

Pre-existing betatron motion and spin flipping with RF fields in storage rings

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For students of the recent history of these matters:

Every cloud has a silver lining.

– English proverb

Physics now stands on three legs – has three branches

- Experimental physics
- Theoretical physics
- Computational physics

– FZ Juelich: publicity material about the powerful computers.

Essential, pre-requisite tools and knowledge:

- The **T-BMT equation** for spin motion:

$$d\vec{S}/ds = \vec{\Omega}(z, s) \times \vec{S}; \quad \vec{\Omega}(z, s) = \vec{\Omega}_0(s) + \vec{\omega}(z, s); \quad z = (x, p_x, y, p_y, \sigma, \delta); \quad \theta = 2\pi s/C$$

- The 1-turn periodic coordinate system on the CO: $\hat{l}(s), \hat{n}_0(s), \hat{m}(s)$

- The **invariant spin field** (ISF):

$$\hat{n}(z, s) \quad \text{or} \quad \hat{n}(z, \theta); \quad \hat{n}(z, s + C) = \hat{n}(z, s)$$

- The **spin tune** on the closed orbit ν_0 : $= a\gamma_0$ in a simple perfectly flat ring.

- The **uniform invariant frame field** (u-IFF): $\hat{u}_1(z, s), \hat{n}(z, s), \hat{u}_2(z, s)$

- The **equivalence class of amplitude dependent spin tunes** (ADST).

- The **naive single resonance model** (SRM) for the ISF and ADST: a single Fourier harmonic of $\vec{\omega}(z, s) \cdot (\hat{l}(s) - i\hat{m}(s))$ has dominant control of spin motion

\implies the spin tune ν_0 on the closed orbit matches an orbital tune:

$$\nu_0 = \kappa = k_0 \pm Q_I, \quad k_0 \pm Q_{II}, \quad k_0 \pm Q_{III} \quad \text{or} \quad \nu_0 = \kappa = k_0 \pm Q_{rf} \quad \text{for an integer } k_0.$$

- The well known notation for the SRM. For example:

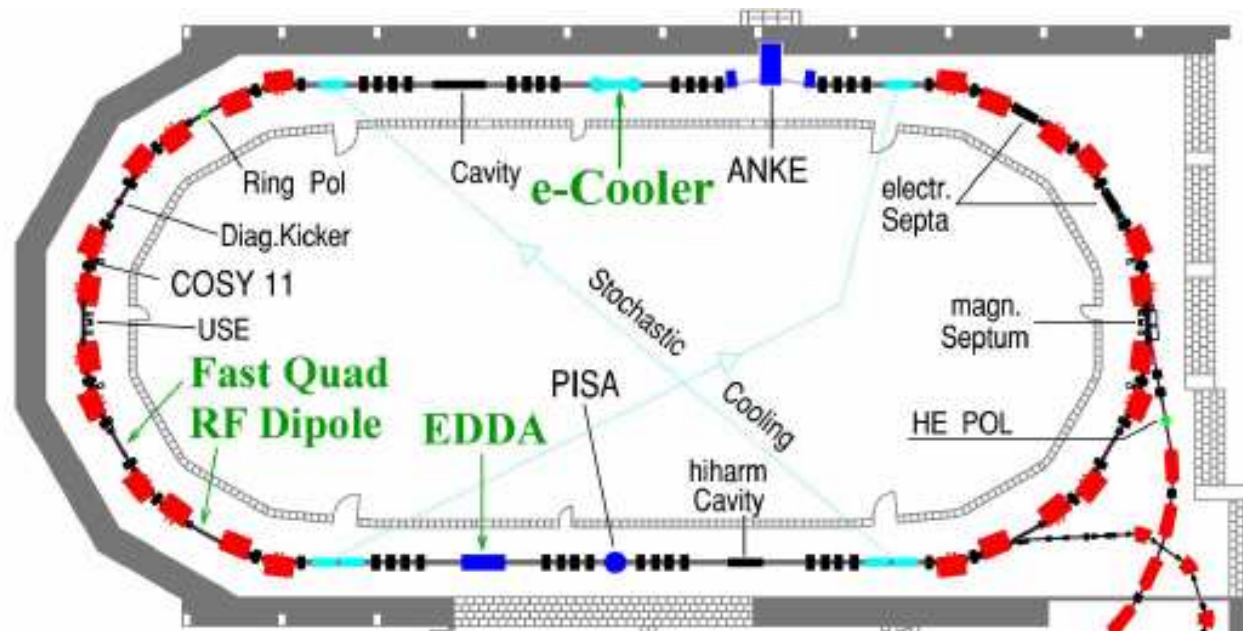
$$\delta = \nu_0 - \kappa, \quad \text{the resonance strength } \epsilon_\kappa$$

- The **naive Froissart–Stora formula** for survival of vertical polarisation (1960!) when

δ crosses 0:

$$\frac{S_y^{\text{final}}}{|S|} = 2 \exp(-\Xi) - 1 = 2 \exp\left(-\frac{\pi |\epsilon_\kappa^v|^2}{2\alpha_{FS}}\right) - 1, \quad \alpha_{FS} = \frac{d\delta}{d\theta}$$

COSY



The SLIM/SLICK formalism

Linearised orbital and spin motion for first order analytical estimates of radiative depolarisation in electron storage rings, e.g., HERA, eRHIC, ELIC, ENC@FAIR, SuperB, LHeC.....

Attach an orthonormal 1-turn periodic coordinate system $\hat{l}(s), \hat{n}_0(s), \hat{m}(s)$ to the closed orbit.

$\hat{n}_0(s)$ obeys the BMT equation on the closed orbit – “the stable spin direction”.

$$\vec{S} \approx \hat{n}_0(s) + \alpha \hat{m}(s) + \beta \hat{l}(s)$$

α, β : 2 small spin tilt angles — have subtracted out the big rotations!

