

question: which scalars remain
as good inflaton candidates?

~i) positions of D3-branes on the
compact 6D CY_3

~ii) scalars related to the gauge
symmetries of the C_p p -form
gauge fields:
→ axions

let's see ...

i) : - D3-brane fills 3+1, is
a point in the CY_3 . Its
6 coord. x^i on the CY_3 are
scalar fields ϕ^i in 4D.

- D3-branes try to respect
SUSY if there → they are
BPS - states of the extended
SUSY

⇒ positions ϕ^i have no
potential for SUSY
D3-branes

- D3-branes are charged under
 C_4 4-form gauge field of IIB...

analogy { EM charge q - coupling:
 $\sim q \int A_\mu dx^\mu = q \int A_1$
D3-brane charged under C_4 - coupling:
 $\sim \int C_4$

~ there are D3-branes & $\overline{D3}$ -antibranes, with opposite charge under C_4 :

→ if D3's are SUSY on a given CY_3

⇒ a $\overline{D3}$ breaks SUSY

~ a pair D3, $\overline{D3}$ separated by distance r in the CY_3 :

$\overline{D3}$ breaks SUSY, feels force from C_4 towards the D3...

⇒ potential: $V(r) \sim -\frac{c}{r^4}$

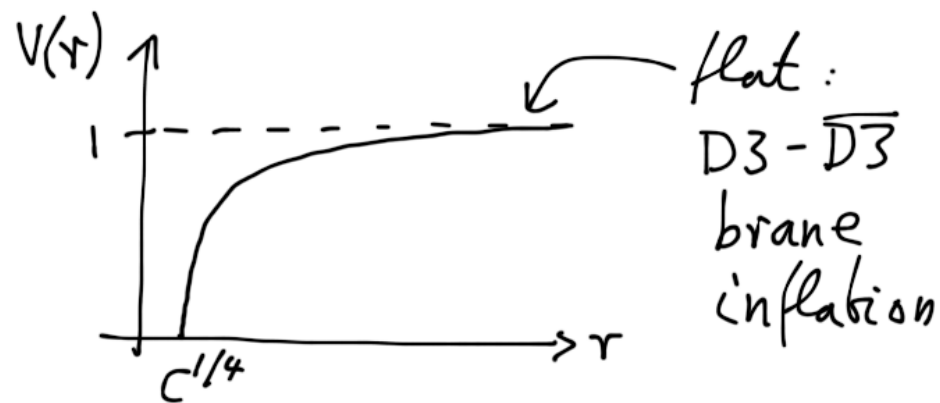
↔ Gauss' law in 6D

and $V(r)$ will be:

- constant > 0 for $r \rightarrow \infty$

- vanish for $r \rightarrow 0$, as then D3 & $\overline{D3}$ annihilate and SUSY restores...

$$\Rightarrow V(r) \sim 1 - \frac{c}{r^4}$$



2 important points:

- D3-brane inflation is small-field

↷ Why?

a) $r < R_{CY}$: D3-brane moves inside $CY_3 \dots$

b) relation between M_P^{10D} and M_P in 4D:

$$M_P^2 \sim (M_P^{10D})^8 \cdot \text{volume}_{CY}$$

$$\Leftrightarrow \frac{1}{\alpha'^2} \sim \frac{M_P^4}{\text{volume}_{CY}^2} \sim \frac{M_P^4}{R_{CY}^{12}}$$

implies, that all energy forms

scale $\sim \frac{1}{R_{CY}^{12}}$ in 4D if

Einstein-Hilbert term is $\sim M_P^2 R$

\Rightarrow kinetic term for τ :

$$\frac{1}{\alpha'^2} (\partial\tau)^2 \sim \frac{(\partial\tau)^2}{R_{CY}^{12}}$$

\Rightarrow canonically normalized scalar ϕ_r :

$$\phi_r \sim \frac{\tau}{R_{CY}^6} < \frac{1}{R_{CY}^5} \ll 1$$

if $R_{CY} \gg 1$ in string units.

- the same rescaling introduces a dangerous dim-6 operator into $V(r)$:

$$V_{10D}(r) \sim \left(1 - \frac{c}{r^4}\right)$$

$$\rightarrow V_{4D}(r) \sim \frac{V_{10D}(r)}{R_{cy}^{12}}$$

in general, due to backreaction of energy density of SUSY $\overline{D3}$:

$$R_{cy}^{12} = R_{cy}^{12}(r) \sim (R_{cy}^{(0)})^{12} - r^2$$

$$\Rightarrow V_{4D}(r) \sim \frac{V_{10D}(r)}{(R_{cy}^{(0)})^{12}} \left(1 + \frac{r^2}{(R_{cy}^{(0)})^{12}} + \dots\right)$$

$$\sim V_{4D}^{(0)}(\phi_r) \cdot (1 + \phi_r^2 + \dots)$$

the quadratic correction term in the bracket implies, however:

$$\zeta = \frac{V''}{V} = \frac{V_{4D}^{(0)''}}{V_{4D}^{(0)}} + 1$$

'eta problem' $\underbrace{\frac{V_{4D}^{(0)''}}{V_{4D}^{(0)}}}_{=\zeta^{(0)}} \ll 1$

too large for inflation!

