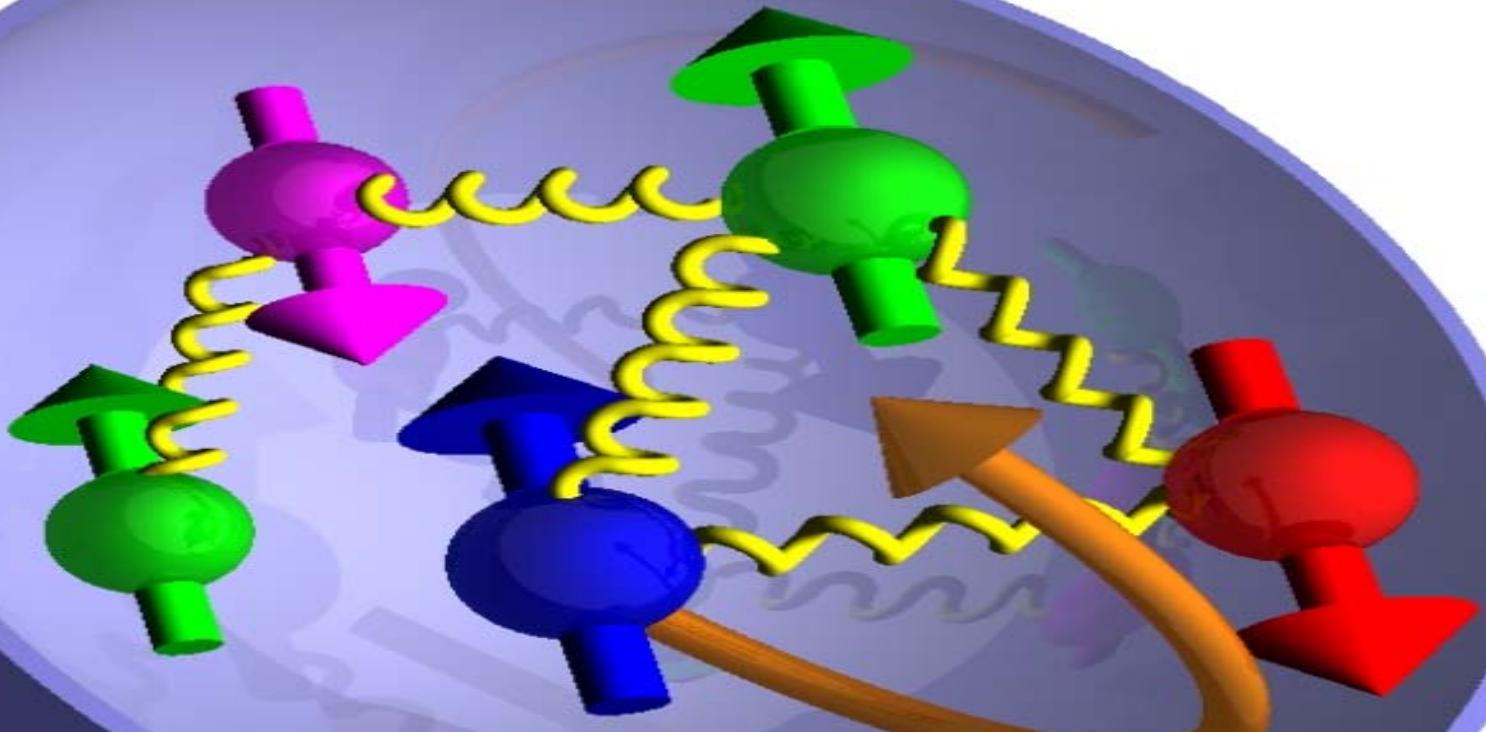


# The Spin of the Proton



INFN  
Frascati

Pasquale Di Nezza

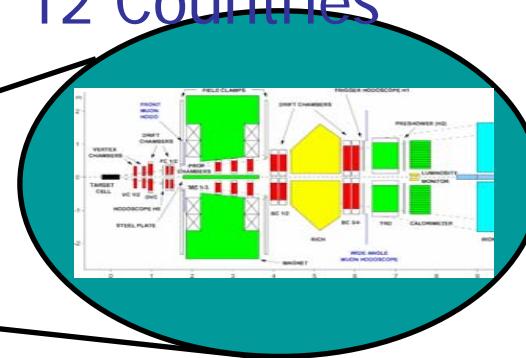
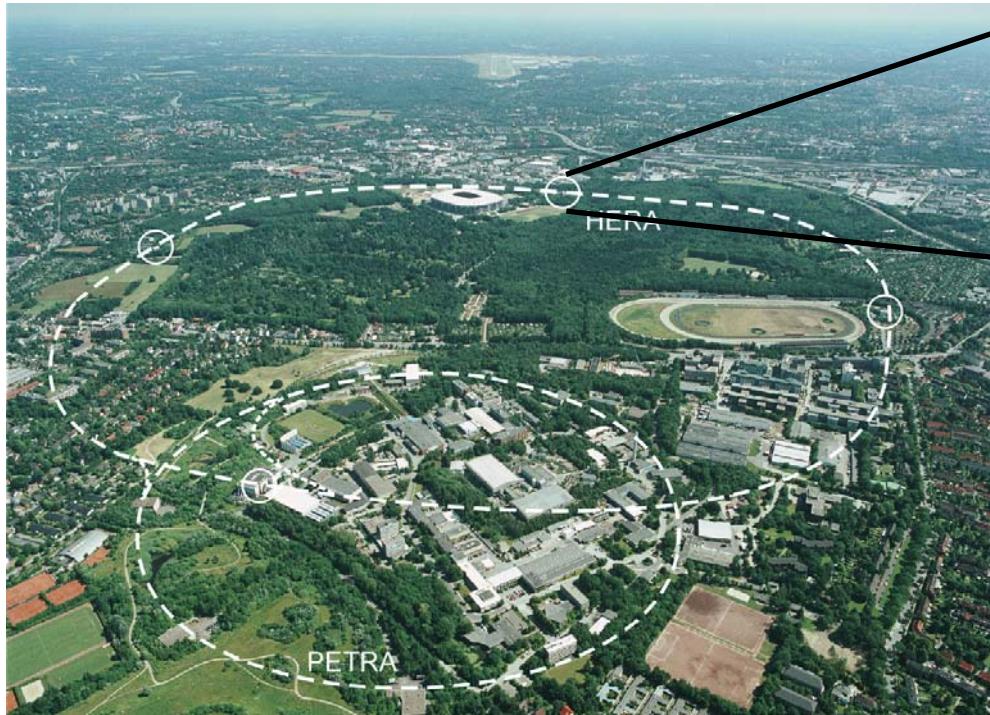
DESY, Hamburg 18/08/05



# HERa MEasurement of Spin

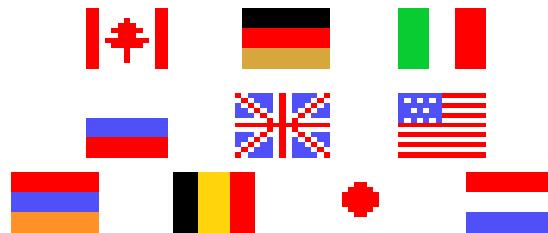


Collaboration of 180 phys., 33 Inst., 12 Countries

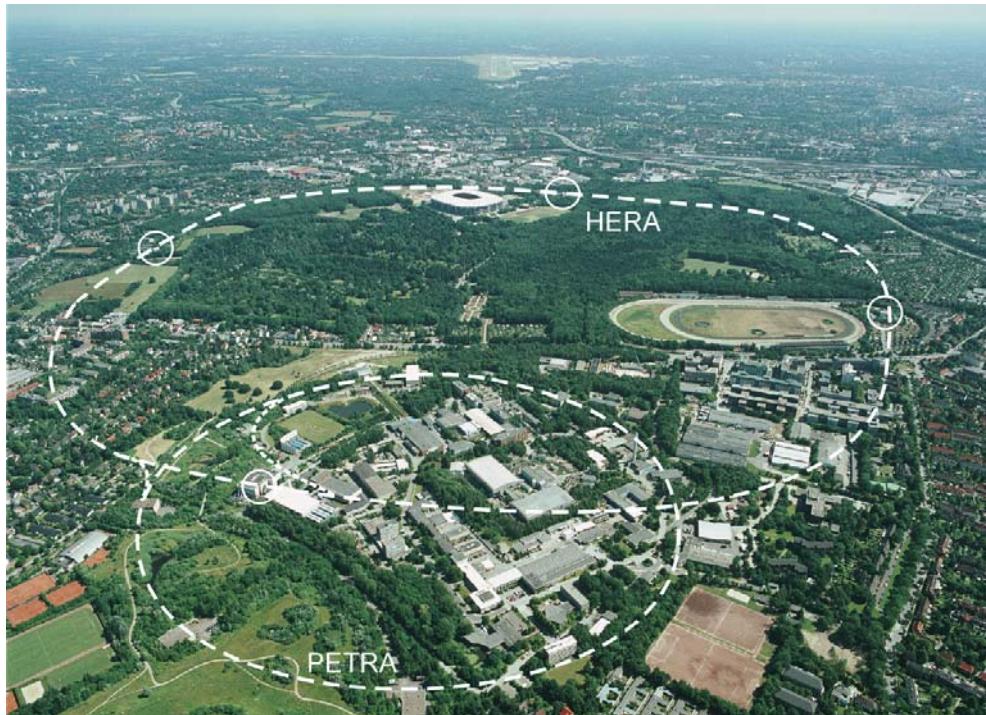




# HERa MEasurement of Spin



Collaboration of 180 phys., 33 Inst., 12 Countries

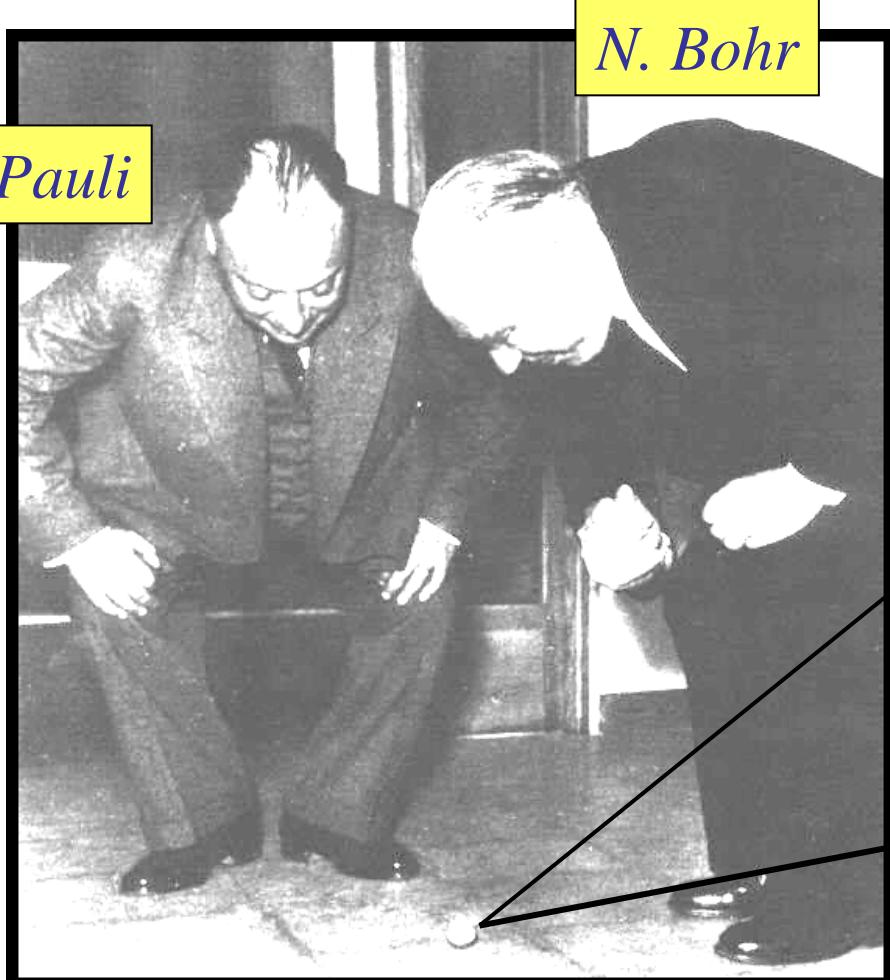


- Introduction to polarised DIS
- Prerequisites
- Polarised structure functions
- The Spin of the Nucleon

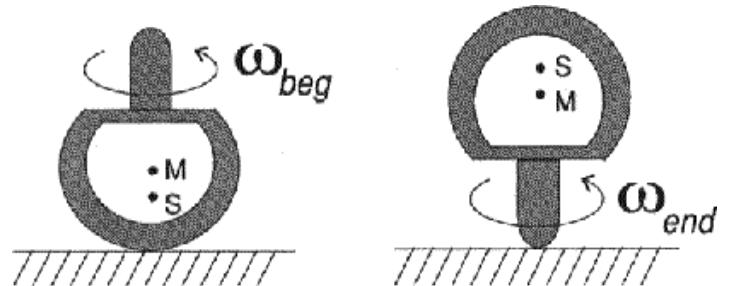
# fascinated by spin ...

" You think you understand something? Now add spin..." -- R. Jaffe

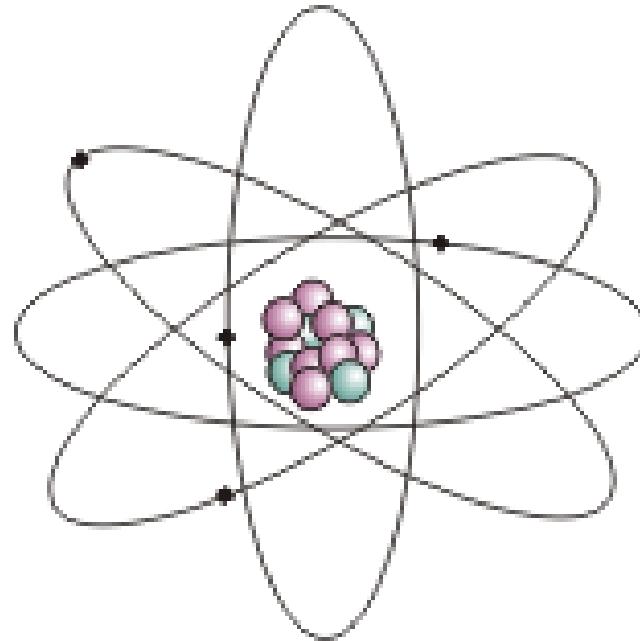
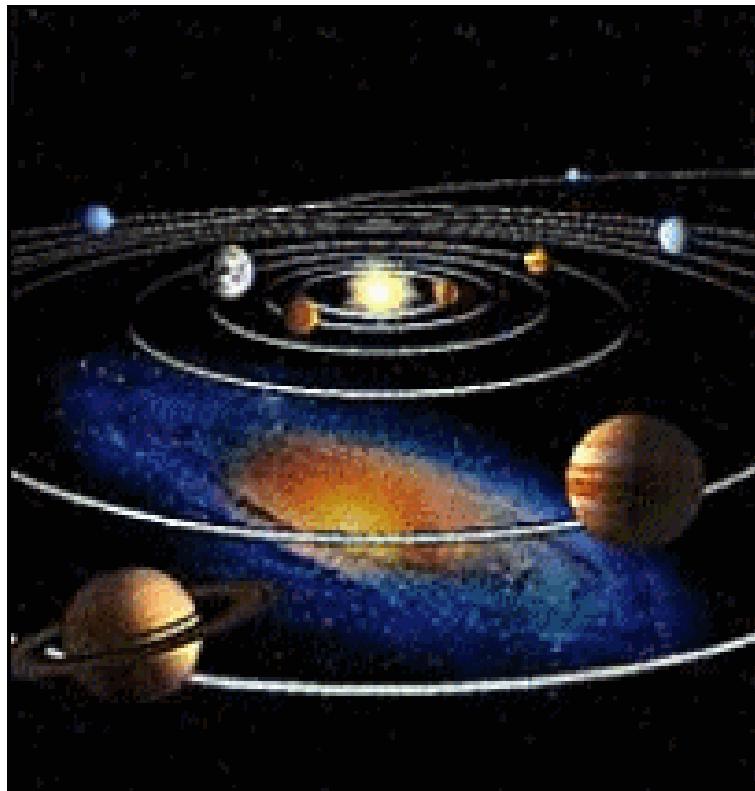
W. Pauli



N. Bohr

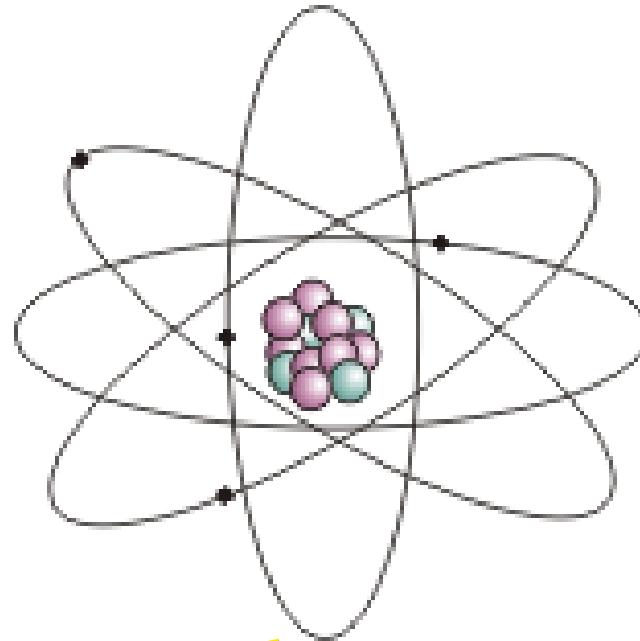
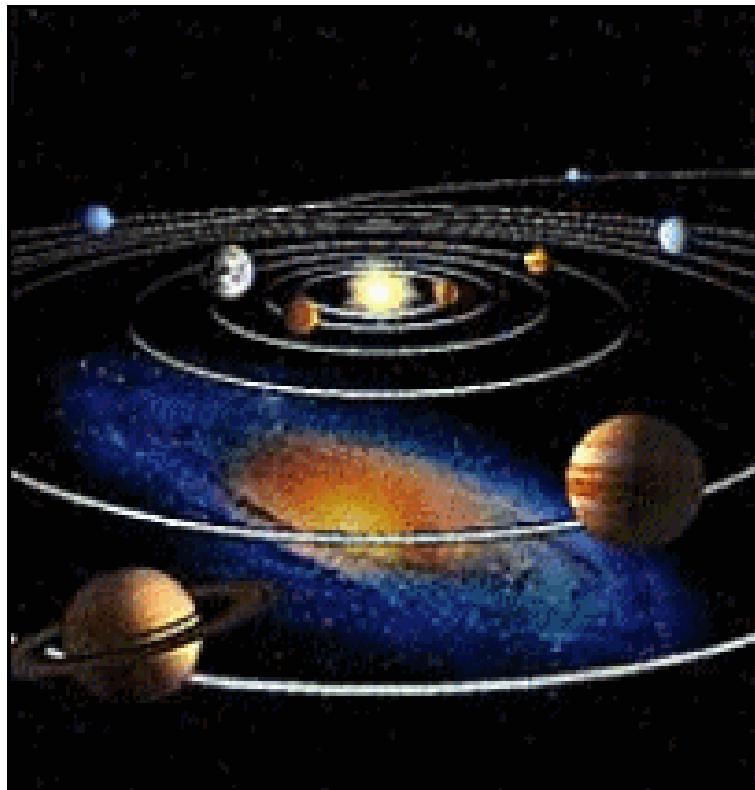


# fascinated by spin ... an analogy



Speaking in this analogy our planet has an **orbital angular momentum** (around the sun / the nucleus) and in addition a **spin angular momentum** (around its own axis).

