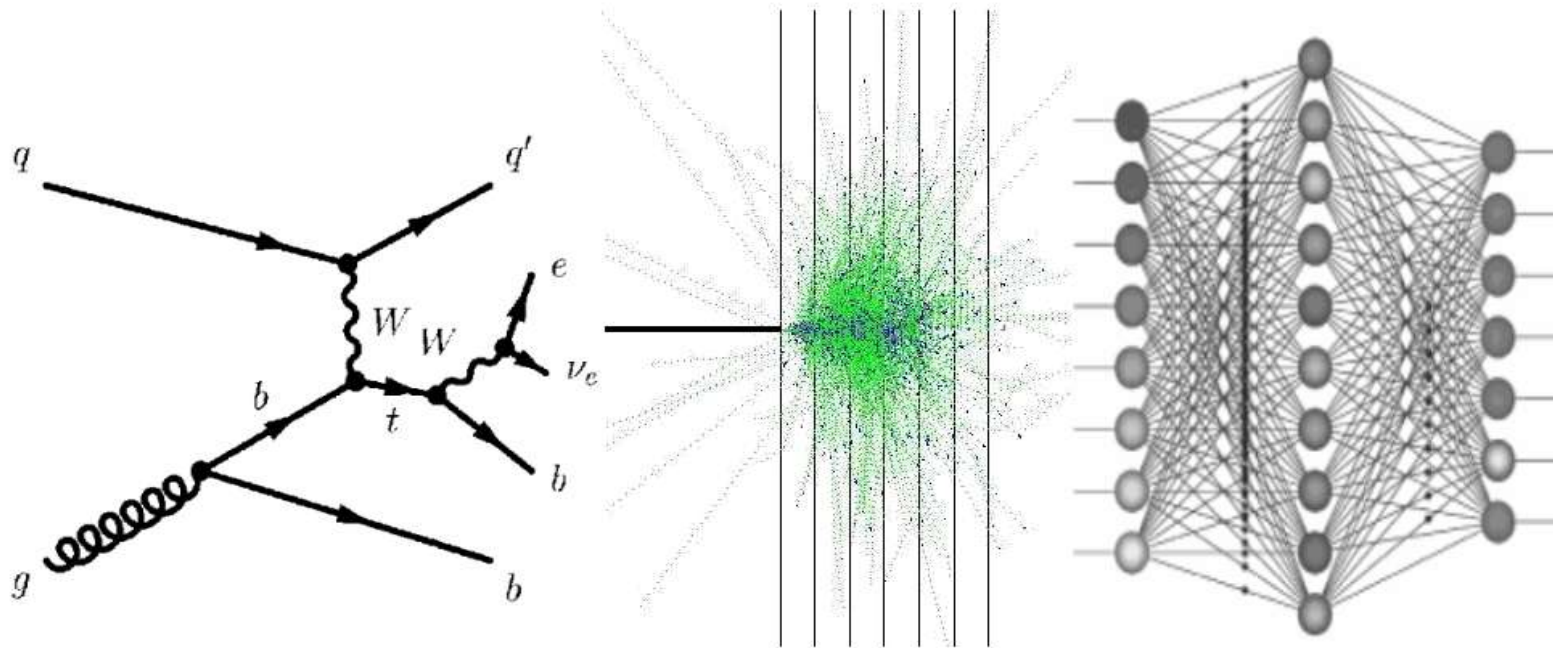


# *An Artificial Neural Network for Electron Identification with CDF*



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Hartmut Stadie, Wolfgang Wagner  
Universität Karlsruhe

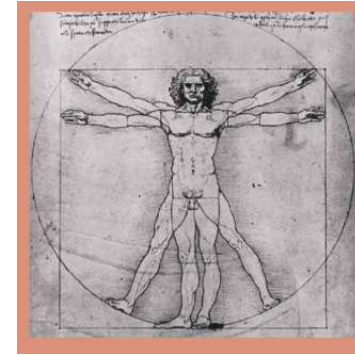
# From Universe to Quark:



