

Axions

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Workshopseminar

4.12.12

Plan:

- 1) Theta-term in QCD
- 2) Strong CP violation
 - $d_n(\bar{\theta})$
- 3) Solutions of strong CP puzzle
- 4) Axions from UV completions
- 5) Axion Cold Dark Matter

1) Theta-term in QCD

Most general gauge invariant Lagrangian of QCD up to dim 4 operators:

$$\mathcal{L} = -\frac{1}{4} G_{\mu\nu}^a G^{a,\mu\nu}$$

$$+ \bar{q} (i \not{D} - M_q) q$$

$$+ \theta \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

Theta-term

$$(\tilde{G}_{\mu\nu} = \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} G^{\rho\sigma})$$

Theta-term

- total derivative:

$$G \tilde{G} = \partial_\mu K^\mu$$



Chern-Simons
current

→ does not contribute in
perturbation theory

- K^μ not invariant under "large", topol. non-trivial

gauge transformations

→ Θ angular pw., $-\pi \leq \theta \leq \pi$

→ plays role

non-perturbatively

- θ belongs to the fundamental parameters of QCD, on similar footing as α_s and

the quark masses m_u ,

m_d, \dots , which have to

be determined experimentally.

- In fact, the actual physical parameter is

$$\bar{\Theta} = \Theta + \arg \det M_q$$

Real quark mass	Phase from Yukawa coupling	Angle variable	CP-odd quantity $\sim \mathbf{E} \cdot \mathbf{B}$
$m_q e^{i\theta_q}$	$e^{i\theta_q}$	Θ	$G_{\mu\nu a} \tilde{G}_a^{\mu\nu}$

$$\mathcal{L}_{QCD} = \sum_q \bar{\psi}_q (iD - m_q e^{i\theta_q}) \psi_q - \frac{1}{4} G_{\mu\nu a} G_a^{\mu\nu} - \Theta \frac{\alpha_s}{8\pi} G_{\mu\nu a} \tilde{G}_a^{\mu\nu}$$

Remove phase of mass term by chiral transformation of quark fields

$$\psi_q \rightarrow e^{-i\gamma_5 \theta_q/2} \psi_q$$

$$\mathcal{L}_{QCD} = \sum_q \bar{\psi}_q (iD - m_q) \psi_q - \frac{1}{4} G G - \underbrace{(\Theta - \arg \det M_q)}_{-\pi \leq \Theta \leq +\pi} \frac{\alpha_s}{8\pi} G \tilde{G}$$

❖ $\bar{\Theta}$ can be traded between quark phases and $G \tilde{G}$ term

❖ No physical impact if at least one $m_q = 0$

$\bar{\Theta}$ unphysical if at least one $m_q = 0$

- The chiral anomaly

$$\partial_\mu (\bar{q} \gamma_\mu \gamma_5 q)$$

$$= n_f \frac{\alpha_s}{8\pi} \underline{\underline{G \tilde{G}}}$$

$$+ 2i \underline{\underline{\bar{q}_R \gamma^\mu q_L - h.c.}}$$

allows to shuffle contributions between

$G \tilde{G}$ \leftrightarrow imaginary quark masses

2) Determination of $\bar{\theta}$

- Theta-term ($G\tilde{G} \sim E \cdot B$)
violates P and CP
- Leads to CP violation
in flavour conserving
interactions in contrast
to CKM phase which
leads to CP violation
in flavour changing
interactions

