An Introduction to ROOT

Benno List

DESY Summer Student Tutorial
1.8.2007
Introduction

ROOT is a Package for Data Analysis

ROOT Provides:

- Several C++ Libraries
  - To store data in histograms
  - To store data in n-tuples, called “ROOT Trees”
  - To visualize histograms and n-tuples
  - To perform fits

- An Interactive Environment
  - To run C++ programs interactively
  - To visualize data
  - To perform fits
The Analysis Chain in High Energy Physics

- Raw Data
- Simulated Raw Data
- Monte Carlo Generator
- 4-Vectors
- Simulation
- Reconstruction
- Reconstructed Data (DST)
- High-Level Reconstruction
- Condensed Data (ROOT Trees)
- Analysis Code
- Histograms, Plots
- Journal Publication
Histograms are Important in HEP

\[ y = 0.07 \]

\[ y = \max \]
What is a Cross Section?

- Imagine small area on proton's surface
  If area $\sigma$ is hit by electron, an event of a certain type happens
  Unit of $\sigma$: cm$^2$, or barn: 1 barn = $10^{-24}$ cm$^2$ = (10fm)$^2$
  Area of proton: approx 0.02 barn (radius 0.8fm)
  Typical cross sections at HERA: pb ($10^{-36}$ cm$^2$)

- Instantaneous luminosity $\mathcal{L}$:
  Number of events per second per cross section
  Unit of $\mathcal{L}$: cm$^{-2}$ s$^{-1}$, or nb$^{-1}$ s$^{-1}$
  HERA-II Design Lumi:
  $5 \cdot 10^{31}$ cm$^{-2}$ s$^{-1}$, or 50 $\mu$b$^{-1}$ s$^{-1}$

- Integrated luminosity: $\int \mathcal{L} \, dt$
  Number of events per cross section
  Unit of $\int \mathcal{L} \, dt$: cm$^{-2}$, or pb$^{-1}$
  HERA-II values: order $100$pb$^{-1}$
How Do we Measure a Cross Section?

• The Master Formula:

\[ N = \sigma \cdot \int \mathcal{L} \, dt \]

• We count events for a given data sample
  \(\Rightarrow\) observed number of events \( N_{\text{obs}} \)

• For this data sample, we know the integrated luminosity \( \int \mathcal{L} \, dt \)

• We are generally interested for cross sections for theoretically well
  defined processes, e.g. for ep->e' X, 0.001<x<0.002, 5<Q^2<6\text{GeV}^2

• But we can only count events which we have observed, and where
  we have reconstructed certain \( x, Q^2 \) values, which are not exact

• \(\Rightarrow\) We have to correct the observed number of events for
  background, trigger and reconstruction inefficiencies, and resolution effects
How Do we Correct for Detector Effects?

• Analytical calculations generally not possible

• The Monte Carlo Method:
  “Generate events” randomly, which have the expected distributions of relevant properties (x, \(Q^2\), number of tracks, vertex position...)

• Simulate detector response to each such event (hits in chambers, energy in calo)

• Pass events through same reconstruction chain as data

• Now we have events where we can count events that truly fulfill our cross section criteria, and those which pass the selection criteria. The ratio is called “efficiency” and is used to correct the data

Measuring \(\pi\) with the Monte Carlo method:
The fraction \(f\) of random points within the circle is \(\pi/4\).
We measure: \(f = 16/20 = 0.8\)
Uncertainty on \(f\): \(\sqrt{f(1-f)/N} = 0.09\)
So: \(\pi/4 \sim f = 0.80 \pm 0.09\)
and \(\pi \sim 4f = 3.2 \pm 0.3\)
How Do we Count Events?

Typically: Write (and run) a program that

- Selects events with certain properties, e.g.:
  - Scattered electron with energy $E'_e > 10 \text{GeV}$
  - Tracks visible that come from a reconstructed vertex with $-35 < z < 35 \text{cm}$
  - Reconstructed Bjorken-$x > 0.001$

- Counts events in “bins” of some quantity, e.g. $Q^2$:
  $Q^2 = 10...20, 20...30, 30...40, ...$

- Shows the number of events as a histogram
The Sketch of an Analysis Program

int main() {
    // some initializations here:
    // reading steering parameters
    // open event files

    // Book histograms

    for (int i = 0; i < events; ++i) {
        // Load event number i into memory
        // Get/calculate event properties
        if (selection_is_fulfilled) {
            // fill histograms
        }
    }

    // draw the histograms
    // write out histogram file
    // write out info like number of events etc...
    return 0;
}
Linking with ROOT

• Will normally be done by a Makefile

• Command “root-config” tells you necessary compiler flags:
  