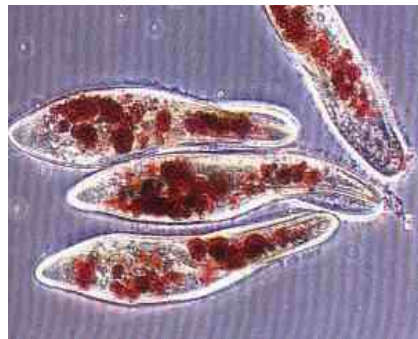
$10^{26}$  m



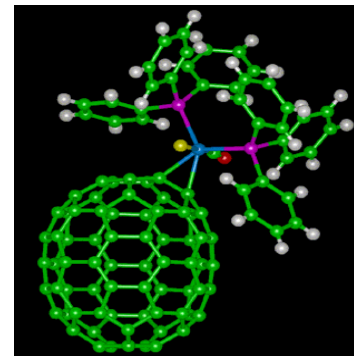
$10^7$  m



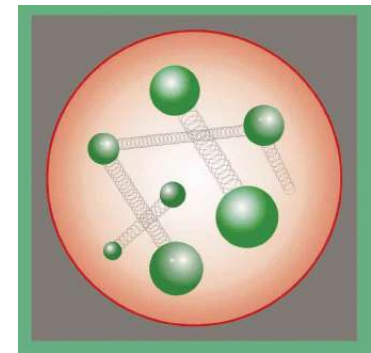
$10^1$  m



$10^{-4}$  m



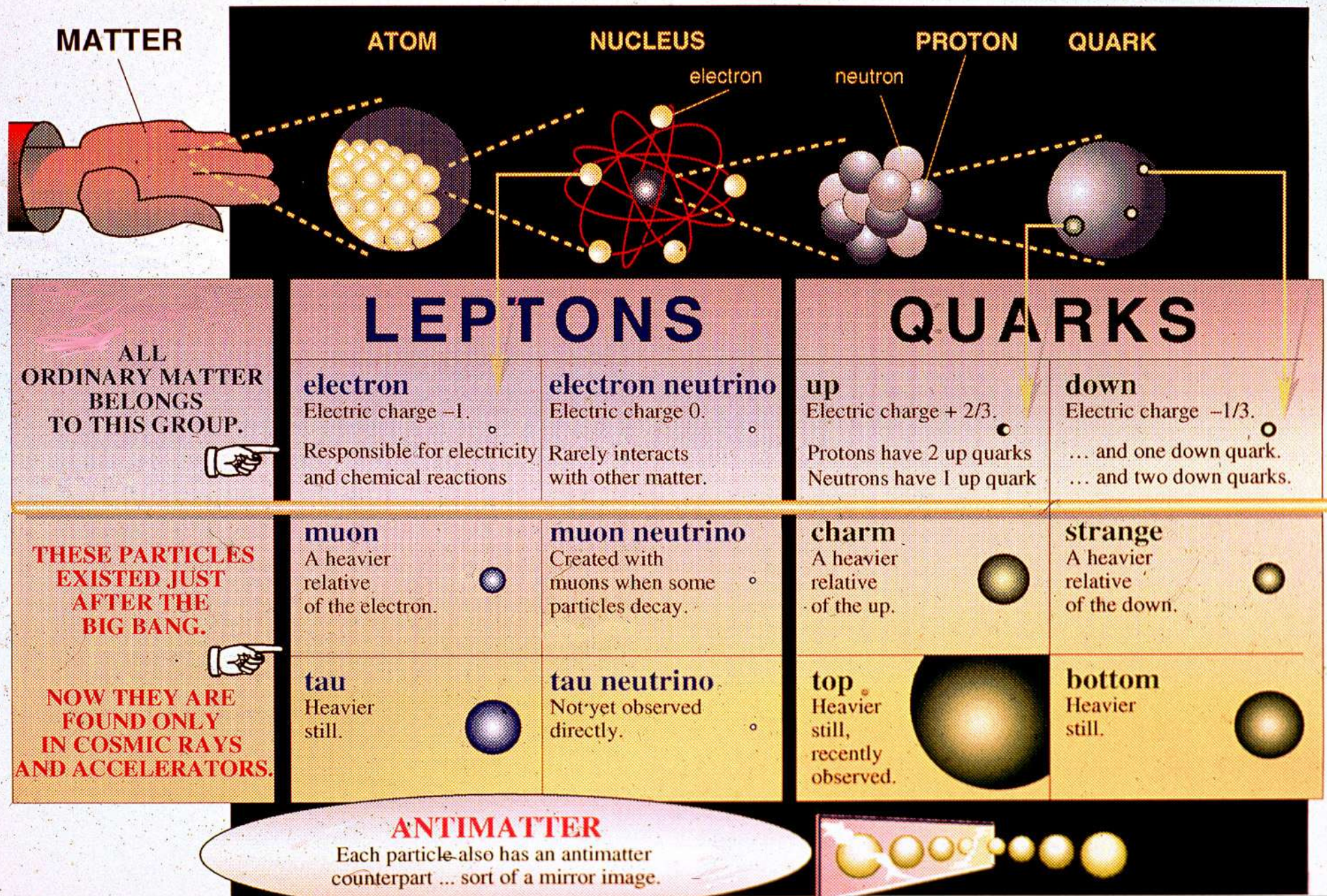
$10^{-8}$  m



$10^{-15}$  m



# Constituents of Matter:

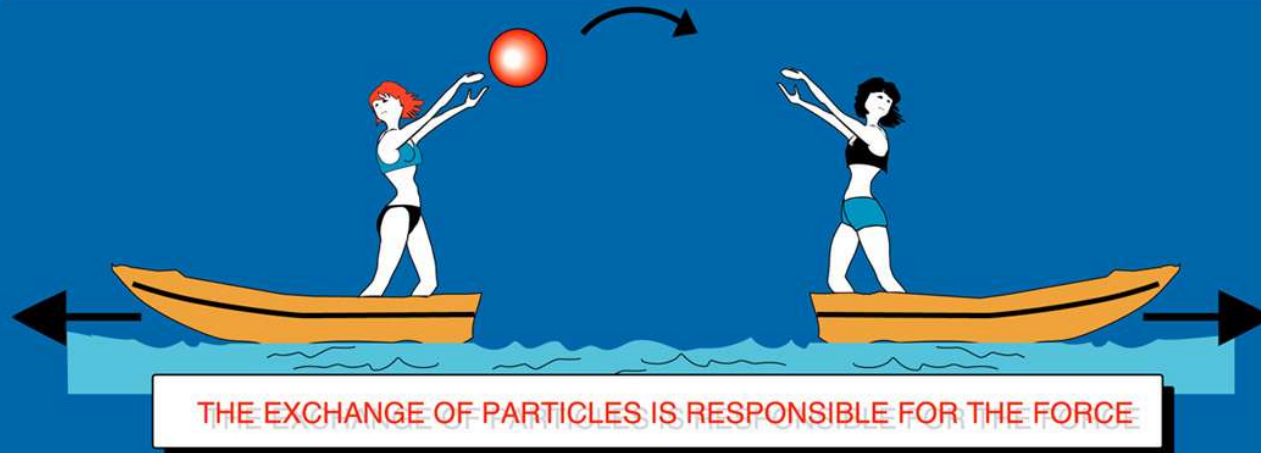




# Forces and Interactions

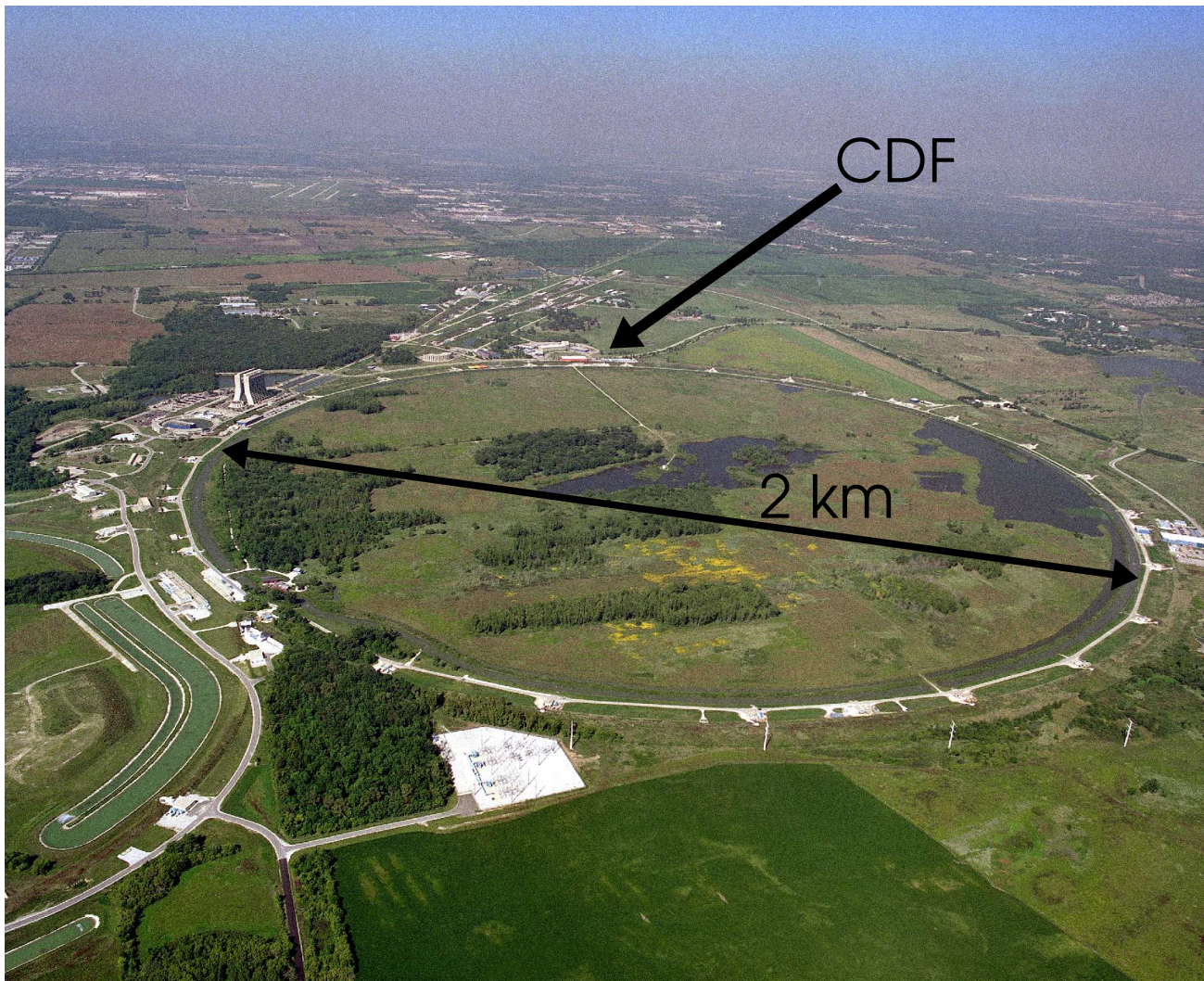
## The forces in Nature

| TYPE                    | INTENSITY OF FORCES<br>( DECREASING ORDER ) | BINDING PARTICLE<br>( FIELD QUANTUM ) | OCCURS IN :                        |
|-------------------------|---|---------------------------------------|------------------------------------|
| STRONG NUCLEAR FORCE    | $\sim 1$                                    | GLUONS ( NO MASS )                    | ATOMIC NUCLEUS                     |
