

# Photoemission studies at PITZ:

**Analysis on extracted charge vs laser pulse  
energy from  $\text{Cs}_2\text{Te}$  photocathodes under high  
RF field strengths**

**Carlos Hernandez-Garcia & Mikhail Krasilnikov**  
DESY-TEMF Meeting  
Hamburg, 15 June 2015



# Overview: Behavior of extracted charge vs laser pulse energy

## > Observations:

- The charge extraction is linear for low laser pulse energies (this is how we measure the quantum efficiency, “single particle” regime).
- But for higher laser pulse energies (saturation regime) the charge extraction dependence on laser energy is much weaker (“collective effects” space charge regime).
- We have consistently observed that the extracted charge for high laser pulse energy is larger than that predicted by simulations for a variety of gun settings and laser parameters.
- The extracted charge in the saturation regime depends on specific laser beam parameters and gun operating settings.

## > Hypothesis:

- Although the extracted charge saturates in the core of the uniform laser transverse distribution, radial laser halo contributes to additional extracted charge.

## > Experiment:

- To test our hypothesis, we have generated initial (input) distributions fitted to first order to the measured laser transverse profiles, which in fact have a radial profile comprised of a flat-top core with Gaussian-like decaying halo.

## > Results:

- Using these distributions, we obtain now agreement to first order between ASTRA simulations and measured extracted charge vs laser pulse energy.
- Our observations seem to indicate that halo is contributing to excess extracted charge compared to a uniform core transverse laser distribution.

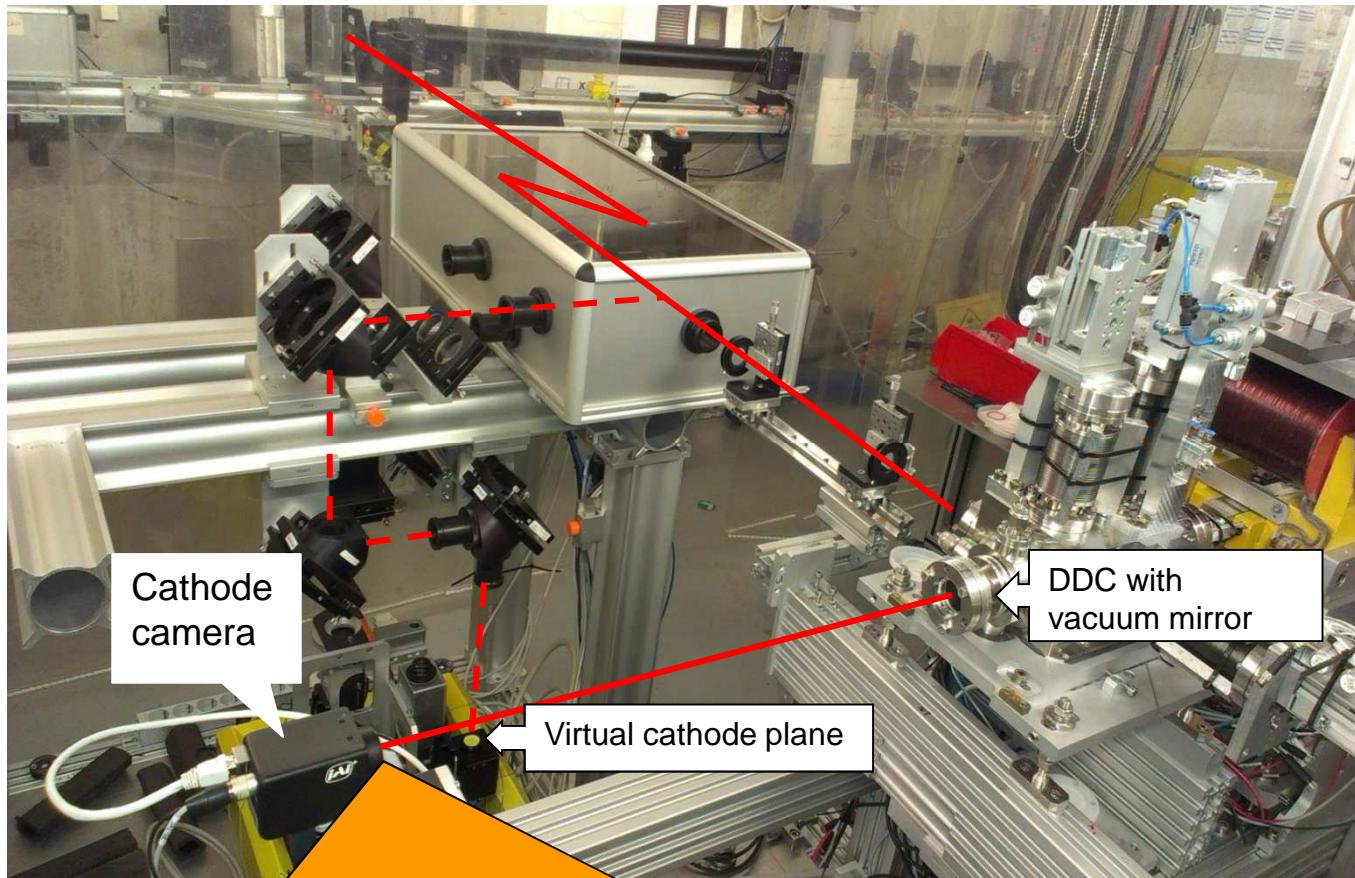


# Outline

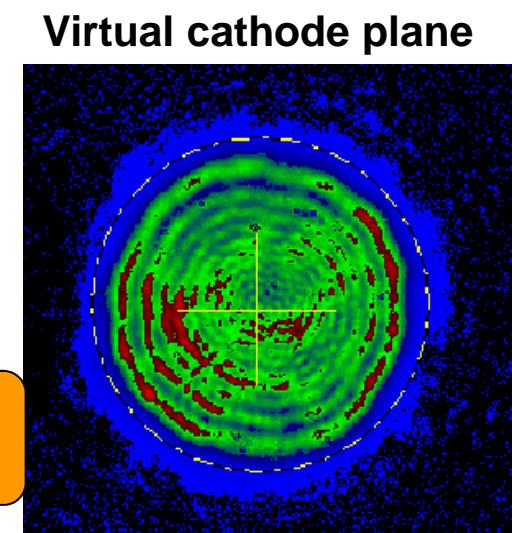
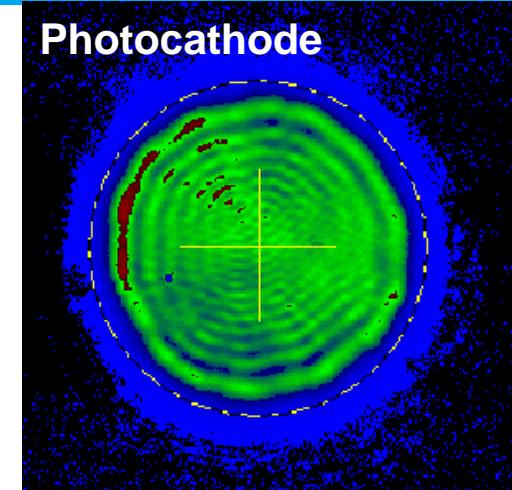
1. Characterization of laser transverse profile
2. Generation of particle input distribution based on laser transverse profile data
3. Comparison of measurements with simulations and with semi-analytical model using generated input distribution
4. Sensitivity of simulations and model to laser radial profile parameters
5. Effect of generated core+halo distributions on emittance simulations
6. Laser radial halo dependence on laser settings and its effect on extracted charge
7. Conclusions



# To characterize the laser spot on the cathode: The laser beam shaping aperture (BSA) is imaged onto a CCD camera positioned at a plane equivalent to that of the actual cathode (12-March-2013)\*



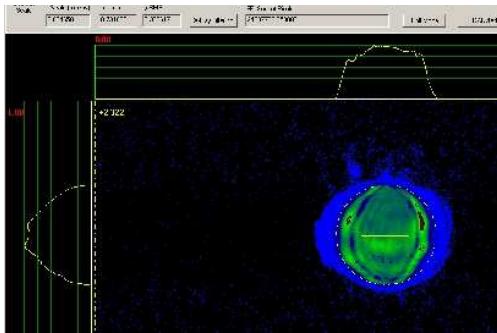
The Cathode camera CCD is placed at the exact location of the Cs<sub>2</sub>Te photocathode at the back plane of the gun cavity



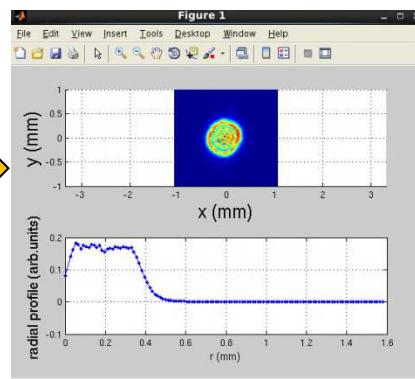
\*M. Gross (PITZ)

# The procedure consists on generating particle input distributions derived from the radial curve fit to the measured laser transverse profile:

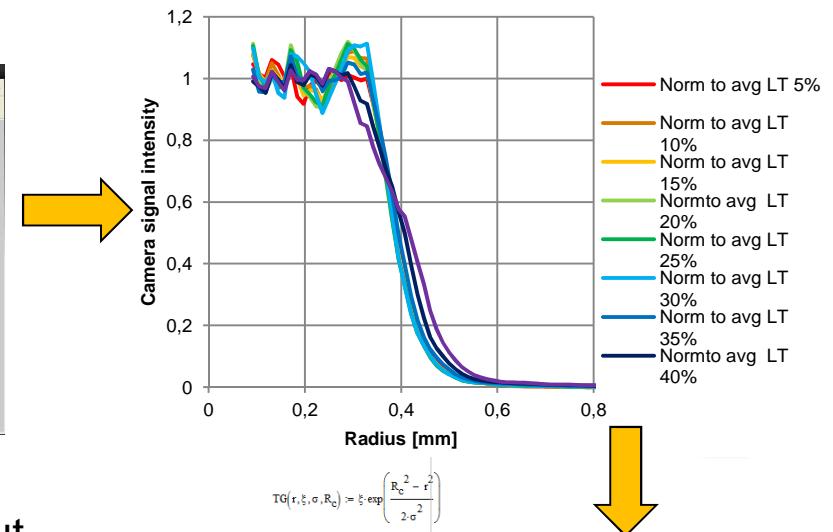
Laser distribution on virtual cathode imaging camera data capture



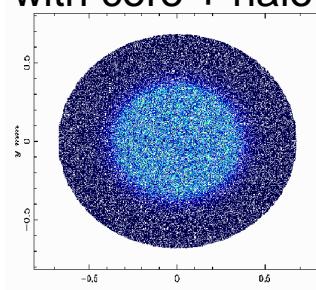
Virtual cathode data fitted in MatLab



Radial laser profile from MatLab data fit

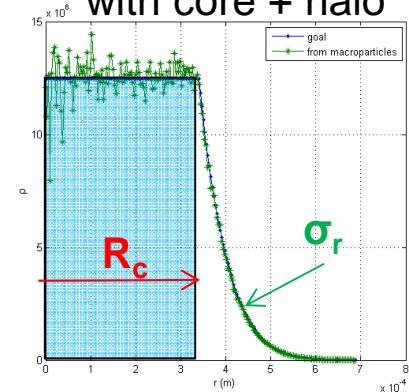


Input distribution shown by postpro with core + halo

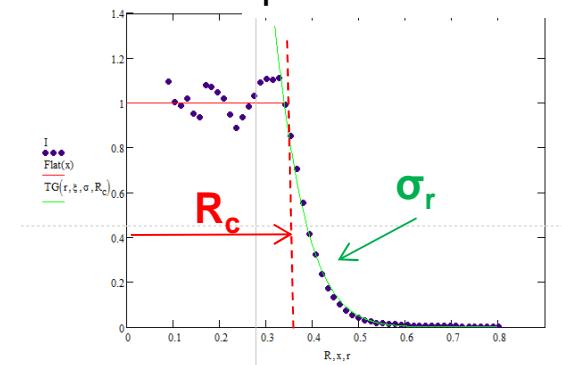


To ASTRA

MatLab-generated input distribution for ASTRA with core + halo

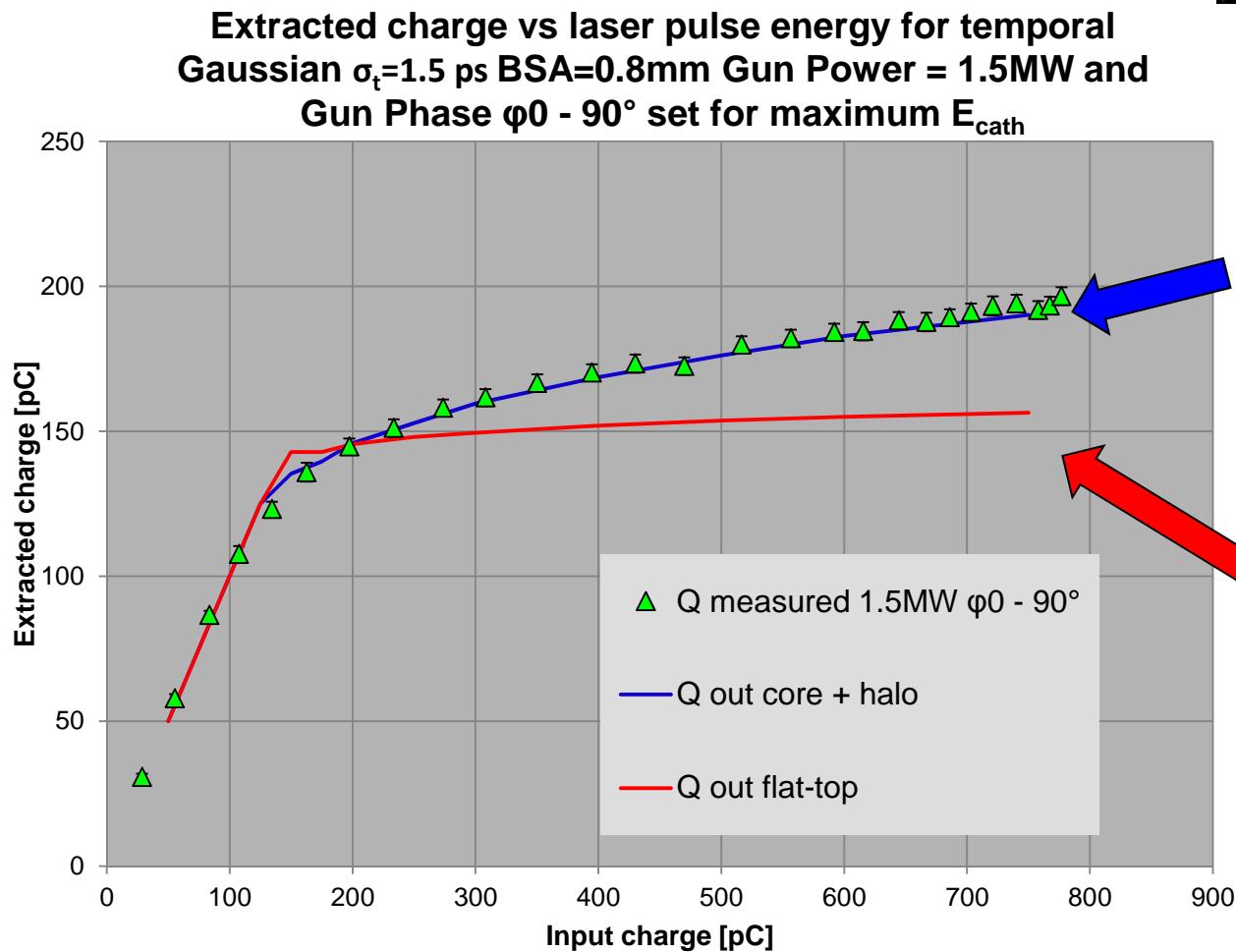


Curve fit to match radial laser profile fit

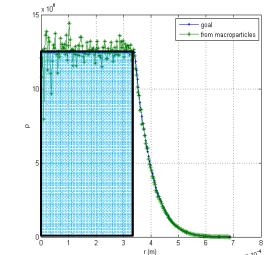
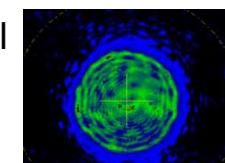