# fascinated by spin ... an analogy



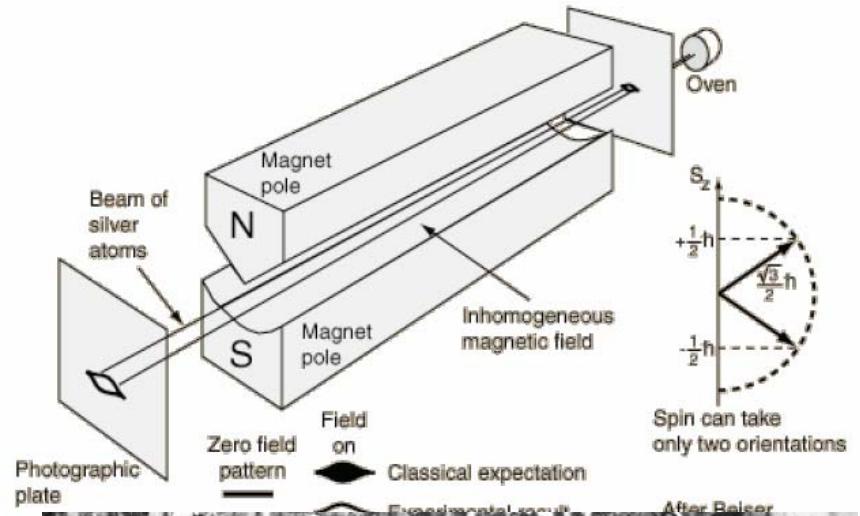
Speaking in this analogy, an electron has both orbital angular momentum (around the nucleus) and in addition a spin angular momentum (around its own axis).

**100 naive!**

# What is Spin ?

[ [www.markusehrenfried.de/science/physics/whatisspin.html](http://www.markusehrenfried.de/science/physics/whatisspin.html) ]

- Stern-Gerlach (1921):



- Uhlenbeck, Goudsmit: (1925)

*explanation of atomic spectra*

quantum number:  $m_s = 1/2$



# What is Spin ?

[ [www.markusehrenfried.de/science/physics/whatisspin.html](http://www.markusehrenfried.de/science/physics/whatisspin.html) ]

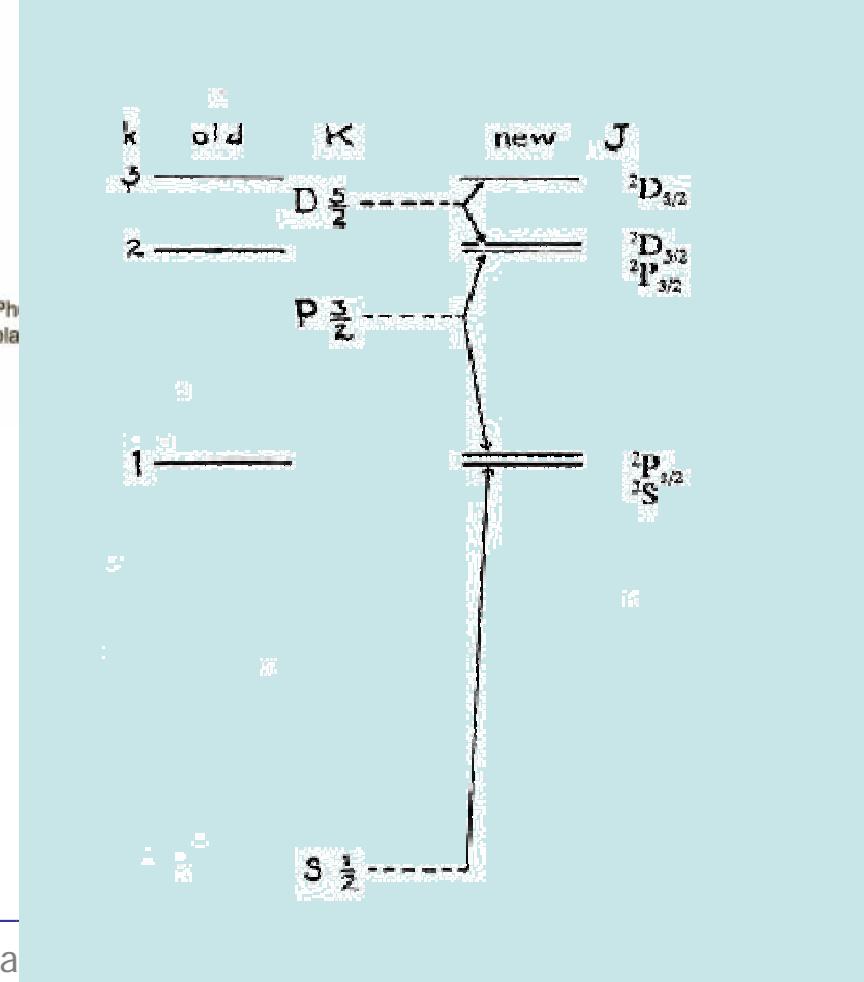
- Stern-Gerlach (1921):



- Uhlenbeck, Goudsmit:  
(1925)

*explanation of atomic spectra*

quantum number:  $m_s=1/2$





# What is Spin ?

Spin and Symmetry: [S.Hawkins: A brief history of time]

spin: 2



180°

spin: 1



360°

spin:  $\frac{1}{2}$  ?

*math:  
antisymmetric  
wave function*

2x360°



# is spin important ?

*Pauli principle ...*

- obey Pauli principle
- antisymmetric under exchange of identical particles
- Fermi-Dirac statistics:  
*Fermions*

→ half integer SPIN

*MATTER*

→ integer SPIN

*FORCES*

- don't care for Pauli principle
- symmetric
- Bose-Einstein statistics:  
*Bosons*



# is spin important ?

*Pauli principle ...*



half integer SPIN

MATTER

FERMIONS		
matter constituents spin = 1/2, 3/2, 5/2, ...		
Leptons    spin = 1/2		
Flavor	Mass GeV/c <sup>2</sup>	Electric charge
$\nu_e$ electron neutrino	<1×10 <sup>-8</sup>	0
e electron	0.000511	-1
$\nu_\mu$ muon neutrino	<0.0002	0
$\mu$ muon	0.106	-1
$\nu_\tau$ tau neutrino	<0.02	0
$\tau$ tau	1.7771	-1
Quarks    spin = 1/2		
Flavor	Approx. Mass GeV/c <sup>2</sup>	Electric charge
u up	0.003	2/3
d down	0.006	-1/3
c charm	1.3	2/3
s strange	0.1	-1/3
t top	175	2/3
b bottom	4.3	-1/3

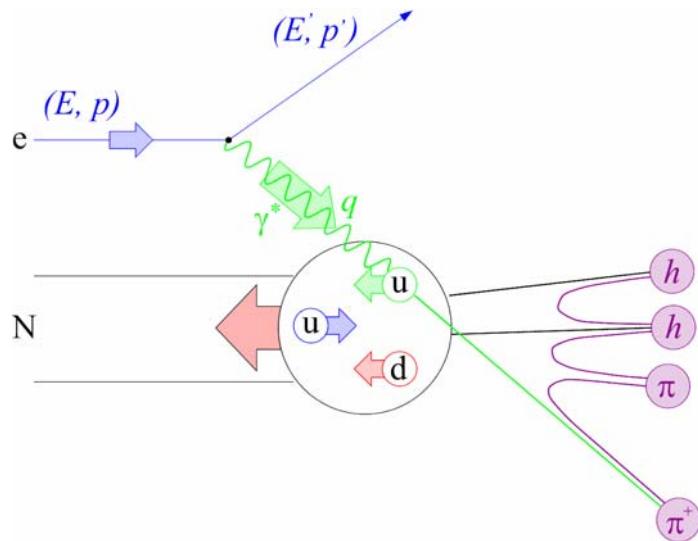
BOSONS		
force carriers spin = 0, 1, 2, ...		
Unified Electroweak    spin = 1		
Name	Mass GeV/c <sup>2</sup>	Electric charge
$\gamma$ photon	0	0
$W^-$	80.4	-1
$W^+$	80.4	+1
$Z^0$	91.187	0
Strong (color)    spin = 1		
Name	Mass GeV/c <sup>2</sup>	Electric charge
g gluon	0	0

FORCES

nge

# how to study the proton spin ?

... deep-inelastic scattering (DIS)

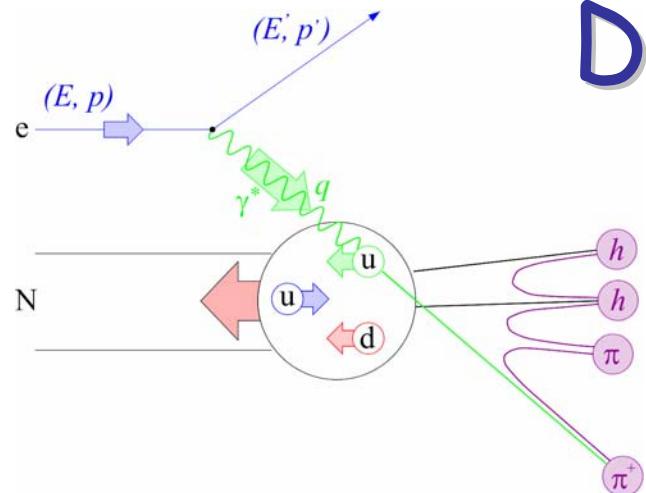


$$Q^2 = -q^2 = 2E E' \cos\theta^{\text{lab}}$$

$$x^{\text{lab}} = \frac{Q^2}{2Mv}, \quad v^{\text{lab}} = E - E'$$

- “deep”  $\leftrightarrow$  high resolution:  $Q^2 \gg M^2$
- “inelastic”  $\leftrightarrow$   $M_X^2 \neq M^2 \Rightarrow x < 1$

# DIS cross section



$$\sigma(e p \rightarrow e X)$$

$$\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{MQ^4} \frac{E}{E'} L_{\mu\nu} W^{\mu\nu}$$

$L_{\mu\nu}$  *leptonic part* of the xsection

- independent of proton structure
- can be calculated explicitly

$W_{\mu\nu}$  *hadronic part* of the xsection

- contains info on proton structure
- + strong interaction effects

# hadronic tensor $W_{\mu\nu}$

→ parametrised by structure functions

(Lorentz symmetry, current conservation, parity, ecc.)

$$W_{\mu\nu} = -g^{\mu\nu} [F_1(x, Q^2) + \frac{p^\mu p^\nu}{v} F_2(x, Q^2)]$$

$$+ i \epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{v} \left( S_\sigma [g_1(x, Q^2) + \frac{1}{v} (p \cdot q S_\sigma - S \cdot q p_\sigma) g_2(x, Q^2)] \right)$$

# hadronic tensor $W_{\mu\nu}$

→ parametrised by structure functions

(Lorentz symmetry, current conservation, parity, ecc.)

QPM:

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 (q_i^+(x) + q_i^-(x)) = \frac{1}{2} \sum_i e_i^2 q_i(x)$$

momentum distribution of quarks

$$W_{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2) \cdot v$$

$$+ i \epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{v} \left( S_\sigma \left[ g_1(x, Q^2) + \frac{1}{v} (p \cdot q S_\sigma - S \cdot q p_\sigma) g_2(x, Q^2) \right] \right)$$

# hadronic tensor $W_{\mu\nu}$

→ parametrised by structure functions

(Lorentz symmetry, current conservation, parity, ecc.)

QPM:

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 (q_i^+(x) + q_i^-(x)) = \frac{1}{2} \sum_i e_i^2 q_i(x)$$

momentum distribution of quarks

$$W_{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2)$$

$q_i(x) = \text{Distribution Function}$

$+ i \epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{v_\nu} \left( g_1(x, Q^2) + \frac{1}{2} v_\mu v_\nu \left( g_2(x, Q^2) + \frac{1}{2} v_\lambda v_\sigma g_2(x, Q^2) \right) \right)$

connected to the probability to have a struck quark with fractional momentum  $x$

# hadronic tensor $W_{\mu\nu}$

→ parametrised by structure functions

(Lorentz symmetry, current conservation, parity, ecc.)

QPM:

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 (q_i^+(x) + q_i^-(x)) = \frac{1}{2} \sum_i e_i^2 q_i(x)$$

momentum distribution of quarks

$$W_{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2)$$

$q_i^+(x)$  = Polarized Distribution Function

$+ i \epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{v_\nu}$  connected to the probability to have a struck quark with fractional momentum  $x$  and spin in the same direction of the proton

# hadronic tensor $W_{\mu\nu}$

→ parametrised by structure functions

(Lorentz symmetry, current conservation, parity, ecc.)

QPM:

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 (q_i^+(x) + q_i^-(x)) = \frac{1}{2} \sum_i e_i^2 q_i(x)$$

momentum distribution of quarks

$$W_{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2) \cdot v$$
$$+ i \epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{v} \left( S_\sigma \left[ g_1(x, Q^2) + \frac{1}{2} (p \cdot q S_\sigma - S \cdot q p_\sigma) \right] g_2(x, Q^2) \right)$$

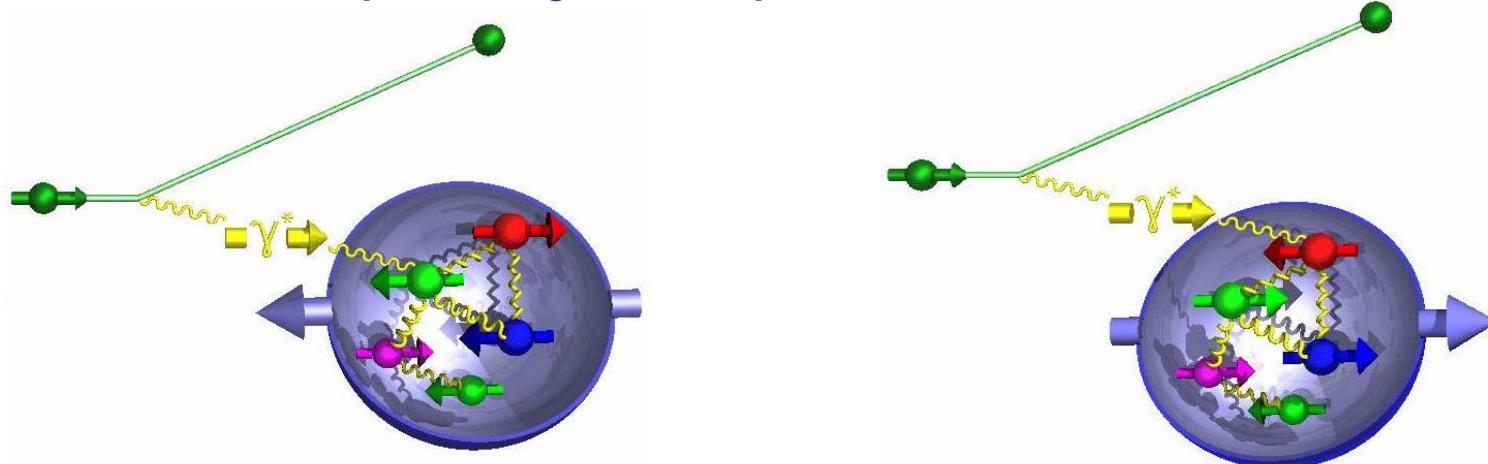
$\uparrow$

$$g_1(x) = \frac{1}{2} \sum_i e_i^2 (q_i^+(x) - q_i^-(x)) = \frac{1}{2} \sum_i e_i^2 \Delta q_i(x)$$

helicity distribution of quarks

# helicity densities $\Delta q$

because of helicity conservation, the virtual photon can couple only to a quark of opposite helicity

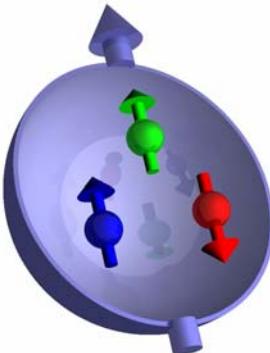


$$S_\gamma + S_N = \frac{1}{2}$$
$$\sigma_{1/2} \approx q^+(x)$$

$$S_\gamma + S_N = \frac{3}{2}$$
$$\sigma_{3/2} \approx q^-(x)$$

by changing the orientation of target nucleon spin or the helicity of incident lepton beam we can select  $q^+(x)$  or  $q^-(x) \rightarrow \Delta q$

# the nucleon spin structure

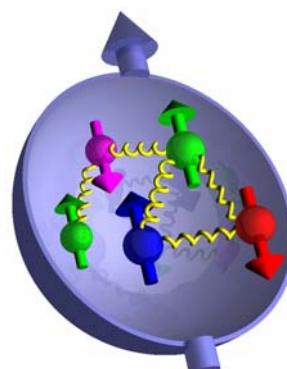


QPM:

$$\frac{1}{2} = \frac{1}{2} \underbrace{(\Delta u_v + \Delta d_v + \Delta q_s)}_{\Delta \Sigma = 1}$$

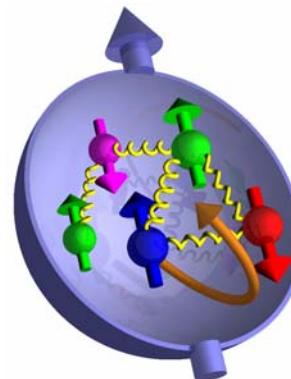
EMC ('88)

Spin Puzzle



gluons are  
important !

$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G$$



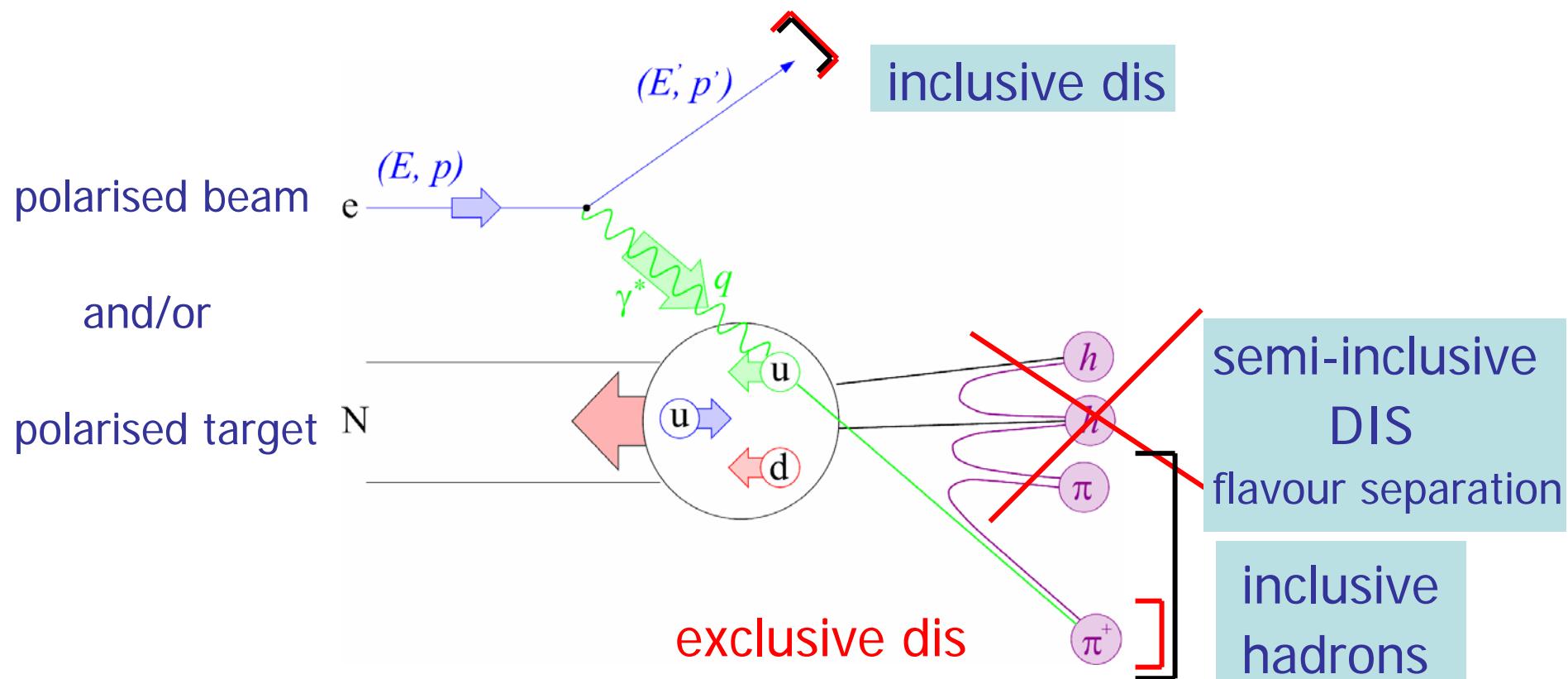
don't forget the  
orbital angular  
momentum!