| ELECTRO -MAGNETIC FORCE | $\sim 10^{-3}$                              | PHOTONS ( NO MASS )                   | ATOMIC SHELL<br>ELECTROTECHNIQUE   |
| WEAK NUCLEAR FORCE      | $\sim 10^{-5}$                              | BOSONS $Z^0, W^+, W^-$<br>( HEAVY )   | RADIOACTIVE BETA<br>DESINTEGRATION |
| GRAVITATION             | $\sim 10^{-38}$                             | GRAVITONS ( ? )                       | HEAVENLY BODIES                    |



CERN AC\_Z04\_V25/8/1992

# Tevatron Accelerator Chain



Tevatron: World largest accelerator. Located at Fermilab near Chicago

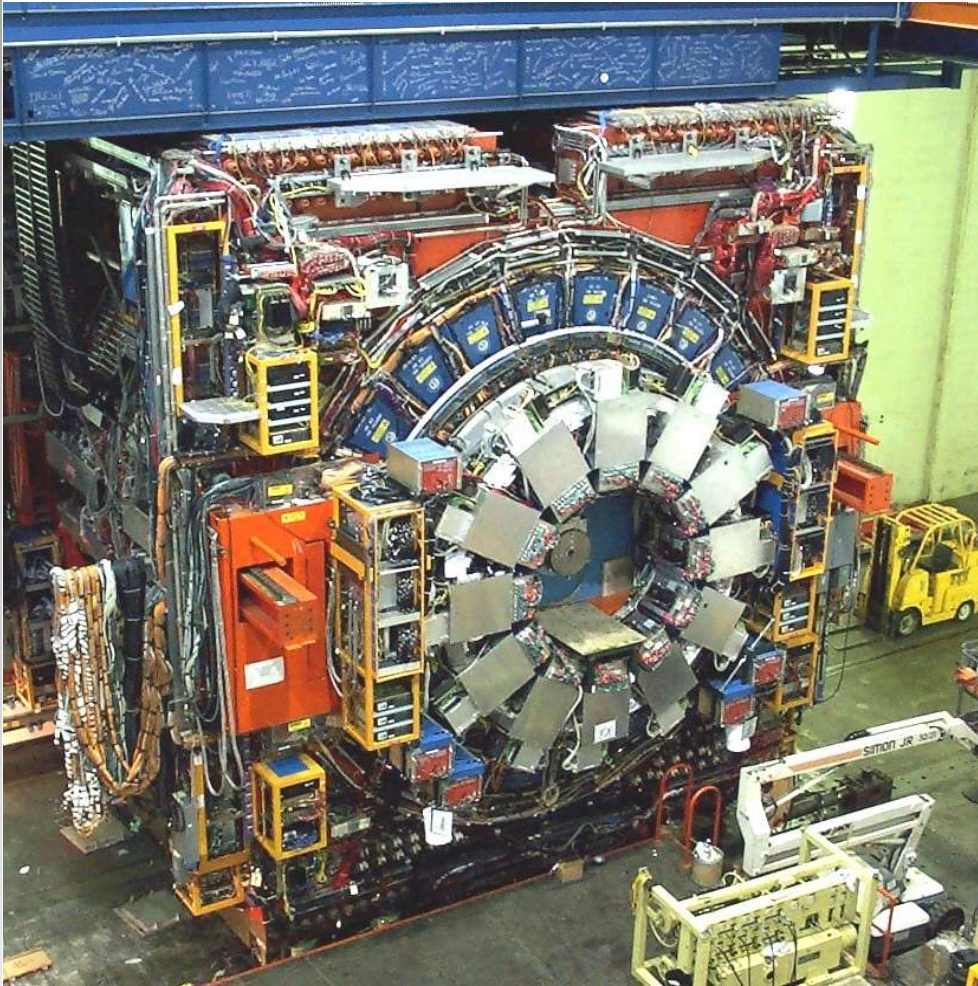
Protons and anti-protons circulating with 1 TeV.

99.999995 % of  $c$

2 collision points: CDF and D0.



