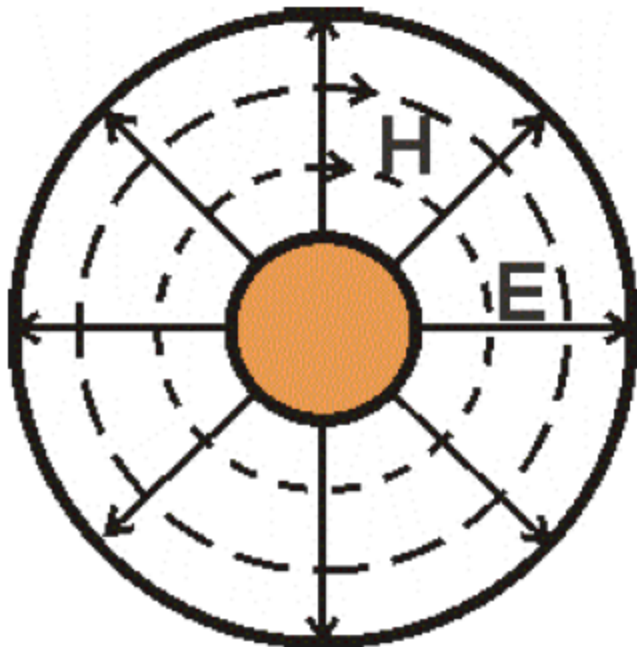




# Coaxial Waveguide: TEM Mode



$$V(\rho) = \frac{V_0 \ln \frac{b}{\rho}}{\ln \frac{b}{a}}$$

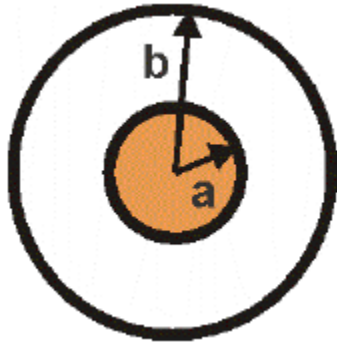


$$\mathbf{E} = \frac{V_0}{\ln \frac{b}{a}} \frac{\mathbf{a}_\rho}{\rho} e^{-jkz}$$

$$a = 21.75\text{mm}$$

$$b = 50\text{mm}$$

# Coaxial Waveguide: Higher Order Modes



TE Modes

$$\begin{cases} \nabla_t^2 h_z + k_c^2 h_z = 0 \\ \left. \frac{\partial h_z}{\partial \rho} \right|_{\rho=a,b} = 0 \end{cases}$$

Polarization degenerate

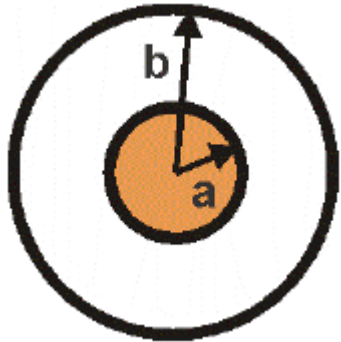
$$\text{Let } h_z(\rho, \phi) = \overbrace{[A \sin(m\phi) + B \cos(m\phi)]}^{\text{Polarization degenerate}} [CJ_m(k_c \rho) + DY_m(k_c \rho)]$$

Boundary Conditions

$$\Rightarrow \begin{cases} CJ_m'(k_c a) + DY_m'(k_c a) = 0 \\ CJ_m'(k_c b) + DY_m'(k_c b) = 0 \end{cases}$$

