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# Leading Particle Production at HERA

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## <u>Outline:</u>

Forward Protons and Neutrons in Deep Inelastic Scattering

Forward Neutrons in photoproduction

Forward Photons in Deep Inelastic Scattering

Comparison with CR interaction models

### HERA

The first electron-proton collider (DESY Hamburg)  $E_{e\pm} = 27.6 \text{ GeV } E_p = 920 \text{ GeV}$  (also  $E_p=820, 460 \text{ and } 575 \text{ GeV}$ ) Total centre-of-mass energy of collision up to  $\sqrt{s} \approx 320 \text{ GeV}$ (equivalent to 5  $\cdot 10^{13}$ eV photon beam on a stationary proton target)



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### Deep Inelastic electron-proton Scattering (DIS) at HERA

DIS - a probe of proton structure



#### Deep Inelastic Scattering, Structure functions



### Forward Particles in ep interactions



Significant fraction of *ep* scattering events contains in the final state an energetic <u>very forward</u> particle, which carries a substantial fraction of the energy of the incoming proton

('forward'=proton fragmentation region)

In <u>central (current) region</u> the hard QCD scale is given by  $\underline{Q}^2$  (and/or  $\underline{p}_{\underline{T}}^{jet}$ ); the proton fragmentation region is non-pQCD regime - essential differences between theory predictions

a better understanding of forward particle production is needed

*ep* collisions – a clean environment to study the proton fragmentation

### Forward Particles in ep interactions

Leading forward particles are produced at a very small angles from the <u>fragmentation</u> of proton remnant (e.g. Lund string) or from the <u>exchange</u> mechanism (Pomeron, Reggeon,  $\pi$ ,...) **e**'





cross section of  $e\pi$  scattering

### H1 and ZEUS 'tunnel' detectors for leading baryons



FPS/LPS -proton spectrometers,24...220m from IP, measure scattered protons Ep//Ep = 0.4÷1

• FNC -forward neutron calorimeters- 106m from IP, measure neutral particles (n, $\dot{\gamma}$ ) with  $\theta$  <0.8mrad



#### position resolution 2-3mm

- acceptance limited by beam apertures and detector size

-  $p_T$  resolution is dominated by  $p_T$  spread of proton beam (50÷100 MeV)

Leading Protons in DIS : Comparison with fragmentation and exchange models



Leading Neutron cross section vs  $x_1$ : DIS and  $\gamma p$  jets



· 'Standard' fragmentation models (DJANGO, RAPGAP) don't describe the shape at high  $x_L$  ·  $\pi^+$ -exchange model (RAPGAP- $\pi$ ) describes the shape of data distribution well for  $x_L$ >0.7

Data is described by a combination of <u>standard fragmentation</u> (DJANGO, RAPGAP) and  $\pi^+$ -exchange (RAPGAP- $\pi$ ) MC over the full  $x_L$  range

 $\pi^+$ -exchange - the dominant mechanism of LN production at large  $x_L$ 

LN and LP: Cross sections vs  $x_L$  normalised to  $\sigma_{DIS}$  (1/ $\sigma_{DIS}$ ×d $\sigma$ /d $x_L$ )

Energy spectra: comparison LP and LN yields (restricted to the same  $p_T^2 < 0.04$  GeV<sup>2</sup> range)



Rate of LP is about  $2 \times$  rate of LN

for pure isovector particle exchange (e.g. pion) one expects LP =  $\frac{1}{2} \times LN$ 

→ more isoscalar exchanges contribute to the leading proton rates

Leading Neutrons: double differential cross section in  $p_T^2$  and  $x_L$ 



### Leading Baryon production rate in DIS

Ratio of cross sections  $\sigma_{DIS}^{LP,LN}/\sigma_{DIS}$  (or  $F_2^{LP,LN}(Q^2,x)/F_2(Q^2,x)$ )