- Electric dipole moment

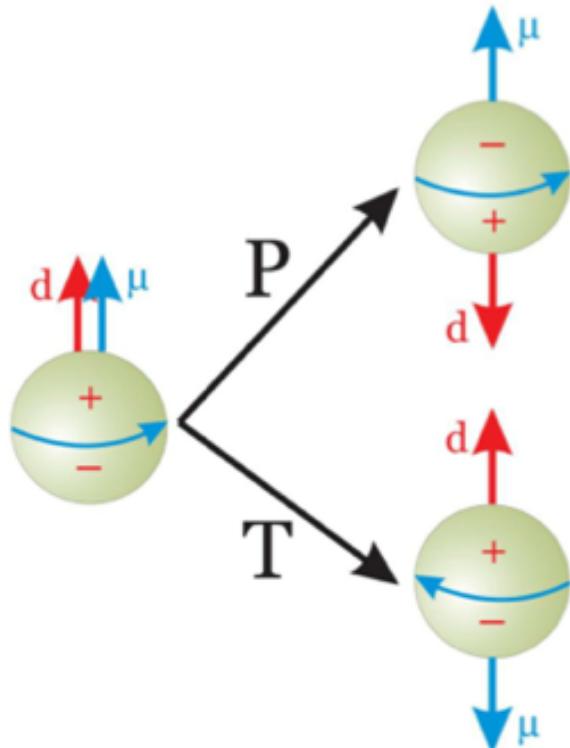
(EDM) of neutron:

very sensitive probe of
CP violation in flavour-
conserving interactions

- Neutral non-rel. particle placed in E and B field described by

$$H = -\mu \frac{\vec{B} \cdot \vec{S}}{S} - d \frac{\vec{E} \cdot \vec{S}}{S}$$

$\uparrow \quad \quad \quad \uparrow$
 $MDM \quad \quad \quad EDM$



Violates time reversal (T) and space reflection (P) symmetries

Natural scale

$$\frac{e}{2m_N} = 1.06 \times 10^{-14} e \text{ cm}$$

Experimental limit

$$|d| = 0.63 \times 10^{-25} e \text{ cm}$$

Limit on coefficient

$$\bar{\theta} \frac{m_q}{m_N} \lesssim 10^{-11}$$

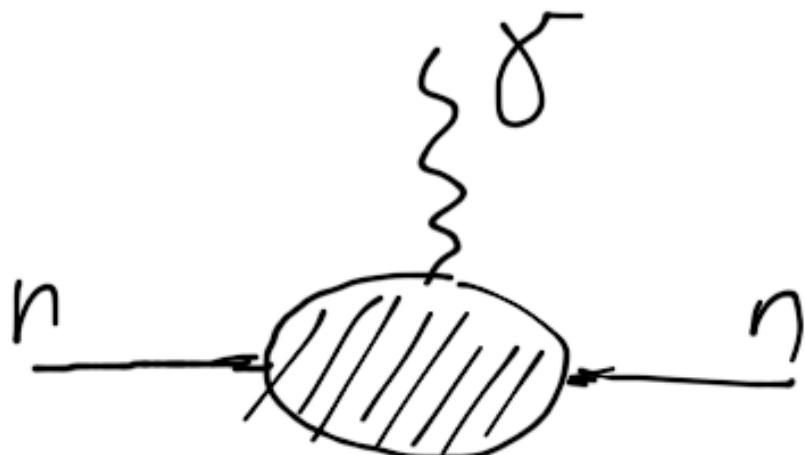
- Nonzero $d_n \rightarrow$ both ~~P~~ and ~~T~~
- Conclusion about ~~CP~~
relies on validity of CPT

- Operator definition:

$$H_{T,P-\text{odd}} = -d_n \vec{E} \cdot \vec{S}_n$$

$$\Rightarrow$$

$$\mathcal{L} = -d_n \bar{\psi}_n^i \overleftrightarrow{\gamma}_n^i G^{\mu\nu} \gamma_5 \psi_n F_{\mu\nu}$$



- Calculations of $d_h(\bar{\theta})$:

• Educated guess:

$$d_h(\bar{\theta}) \sim e^{\bar{\theta}} \frac{m_*}{m_n^2}$$

$$\sim \bar{\theta} (6 \times 10^{-17})_{\text{beam}}$$

with reduced quark mass

$$m_* = \frac{m_u m_d}{m_u + m_d}$$

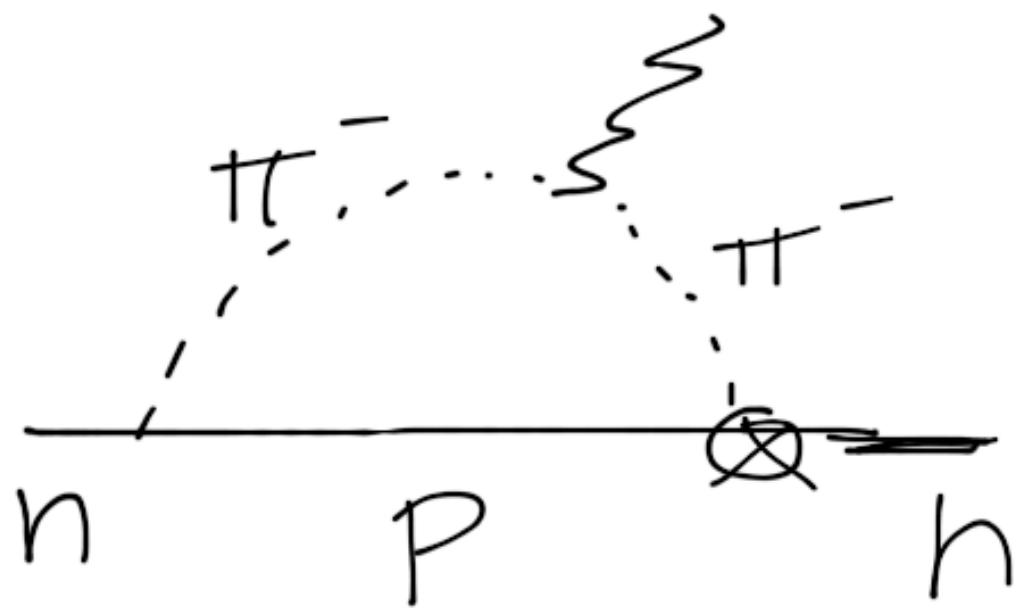
- Chiral estimate :

[Fewster, di Vecchia,

Veneziano,

$$d_n(\bar{\theta}) = \frac{e^{-\bar{\theta}} m_*}{f_\pi^2} \times \text{[Witten '75]}$$

$$\left(\frac{0.9}{4\pi^2} \ln \left(\frac{\Lambda}{m} \right) + C \right)$$



- Sum rules

$$d_n(\bar{\theta}) = 1.2 \pm 0.5 \times 10^{-16} \bar{\theta} \text{ e cm}$$

[Pospelov, Ritz 00]

update :

[Hisano et al. 12]

$$d_n(\bar{\theta}) = 4.2 \times 10^{-17} \bar{\theta} \text{ e cm}$$

• CP puzzle :

expectation

$$d_h(\bar{\theta}) \sim 10^{-16} \bar{\theta} \text{ ecm}$$

experimental limit:

$$|d_h| < 2.9 \times 10^{-26} \text{ ecm.}$$

i.e.:

$$|\bar{\theta}| \lesssim 10^{-10}$$

3) Solutions of Strong CP puzzle

- $m_u = 0$

inconsistent with
quark mass ratios
inferred from hadron
phenomenology and
lattice

- Engineering $\bar{\theta} \approx 0$:

assume that at high
scales P and CP exact,

Spontaneously broken
at $\Lambda_{P(CP)}$

$$\bar{\Theta}_{E > \Lambda_{P(CP)}} \equiv 0$$

Model engineering problem
is then to ensure that
 corrections below $\Lambda_{P(CP)}$
 to $\bar{\Theta}$,

