

Measurements of b and c fragmentation functions and of orbitally excited B states



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Content

1. Studies of Hadronisation

- ▶ Hadronisation terminology
- ▶ Fragmentation models
- ▶ Results in the c and b sector
- ▶ Approach via moments
- ▶ Fragmentation at hadron colliders

2. Studies of excited states

- ▶ General ideas of HQET
- ▶ HQET predictions
- ▶ Measurements in the b sector

3. Conclusions

Hadronisation of quarks

Strong coupling α_s too large
 → rely on pQCD + Model

common variables:

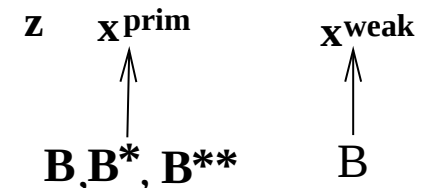
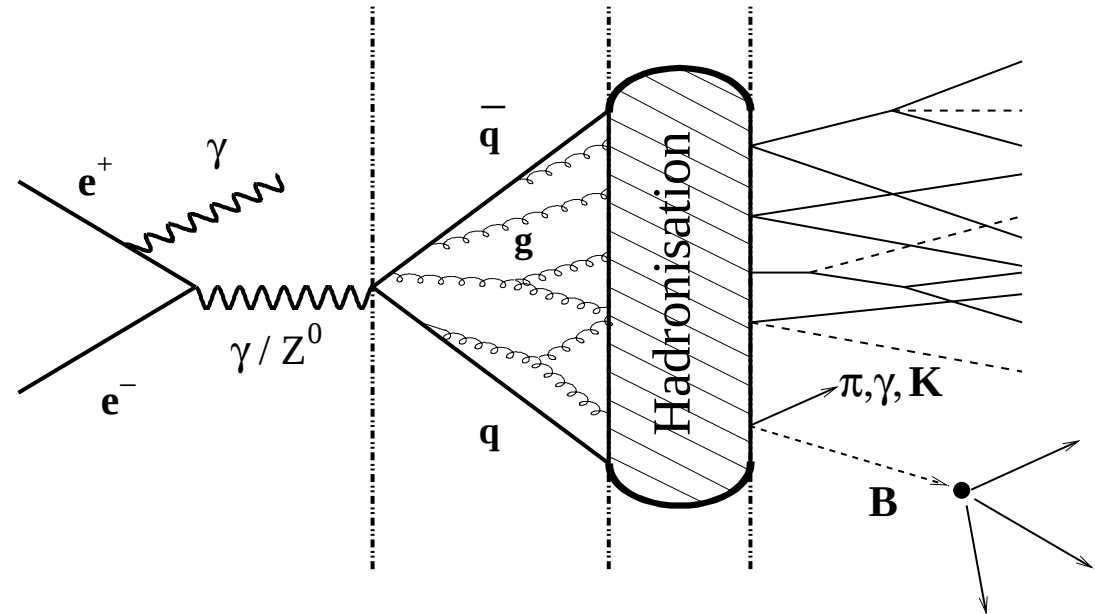
physical variables:

- ▶ $x^{prim} = \frac{E^{prim.B}}{E^{beam}}$:
primary (excited) state
- ▶ $x^{weak} = \frac{E^{weak}}{E^{beam}}$:
weakly decaying state

String fragmentation variable:

$$\text{▶ } z = \frac{(E+p_{\parallel})_{hadron}}{(E+p)_{q_0}}$$

where $(E+p)_{q_0}$ is the
 energy/momentum of the quark
after gluon emission



Fragmentation models (in chronological order)

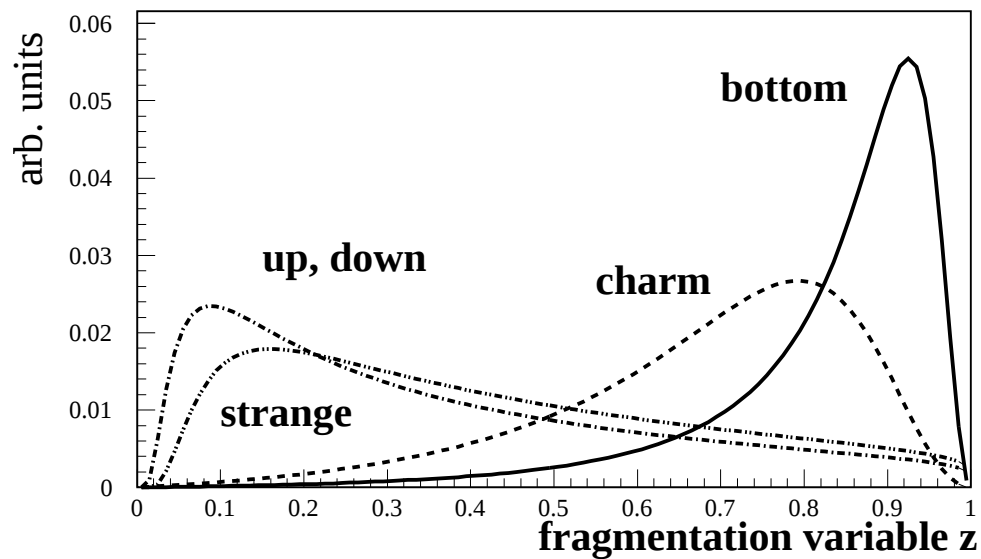
- ▶ Kartvelishvili et al. (1978): $f(z) \propto z^\alpha (1 - z)$
from heavy meson structure functions, developed for c physics
- ▶ Bowler (1981) $f(z) \propto \frac{1}{z^{1+r_b m_\perp^2}} z (1 - z)^a \exp\left(\frac{-bm_\perp^2}{z}\right)$
constant probability for $q\bar{q}$ creation per space-time interval
- ▶ Peterson et al. (1982): $f(z) \propto z^{-1} \left(1 - \frac{1}{z} - \frac{\epsilon}{1-z}\right)^{-2}$
from kinematical considerations: Energy transfer in process $Q \rightarrow H + q$
- ▶ Lund (1983) $f(z) \propto z^{-1} (1 - z)^a \exp\left(\frac{-bm_\perp^2}{z}\right)$
starting on left/right end of string gives symmetric result
- ▶ Collins-Spiller (1984) $f(z) \propto \left(\frac{1-z}{z} + \frac{2-z}{1-z}\epsilon\right)(1+z^2)\left(1 - \frac{1}{z} - \frac{\epsilon}{1-z}\right)^{-2}$
calculation based on a scheme also used for hadron structure functions

