

# *Precision Control of SRF Cavities*

At FLASH & the European XFEL

Sven Pfeiffer  
for the MSK-LLRF team

DESY-TEMF-Meeting  
Hamburg, 15.11.2018

**HELMHOLTZ** RESEARCH FOR  
GRAND CHALLENGES



# Outline

## 1. Current feedback scheme at FLASH

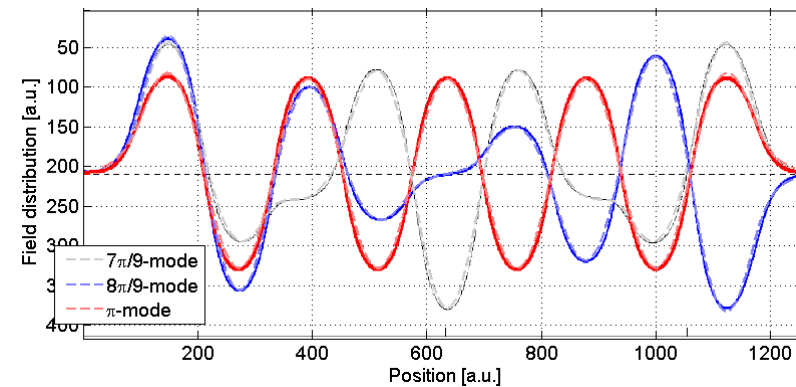
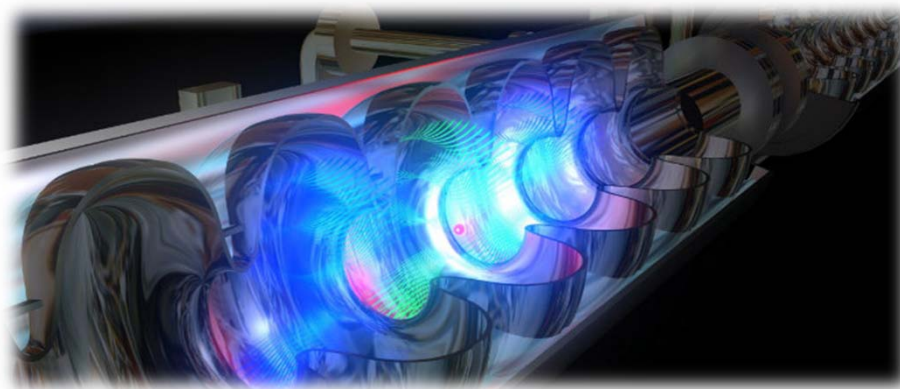
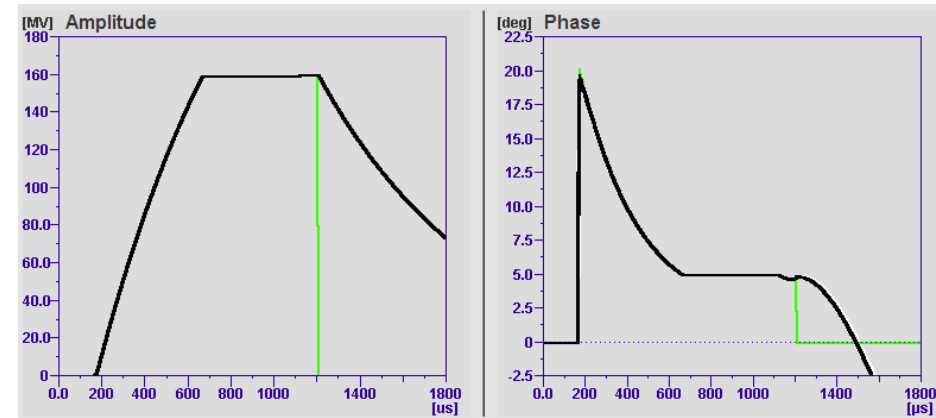
- RF regulation at FLASH/XFEL

- RF stability

## 2. Beam loading

- Our biggest disturbance...
- Multi-bunch simulation
- Energy contribution of cavity modes