  $> \text{root-config --incdir}
  
  /opt/products/root/4.00.08/include

  $> \text{root-config --libs}
  
  -L/opt/products/root/4.00.08/lib -lCore -lCint -lHist -lGraf
  
  
  -pthread -lm -ldl -rdynamic

• To compile a file Example.C that uses root, use:
  
  $> \text{g++ -c -I `root-config --incdir` Example.C}

• To compile and link a file examplemain.C that uses root, use:
  
  $> \text{g++ -I `root-config --incdir` -o examplemain examplemain.C `root-config --libs`}

• The inverted quotes tell the shell to run a command and paste the output into the corresponding place
ROOT Information

- Web page: http://root.cern.ch/
- We use ROOT 4.00/08: http://root.cern.ch/root/Version400.html
- You can download ROOT yourself and install it, also for MacOS and Windows (though I never tried it...)
- A complete overview over all classes is available at http://root.cern.ch/root/Reference.html
Remark: ROOT Coding Conventions

ROOT uses some unusual coding conventions just get used to them...

- Class names start with capital T: TH1F, TVector
- Names of non-class data types end with _t: Int_t
- Class method names start with a capital letter: TH1F::Fill()
- Class data member names start with an f: TH1::fXaxis
- Global variable names start with a g: gPad
- Constant names start with a k: TH1::kNoStats
- Separate words with in names are capitalized: TH1::GetTitleOffset()
- Two capital characters are normally avoided: TH1::GetXAxis(), not TH1::GetXAxis()
ROOT Histograms

• 1-Dimensional Histograms: class TH1F
  – Gives the number of entries versus one variable
  – By far the most common type

• 2-Dimensional Histograms: class TH2F
  – Gives the number of entries versus two variables
  – Used to show dependencies/correlations between variables

• Profile Histograms: class TProfile
  – Gives the average of one variable versus another variable
  – Used to quantify correlations between variables
  – Often used to quantify reconstruction resolutions/biases:
    Plot reconstructed quantity versus true ("generated") quantity in Monte Carlo events
A 1-Dimensional Histogram Example

file gauseexample.C:

```c
#include <TH1.h>
#include <TFile.h>
#include <TRandom.h>

int main() {
  TH1F *histo = new TH1F("hgaus", "A Gauss Function", 100, -5.0, 5.0);
  TRandom rnd;

  for (int i = 0; i < 10000; ++i) {
    double x = rnd.Gaus(1.5, 1.0);
    histo->Fill(x);
  }

  TFile outfile ("gaus.root", "RECREATE");
  histo->Write();
  outfile.Close();
  return 0;
}
```

Compile and run:

```
$> g++ -I `root-config --incdir` -o gauseexample gauseexample.C `root-config --libs`
$> ./gauseexample
```

Here we “book” the histogram
• ID is “hgaus” (must be unique, short, no spaces)
• Title is “A Gauss Function”
• 100 bins between -5 and 5

rnd is an object of type TRandom, a random number generator.
rnd.Gaus returns a new Gaussian distributed random number each time it is called.

Open the ROOT output file
Write the histogram to it
Close the output file
What TH1F Histograms Can Do

• Booking

\[
\text{TH1F(const char* name, const char* title, int nbinsx, double xlow, double xup);} \\
\text{TH1F(const char* name, const char* title, int nbinsx, const double* xbins);} \\
\]

• Filling

\[
\text{virtual int Fill(double x);} \\
\text{virtual int Fill(double x, double w);} \\
\]

• Getting information

\[
\text{virtual double GetBinContent(int bin) const;} \\
\text{virtual double GetMaximum(double maxval = FLT_MAX) const;} \\
\text{virtual double GetMaximum(double maxval = FLT_MAX) const;} \\
\]

• Adding etc.

\[
\text{virtual void Add(TF1* h1, Double_t cl = 1, Option_t* option);} \\
\text{likewise: Multiply, Divide} \\
\]

• Drawing

\[
\text{virtual void Draw(Option_t* option);} \\
\]

• Writing to a file (inherited from TObject)

\[
\text{virtual int Write(const char* name = "0", int option = 0, int bufsize = 0);} \\
\]
Looking at the Histogram: Interactive ROOT

• Start ROOT interactively with
  
  `>$ root`

• A DESY specialty: You can chose a special ROOT version with
  
  `>$ ini ROOT40008`
  `>(other versions: ROOT40402, ROOT51200 etc)`

• At the ROOT prompt, enter
  
  `root [1] TBrowser t;`

• this opens a browser
Clicking

Click here to display a histogram

Click here to open a file

Enter this to get the browser window
$ > root

root [0] TFile *file0 = TFile::Open("gaus.root")
root [4] gStyle->SetOptStat(1111111)
root [5] hgaus.GetXaxis()->SetTitle("Abscissa")
root [6] hgaus.GetYaxis()->SetTitle("Ordinate")
root [7] gPad->SetLogx(1)
root [8] hgaus.Draw("E2")
root [9] hgaus.SetLineColor(3)
root [10] hgaus.SetLineStyle(2)
root [12] hgaus.SetMarkerStyle(20)
root [13] hgaus.SetMarkerSize(1.5)
root [14] hgaus.SetMarkerColor(4)
root [16] hgaus.SetFillColor(4)
root [17] hgaus.Draw("C")
root [18] gPad->Print("gaus1.ps")
root [19] .q
Drawing Options for 1D-Histograms