historically: fit for energy of weakly decaying state x

modern analyses: hadronisation has to be evaluated in fragmentation variable z

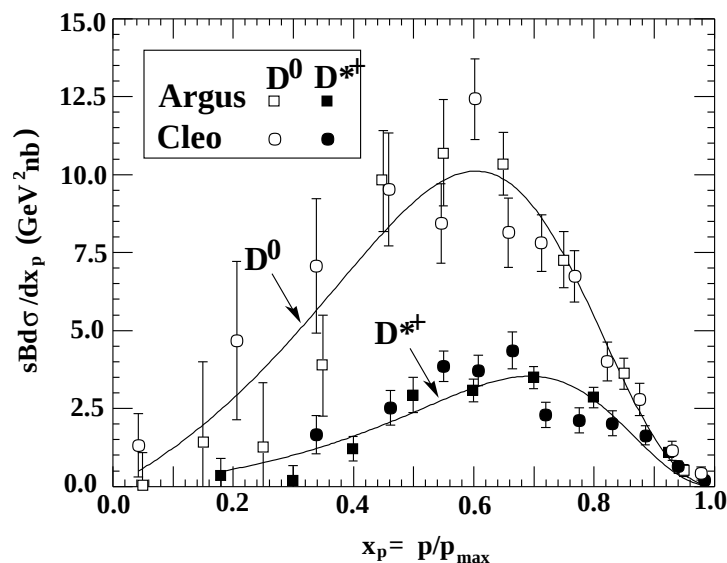
Expectations for different quark species

e.g. Peterson: $f(z) \propto \frac{1}{z\left(1 - \frac{1}{z} - \frac{\epsilon}{1-z}\right)^2}$



- ▶ $\epsilon \approx \frac{m_q^2}{m_Q^2}$, treated as free parameter
- ▶ harder spectrum for heavier quarks
- ▶ common to all models, exact shape depends on parametrisation

Results from charm sector



Cleo: Phys.Rev D37, 1719 (1988),

Argus: Z. Phys. C52, 353 (1991)

Variable: $x = \frac{p(D)}{p_{\text{max}}(D)}$

Fit Peterson function to x

open questions:

- ▶ Same spectrum for
 - ▷ weakly decaying mesons created directly at the end of the fragmentation process
 - ▷ weakly decaying mesons originating from decay of excited states?
- ▶ Same spectrum for all mesons within a family, i.e. same spectrum for $D^0, D^\pm, D_s$, etc?

Results from the b sector (1)

- ▶ Aleph (Phys. Lett. B 512): exclusive
reconstruct $B \rightarrow D^{(*)}l\nu$ in 5 channels
- ▶ Opal (hep-ex/0210031): inclusive
reconstruction of B hadrons from weak B decay vertices,
lepton from B decay vertex, charged and neutral decay
products, reconstruct based on likelihood.
- ▶ SLD (Phys. Rev. D 65): inclusive
reconstruct B energy from vertex flight directions and
charged B decay products
- ▶ Delphi (prelim.): inclusive
see next transparency

Results from the b sector (2)

Delphi (prelim):

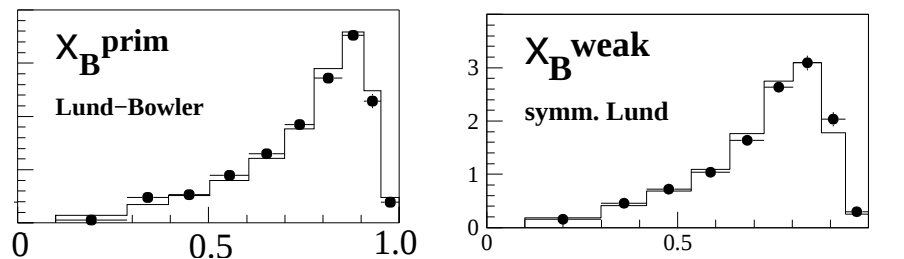
inclusively reconstruct z , x_B^{prim} , x_B^{weak} with NN, regularised unfolding

(RUN , V.Blobel, Hamburg)

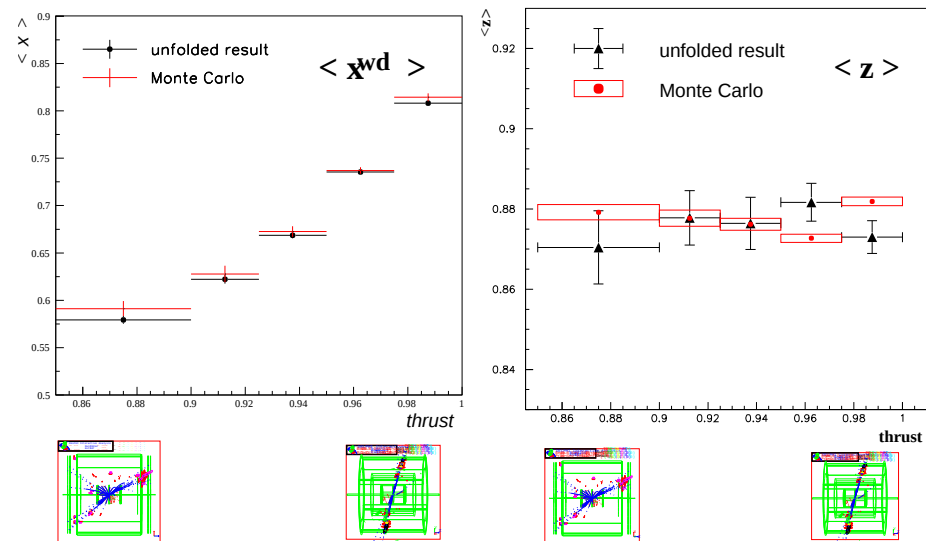
Effect of hard gluon radiation:

unfolded spectrum

best model-fit
overlayed



- hard b -quark fragmentation
- Lund, Bowler fit data best

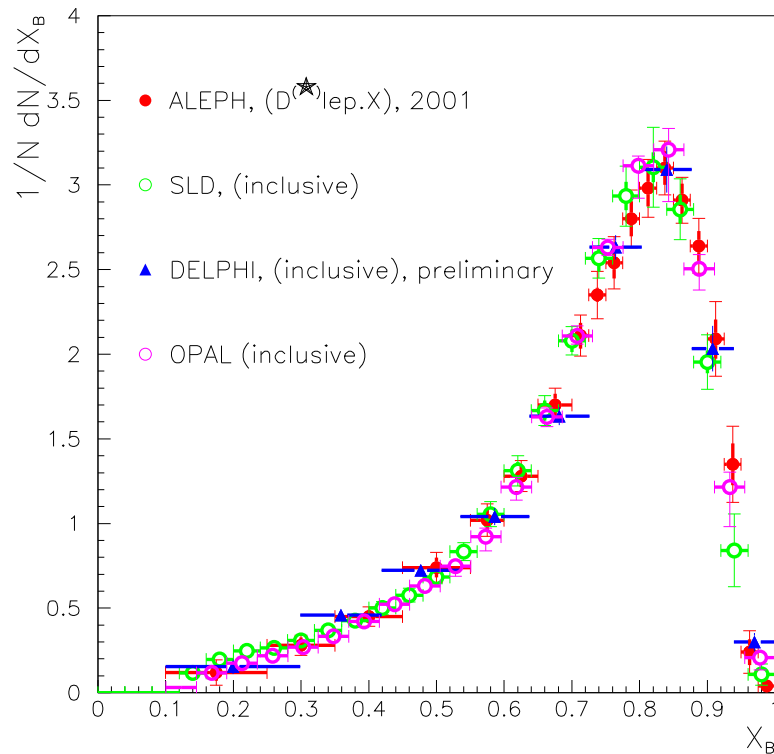


thrust $T \approx 1$: 2-jet event

thrust $T \approx 0.5$: isotropic topology

x_B^{weak} varies with gluon emission,
 z (describes hadronisation) does not

Unfolded x^{wd} spectrum



- high precision measurements
- good agreement between experiments

experiment	$\langle x^{wd} \rangle$
Aleph	$0.7163 \pm 0.0061 \pm 0.0056$
Delphi (prelim)	$0.7131 \pm 0.0007 \pm 0.0070$
Opal	$0.7193 \pm 0.0016^{+0.0036}_{-0.0031}$
SLD	$0.709 \pm 0.003 \pm 0.003 \pm 0.002$

Tests of fragmentation models (1)

Aleph, Opal, SLD:

reweight default Monte Carlo:

- ▶ different fragmentation models
- ▶ Model parameters

→ determine best choice via χ^2 fit.

Delphi:

unfold detector effects,

χ^2 fit **at generator level** to determine best hadronisation model.

Test of fragmentation models (2)

χ^2/ndf from fit of weakly decaying B hadron:

model	Aleph	Delphi (prelim)	Opal	SLD
Bowler	—	31/7	67/44	17/15
Lund	—	12/7	75/44	17/15
Kartvelishvili et al.	107/84	—	99/45	32/16
Peterson et al.	117/94	336/8	159/45	70/16
Collins-Spiller	181/94	—	407/45	142/16

- ▶ Lund, Bowler favoured over Peterson, Kartv., CS
- ▶ same ranking seen by all experiments
- ▶ SLD, Opal: Also Herwig, but disfavoured
- ▶ Delphi (prelim.): consistent picture for x^{prim} , z (also Kartv., CS disfavoured)

New approach: Moment analysis

Motivation: Be independent of fragmentation model

Idea: (Cacciari et al.) exploit factorisation ansatz (hep-ph/0301047)

1. in x space: convolution: $D^{meas}(x) = \int D^{pert}(x') \otimes D^{non-pert}(x') dx'$
2. Mellin-Transform $\tilde{D}(N) = \int dx x^{N-1} D(x) \rightarrow$ moment space.
3. now: **product** instead of convolution: $\tilde{D}^{meas}(N) = \tilde{D}^{np}(N) * \tilde{D}^{pert}(N)$
4. take perturbative part from calculation to extract
non-pert. part from measurement: $\tilde{D}^{np}(N) = \frac{\tilde{D}^{meas}(N)}{\tilde{D}^{pert}(N)}$
5. inverse Mellin-Transform: non-pert. part in x space

keep in mind:

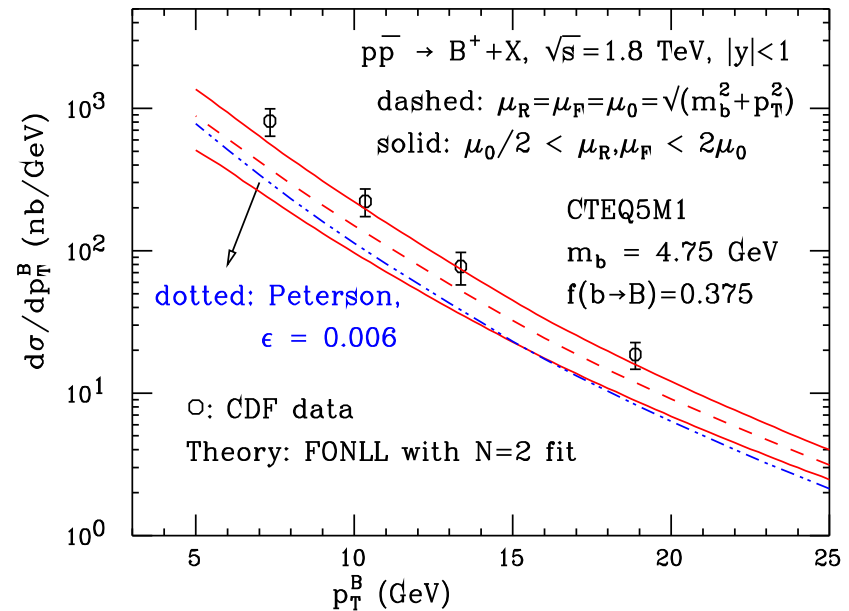
- Experiments measure binned x spectrum
→ very high correlation between extracted moments