LP,LN production rate, kinematics is approx. independent of (Q<sup>2</sup>,x) **Supports** <u>limiting fragmentation</u>

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### Estimate the Pion structure function from $F_2^{LN}(Q^2, x, x_1)$

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Eur.Phys.J.C68 (2010) 381

within 
$$\pi$$
-exchange model we estimate  
 $F_2^{\pi}$  from measured  $F_2^{LN}$ :  
 $F_2^{(LN(3)}(\beta, Q^2, x_L) = \Gamma_{\pi}(x_L) \cdot F_2^{\pi}(\beta, Q^2)$   
where  
 $\beta = x/(1-x_L)$   
 $\Gamma_{\pi}(x_L)$  is integrated over t pion flux  
 $\Gamma_{\pi} = \int f_{\pi/p}(x_L = 0.73, t) dt$   
use pion flux expression (Holtmann et al.):  
 $f_{\pi'/p} = \frac{1}{2\pi} \frac{g_{p\pi\pi}^2}{4\pi} (1-x_L) \frac{-1}{(m_{\pi}^2-t)^2} \cdot \exp\left(-R_{\pi\pi}^2 \frac{m_{\pi}^2-t}{1-x_L}\right)$   
 $= F_2^{LN}$  dependence on x and Q<sup>2</sup> similar to proton,  
 $\rightarrow$  universality of hadron structure at low x  
in absolute values  $F_2^{LN/\Gamma}$  below the  $F_2^{\pi}$  and  $F_2$ 

However: large uncertainty of pion flux normalisation: choice of pion flux (formfactor), absorption/rescattering, background...

H1prelim-10-113

Fit the distributions with an exponent

$$\frac{1}{\sigma_{inc}} \frac{d\sigma_{LN}}{dp_T^2 dx_L} = a(x_L) \cdot e^{-b(x_L) p_T^2}$$



Holtmann: H.Holtmann GKS: K.J.Golec-Biernat, J.Kwiecinski, A.Szczurek FMS: L.L.Frankfurt, L.Mankiewicz, M.I.Strikman

#### Measurement sensitive to the pion flux parameterisations

Leading Neutrons- compare rates and slopes for DIS and yp



photoproduction (Q<sup>2</sup>~0) - photon may be resolved, i.e. 'hadronic' interaction

• photoproduction suppressed at low  $x_L \rightarrow$  consistent with neutron absorption through rescattering (more absorption in  $\gamma p$  due to larger transverse size of real photon)

- effect is less prominent for jets; suppression at high  $x_L$  due to phase space limitation
- $p_T^2$  slopes steeper in  $\gamma p$  than in DIS  $\rightarrow$  more absorption at larger  $p_T \rightarrow$  steeper slope

#### Dijet photoproduction with Leading Neutrons





 $x_{\gamma}$  and  $x_{p}$  - fractions of photon and proton momenta, entering the hard interactions

- strong dependence of ratio of  $x_v$  distributions for data, flat in MC -violation of vertex factorisation
- resolved photon is suppressed in events with leading neutron

Comparison of Leading Baryons from HERA with CR interaction models

The tuning of cosmic ray interaction models crucially depends on the input from the measurements at accelerators

In particular, the <u>forward</u> measurements (baryons,  $\pi^0$ ,  $\gamma$ ) are of the greatest importance, since the shower development is dominated by the forward, soft interactions.



### Comparison HERA leading baryons vs CR models:

QGSJET 01 and II: (Kalmykov, Ostapchenko),

SIBYLL 2.1: (Engel, Fletcher, Gaisser, Lipari, Stanev)

- reasonable predictions for leading proton data
- large difference between models for leading neutrons;
   EPOS is closer to the data, other models fail
- how is it with  $\pi^0$ , <u>photons</u>?

