

more concretely [GKP '01; KKLT '03]:

10d IIB supergravity from IIB
string theory:

$$S = \frac{1}{2K_{10}^2} \int d^{10}x \sqrt{-g} \left\{ e^{-2\phi} \left[R + 4(\nabla\phi)^2 \right] - \frac{1}{2} F_1^2 - \frac{1}{2 \cdot 3!} |G_3|^2 - \frac{1}{4 \cdot 5!} \tilde{F}_5^2 \right\}$$

$$+ \frac{1}{8ik_{10}^2} \int e^\phi \cdot C_4 \wedge G_3 \wedge \bar{G}_3 + S_{loc.}$$

$$\tilde{F}_5 = F_5 - \frac{1}{2} C_2 \wedge H_3 + \frac{1}{2} B_2 \wedge F_3$$

$$\tilde{F}_5 = * \tilde{F}_5 \text{ must be imposed on e.o.m.}$$

define: $\mathcal{T} = C_0 + i e^{-\phi}$
 $\underbrace{}_{= 1/g_s} \text{ string coupling}$

go to 10D Einstein frame:

$$(g_E)_{MN} = (g_S)_{MN} \cdot e^{-\phi/2}$$



$$S = \frac{1}{2K_{10}^2} \int d^{10}x \sqrt{-g} \left\{ R - \frac{\partial_M \mathcal{T} \partial^M \bar{\mathcal{T}}}{2(Im \mathcal{T})^2} - \frac{|G_3|^2}{12 \cdot Im \mathcal{T}} - \frac{\tilde{F}_5^2}{4 \cdot 5!} \right\} + \dots$$

compactify on:

$$M_{10} = M_4 \times_{\text{warped}} CY_3$$

$$\begin{aligned} ds^2 &= e^{2A(y)} \cdot g_{\mu\nu} dx^\mu dx^\nu \\ &\quad + e^{-2A(y)} \cdot \tilde{g}_{mn} dy^m dy^n \end{aligned}$$

$$\begin{aligned} \mu, \nu &= 0, \dots, 3 \\ m, n &= 4, \dots, 9 \end{aligned}$$

\searrow Calabi-Yau
3-manifold
(CY_3)

$$g_{mn} = e^{-2A} \cdot \tilde{g}_{mn} : \text{"conformal } CY_3\text{"}$$

CY_3 : complex Kähler manifold
with $\dim_{\mathbb{C}} CY_3 = 3$, which
has $C_1(CY_3) = 0$ Kähler potential

$\Rightarrow \exists$ a metric $g_{i\bar{j}} = \partial_i \partial_{\bar{j}} K$ on CY_3
such that $R_{i\bar{j}} = 0$

\nearrow pair real coordinates into holomorphic z_i and anti-holomorphic \bar{z}_i , $i=1\dots 3$

$R_{i\bar{j}} = 0$ allows for certain metric deformations:

δg_{ij} : $(2,1)$ -forms $\chi^{(a)}_{ijk\bar{k}}$

"complex structure moduli" (change the complex structure, i.e. how real coords. are split into $z_i, \bar{z}_{\bar{j}}$)

$\delta g_{i\bar{j}}$: $(1,1)$ -forms $\omega^{(i)}_{jk\bar{k}}$

or Poincaré-dual

$(2,2)$ -forms

"Kähler moduli"

(change volume of C_3 and 2-dim subspaces = 2-cycles
 \rightarrow volume moduli)

such that : $R_{i\bar{j}} = 0$ stays
 and :

$$\int d^{10}x \sqrt{-g} R \rightarrow \int d^4x \sqrt{-g} \left[{}^{(4)}R \right.$$

$$+ \frac{1}{2} \left(\partial_\mu \delta g_{ij} \right)^2$$

$$\left. + \frac{1}{2} \left(\partial_\mu \delta g_{i\bar{j}} \right)^2 \right]$$

moduli are massless scalar fields in 4D :

u^a : complex structure moduli

τ^i : Kähler moduli

of complex structure moduli
 $= h^{2,1}$ determined by topology
of 3-cycles (S^3 's) in the
 $\mathbb{C}Y_3$:

for each non-trivial 3-cycle Σ^a
there is a harmonic (2,1)-form
 $\chi_{2,1}^{(a)}$ such that :

$$(\Delta) \quad \chi_{2,1}^{(a)} \sim \partial_m (\sqrt{-g} g^{mn} \partial_n) \chi_{2,1}^{(a)} = 0$$

"harmonic form"

$$\text{and: } d\chi_{2,1}^{(a)} = 0 \quad \text{but} \quad \chi_{2,1}^{(a)} \neq dA^{(a)}$$

$\chi_{2,1}^{(a)}$ is closed, but not exact:
 \rightsquigarrow it is not just a local
coord.-transf. of g_{ij}

likewise, every non-trivial
2-cycle Σ^i or Poincaré-dual
4-cycle $\tilde{\Sigma}^i$ has a non-trivial
harmonic (1,1)-form $\omega_{1,1}^{(i)}$
associated.

$$\rightsquigarrow \begin{cases} u^a \sim \text{vol}(\Sigma^a) \sim \text{radius}^3 \\ T^i \sim \text{vol}(\tilde{\Sigma}^i) \sim \text{radius}^4 \end{cases}$$

moduli stabilization \rightarrow how to give mass to U^a, T^i ?