$$\hat{\mathbf{M}}_{8 \times 8} = \begin{pmatrix} \mathbf{M}_{6 \times 6} & \mathbf{0}_{6 \times 2} \\ \mathbf{G}_{2 \times 6} & \mathbf{D}_{2 \times 2} \end{pmatrix}$$

acting on $\vec{z} = (x, p_x, y, p_y, \sigma, \delta)$ and α, β

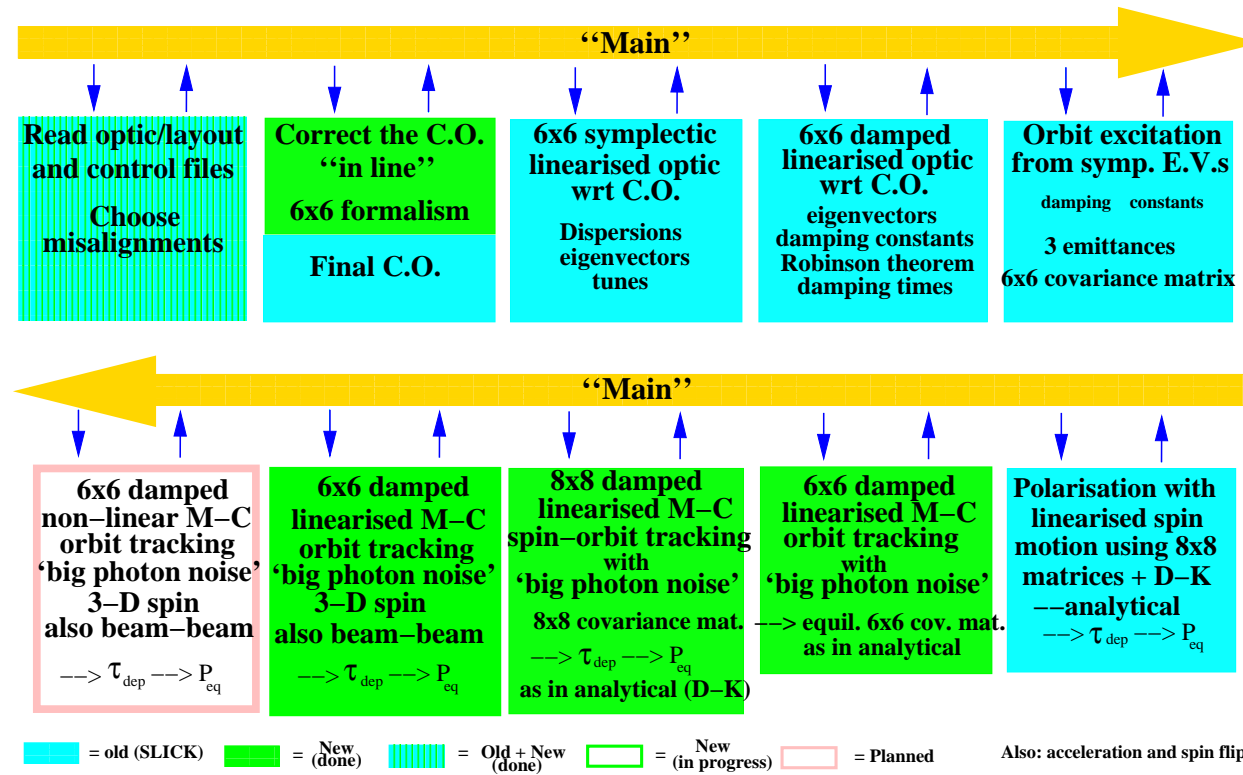
$\mathbf{G}_{2 \times 6}$ represents the linearised solution of the T-BMT equation for α, β .

This is the **SLIM formalism**: originally A.W. Chao 1981 – working at DESY.

Theory and codes developed further by H. Mais and G. Ripken, D. Barber.

D. Barber: also a thick-lens version, SLICK and with Monte-Carlo extensions, SLICKTRACK.

The structure of SLICKTRACK



Using $G_{2 \times 6}$ to get $\epsilon^{\text{tot}}, \epsilon^{\text{rfd}}$ etc

See for example:

- G.H. Hoffstaetter, “*High Energy Polarised Proton Beams: a Modern View*”, Springer Tract in Modern Physics, Vol 218 (2006).
- M. Vogt, PhD Thesis, University of Hamburg, Germany, DESY-THESIS-2000-054 (2000): <http://www-library.desy.de/preparch/desy/thesis/desy-thesis-00-054.pdf>
- D.P. Barber and G. Ripken in “*Handbook of Accelerator Physics and Engineering*”, Eds: A.W. Chao and M. Tigner, World Scientific, 3rd printing (2006).

Just need the 1-turn $G_{2 \times 6}$ for free synchro-betatron motion and with the RFD, a trivial extension for the forced motion + a term for the RFD itself.

For example, for free synchro-betatron motion:

$$\epsilon_{\kappa}^{\text{sb}} = \frac{1}{2\pi} (1 \ i) \bar{G}(C, 0) \sqrt{2J_{\kappa}} e^{-i\phi_{\kappa}} \check{v}_{\kappa}(0)$$

Or do long term tracking and averaging to get the Fourier integral.

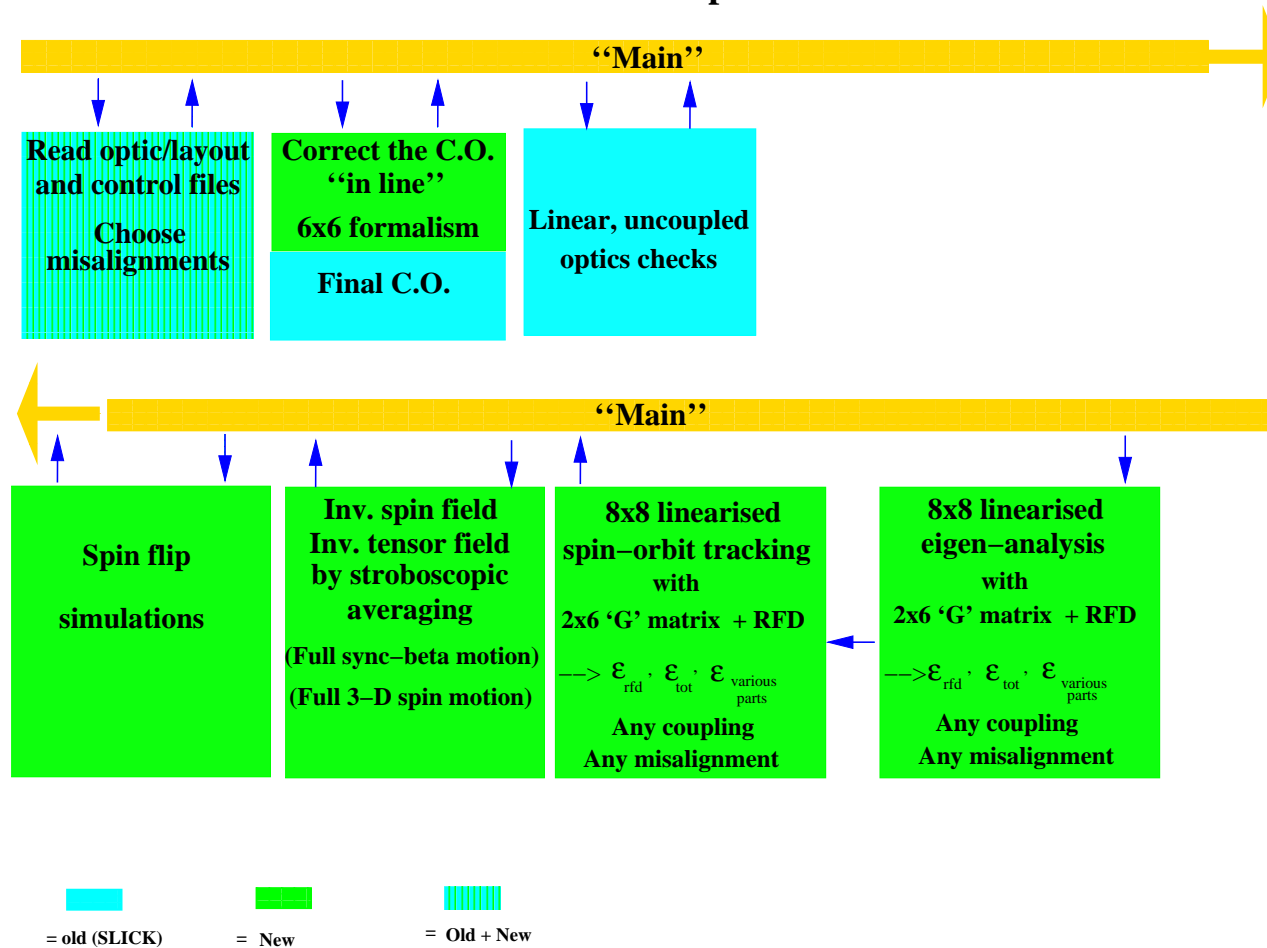
Any ring geometry, any misalignment, any linear coupling.