$$\bar{\Theta}_{E < \Lambda_{P(CP)}} \sim \arg \det(M_u M_d)$$

are small while still
allowing for $O(1)$ CKM phase,

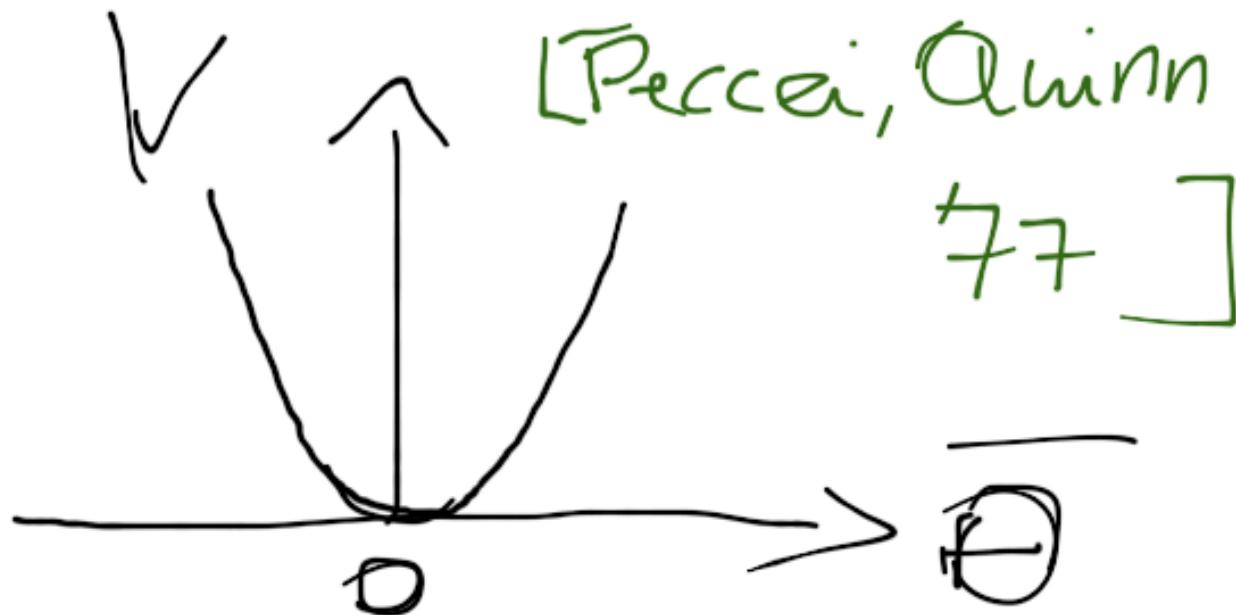
$$\theta_{CKM} \sim \arg \det [M_u M_u^+, M_d M_d^+]$$

Models of this kind:

- R. N. Mohapatra and G. Senjanovic, "Natural Suppression Of Strong P And T Non-invariance," Phys. Lett. **B79**, 283 (1978).
- A. Nelson, "Naturally Weak CP Violation," Phys. Lett. **136B** (1984) 387.
- S. M. Barr, "Solving The Strong CP Problem Without The Peccei-Quinn Symmetry," Phys. Rev. Lett. **53** (1984) 329.
- G. Hiller and M. Schmalz, "Solving The Strong CP Problem With Supersymmetry," hep-ph/0105254.
- K. S. Babu, B. Dutta and R. N. Mohapatra, "Solving the strong CP and the SUSY phase problems with parity symmetry," Phys. Rev. D **65**, 016005 (2002).

- Dynamical Relaxation

- If $\bar{\theta}$ were a field $\bar{\theta}(x)$ rather than a parameter, then QCD dynamics would lead to $\langle \bar{\theta} \rangle = 0$.



- Effective potential of $\bar{\theta}\theta$ can be obtained from chiral Lagrangian
 - Eliminate $\bar{G}G\tilde{G}$ in favor of phase of e.g. up quark mass, $m_u \rightarrow m_u e^{i\bar{\theta}}$
 - The low energy dynamics of this theory is described by the chiral Lagrangian:

$$\mathcal{L} = \frac{f_\pi^2}{4} \text{tr} \left[\bar{\partial}_\mu U \partial^\mu U^{-1} \right] + \frac{f_\alpha^2}{2} \bar{\partial}_\mu \Theta \partial^\mu \Theta$$