\sim 4D Lorentz invariance:

$$\tilde{F}_5 = (1 + *) dx \wedge dx^1 \wedge \dots \wedge dx^3$$

$$\alpha = \alpha(\gamma)$$

\sim look at Einstein equations:

$$\tilde{\nabla}^2 e^{4A} = e^{2A} \frac{|G_3|^2}{12 \cdot \text{Im } \tau} + e^{-6A} \left[(\partial_m \alpha)^2 + (\partial_m e^{4A})^2 \right]$$

$$+ \frac{k_{16}^2}{2} e^{2A} \left(T_m^m - T_\mu^\mu \right)_{\text{loc.}}$$

\Rightarrow if I have local objects with $T_m^m - T_\mu^\mu < 0$, can have flux $\times G_3$ and warping...
(Maldacena-Nunez 'no-go')

flux on a
3-cycle:

$$\int_{\Sigma^a} F_3, \int_{\Sigma^a} H_3 \in \mathbb{Z}$$

quantized, similar to
Dirac magnetic monopole
quantizing $\int B_2^{\text{em.}}$

local objects:

D_p -branes wrapped on $(p-3)$ -cycle
in CY_3 :

$$S_{D_p} = \frac{1}{\alpha'^{p+1}} \int d^{p+1} \xi \sqrt{-\det(g + B_2 + F_2)} \\ + \dots$$

$\underbrace{\alpha'^{1/2}}_{= T_p}$ $\underbrace{\text{DBI-action}}$

\rightarrow brane tension

$$= \frac{1}{\alpha'^2} \int d^4 x \int d^{p-3} \gamma \sqrt{-g} + \dots$$

\sum_{p-3}

$$\Rightarrow T_m^m - T_M^M = (7-p) T_p, T_p > 0$$

have D3 & D7-branes in IB

$$\Rightarrow \left(T_m^m - T_M^M \right)_{\frac{D3}{D7}} \geq 0$$

but also orientifold planes
 $O3/O7$ — needed to break
4D $N=2$ from IB on a CY_3
to 4D $N=1$:

$$T_p^{O3/O7} < 0 !$$

\Rightarrow can have G_3 -flux and
warping, if $O3/O7$ is there.

now what does h_3 -flux do
to the moduli?

→ G_3 -flux must sit on
3-cycles Σ^a of CY_3

⇒ specified by $(2,1)$ -
forms $\chi_{2,1}^{(a)}$ and

holomorphic $(3,0)$ -form
 Ω of CY_3

→ reduce $|G_3|^2$ kinetic
term on the CY_3

⇒ leads to a scalar potential
for the complex structure
moduli U^a , and for $\bar{\tau}$

≈ takes form of a 4D
 $W=1$ supergravity scalar
potential :

$$V = e^K \left(K^{ab} D_a W \overline{D_b W} + K^{i\bar{j}} D_i W \overline{D_j W} \right. \\ \left. + K^{\bar{\tau}\bar{\bar{\tau}}} |D_{\bar{\tau}} W|^2 - 3|W|^2 \right)$$

with:

$$K = -2 \cdot \ln V_{CY_3} - \ln(-i(\tau - \bar{\tau}))$$
$$- \ln \left(-i \int_{CY_3} \Omega(u^a) \wedge \bar{\Omega}(u^a) \right)$$

\Rightarrow can fix the u^a and τ supersymmetrically:

$$D_{u^a} W = 0 = D_\tau W$$

"moduli Kähler potential"

$$V_{CY_3} = f(T_i, \bar{T}_i) \text{ e.g. one T: } V_{CY_3} \sim T^{3/2}$$

and a flux-induced superpotential:

$$W_o = \int_{CY_3} G_3 \wedge \Omega(u^a)$$

at isolated point
 $(\langle u^a \rangle, \langle \tau \rangle)$ if fluxes
 $\int_{\Sigma^a} G_3$ chosen sufficiently generic.