Full 6-D orbital motion!

No need for obscene contortions!

Getting ϵ by tracking or eigenanalysis:
including the (inhomogeneous) rf dipole with the matrix $G_{2 \times 6}$

The structure of EpsSLICK



Diagnostics!

Switch spin-orbit coupling off/on to see what does what.

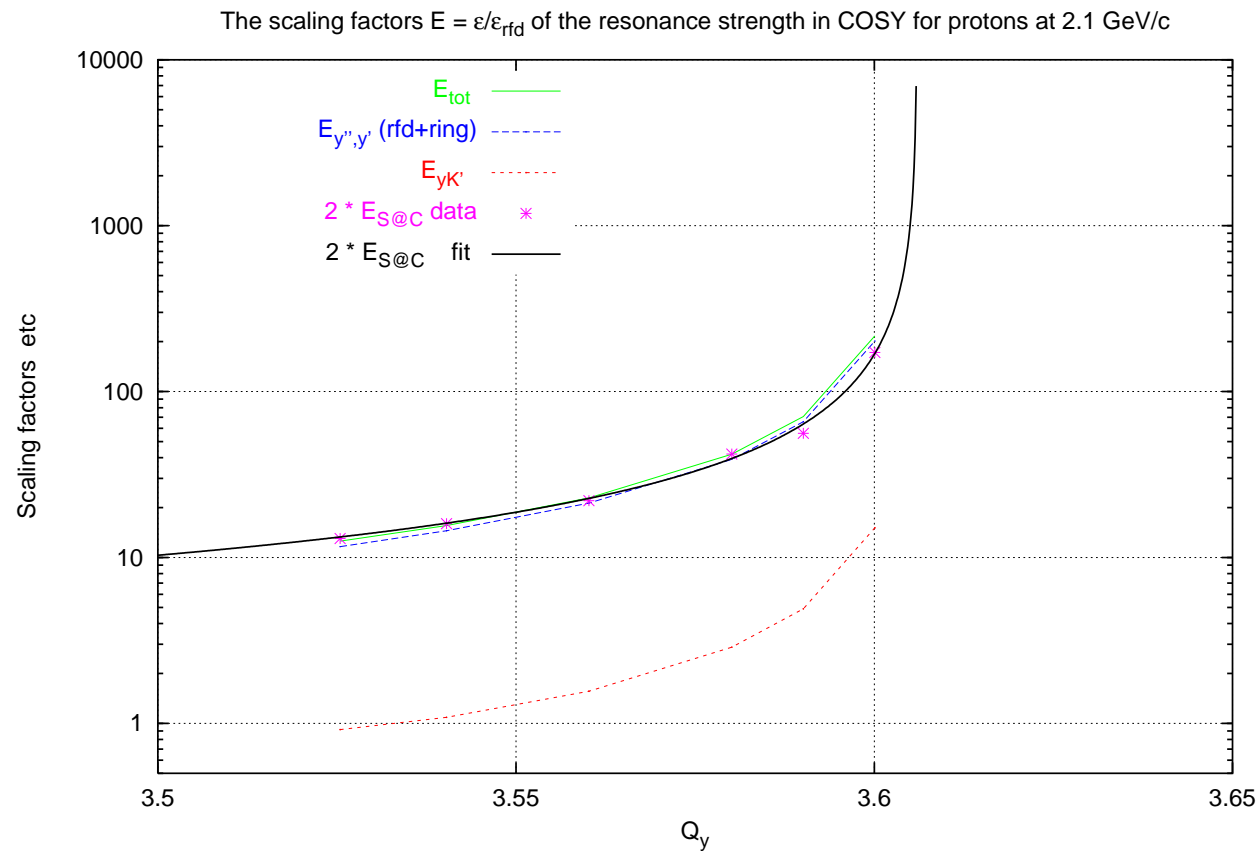
For example:

Check that ϵ^{rfd} comes out correctly.

Study contributions from y'', y', y .

Getting the relative signs right.

Protons



The values for $E_{y''} (\text{rfd} + \text{ring})$ confirm earlier preliminary results (2006!) from A. Lehrach.

The values for $E_{y'',y'} (\text{rfd} + \text{ring})$ are confirmed by M. Vogt with the code SPRINT.