$$\Rightarrow J_m'(k_c a)Y_m'(k_c b) - J_m'(k_c b)Y_m'(k_c a) = 0$$

# Coaxial Waveguide: TE<sub>11</sub> (H<sub>11</sub>) Mode

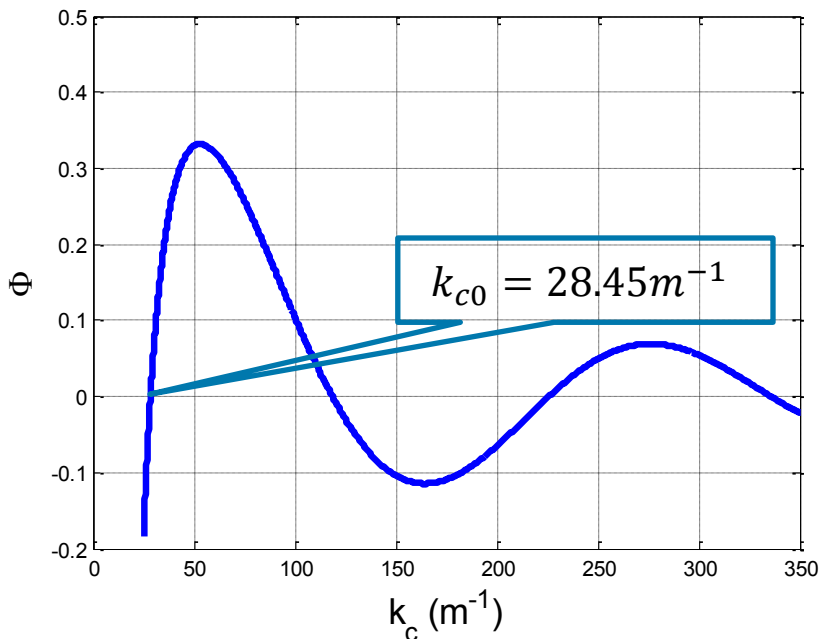


The first (lowest) high order mode is H<sub>11</sub> (TE<sub>11</sub>) with  $k_c \approx \frac{2}{a+b} = 27.9m^{-1}$

$$f_c = \frac{k_c c}{2\pi} \approx \frac{c}{\pi(a+b)} = 1.331GHz$$

$$h_z = [CJ_1(k_c \rho) + DY_1(k_c \rho)] \cdot \cos(\phi)$$

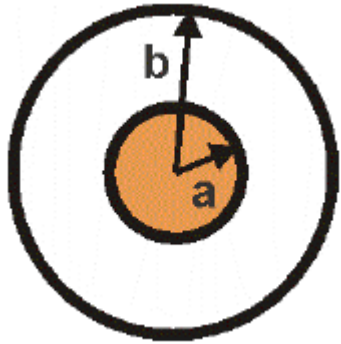
$$\Phi(k_c) = J_1'(k_c a) \cdot Y_1'(k_c b) - J_1'(k_c b) \cdot Y_1'(k_c a) = 0$$



$$J_1'(x) = J_0(x) - \frac{J_1(x)}{x}; \quad Y_1'(x) = Y_0(x) - \frac{Y_1(x)}{x}$$

$$f_{c0} = \frac{k_c c}{2\pi} = 1.358GHz$$

# Coaxial Waveguide: TE<sub>11</sub> (H<sub>11</sub>) Mode



$$f_{c0} = \frac{k_c c}{2\pi} = 1.358 \text{GHz} > 1.3 \text{GHz}$$

$$k_{c0} = 28.45 \text{m}^{-1}$$

The waveguide propagation constant ( $E \sim e^{-\gamma z}$ ) in terms of the waveguide cutoff frequency  $f_c$ :

$$\gamma = \frac{2\pi i}{c} \sqrt{f^2 - f_c^2}$$

The nominal frequency (1.3GHz) corresponds to the evanescent TE<sub>11</sub> mode with attenuation coefficient ( $E \sim e^{-\alpha z}$ ):

$$\alpha = \frac{2\pi}{c} \sqrt{f_c^2 - f^2}$$

The corresponding attenuation length:

$$L_{att} = \frac{c}{2\pi \sqrt{f_c^2 - f^2}} = 0.121 \text{m}$$

# Problems

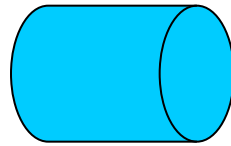
- Photo emission → charge production
- E-beam asymmetry investigations:
  - Cathode laser transverse distribution
  - Solenoid imperfections
  - RF gun coaxial power coupler → kick ? (new)
  
- 3D ellipsoidal distribution (new):
  - Impact of laser pulse shape imperfections

# Laser pulse shaping studies for further improvement of the electron beam quality in a photo injector

$$\varepsilon = \sqrt{\varepsilon_{cath}^2 + \varepsilon_{RF}^2 + \varepsilon_{SpCh}^2}$$

cathode laser shape:  $\varepsilon_{SpCh} \rightarrow \min$

cylindrical



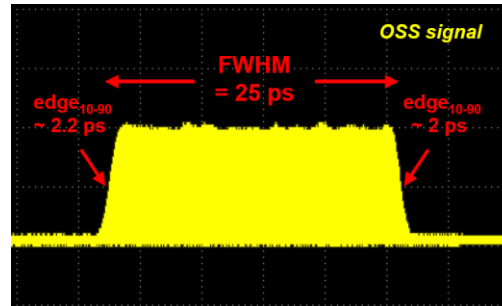
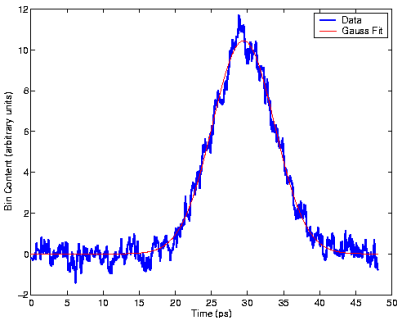
3D ellipsoidal