# When the core+halo initial distribution is utilized, ASTRA shows good agreement with extracted charge measurements

If a uniform distribution is used instead,  
the charge saturates

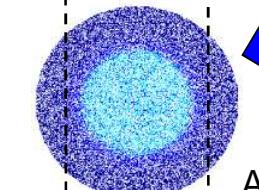


Laser radial distribution image



Transverse radial profile core + halo

0.68 mm

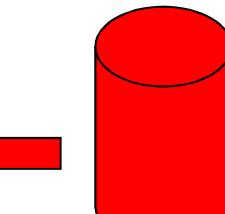


Generated ASTRA input distribution core + halo

0.80 mm



Nominal ASTRA input uniform distribution

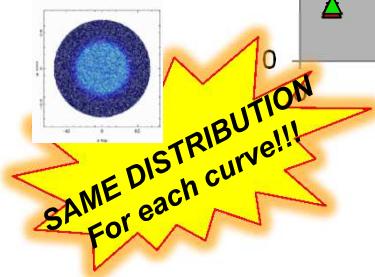
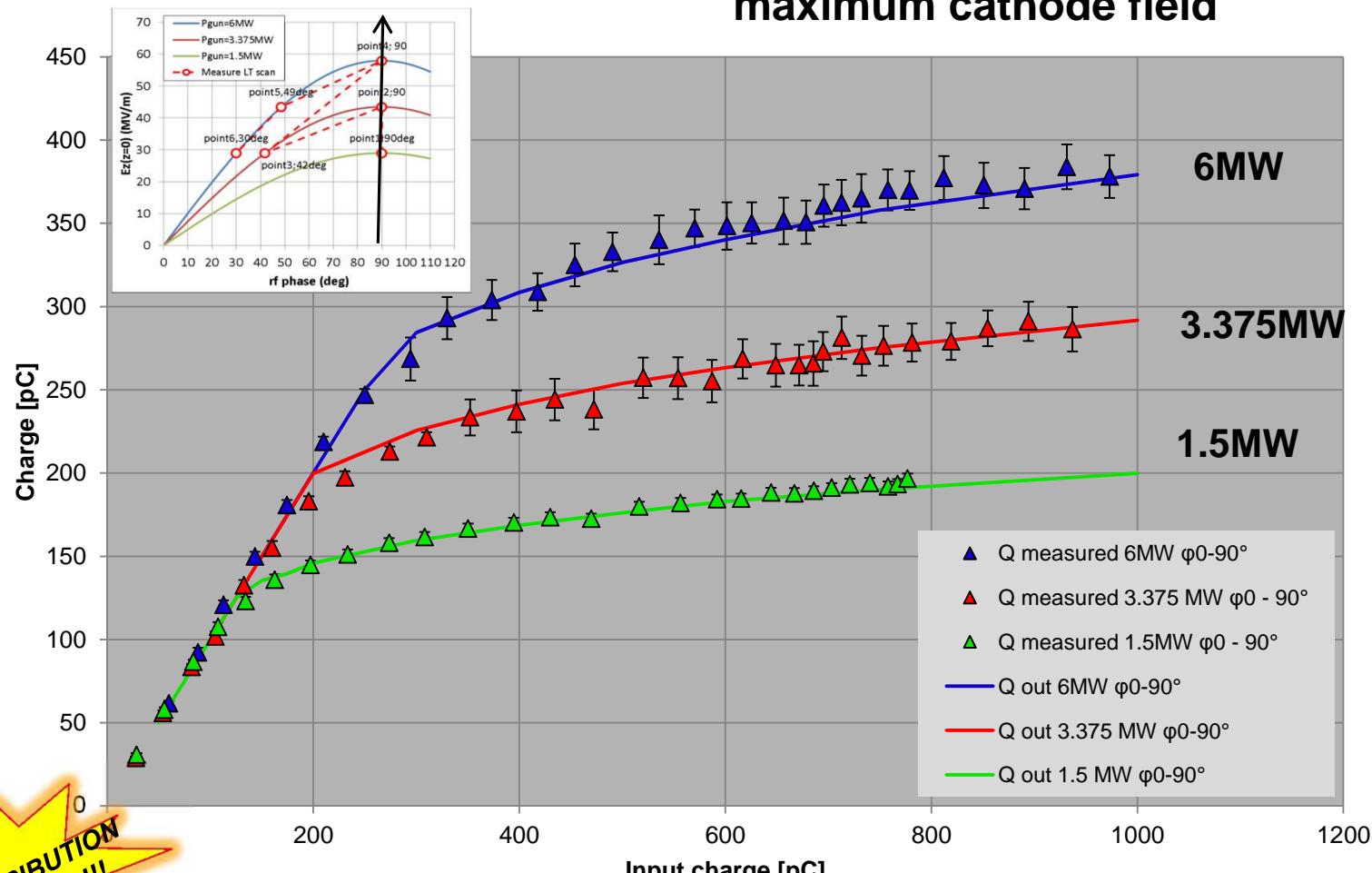


Nominal transverse uniform radial profile



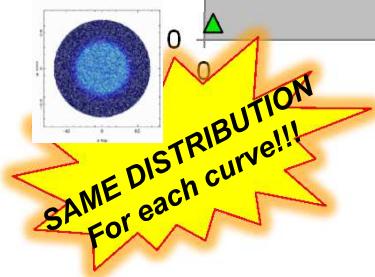
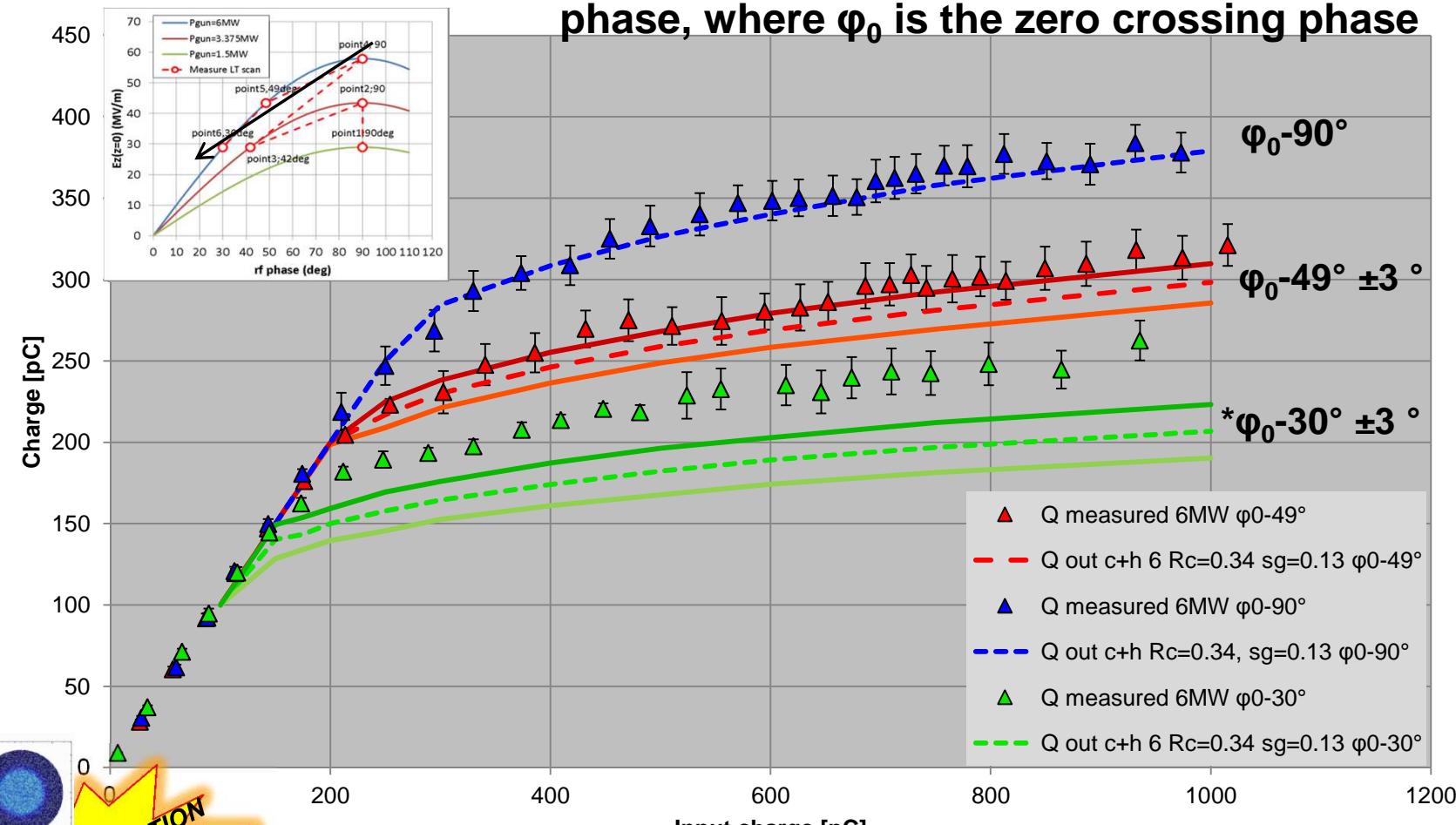
Once a fit is found, the core + halo input distribution fits the experimental data...

**Extracted charge with core + halo for 0.8 mm beam diameter with 1.5 ps rms Gaussian temporal at maximum cathode field**



...for most cases....

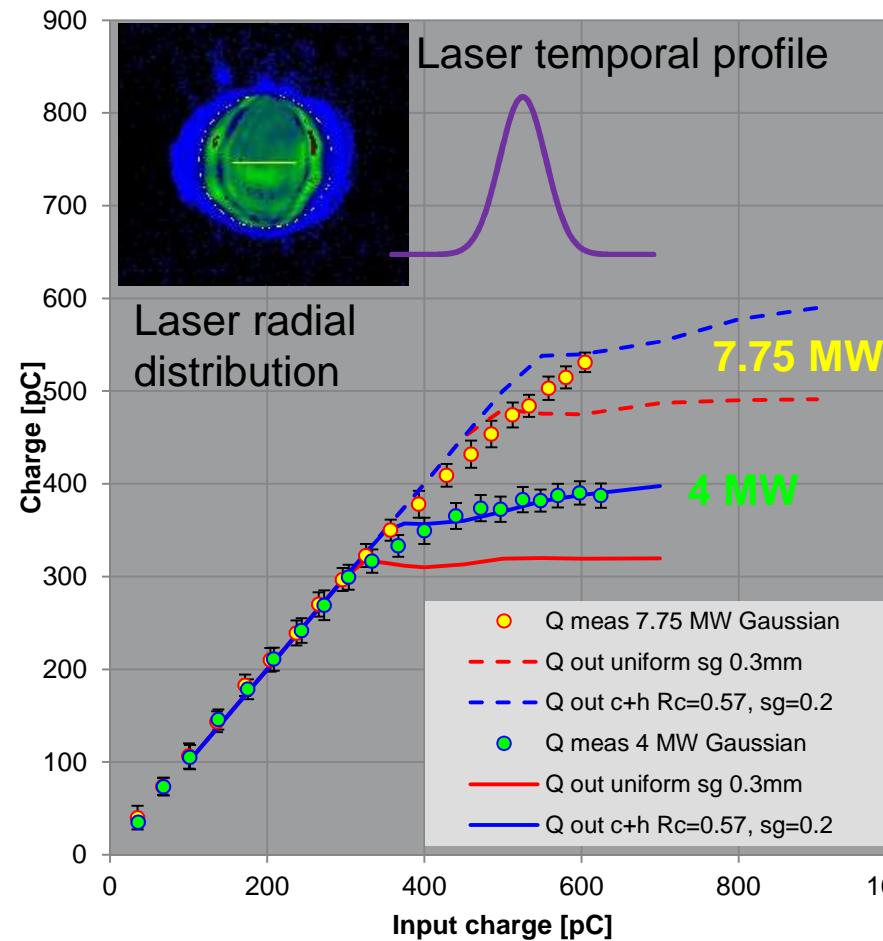
**Extracted charge with core + halo for 0.8 mm beam diameter  
with 1.5 ps rms Gaussian temporal at Pgun=6MW for each  
phase, where  $\varphi_0$  is the zero crossing phase**



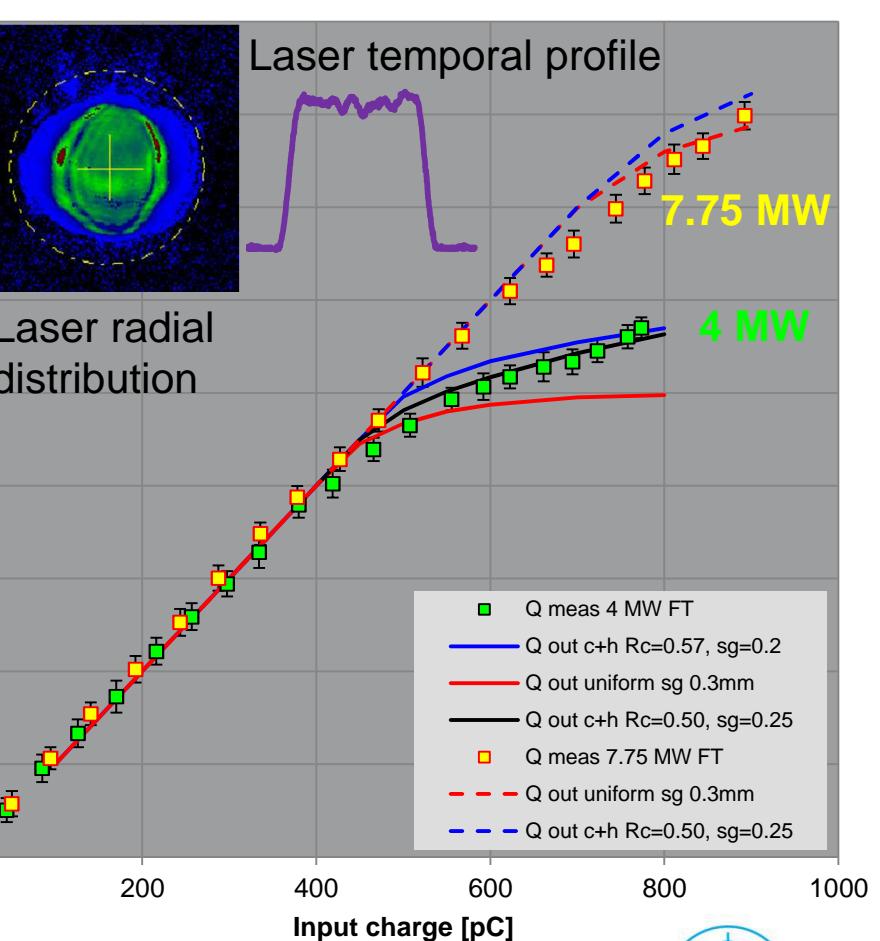
\*data taken on different shift, uncertainty in phase is  $> 5^\circ$

...and to first order for different Gun power, phase, temporal profile and radial size from measurements taken in 2013.

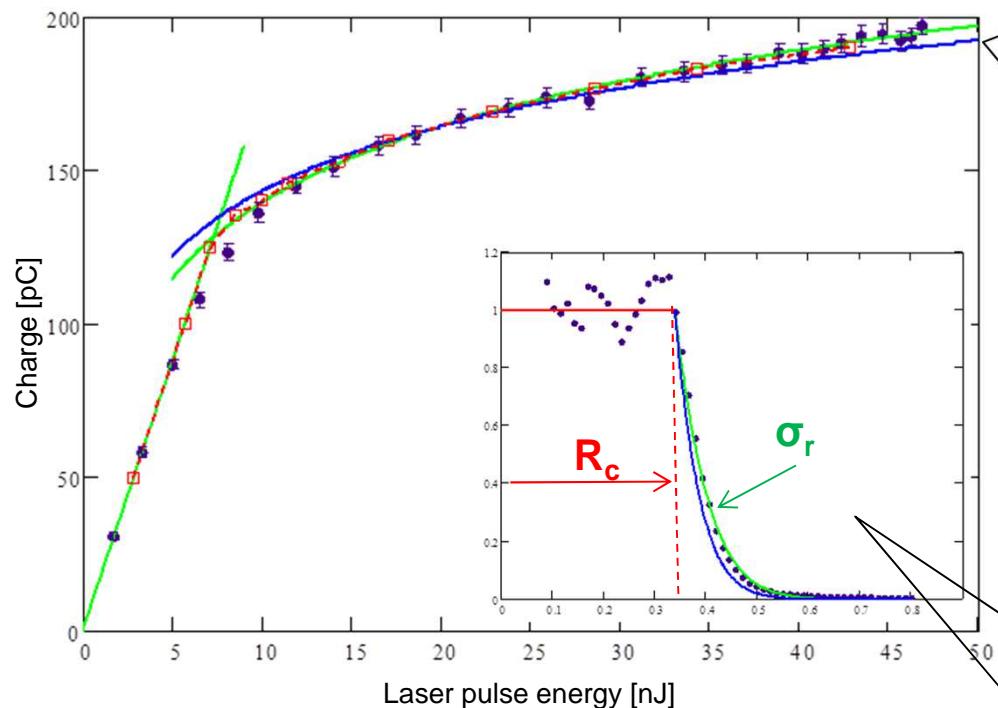
Extracted charge vs laser pulse energy  
from 2013 for 1.2 mm beam diameter with  
Gaussian  $\sigma_t=1.06\text{ps}$  at MMMG



Extracted charge vs laser pulse energy  
from 2013 for 1.2 mm beam diameter with  
FWHM=17 ps Flat Top at MMMG



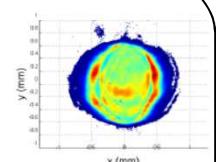
# Using M. Krasilnikov's model with the core radius $R_c$ and halo Gaussian $\sigma_r$ found from the laser radial profile, and fitting only Qmax, one gets fairly good agreement with ASTRA and with experimental measurements in the saturated region



$$Q = Q_{core} + Q_{halo}$$

$$Q_{core} = \frac{1}{1 + \xi \cdot \eta} \begin{cases} Q_{exp}, & \text{if } Q_{exp} \leq Q_{max} \\ Q_{max}, & \text{if } Q_{exp} > Q_{max} \end{cases}$$

$$Q_{halo} = \frac{\eta}{1 + \xi \cdot \eta} \begin{cases} \xi \cdot Q_{exp}, & \text{if } \xi \cdot Q_{exp} \leq Q_{max} \\ Q_{max} \cdot \left(1 + \ln \frac{\xi \cdot Q_{exp}}{Q_{max}}\right) & \text{if } \xi \cdot Q_{exp} > Q_{max} \end{cases}$$



$Q_{max}$  is the only fit parameter for the model while  $R_c$  and  $\sigma_r$  are those found from the laser profile data. QE is inferred from the unsaturated (linear) curve  $Q_{exp}=2 \cdot QE \cdot E_{laser}$