### Forward photon measurements

#### Eur. Phys. J.C71 (2011) 1771

Photon candidates are detected in e/m part of the H1 FNC Calorimeter;

 $x_L = E_{\gamma}/E_{p} > 0.1$ ; geometrical acceptance  $\eta_{lab} > 7.9$ 

Main source of photons  $\pi^0 \rightarrow \gamma \gamma$ 

At high  $x_L$ , many photon candidates FNC clusters originate from more than one photons So the measurement represents the sum of photons inside the detector acceptance

At lower  $x_{L}$  we can assume that to a good approximation to measure single photon.

<u>The cross sections are measured as a function of</u> -  $x_L^{lead}$  and  $p_T^{lead}$  of most energetic photon in the range  $\eta$ >7.9, 0.1< $x_L$ < 0.7

-  $x_L^{sum}$  of sum of all photons in angular range  $\eta$ >7.9



## Forward photon production cross section vs $x_L^{lead}$

Eur. Phys. J.C71 (2011) 1771

Photon rate in <u>all</u> tested MC models is significantly higher than in data.

LEPTO (parton shower) and CDM (colour dipole) models higher by 70%, CR models by 30-50%

LEPTO describes the shape reasonably well.

CDM to data discrepancy larger at higher  $x_L$ 

QGSJET models have steeper behavior than the data, close to data in absolute values except at low  $x_{L}$ 



### Forward photon production cross section vs p<sub>T</sub><sup>lead</sup>

Eur. Phys. J.C71 (2011) 1771

Photon rate in all MC models is significantly higher than in data.

LEPTO model describes the shape reasonably well.

Shape of  $p_{\rm T}$  spectrum is well described by SIBYLL and EPOS

QGSJET also agree with data within uncertainties (except lowest  $p_t$ )



### Forward photon production cross section vs $x_L^{sum}$

#### Eur. Phys. J.C71 (2011) 1771

Photon rate in all tested MC models is significantly higher than in data.

LEPTO describes the shape reasonably well CDM – large discrepancy at higher  $x_L$ 

QGSJET models describe data shape better than SIBYLL and EPOS.

Difference is more pronounced for EPOS at highest  $x_L^{sum}$ 



### Forward photon production: compare H1 vs LHCF



### Fraction of DIS events with forward photons



In the data the relative rate of forward photons is insensitive to x,  $Q^2$  and W - consistent with limiting fragmentation

LEPTO and CDM models predict much higher rate of forward photons and show slight  $Q^2$  ,  $x_{B_i}$  and W dependence

### Fraction of DIS events with forward photons vs W, compare to CR models



H1prelim-12-111

. In the data, the rate of forward photons relative to inclusive DIS is independent of W

· CR models indicate W dependence

Study Feynman-x distributions at different  $\gamma^* p$  CM energies



(for very forward particles  $x_F \approx x_L$ )

### Forward photons: $1/\sigma_{DIS} d\sigma/dx_F$ distributions vs W



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Forward photons:  $1/\sigma_{DIS} d\sigma/dx_F$  distributions vs W



- Data show no W dependence of  $x_F$  distribution (consistent with Feynman scaling) - Models show deviations from scaling – lower photon rate with increasing W

## Summary

Forward hadrons are good ground to study interplay of soft and hard physics, important for an improved theoretical understanding of proton fragmentation

- precise measurements of forward protons and neutrons in ep collisions
- $\bullet$  the standard fragmentation models underestimate the neutron yield at high  $x_L$
- measurements well described by the combination of 'standard' fragmentation and exchange models
- LP and LN measurements are consistent with the hypothesis of limiting fragmentation
- LN measurements further constrain pion flux and pion PDF
- $\blacklozenge$   $\gamma p \rightarrow$  nX interactions indicate effects of neutron absorption/rescattering
- measurement of very forward photons are sensitive to the proton fragmentation models
- all MC models predict significantly higher yield of photons than seen in the data
- ullet within the measured kinematic range the forward photon spectra are insensitive to  $W_{\gamma p}$
- HERA data helps to tune the models of cosmic ray interactions with matter- we can provide other useful measurements! Expect input from CR community.