temporally

Gaussian  
(e.g. FLASH)  
Trms=4.4ps

Flat-top  
(e.g. PITZ)  
FWHM~20ps, rt~2ps

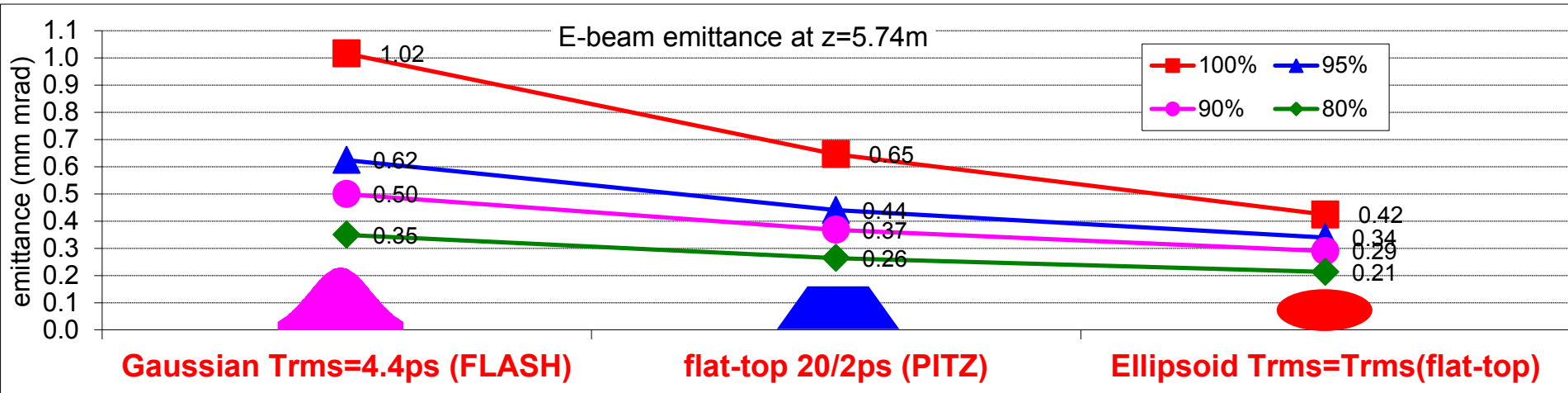


Beam dynamics (ASTRA)  
simulations for PITZ-1.8 setup

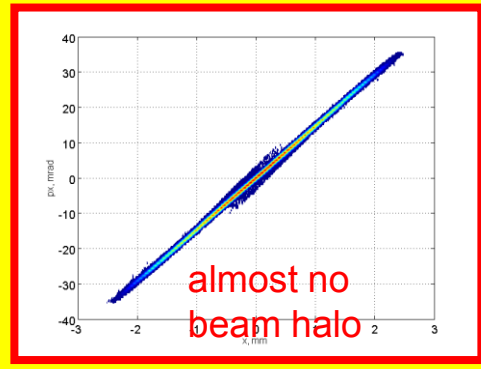
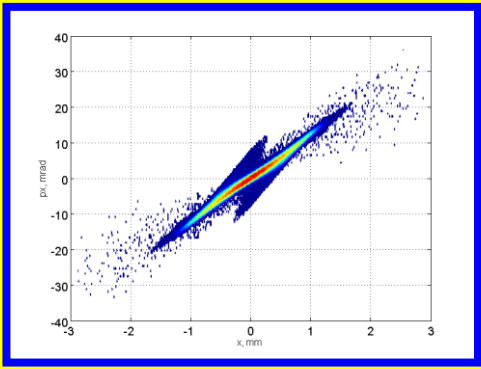
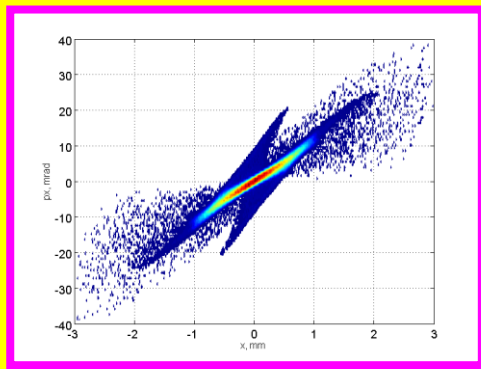