$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + L_q + \Delta G + L_g$$

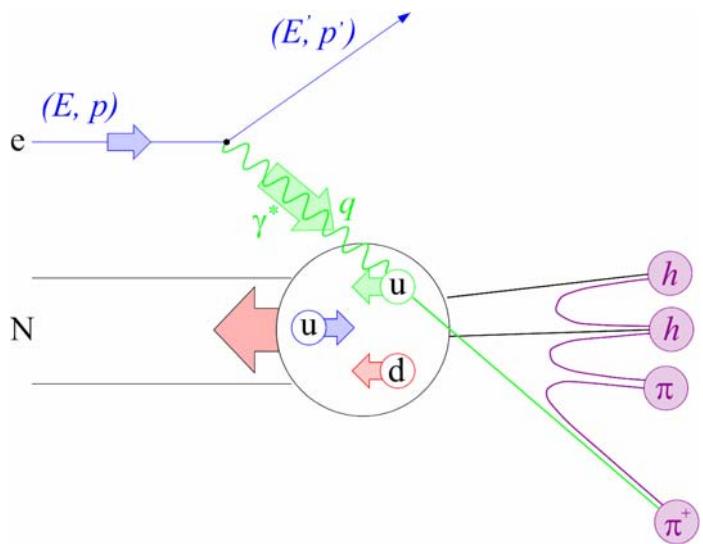
→ SLAC, CERN, DESY: 0.2-0.4

# polarised deep inelastic scattering

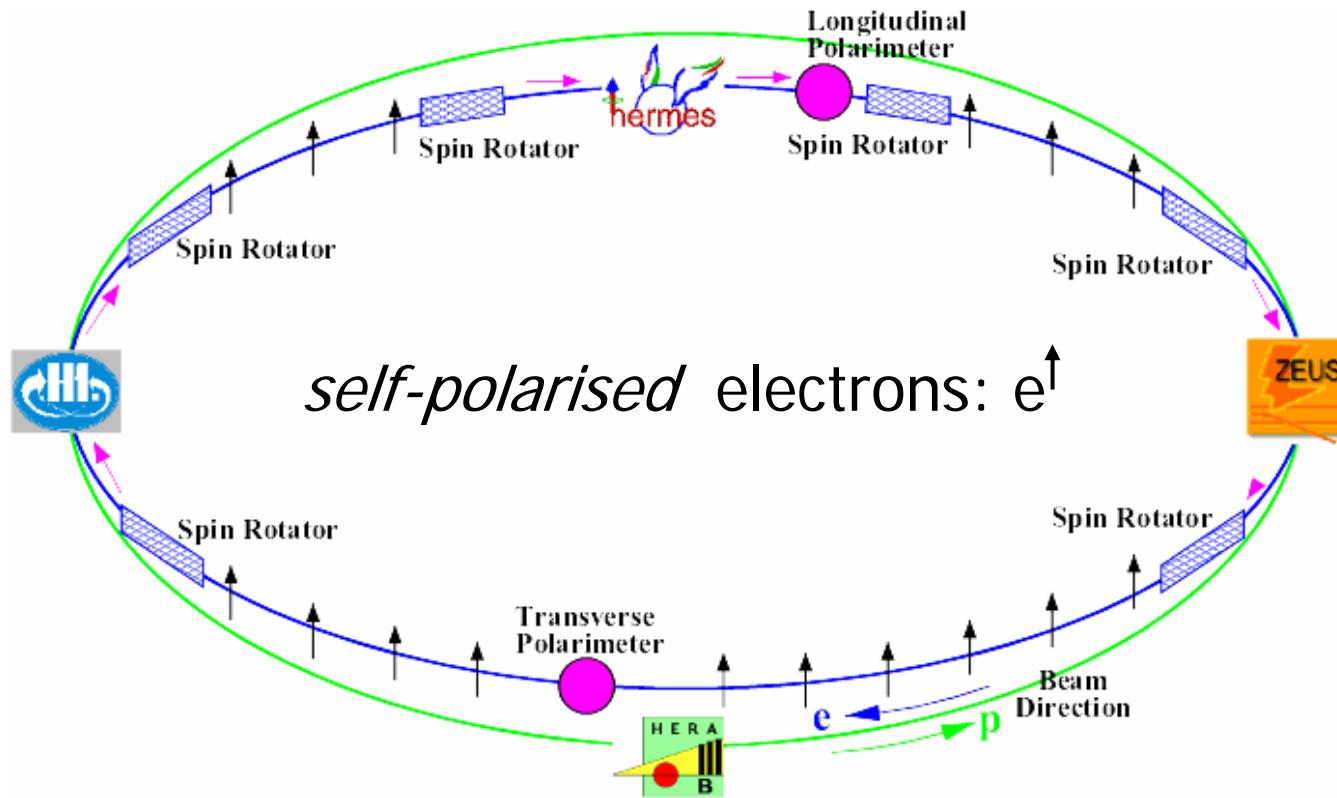
$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + L_q + \Delta G + L_g = J_q + J_g$$



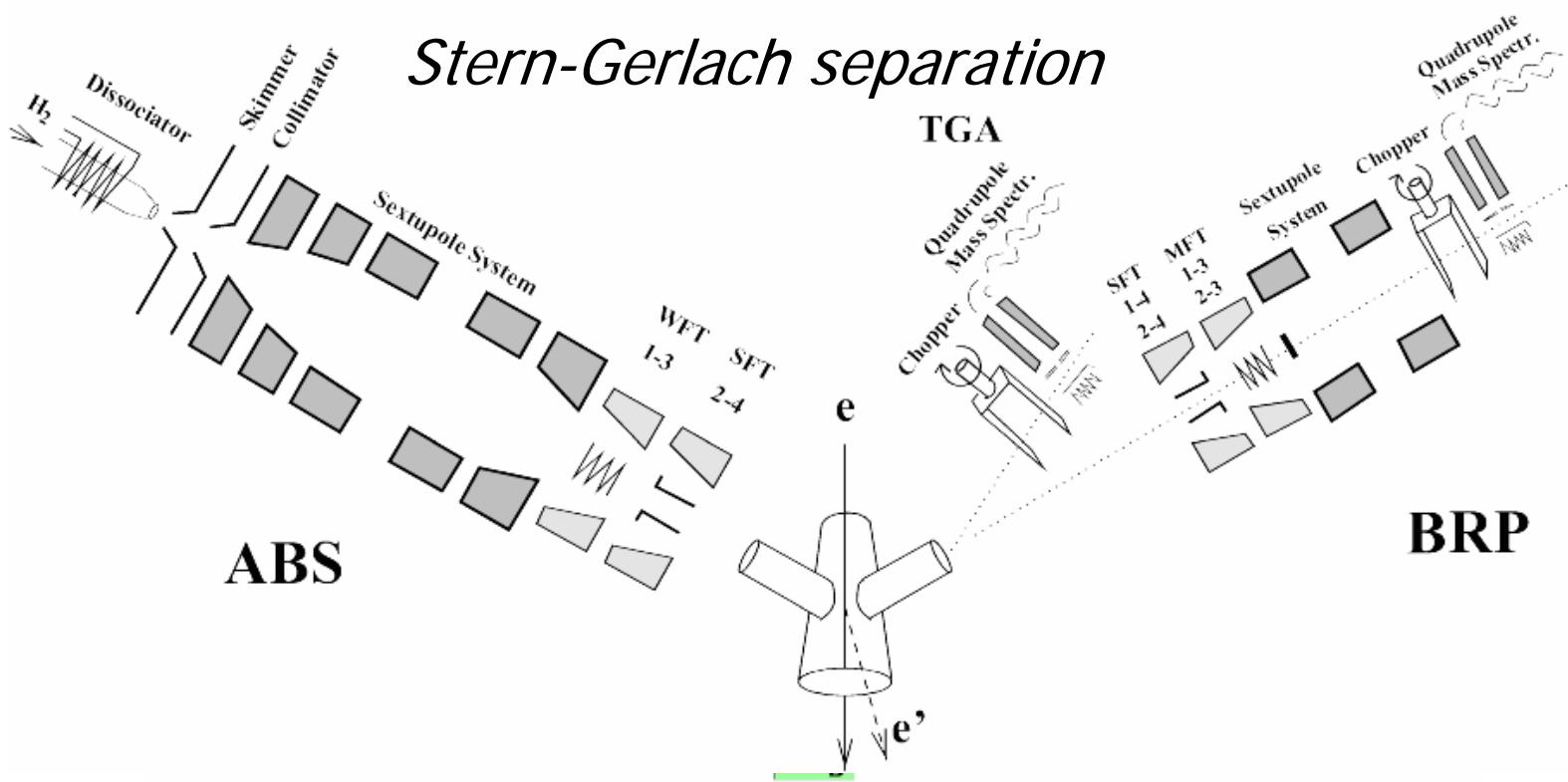
# Hermes @ DESY



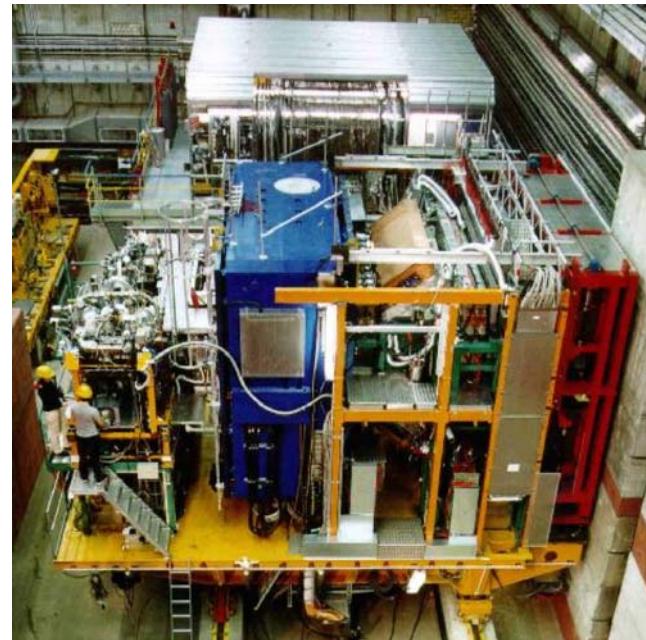
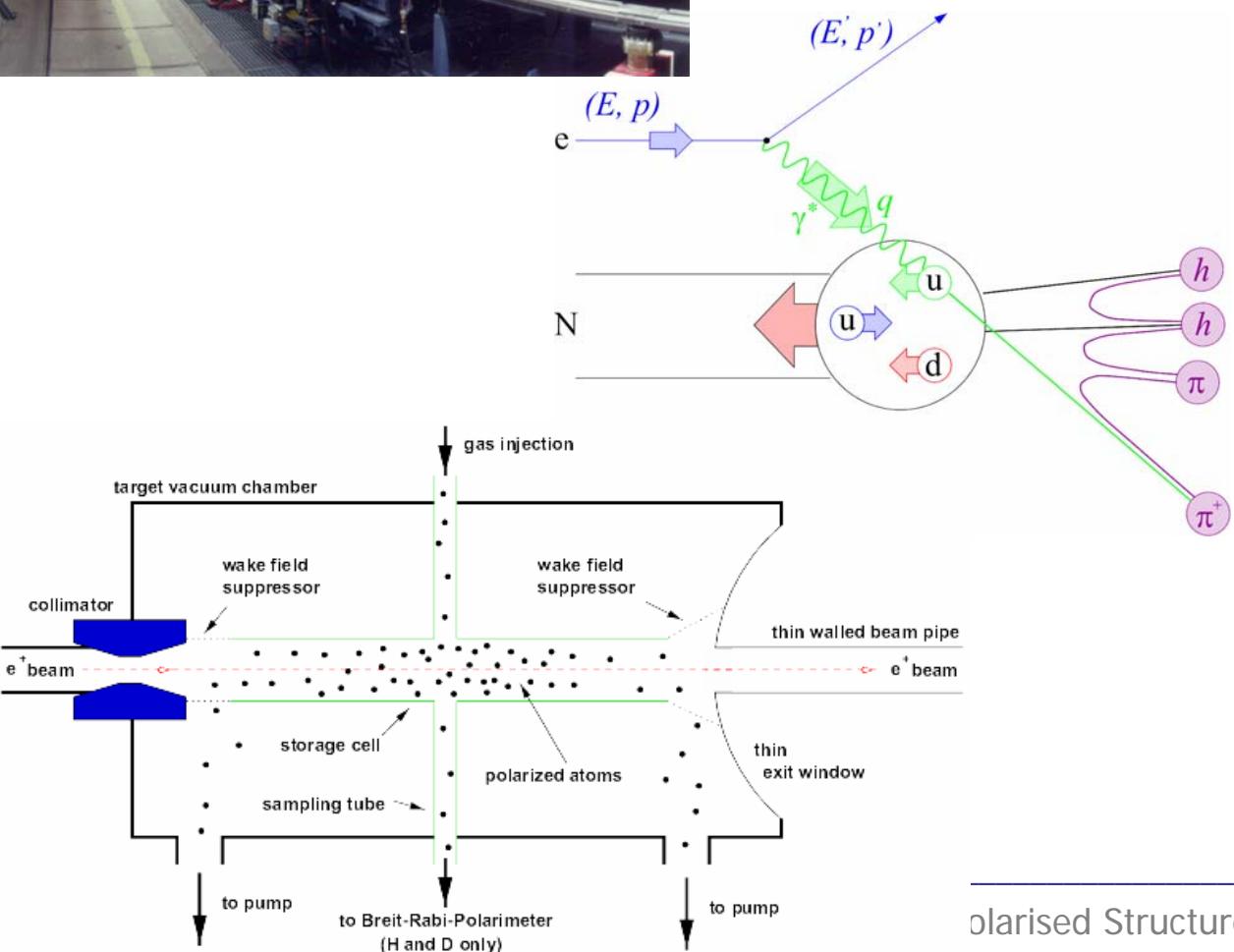
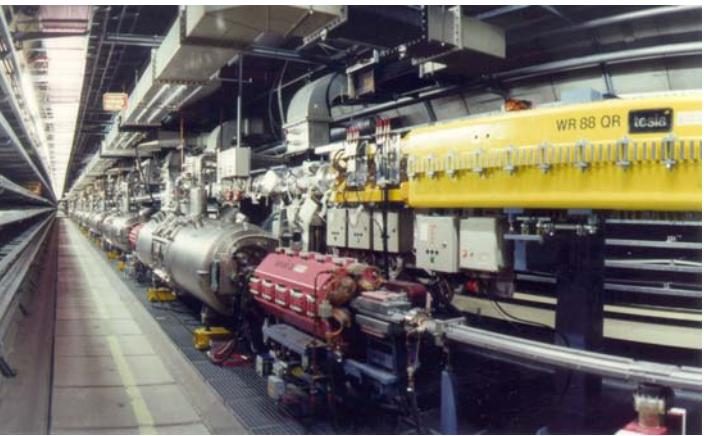
# Hermes @ DESY



# Hermes @ DESY

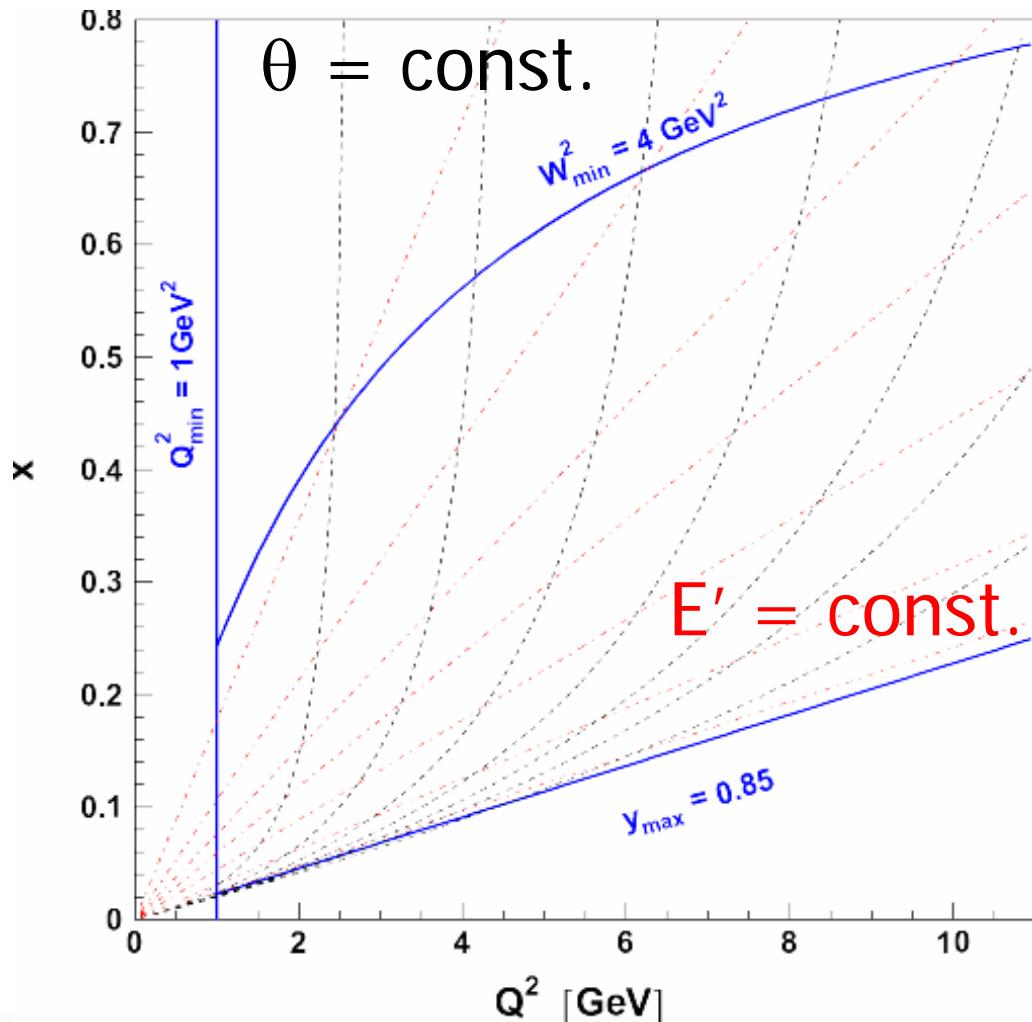


# Hermes @ DESY



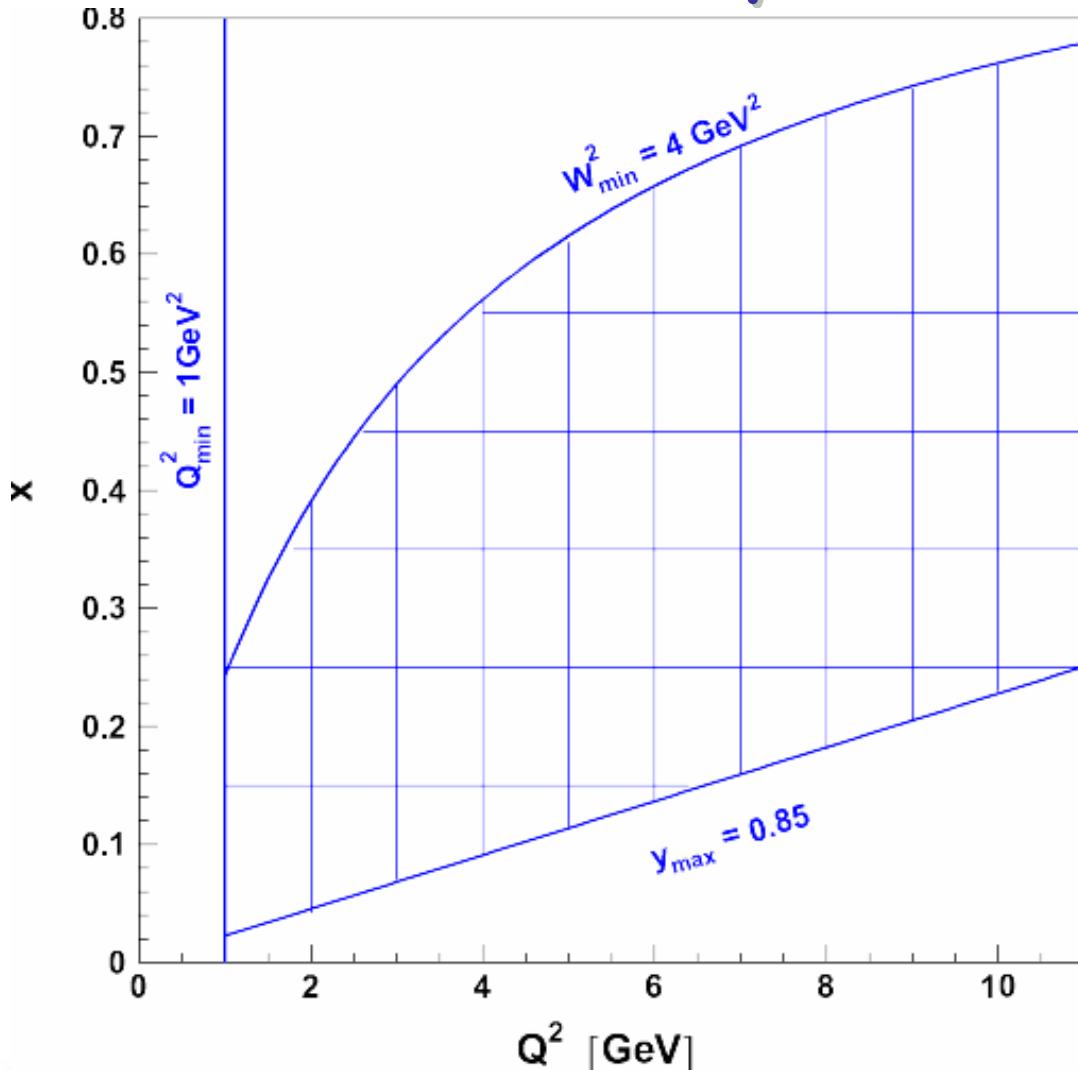
Spin Physics at HERMES  
polarised Structure Functions | the Spin of the Nucleon