Applications

Tevatron data:

improved agreement of $\sigma_{b\bar{b}}$ measurement and prediction by:

- ▶ using perturbative calculation by Cacciari et al.
- ▶ using other hadronisation models than Peterson (ad-hoc model similar to Kartvelishvili used here)



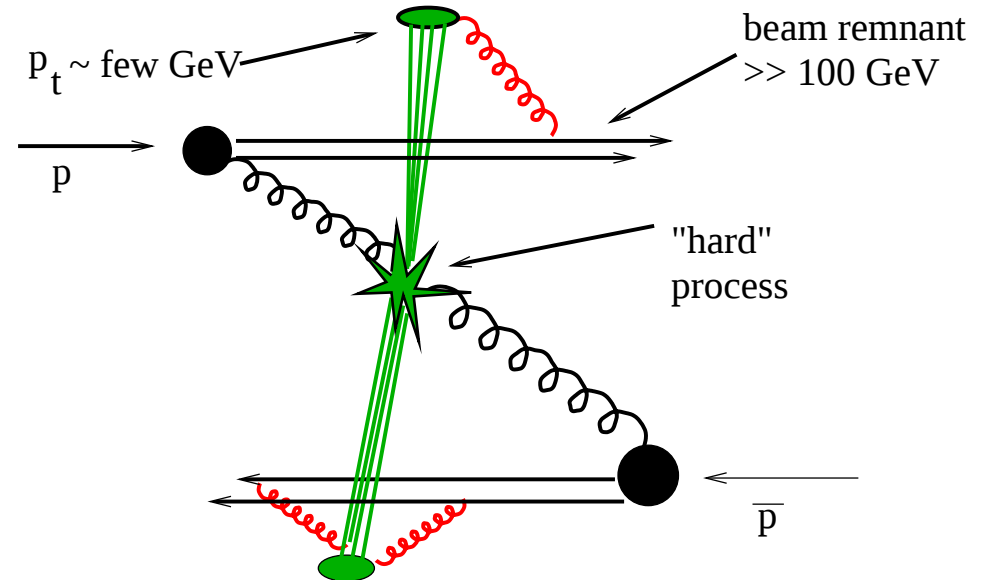
e^+e^- data: E. Ben-Haim et al. (hep-ph/0302157)

- ▶ b -fragmentation function peaked in high z regime.
- ▶ Lund, Bowler model describe data best, other models disfavoured.

Fragmentation at hadron colliders

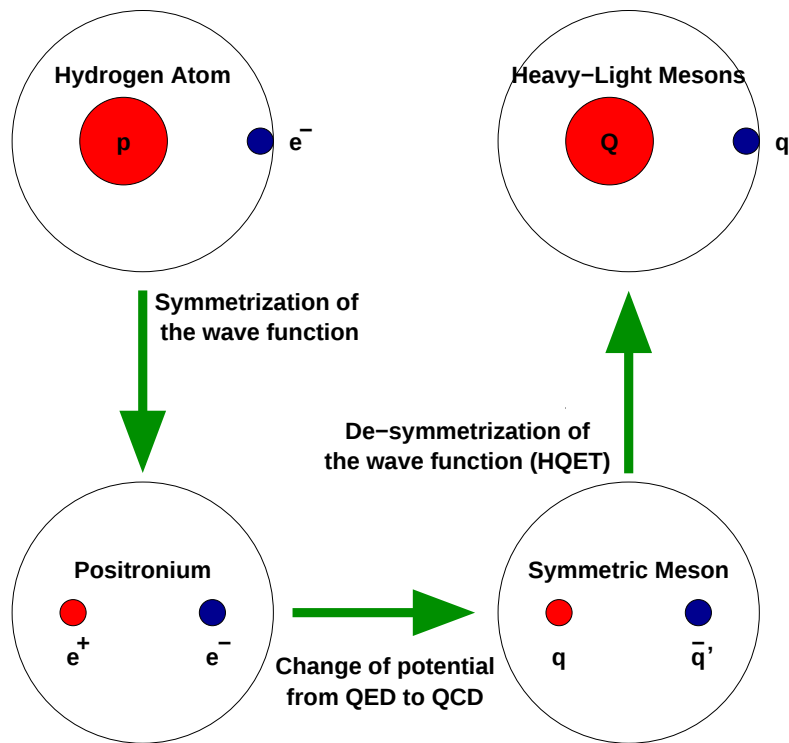
Fragmentation is more complex at hadron machines:

- ▶ no colour-singlet initial state
- ▶ many gluons from other particles
- ▶ colour connections not necessarily between $Q\bar{Q}$
- ▶ large $\sigma_{b\bar{b}}$ ($\mathcal{O}(\mu b)$) but $\sigma_{inel} \approx 1000\sigma_{b\bar{b}}$
- ▶ $\sigma_{b\bar{b}}$ dropping quickly with p_t
 $\rightarrow \sigma_{b\bar{b}}^{FNAL} \approx \sigma_{b\bar{b}}^{LEP}$ at $p_t \approx 35$ GeV