# New option for the photo cathode laser → 3D ellipsoid

## BD simulations for 1 nC bunch charge



## Transverse phase spaces at z=5.74m



### Advantages of 3D ellipsoidal cathode laser pulses:

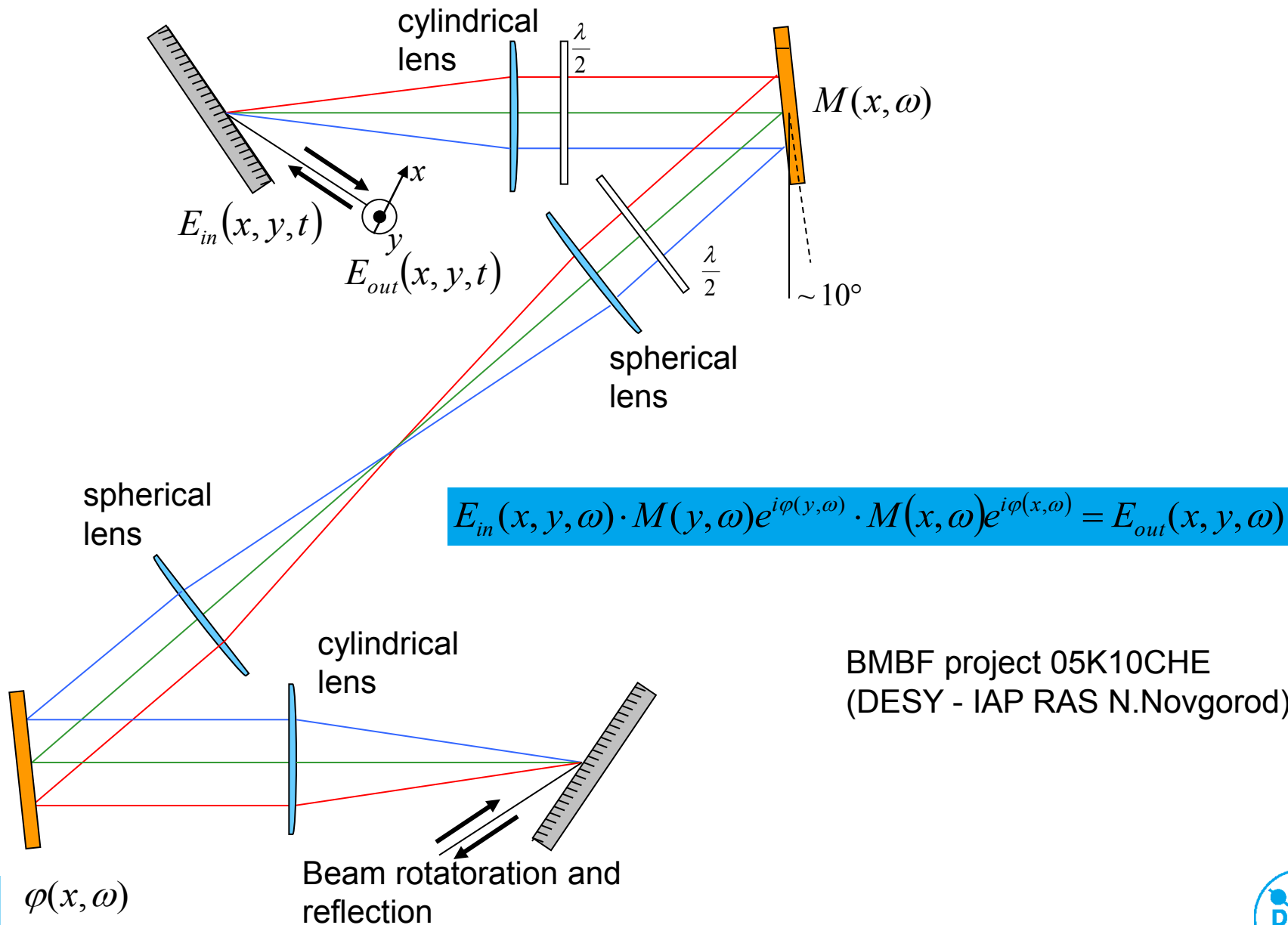
- 30-50% lower average slice emittance → higher **brilliance**
- long. phase space +3<sup>rd</sup> harm. → better **compression**
- no beam halo → better signal/noise, reduced **rad. damage**
- less sensitive to machine settings → higher **stability**