Note that moduli stabilization fixes $R_{cy}^{(0)}$, not the full $R_{cy}(r)$...

how to fix this?

- accounted for just one dim-6 term!
- search for all - and fine-tune them away ...

to do this, note:

IB on a CY_3 with fluxes h_3 gives 4D $N=1$ supergravity:

→ scalar potential

$$V(R_{S_i^2}, R_{S_a^3}) = e^K \left(K^{I\bar{J}} D_I W \overline{D_{\bar{J}} W} - 3|W|^2 \right)$$

↙ sizes of the S_i^2 & S_a^3 of the CY_3
↘ $I, \bar{J} = R_{*(S_i^2)}, R_{S_a^3}$

K : Kähler potential → kinetic terms

& superpotential W :

$$W = \int_{CY_3} h_3 \wedge \Omega_3(R_{S_a^3})$$

internal δD
Poincaré dual

$$+ \sum_i A_i e^{-\frac{1}{g_{YM,i}^2} (R_{*(S_i^2)})}$$

fixes the S_a^3

fixes the $R_{*(S_i^2)}$

→ imagine, some of the A_i are $A_i(r) \Rightarrow$ further dim-6 contributions to $V_{4D}(r)$, and we can tune ...

Can determine complete
catalog of relevant higher-dim.
contributions to $V_{4D}(\tau)$ from
string theory \rightarrow showcase for
necessity & sufficiency of string
theory as a UV completion of
small-field inflation!

A fundamental property of stringy
inflation candidates:

field range $\Delta\phi < M_p$

\sim have seen this for brane
position

\sim also true for case ii) candidates:

\rightarrow gauge symmetries of p-form
gauge fields C_p correspond
to scalars with shift
symmetries & periodicity:

\rightarrow p-form axions: $\alpha_p = \int_{S^p} C_p$

- they enjoy shift symmetries from the C_p -gauge symmetries...

\Rightarrow no potential in perturbation theory

- string theory is invariant under:

$$\tau_p \rightarrow \tau_p + 2\pi \text{ 'periodicity'}$$

and again: $\mathcal{L}_{\text{kin.}}^{4D} \sim \frac{(\partial \tau_p)^2}{R_{CY}^{12}}$

$$\Rightarrow \phi_{\tau_p} \sim \frac{\tau_p}{R_{CY}^6} < M_p$$

- one exception:

\rightarrow D_p -branes couple to C_p !

action of a D_p -brane:

$$S_{D_p} \sim \frac{1}{\alpha'^{\frac{p+1}{2}}} \int d^{p+1} \xi \sqrt{-\det(g_{\mu\nu} + B_{\mu\nu})}$$

'DBI-action'

simple example:

put B_2 on a S^2 in CY_3

$$\Rightarrow \text{axion } b = \int_{S^2} B_2$$

there are $D5$ -branes, which see B_2 as above:

$$\Rightarrow S_{D5} \Big|_{M^4 \times S^2} \sim \frac{1}{\alpha'^3} \int d^6 x \sqrt{-g_{4D} (g_{S^2} + B_2)}$$

$$\sim \frac{1}{\alpha^{12}} \int d^4x \sqrt{-g_{4D}} \sqrt{\text{vol}_{S^2}^2 + b^2}$$

⇒ scalar potential

$$V(b) \sim \sqrt{\text{vol}_{S^2}^2 + b^2} \sim b$$

at large b !

→ potential is not periodic in b , although everything else is :

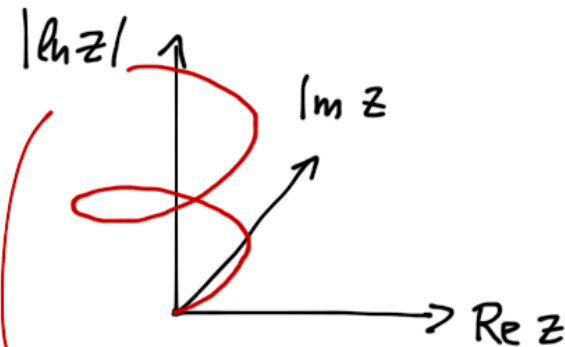
" $V(b)$ has monodromy in b ."

⇒ gives parametrically unbounded field range :

- no periodicity

- no relation to limiting size of the CY_3

≈ analogy : $|\ln z|, z \in \mathbb{C}, |z|=1$



length along $|\ln z| \rightarrow \infty, z$ periodic

⇒ axion monodromy inflation
with 5-branes gives
large-field inflation in
string theory with:

$$V(\phi) \sim \phi \quad \text{at } \phi \gg M_p$$

$$\Rightarrow \phi_{60} \simeq 11 M_p, \quad n_s \simeq 0.975, \quad r \simeq 0.08$$

(controlled setups by: McAllister,
Silverstein & AW in 2008)

crucial: string theory generated
the shift symmetry from SUSY &
stringy gauge symmetry →

concrete UV completion of
large-field model class!