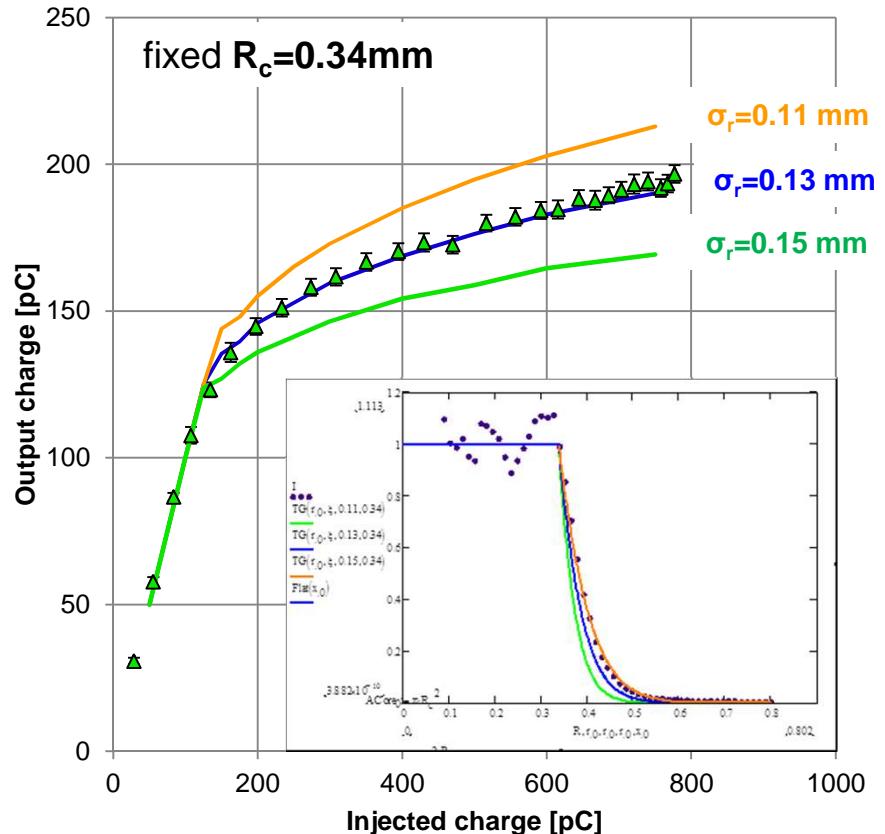
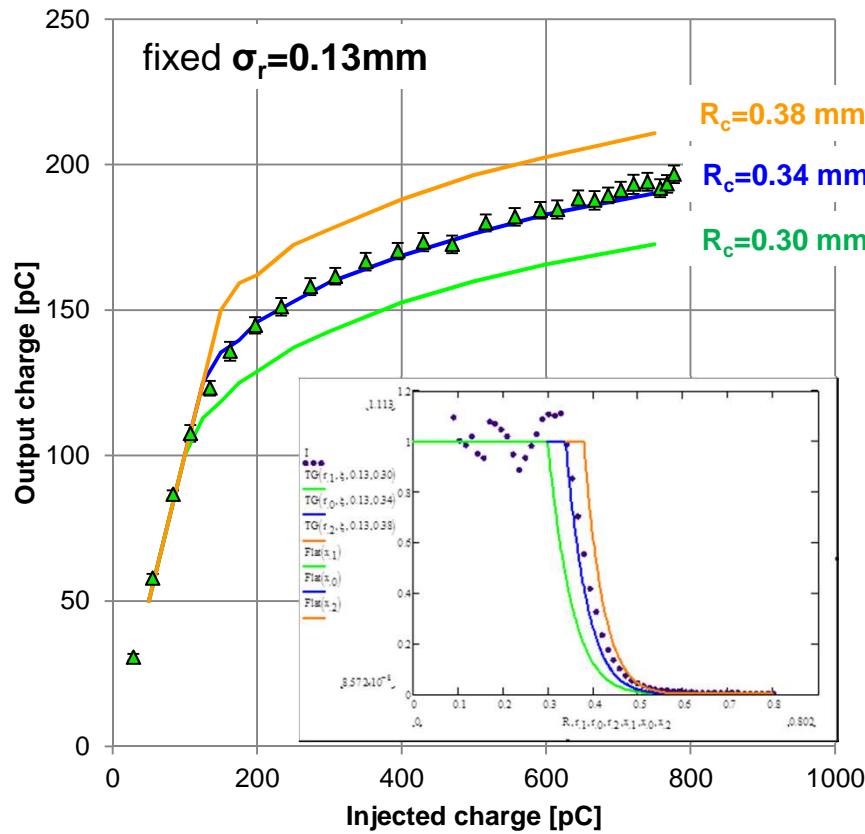
$$F_l(r) = \frac{E_l}{\pi R_c^2 + 2\pi \xi \sigma_r^2} \begin{cases} 1, & \text{if } r \leq R_c \\ \xi e^{\frac{R_c^2 - r^2}{2\sigma_r^2}}, & \text{if } r > R_c \end{cases}$$

$$\rho_Q(r) = \frac{2QE \cdot E_l}{\pi R_c^2 + 2\pi \xi \sigma_r^2} \begin{cases} 1, & \text{if } r \leq R_c \\ \xi e^{\frac{R_c^2 - r^2}{2\sigma_r^2}}, & \text{if } r > R_c \end{cases}$$

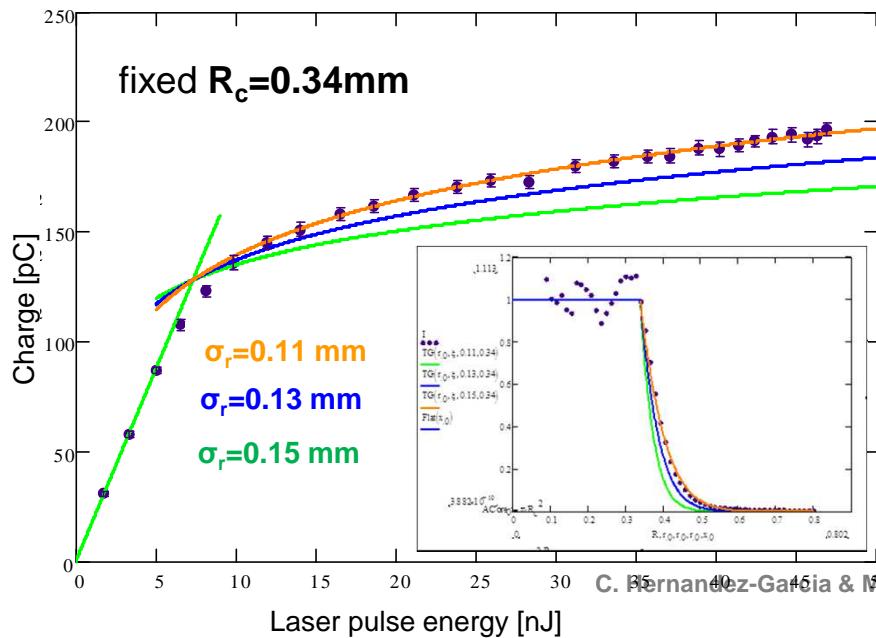
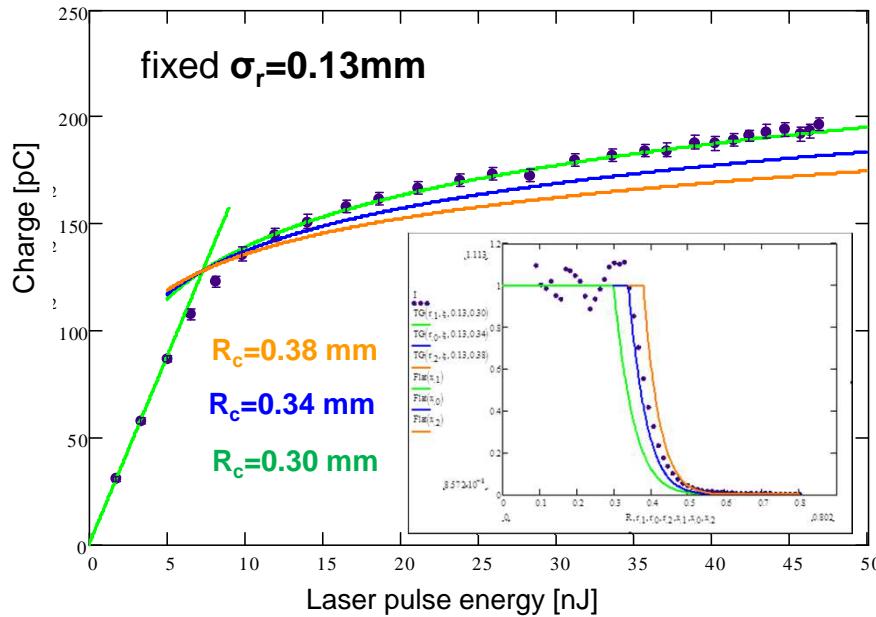
$$\eta = \frac{2\sigma^2}{R^2}$$

# The following graphs show the sensitivity of ASTRA simulations to the core + halo parameters $R_c$ and $\sigma_r$

Extracted charge vs laser pulse energy for temporal Gaussian  $\sigma_t=1.5$  ps  
 BSA=0.8mm Gun Power = 1.5MW and Gun Phase  $\phi_0 - 90^\circ$



The following graphs shows the sensitivity of the semi-analytical model to the core + halo parameters  $R_c$  and  $\sigma_r$



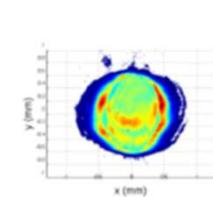
$$F_l(r) = \frac{E_l}{\pi R_c^2 + 2\pi\xi\sigma_r^2} \begin{cases} 1, & \text{if } r \leq R_c \\ \xi e^{\frac{R_c^2 - r^2}{2\sigma_r^2}}, & \text{if } r > R_c \end{cases}$$

$$\rho_Q(r) = \frac{2QE \cdot E_l}{\pi R_c^2 + 2\pi\xi\sigma_r^2} \begin{cases} 1, & \text{if } r \leq R_c \\ \xi e^{\frac{R_c^2 - r^2}{2\sigma_r^2}}, & \text{if } r > R_c \end{cases}$$

$$\eta = \frac{2\sigma^2}{R^2}$$

$$Q = Q_{core} + Q_{halo}$$

$$Q_{core} = \frac{1}{1 + \xi \cdot \eta} \begin{cases} Q_{exp}, & \text{if } Q_{exp} \leq Q_{max} \\ Q_{max} & \text{if } Q_{exp} > Q_{max} \end{cases}$$

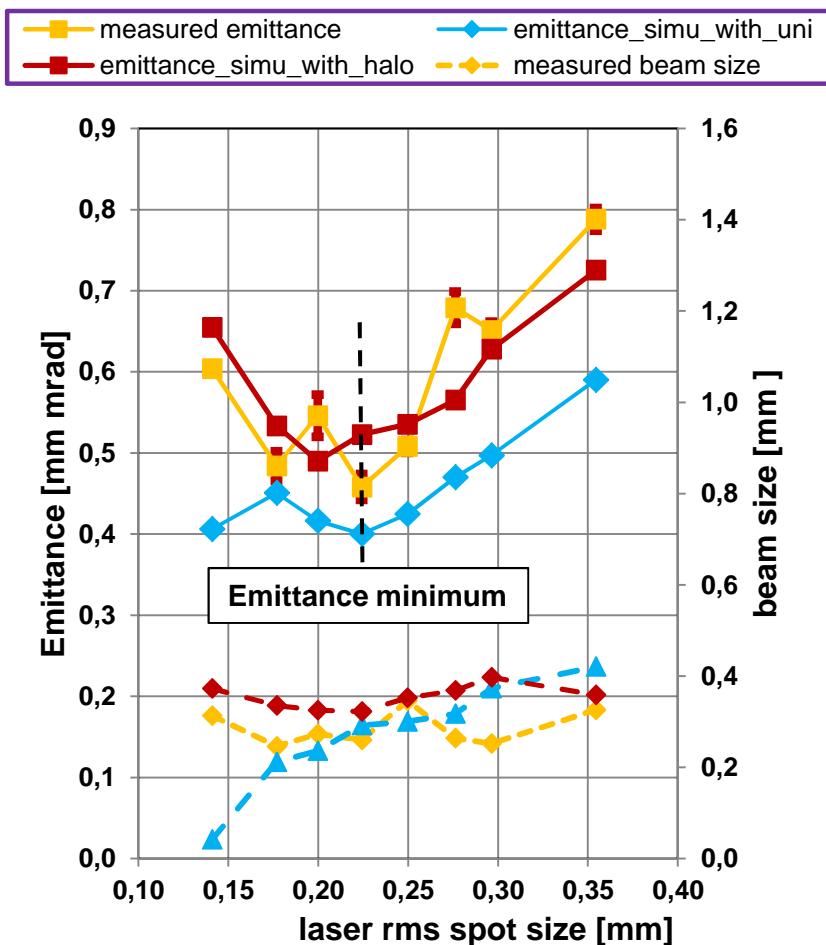


$$Q_{halo} = \frac{\eta}{1 + \xi \cdot \eta} \begin{cases} \xi \cdot Q_{exp}, & \text{if } \xi \cdot Q_{exp} \leq Q_{max} \\ Q_{max} \cdot \left(1 + \ln \frac{\xi \cdot Q_{exp}}{Q_{max}}\right) & \text{if } \xi \cdot Q_{exp} > Q_{max} \end{cases}$$

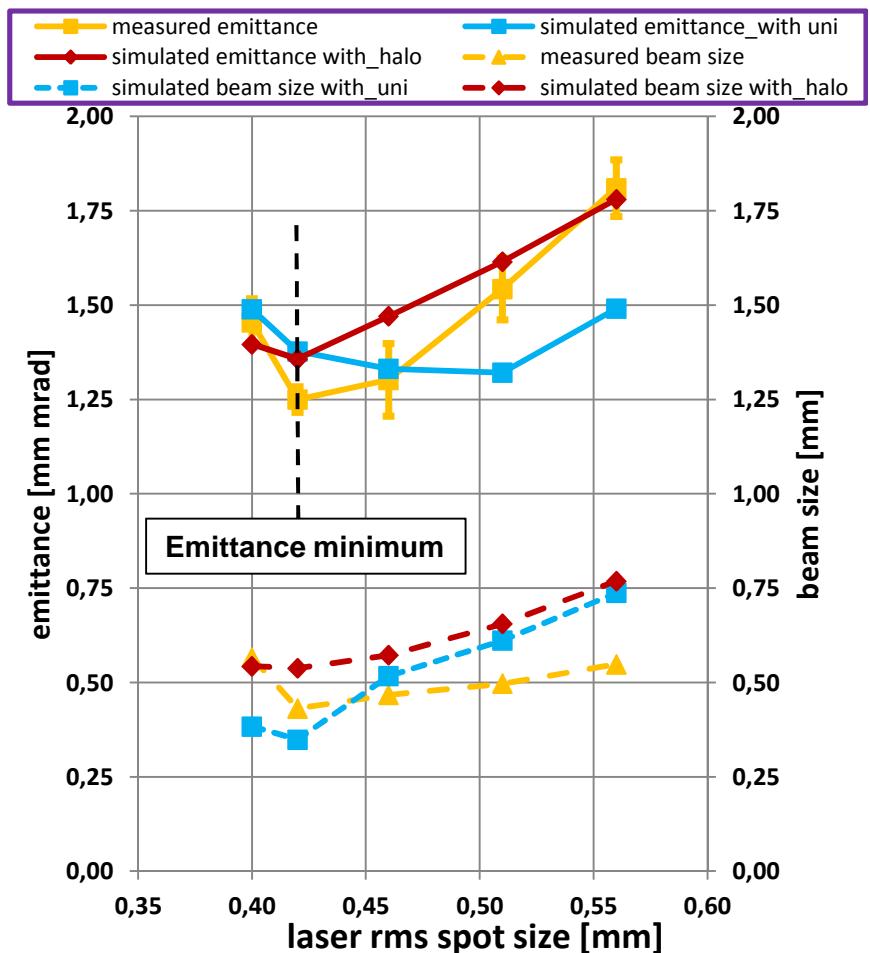
Qmax has been kept fixed for all shown cases

# Using core+halo input distributions in ASTRA renders closer agreement with emittance measurements than just using uniform core input distributions\*

**0.1 nC bunch charge**

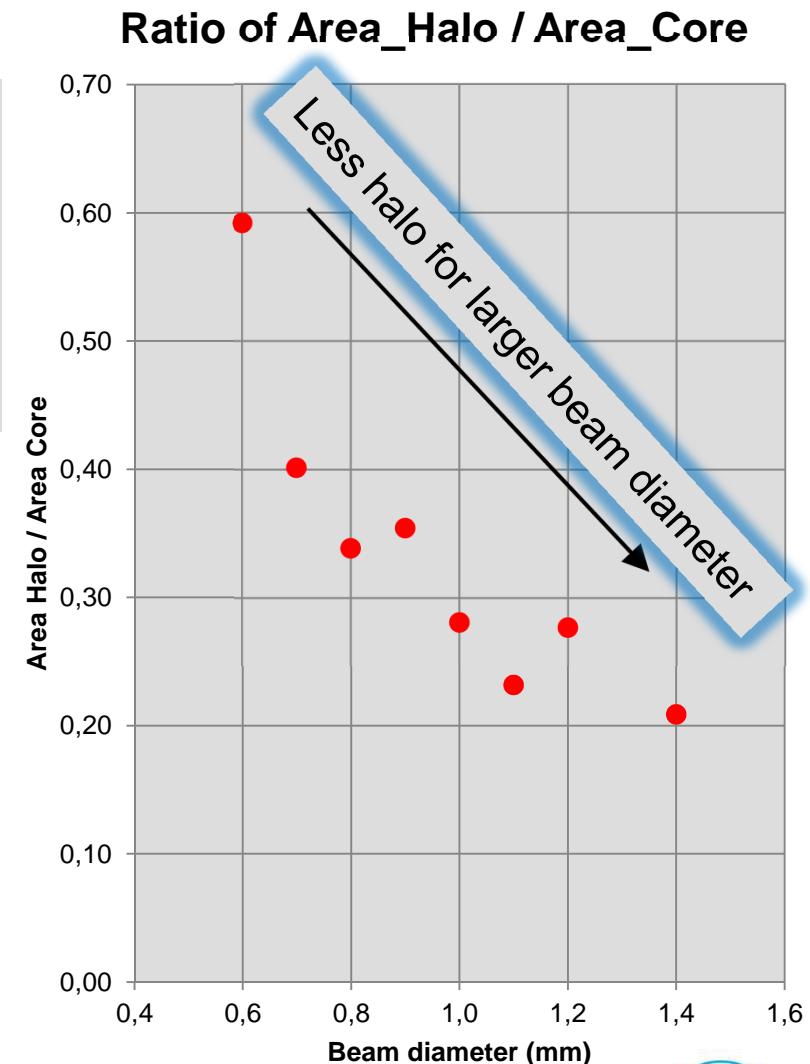
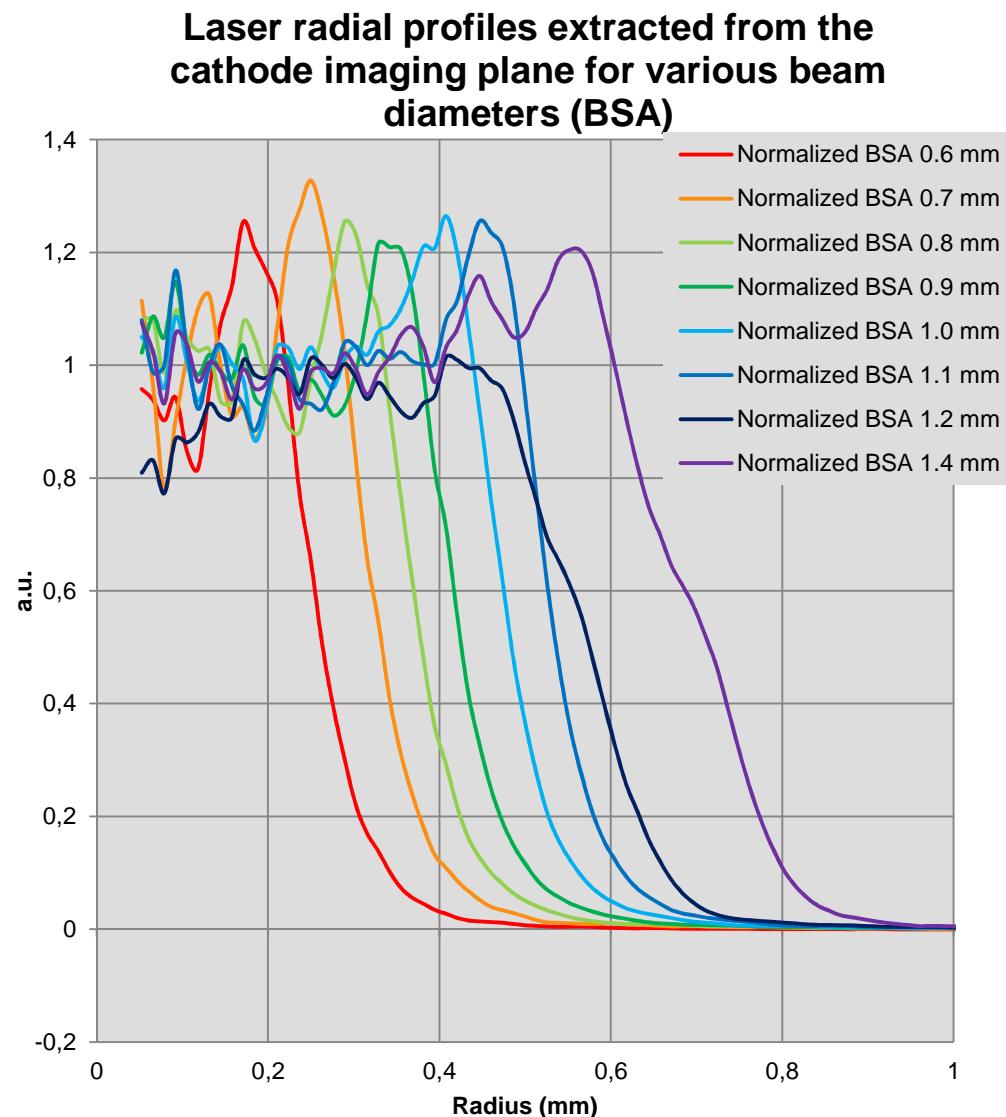