## 3. Summary & Questions



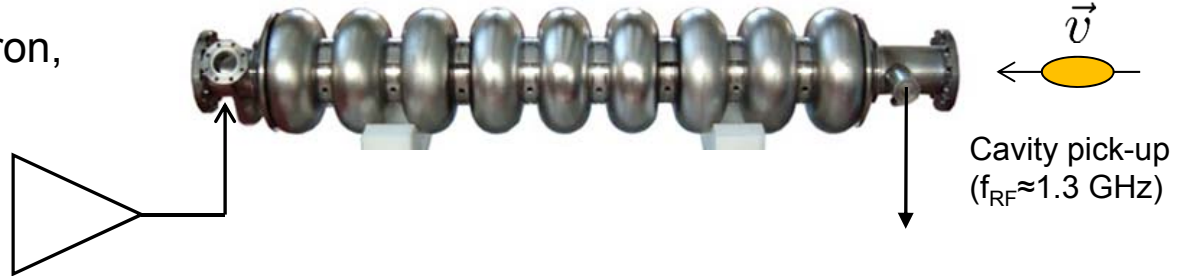
# SRF cavity regulation

# SRF cavity regulation

## Digital LLRF regulation system



Klystron,  
SSA,  
IOT

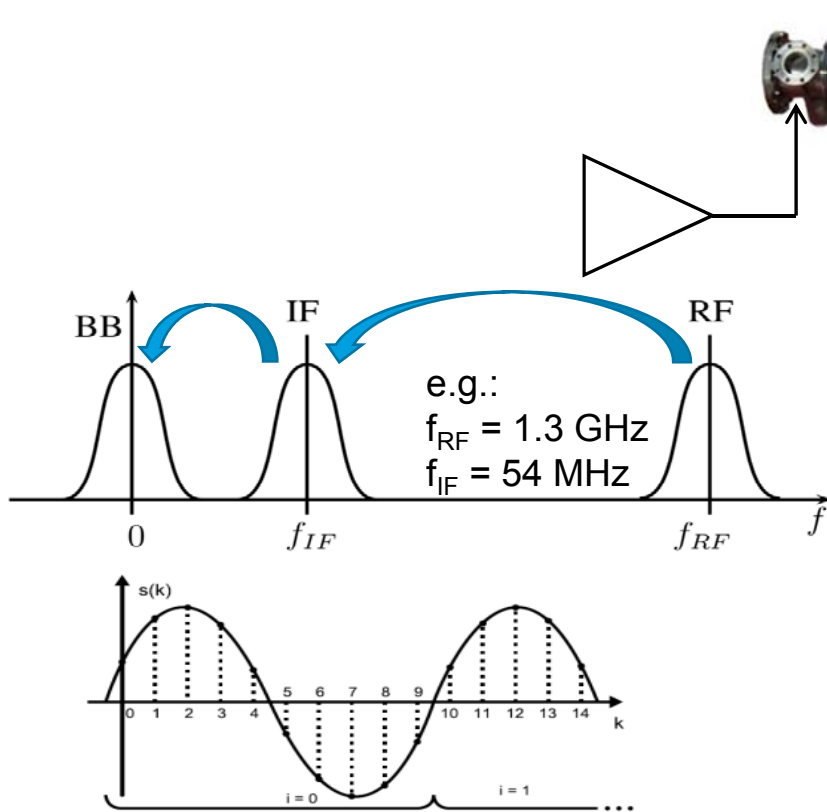


### TESLA type cavity

- $f_0 = 1.3 \text{ GHz}$ ,  $l = 1.036 \text{ m}$ ,  $Q_0 \approx 10^{10}$ , standing wave
- HOMs – 2 for damping → previous talks
- RF field pick-up for signal detection and regulation
- Input port with adjustable coupling
  - $Q_L = [3 \cdot 10^6 \dots 4.6 \cdot 10^6]$  ; (CW:  $> 10^7$ )
- Electron bunch(es) of variable charge/ repetition rate (0.5, 1, 1.5 nC @ 0.5, 1, 4.5 MHz)

# SRF cavity regulation

## Digital LLRF regulation system - signal detection



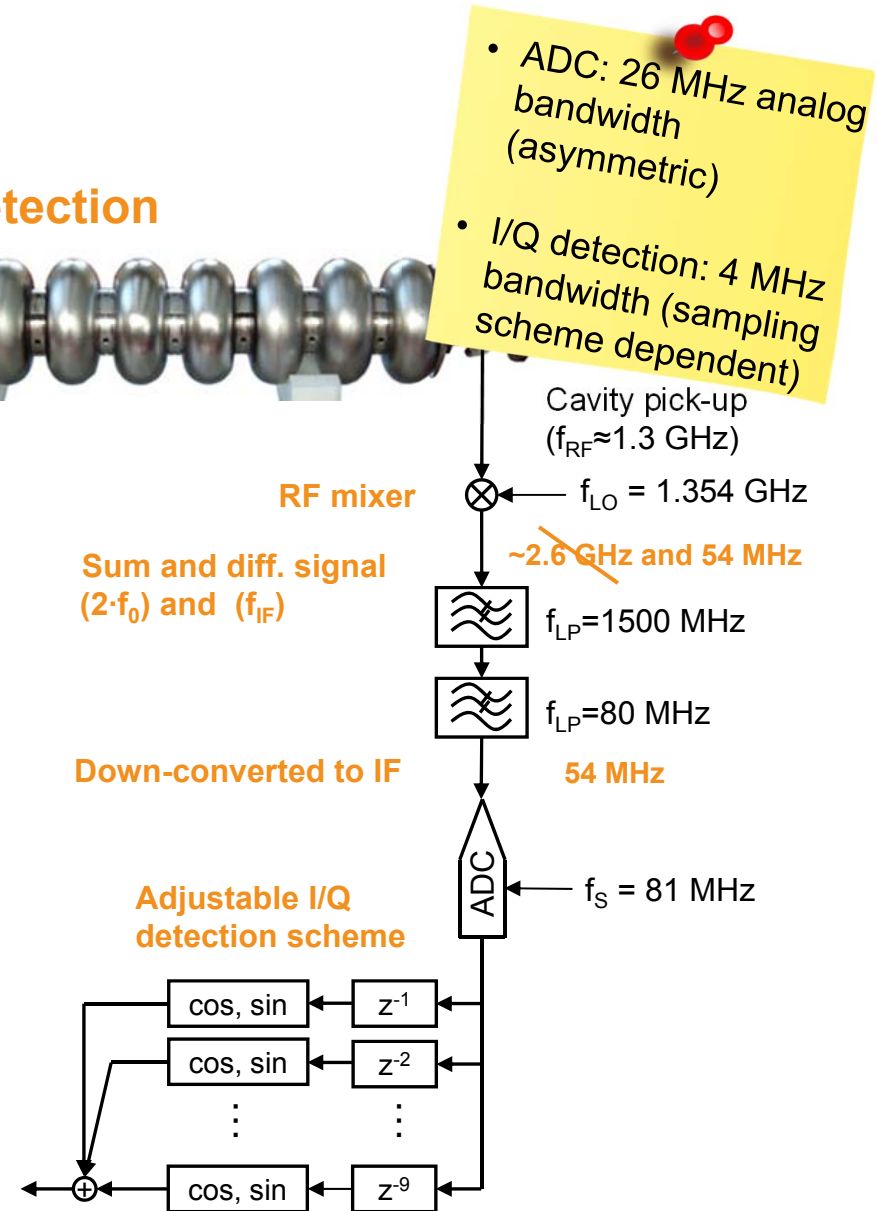
Average 9 samples at 81 MHz gives 1 (I/Q) pair each 9 MHz with step window