$$+ \frac{f_\pi^2}{2} M \text{tr} \left[M U + \bar{M} U^{-1} \right]$$

with $U = \exp \left[\frac{i \epsilon \tau}{f_\pi} \right] \in SU(2)$

$$M = \frac{m_\pi^2}{m_u + m_d}$$

$$M = \begin{pmatrix} m_u e^{i \bar{\theta}} & 0 \\ 0 & m_d \end{pmatrix}$$

$$V(\bar{\theta}) =$$

$$\min_u \left[\frac{f_\pi^2}{2} m_\pi^2 + \text{tr} [\bar{M}U + \bar{m}u^\gamma] \right]$$

for fixed
 $\frac{\bar{\theta}}{\theta}$

$$= \frac{f_\pi^2 m_\pi^2}{2} \frac{m_u m_d}{(m_u + m_d)^2} \bar{\theta}^{-2}$$

$$+ O(\bar{\theta}^4)$$

\Rightarrow minimum at $\bar{\theta} = 0$

\Rightarrow

- Dynamical $\bar{\theta}(x) = \frac{\vec{a}(x)}{fa}$

Wiper get strong Problem;

$$\langle \bar{\theta} \rangle = \frac{\langle \vec{a} \rangle}{fa} = 0$$

- How to realize a dynamical $\bar{\theta}$ parameter?

Add to S^N a boson with satisfying shift symmetry

$$a(x) \rightarrow a(x) + \text{const.}$$

Which is only violated by
axionic couplings to gluons,

$$\mathcal{L} = \frac{1}{2} g_a^2 \partial^\mu a + \frac{a}{f_a} \frac{\alpha_s}{8\pi} \tilde{G} \tilde{G}$$

Then the constant $\bar{\theta}$
can be eliminated by

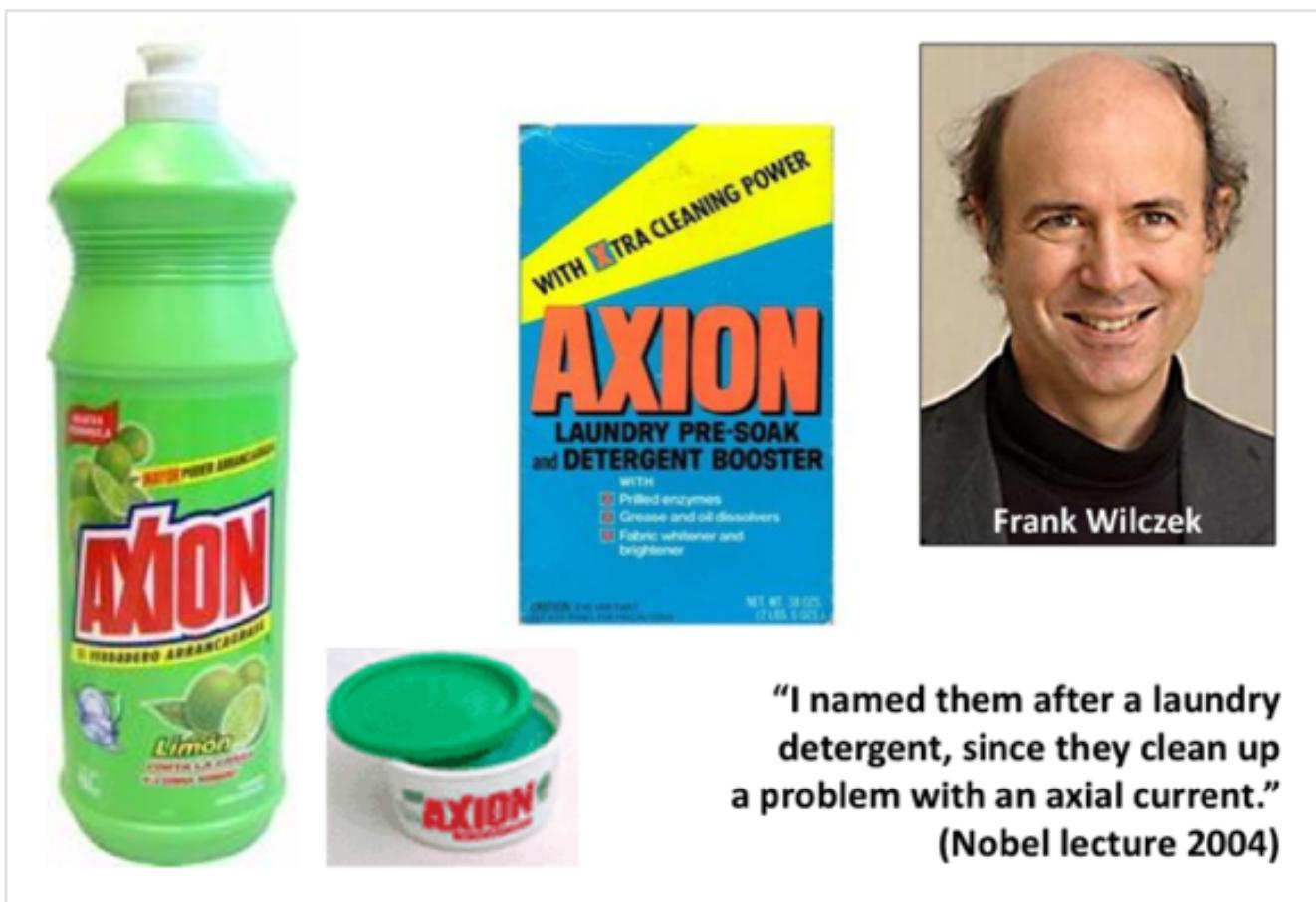
$$\bar{\theta} + \frac{a(x)}{f_a} = \frac{\bar{a}(x)}{f_a} = \bar{\theta}_{\text{ext}}(x)$$