# Central Detector at Fermilab (CDF)



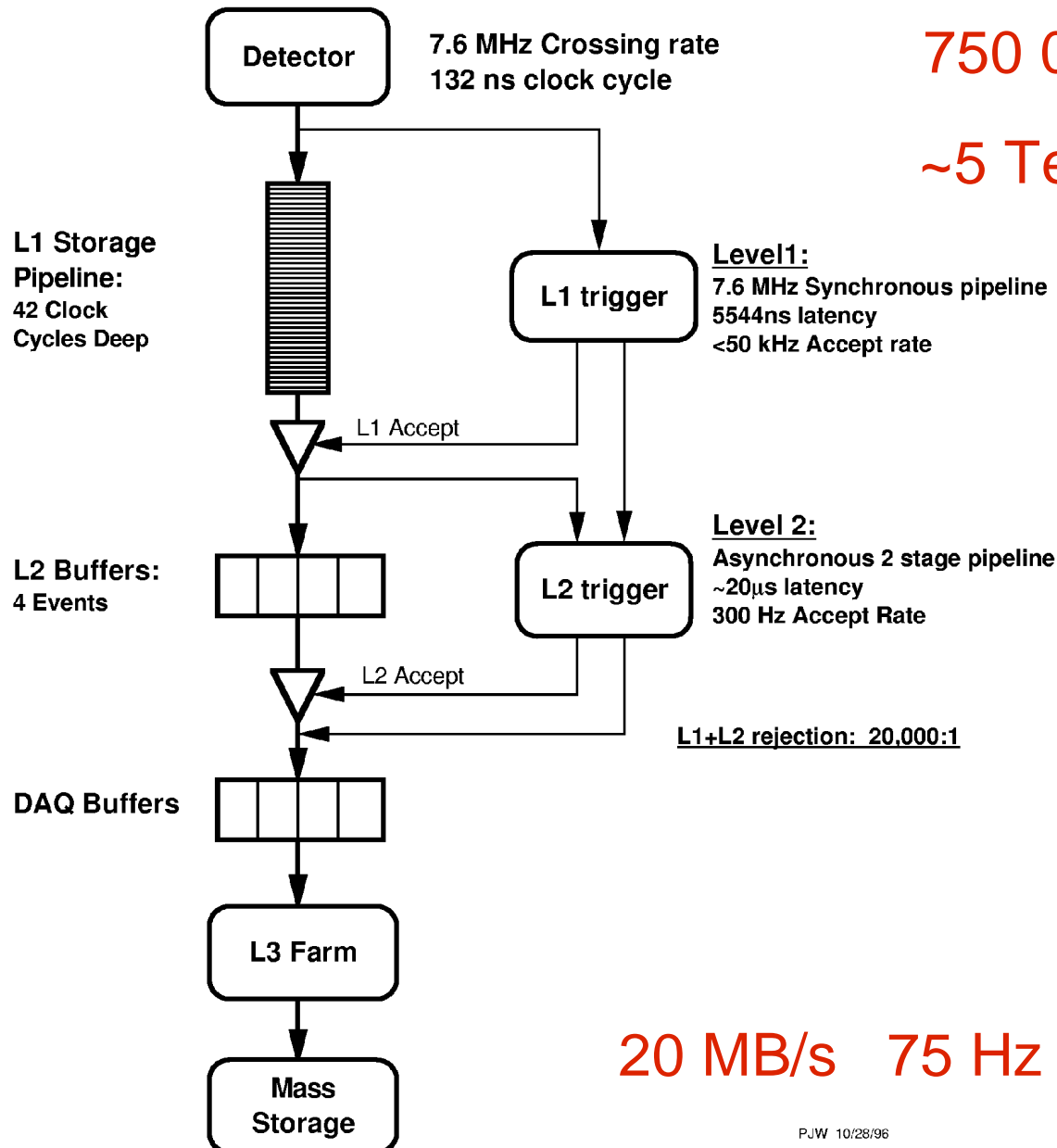
- ◆ 2000 tons, 16m long  
10m height
- ◆ Data rate 20 MB/s
- ◆ World largest Silicon  
Vertex Detector: 7m<sup>2</sup> of  
silicon sensors
- ◆ 1.4 Tesla magnetic coil
- ◆ ~500 Million \$US
- ◆ ~600 active collaborators  
in 58 institutions