In-phase

$$I = \frac{2}{P \cdot S} \sum_{k=0}^{(P \cdot S) - 1} y_{mix}(k) \cdot \cos\left(k \cdot \frac{2\pi}{S}\right) \text{ and } Q = \frac{2}{P \cdot S} \sum_{k=0}^{(P \cdot S) - 1} y_{mix}(k) \cdot \sin\left(k \cdot \frac{2\pi}{S}\right)$$

Quadrature

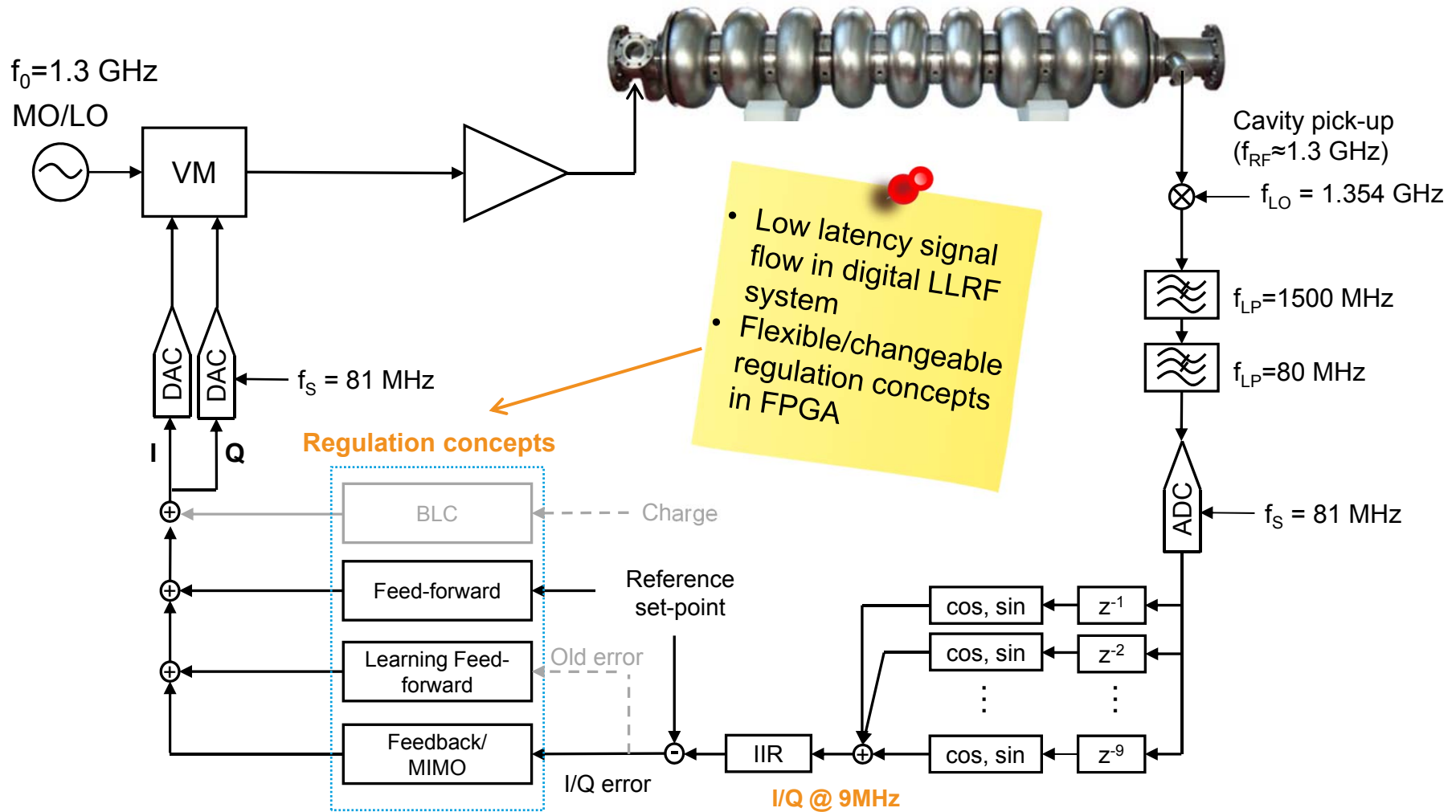
I/Q @ 9MHz



- ADC: 26 MHz analog bandwidth (asymmetric)
- I/Q detection: 4 MHz bandwidth (sampling scheme dependent)