# how to measure xsections / asymmetries



$$\sigma(\theta, E') \leftrightarrow \sigma(x, Q^2)$$

# how to measure xsections / asymmetries



$$\sigma(\theta, E') \leftrightarrow \sigma(x, Q^2)$$

$$\sigma(x, Q^2) \propto \frac{N(\Delta x, \Delta Q^2)}{L}$$

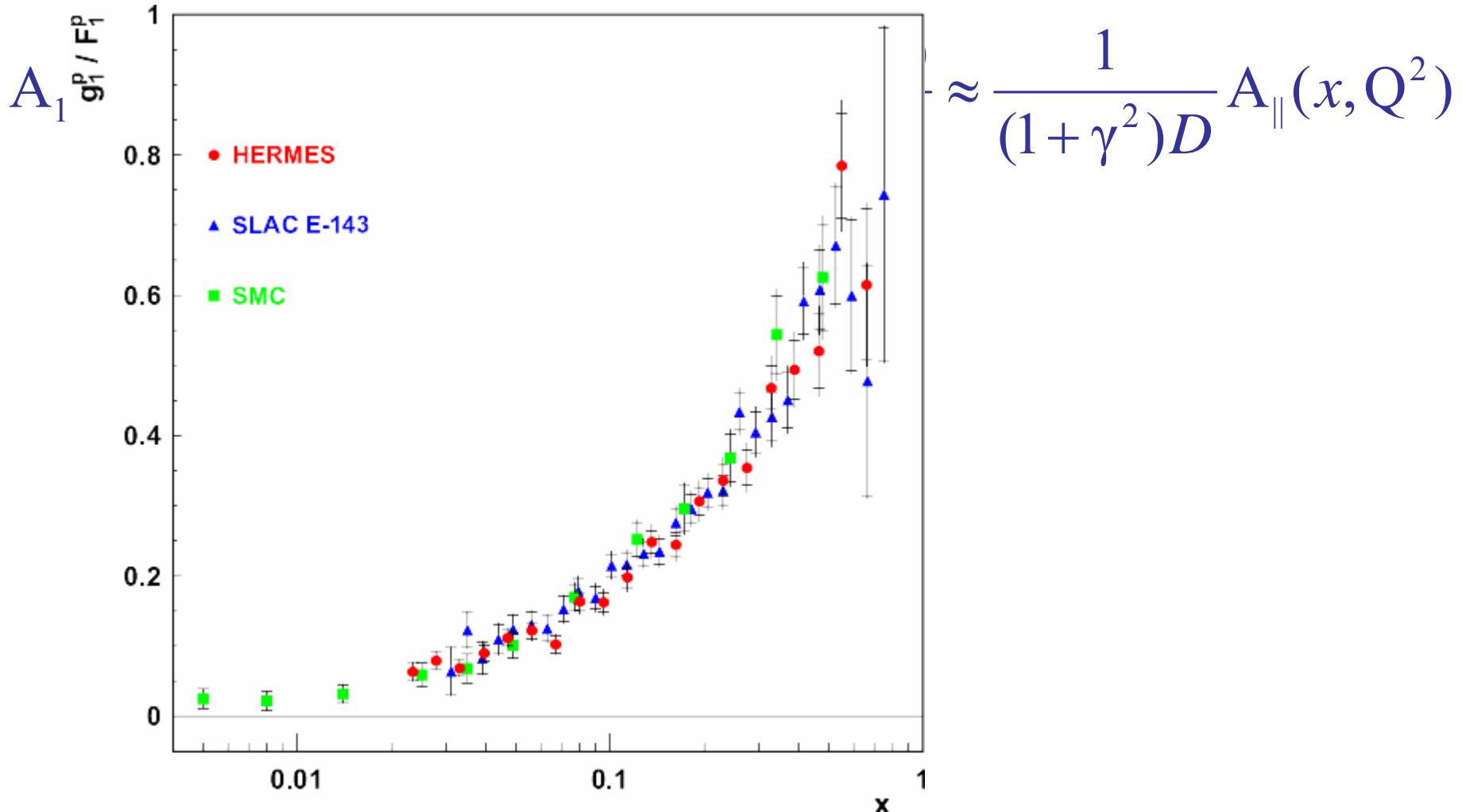
# how to measure $g_1$

virtual photon asymmetry:

$$A_1 = \frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}} = \frac{g_1 - \gamma^2 g_2}{F_1} \approx \frac{g_1(x, Q^2)}{F_1(x, Q^2)} \approx \frac{1}{(1 + \gamma^2)D} A_{\parallel}(x, Q^2)$$

# how to measure $g_1$

virtual photon asymmetry:



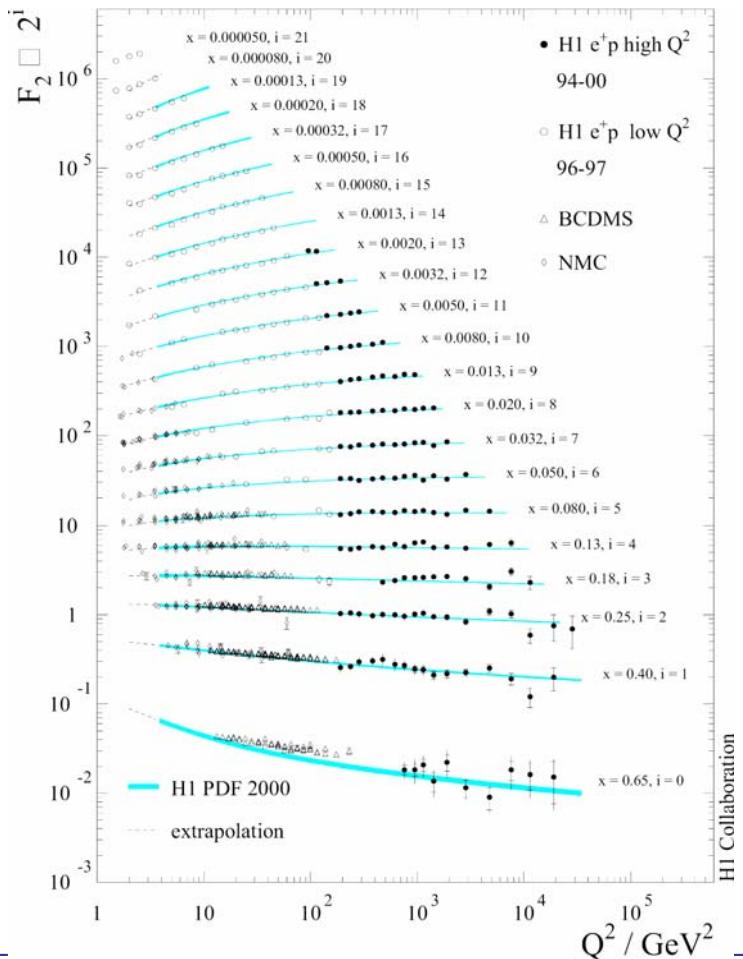
# how to measure $g_1$

$$g_1(x, Q^2) = \left( \frac{g_1(x, Q^2)}{F_1(x, Q^2)} \right)_{\text{meas}} \cdot F_1^{\text{param}}(x, Q^2)$$

$F_1^{\text{param}}(x, Q^2)$ :

$Q^2 : 1 - 10^5 \text{ GeV}^2$

$x : 0.000005 - 0.7$



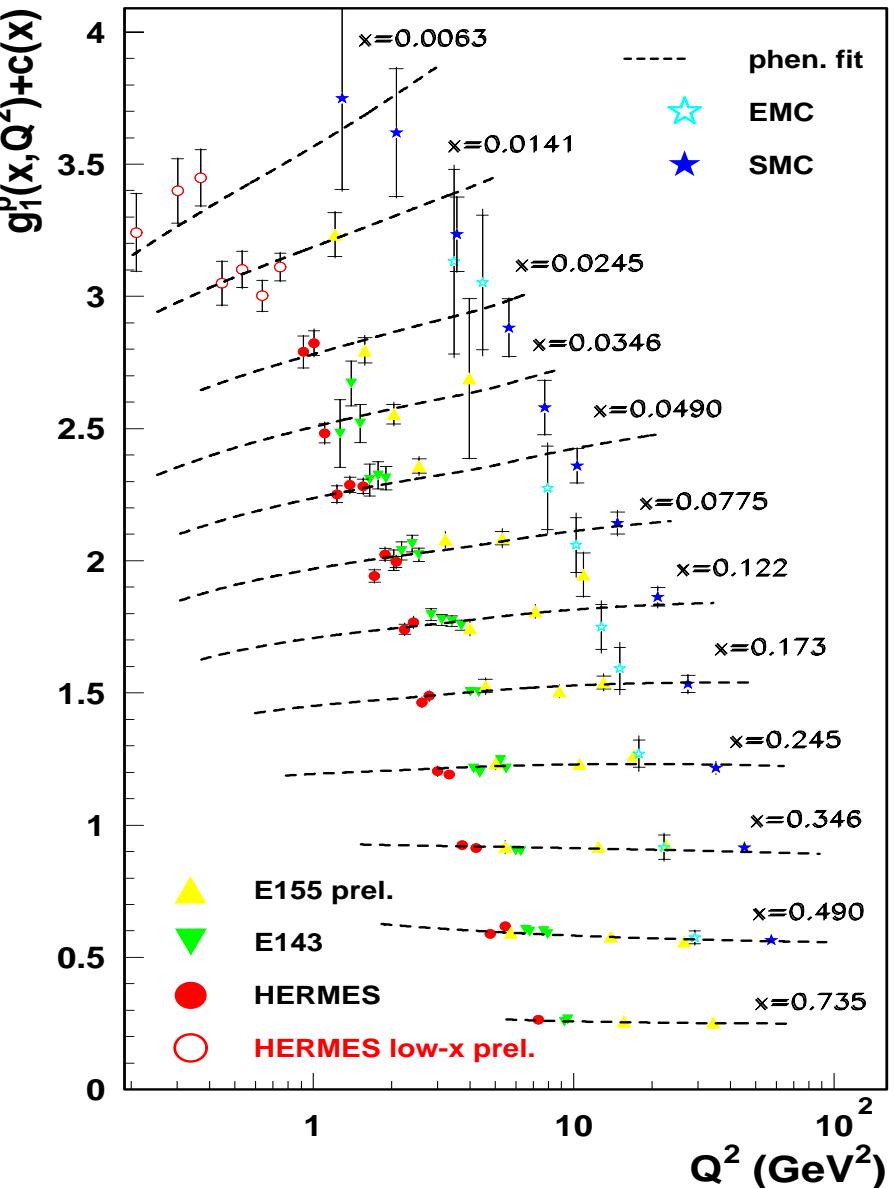
# how to measure $g_1$

$$g_1(x, Q^2) = \left( \frac{g_1(x, Q^2)}{F_1(x, Q^2)} \right)_{\text{meas}}$$

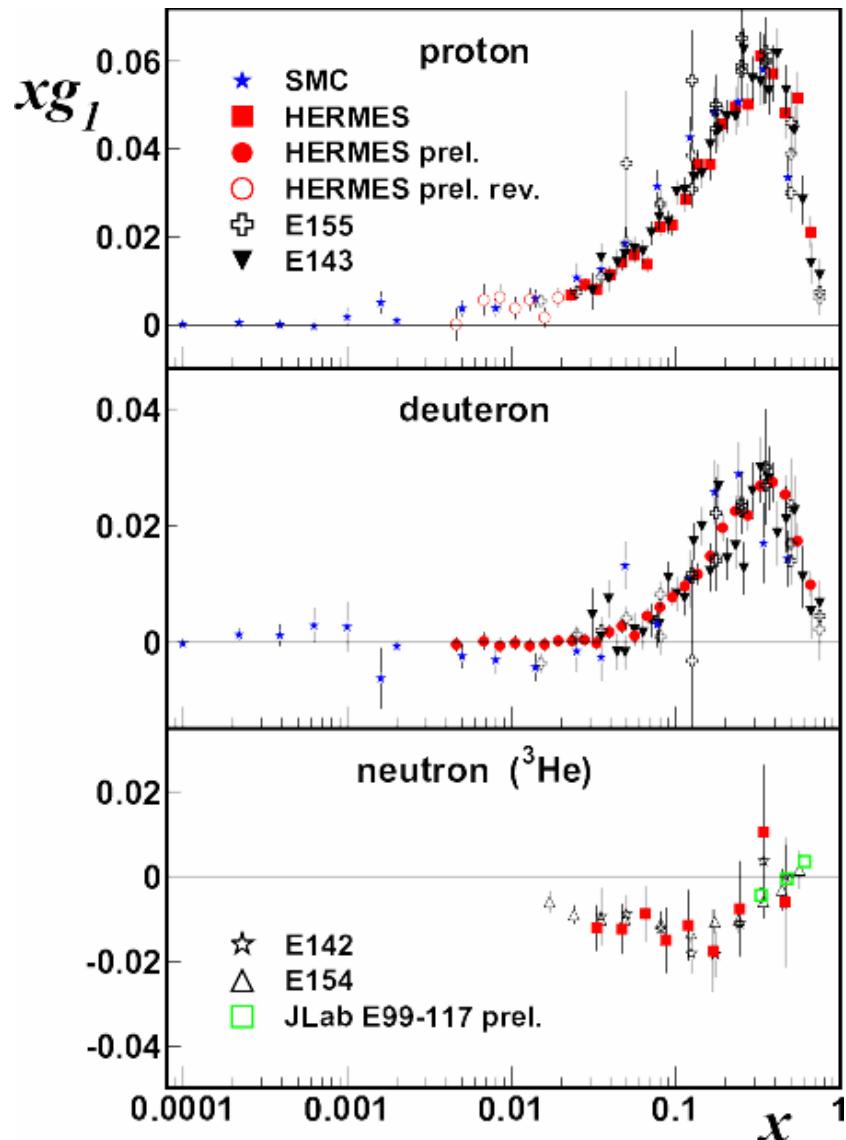
$g_1^p(x, Q^2)$ :

$Q^2 : 1 - 10^2 \text{ GeV}^2$

$x : 0.006 - 0.75$



# the integral of $g_1$



$$g_1(x) = \frac{1}{2} \sum_f e_f^2 (q_f^+(x) - q_f^-(x))$$

$$= \frac{1}{2} \sum_f e^2 \Delta q_f(x)$$

helicity distributions  
(polarised quark distributions)

# sum rules for $g_1$

$$\Gamma_1^{p,n} = \int_0^1 dx g_1^{p,n}(x) \xrightarrow{\text{QPM}} \sum_q e_q^2 (\Delta q + \Delta \bar{q})$$

→ Bjorken sum rule:  
(isospin symmetry)

$$\Gamma_1^p - \Gamma_1^n = \frac{a_3}{6} \cong 0.21$$

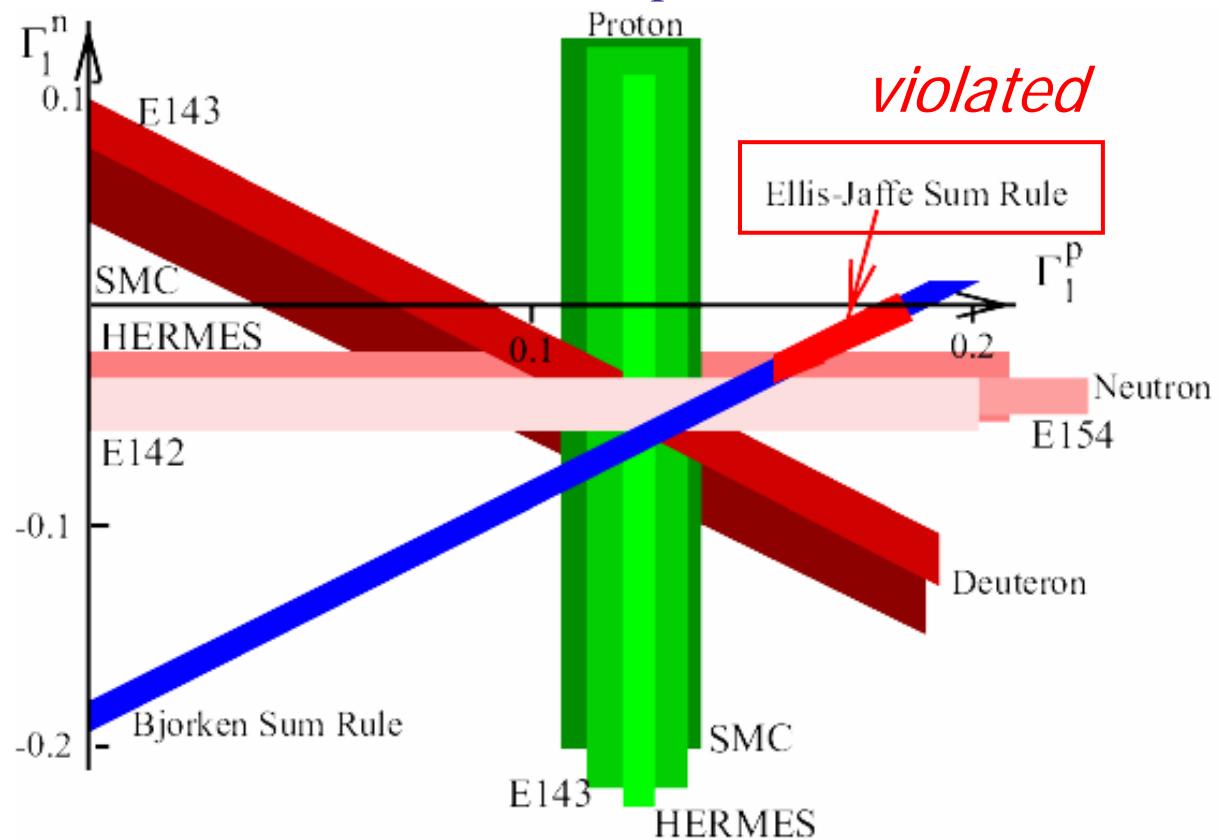
*fundamental QCD prediction*

→ Ellis-Jaffe sum rule:  
 $\Delta s = 0$

$$\Gamma_1^{p(n)} = \frac{5}{36} a_8 + (-) \frac{1}{12} a_3 \cong 0.19 (-0.02)$$

# sum rules for $g_1$

$$\Gamma_1^{p,n} = \int_0^1 dx g_1^{p,n}(x) \xrightarrow{\text{QPM}} \sum_q e_q^2 (\Delta q + \Delta \bar{q})$$



$\Delta s=0$

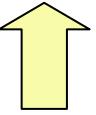
Bjorken sum rule confirmed @ 8% C.L.