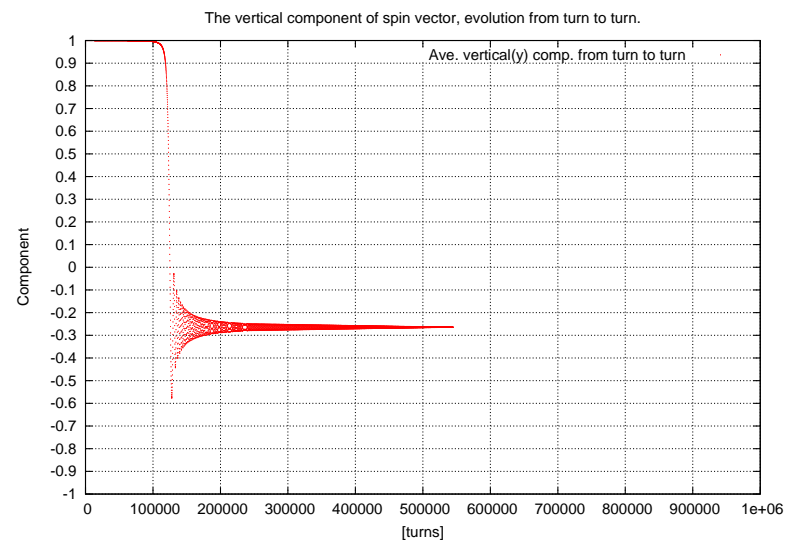
Nothing unexpected – just well known effects. Subject closed.

Spin flip simulations:

Extend the 8x8 formalism of basic SLICK to handle full 3-D spin motion. A perfect formalism for such problems.

± 4 kHz $\equiv \pm 57 |\epsilon_{\kappa}^{\text{rf}}|$. Spins initially parallel to ISF....i.e vertical.

Run down the RF amplitude at the end. $\Xi = 1 \implies S_{n_0}^{\text{final}} = -0.264$.



Now look properly

beyond the naive SRM:

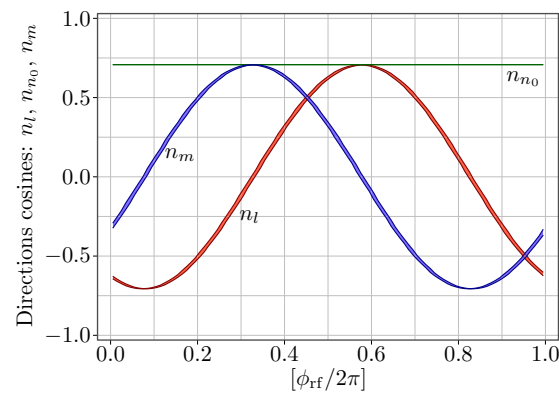
$$\hat{n}^{\text{rf}}(\phi_{\text{rf}}) = (\text{sgn } \delta^{\text{rf}}) \frac{\left(\delta^{\text{rf}} \hat{n}_0(s) + |\epsilon_{\kappa}^{\text{rf}}| (\hat{l}(s) \cos \check{\phi}_{\text{rf}} + \hat{m}(s) \sin \check{\phi}_{\text{rf}}) \right)}{\Lambda^{\text{rf}}},$$

where $\delta^{\text{rf}} = \nu_0 - \kappa^{\text{rf}}$, $\Lambda^{\text{rf}} = \sqrt{(\delta^{\text{rf}})^2 + |\epsilon_{\kappa}^{\text{rf}}|^2}$ and $\check{\phi}_{\text{rf}} = \kappa^{\text{rf}} \theta - \phi_{\epsilon} - \pi$ where ϕ_{ϵ} is the phase of $\epsilon_{\kappa}^{\text{rf}}$.

$$|\epsilon_{\kappa}^{\text{rf}}| = 47.2 \times 10^{-6}, \quad \kappa^{\text{rf}} = 5 - Q_{\text{rf}}, \quad Q_{\text{rf}} \approx 0.605$$

The $\hat{l}(s)$ and $\hat{m}(s)$ are chosen so that spins on the CO precess at the uniform rate ν_0 in the $(\hat{l}(s), \hat{n}_0(s), \hat{m}(s))$ frame — NOT using $(\hat{x}, \hat{y}, \hat{s}) \implies$ any ring geometry.

Protons, just before the RFD. RFD + forced solution. $\delta^{\text{rf}} = \pm |\epsilon_{\kappa}^{\text{rf}}|$

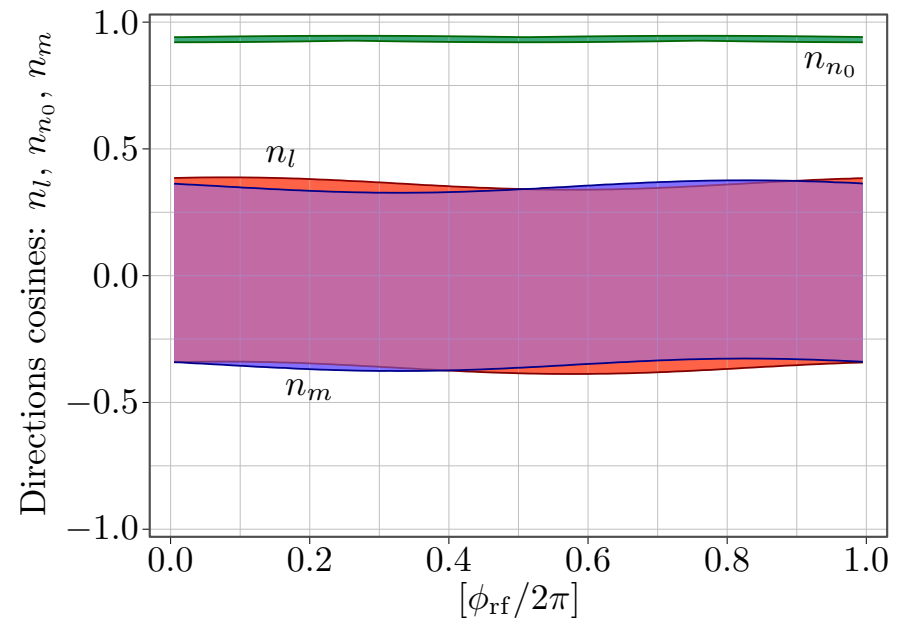


Include large-amplitude pre-existing vertical betatron motion. — off spin-orbit resonance.

- No misalignments
- 2.1 GeV/c $\implies \nu_0 = a\gamma_0 = 4.395$
- $Q_y = 3.580, \quad Q_x = 3.543$
- $\kappa^v = 8 - Q_y \implies \delta^v = \nu_0 + Q_y - 8 = -0.02490\dots$
- Before cooling, the $3\text{-}\sigma$ vertical emittance for protons at 2.1 GeV/c is $2\pi\text{--}4\pi$ mm.mrad.
For 4π mm.mrad: $\beta_y^{\max} \approx 32$ metre $\implies y_{\max} \approx 11$ mm.
- For this simulation, sit ON the 18π mm.mrad ellipse and imagine that the motion is still linear.
Then $y_{\max} \approx 24$ mm:

Relax! it's only a simulation!