# SRF cavity regulation

## Digital LLRF regulation system

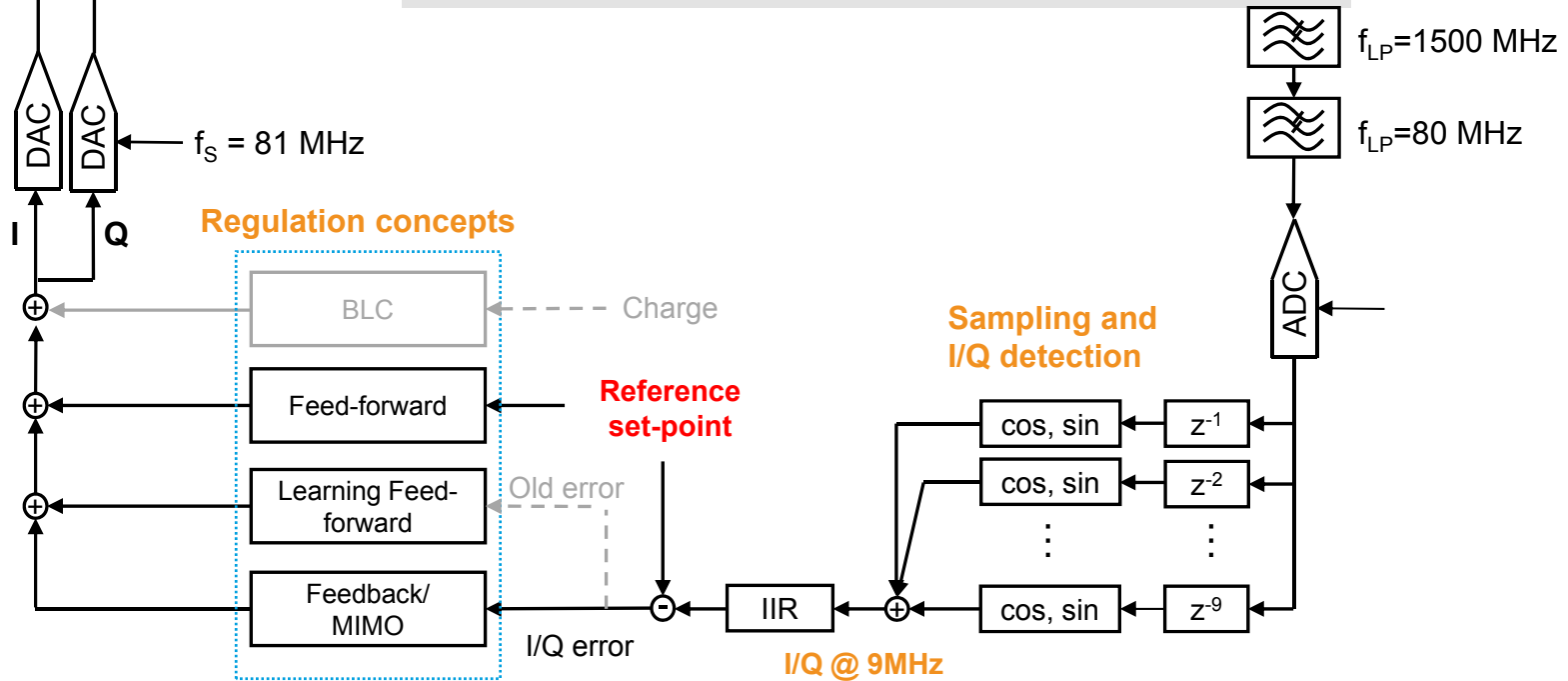
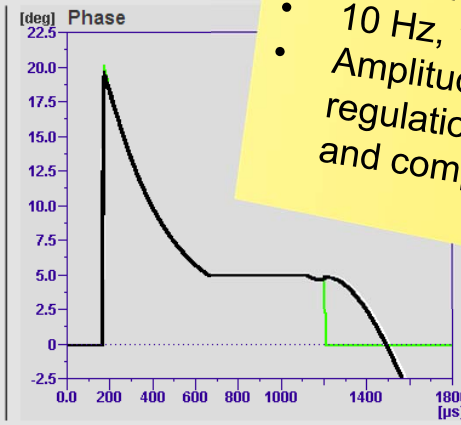
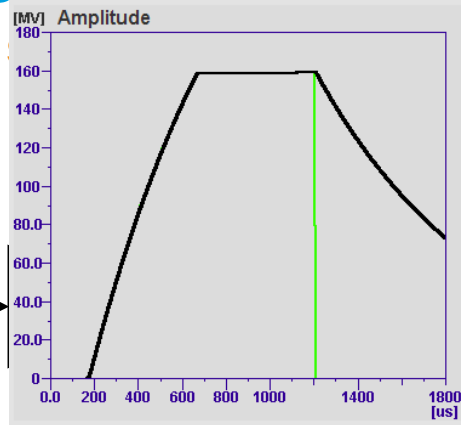
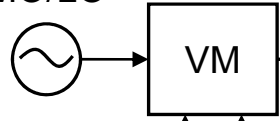