**1 nC bunch charge**



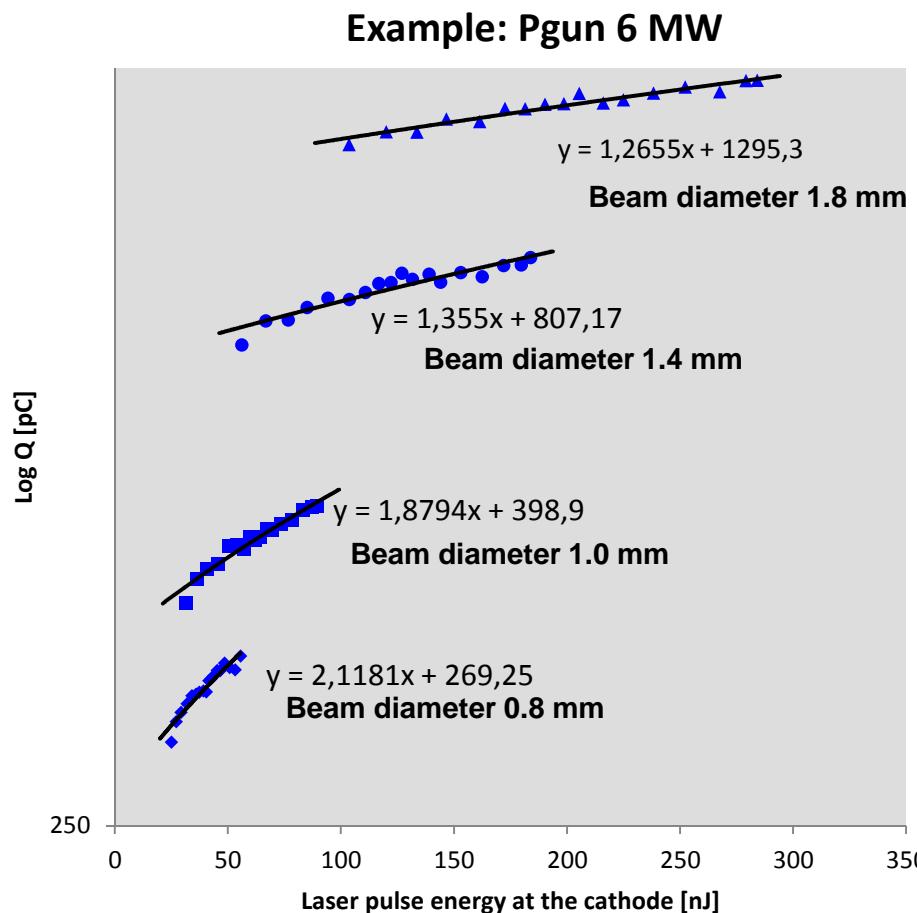
\*ASTRA simulations by Q. Zhao (PITZ)

# Our hypothesis about Halo decreasing as the beam diameter increases is confirmed by measured laser radial profiles...

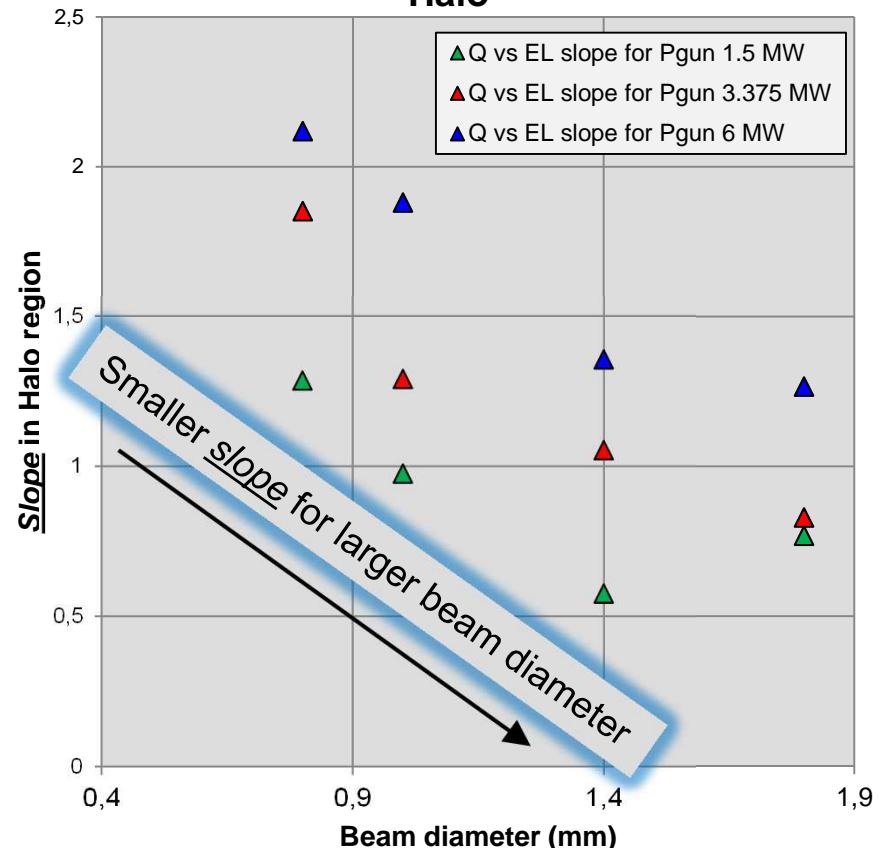


## ...and also by the electron beam data, where less charge is extracted from Halo for larger beam diameter

- The slope indicates that charge continues to be extracted from the saturated regions even though charge from core has saturated

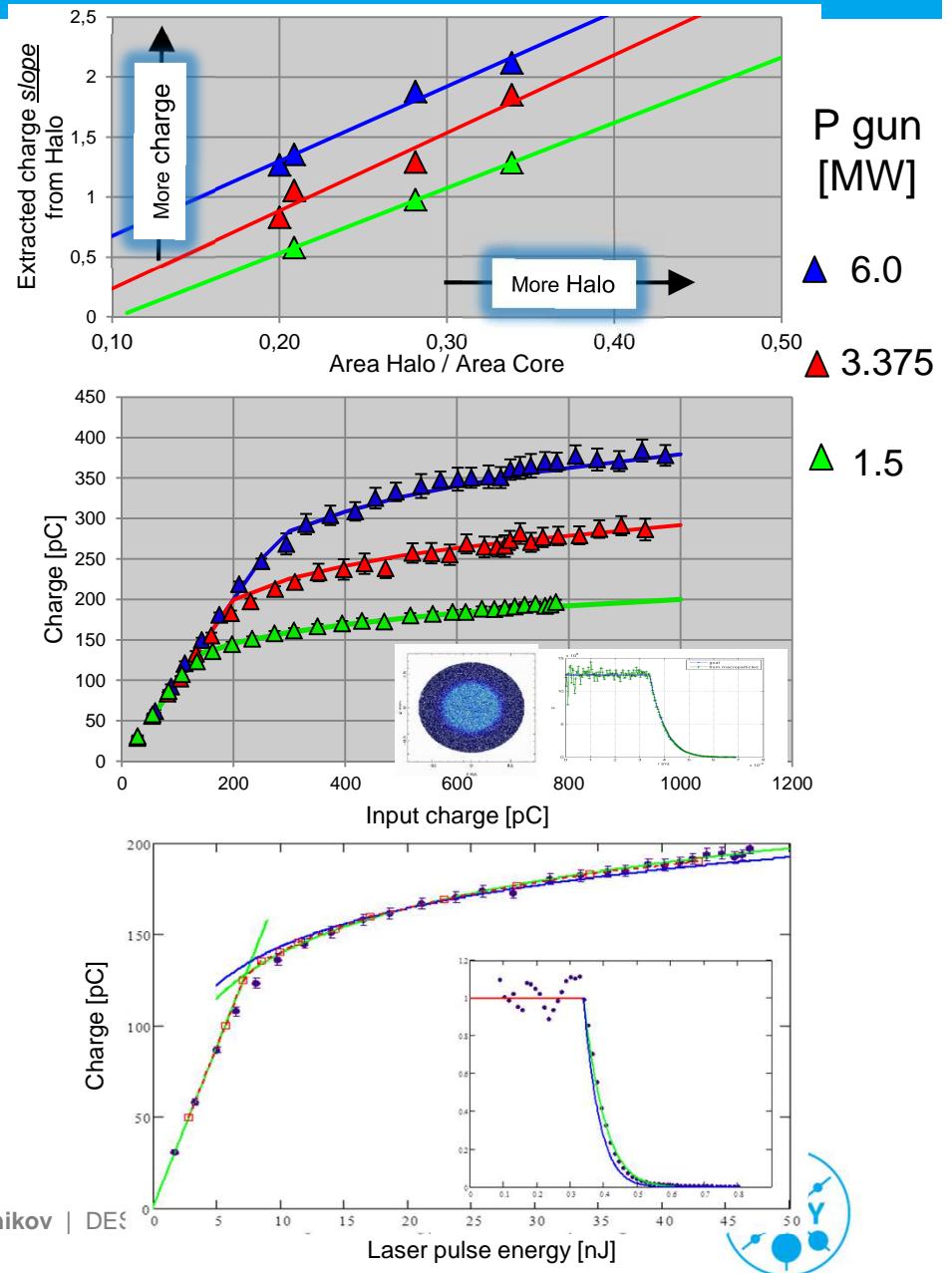


**Q vs Laser pulse energy SLOPE:  
Large slope = more charge -> more  
Halo**



# Conclusions:

- The relationship between the amount of halo in the measured laser radial distribution seems to be proportional to the amount of extracted charge in the saturated emission region.
  
- The measured charge vs laser pulse energy can be reproduced by ASTRA simulations when core + halo radial profiles are utilized as input distributions based on fits to actual laser radial profiles.
  
- Using M. Krasilnikov's model with the core radius and halo Gaussian  $\sigma_r$  found from the laser radial profile, and fitting only  $Q_{max}$ , one gets fairly good agreement with ASTRA and with experimental measurements in the saturated region



# BACKUP SLIDES

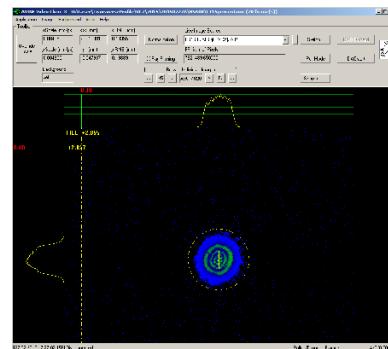
> BACKUP

> SLIDES

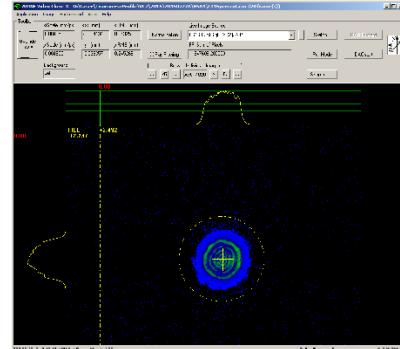


# The imaged laser spot on the virtual cathode plane shows larger halo (blue) / core for smaller beam diameters

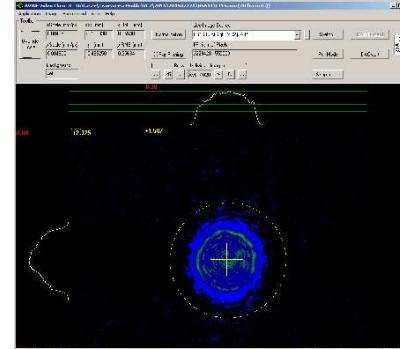
0.8 mm



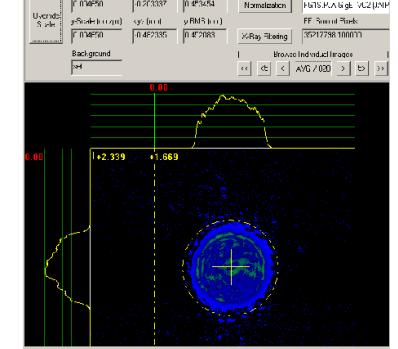
1.0 mm



1.4 mm



1.8mm



Beam  
diameter

Relative  
Laser  
Intensity

15%

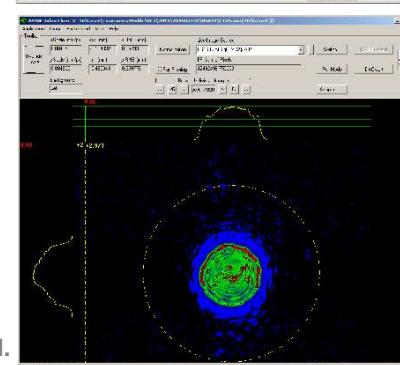
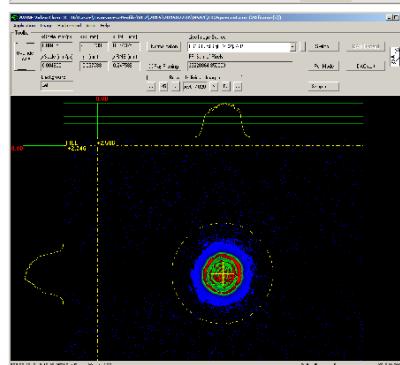
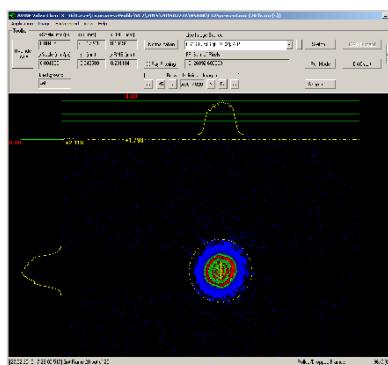
20%

25%



M.

H.

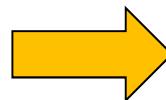
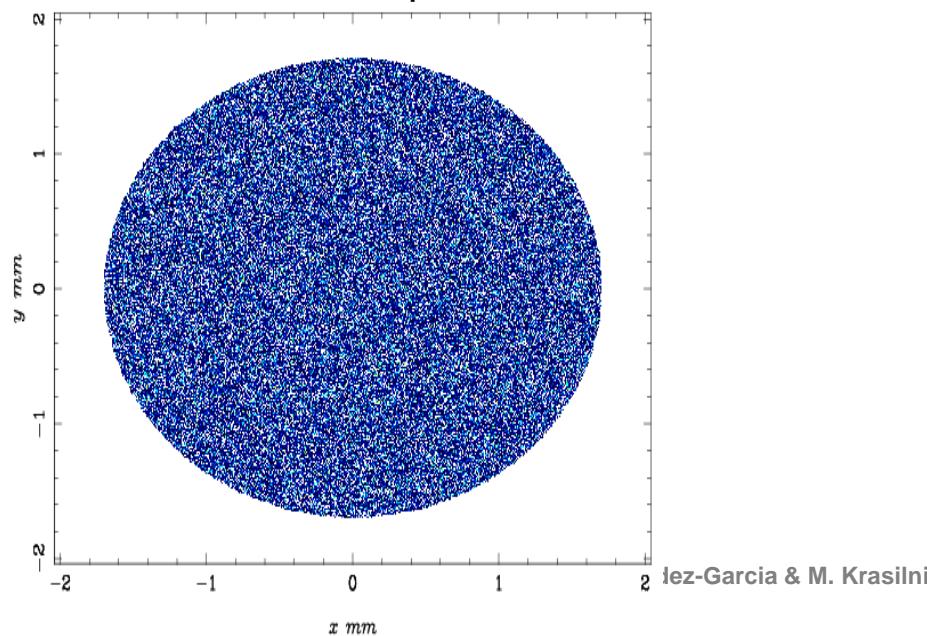