The BMBF-project (05K10CHE) “Development and experimental test of a laser system for producing quasi 3D ellipsoidal laser pulses” for the period of 3 years (2011-2013) has been started in the framework of the German-Russian collaboration “Development and Use of Accelerator-Based Photon Sources” (DESY-JINR-IAP)



# Pulse Shaping using 2D SLM

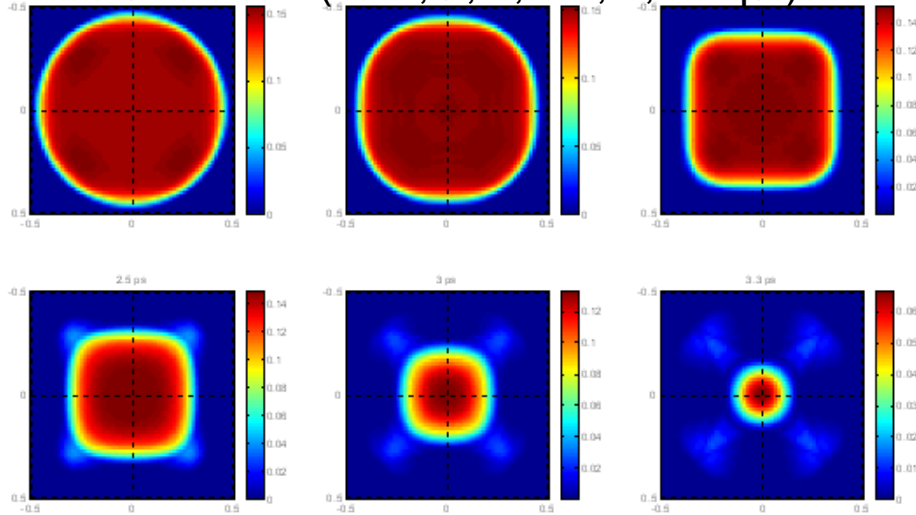


BMBF project 05K10CHE  
(DESY - IAP RAS N.Novgorod)





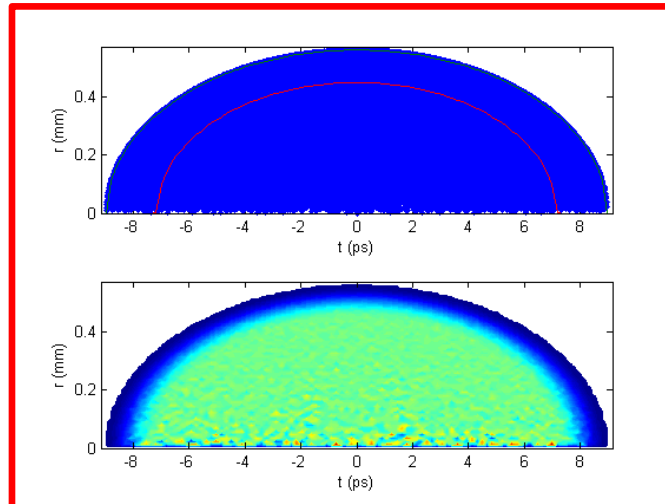
3-D ellipsoid laser transverse distribution at different time cross sections ( $t = 0; 1; 2; 2.5; 3; 3.3$  ps).



3-D ellipsoid laser pulse shape imperfections have to be studied for **tolerances**:

1. Sharpness of edges
2. Rotational symmetry distortions
3. Shape stability

## 1. Sharpness of 3D ellipsoid edges





## 1. Sharpness of 3D ellipsoid edges

$\delta$  - border sharpness parameter

$$\theta = \sqrt{\left(\frac{r}{R}\right)^2 + \left(\frac{t}{T}\right)^2}$$

Modification of the initially homogeneous laser intensity distribution:

$$\rho = \rho_0 \begin{cases} 1, & \text{if } \theta < 1 - \delta \\ \frac{1 - \sin\left[\pi \cdot \left(\frac{\theta - 1}{\delta} + \frac{1}{2}\right)\right]}{2}, & \text{if } 1 - \delta \leq \theta \leq 1 \end{cases}$$