# $\Delta q$ from $g_1(x, Q^2)$

$$g_1^{\text{LO}}(x) = \frac{1}{2} \sum_q e_q^2 [\Delta q(x, Q^2)]$$

one step further:

$$g_1^{\text{NLO}}(x) = g_1^{\text{LO}} + \frac{\alpha_s}{2\pi} \frac{1}{2} \sum_q e_q^2 [\Delta q(x, Q^2) \otimes C_q + \Delta G(x, Q^2) \otimes C_g]$$

   
*parameterised*

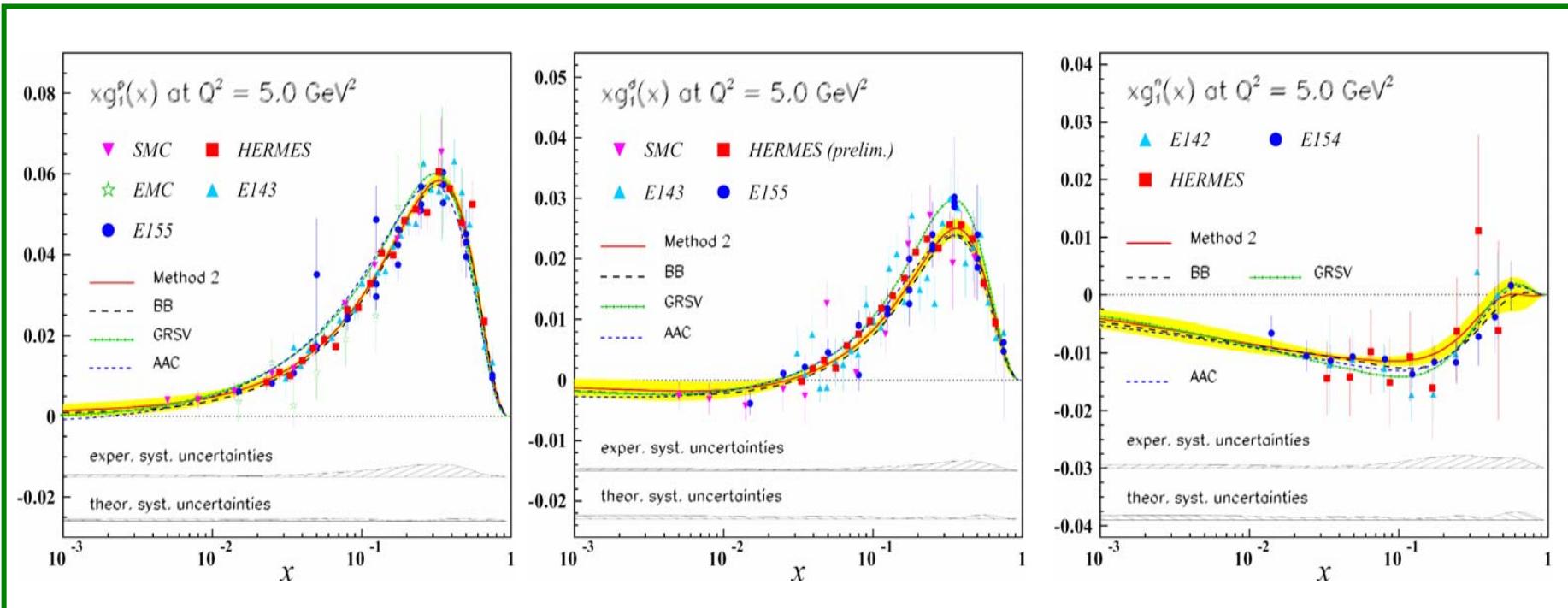
$\chi^2$  minimisation :

$$\chi^2 = \sum_{\text{data}} \frac{(g_1^{\text{meas}} - g_1^{\text{calc}})^2}{\sigma_{\text{stat}}^2} \quad \rightarrow \text{evaluate parameter}$$

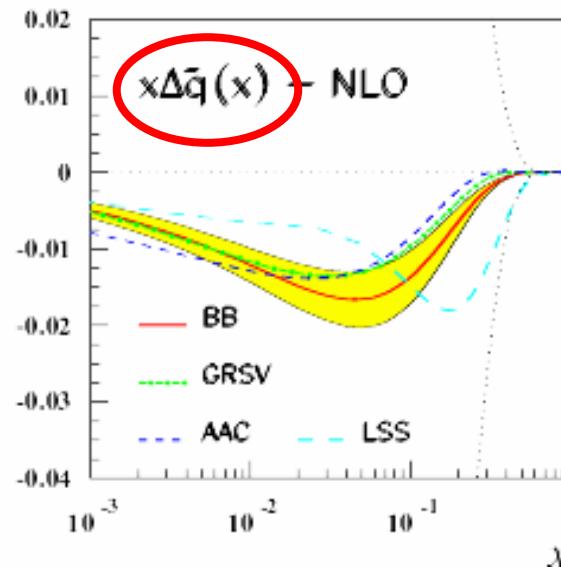
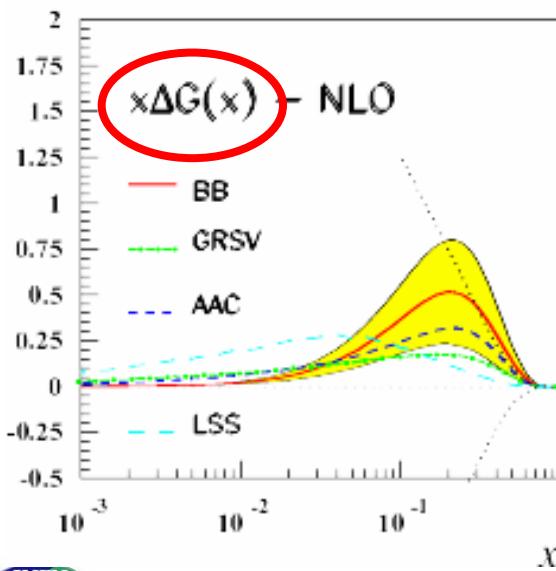
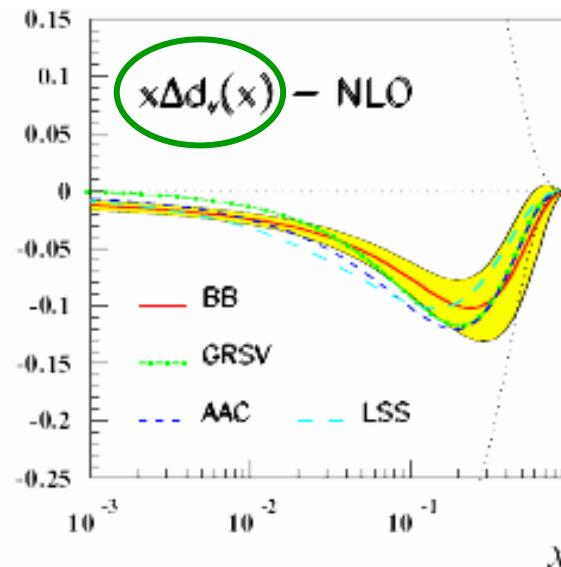
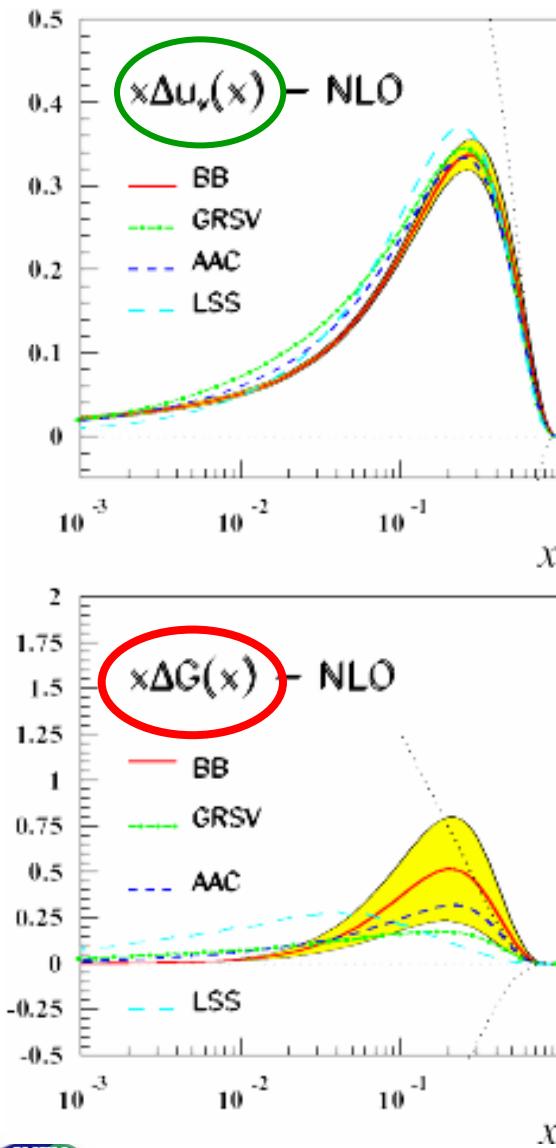
# $\Delta q$ from $g_1(x, Q^2)$

$$g_1^{\text{LO}}(x) = \frac{1}{2} \sum_q e_q^2 \Delta q(x, Q^2)$$

one step further:



# $\Delta q$ and $\Delta G$ from $g_1(x, Q^2)$

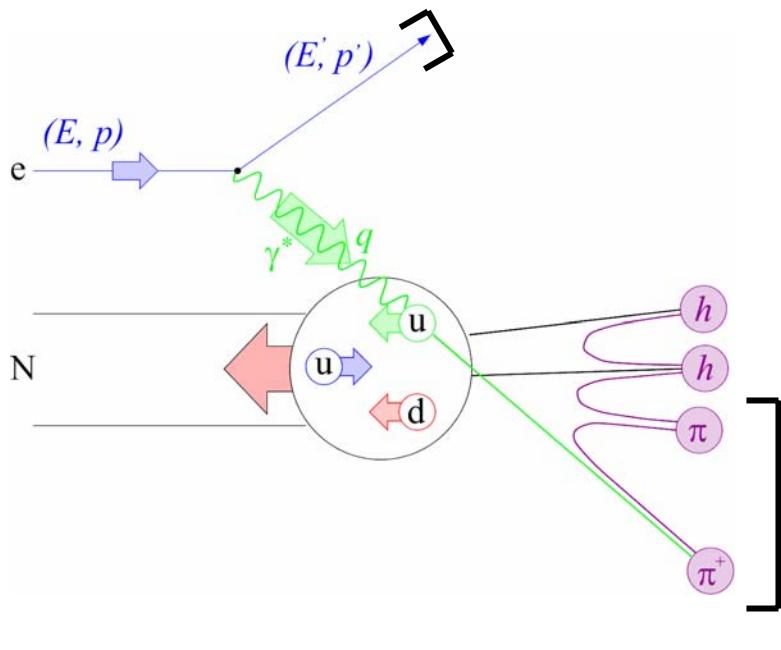


- $\Delta u_v$  and  $\Delta d_v$  (quite) well determined

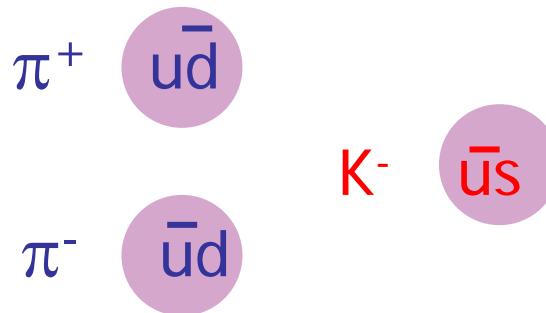
- $\Delta \bar{q}$  and  $\Delta G$  weakly constraint by data

need more direct probes  
 → flavour separation  
 →  $\Delta G$

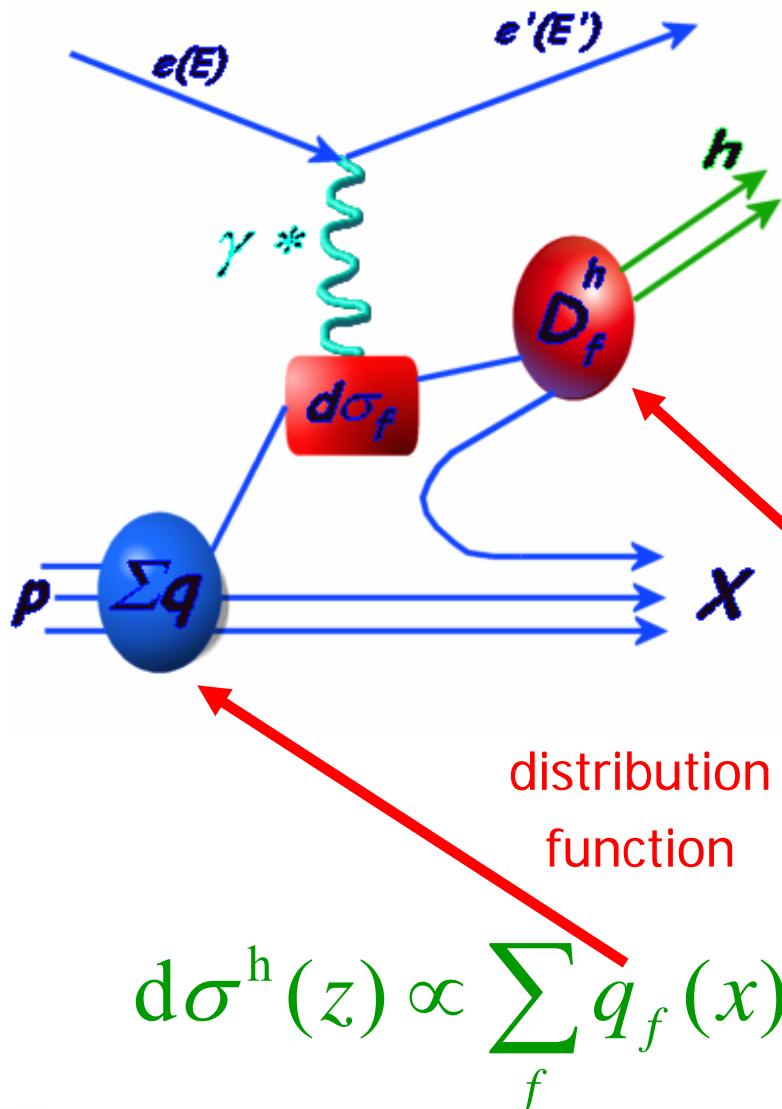
# polarised semi-inclusive DIS



*flavour tagging*



# polarised semi-inclusive DIS



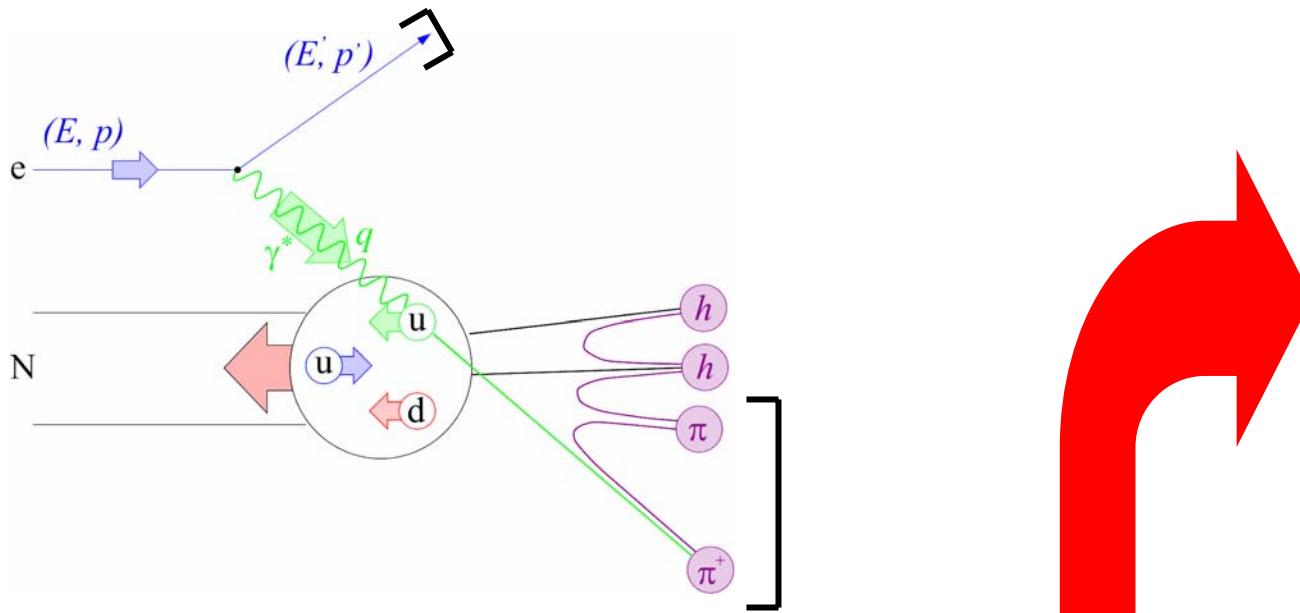
probability that struck quark  
of flavour  $f$  fragments into  
hadron of type  $h$  with energy  
fraction  $z$

distribution  
function

fragmentation  
function

$$d\sigma^h(z) \propto \sum_f q_f(x) \otimes d\sigma_f \otimes D_f^{q \rightarrow h}(z) \quad , \quad z = E_h/v$$