Then:



What's happening?

**The “ground state” has shifted!!! and so has the spin tune
– and this is not just naive interference.**

The uniform Invariant Frame Field

- $\hat{u}_1(z, s)$, $\hat{n}(z, s)$, $\hat{u}_2(z, s)$ with $\hat{u}_1(z, s + C) = \hat{u}_1(z, s)$ and $\hat{u}_2(z, s + C) = \hat{u}_2(z, s)$
- – a local coordinate system attached to each (z, s) to appraise spin motion as particles flow through phase space. No History. Generalises $\hat{l}(s), \hat{n}_0(s), \hat{m}(s)$
- $\hat{u}_1(z, s)$ and $\hat{u}_2(z, s)$ are chosen so that spins precess at a uniform rate.

The proper definition of spin tune and spin-orbit resonance

See “Quasiperiodic spin-orbit motion and spin tunes in storage rings”
Barber, Ellison and Heinemann, PRST-AB, **7**, 124002 (2004).

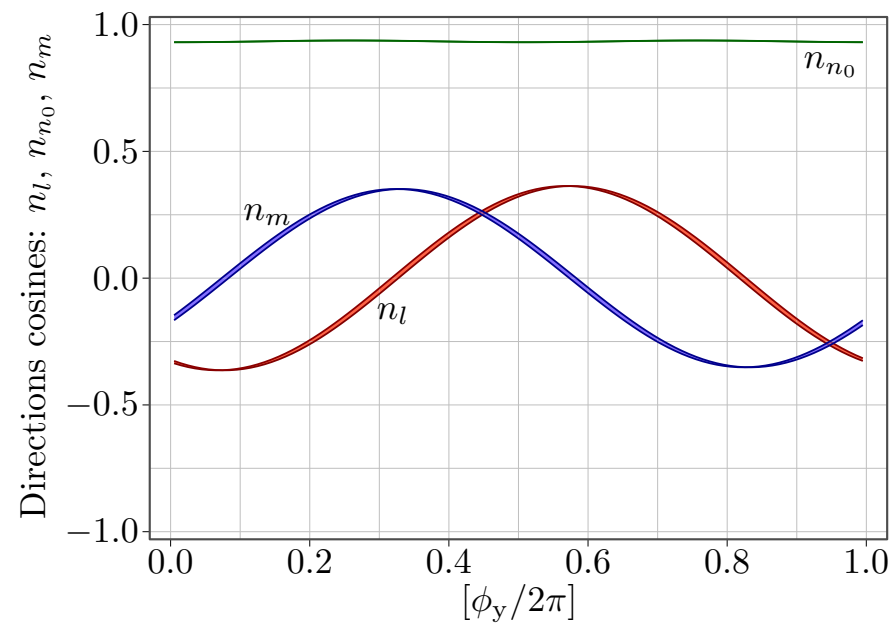
- An ADST $\nu(J)$ describes the rate of uniform spin precession around \hat{n} in a u-IFF. $(\hat{u}_1(z, s), \hat{n}(z, s), \hat{u}_2(z, s))$. where J is the list of **3** amplitudes or, if an external RF field is applied, **4** amplitudes.
- The transform $\hat{u}_1 \pm i\hat{u}_2 \implies (\hat{u}_1 \pm i\hat{u}_2) \exp\{(j_0 + j \cdot Q)\theta\}$ with $(j_0, j) \in \mathbb{Z} \times \mathbb{Z}^3$ causes the change $\nu(J) \implies \pm\nu(J) + j_0 + j \cdot Q$
- This motivates the definition of an equivalence relation where ν_1 and ν_2 in $[0, 1)$ are said to be equivalent - and we write $\nu_1 \sim \nu_2$ - iff there exist $(\varepsilon, j_0, j) \in \{-1, 1\} \times \mathbb{Z} \times \mathbb{Z}^3$ such that $\nu_2 = \varepsilon\nu_1 + j_0 + j \cdot Q$.
- \implies The ADST is an equivalence class! – countably infinite in size.
- The system is on spin-orbit resonance if the equivalence class contains **0**, i.e. if some $\nu(J) = j_0 + j \cdot Q$.
- The condition $\nu_0 = j_0 + j \cdot Q$ is NOT a spin-orbit resonance condition but the system will be near resonance if some $\nu(J) \approx \nu_0$.
- Systems tend to avoid exact resonance! – see the SRM
- The ADST does **not exist** on orbital resonance.

The preferred member of the equivalence class

- The equivalence class is countably infinite
- The member $\nu(J)$ which $\rightarrow \pm\nu_0$ as its u-IFF reduces smoothly to $(\hat{l}(s), \hat{n}_0(s), \hat{m}(s))$
– if such a member exists.
- \implies these $(\hat{u}_1(z, s), \hat{u}_2(z, s))$ are the generalisation of $(\hat{l}(s), \hat{m}(s))$
- The new reference spin tune is the preferred member of the ADST of the **new ground state!!**

The spin motion for the pre-existing vertical betatron motion?

Just before the RFD



This looks like SRM – expected from experience.

The SRM for vertical betatron motion

$$\hat{n}^v(s, \phi_\kappa) = (\text{sgn } \delta^v) \frac{(\delta^v \hat{n}_0(s) + |\epsilon_\kappa^v| (\hat{l}(s) \cos \check{\phi}_\kappa + \hat{m}(s) \sin \check{\phi}_\kappa))}{\Lambda^v}$$

where $\Lambda^v = \sqrt{(\delta^v)^2 + |\epsilon_\kappa^v|^2}$ with $\delta^v = \nu_0 - \kappa^v$ and $\check{\phi}_\kappa = \theta \kappa^v - \phi_\epsilon + \pi$ where ϕ_ϵ is the phase of ϵ_κ^v .

- With $\delta^v = -0.02490$ and $\hat{n}_{n_0}^v = 0.934$, $|\epsilon_\kappa^v|$ should be 0.009582 .
- This agrees exactly with the value from the Fourier integral
- $\hat{n}_{n_0}^v = 1/\sqrt{2}$ when $\delta^v = \pm |\epsilon_\kappa^v|$ etc etc.