## Step 6: This is the last step in the procedure

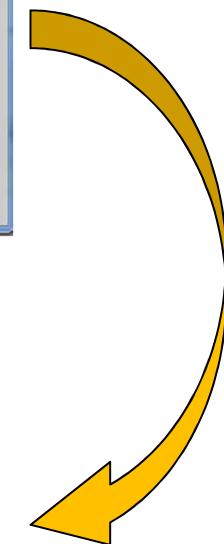
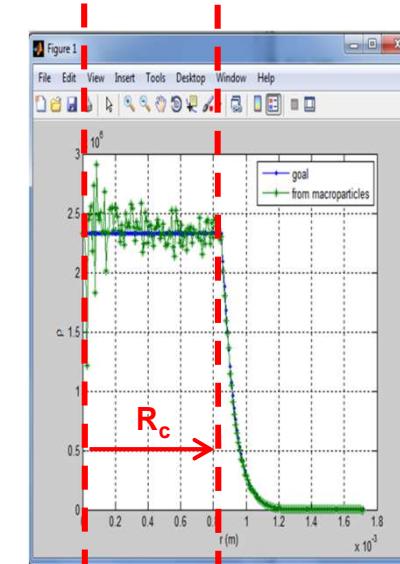
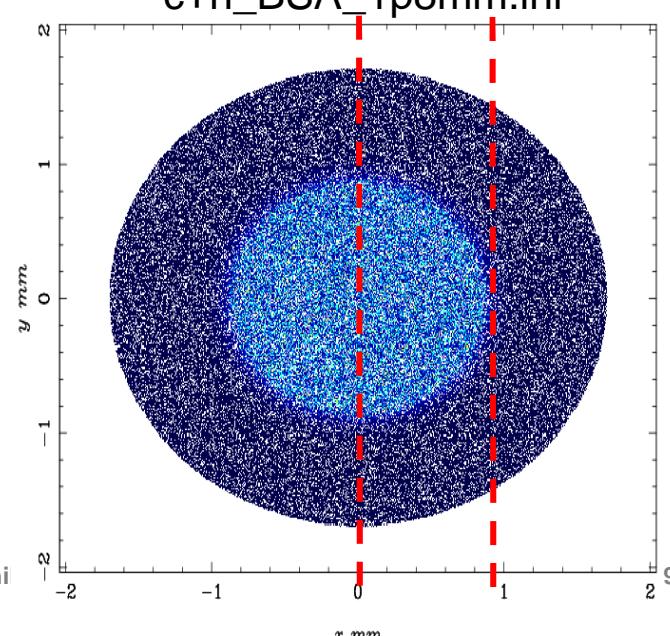
- > The script takes the uniform baseline distribution and makes a new distribution composed of a flat-top core with radius  $R_c$ , and a decaying Gaussian-like halo shifted by  $R_c$  and with  $\sigma_r$  by modification of the macroparticle charge



for\_c+h\_BSA\_1p8mm.ini

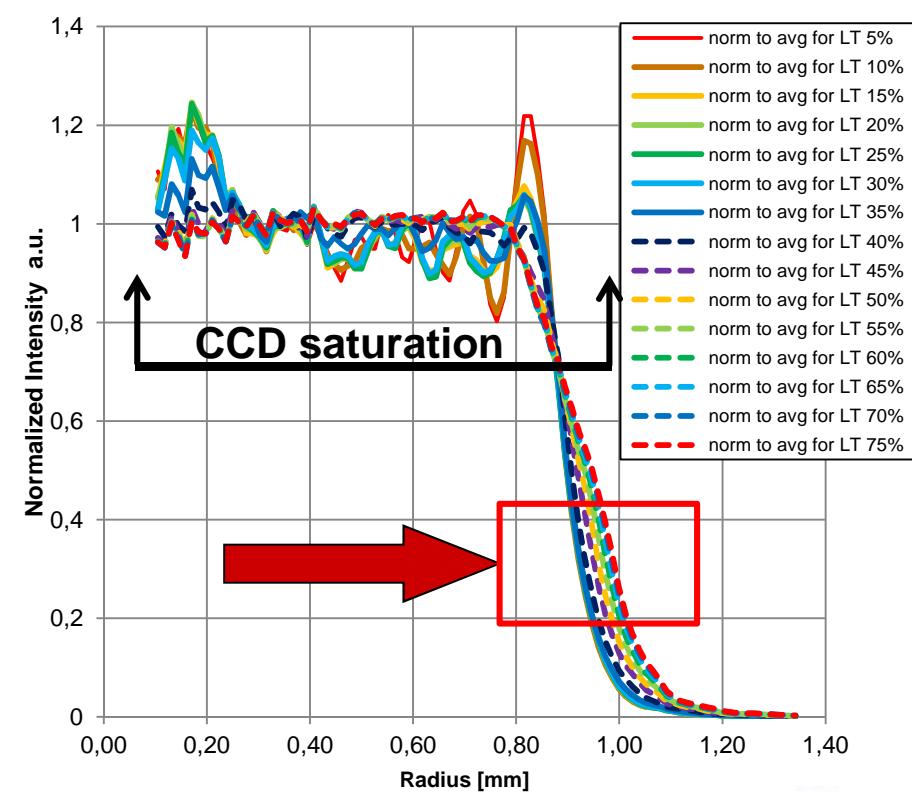
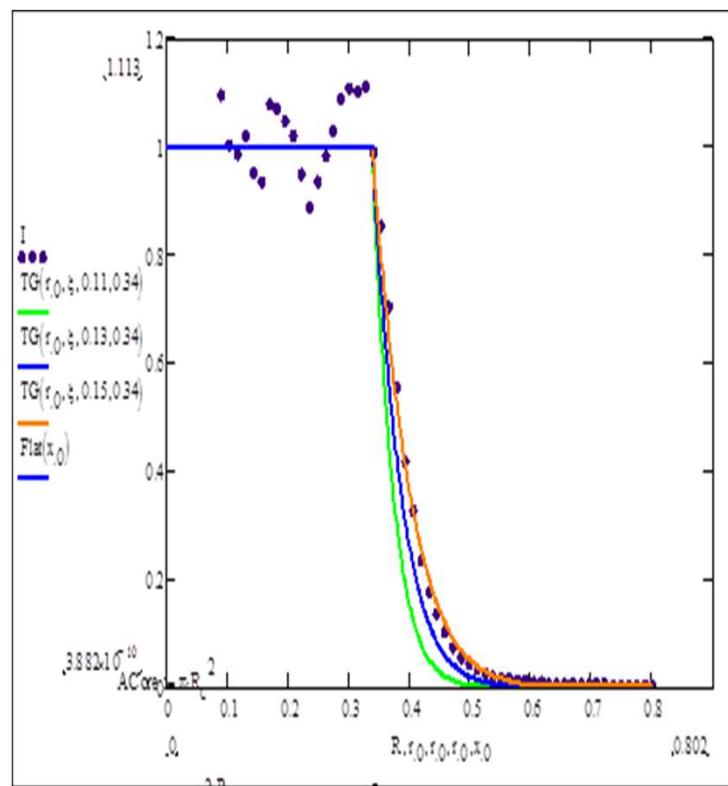


c+h\_BSA\_1p8mm.ini

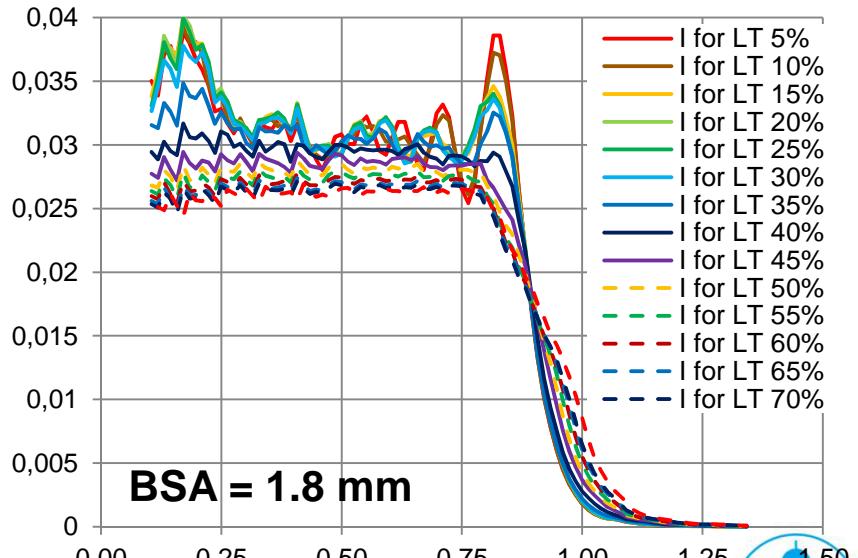
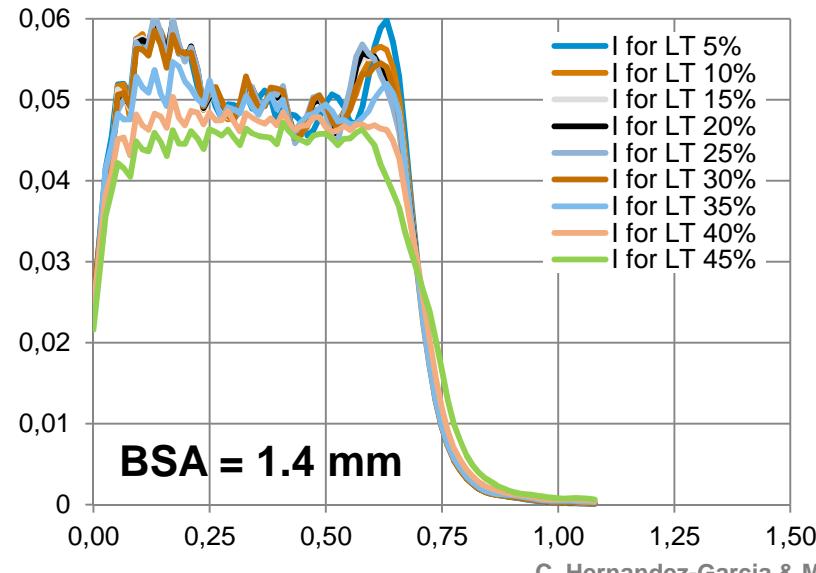
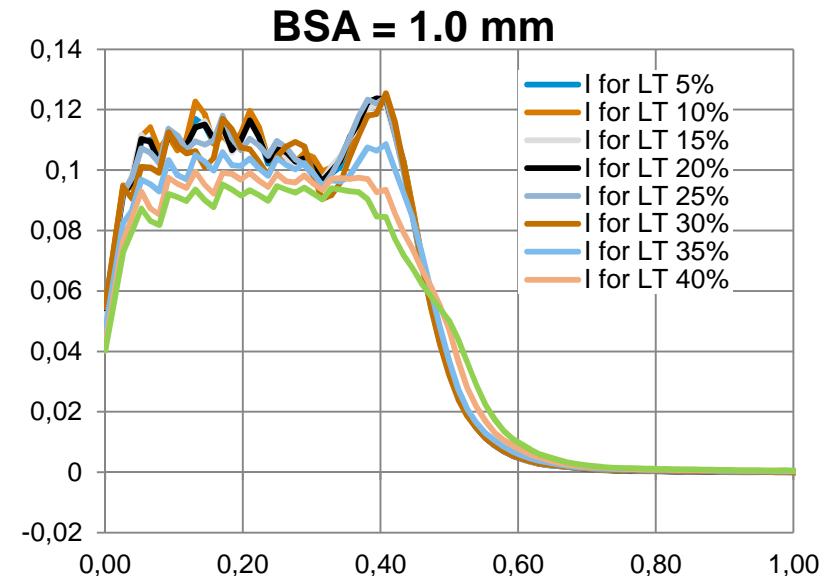
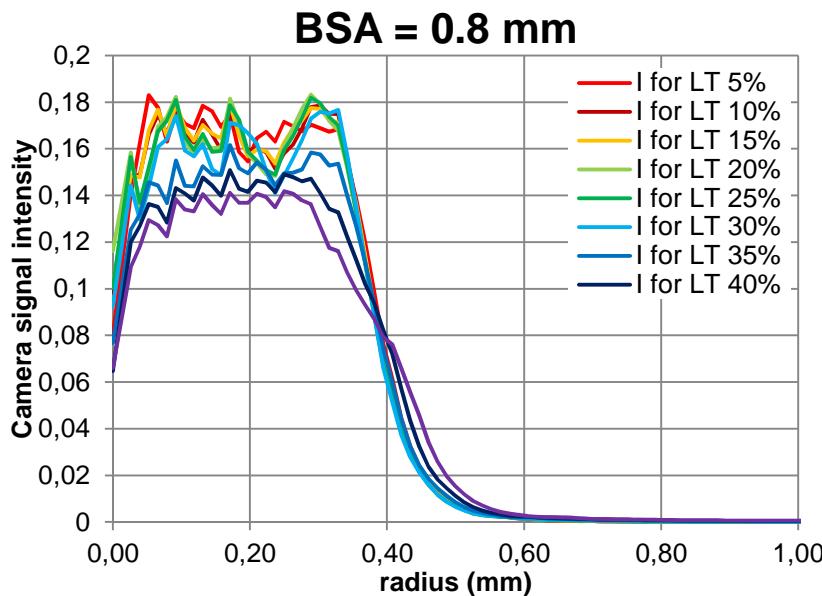


# CAUTION!!! Note that halo changes also with increased Laser Transmission (LT).

- This means that if the VC2 image is captured with low LT,  $R_c$  and  $\sigma_r$  are found fitting that laser radial profile
- HOWEVER often the emittance is measured with higher LT values, which are not VC2 captured, but that certainly changes the halo profile



# Laser radial profiles extracted from measured transverse distributions (integrated over $\phi$ ) for various beam diameters



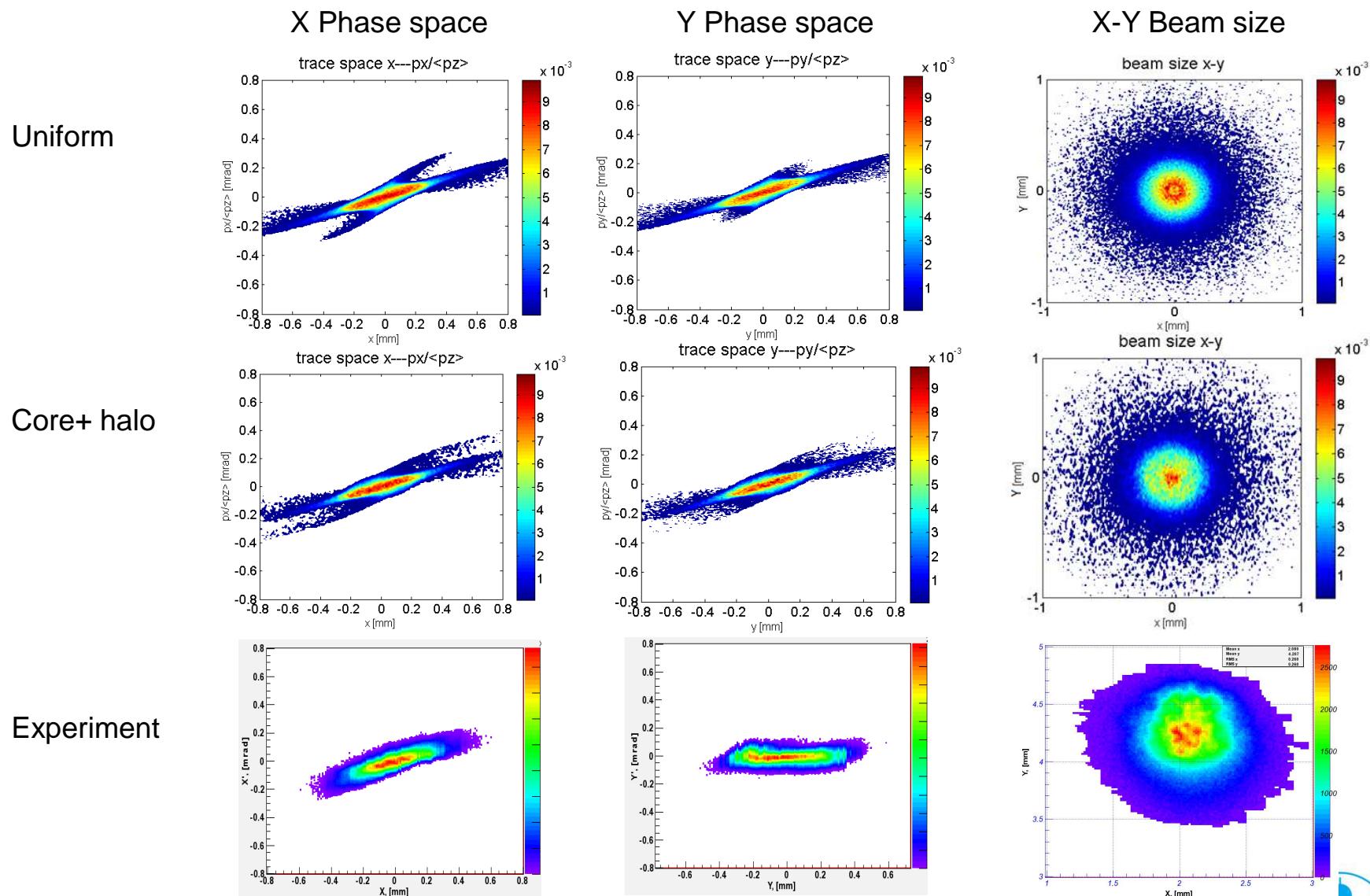
# Size (M. Gross)

BSA size [mm]*	Cathode camera			VC2			Size ratios Cathode/VC2			BSA/VC2 xyRMS
	xRMS	yRMS	xyRMS	xRMS	yRMS	xyRMS	x	y	xy	
0.08	0.066	0.065	0.065	0.064	0.063	0.063	1.03	1.03	1.03	1.26
0.16	0.056	0.068	0.062	0.055	0.062	0.058	1.02	1.10	1.06	2.74
0.22	0.072	0.085	0.078	0.067	0.078	0.072	1.07	1.09	1.08	3.04
0.33	0.095	0.107	0.101	0.091	0.106	0.098	1.04	1.01	1.03	3.36
0.75	0.202	0.21	0.206	0.203	0.205	0.204	1.00	1.02	1.01	3.68
1.13	0.3	0.301	0.300	0.306	0.294	0.300	0.98	1.02	1.00	3.77
1.52	0.413	0.402	0.407	0.409	0.4	0.404	1.01	1.01	1.01	3.76
1.98	0.511	0.499	0.505	0.504	0.493	0.498	1.01	1.01	1.01	3.97
2.37	0.624	0.604	0.614	0.618	0.588	0.603	1.01	1.03	1.02	3.93
3.5	0.843	0.817	0.830	0.836	0.791	0.813	1.01	1.03	1.02	4.30