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&quot;AXIS&quot;</td>
<td>Draw only axis</td>
</tr>
<tr>
<td>&quot;AH&quot;</td>
<td>Draw histogram, but not the axis labels and tick marks</td>
</tr>
<tr>
<td>&quot;JL&quot;</td>
<td>When this option is selected the first and last vertical lines of the histogram are not drawn.</td>
</tr>
<tr>
<td>&quot;B&quot;</td>
<td>Bar chart option</td>
</tr>
<tr>
<td>&quot;C&quot;</td>
<td>Draw a smooth Curve through the histogram bins</td>
</tr>
<tr>
<td>&quot;E&quot;</td>
<td>Draw error bars</td>
</tr>
<tr>
<td>&quot;E0&quot;</td>
<td>Draw error bars including bins with 0 contents</td>
</tr>
<tr>
<td>&quot;E1&quot;</td>
<td>Draw error bars with perpendicular lines at the edges</td>
</tr>
<tr>
<td>&quot;E2&quot;</td>
<td>Draw error bars with rectangles</td>
</tr>
<tr>
<td>&quot;E3&quot;</td>
<td>Draw a fill area through the end points of the vertical error bars</td>
</tr>
<tr>
<td>&quot;E4&quot;</td>
<td>Draw a smoothed filled area through the end points of the error bars</td>
</tr>
<tr>
<td>&quot;L&quot;</td>
<td>Draw a line through the bin contents</td>
</tr>
<tr>
<td>&quot;P&quot;</td>
<td>Draw current marker at each bin except empty bins</td>
</tr>
<tr>
<td>&quot;P0&quot;</td>
<td>Draw current marker at each bin including empty bins</td>
</tr>
<tr>
<td>&quot;*H&quot;</td>
<td>Draw histogram with a * at each bin</td>
</tr>
<tr>
<td>&quot;LF2&quot;</td>
<td>Draw histogram like with option &quot;L&quot; but with a fill area. Note that &quot;L&quot; draws also a fill area if the hist fillcolor is set but the fill area corresponds to the histogram contour.</td>
</tr>
</tbody>
</table>
## Drawing Options for 2D-Histograms

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>AXIS</strong></td>
<td>Draw only axis</td>
</tr>
<tr>
<td><strong>ARR</strong></td>
<td>Arrow mode. Shows gradient between adjacent cells</td>
</tr>
<tr>
<td><strong>BOX</strong></td>
<td>A box is drawn for each cell with surface proportional to contents</td>
</tr>
<tr>
<td><strong>COL</strong></td>
<td>A box is drawn for each cell with a color scale varying with contents</td>
</tr>
<tr>
<td><strong>COLZ</strong></td>
<td>Same as &quot;COL&quot;. In addition the color palette is also drawn</td>
</tr>
<tr>
<td><strong>CONT</strong></td>
<td>Draw a contour plot (same as CONT0)</td>
</tr>
<tr>
<td><strong>CONT0</strong></td>
<td>Draw a contour plot using surface colors to distinguish contours</td>
</tr>
<tr>
<td><strong>CONT1</strong></td>
<td>Draw a contour plot using line styles to distinguish contours</td>
</tr>
<tr>
<td><strong>CONT2</strong></td>
<td>Draw a contour plot using the same line style for all contours</td>
</tr>
<tr>
<td><strong>CONT3</strong></td>
<td>Draw a contour plot using fill area colors</td>
</tr>
<tr>
<td><strong>CONT4</strong></td>
<td>Draw a contour plot using surface colors (SURF option at theta = 0)</td>
</tr>
<tr>
<td><strong>CONT5</strong></td>
<td>Draw a contour plot using Delaunay triangles</td>
</tr>
<tr>
<td><strong>LIST</strong></td>
<td>Generate a list of TGraph objects for each contour</td>
</tr>
<tr>
<td><strong>FB</strong></td>
<td>Draw current marker at each bin including empty bins</td>
</tr>
<tr>
<td><strong>BB</strong></td>
<td>Draw histogram with a * at each bin</td>
</tr>
<tr>
<td><strong>SCAT</strong></td>
<td>Draw a scatter-plot (default)</td>
</tr>
<tr>
<td><strong>TEXT</strong></td>
<td>Draw bin contents as text</td>
</tr>
<tr>
<td><strong>TEXTnn</strong></td>
<td>Draw bin contents as text at angle nn (0 &lt; nn &lt; 90)</td>
</tr>
<tr>
<td><code>[cutg]</code></td>
<td>Draw only the sub-range selected by the TCutG named &quot;cutg&quot;</td>
</tr>
</tbody>
</table>
CINT