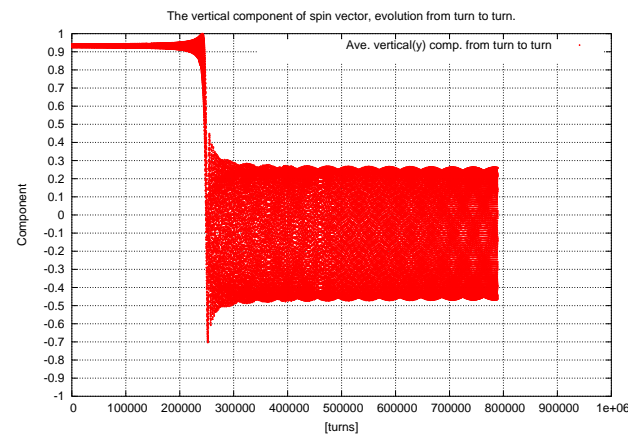
⇒ spin motion for the pre-existing vertical betatron motion is well described by an SRM —
expected!

The preferred member of the ADST for the SRM

The preferred member of the ADST for the SRM is

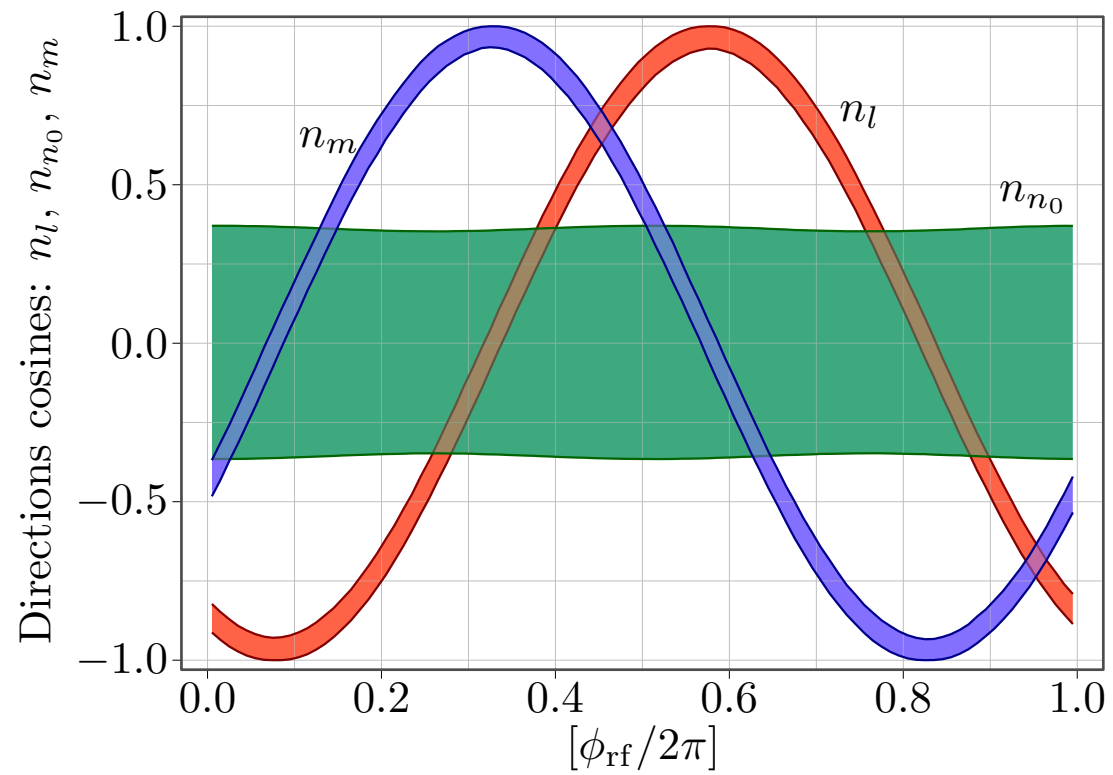
$$\nu(J_y) = -\Lambda^v + \kappa^v \implies \nu(J_y) - \nu_0 = -\Lambda^v - \delta^v = -0.001779... \quad \text{The shift is negative!}$$

- The full $\nu_0 = 4.39498$ at 2.1 GeV/c
- The shift represents about 2.6 kHz in the original scan range of ± 4 kHz!
 \implies Chao's "matrix formalism" would not be too relevant.
- **Spectral analysis** of spin motion with **Theorem 9.1.c in BEH (2004)**:
 Lines at $j_\nu \nu(J_y) + j_0 + j_y Q_y : j_\nu \in \{0, 1, -1\}$ The line with $[\nu(J_y)] = 0.393201....$ is prominent
- The Q_{rf} at which spins flip in a Froissart-Stora scan is shifted **upwards** by 0.001779

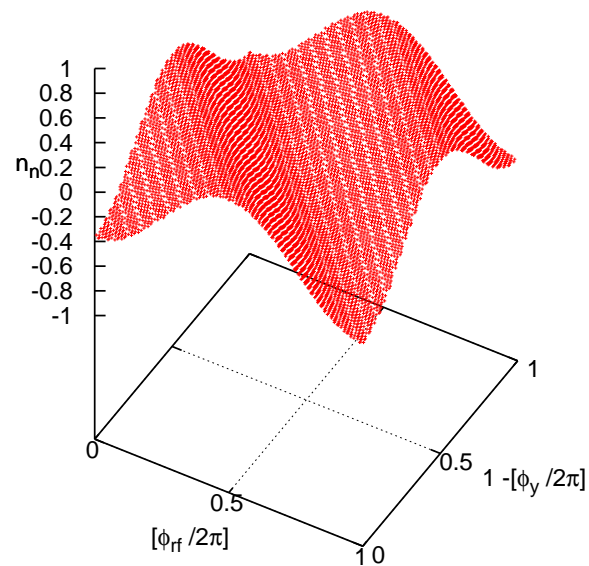


$\Xi = 1$ with \vec{S} initially parallel to \hat{n}^v : final average -0.11 instead of -0.264.

Everything is consistent, and with the RFD running such that $\tilde{\delta}^{\text{rf}} := \nu(J_y) - \kappa^{\text{rf}} = 0$ we get



The \hat{n}_0 component of the full ISF

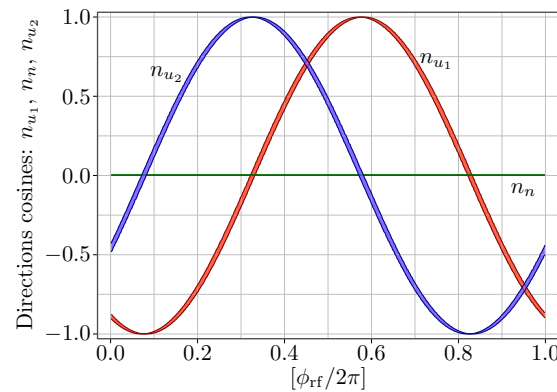


A **surface** so that the ISF is a single valued function of the phases ϕ_{rf} and ϕ_y as required.