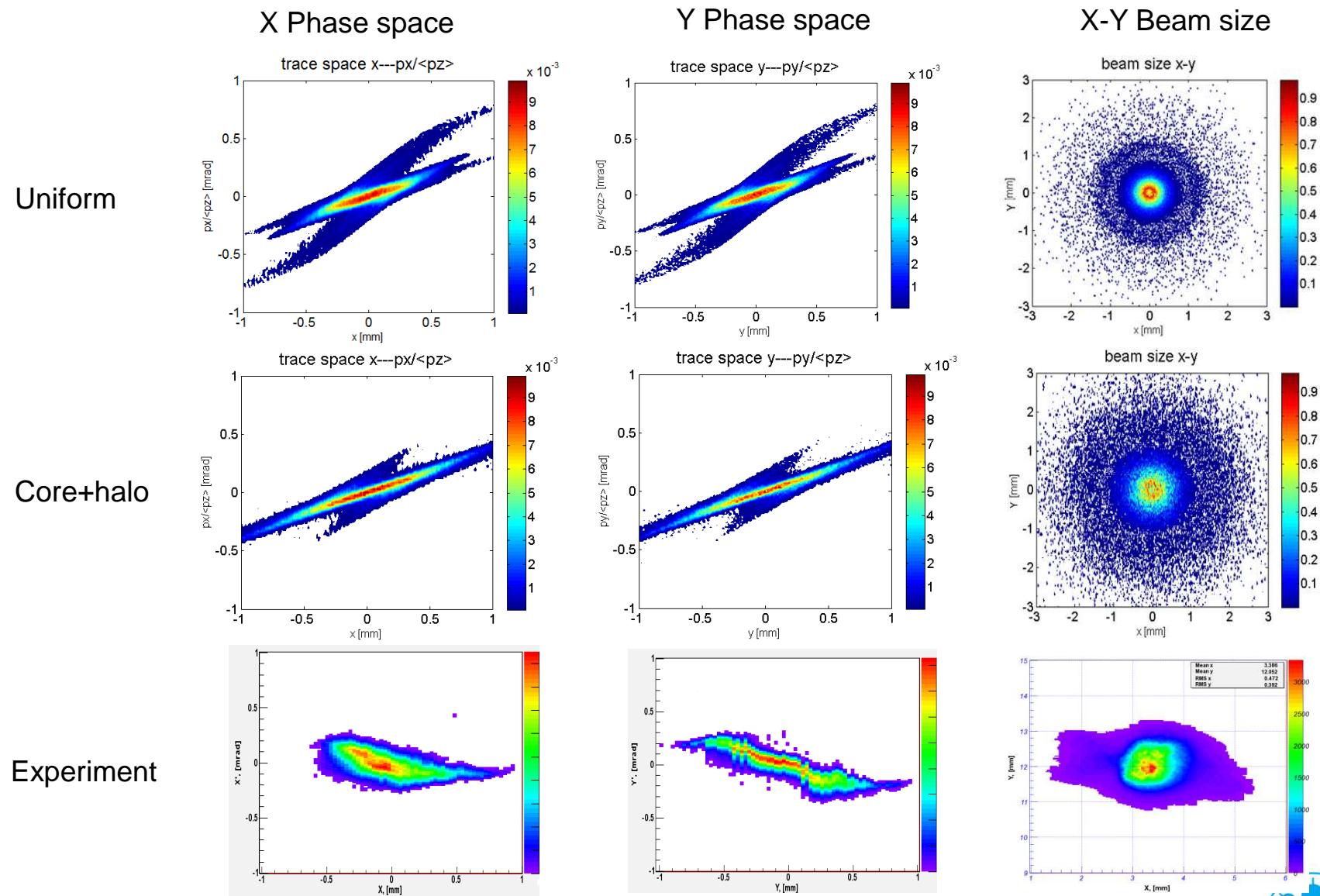
Average: 1.02 1.04 1.03

- Laser beam a little bit bigger on photocathode (1 to 3%)
- \*BSA (calibration?) – Ratio BSA size to xyRMS about 4 for flat tops, reduced for smaller sizes
  - No flat top for small BSA

# Phase space BSA = 0.9 mm, 100 pC, at EMSY1 (Q. Zhao)

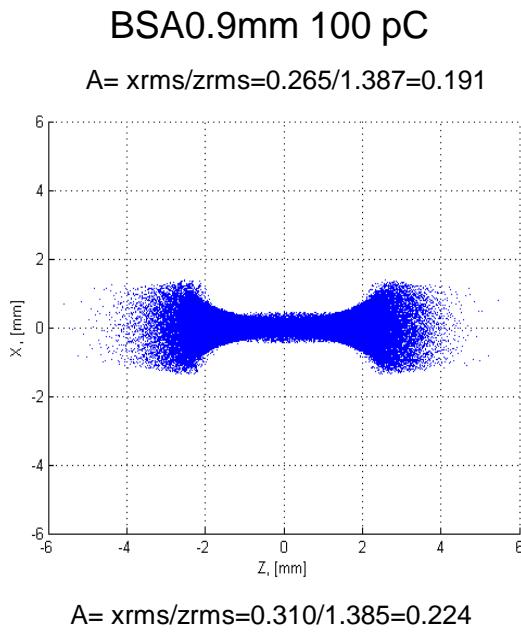


# Phase space BSA = 1.6 mm, 1 nC, at EMSY1 (Q. Zhao)

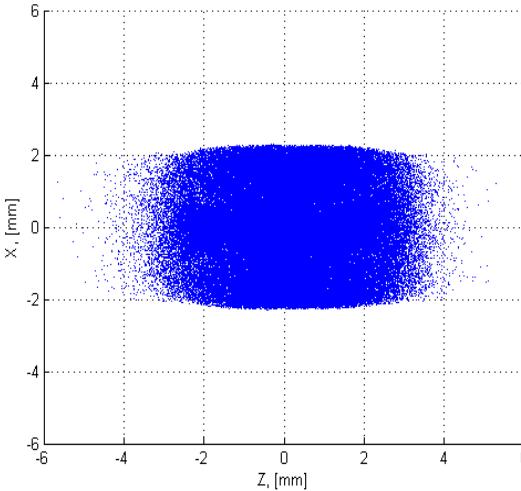


# Particle distribution x-z, Z at EMSY1 (Q. Zhao)

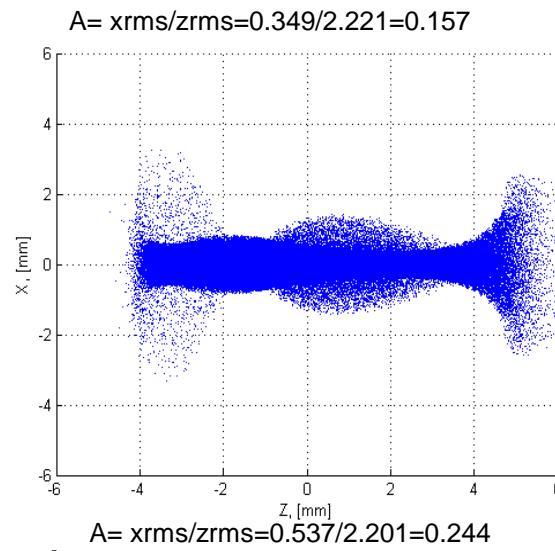
Uniform



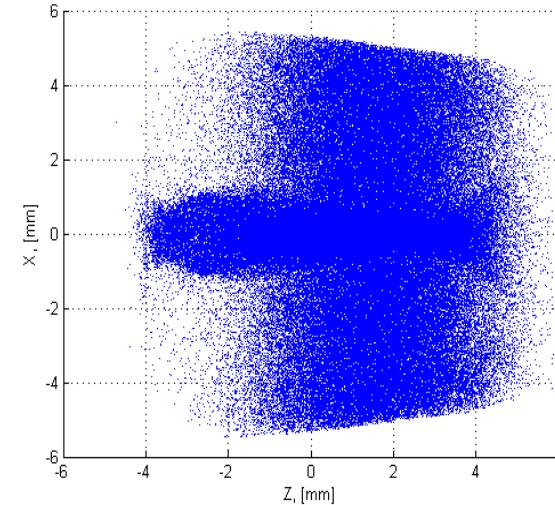
Core+halo



BSA1.6mm 1 nC



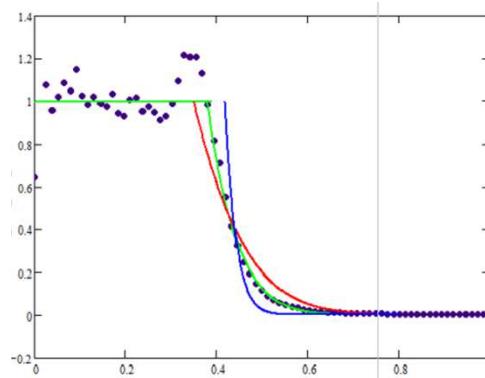
A=  $x_{rms}/z_{rms}=0.537/2.201=0.244$



# Laser halo intensity distribution effect to emittance (Q. Zhao)

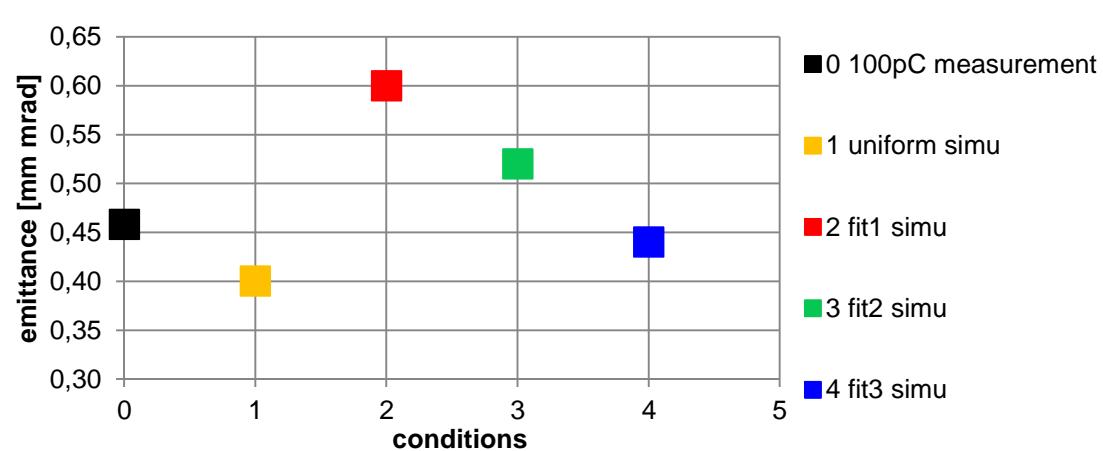
- The laser on VC2(virtual cathode) data recorded with low Laser transmission (LT)~18%, but for high bunch Charge, the LT will be much higher. So from above simulation, the halo fit from data On VC2 is not considered the halo intensity distribution.

100pC BSA= 0.9mm

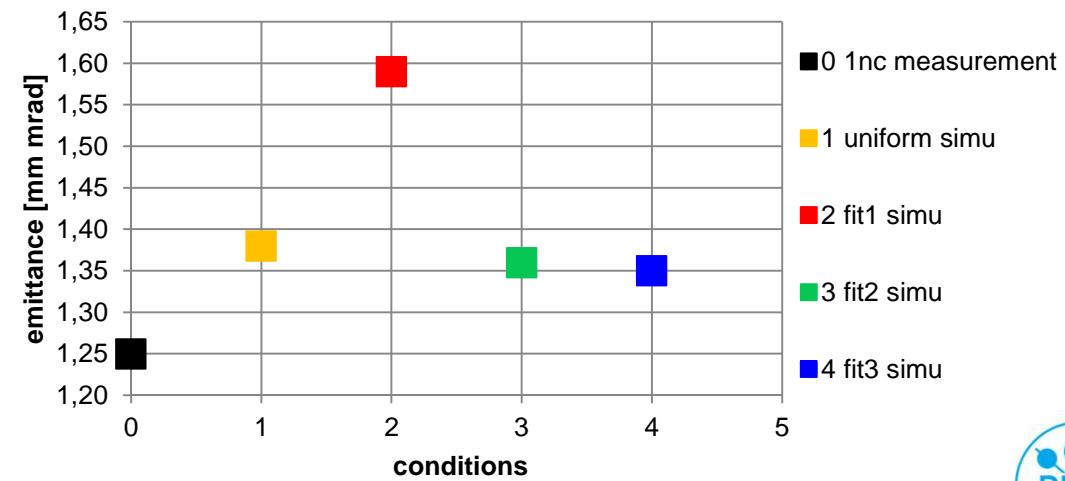
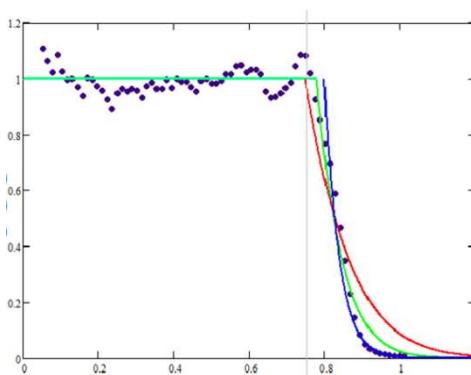


conditions: 0-measurement, 1-uniform simu, 2-fit1 simu

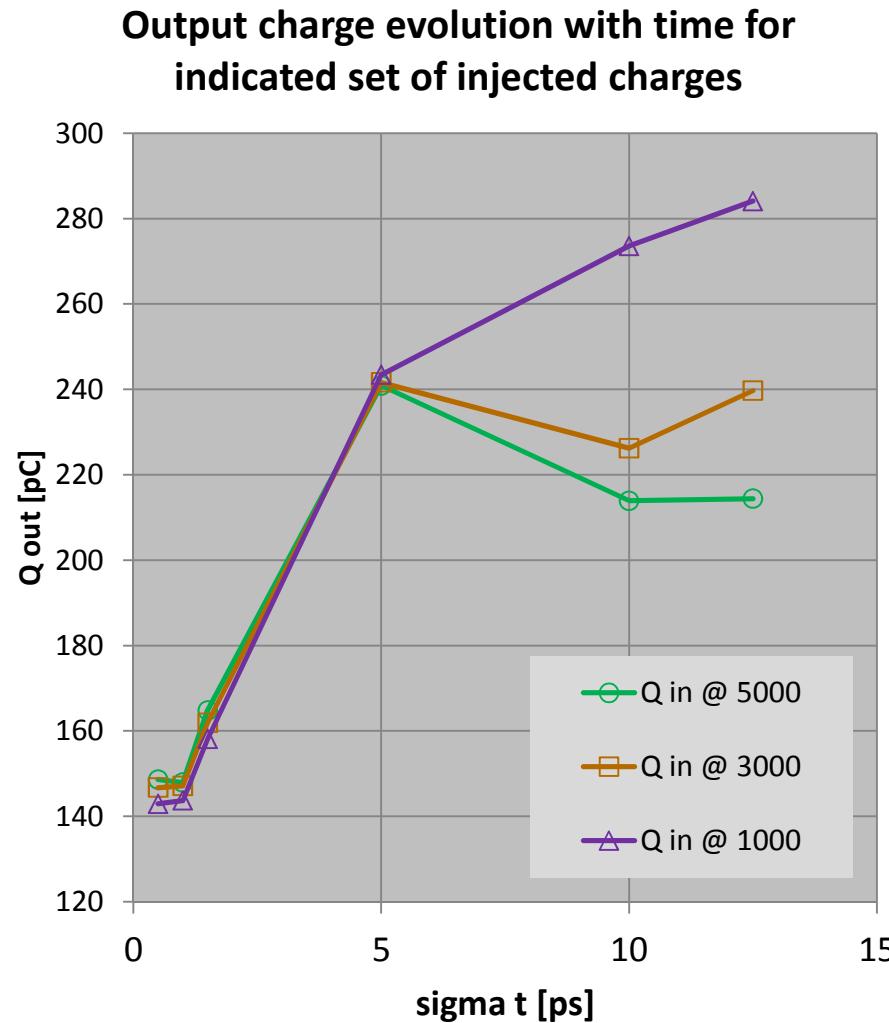
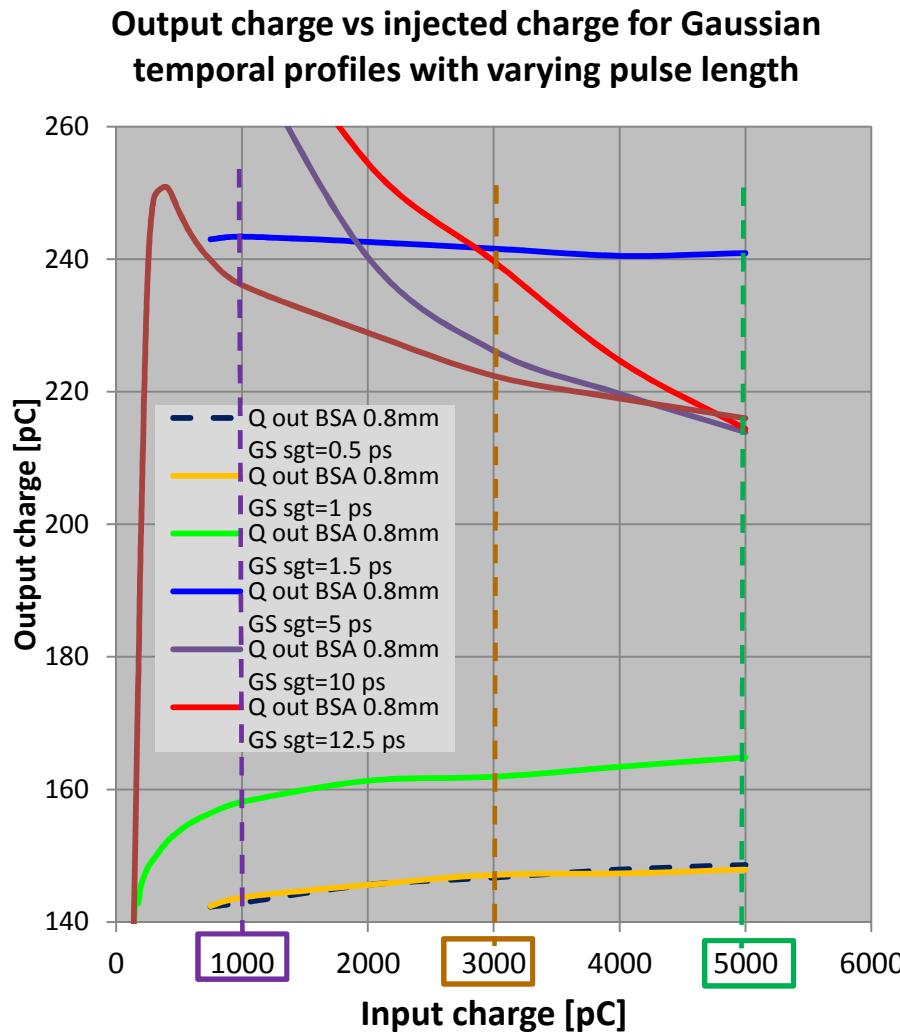
3-fit2 simu, 4-fit3 simu