and the QCD dynamics
leads to $\langle \bar{a} \rangle = 0$, as
demonstrated before.

$a(x)$... axion field

↑
name
of detergent in
US

[Wilczek 78]

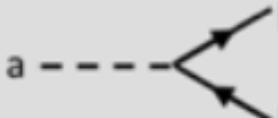
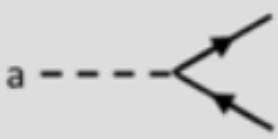


- Mass of elementary particle excitation around $\langle \bar{a} \rangle = 0$, the axion, can be read off the quadratic term in V .

$$m_a = \frac{m_\pi f_\pi}{f_a} \frac{\sqrt{m_u m_d}}{(m_u + m_d)}$$

[Weinberg 78]

Axion Properties

Gluon coupling (generic)	$L_{aG} = \frac{\alpha_s}{8\pi f_a} G \bar{G} a$	
Mass (generic)	$m_a = \frac{\sqrt{m_u m_d}}{m_u + m_d} \frac{m_\pi}{f_\pi f_a} \approx \frac{6 \mu\text{eV}}{f_a / 10^{12} \text{ GeV}}$	
Photon coupling	$L_{a\gamma} = -\frac{g_{a\gamma}}{4} F \bar{F} a = g_{a\gamma} \mathbf{E} \cdot \mathbf{B} a$ $g_{a\gamma} = \frac{\alpha}{2\pi f_a} \left(\frac{E}{N} - 1.92 \right)$	
Pion coupling	$L_{a\pi} = \frac{C_{a\pi}}{f_\pi f_a} (\pi^0 \pi^+ \partial_\mu \pi^- + \dots) \partial^\mu a$	
Nucleon coupling (axial vector)	$L_{aN} = \frac{C_N}{2f_a} \bar{\Psi}_N \gamma^\mu \gamma_5 \Psi_N \partial_\mu a$	
Electron coupling (optional)	$L_{ae} = \frac{C_e}{2f_a} \bar{\Psi}_e \gamma^\mu \gamma_5 \Psi_e \partial_\mu a$	