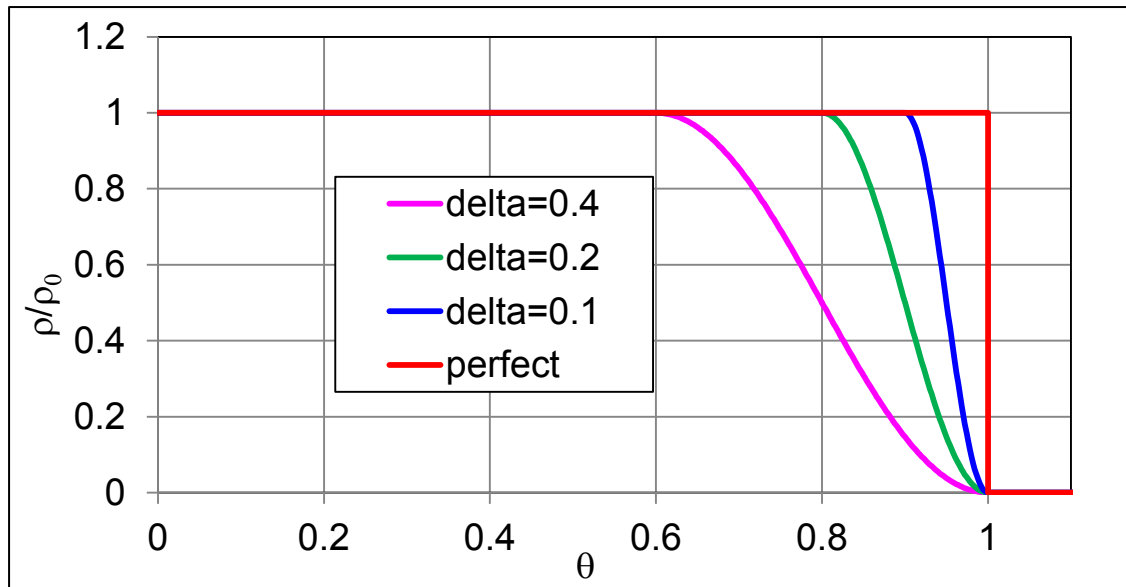
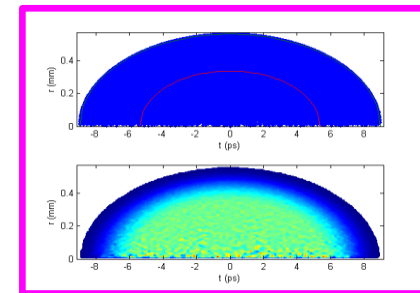
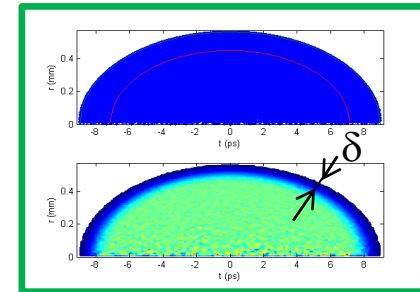
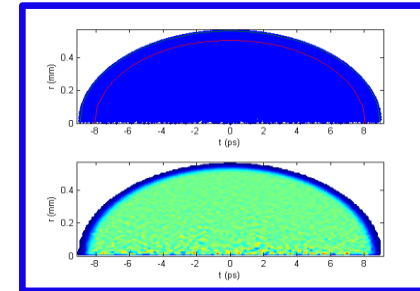
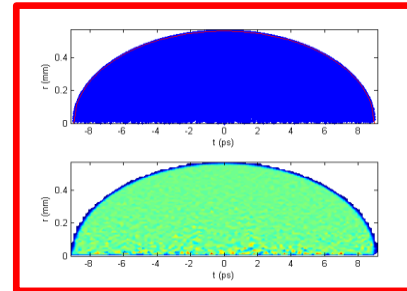


Fig.7. Intensity modification with the border sharpness.