# Dataflow of CDF "Deadtimeless" Trigger and DAQ

# Data acquisition

750 000 readout channels

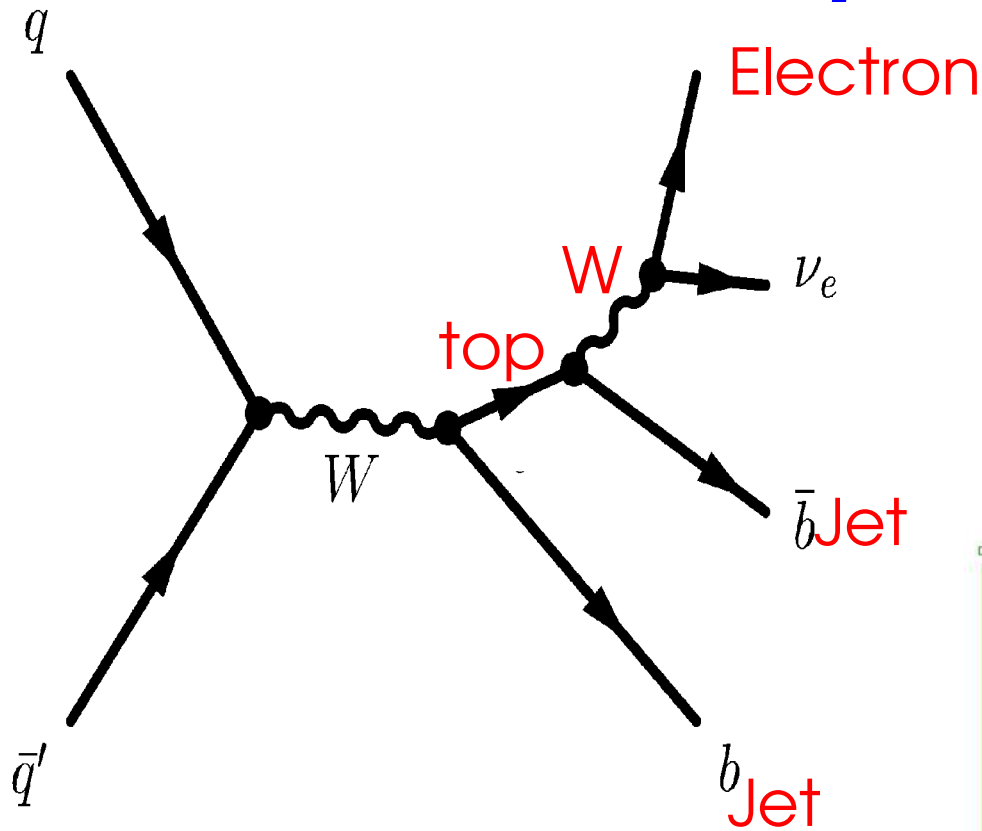
~5 TeraByte/s



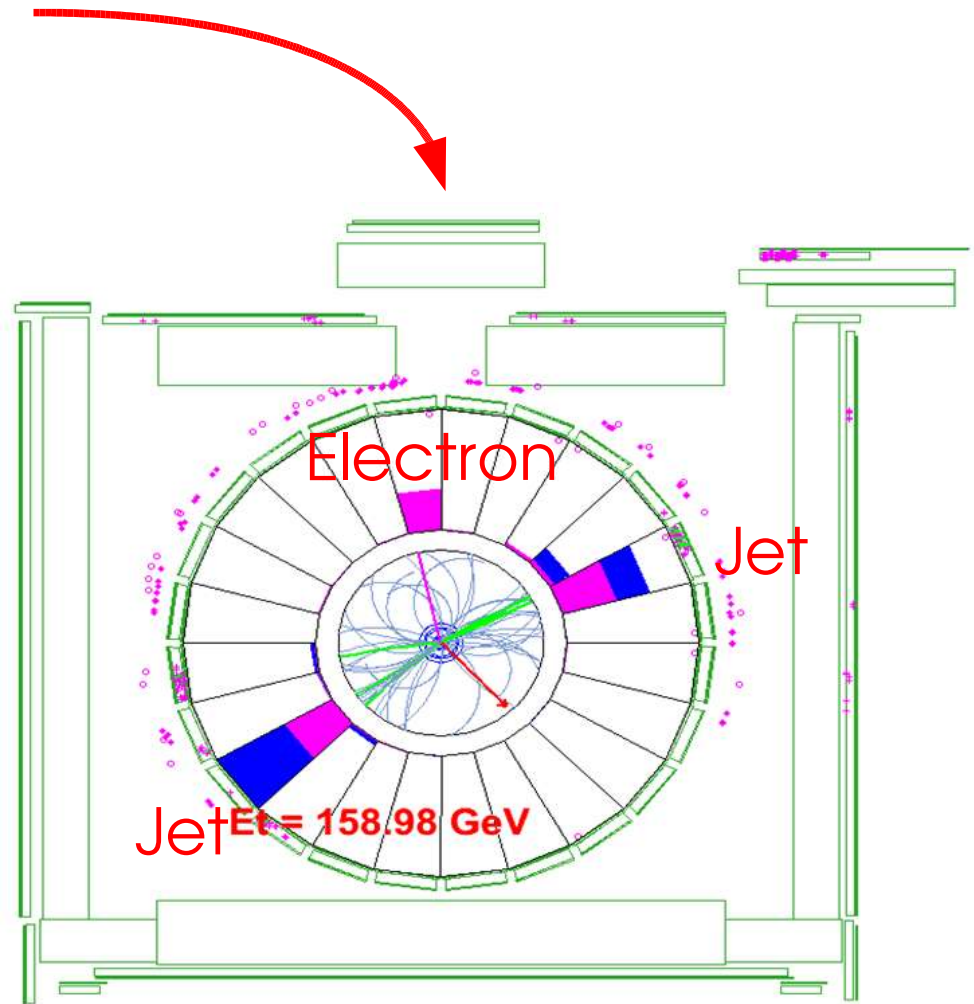
20 MB/s 75 Hz data written to tape

PJW 10/28/96

# Electroweak Top-Quark production



Extremely rare:  
Occurs about 50  
times per year  
(remember: 7.6 MHz  
crossing rate...)

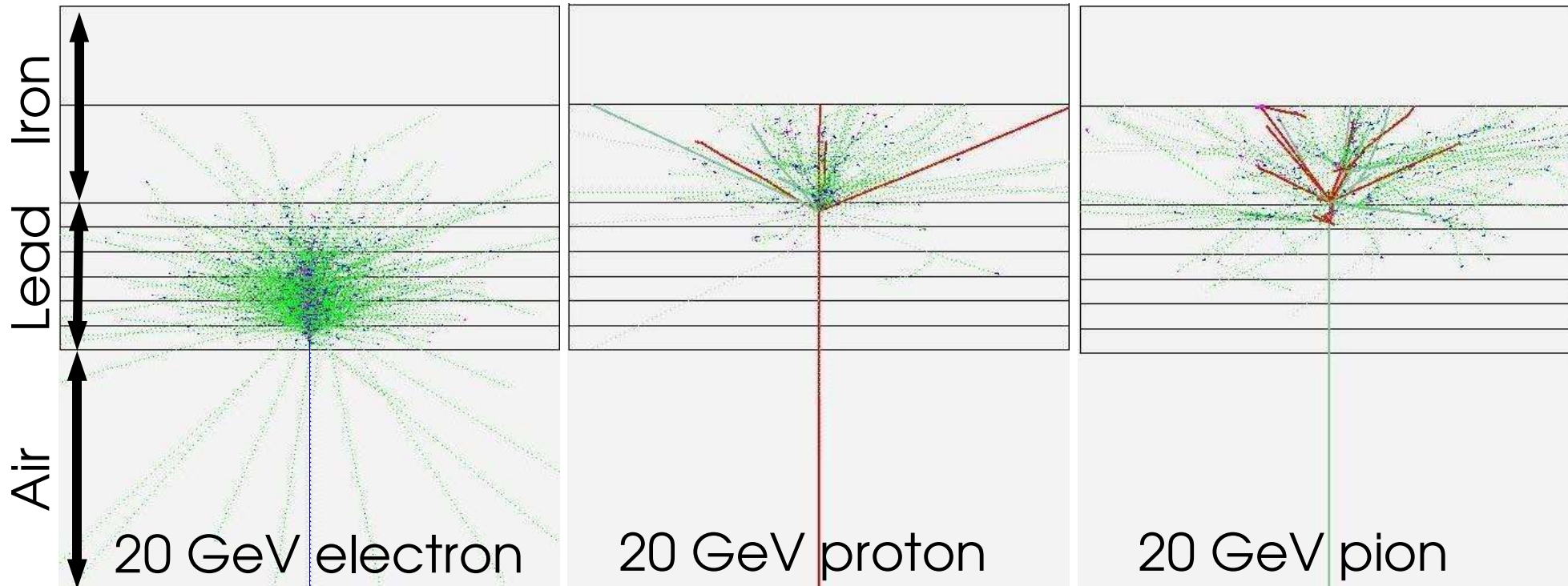




# Electron and Hadron Interaction

Electron

Fake electron



- ◆ Pair production (interaction with Pb nuclei)
- ◆ Bremsstrahlung

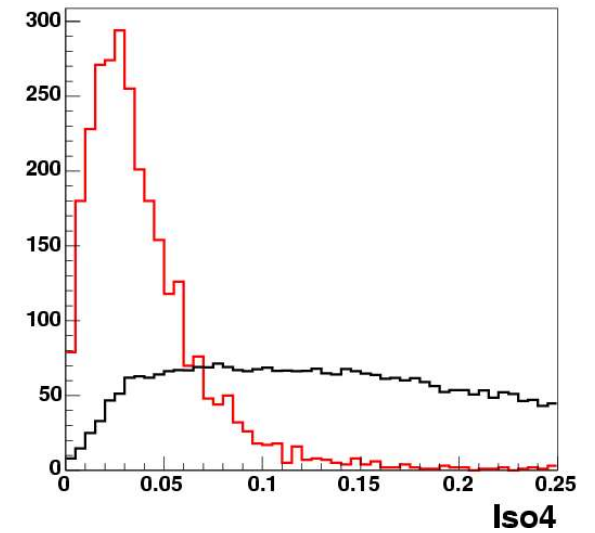
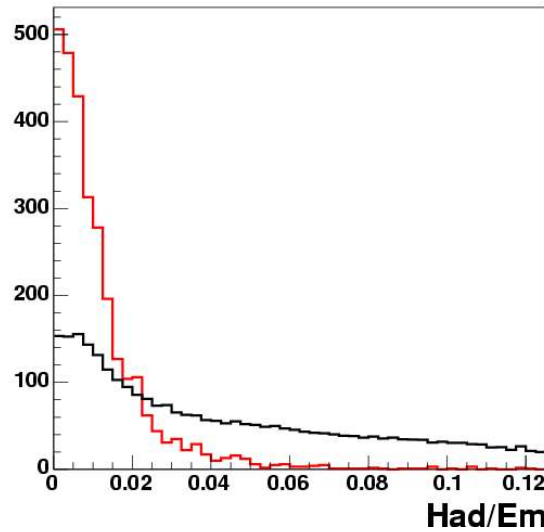
- ◆ Inelastic hadronic interactions
- ◆ Excitation of absorber nuclei