# SRF cavity regulation

## Digital LLRF regulation

- Pulsed operation mode
- 10 Hz, 1% duty cycle
- Amplitude and phase regulation for energy gain and compression

$f_0 = 1.3$  GHz  
MO/LO

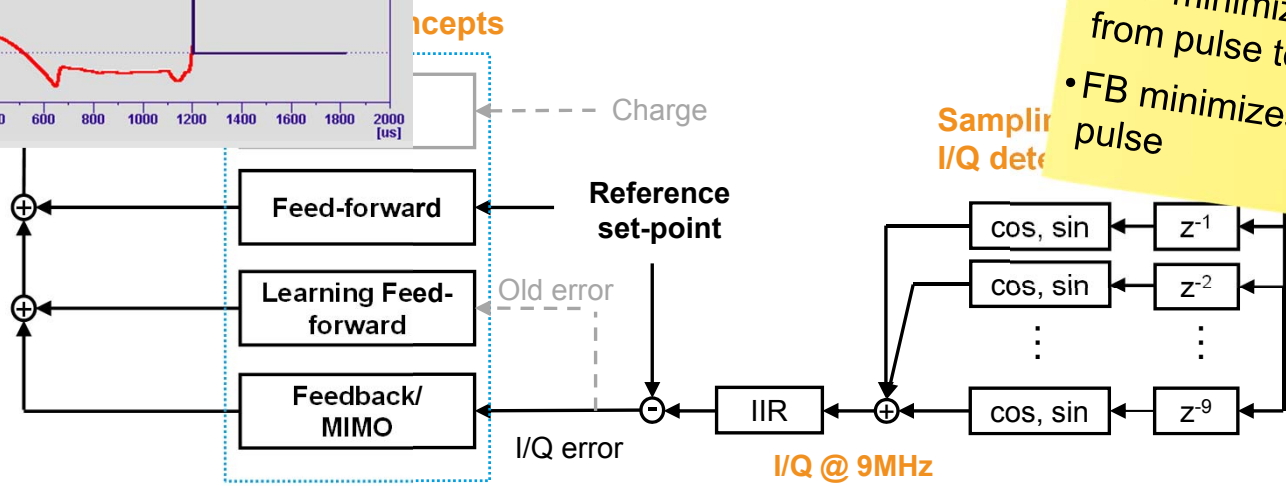
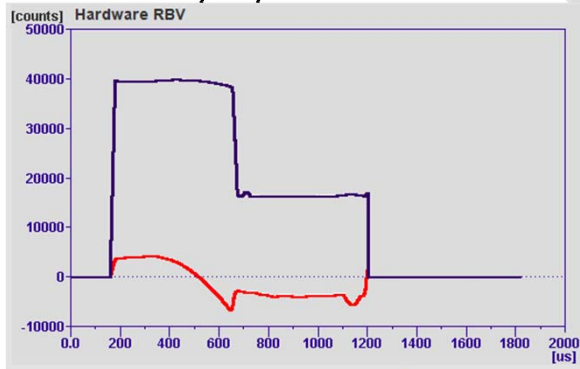
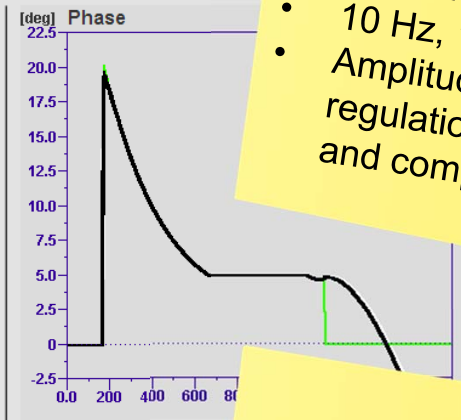
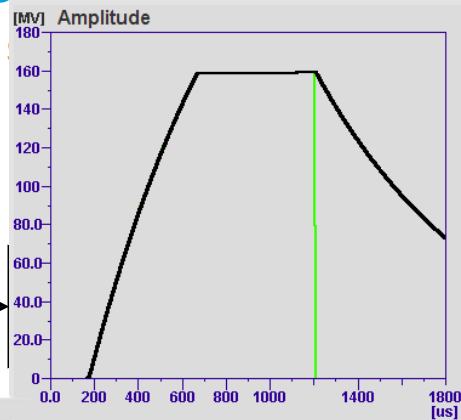
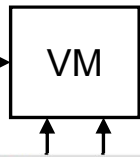
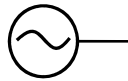


# SRF cavity regulation

## Digital LLRF regulation

$f_0 = 1.3$  GHz

MO/LO



- Pulsed operation mode
- 10 Hz, 1% duty cycle
- Amplitude and phase regulation for energy gain and compression