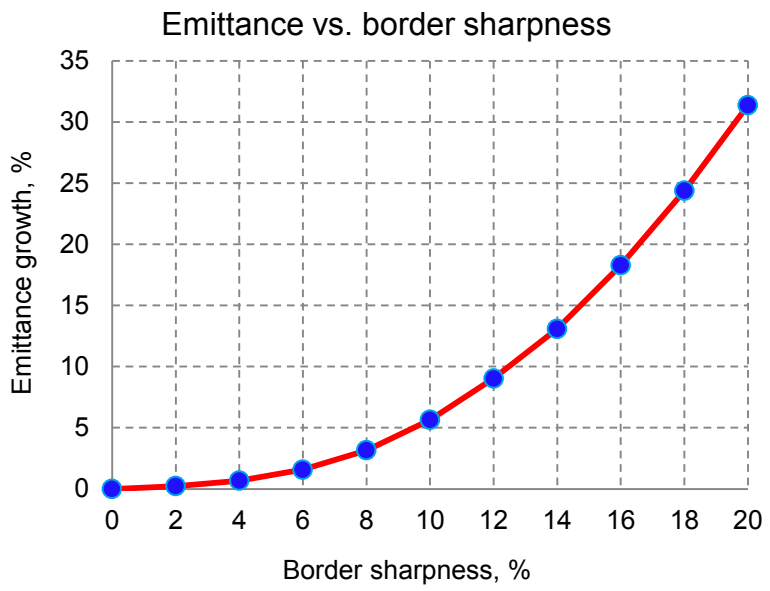
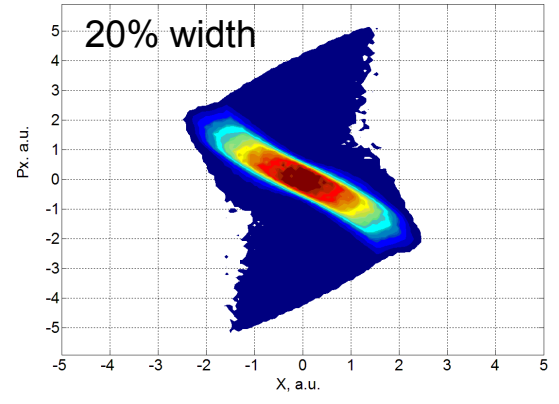
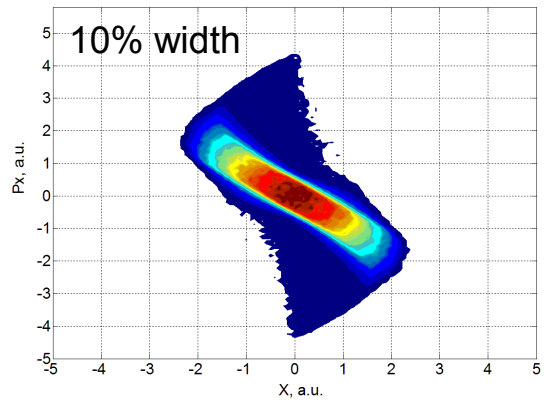
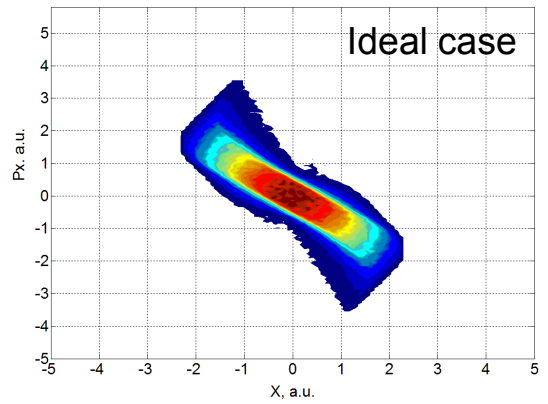


Fig.8. Emittance growth with border width.



➤ Critical emittance growth (30%) for 20% border width

# Summary (3D ellipsoidal laser pulses)

## > 3D ellipsoid imperfections:

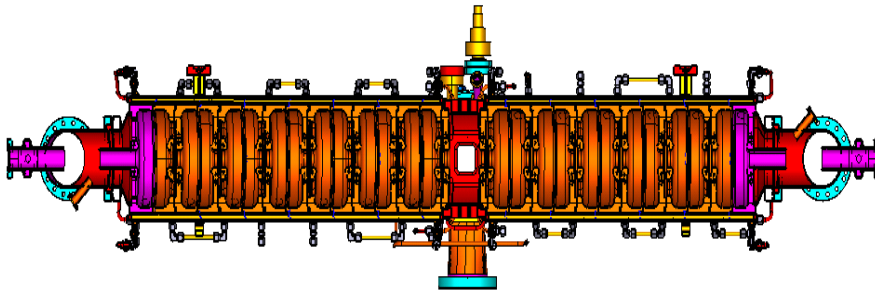
- → Border sharpness influence on beam emittance has been simulated. 30% emittance growth (critical) at 20% border width