# *Variables for forward electron ID*

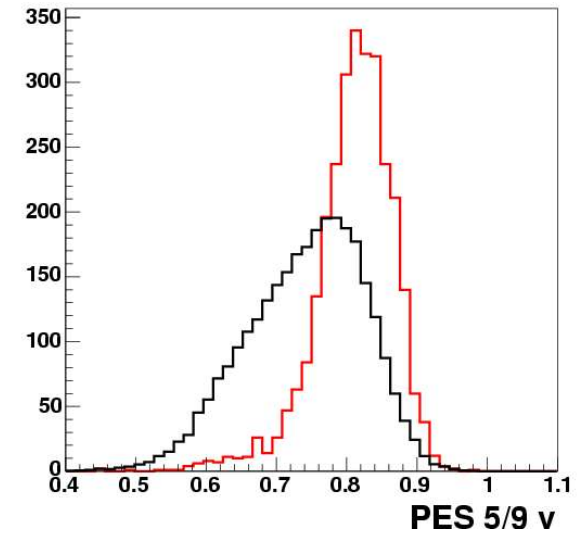
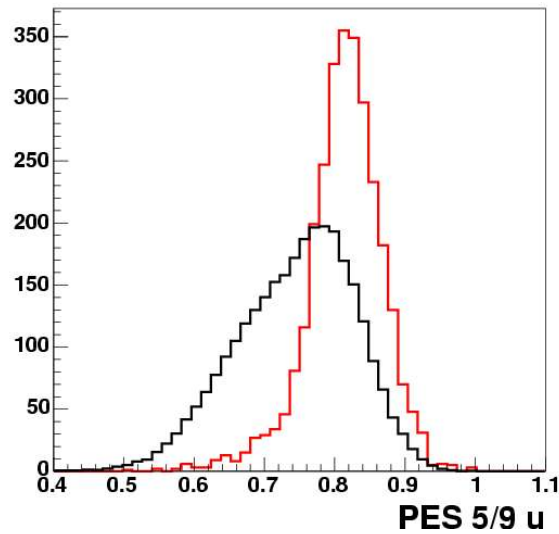
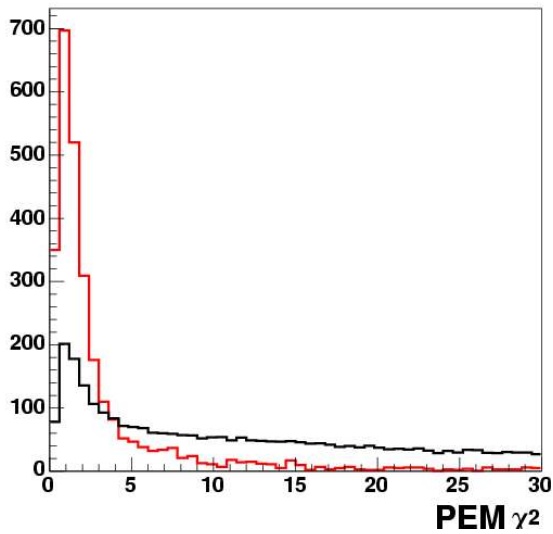
- ◆ Electrons in instrumented forward region
- ◆  $E_{EM}$  (Energy in EM calorimeter)
- ◆  $HadE/E_{EM}$  (Ratio of energy in Hadronic over energy in EM calorimeter)
- ◆ Isolation Ratio (Energy outside main cluster region)
- ◆ PEM  $\chi^2$  (comparison with test beam data)
- ◆ PES 5by9  $u/v$  (Shower profile in PES in u and v direction)



# Selection variables



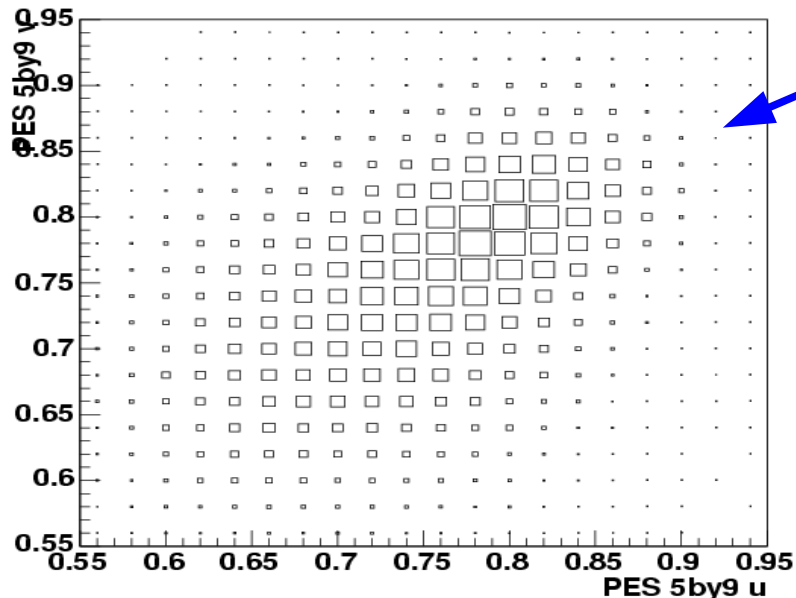
----Signal    ----Background (fake)



# Correlation matrix

|        | Target | Had/Em | Iso4  | PEM2  | PESu  | PESv        |
|--------|--------|--------|-------|-------|-------|-------------|
| Target | 100    | -49.4  | -66.6 | -64.5 | 42.9  | 43.2        |
| Had/Em |        | 100    | 52.8  | 44.8  | -24.8 | -24.2       |
| Iso4   |        |        | 100   | 71    | -38.9 | -38.5       |
| PEM2   |        |        |       | 100   | -42.8 | -43.3       |
| PESu   |        |        |       |       | 100   | <b>45.9</b> |
| PESv   |        |        |       |       |       | 100         |

