

④ Scalar fields & effective potential
- symmetry breaking & thermal effects

Classical scalar field:

$$\phi = \frac{1}{(2\pi)^{3/2}} \int \frac{d^3k}{\sqrt{2k_0}} \left(a^+(\vec{k}) e^{ikx} + a^-(\vec{k}) e^{-ikx} \right)$$

$$k_0 = \sqrt{\vec{k}^2 + m^2}$$

promote field to an operator

→ creation & annihilation operators:

$$a^\pm(\vec{k}) \rightarrow a_{\vec{k}}^\pm$$

$$[a_{\vec{k}}^+, a_{\vec{k}'}^-] = (2\pi)^3 \cdot \delta^{(3)}(\vec{k} - \vec{k}')$$

$a_{\vec{k}}^\pm$ creates/annihilates particle with momentum \vec{k} :

$$a_{\vec{k}}^+ |\Psi\rangle = |\Psi, \vec{k}\rangle$$

$$a_{\vec{k}}^- |\Psi, \vec{k}\rangle = |\Psi\rangle$$

Green's function:

$$G(x) = \langle 0 | T \phi(x) \phi(0) | 0 \rangle =$$

$$= \frac{i}{(2\pi)^4} \int \frac{e^{-ikx}}{k^2 - m^2 + i\epsilon} d^4k$$

look at $G(0)$ and Wick rotate to Euclidean space ($k_0 \rightarrow -ik_4$):

$$G(0) = \langle 0 | \phi^2 | 0 \rangle = \langle \phi^2 \rangle =$$

$$= \frac{1}{(2\pi)^4} \int \frac{d^4 k}{k^2 + m^2} = \frac{1}{(2\pi)^3} \int \frac{d^3 k}{2\sqrt{\vec{k}^2 + m^2}}$$

if we average over state containing particles instead over vacuum $|0\rangle$:

$$\langle \phi^2 \rangle = \frac{1}{(2\pi)^3} \int \frac{d^3 k}{2\sqrt{\vec{k}^2 + m^2}} (1 + 2\langle a_{\vec{k}}^+ a_{\vec{k}}^- \rangle)$$

$$= \frac{1}{(2\pi)^3} \int \frac{d^3 k}{\sqrt{\vec{k}^2 + m^2}} \left(\frac{1}{2} + n_{\vec{k}} \right)$$

$n_{\vec{k}}$: number density of scalar particles with 3-momentum \vec{k}

examples:

- thermal Bose ensemble:

$$n_{\vec{k}} = \frac{1}{e^{\frac{\sqrt{\vec{k}^2 + m^2}}{T}} - 1}$$

- Bose condensate ϕ_0 of non-interacting particles of the field ϕ :

$$n_{\vec{k}} = (2\pi)^3 \phi_0^2 \cdot m \cdot \delta^{(3)}(\vec{k})$$

- coherent wave:

$$n_{\vec{k}} = (2\pi)^3 \phi_0^2 \sqrt{\vec{p}^2 + m^2} \cdot \delta^{(3)}(\vec{k} - \vec{p})$$

write Bose condensate case as:

$$\langle \phi^2 \rangle = \frac{1}{(2\pi)^3} \int \frac{d^3 k}{2\sqrt{\vec{k}^2 + m^2}} + \frac{1}{(2\pi)^3} \int \frac{d^3 k}{\sqrt{\vec{k}^2}} n_{\vec{k}}$$

$\underbrace{\frac{1}{(2\pi)^3} \int \frac{d^3 k}{2\sqrt{\vec{k}^2 + m^2}}}_{\text{quantum}} = \delta \phi_q^2$
 $\underbrace{\frac{1}{(2\pi)^3} \int \frac{d^3 k}{\sqrt{\vec{k}^2}} n_{\vec{k}}}_{\text{classical}} = \phi_0^2$

$$\left\{ \begin{array}{l} n_{\vec{k}} = (2\pi)^3 \phi_0^2 \cdot k \cdot \delta^{(3)}(\vec{k}) \\ k = \sqrt{\vec{k}^2} \end{array} \right.$$

\leadsto classical scalar field VEV of spontaneous symmetry breaking like a relativistically invariant Bose condensate of particles with zero momentum in the limit $m \rightarrow 0$.