## > Still to be done:

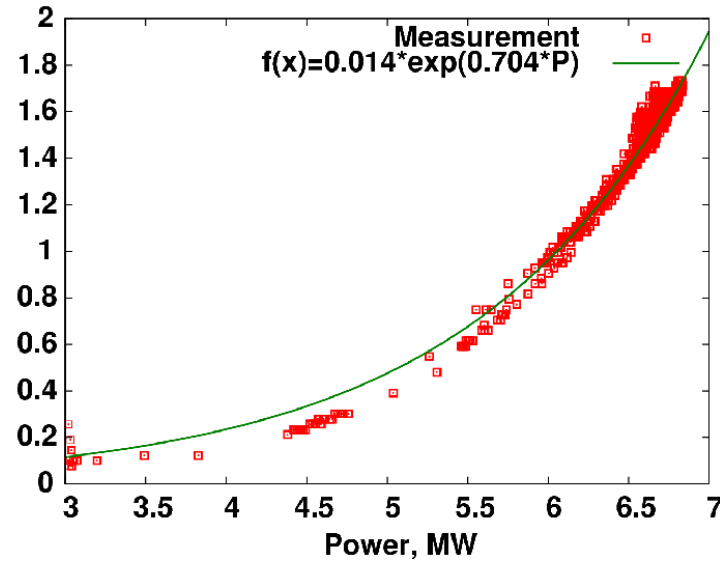
- Nonhomogeneous sharpness (t-r)
- Rotational asymmetry (“squared” shape)
- Shape modulations
- S2e simulations (bunch compression studies)
- ...

# The End

# CDS Booster dark current problem

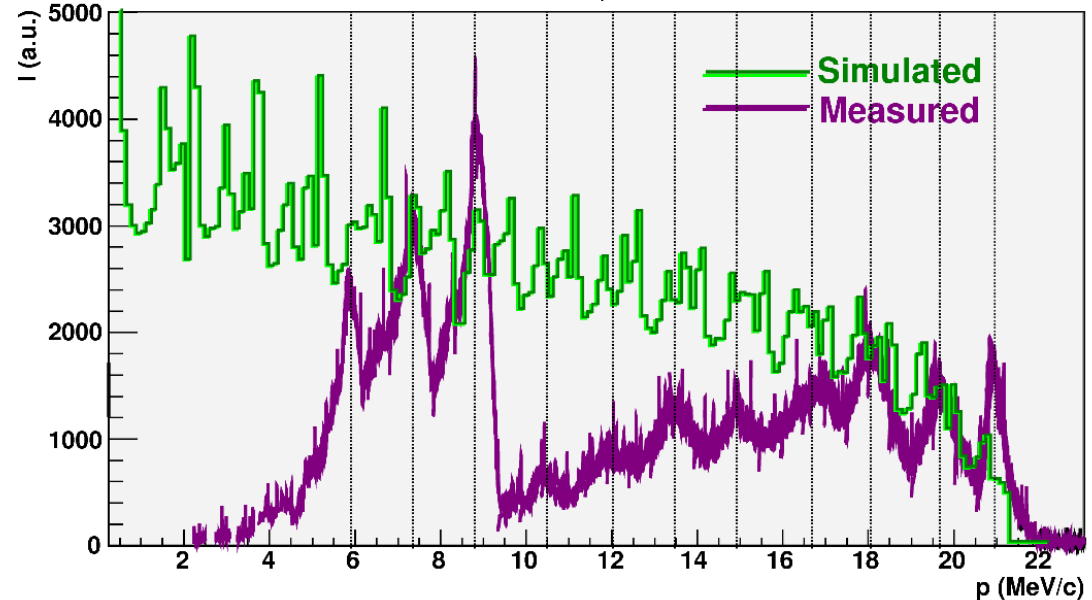


Measurement was done using Dark Current Monitor (DCM)



Measurement was done downstream in High Energy dispersive arm

- Well pronounced bumps
- Three cells have the most contribution
- Three bumps are missing in low energy part of the spectrum



# Simulation request for PITZ

Observation / problem / idea	? to be simulated
Core emittance	“Phase space collimator (beam scraper)” ?influence of image charges + wakes
Measured e-beam shape (asymmetry, tails), transverse phase space (emittance) depend on trajectory	<ul style="list-style-type: none"> <li>•Magnetic components (active, passive), e.g. solenoid imperfections?</li> <li>•Wake field (like) effects (VM, DDC,...)</li> </ul>
Charge production, influence of real laser transverse and temporal profiles (imperfections)	Beam dynamics simulations, especially in the cathode vicinity (emission), slice emittance formation
E-beam matching into the tomography section	Using V-code with space charge to find quad strength
Particle driven plasma wake field acceleration	Self modulation of the driver, etc
...	