# polarised semi-inclusive DIS



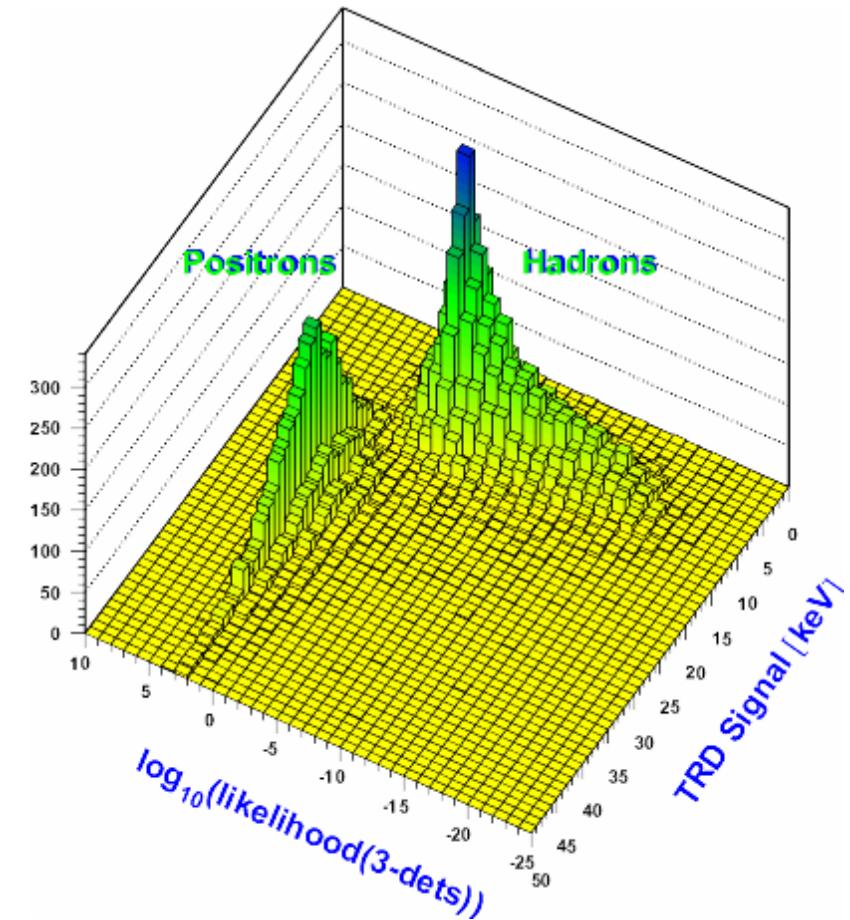
polarised parton  
distribution  
functions !

$$A_1^h(x, Q^2) = \frac{\sigma_{1/2}^h - \sigma_{3/2}^h}{\sigma_{1/2}^h + \sigma_{3/2}^h} \approx \frac{\sum_f e_f^2 \Delta q_f(x, Q^2) \int dz D_f^h(z, Q^2)}{\sum_f e_f^2 q_f(x, Q^2) \int dz D_f^h(z, Q^2)}$$

hadron asymmetries  
to be measured

known quantities

# particle identification



# particle identification

double radiator RICH for  $\pi$  / K / p separation

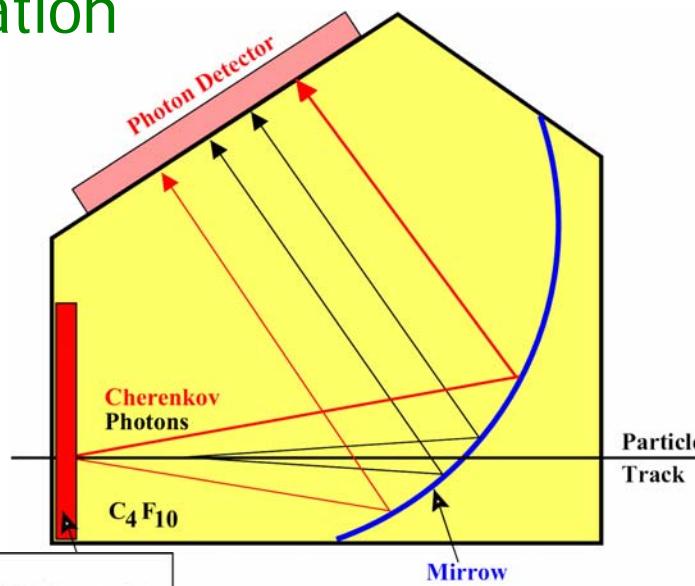
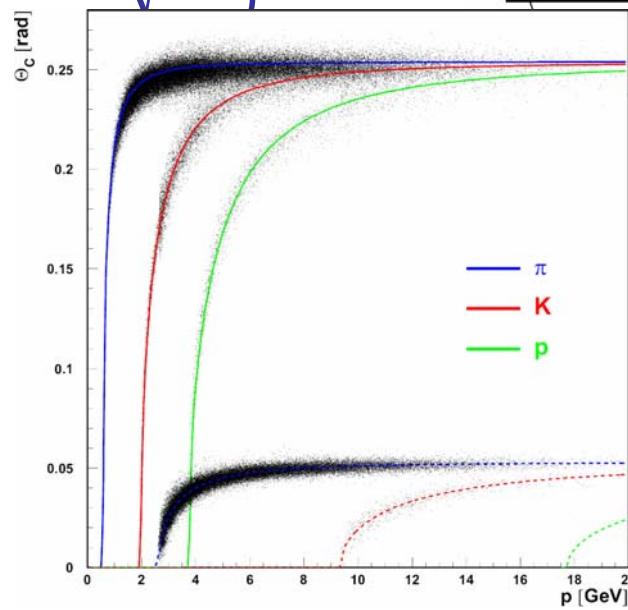
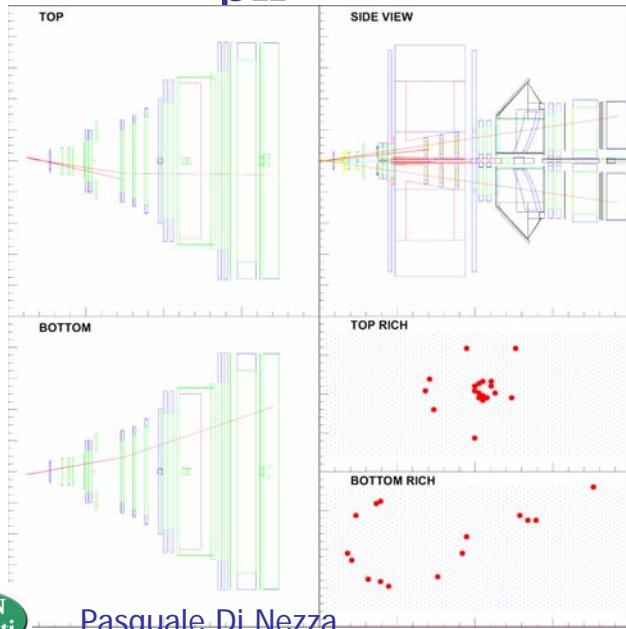
aerogel:  $n=1.03$

$C_4F_{10}$ :  $n=1.0014$

Cerenkov light emission:

$$\cos \vartheta_c = \frac{1}{\beta n} \quad \Rightarrow$$

$$p = \frac{mc\beta}{\sqrt{1-\beta^2}}$$



# quark/anti-quark helicity distributions

$$A_1^h(x, Q^2) = \frac{\sigma_{1/2}^h - \sigma_{3/2}^h}{\sigma_{1/2}^h + \sigma_{3/2}^h} \approx \frac{\sum_f e_f^2 \Delta q_f(x, Q^2) \int dz D_f^h(z, Q^2)}{\sum_f e_f^2 q_f(x, Q^2) \int dz D_f^h(z, Q^2)}$$

# quark/anti-quark helicity distributions

$$A_1^h(x, Q^2) = \sum_f \frac{e_f^2 q_f(x, Q^2) \int dz D_f^h(z)}{\underbrace{\sum_f e_f^2 q'(x, Q^2) \int dz D_f^h(z)}_{P_q^h(x, z)}} \cdot \frac{\Delta q}{q}(x, Q^2)$$

**PURITY** is an unpolarised quantity

needs at least six independent asymmetry sets  $A_1^h(x)$  to determine six unknown helicity distributions:  $\Delta u, \bar{\Delta u}, \Delta d, \bar{\Delta d}, \Delta s, \bar{\Delta s}$

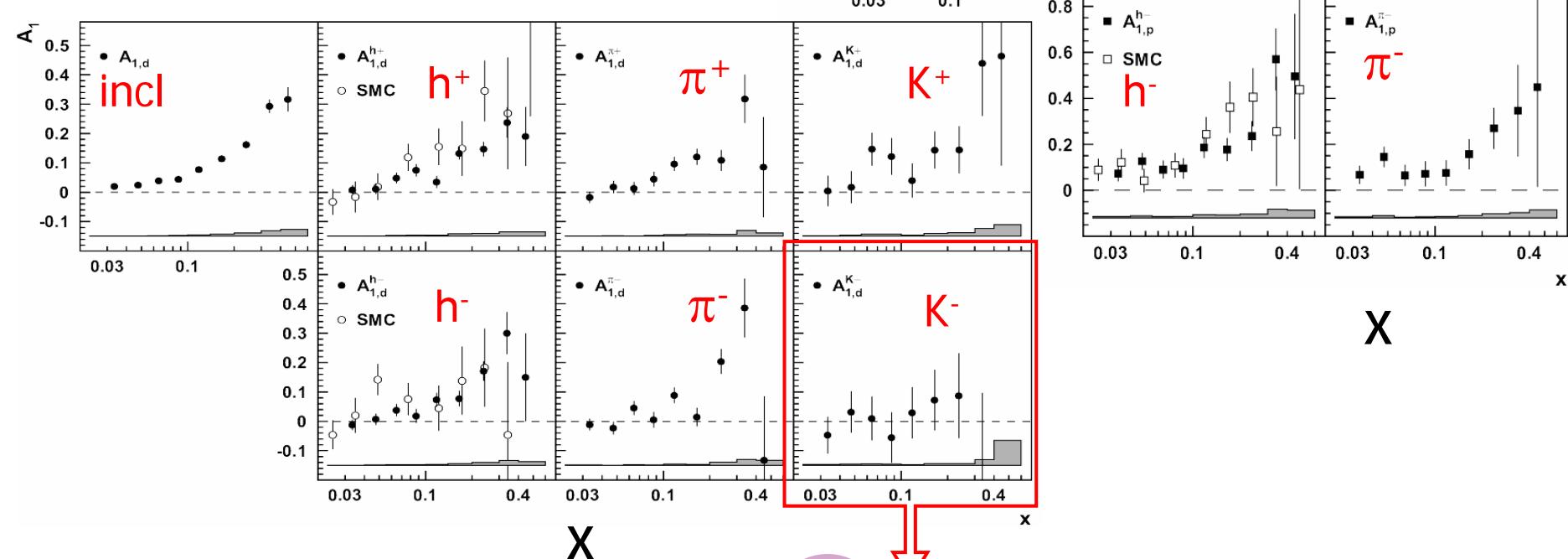
$$\vec{A} = (A_{1,p}, A_{1,d}, A_{1,p}^{\pi^\pm}, A_{1,d}^{\pi^\pm}, A_{1,d}^{K^\pm})(x)$$

$$\vec{Q} = \left( \frac{\Delta u}{u}, \frac{\bar{\Delta u}}{\bar{u}}, \frac{\Delta d}{d}, \frac{\bar{\Delta d}}{\bar{d}}, \frac{\Delta s}{s}, \frac{\bar{\Delta s}}{\bar{s}} = 0 \right)(x)$$

# measured hadron asymmetries

proton

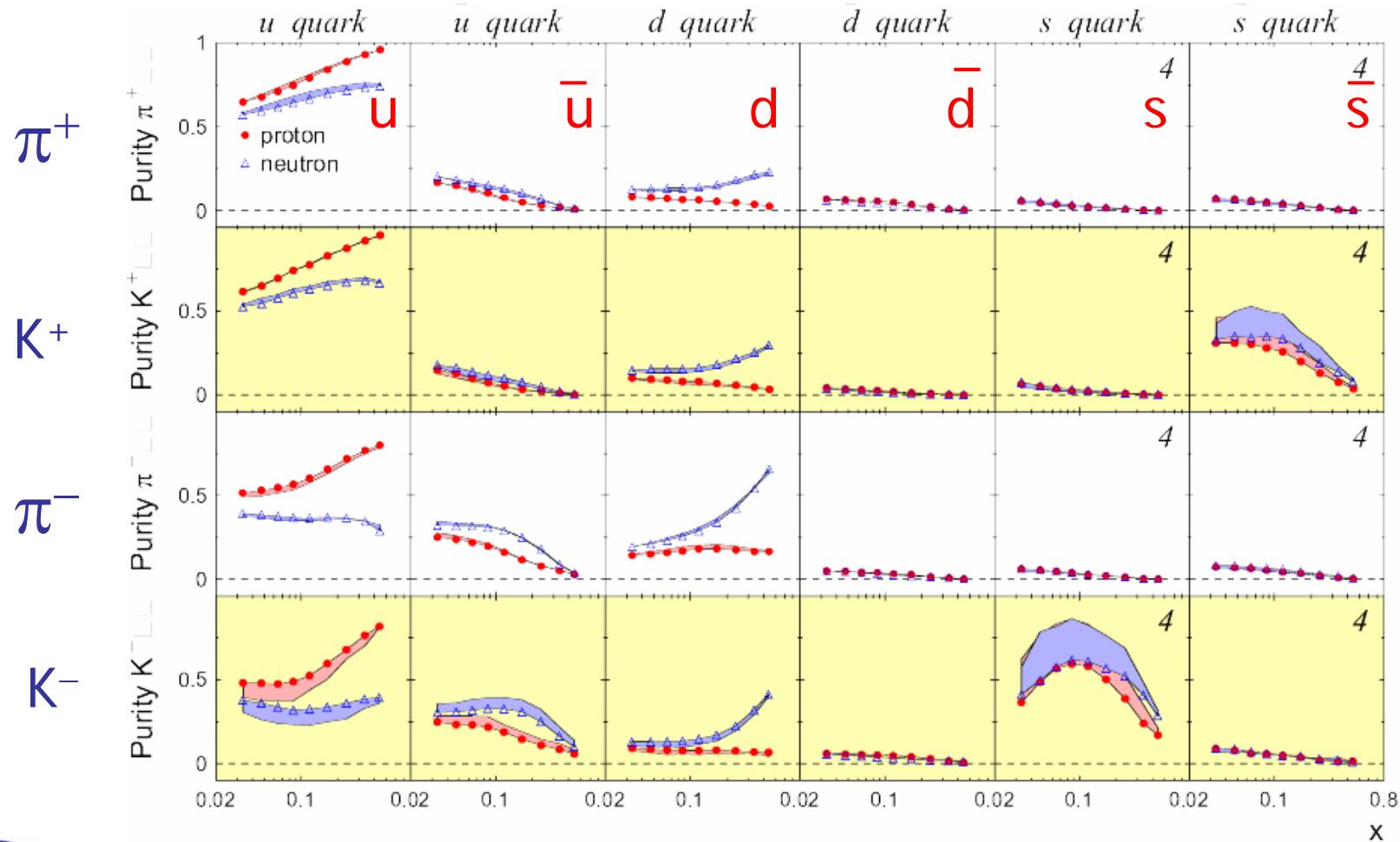
deuteron



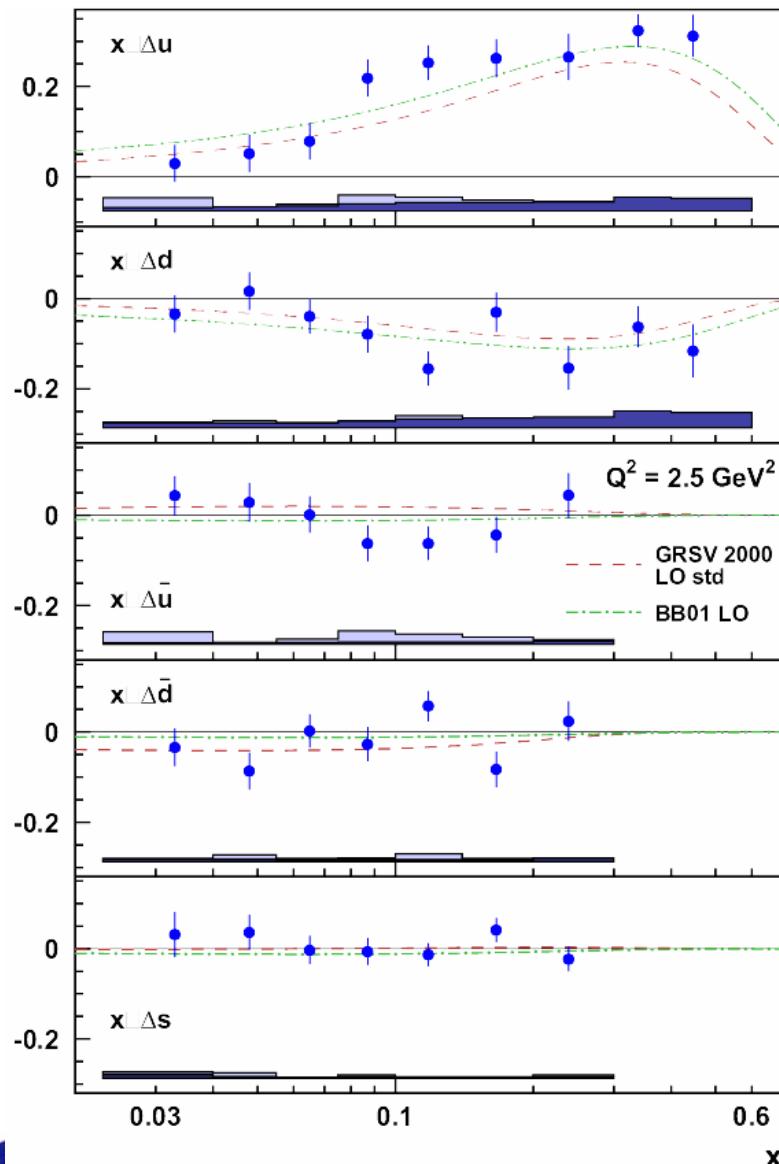
K<sup>-</sup> us all sea objects

# purities

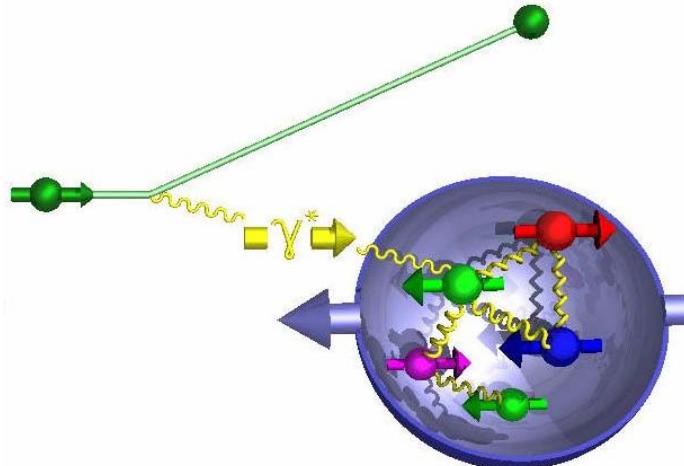
*conditional probability that  $\gamma^*$  hits a quark of flavour  $q$   
when hadron of type  $h$  was detected*