- ROOT uses a C++ interpreter CINT for interactive use
- You can enter any C++ command; trailing “;” is not required
- Resetting the interpreter (erasing variables etc):
  root[] gROOT->Reset()  
  Do that often! But often a restart of ROOT is needed...
- Special commands:
  .q Quit
  .x script.C Execute script “script.C”
- More in Chapter 7: “CINT the C++ Interpreter” of ROOT manual
Two kinds of scripts

• Un-named scripts:

```cpp
#include <iostream.h>
cout << "Hello, World!\n";
```

– Code must be enclosed in curly braces!

– Execute with

  root[] .x script.C

• Named scripts:

```cpp
#include <iostream.h>
int main() {
    cout << "Hello, World!\n";
}
```

– More like normal C++ programs, recommended form!

– Execute with:

  root[] .L script.C
  root[] main()
CINT Extensions to C++

- If you create a pointer and assign to it with “new”, you don't need to declare the pointer type:
  
  ```c
  h = new TH1F ("h", "histogram", 100, 0, 1)
  ```

  - `h` is automatically of type `TH1F*`

- “.” can be used instead of “->”
  
  => Don't do that habitually!

- If you use a variable that has not been declared earlier, ROOT tries to create one for you from all named objects it knows

  => If you have opened a file that contains a histogram “hgaus”, you can directly use

  ```c
  hgaus->Draw()
  ```

  - But be careful: Sometimes you get a different object than you thought :-(


TF1 Functions and Fitting

file tflexample.C:

```cpp
#include <TH1F.h>
#include <TF1.h>
#include <TFile.h>

Double_t mygauss(Double_t *x, Double_t *par) {
    // A gauss function, par[0] is integral, par[1] mean, par[2] sigma
    return 0.39894228*par[0]/par[2]*exp(-0.5*pow((*x-par[1])/par[2], 2));
}

int main() {
    TF1 *gaussfun = new TF1("gaussfun", mygauss, -10, 10, 3);
    gaussfun->SetParameters(100, 0., 1.);
    gaussfun->SetParNames("Area", "Mean", "Sigma");
    TFile *file = new TFile("gaus.root");
    TH1F *hgaus = dynamic_cast<TH1F *>(file->Get("hgaus"));
    if (hgaus) {
        hgaus->Fit(gaussfun);
    }
}
```

Defines a Gauss function
Note that the argument must be handed over by a pointer!

Defines a TF1 function object
- ID is “gaussfun”
- It executes function mygauss
- It is valid for x between -10 and 10
- It has 3 parameters

Here we load the histogram “hgaus” from the file “gaus.root”,
and if it was found, we fit it.

file->Get() returns only a pointer to a TObject, which is a base class of TH1F.
With dynamic_cast we convert the pointer to the correct type.
If the object pointed to is not a TH1F (it could something completely different!), the dynamic_cast
returns a null pointer.
Five Minutes on ROOT Trees

- A ROOT Tree holds many data records of the same type, similar to an n-tuple. One record is described by a C++ Class:

```cpp
class EventData {
public:
    Int_t run;
    Int_t event;
    Float_t x;
    Float_t Q2;
};
```

- The ROOT Tree knows how many entries (here: events) it contains. It can fill one instance (one object) of class EventData at a time with data, which we then can use to plot the data.

```cpp
TH1F *histox = new TH1F("histox", "Bjorken x", 1000, 0., 1.);
TFile *file ("eventdata.root");
TTree *tree = dynamic_cast<TTree *>(file->Get("eventdata"));
EventData *thedata = new EventData;
TBranch *branchx = tree->GetBranch("x");
branchx->SetAddress (&(event->x));
for (int i = 0; i < tree->GetEntries(); ++i) {
    branchx->GetEntry(i);
    histox->Fill (x);
}
```
Trees, Branches, and Leaves

• The Tree is the whole data set
• A Branch contains the data of one or several variables, e.g. the x and Q2 values of all events.
  – A Tree consists of several Branches.
  – How the Branches are set up is determined by the program that writes the Tree
• A Leaf is the data of a single variable (like x)
  – A Branch consists of several Leaves
Using Trees

- You will surely given a program by your advisor which reads in a ROOT Tree so don't worry how to create a ROOT Tree.
- You will have an “event loop” which loops over all entries of the tree. Within the loop, you'll find all data that you need in some object.
- Use this data to select “good” events and plot their properties in histograms