Constraints from astrophysics:

$$f_a \gtrsim 10^{8 \div 9} \text{ GeV}$$

4) Axion from

UV completions

- Axion from new Higgs fields:
Postulate new global

$U(1)$ symmetry, which
is spontaneously broken
at scale f_a through
vev of a Higgs field

$$\phi = \frac{f_a + \phi(x)}{\sqrt{2}} e^{i \frac{\alpha(x)}{f_a}}$$

Engineer that Nambu -
 Goldstone field $\alpha(x)$
 has anomalous coupling
 $\sim \alpha G \tilde{G}$ arising from triangle
 graph $\rightarrow \alpha$ is axion.

Simplest Invisible Axion: KSVZ Model

Ingredients: Scalar field Φ , breaks $U(1)_{\text{PQ}}$ spontaneously

Very heavy colored quark with coupling to Φ , provides $aG\tilde{G}$ term

$$\mathcal{L}_{\text{KSVZ}} = \left(\frac{i}{2} \bar{\Psi} \partial_\mu \gamma^\mu \Psi + \text{h. c.} \right) + \partial_\mu \Phi^\dagger \partial^\mu \Phi - V(|\Phi|) - h (\bar{\Psi}_L \Psi_R \Phi + \text{h. c.})$$

Invariant under chiral phase transformations (Peccei Quinn symmetry)

$$\Phi \rightarrow e^{i\alpha} \Phi, \quad \Psi_L \rightarrow e^{i\alpha/2} \Psi_L, \quad \Psi_R \rightarrow e^{-i\alpha/2} \Psi_R$$

Mexican hat potential $V(|\Phi|)$, expand fields as

$$\Phi(x) = \frac{f_a + \rho(x)}{\sqrt{2}} e^{ia(x)/f_a}$$

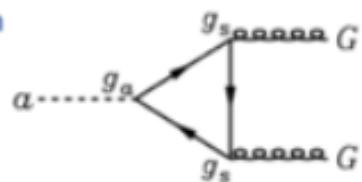
Low-energy Lagrangian

$$\mathcal{L}_{\text{KSVZ}} = \left(\frac{i}{2} \bar{\Psi} \partial_\mu \gamma^\mu \Psi + \text{h. c.} \right) + \frac{1}{2} (\partial_\mu a)^2 - m \bar{\Psi} e^{\frac{ia}{f_a}} \Psi, \quad \text{where } m = hf_a/\sqrt{2}$$

Lowest-order interaction term induces $aG\tilde{G}$ term

$$\mathcal{L}_{aG} = -\frac{\alpha_s}{8\pi f_a} a G \tilde{G}$$

Couples axion to QCD sector



Kim

Snifman, Vainstein, Zakharov

- [9] J. E. Kim, Weak Interaction Singlet and Strong CP Invariance, Phys. Rev. Lett. 43 (1979) 103.
- [10] M. Dine, W. Fischler, M. Srednicki, A Simple Solution to the Strong CP Problem with a Harmless Axion, Phys. Lett. B 104 (1981) 199.
- [11] M. A. Shifman, A. I. Vainshtein, V. I. Zakharov, Can Confinement Ensure Natural CP Invariance of Strong Interactions?, Nucl. Phys. B 166 (1980) 493.
- [12] A. R. Zhitnitsky, On Possible Suppression of the Axion Hadron Interactions, (In Russian), Sov. J. Nucl. Phys. 31 (1980) 260 [Yad. Fiz. 31 (1980) 497].

- Axions from String theory:

- 4D low energy EFT predicts natural candidate for axion
- axions and ALPs arise as KK zero modes of anti-symmetric form fields bel. to massless spectrum of bosonic string
- weak coupling to gauge fields $\alpha \tilde{G}\tilde{G}$, $\alpha \tilde{F}\tilde{F}$, ... , also predicted from dimensional reduction of high dimensional action \Rightarrow four dimensions.