### Regulation concepts

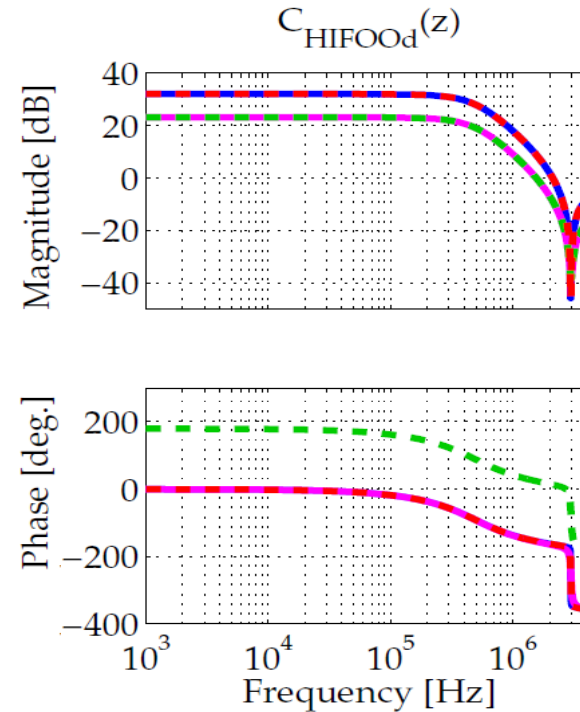
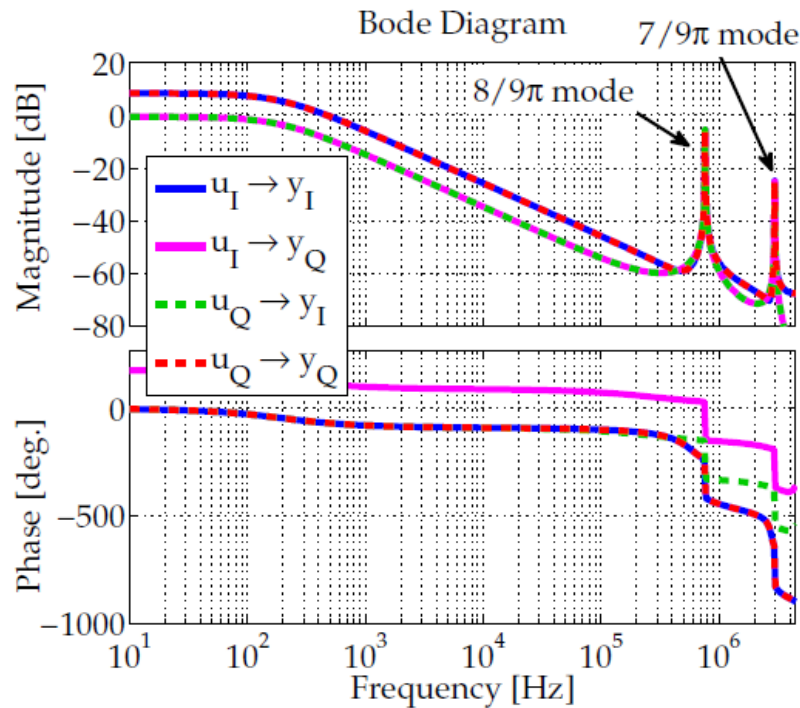
- I/Q-FF generation as function of the set-point in  $A/\phi$
- LFF minimizes rep. errors from pulse to pulse
- FB minimizes errors within the pulse





# System Identification & FB Controller Design

... as example



- $f = 0$  Hz (  $\pi$  mode - accelerating mode)
- $f \approx 800$  kHz ( $8\pi/9$  - mode)  $\longrightarrow$  Notch of cavity probe (IIR-FPGA)
- $f \approx 3$  MHz ( $7\pi/9$  - mode)  $\longrightarrow$  Notch in FB controller

**Grey-box system characterization by exploiting the known system characteristics.  
RF field controller design based on system characterization.**

# SRF stability measurements

## LLRF regulation

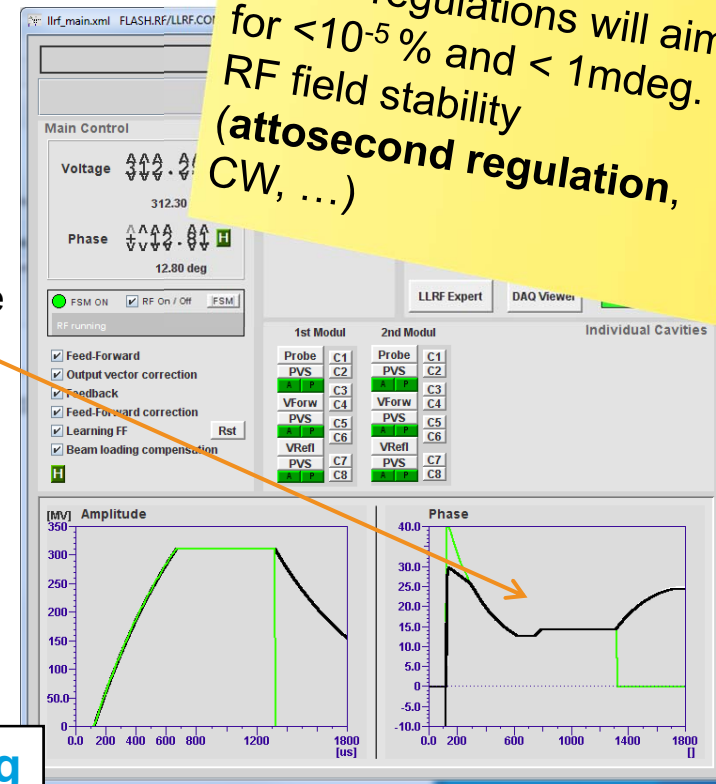
Objective: Keep RF field amplitude and phase within specification

- Feedforward
- **Feedback (MIMO)**
- **Learning Feedforward**
- Beam Loading Compensation

Optimization using model-based approach

**Regulation Goal:  $dA/A < 0.01\%$ ,  $d\phi < 0.01\text{deg}$**

Multi-beamline support



Future regulations will aim for  $<10^{-5}\%$  and  $< 1\text{mdeg}$ . RF field stability (attosecond regulation, CW, ...)