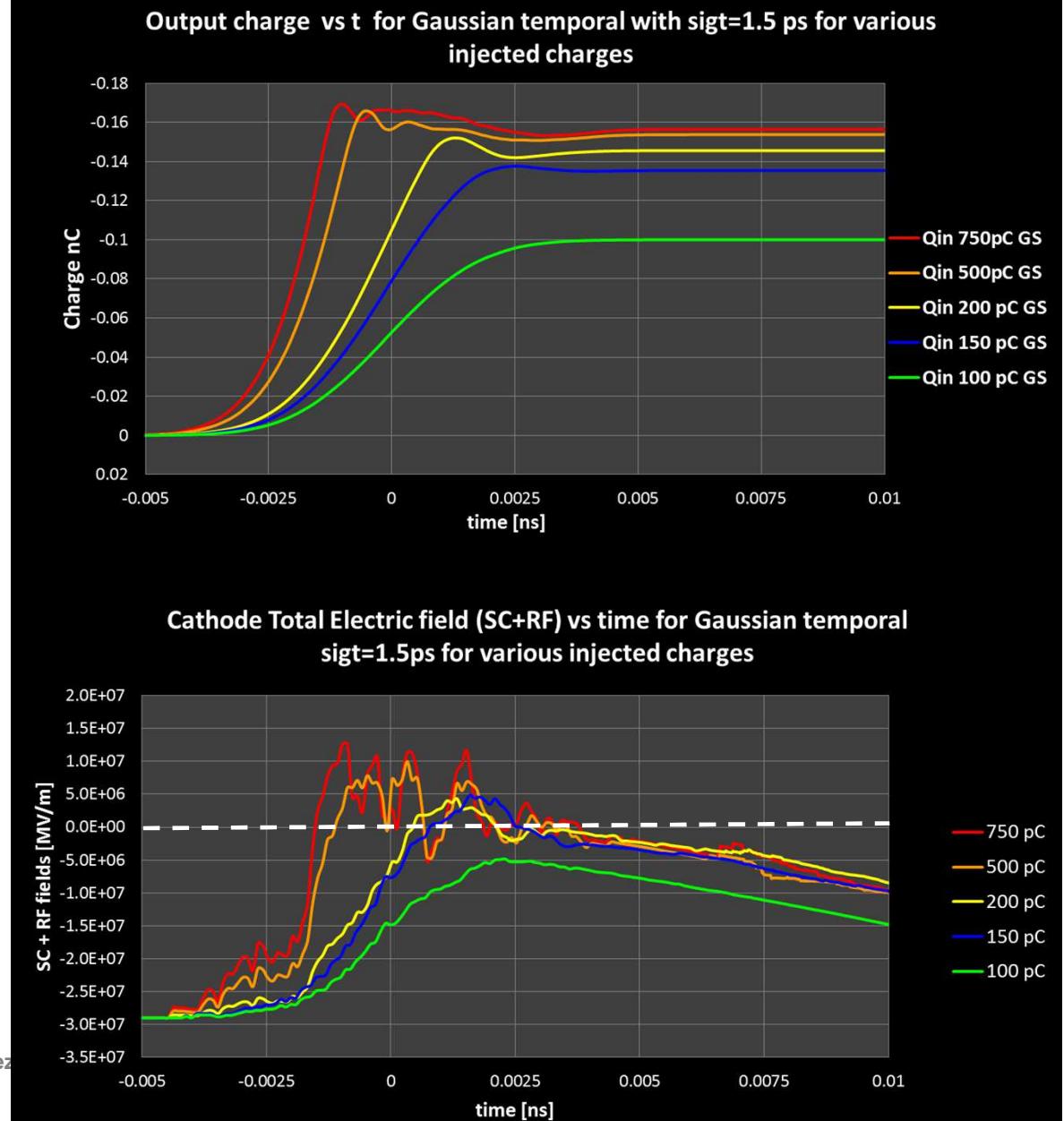
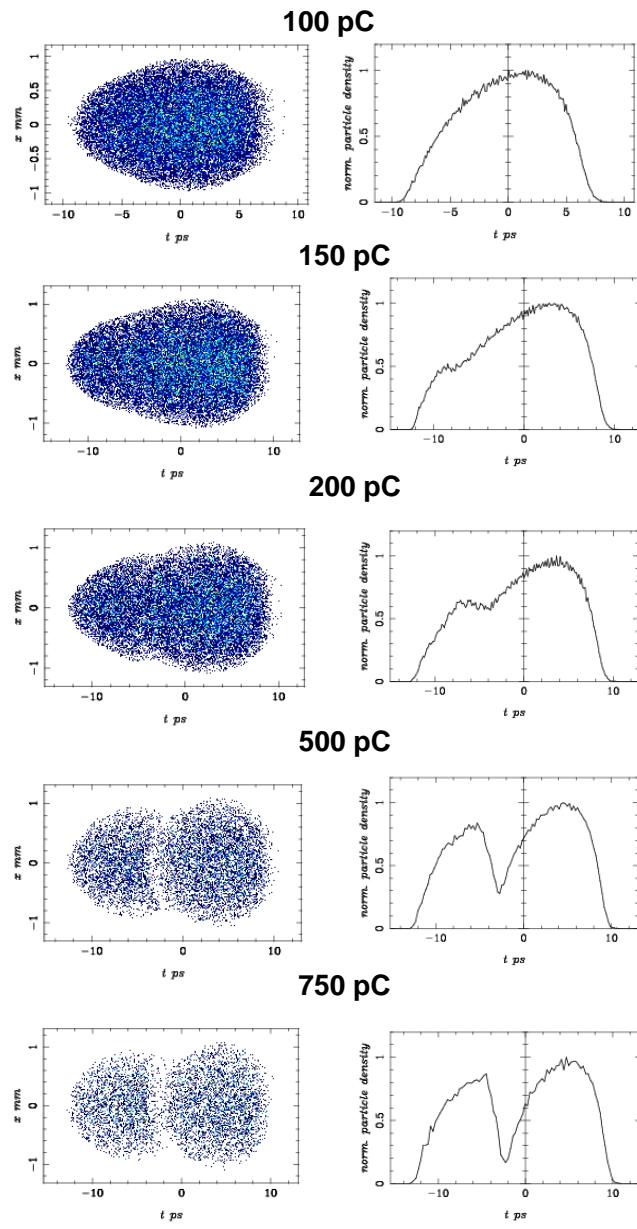
1 nC BSA= 1.6mm



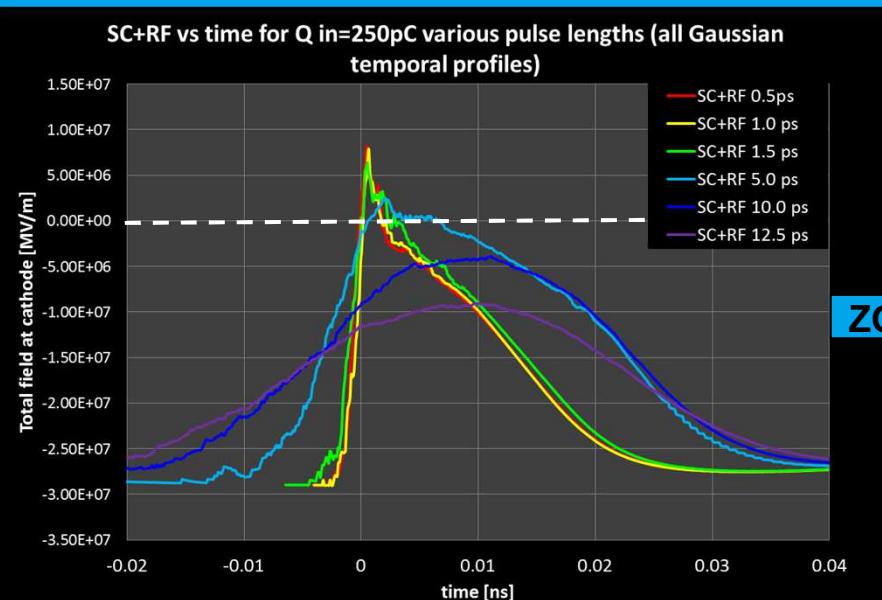
# The slope of the output charge depends on the initial bunch length



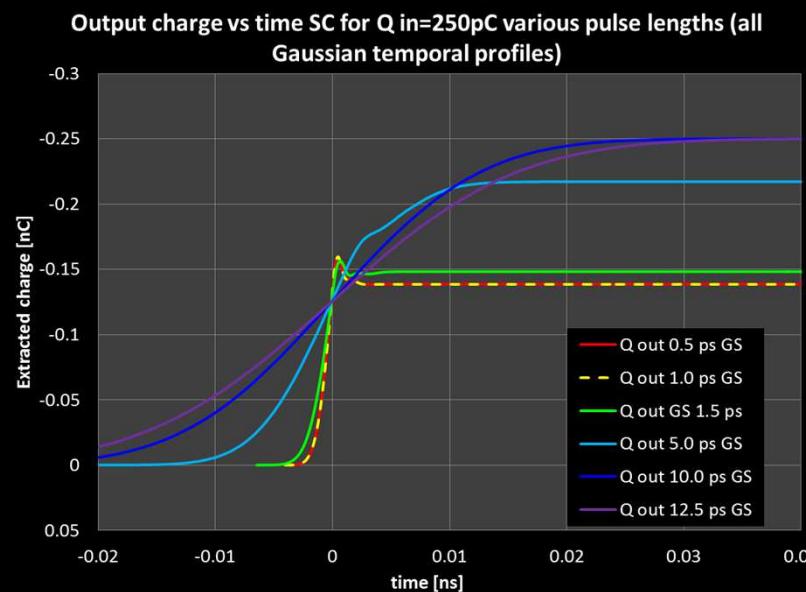
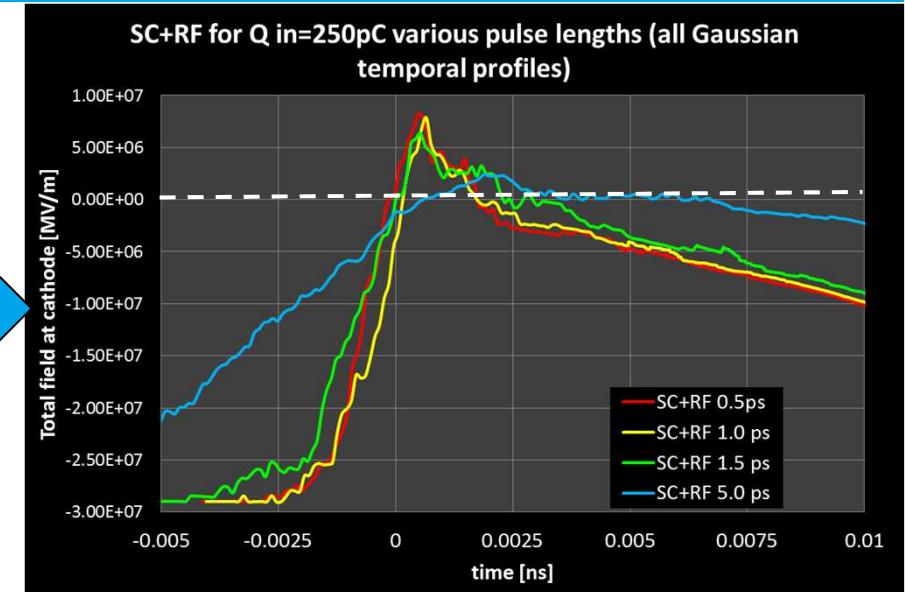
# More charge can be extracted as the injected current is increased due to the formation of the virtual cathode



The formation of the virtual cathode is characterized by oscillations in the space charge field at the surface. As the particles at the head of the bunch move away from the surface, the charge density drops increasing the field at the cathode which in turn allows for more charge to be extracted. The process repeats in an oscillatory fashion.

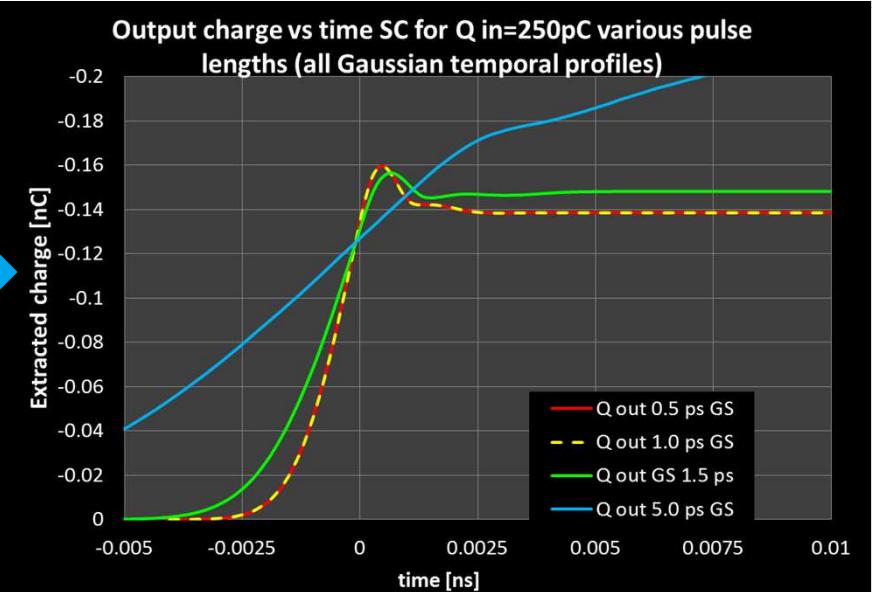


ZOOM IN

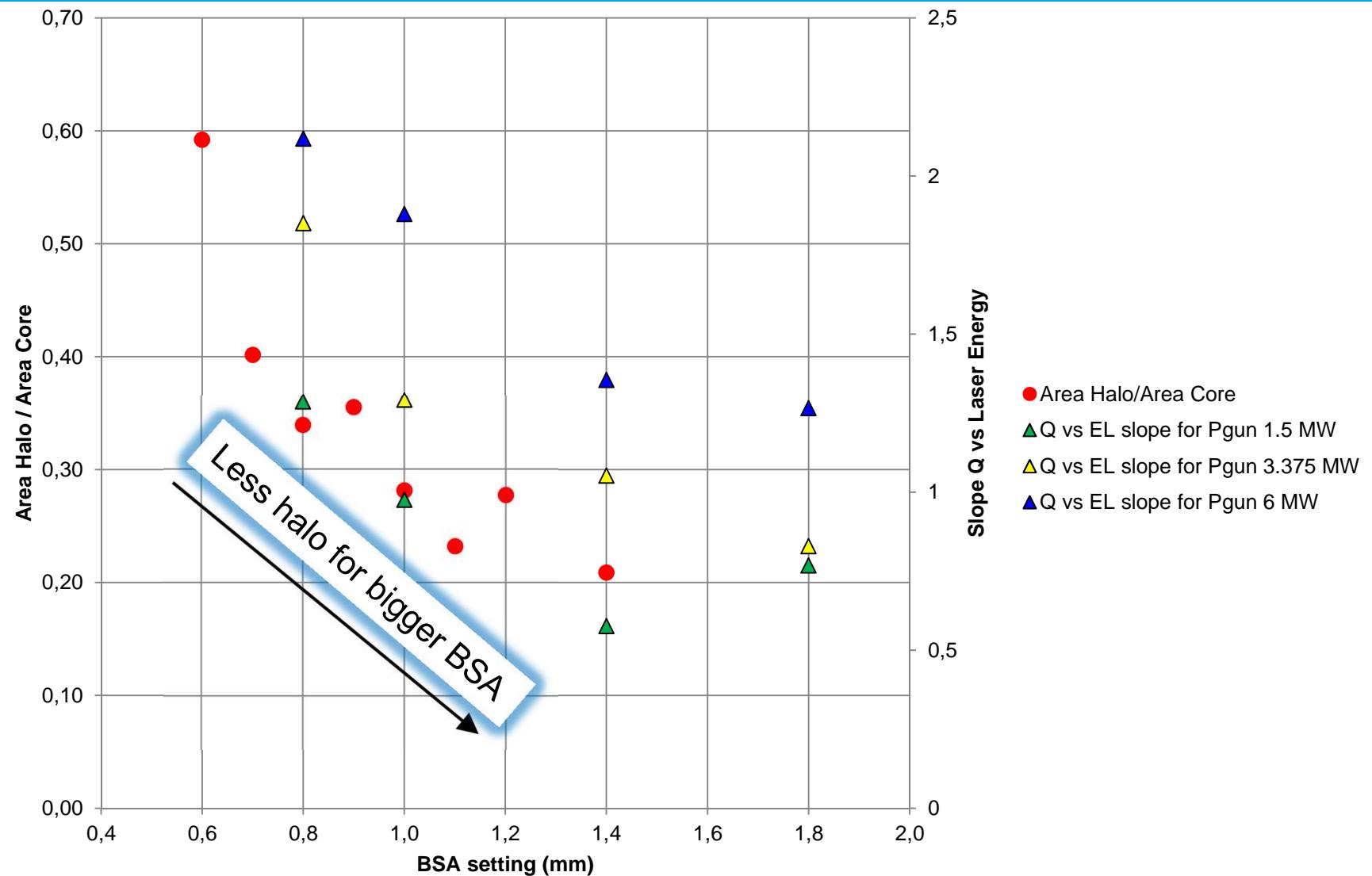


ZOOM IN

Krasilnikov

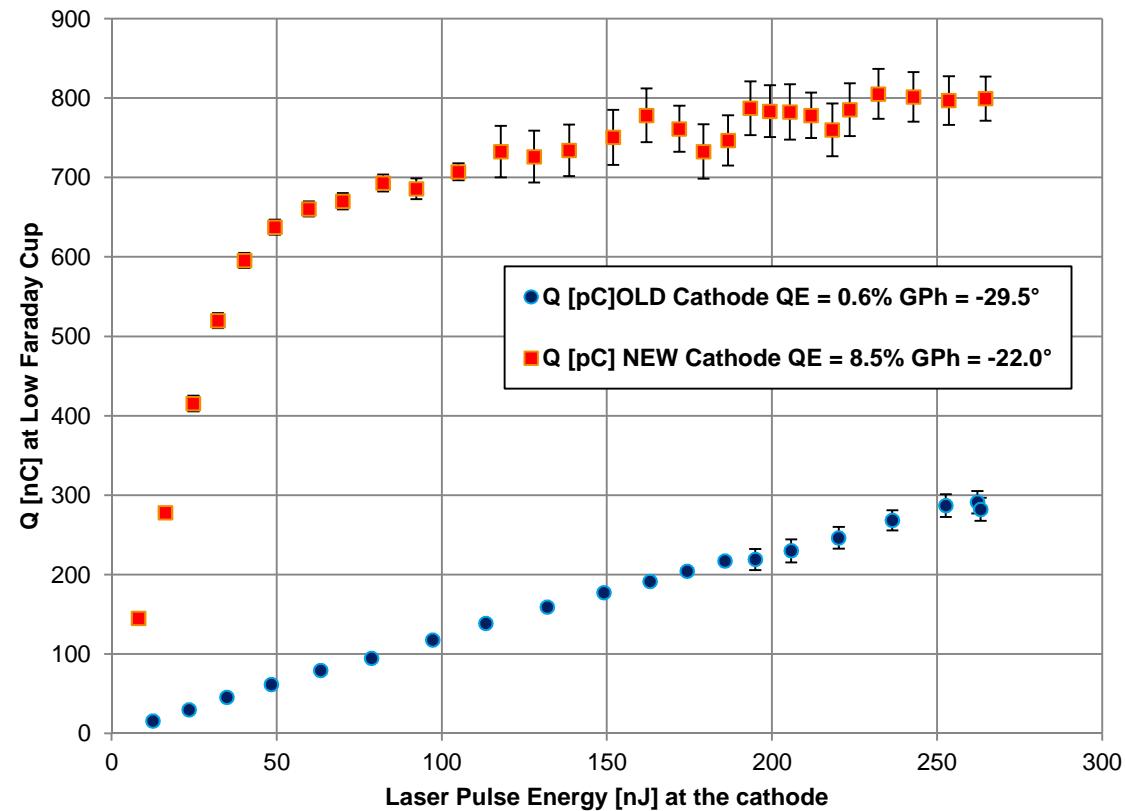


## CONCLUSION: Behavior of Halo as a function of BSA is consistent for both, laser radial profile measurements and beam extracted charge