A very simple form, being very well parametrised by the function

$$\hat{n}_{n_0}(\phi_{rf}, \phi_y) = (|\epsilon_{\kappa}^v|/\Lambda^v) \cos\{[(\phi_{rf} - \phi_y)/2\pi]\}$$

Now view within the u-IFF of the pre-existing vertical betatron motion



An SRM within an SRM!

$$\hat{n}^{\text{full}}(\phi_v, \phi_{\text{rf}}) = (\text{sgn } \tilde{\delta}^{\text{rf}}) \frac{(\tilde{\delta}^{\text{rf}} \hat{n}^v + |\tilde{\epsilon}_{\kappa}^{\text{rf}}| (\hat{u}_1^v \cos \check{\phi}_{\text{rf}} + \hat{u}_2^v \sin \check{\phi}_{\text{rf}}))}{\tilde{\Lambda}^{\text{rf}}},$$

$\tilde{\epsilon}_{\kappa}^{\text{rf}}$ is the resonance strength in the u-IFF, and $\tilde{\Lambda}^{\text{rf}} = \sqrt{(\tilde{\delta}^{\text{rf}})^2 + |\tilde{\epsilon}_{\kappa}^{\text{rf}}|^2}$ and $\tilde{\delta}^{\text{rf}} = \nu(J) - \kappa^{\text{rf}}$

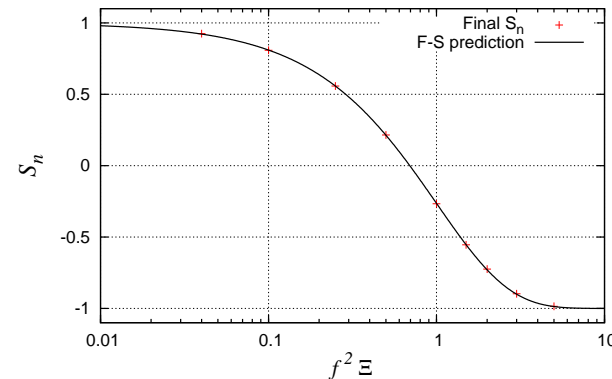
What is $|\tilde{\epsilon}_{\kappa}^{\text{rf}}|$???

We naively expect:

$|\tilde{\epsilon}_{\kappa}^{\text{rf}}| \leq |\epsilon_{\kappa}^{\text{rf}}|$ since \hat{n}^v is tilted from the vertical while the perturbing fields are horizontal.

Three tests

- With $|\tilde{\delta}^{\text{rf}}| = |\tilde{\epsilon}_{\kappa}^{\text{rf}}| \equiv f|\epsilon_{\kappa}^{\text{rf}}| = 0.901|\epsilon_{\kappa}^{\text{rf}}| \rightarrow \hat{n}^{\text{full}} \cdot \hat{n}^{\nu} = 1/\sqrt{2}$ etc etc
- The Froissart-Stora formula describes the level of adiabatic invariance of $\vec{S} \cdot \hat{n}$
A scan with \vec{S} initially parallel to \hat{n}^{ν} and observing the final $\hat{n}^{\text{full}} \cdot \hat{n}^{\nu}$ i.e. a F-S scan within the u-IFF. For $f = 0.901$



A scan with $f = 1.0$ gives a bad fit.

- With the RFD **included** at various Q_{rf} the “preferred member of the ADST” in the **u-IFF** (instead of in $(\hat{l}, \hat{n}_0, \hat{m})$ frame) should be:

$$\nu^{\text{full}} = (\text{sgn } \tilde{\delta}^{\text{rf}}) \tilde{\Lambda}^{\text{rf}} + \kappa^{\text{rf}} = (\text{sgn } \tilde{\delta}^{\text{rf}}) \tilde{\Lambda}^{\text{rf}} + \nu(J_y) - \tilde{\delta}^{\text{rf}}.$$

Spectral analysis of spin motion including the RFD:

With **Theorem 9.1.c in BEH (2004)**, the observed spectra require that $|\tilde{\epsilon}_{\kappa}^{\text{rf}}| = 0.901|\epsilon_{\kappa}^{\text{rf}}|$

Now some fun with spinors

The Pauli matrices and spinors (NOT wavefunctions!):

$$\sigma_l = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_{n_0} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \sigma_m = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \vec{S} = \Psi^\dagger \vec{\sigma} \Psi$$

With two dominant harmonics in $\vec{\omega}(z, s) \cdot (\hat{l}(s) - i\hat{m}(s))$:

$$\frac{d\Psi}{d\theta} = -\frac{i}{2} \begin{pmatrix} -\nu_0 & -i(\epsilon_\kappa^v)^* \exp(i\kappa^v \theta) - i(\epsilon_\kappa^{\text{rf}})^* \exp(i\kappa^{\text{rf}} \theta) \\ i\epsilon_\kappa^v \exp(-i\kappa^v \theta) + i\epsilon_\kappa^{\text{rf}} \exp(-i\kappa^{\text{rf}} \theta) & \nu_0 \end{pmatrix} \Psi$$

Then with a sequence of unitary transformations into the u-IFF (Maple):

$$\frac{d\Psi_{\text{uiff}}}{d\theta} = -\frac{i}{2} (\mathfrak{M}_{\text{res}} + \mathfrak{D} + \mathfrak{N}) \Psi_{\text{uiff}},$$

$$\mathfrak{M}_{\text{res}} = \begin{pmatrix} -\nu(J_y) & -i(\mathcal{E}_\kappa^{\text{rf}})^* \exp(i\kappa^{\text{rf}} \theta) \\ i\mathcal{E}_\kappa^{\text{rf}} \exp(-i\kappa^{\text{rf}} \theta) & \nu(J_y) \end{pmatrix},$$

with $(\mathfrak{D}, \mathfrak{N})$ oscillating but far off resonance and with $(\mathfrak{D}, \mathfrak{N}) \rightarrow 0$ as the component $\hat{n}_{n_0}^v \rightarrow 1$

$$|\mathcal{E}_\kappa^{\text{rf}}| = \frac{(1 + \hat{n}_{n_0}^v)}{2} |\epsilon_\kappa^{\text{rf}}|(\tilde{\delta}=0) = 0.967 \times |\epsilon_\kappa^{\text{rf}}|(\tilde{\delta}=0) \text{ for } \hat{n}_{n_0}^v = 0.934$$