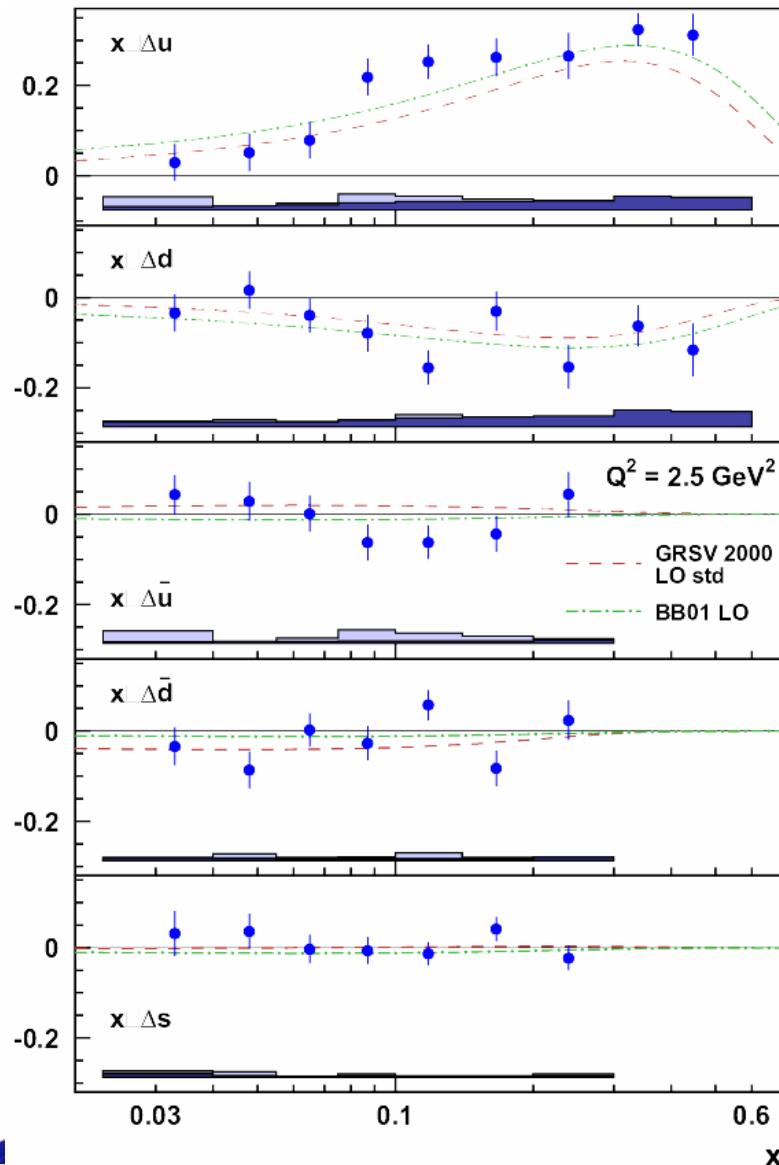
# quark/anti-quark helicity distributions



u quarks large positive polarisation

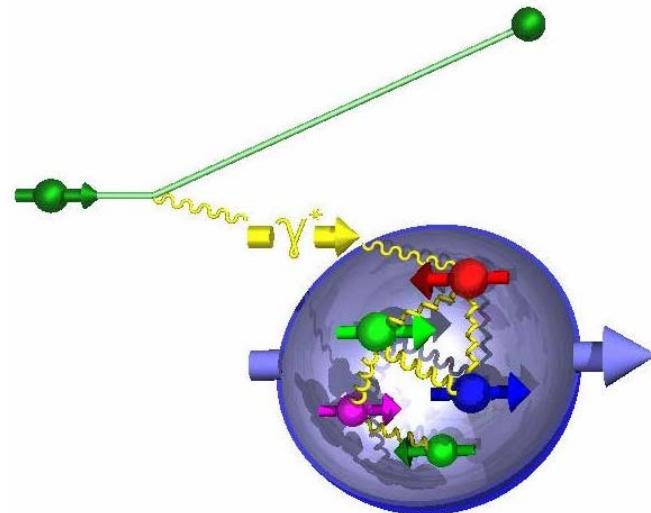


# quark/anti-quark helicity distributions



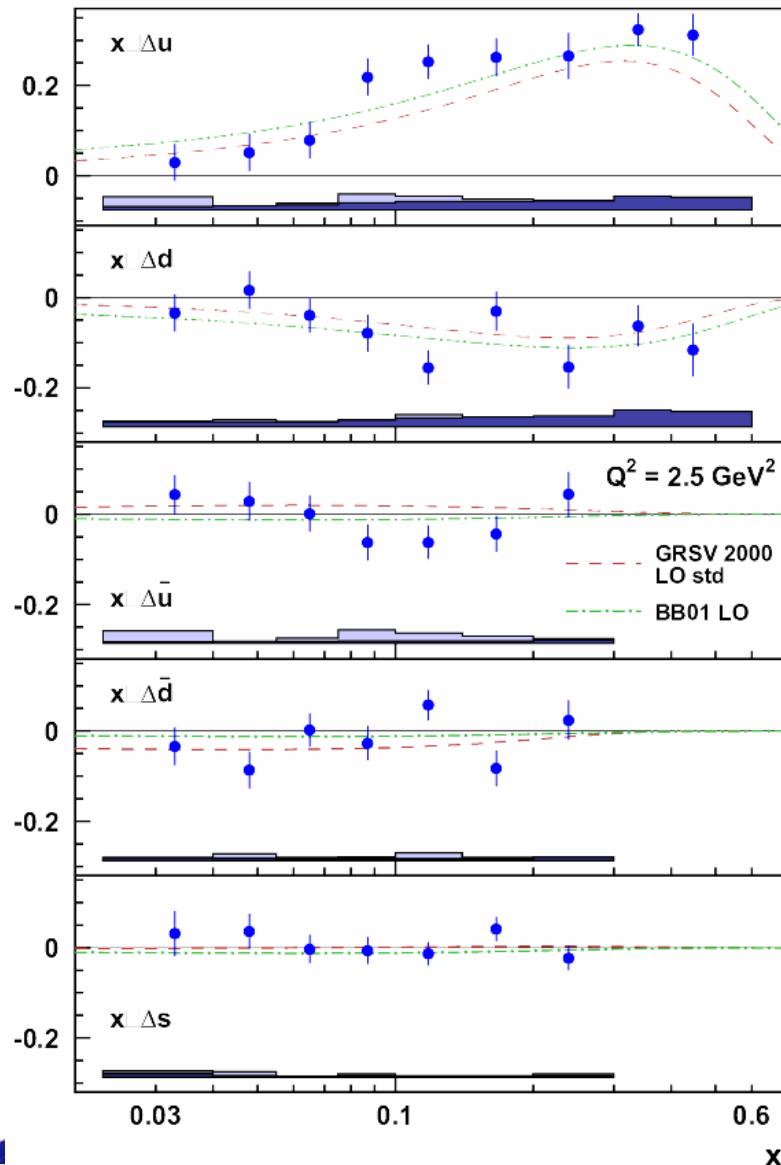
u quarks large positive polarisation

d quarks negative polarisation



Spin Physics at HERMES

# quark/anti-quark helicity distributions



u quarks large positive polarisation

d quarks negative polarisation

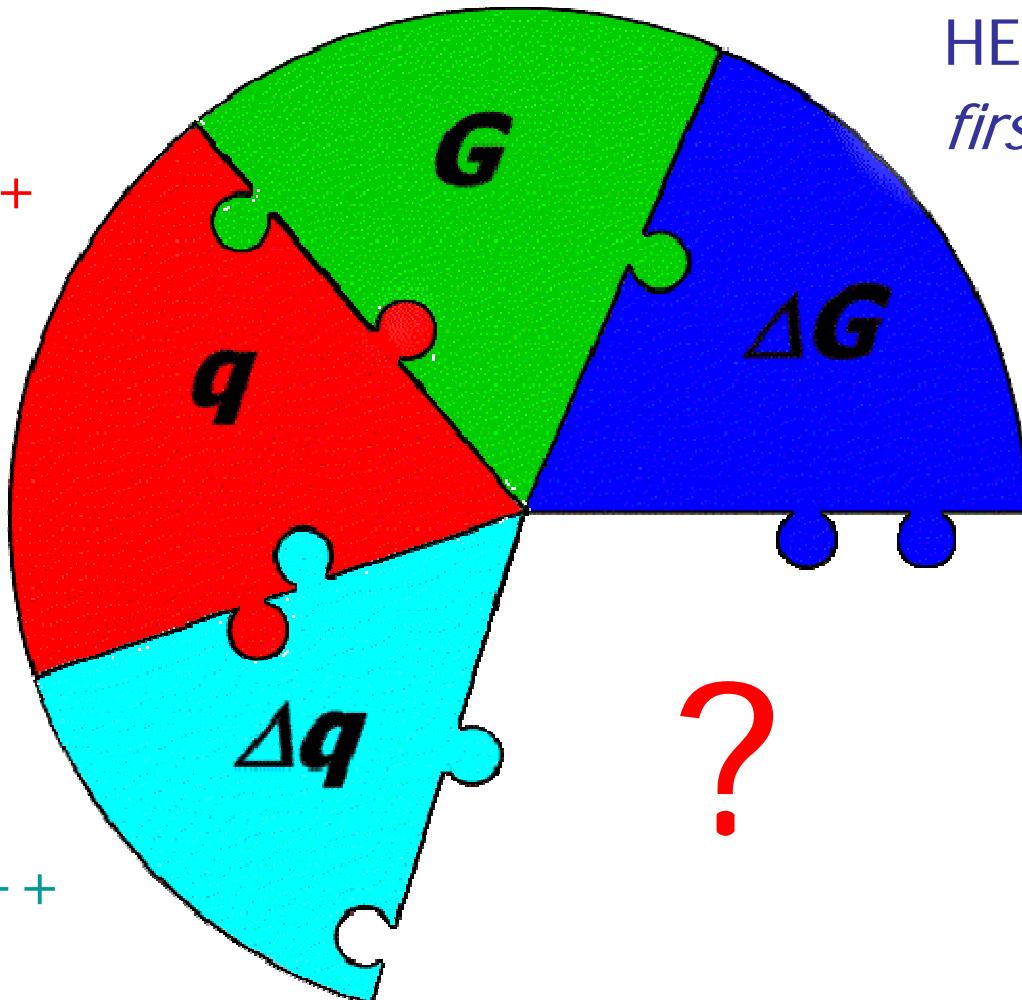
sea quark ( $\bar{u}, \bar{d}, \bar{s}, \bar{\bar{s}}$ ) compatible  
with 0

no indication for  $\Delta s < 0$

# where do we stand

the (spin) structure of the nucleon ...

H1, ZEUS, ++

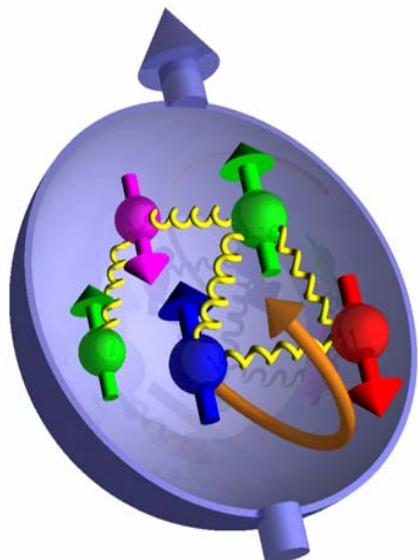


HERMES, ++

HERMES, COMPASS:  
*first glimpse*

- HERMES
- CERN
- RHIC

# don't forget the orbital angular momentum



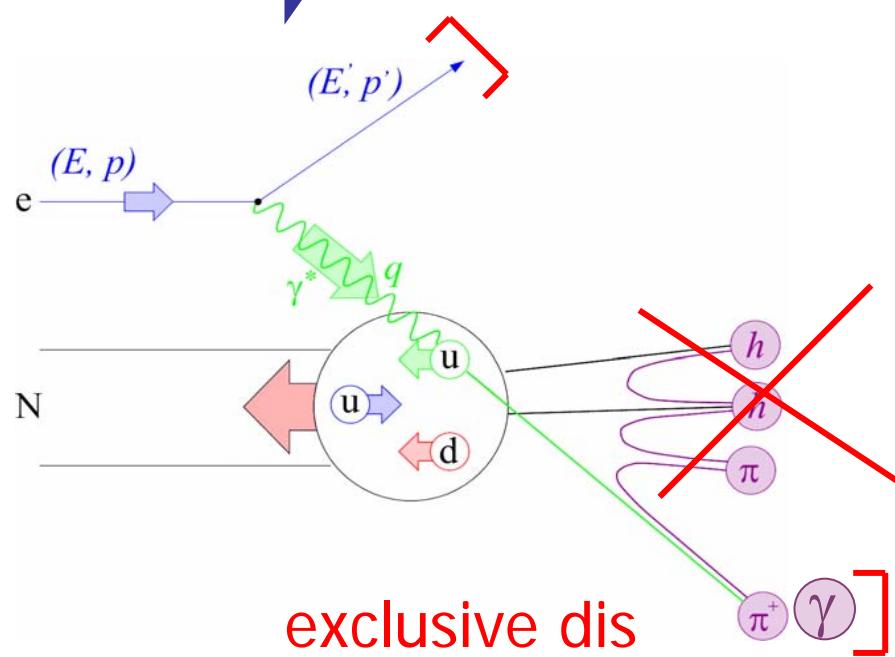
polarised  
beam

and/or

polarised  
target

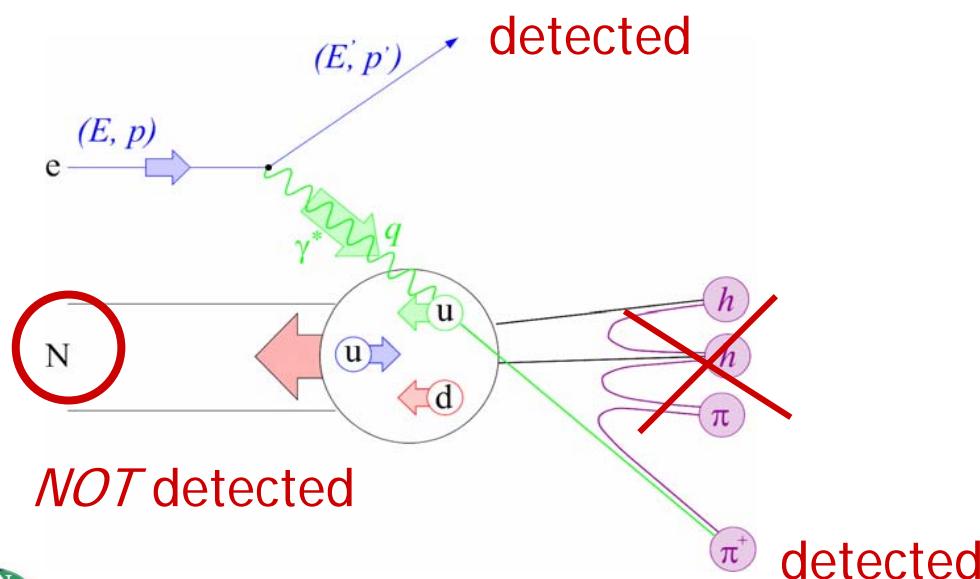
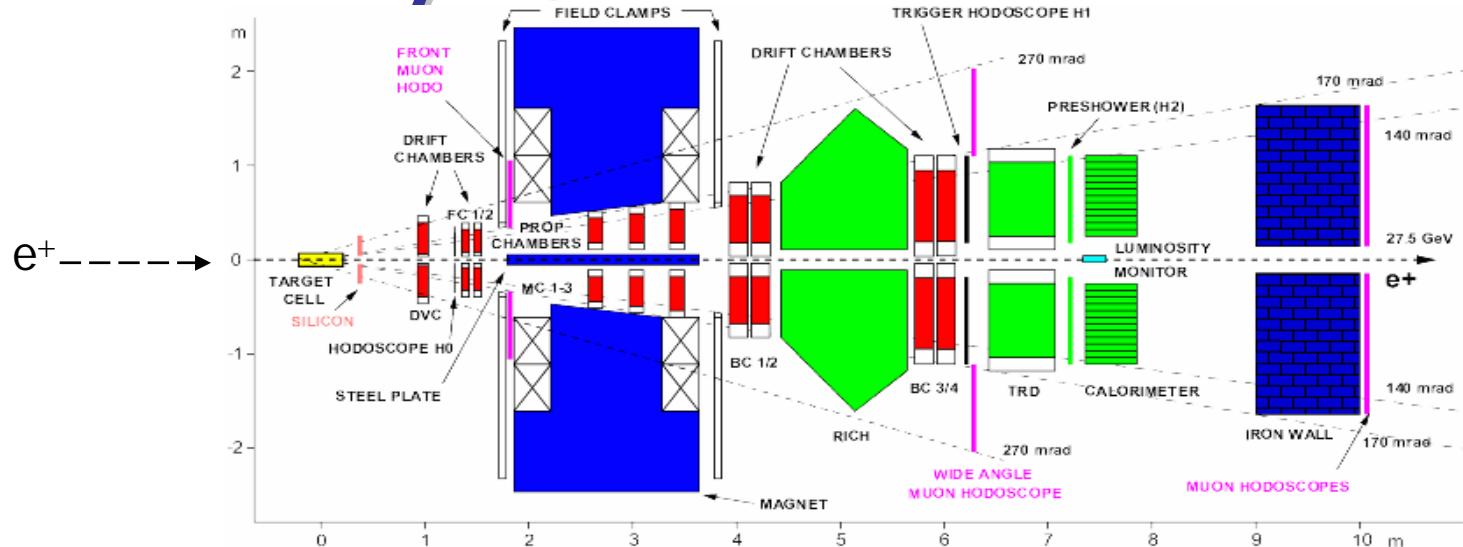
$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + L_q + \Delta G + L_g$$

→ hard exclusive processes...



Spin Physics at HERMES

# exclusivity @HERMES

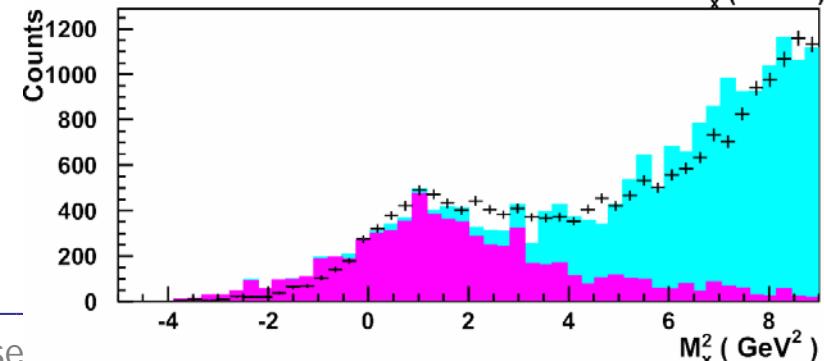
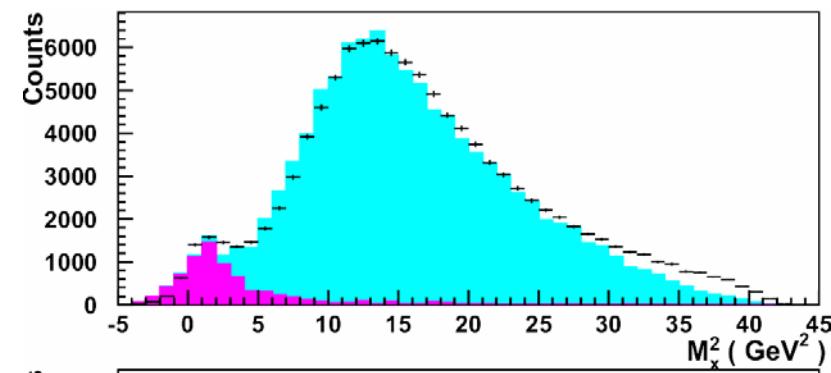
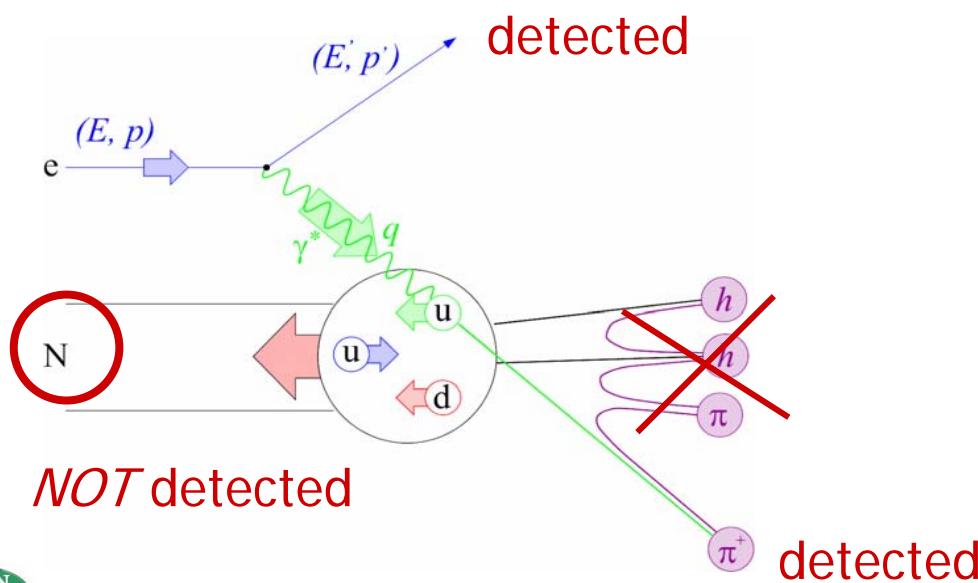
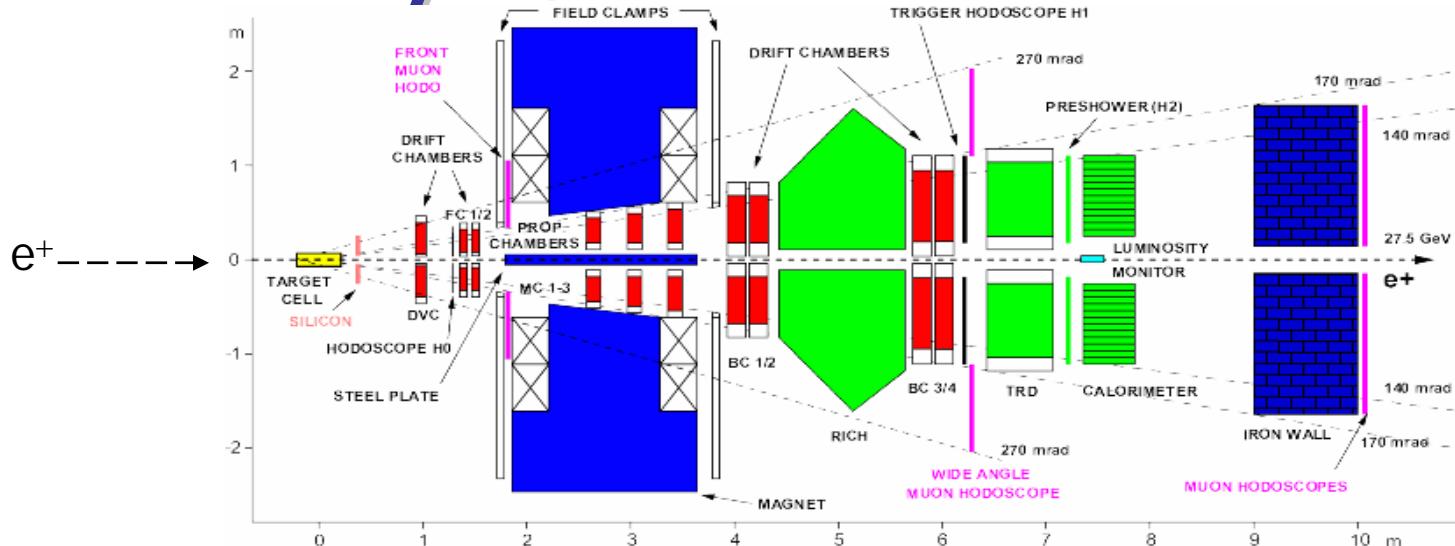


missing mass technique:

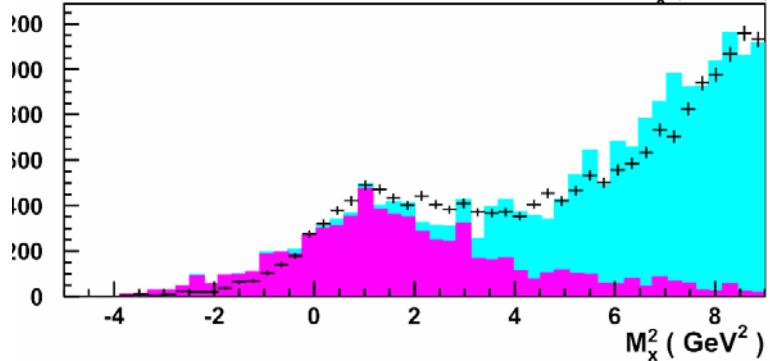
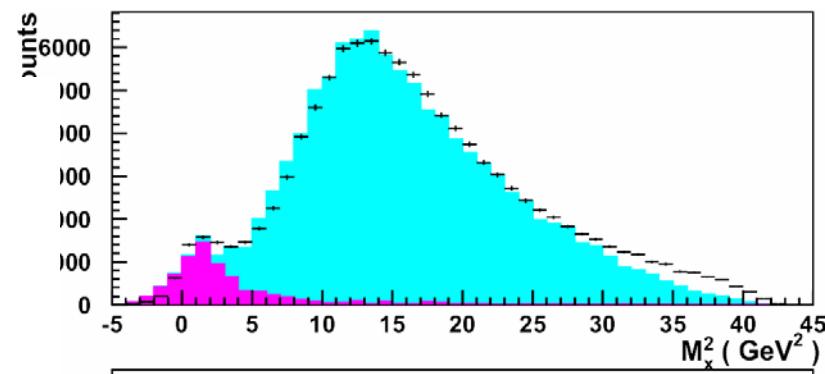
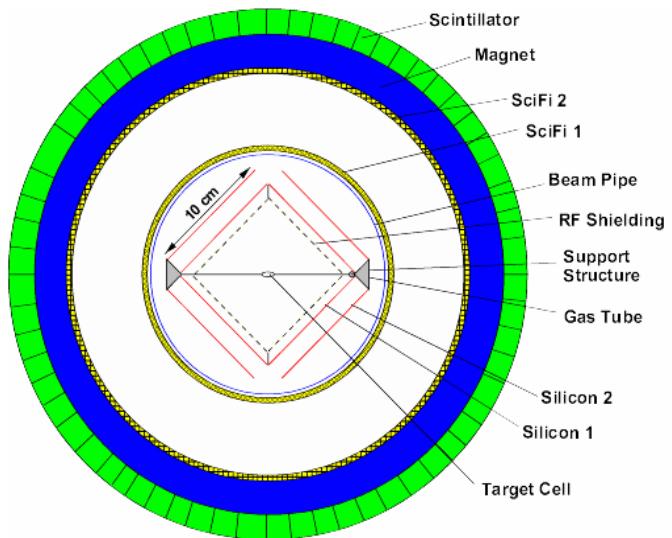
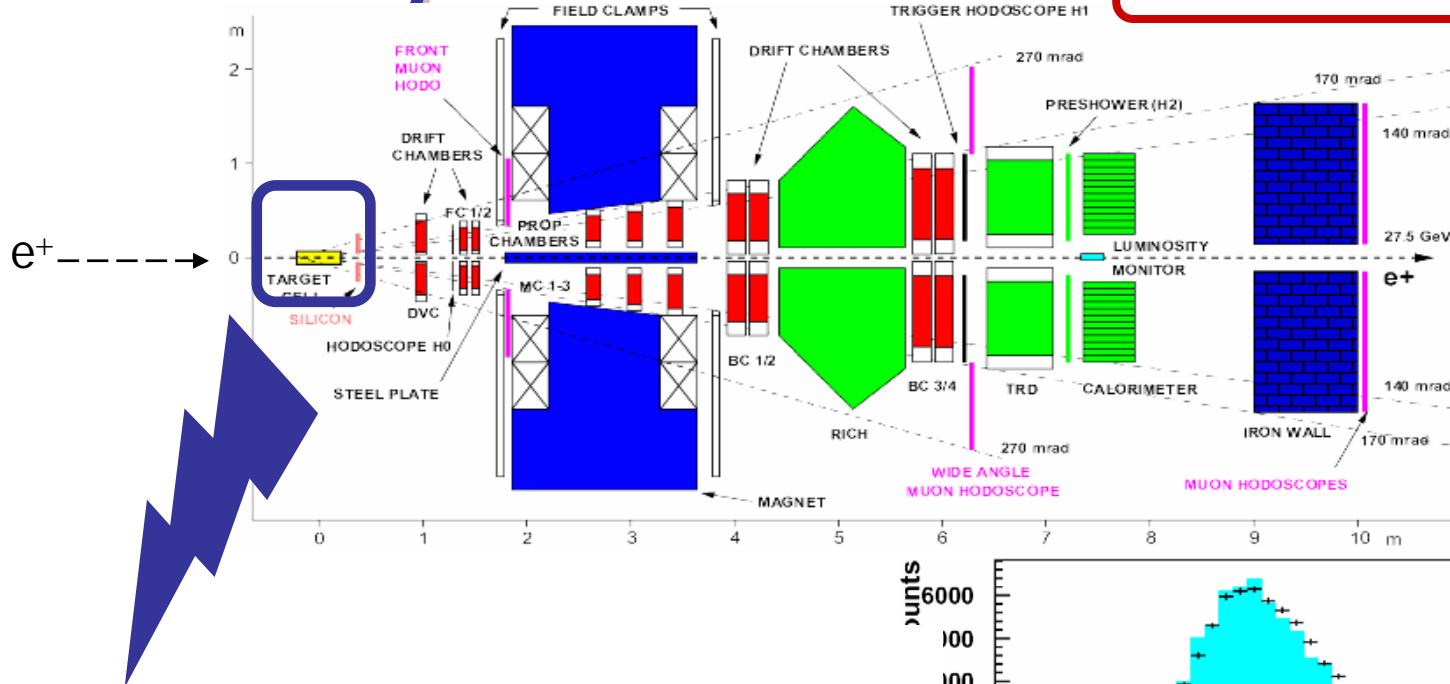
$$M_x^2 = (p + q - P_{\gamma, \pi})^2$$

$$\rightarrow \sigma(M_x) \sim 0.8 \text{ GeV}$$

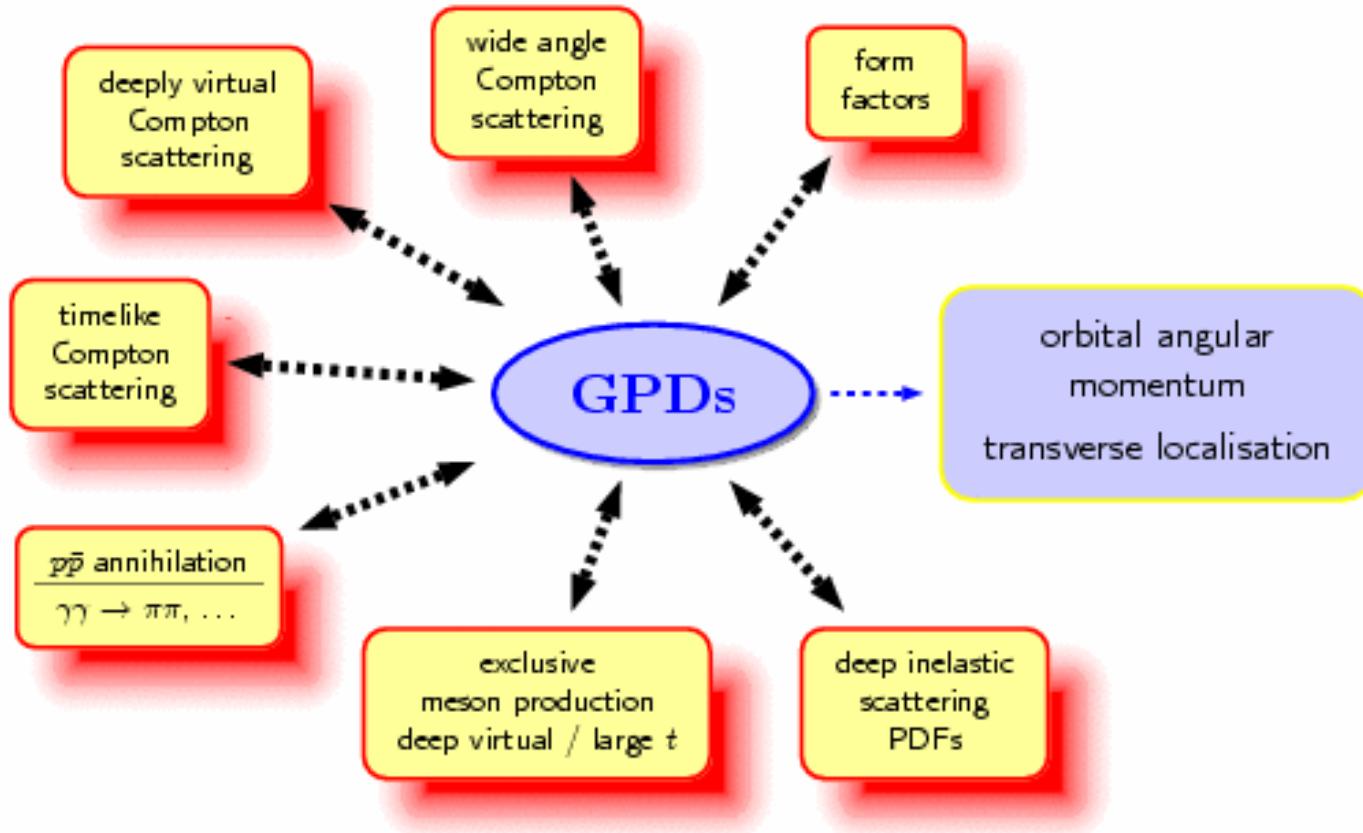
# exclusivity @HERMES



# exclusivity @HERMES 2005++



# Generalized Parton Distributions



→ Quantum number of final state selects different GPDs:

Vector mesons ( $\rho, \omega, \phi$ ):  $H$   $E$

Pseudoscalar mesons ( $\pi, \eta$ ):  $\tilde{H}$   $\tilde{E}$

DVCS ( $\gamma$ ) depends on  $H, E, \tilde{H}, \tilde{E}$

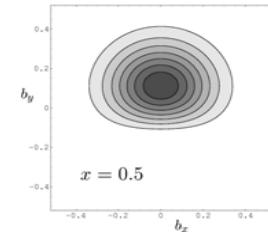
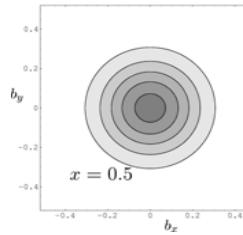
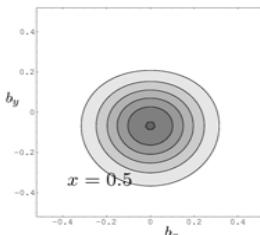
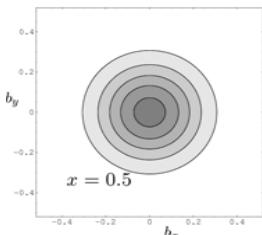
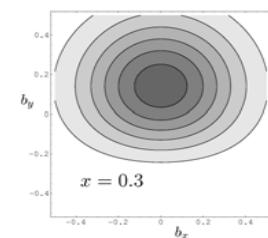
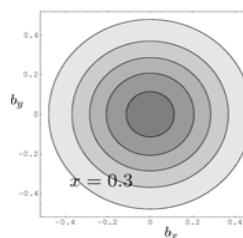
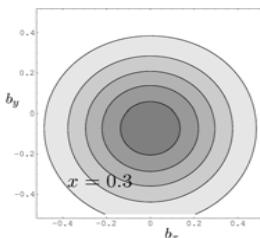
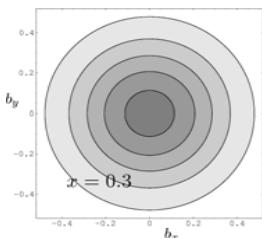
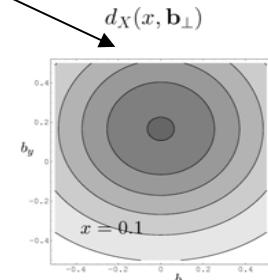
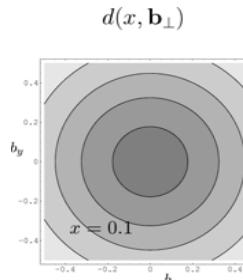
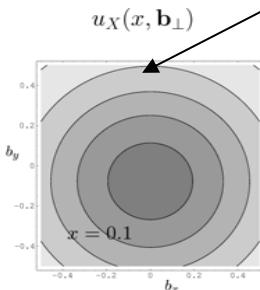
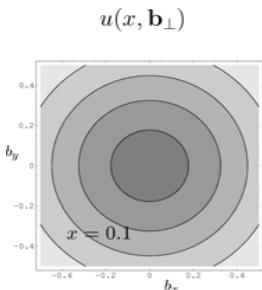
# "Tomographic" Images of the Proton's Quark Content

(In the Infinite Momentum Frame)

Impact parameter:

$$b_{\perp} = \frac{1}{\sqrt{-t}}$$

transversely polarized target



the nuclear puzzle is going  
to be completed

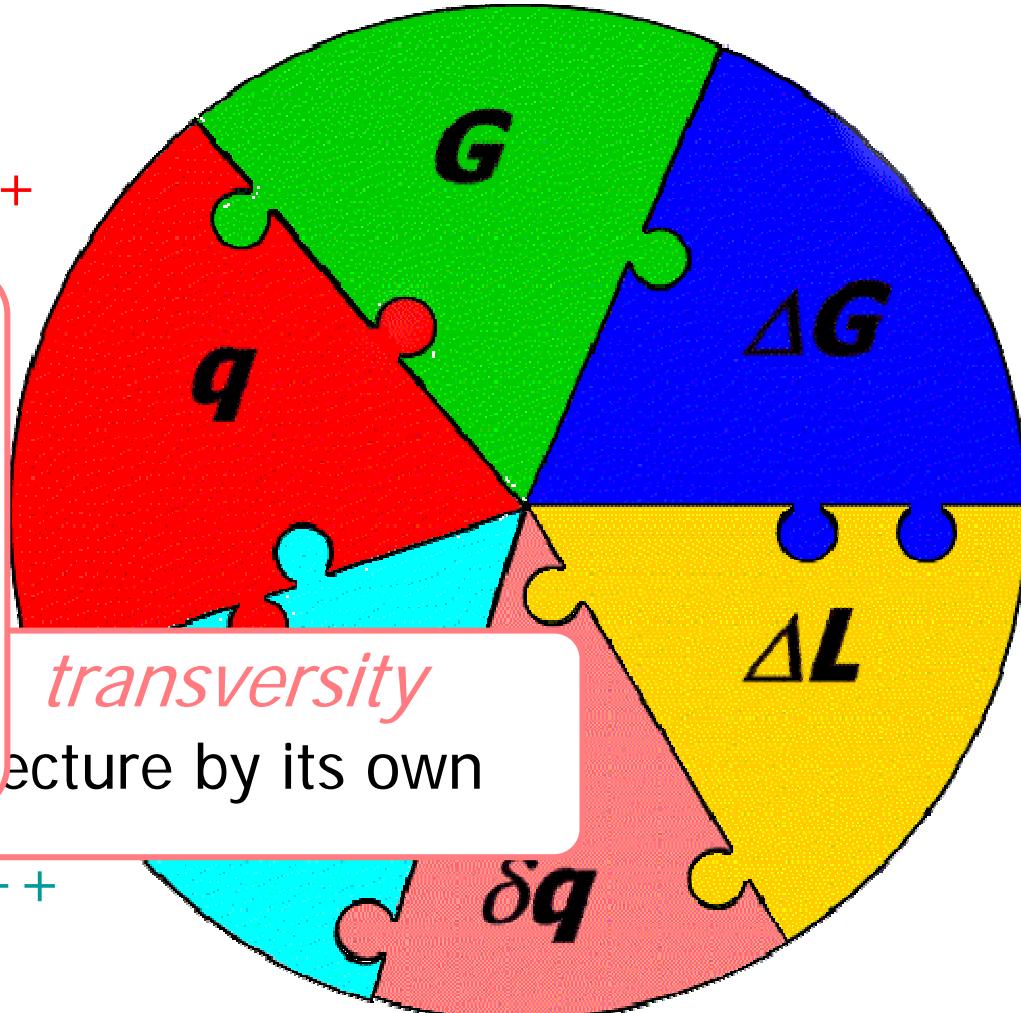
H1, ZEUS, ++

→HERMES  
→CERN  
→RHIC  
→JLab  
→KEK

...ecc.

HERMES, ++

*transversity*  
lecture by its own



HERMES:  
*first glimpse*

→HERMES  
→CERN  
→RHIC  
→SLAC

UPCOMING:  
→HERMES  
→JLab

# Still fascinated by Spin ?

Thank you !