## Backup

### Exchange model refinement: absorptive corrections

Absorption: important ingredient to interpret the results in terms of particle exchange





Neutron absorption through rescattering:

enhanced when size of  $\pi$ -n system  $r_{\pi n} \sim 1/p_T$  is small w.r.t. the transverse size of  $\gamma$ , e.g. at high  $p_T$ , low  $x_L \rightarrow$  neutron breaks up or

 $\rightarrow$  is kicked to lower  $x_L$ , higher  $p_T$  (migration) and/or escapes detector acceptance (absorption loss) (in other language: multi-Pomeron exchange)

 Affects the relative rate of leading neutrons (depends on the scale Q) more absorption in photoproduction then in DIS, (real γ transverse size larger than at higher Q<sup>2</sup>)
 The calculations/models made without absorption may overestimate the measurements

Effects of absorption and migration estimated: D'Alesio,Pirner; Nikolaev,Speth,Zakharov; Kaidalov,Khoze,Martn,Ryskin; Kopeliovich,Potashnikova,Schmidt,Soffer

### Leading Neutrons- Comparison DIS/ $\gamma p$ : $p_T^2$ distributions



 $p_T^2$  slopes steeper in  $\gamma p$  than in DIS From geometrical picture: Larger  $p_T \rightarrow smaller r_{\pi n} \rightarrow more absorption$  $\rightarrow less neutrons at high <math>p_T \rightarrow steeper slope$ 



model of Kaidalov, Khoze, Martin, Ryskin

• rescattering on intermediate partons in central rapidity region; migration of LN in  $(x_L, p_T)$ • ~50% absorption loss in  $\gamma p$ • addition of  $(\rho, a_2)$  exchanges

Factorisation properties of  $F_2^{LN(3)}(Q^2,\beta,x_L)$ 



In particle exchange picture expect proton vertex factorisation:

 $F_2^{LN(3)}(Q^2,\beta,x_L) \sim f(x_L) \times F_2^{LN(2)}(Q^2,\beta)$ 



 $\beta = x/(1-x_L)$  - fraction of exchange's momentum carried by the struck quark

 $F_2^{LN(3)}(Q^2,\beta,x_L) \sim \beta^{-\lambda}$ 

 $\lambda$  is almost independent of  $x_L \rightarrow$ 

consistent with vertex factorisation

### Estimate the Pion structure function from $F_2^{LN}(Q^2, x, x_L)$

within  $\pi^+$ -exchange model we may try to estimate  $F_2^{\pi}$  from measured  $F_2^{LN}$ :  $F_2^{LN(3)}(\beta, Q^2, x_L) = \Gamma_{\pi}(x_L) \cdot F_2^{\pi}(\beta, Q^2)$ where  $\beta = x/(1-x_L)$  - fraction of pion momentum carried by struck quark (i.e.  $x_{Bj}$  for pion)  $\Gamma_{\pi}(x_L)$  is integrated over t pion flux  $\Gamma_{\pi} = \int f_{\pi/p}(x_L = 0.73, t) dt$ 

use pion flux parameterisation (Holtmann et al.):

$$f_{\pi^{+}/p} = \frac{1}{2\pi} \frac{g_{p\pi\pi}^2}{4\pi} (1 - x_L) \frac{-t}{(m_{\pi}^2 - t)^2} \cdot \exp\left(-R_{\pi n}^2 \frac{m_{\pi}^2 - t}{1 - x_L}\right)$$



Data are sensitive to the parameterisations of the pion structure function (constrained for x>0.1 from the fixed target experiments).

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### Forward photons: $1/\sigma_{DIS} d\sigma/dx_F$ distributions in three W ranges



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Forward photons:  $1/\sigma_{DIS} d\sigma/dx_F$  distributions in three W ranges