RF stability (rms)	ACC1*	ACC39*	ACC23*	ACC45*	ACC67*
Ampl. Intra pulse [%]	0.0057	<b>0.011</b>	0.0053	<b>0.0052</b>	0.0069
Ampl. pulse to pulse [%]	0.0013	0.0025	0.0024	0.0007	0.0039
Phase Intra pulse [deg]	0.0065	<b>0.0087</b>	<b>0.00484</b>	0.005	0.0076
Phase pulse to pulse [deg]	0.003	0.002	0.002	0.0022	0.004

Table: In-loop regulation for 600 consecutive RF pulses

\* at 9 MHz

# Study: Receiver with attosecond-resolution

In cooperation with TU Hamburg-Harburg (PhD student@DESY)



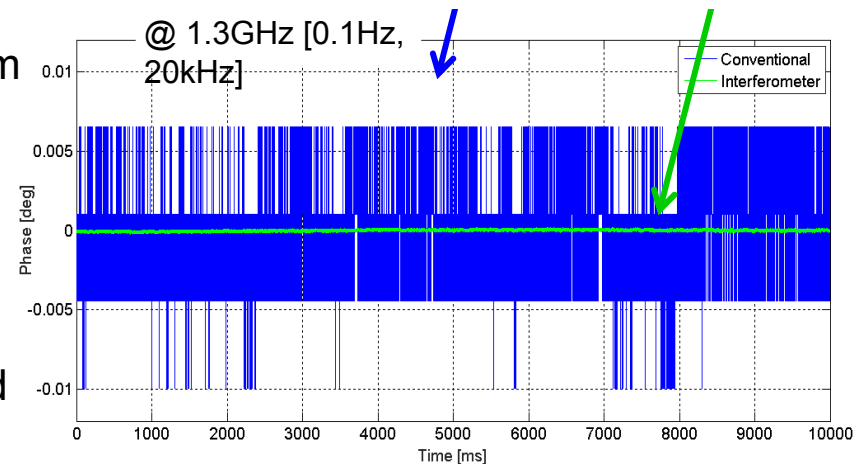
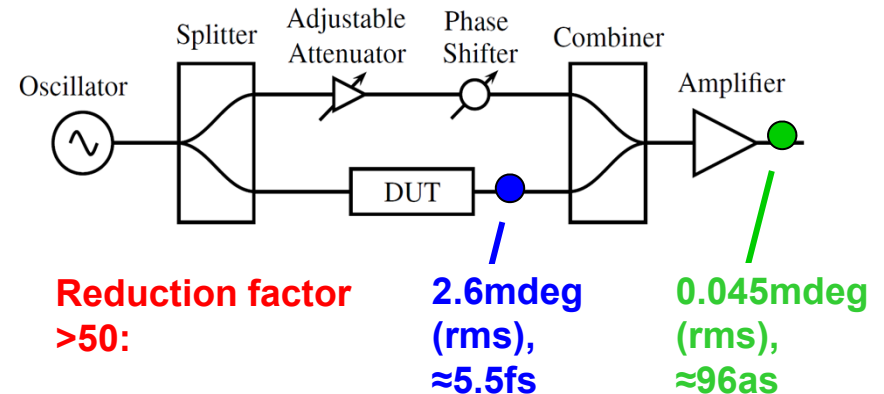
Device Under Test (DUT) is voltage controlled phase shifter

- Cavity probe signal in application

Interferometer based method to suppress the carrier

## Outlook: Technical realization (2018-20??):

- Many challenges to be addressed → long-term development
- Pulsed mode vs. continuous mode operation
- Carrier tracking algorithm, ...
- Development of ultra low noise attenuator and phase shifter
- ...



Blue: signal in front of the interferometer (non-suppressed carrier)  
Green: signal behind the interferometer (suppressed carrier)