Part 2: Excited Mesons and spectroscopy

General Idea of HQET



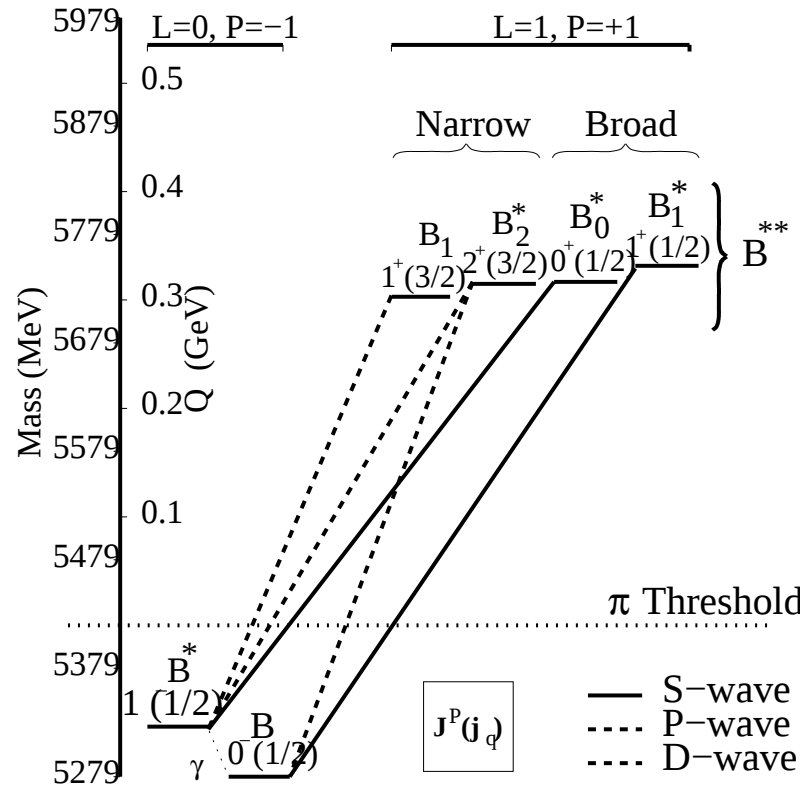
- Analogy to hydrogen atom: heavy quark as static colour source in $Q\bar{q}$ system
- $\mathcal{L}_{eff}$ symmetric under $b \leftrightarrow c$
- spin of light and heavy quarks decouple:

$$s_q \oplus L \rightarrow j_q$$

$$s_Q \oplus j_q \rightarrow J$$

HQET predictions

4 predicted orbitally excited ($L = 1$) Mesons:



Production fraction:

70% : $L = 0$, 30% : $L = 1$,

e.g. from spin-counting:

$B_0^* : B_1^* : B_1 : B_2^* = 1 : 3 : 3 : 5$

(other definitions possible)

decay modes

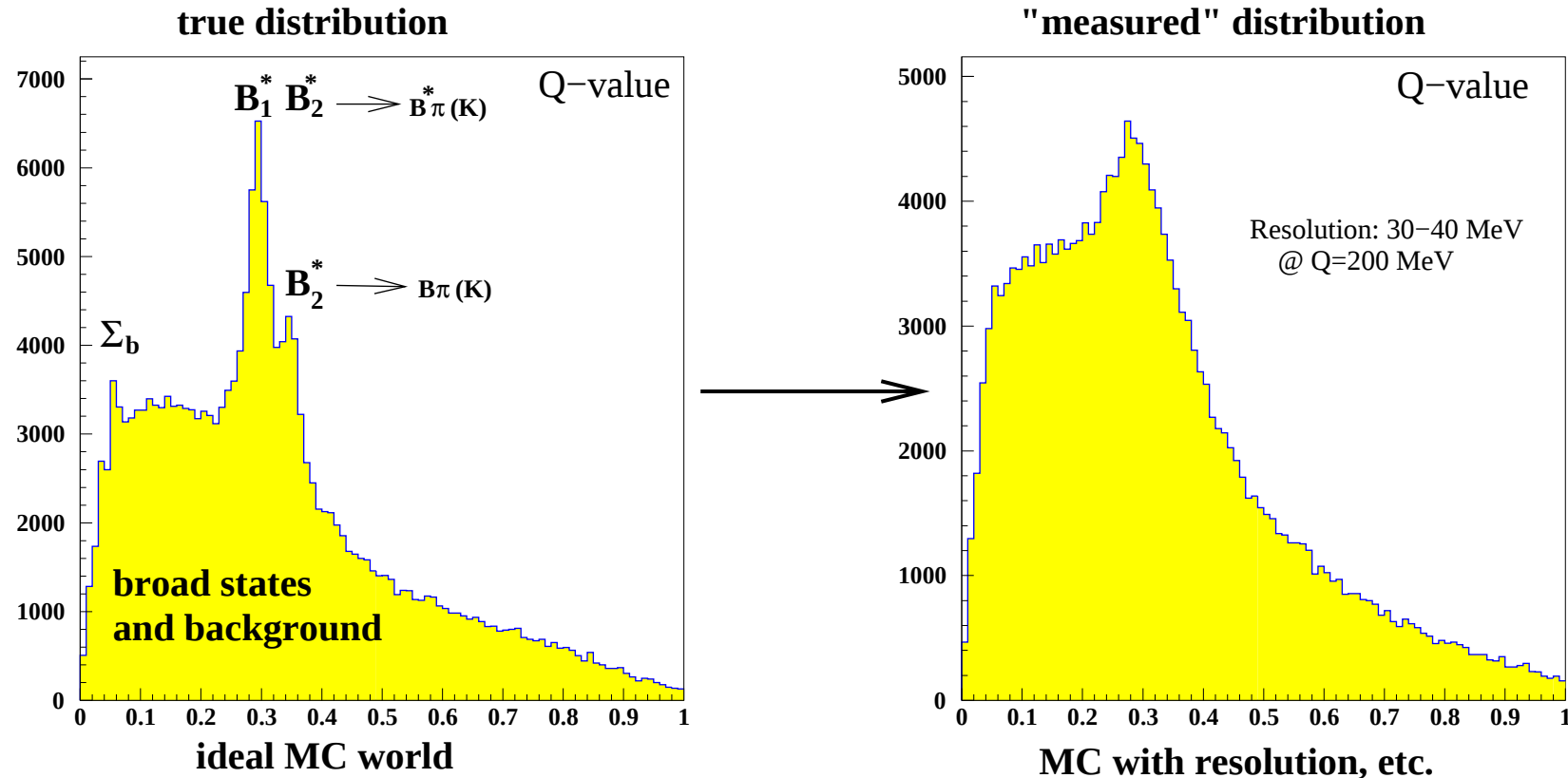
(2 narrow, 2 broad states):

obtained from quantum numbers.