i) Quantum corrections to the effective potential at 1-loop

• definition of free energy in thermodynamics:

state sum $Z = \text{Tr} e^{iH}$

\downarrow
 partition function $Z =: e^{-iF} = e^{-iE}$
 free energy

• free energy in field theory:

action $S[\phi] = \int d^4 x \mathcal{L}(\phi, \partial_\mu \phi)$

$Z = \int \mathcal{D}\phi e^{iS[\phi]} = e^{-iE}$

with external source:

$$e^{-iE[\mathcal{J}]} = \int \mathcal{D}\phi \cdot e^{iS[\phi] + i\int d^4x \mathcal{J}\phi}$$

effective action $\Gamma[\phi]$ is Legendre transform of free energy $E[\mathcal{J}]$, which gives functional as that of VEV ϕ_0 caused by \mathcal{J} :

$$\phi_0 := \langle \phi \rangle_{\mathcal{J}} = \frac{\int \mathcal{D}\phi \cdot \phi \cdot e^{iS[\phi] + i\int d^4x \mathcal{J}\phi}}{\int \mathcal{D}\phi \cdot e^{iS[\phi] + i\int d^4x \mathcal{J}\phi}}$$

$$\Rightarrow \Gamma[\phi_0] \stackrel{!}{=} -E[\mathcal{J}] - \mathcal{J} \cdot \frac{\delta E[\mathcal{J}]}{\delta \mathcal{J}}$$

$$\stackrel{!}{=} -E[\mathcal{J}] - \int d^4x \mathcal{J}\phi_0$$

now expand around VEV:

$$\phi = \phi_0 + \zeta$$

$$e^{-iE[\mathcal{J}]} = \int \mathcal{D}\zeta \cdot e^{iS[\phi_0] + i\int d^4x \mathcal{J}\phi_0}$$

$$\cdot e^{i\int d^4x \left(\frac{\delta \mathcal{L}}{\delta \phi} + \mathcal{J} \right) \Big|_{\phi_0} \zeta}$$

$$\cdot e^{i\int d^4x d^4x' \left(\frac{1}{2} \cdot \frac{\delta^2 \mathcal{L}}{\delta \phi^2} \right) \Big|_{\phi_0} \zeta(x) \zeta(x')}$$

$$\cdot \dots$$

Ehrenfest theorem:
classical e.o.m. holds on expectation values

$$\Rightarrow E[\mathcal{J}] = -S[\phi_0] - \int d^4x \mathcal{J}\phi_0 + \frac{i}{2} \ln \det \left(-\frac{\delta^2 \mathcal{L}}{\delta \phi^2} \right)$$

$$\Rightarrow \Gamma[\phi_0] = S[\phi_0] + \frac{i}{2} \ln \det \left(-\frac{\delta^2 \mathcal{L}}{\delta \phi^2} \right)$$

$$\ln \det \left(-\frac{\delta^2 \mathcal{L}}{\delta \phi^2} \right) = \text{Tr} \ln \left(-\frac{\delta^2 \mathcal{L}}{\delta \phi^2} \right)$$

$$= \sum_k \ln \left(-\frac{\widetilde{\delta^2 \mathcal{L}}}{\delta \phi^2} \right) \xleftarrow{\text{Fourier transform of } \frac{\delta^2 \mathcal{L}}{\delta \phi^2}}$$

$$= \mathcal{V} \cdot \mathcal{T} \cdot \int \frac{d^4 k}{(2\pi)^4} \ln \left(-\frac{\widetilde{\delta^2 \mathcal{L}}}{\delta \phi^2} \right)$$

Now look at scalar field theory:

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi)$$

$$V(\phi) = -\frac{1}{2} \mu^2 \phi^2 + \frac{\lambda}{4} \phi^4, \quad \mu^2 > 0$$

$$\Rightarrow \frac{\delta^2 \mathcal{L}}{\delta \phi^2} \stackrel{\text{I}}{=} \partial_\mu^2 + \mu^2 - 3\lambda \phi^2$$

$$\stackrel{\text{II}}{=} \partial_\mu^2 - m^2(\phi)$$

$$m^2(\phi) = 3\lambda \phi^2 - \mu^2 = \left. \frac{\delta^2 \mathcal{L}}{\delta \phi^2} \right|_{\phi=\phi_0}$$

'field-dependent mass' and ϕ_0 renamed into ϕ

with: $S[\phi_0] \stackrel{\text{I}}{=} \int d^4 x \cdot V(\phi_0)$

$$\stackrel{\text{II}}{=} \mathcal{V} \cdot \mathcal{T} \cdot V(\phi_0)$$

$$\Rightarrow \frac{\widetilde{\delta^2 \mathcal{L}}}{\delta \phi^2} = k^2 - m^2(\phi)$$