- Often an axiverse
 (# axions \sim # cycles) :
 axion + many axion-like
 particles (ALPs) :

$$\begin{aligned} \mathcal{L} \supset & \frac{1}{2} \partial_\mu a_i \partial^\mu a_i - \frac{\alpha_s}{8\pi} \left(\bar{\theta} + C_{ig} \frac{a_i}{f_{a_i}} \right) G_{\mu\nu}^b \tilde{G}^{b,\mu\nu} - \frac{\alpha}{8\pi} C_{i\gamma} \frac{a_i}{f_{a_i}} F_{\mu\nu} \tilde{F}^{\mu\nu} \\ & + \sum_\Psi \left[\bar{\Psi} \gamma^\mu \frac{1}{2} (\tilde{X}_{\psi_R}^i + \tilde{X}_{\psi_L}^i) \gamma_5 \Psi + \bar{\Psi} \gamma^\mu \frac{1}{2} (\tilde{X}_{\psi_R}^i - \tilde{X}_{\psi_L}^i) \Psi \right] \frac{\partial_\mu a_i}{f_{a_i}}, \end{aligned}$$

- $f_a \sim f_{a_i} \sim 10^{9 \div 16} \text{ GeV}$

Review:

AR, 1209.2299

5) Axion (old Dähnaker ($f_a > \frac{1}{10^9} \text{ GeV}$)

• For $f_a > T_{RH}$,

Axion produced non-thermally

via vacuum realignment

Mechanism: Preskill et al
Dine, Fischler]
Abbott, Sikivie] 83

$$\ddot{\alpha} + 3H(T)\dot{\alpha} - m_\alpha^2(T) = 0$$

- At early times, where

$$H(T) \gtrsim m_\alpha(T), \text{ i.e.}$$

$T_{RH} > T \gtrsim 1 \text{ GeV}$; axion field

sets fixed at $\alpha_i = \theta_i f_a$

• At late time, when
 $m_a(\tau) \gtrsim 3H(\tau)$, axion
 field stops quickly
 oscillating $\hat{=}$ coherent
 state of non-relativistic
 particles $\hat{=}$ Cold Dark
Matter

Modern values for QCD parameters and temperature-dependent axion mass imply (Bae, Huh & Kim, arXiv:0806.0497)

$$\Omega_a h^2 = 0.195 \Theta_i^2 \left(\frac{f_a}{10^{12} \text{GeV}} \right)^{1.184} = 0.105 \Theta_i^2 \left(\frac{10 \mu\text{eV}}{m_a} \right)^{1.184}$$

- $\Theta_i \sim 1$ implies $f_a \sim 10^{12} \text{ GeV}$ and $m_a \sim 10 \mu\text{eV}$ ("classic window")
- $f_a \sim 10^{16} \text{ GeV}$ (GUT scale) or larger (string inspired) requires $\Theta_i \lesssim 0.003$ ("anthropic window")

• For $T_{RH} > f_a$:

Axions produced nonthermally via:

- vacuum realignment
- string decay
- domain wall decay

$$\frac{\Omega_{a,VR}}{\Omega_{\text{obs}}} \sim \left(\frac{40 \mu\text{eV}}{m_a} \right)^{1.184}$$

$$\frac{\Omega_{a,DW+ST}}{\Omega_{\text{obs}}} \begin{cases} \sim \left(\frac{40 \mu\text{eV}}{m_a} \right)^{1.184} \\ \sim \left(\frac{400 \mu\text{eV}}{m_a} \right)^{1.184} \end{cases}$$

Sikivie, Harari et al.
Shellard, Davis et al.
Kawasaki, Hiramatsu et al.

Axion can be dominant part of CDM for
 $10 \mu\text{eV} \leq m_a \lesssim 1 \text{ meV}$

Literature:

- Review on $d_n(\bar{\theta})$:
Pospelov, Ritz, Ann.Phys.
318 (2005) 119 [hep-ph/0504321]
- Review on Axion and ALPs:
AR, 1216.5081