Target is -1 for background, 1 for signal



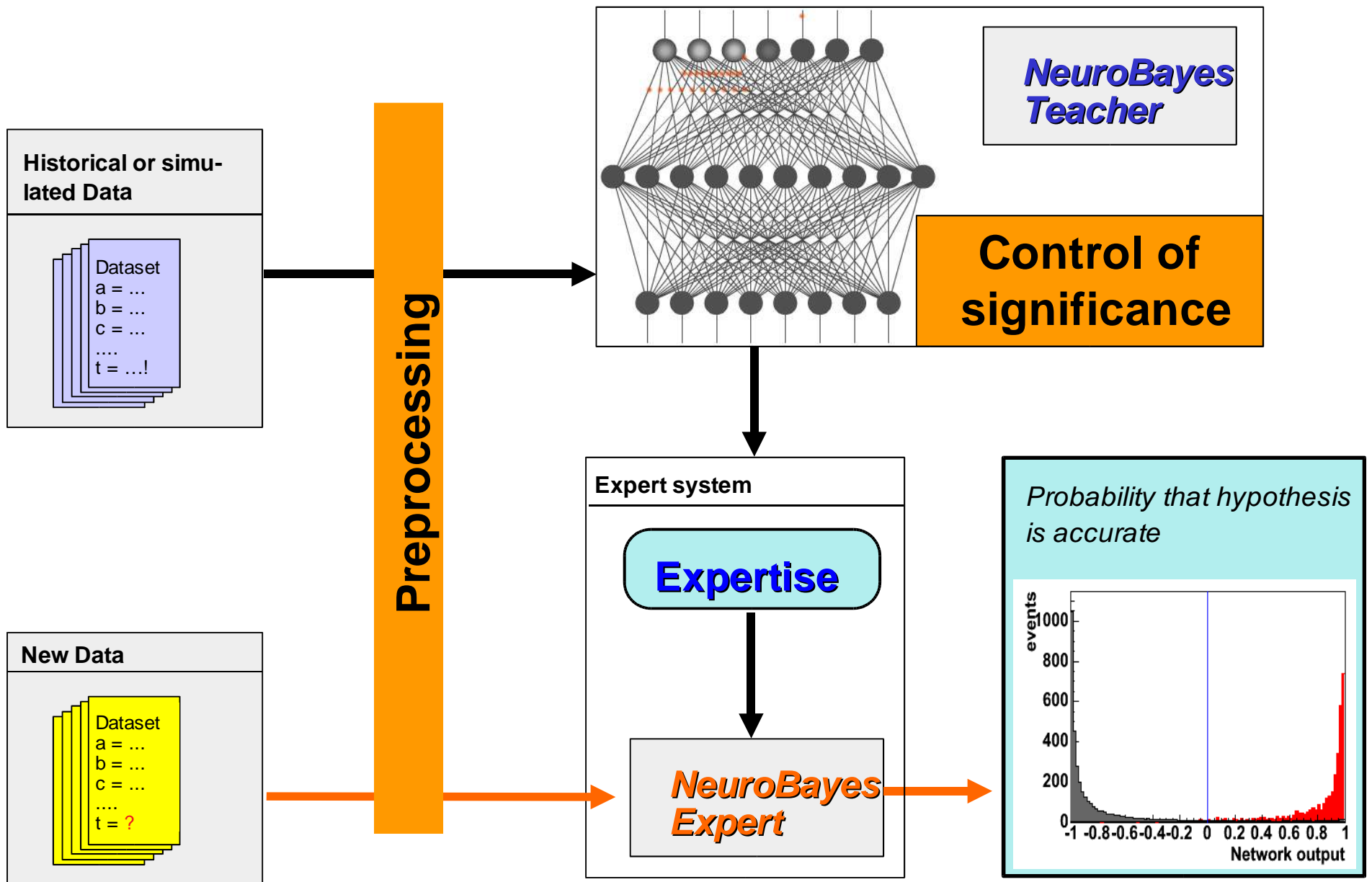
Correlation between the two PES variables due to cross talk and geometry



# <phi-t> NeuroBayes®

- ◆ Artificial Neural Network software package
- ◆ 2<sup>nd</sup> generation neural algorithms
  - ◆ Bayesian regularisation
  - ◆ Optimized preprocessing with transformation and decorrelation of the input variables and linear correlation to output
- ◆ Method of 2<sup>nd</sup> order allow for fast training
- ◆ Good treatment of outliers
- ◆ Does not learn by heart statistical noise
- ◆ Can make binary decisions (classification)
- ◆ Can predict uncertainties
- ◆ Can compute probability densities