$L = 0$ (s-wave, broad),

$L = 2$ (d-wave, narrow)

experimentally accessible variable



observable: Q-value: (remaining kinetic energy)

$$Q = m(B\pi) - m(B) - m(\pi) \text{ for } B^0, B^\pm,$$

$$Q = m(BK) - m(B) - m(K) \text{ for } B_s$$

Excited B states analyses

- ▶ Delphi (Phys. Lett B345, 1995): inclusive
- ▶ Opal (Z. Phys. C66, 1995): inclusive
- ▶ Aleph (Z. Phys. C69, 1996): inclusive
- ▶ Aleph (Phys. Lett. B425, 1998): exclusive
 $B \rightarrow D^{(*)} X$ where $X = \pi, \rho, a_1$, $B \rightarrow J/\Psi(\Psi') X$ where $X = K^{(*)}$
- ▶ L3 (Phys. Lett. B465, 1999): inclusive
- ▶ CDF (Phys. Rev. D64, 2001): semi-exclusive
primary $\pi^\pm \oplus$ part. rec. B in semi-lep. decay to charm
- ▶ new Delphi analysis (prelim.): inclusive
dedicated neural networks for Q -value reconstruction, B^{**} enrichment, etc.

B^{**} results (1)

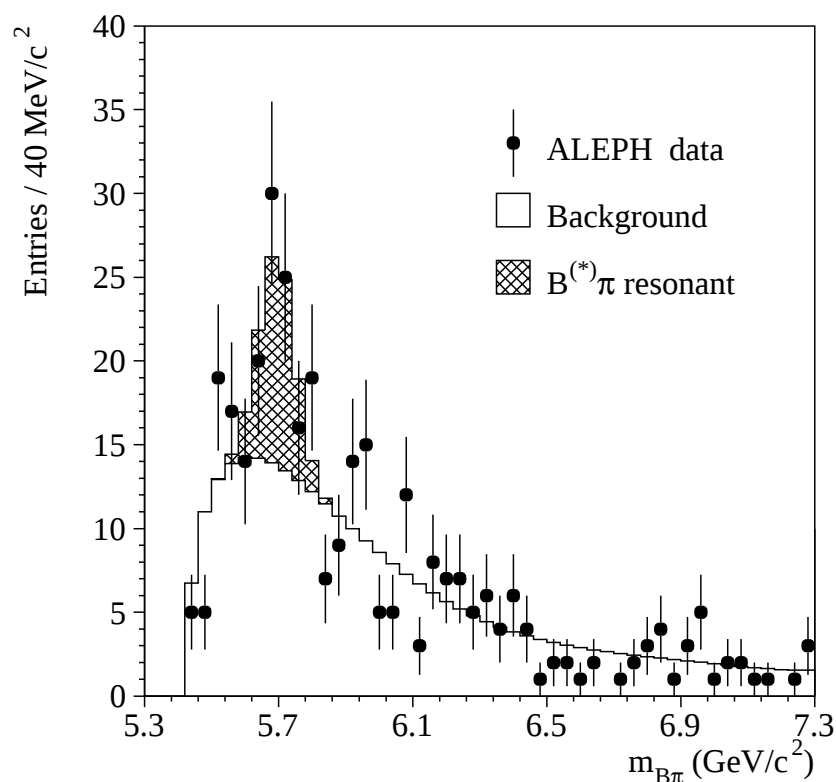
Production fractions for excited B ($L = 1$) states:

experiment	$f_{B_{u,d}^{**}}$ in % (narrow and broad states)
Delphi	27 ± 2 (stat) ± 6 (sys)
Opal	27 ± 5.6
Aleph (inclusive)	27.9 ± 1.6 (stat) ± 5.9 (sys) $^{+3.9}_{-5.6}$ (model)
Aleph (exclusive)	31 ± 9 (stat) $^{+6}_{-5}$ (sys)
L3	32 ± 3 (stat) ± 6 (sys)
CDF	28 ± 6 (stat) ± 3 (sys)
	$f_{B_{u,d}^{**}}$ in % (narrow states only)
Delphi (prelim.)	9.8 ± 7 (stat) ± 1.2 (sys)

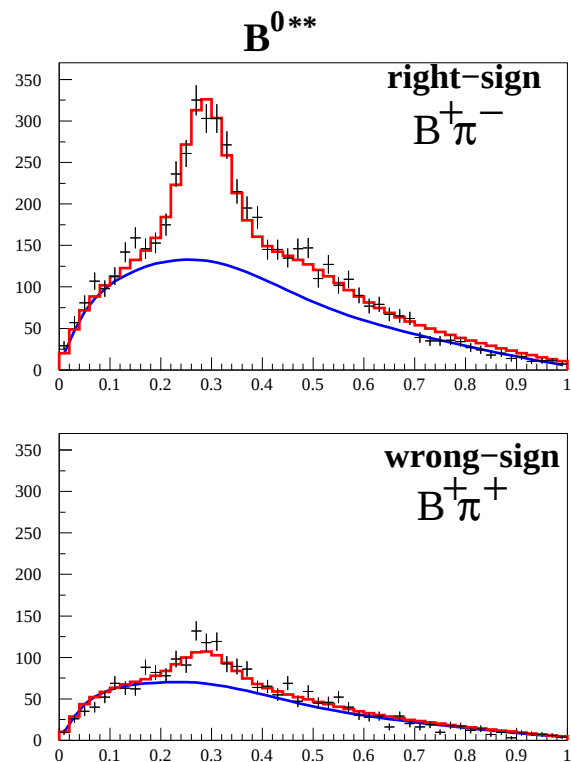
B_s^{**} :