## In addition, QE scans for old and new cathodes are drastically different.

- How to reproduce these measurements in simulations if machine parameters are the same for both?
- $P_{\text{gun}} = 1.5 \text{ MW}$  at  $\Phi_0 = 90$  and  $\text{BSA} = 1.8 \text{ mm}$



# Measurements taken on Feb-Mar 2015 consists of 4 BSA settings, each with 9 different Gun power and phase settings = 36 QE scans

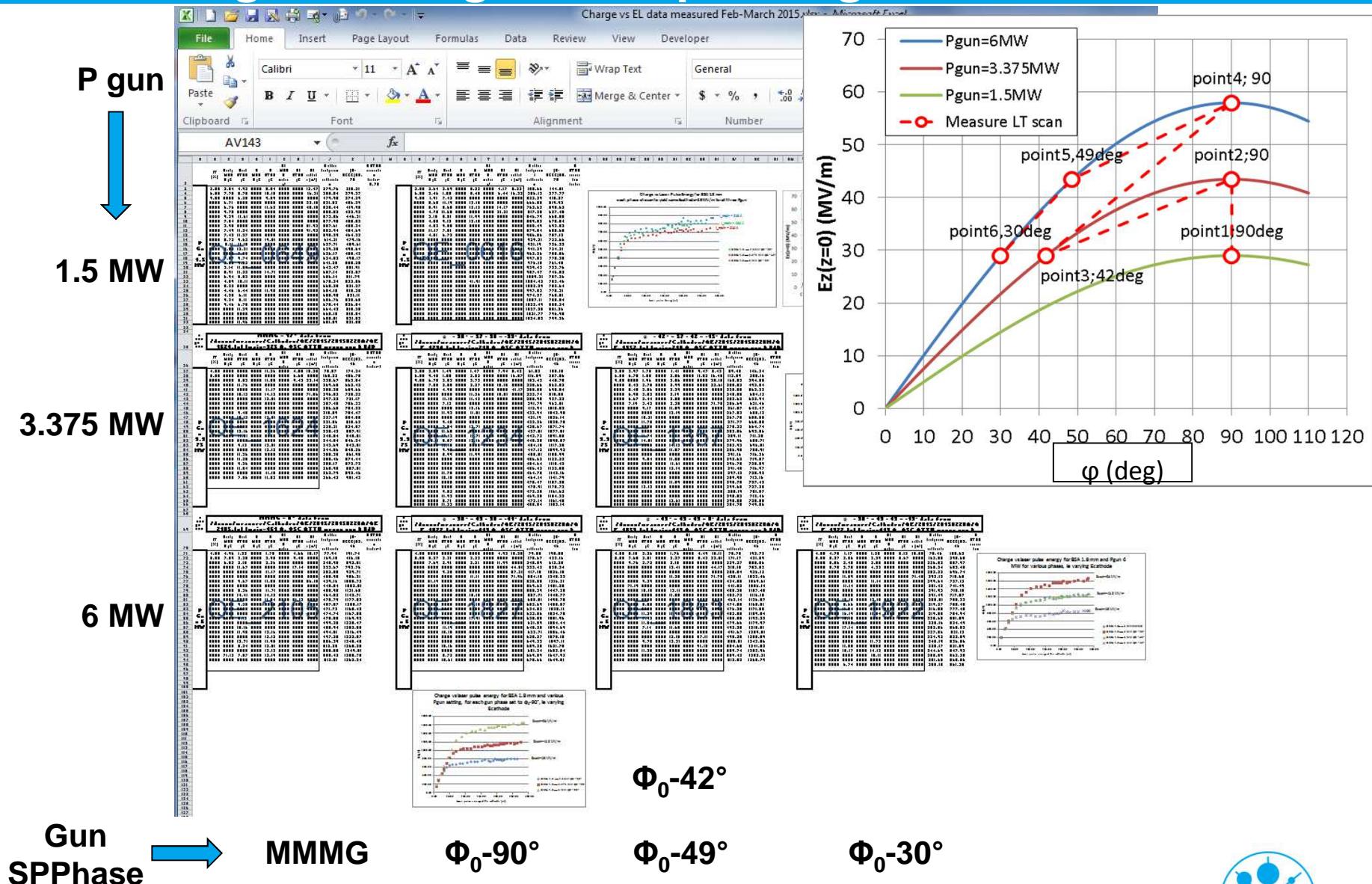
		BSA 1.8 mm	BSA 1.4 mm	BSA 1.0 mm	BSA 0.8 mm
	VC2 data taken on:	SHIFT:20150227N Comments:2015028M	SHIFT:20150227A Comments:_____	SHIFT:20150227A Comments:_____	SHIFT:20150227A Comments:_____
P Gun (MW)	Gun SP Phase				
		Charge vs Laser Energy taken on: Comments:10db scope att in	Charge vs Laser Energy taken on: Comments:_____	Charge vs Laser Energy taken on: Comments:_____	Charge vs Laser Energy taken on: Comments:_____
1.5	$\phi_0$ -90°	SHIFT:20150227N Comments:10db scope att in	SHIFT:20150227A Comments:_____	SHIFT:20150227A Comments:_____	SHIFT:20150227N Comments:_____
1.5	MMMG	SHIFT:20150227N Comments:10db scope att in	SHIFT:20150227A Comments:_____	SHIFT:20150227A Comments:_____	SHIFT:20150227N Comments:#1???
3.375	$\phi_0$ -90°	SHIFT:20150228M Comments:10db scope att in	SHIFT:20150301M Comments:10db scope att in	SHIFT:20150301A Comments:_____	SHIFT:20150302M Comments:_____
3.375	$\phi_0$ -42°	SHIFT:20150228M Comments:10db scope att in	SHIFT:20150301M Comments: Remeasured	SHIFT:20150301A Comments:_____	SHIFT:20150302M Comments: _same as MMMG phase
3.375	MMMG	SHIFT:20150228M Comments:10db scope att in	SHIFT:20150301M Comments: Remeasured	SHIFT:20150301A Comments:_____	SHIFT:20150302M Comments:_____
6	$\phi_0$ -90°	SHIFT:20150228A Comments:10db scope att in	SHIFT:20150228A Comments:10db scope att in	SHIFT:20150301A Comments:_____	SHIFT:20150301N Comments:_____
6	$\phi_0$ -49°	SHIFT:20150228A Comments:10db scope att in	SHIFT:20150228A Comments:10db scope att in	SHIFT:20150301A Comments:_____	SHIFT:20150301N Comments:_____
6	$\phi_0$ -30°	SHIFT:20150228A Comments:10db scope att in	SHIFT:20150228A+N Comments:10db scope att in	SHIFT:20150301A+N Comments:_____	SHIFT:_____ Comments: _same as MMMG phase
6	MMMG	SHIFT:20150228A Comments:10db scope att in	SHIFT:20150228A+N Comments:10db scope att in, FC + ICT	SHIFT:20150301A+N Comments: Data not taken	SHIFT:20150301N Comments:_____

In addition, Virtual Cathode measurements were taken for each BSA as a function of LT.

Data for the old cathode (QE = 0.6%) is also available for the set of parameters indicated by this color



**For each BSA, a total of 9 QE scans were taken at the indicated gun settings corresponding to different Ecath**



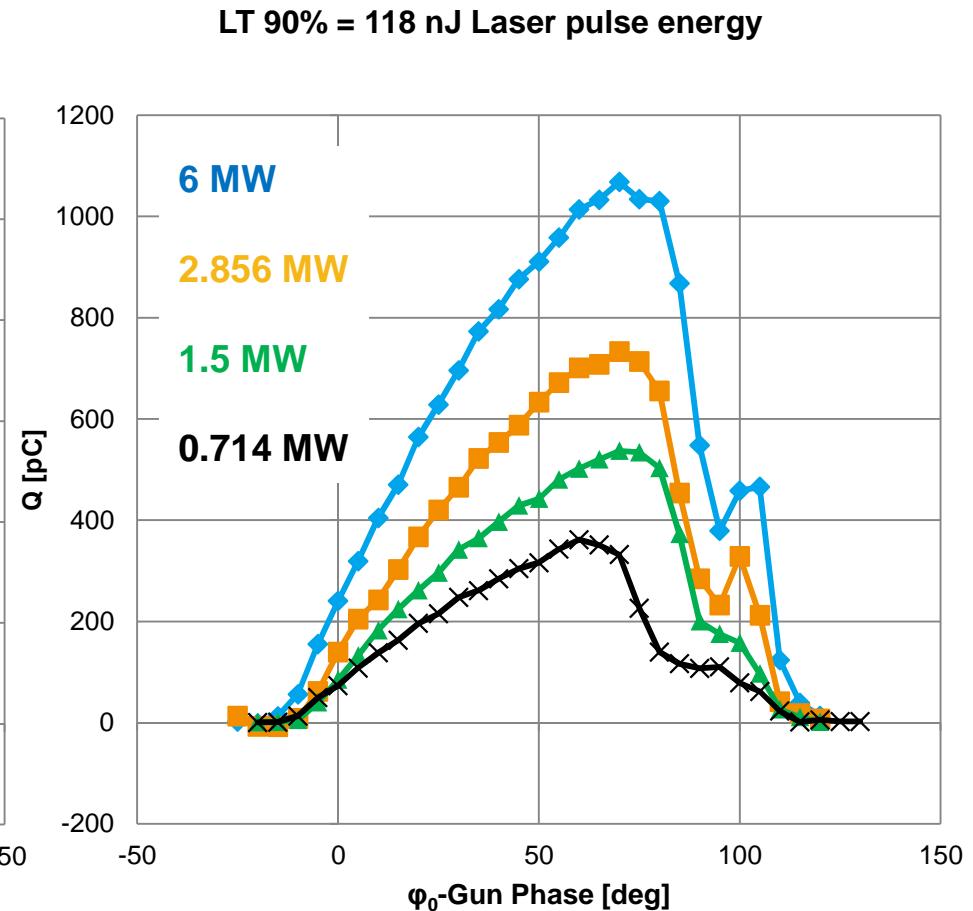
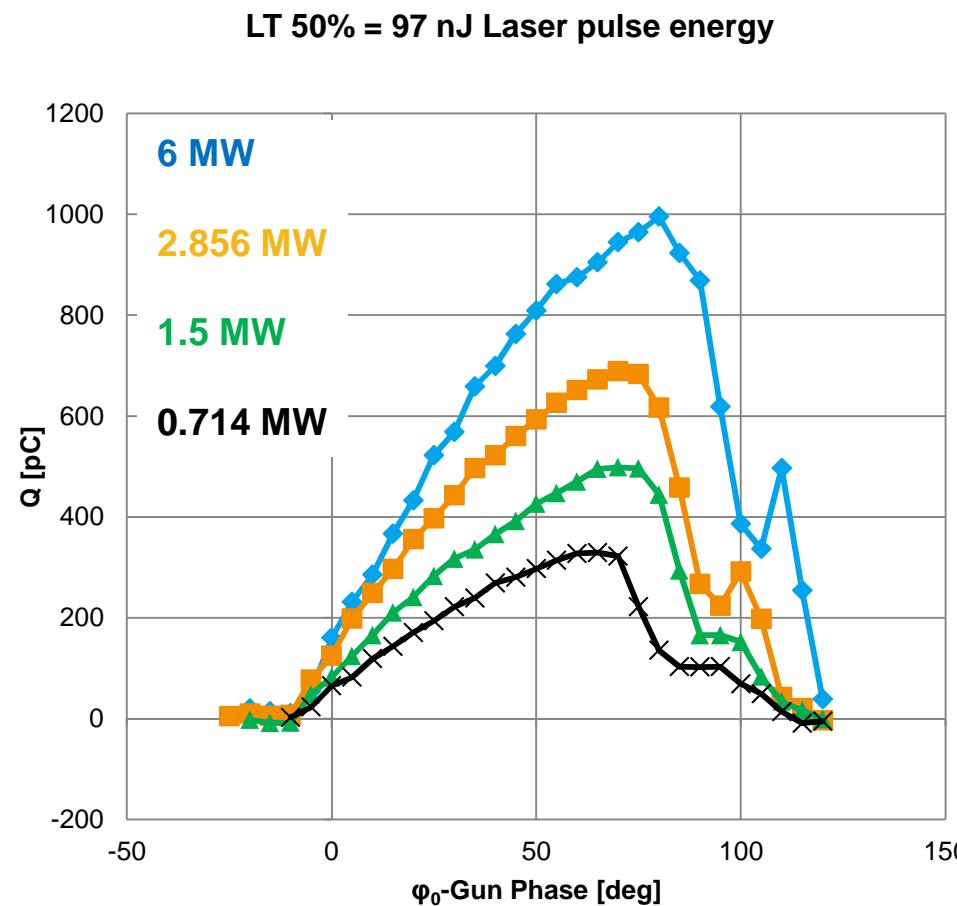
# Each QE scan was captured and recorded in Excel with the information shown below

Q BSA 1.8 mm 1.5MW $\phi_0$ - 90°	$\phi_0 - 90^\circ = 68 - 90 = -22^\circ$ data from /doocs/measure/Cathodes/QE/2015/20150227N/QE_0616.txt Imain=130 A, OSC ATTN wrong pos & 10dB selection in script-> corr factor =0.78
--	---

LT (%)	Backg MEAN pC	Back STDV pC	Q MEAN pC	Q STDV pC	EL MEAN meter pJ	EL STDV pC	EL cathode [nJ]	Q after background subtraction	(Q-BCKG)X0.78	
	3.00	2.64	3.69	188.30	5.33	385.06	4.17	8.22	185.66	144.81
P Gun 1.5 MW	6.00	3.46	1.50	359.58	5.40	764.33	6.44	16.32	356.12	277.77
	9.00	1.91	7.43	534.30	12.70	1161.14	10.47	24.79	532.39	415.27
	12.00	8.60	11.19	675.18	12.15	1509.82	10.40	32.23	666.58	519.93
	15.00	5.91	8.65	769.55	12.13	1884.71	14.17	40.24	763.63	595.63
	18.00	4.75	11.65	822.04	12.37	2316.20	21.31	49.45	817.28	637.48
	21.00	2.10	8.01	848.89	11.99	2798.70	23.30	59.75	846.79	660.50
	24.00	4.08	9.13	863.11	13.10	3279.80	26.51	70.02	859.03	670.04
	27.00	4.53	9.80	893.02	13.85	3851.70	22.43	82.23	888.49	693.02
	30.00	11.17	7.01	890.20	16.79	4324.20	29.82	92.32	879.04	685.65
	33.00	4.51	6.72	911.07	13.83	4921.10	35.36	105.06	906.56	707.12
	36.00	159.76	54.62	1099.07	41.65	5521.20	36.08	117.87	939.31	732.66
	39.00	176.90	34.73	1108.09	42.00	5994.40	48.67	127.97	931.19	726.33
	42.00	182.62	33.67	1123.91	41.76	6490.90	42.29	138.57	941.29	734.21
	45.00	171.58	21.54	1133.84	44.40	7116.10	46.45	151.92	962.26	750.56
	48.00	142.07	39.66	1139.89	43.53	7588.60	56.01	162.01	997.82	778.30
	51.00	159.63	45.41	1135.79	37.17	8054.60	51.24	171.95	976.15	761.40
	54.00	192.60	23.88	1132.03	43.83	8396.60	55.44	179.26	939.43	732.76
	57.00	181.96	33.29	1139.43	40.63	8745.80	55.16	186.71	957.47	746.83
	60.00	152.50	22.02	1161.81	43.45	9062.70	61.44	193.48	1009.31	787.26
	63.00	157.17	62.16	1161.60	41.91	9338.90	57.80	199.37	1004.43	783.46
	66.00	157.14	37.52	1160.53	44.72	9623.00	56.31	205.44	1003.39	782.64
	69.00	172.30	28.78	1170.13	36.47	9923.80	58.69	211.86	997.83	778.31
	72.00	176.22	23.53	1150.59	42.87	10224.60	56.84	218.28	974.37	760.01
	75.00	151.13	37.88	1158.24	42.65	10472.10	66.90	223.56	1007.11	785.54
	78.00	149.40	35.31	1181.89	40.35	10878.70	50.18	232.25	1032.49	805.34
	81.00	149.18	29.46	1176.56	40.00	11375.80	61.45	242.86	1027.38	801.36
	84.00	158.59	37.45	1180.36	39.39	11877.20	64.04	253.56	1021.77	796.98
	87.00	154.34	34.13	1179.17	35.59	12398.20	76.98	264.68	1024.83	799.36



# Phase scans taken for Darmstadt, BSA 1.4 mm



The laser pulse energy is only 20% higher even though LT is two times higher

**As expected, the phase scans for the old (QE 0.6%) and new cathode (QE 8%) are drastically different.**

- How to reproduce these measurements in simulations if machine parameters are the same for both?
- The input charge is  $Q_{\text{bunch}} = 2 \times Q_E \times E_L$ . The product of  $Q_E \times E_L$  could be adjusted to match the low QE cathode, but the codes do not include input parameters for QE and laser energy, they use total input charge.