Kahler moduli unfixed:

$$k^{ij} D_i W \overline{D_j W} = 3 |W_0|^2 + W_0$$

cancels $-3|W_0|^2$ piece

\rightarrow no-scale vacuum

\sim idea: put stacks of N_i coincident D7-branes on 4-cycles $\tilde{\Sigma}^i$

\Rightarrow gives 4D $W=1$ super-Yang-Mills theories with gauge couplings: $\frac{1}{g_i^2} \sim T^i$

gauginos in these gauge theories condense, and give non-perturbative contribution W_{np} to W :

$$W_{np} \sim \sum_i e^{-\frac{g_i^2 \pi^2}{g_i^2}} = \sum_i e^{-\frac{2\pi}{N_i} T^i}$$

now: if $W = W_0 + W_{np}$, $W_0 \neq 0$

$\Rightarrow D_{T_i} W = 0$ has solutions
 $\langle T^i \rangle \neq 0$

\rightarrow stabilizes Kahler moduli (KKLT '03)

all moduli are now fixed, but:

$$\langle V \rangle = -3e^{K/W/2} < 0$$

~ anti-de Sitter (AdS)

but need C.C. > 0 !

~ introduce source of
SUSY-breaking, e.g.

(KKLT'03) an anti-D3-brane:

~ $\overline{D3}$ preserves different SUSY
generator than ($Y_3 + O$ -planes
+ 7-branes)

~ gives potential energy:

$$\delta V \sim \frac{1}{\alpha'^2} \int d^4x \sqrt{-g} > 0$$

~ after converting into 4D
Einstein frame:

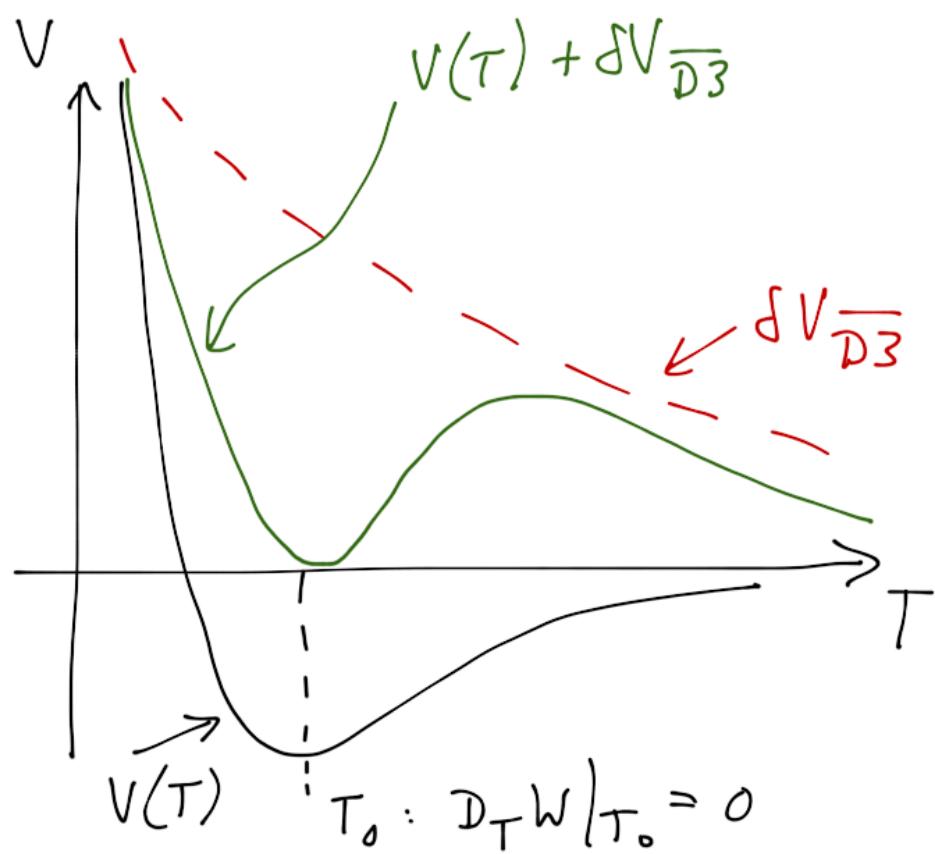
$$\frac{1}{\alpha'^2} \sim \frac{M_P^4}{V_{CY_3}^2}$$

$$\Rightarrow \delta V_{\overline{D3}} \sim \frac{T_3}{V^2}$$

example of a single Kähler modulus T :

$$V \sim T^{3/2} \Rightarrow \delta V_{\overline{D3}} \sim \frac{T_3}{T^3}$$

gives stabilized dS vacuum



\approx now, on typical CY_3 :

$$h^{2,1} = \#(\Sigma^a) = \mathcal{O}(100)$$

$$\text{if } \int_{\Sigma^a} F_3, \int_{\Sigma^a} H_3 \in [-10, 10]$$

on each 3-cycle Σ^a

$\Rightarrow 10^{100} \dots 10^{1000}$ different
flux vacua with different
c.c. on each CY_3 :

"string vacuum landscape"

\approx can give anthropical explanation
for our small & pos. c.c. (see
Weinberg '87)