- ▶ Opal: $f_{B_s^{**}} = 2.6 \pm 0.5$ (stat) ± 0.6 (sys) %
- ▶ Delphi (prelim.): $f_{B_s^{**}} < 1.5\%$ @95 % CL

B^{**} results (2)



Aleph exclusive: clear B^{**} signal,
background from ws combinations
in data



Delphi (prelim.):

Q -value reconstructed by neural
net, highly enriched sample
background from MC

Conclusions

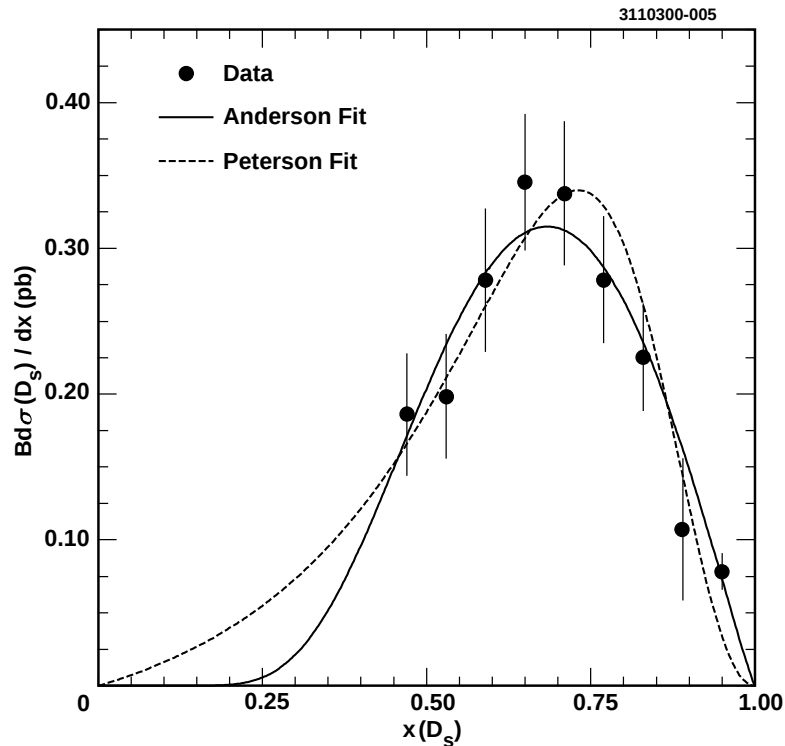
- ▶ All recent fragmentation analyses give consistent picture.
- ▶ Lund / Bowler model favoured over Peterson, etc. for heavy quarks (c, b) as well (in terms of frag. variable z).
- ▶ Moment approach promising
- ▶ Narrow ($L = 1$) $B_{u,d}^{**}$ states well established
- ▶ Ongoing efforts to separate narrow and broad states.
- ▶ B_s^{**} and $\Sigma_b^{(*)}$ still not yet unambiguously identified, work in progress.

backup transparencies

Hadronisation in MC Generators

- ▶ Herwig: Employ cluster hadronisation model:
 - ▷ split outgoing gluons into light quarks $\rightarrow$ colour singlet clusters
 - ▷ low mass clusters: consider as hadron and shift mass to appropriate value
 - ▷ high mass clusters: decay isotropically into pairs of hadrons
- ▶ Pythia/Jetset:
 - ▷ perturbative part: Parton Shower (LL, Pythia) or Colour Dipole (Ariadne)
 - ▷ non-perturbative part: Lund string model
gluon self interaction force colour connections between quarks into a small string,
create hadrons by iteratively breaking the string according to fragmentation model

CLEO analysis: D_s^{*+}



CLEO (Phys. Rev. D62 (2000)) :
Charm fragmentation into D_s^{*+} and D_s^+

exclusive reconstruction:

$$D_s^{*+} \rightarrow D_s^+ \gamma, D_s^+ \rightarrow \Phi \pi^+, \Phi \rightarrow K^+ K^-$$

Variable: $x = \frac{p(D_s^+)}{p_{max}(D_s^+)}$
(to approximate z)

→ Lund model (“Andersson”)
favoured over Peterson

SLD b-fragmentation measurement (1)

Part I: Test of frag. model

- ▶ Use Jetset, Herwig, UCLA MC-Generator $\rightarrow D_{model}^{true} x_B^{true}, \beta$
- ▶ Get reconstructed distribution by weighting:
$$D_{model}^{rec} x_B^{rec}, \beta = D_{def}^{rec} x_B^{rec} \times \frac{D_{model}^{true}(x_B^{true}, \beta)}{D_{def}^{true}(x_B^{true})}$$
- ▶ χ^2 -fit based on x_B -distribution to get optimal parameter(s) β for each model (ignore bins with low statistics)

Part II: Test of functional forms

- ▶ Consider fragmentation models in terms of x_B^{true}
- ▶ Get $D_{model}^{rec} x_B^{rec}, \lambda$ in a similar way
- ▶ Obtain optimal parameter(s) λ for each model

$\rightsquigarrow$ 8 models (with parameters β, λ) found to be consistent with the data

SLD b-fragmentation measurement (2)

Correction of B -energy distribution

use 25×25 bin matrix approach:

$$D^{true}(x_B^{true}) = \epsilon^{-1}(x_B^{true}) E(x_B^{true}, x_B^{rec}) D^{rec}(x_B^{rec})$$

where:

► ϵ : efficiency correction (from MC)

► E : detector $\otimes$ input model

$E(i, j)$: number of vertices with x_B^{true} in bin i and x_B^{rec} in bin j normalised to total number of vertices x_B^{rec} in bin j

► obtain E from MC (no iteration):

$$D_{model}^{true}(x_B^{true}, \beta/\lambda) = \epsilon^{-1}(x_B^{true}) E(x_B^{true}, x_B^{rec}) D_{model}^{rec}(x_B^{rec}, \beta/\lambda)$$

and apply to data for each model with parameters β, λ from part I and II

ALEPH b-fragmentation measurement (1)