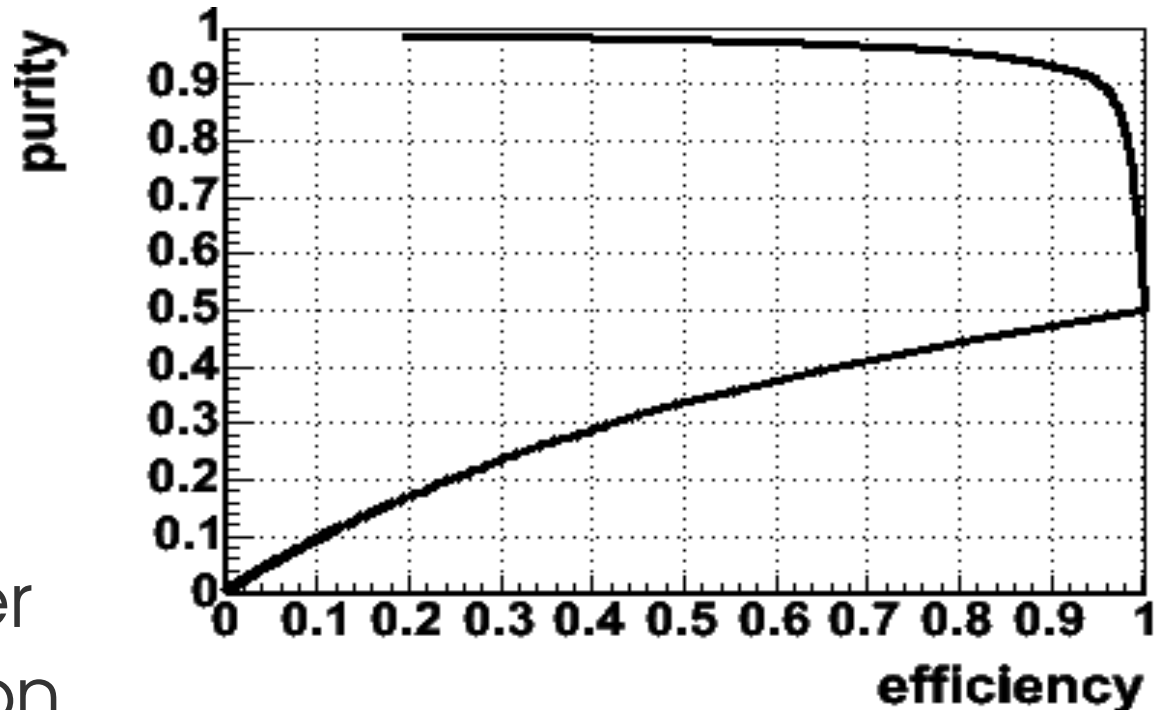
# ANN: Working principle





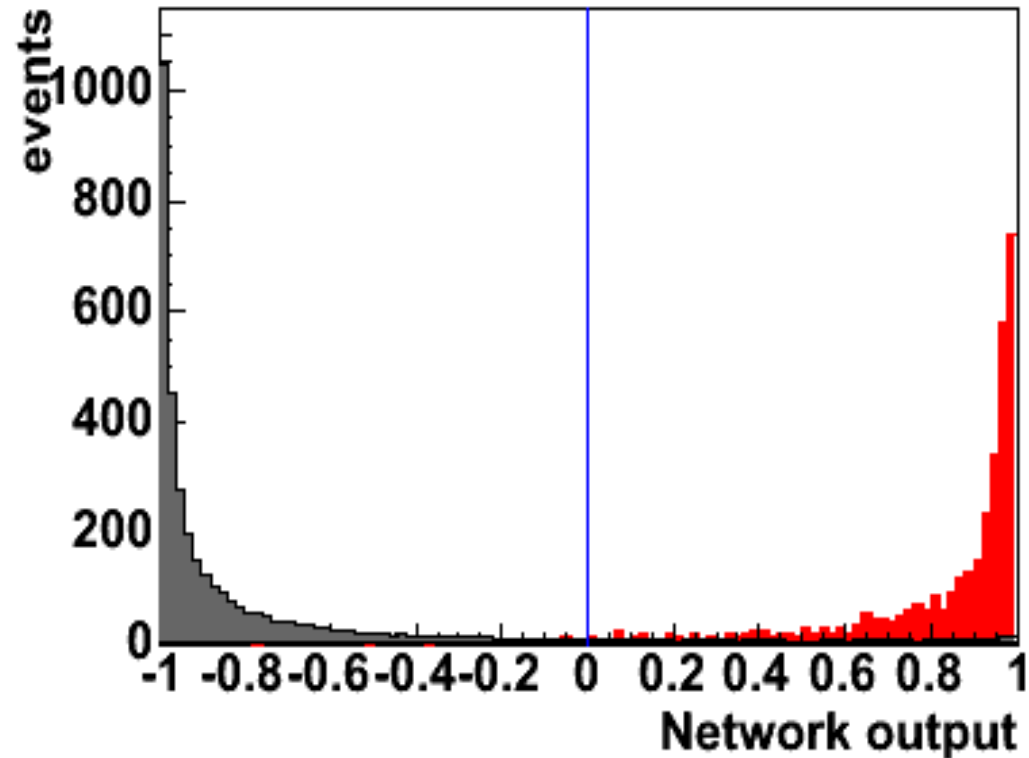
# Artificial Neural Network

- ◆ 5 variables
  - ◆ Had/Em
  - ◆ Isolation
  - ◆ PEM chi2
  - ◆ PES 5/9 u
  - ◆ PES 5/9 v
- ◆ Preprocessing of the variables
- ◆ 10 nodes in intermediate layer
- ◆ Binary classification (-1 background, 1 signal)
- ◆ 200 iterations



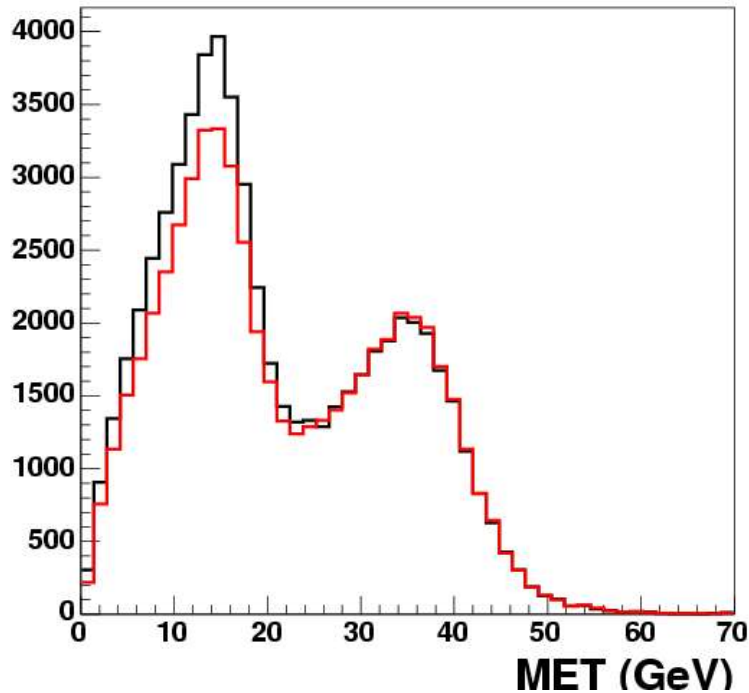
# Artificial Neural Network results

- ◆ Standard Cuts:
  - ◆ Signal efficiency 84%
  - ◆ Background eff. 5.3%
- ◆ ANN cut  $>0.23$ :
  - ◆ Same signal efficiency
  - ◆ Background eff. 4.6%
  - ◆ 15% less background
- ◆ ANN cut  $>0.16$ :
  - ◆ Same background eff.
  - ◆ Signal efficiency 91%
  - ◆ 8% more signal





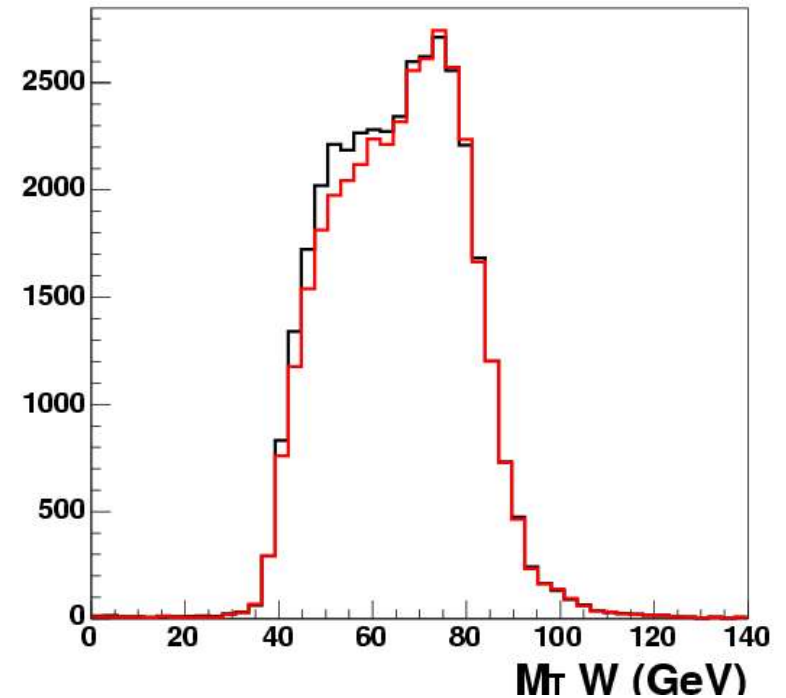
# Independent tests



Missing ET

NN cut: 40291 ev.  $< 25$  GeV

cutbased: 34949 ev.  $< 25$  GeV



Transverse W-Mass

(MET  $> 15$  GEV)

NN cut: 36355 events

cutbased: 37687 events

# *Conclusion, outlook*

- ◆ Experimental challenges require use of advanced statistical methods
- ◆ Artificial Neural Networks are used to combine correlated variables  
→ maximal exploitation of data
- ◆ Increase electron identification efficiency by 8%
- ◆ Generic tool for electron identification

# *Selection of the samples*

- ◆ Signal sample:
  - ◆ 1 clearly identified electron in central region
  - ◆ Another electron candidate in forward region (Z-Candidate)
  - ◆ ~3000 events remain
- ◆ Background (fake) sample:
  - ◆ 2 hadronic jets (1 central, 1 forward)
  - ◆ Momentum in  $r$ - $\phi$ -plane are opposite
  - ◆ Several preselection cuts
  - ◆ ~70,000 events remain

**Both samples taken from data!**