⇒ 1-loop effective action:

$$\frac{1}{\mathcal{V} \cdot T} \Gamma[\phi] = -V(\phi) - \frac{1}{2} \int \frac{d^4 k}{(2\pi)^4} \ln(k^2 + m^2(\phi))$$

$$\text{with: } m^2(\phi) = \left. \frac{\delta^2 \mathcal{L}}{\delta \phi^2} \right|_{\partial_\mu \phi = 0}$$

after Wick rotation $k_0 \rightarrow -ik_4$

⇒ 1-loop effective potential:

$$V_{\text{eff}}(\phi) = V(\phi) + \frac{1}{2} \int \frac{d^4 k}{(2\pi)^4} \ln(k^2 + m^2(\phi))$$

for:

$$V(\phi) = -\frac{1}{2} \mu^2 \phi^2 + \frac{\lambda}{4} \phi^4, \quad \mu^2 > 0$$

the classical VEV is:

$$\phi_0 = \langle \phi \rangle = \frac{\mu}{\sqrt{\lambda}}$$

can choose renormalization conditions:

$$\left. \frac{dV}{d\phi} \right|_{\phi = \mu/\sqrt{\lambda}} = 0$$

$$\left. \frac{d^2 V}{d\phi^2} \right|_{\phi = \mu/\sqrt{\lambda}} = 2\mu^2$$

with these we get:

$$V_{\text{eff.}}(\phi) = -\frac{\mu^2}{2} \phi^2 + \frac{\lambda}{4} \phi^4 \\ + \frac{3\lambda\phi^2 - \mu^2}{64\pi^2} \ln\left(\frac{3\lambda\phi^2 - \mu^2}{2\mu^2}\right) \\ + \frac{21\lambda\mu^2}{64\pi^2} \phi^2 - \frac{27\lambda^2}{128\pi^2} \phi^4$$

consider now Abelian Higgs model:

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \bar{D}_\mu \bar{\chi} D^\mu \chi \\ + \mu^2 \bar{\chi} \chi - \lambda (\bar{\chi} \chi)^2$$

$$D_\mu = \partial_\mu - i \cdot e A_\mu$$

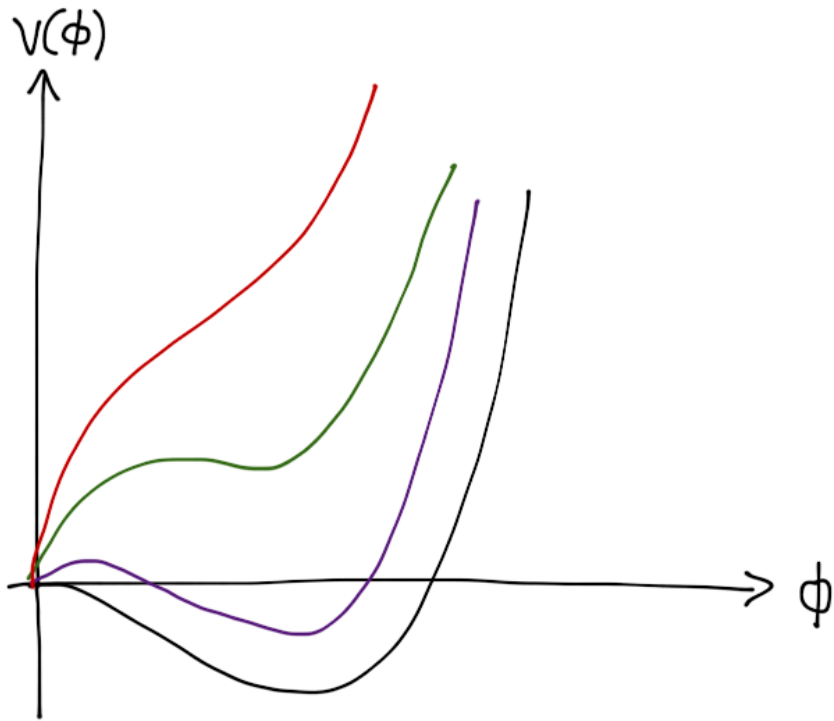
when $\mu^2 > 0$ again SSB, change to variables:

$$\chi \rightarrow \frac{1}{\sqrt{2}} (\phi + \phi_0) \cdot e^{i \frac{\zeta}{\phi_0}}$$

$$A_\mu \rightarrow A_\mu + \frac{1}{e\phi_0} \partial_\mu \zeta$$

and unitary gauge:

$$V_{\text{eff.}}(\phi) = -\frac{\mu^2}{2} \phi^2 \cdot \left(1 - \frac{3e^4}{16\pi^2 \lambda}\right) \\ + \frac{\lambda}{4} \phi^4 \cdot \left(1 - \frac{9e^4}{32\pi^2 \lambda}\right) \\ + \frac{3e^4}{64\pi^2} \phi^4 \cdot \ln\left(\frac{\lambda\phi^2}{\mu^2}\right)$$



\leadsto There can be phase transitions mediated by quantum tunneling (Coleman-de Luccia instantons) or thermal effects!

$$\lambda > \frac{3e^4}{16\pi^2}$$

$$\frac{3e^4}{32\pi^2} < \lambda < \frac{3e^4}{16\pi^2}$$

$$0 < \lambda < \frac{3e^4}{32\pi^2}$$

$$\lambda = 0$$