↪ semi-exclusive approach: use $B \rightarrow l\nu D^{(*)}$ to reconstruct B energy

Part I: model-dependent analysis

- ▶ Reweight MC with $w = \frac{f(z, \epsilon)}{f_{def}(z)}$ (Peterson, CS, Kartv.)
- ▶ use χ^2 based on x_B -distribution to find optimal parameter ϵ for each function

Part II: model-independent analysis

- ▶ use iterative approach with 20 bins:

$$f_i(x_B) = \frac{1}{T} \sum_{c=1}^5 \frac{1}{\epsilon_i(c)} \sum_{j=1}^{20} G_{ij} n_j^{data}(c)$$

T: normalisation

$\epsilon_i(c)$ efficiency per bin and channel

G_{ij} : Resolution matrix:

probability of B with observed energy in bin j has true energy in bin i

ALEPH b-fragmentation measurement (2)

⇒ Get matrix G_{ij} from MC:

- ▶ Start off with G_{ij} from standard MC
- ▶ reweight MC with result from previous iteration

$$w_i^N = \frac{f_{i,data}^{N-1}(x_B)}{f_{i,MC}^{default}(x_B)}$$

- ▶ use 5th order polynomial for smoothing weights w_i^N
- ▶ repeat iterations until difference between successive iterations small compared to statistical error

OPAL b-fragmentation measurement

Part I: Test of fragmentation models:

- ▶ compare reconstructed x^{weak} to MC sample (Herwig, Jetset)
- ▶ reweight Jetset sample for:
 - ▷ different fragmentation Models
 - ▷ model parameters
(take $bm_{\perp}^2$ as free parameter in Lund and Bower model,
assume $m_B \approx m_b$ for Bowler)
- ▶ determine best model and parameters via χ^2 fit

Part II: model-independent analysis

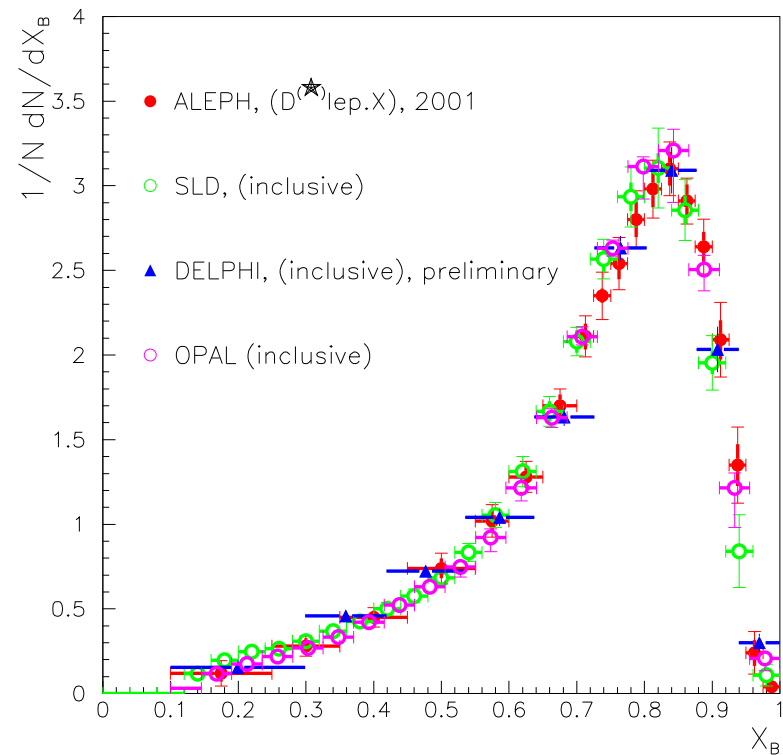
- ▶ unfold x^{weak} using $\mathcal{RUN}$ (regularised unfolding)
- ▶ use 20×20 matrix unfolding approach (SVD-GURU) as cross-check

Summary Slide

Fragmentation and excited B states

Fragmentation:

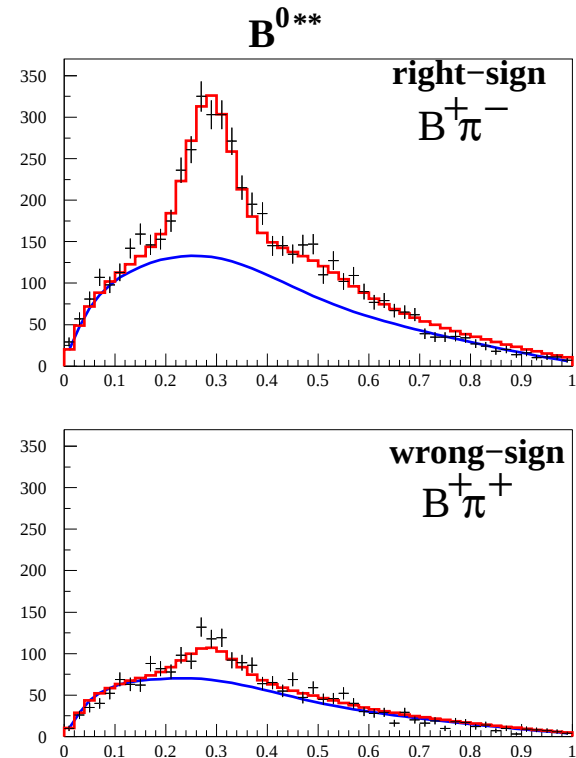
- ▶ High precision analyses from e^+e^- machines
- ▶ Unfolded spectrum of weakly decaying state consistent between experiments
- ▶ Lund, Bowler model reproduce data best.
- ▶ Moment approach promising
- ▶ Fragmentation at hadron machines much more complex.



Fragmentation and excited B states

Excited B states:

- ▶ Narrow ($L = 1$) $B_{u,d}^{**}$ states well established
- ▶ Ongoing efforts to separate narrow and broad states.
- ▶ B_s^{**} and $\Sigma_b^{(*)}$ still not yet unambiguously identified, work in progress.



Delphi (prelim.):

reconstructed Q -value