BUT, $|\epsilon_\kappa^{\text{rf}}|(\tilde{\delta}=0) = 0.930 \times |\epsilon_\kappa^{\text{rf}}|(\delta=0) \implies |\tilde{\epsilon}_\kappa^{\text{rf}}| = |\mathcal{E}_\kappa^{\text{rf}}| = 0.899 \times |\epsilon_\kappa^{\text{rf}}|(\delta=0) \implies f = 0.899 !!!$

...and some serious stuff with COSY

- If spin motion for pre-existing vertical betatron motion is described by an SRM and $|\epsilon_{\kappa}^v|$ is known, we know the preferred member of the ADST.
- At fixed $|\epsilon_{\kappa}^v|$: $|\nu(J_y) - \nu_0| = |-\Lambda^v - \delta^v|$ **increases** as $|\delta^v|$ **decreases**.
- Measurements of resonance strengths for protons were made at $Q_y = 3.6 \implies \delta^v = -0.0050$.
- Then if $|\epsilon_{\kappa}^v|$ is insensitive to Q_y , $\nu(J_y) - \nu_0 = -0.00158$ ON the ellipse with 3.6 mm.mrad. $\implies y^{\max} \approx 10.7$ mm.
- Two parameters: the preferred member of the ADST for the pre-existing vertical betatron motion $\nu(J)$ and the attenuation f .

Suggestion

- Run at $Q_y = 3.6$ and 2.1 GeV/c with polarised protons.
- Cool the beam to get a $3-\sigma$ vertical emittance of ≈ 0.3 mm.mrad.
- Use a vertical kicker to put the beam onto ellipses in the range $0 - 3.6$ mm.mrad.
- Use the field of the RF solenoid as a **probe** to measure $\nu(J_y) - \nu_0$ by measuring the RF tune needed to get zero time averaged vertical polarisation in each case and check the dependence of $\nu(J_y) - \nu_0$ on J_y against the expectation.
- Or run at $Q_y = 3.58$ and $a\gamma_0 = 4.4148\dots$

Why?

- (1) Because it's never been done.
- (2) Because the literature on spin tune shifts contains too much mumbo-jumbo.
- (3) Because COSY is perfectly placed and has a very experienced crew.
- (4) Because people wanting to measure beam energies very precisely might want to have a better understanding of the systematics. See (2).
- (5) Etc.....

Questions:

- Is it feasible to put the beam on the ellipse? See DESY 09-15 – in preparation.
- Can the energy spread be kept low enough? Orbit length?
- How long does the beam stay on the ellipse (non-linear motion, scattering in the polarimeter, IBS, space charge, image forces....)?
- How can the phase space distribution be measured for checking that the particles are on/off the ellipse?
- If it's only a short time, is it feasible to set up an injection/measurement routine that can deliver enough precision?
- Etc.

⇒ Homework for the COSY crew.

In simple rings the shifts might be bigger at higher energies – we need large $|\epsilon_{\kappa}^{\vee}|$

Summary

- Going beyond the common perception that non-spin-resonant background vertical betatron motion is not too interesting.
- With appropriate computational tools:
 - Stroboscopic averaging to get the ISF in general situations without models,
 - Efficient spin-orbit tracking
 - Spectral analysis
- and clearly defined mathematical concepts with theorems (rigour). E.g. the proper definition of the ADST based on conventional mathematics!

⇒ **Efficient analysis.**

- The resonance strength for the RFD **does** depend on the coordinate system

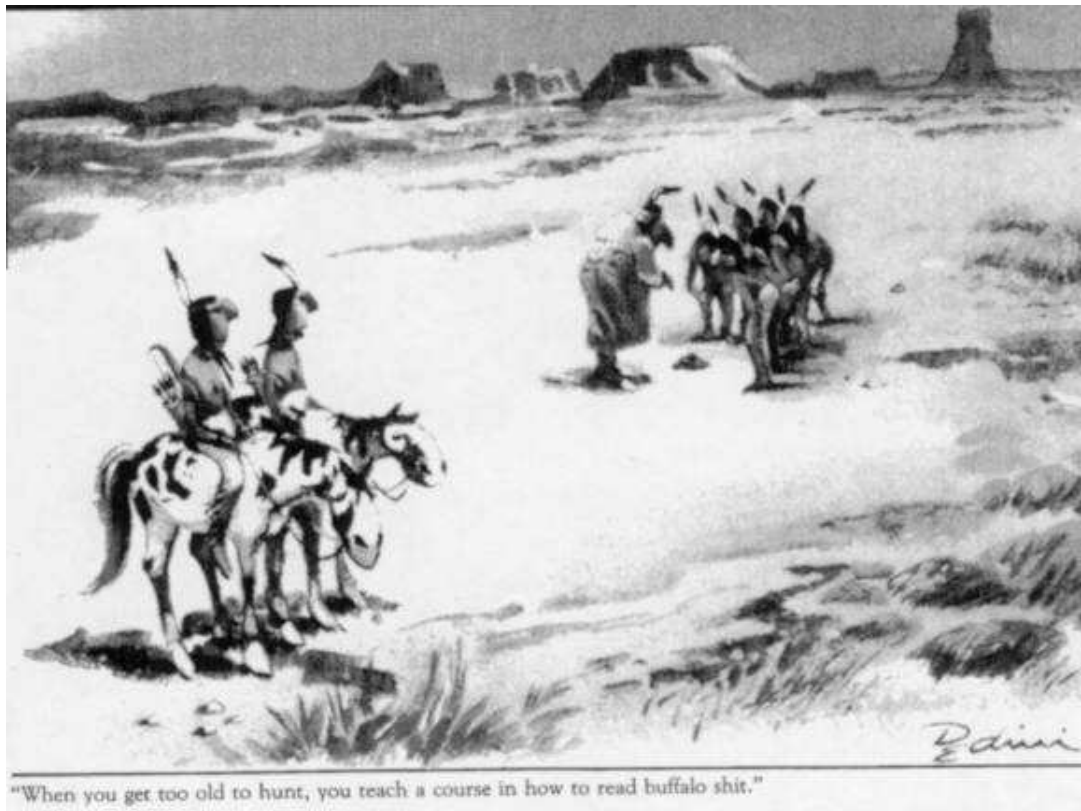
AND

⇒ A suggestion for a test using COSY, a perfect facility with its experts.

⇒ A chance to clean up this business of spin tune shifts.

Confusions, ignorance and laziness about such shifts in the literature.

More information in DESY 09-15 – in preparation.



When you get too old to hunt, you teach a course on how to read buffalo shit.
(Eldon Dedini: The Tracker Magazine 1985.)