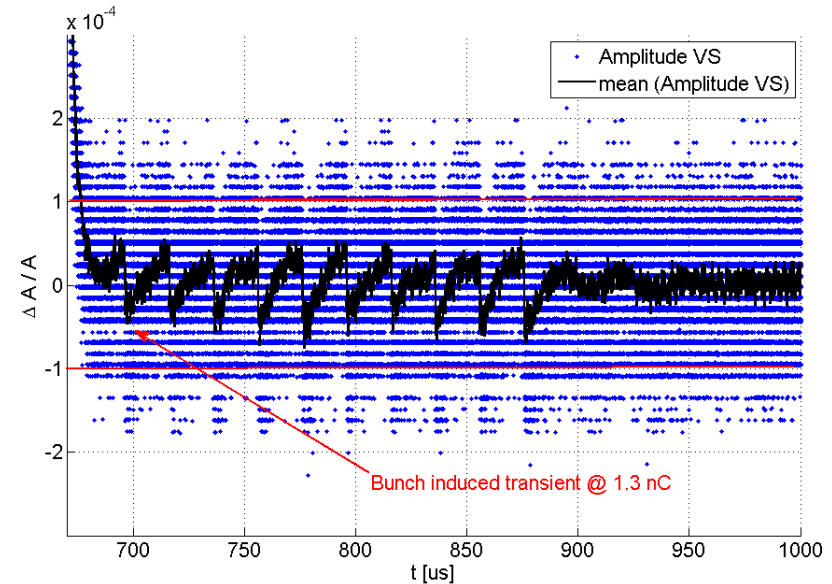
CarrierSupp\_noMod\_M10\_524k\_20171129T1352.mat; SIS8300V2, DWC8VM1, fs =81.25MHz, IF=54MHz, N=512K, D=1600

# Beam Loading

# Beam Loading

... our biggest disturbance acting to the RF field...

- Single beam transients are measurable by averaging
  - Limitation: ADC resolution (16 bit)
  - Field drop by 1 bunch: 4.25 keV/nC
  - Refilling/decay with cavity bandwidth
- LLRF controller (and beam loading compensation) tries to compensate for this
  - Remaining are fast drops which can not be compensated by FB/BLC (band-width limitation)



Beam loading simulation for an absolute cavity calibration at XFEL.

# Beam Loading

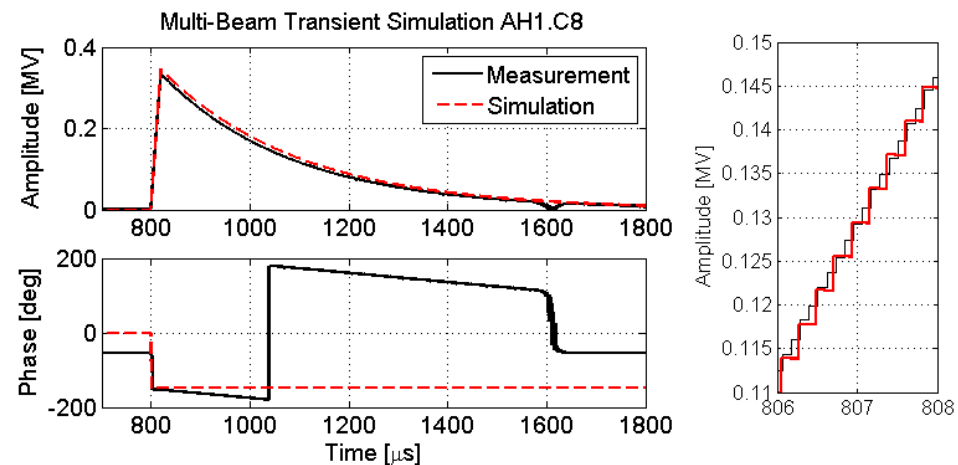
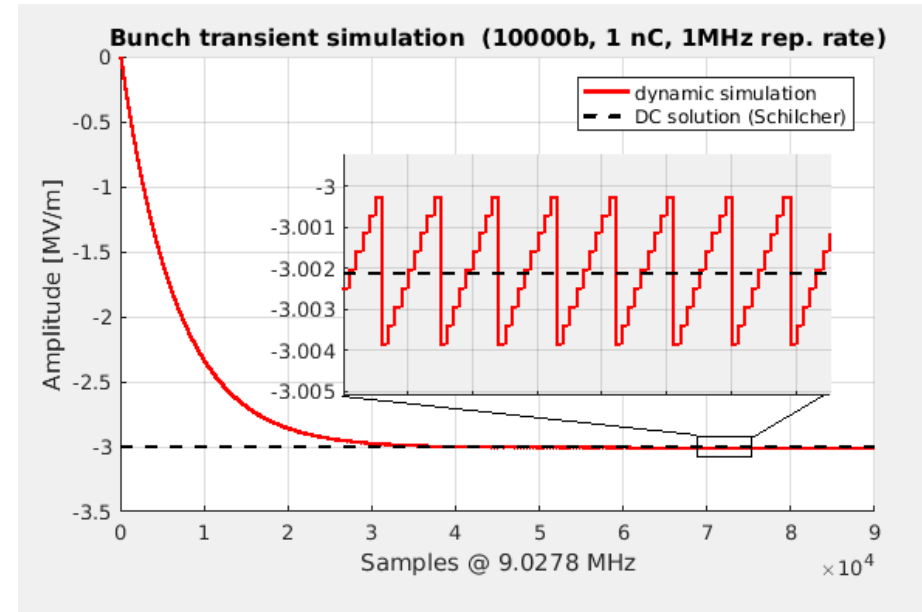
... our biggest disturbance acting to the RF field...

## Beam loading using pillbox cavity

- $Q_L = 3 \cdot 10^6$
- $q_B = 1 \text{ nC}$
- $f_B = 1 \text{ MHz}$

Beam loading for DC solution ( $t \rightarrow \infty$ ) and transient pillbox cavity approach ( $\pi$ -mode) is well understood.

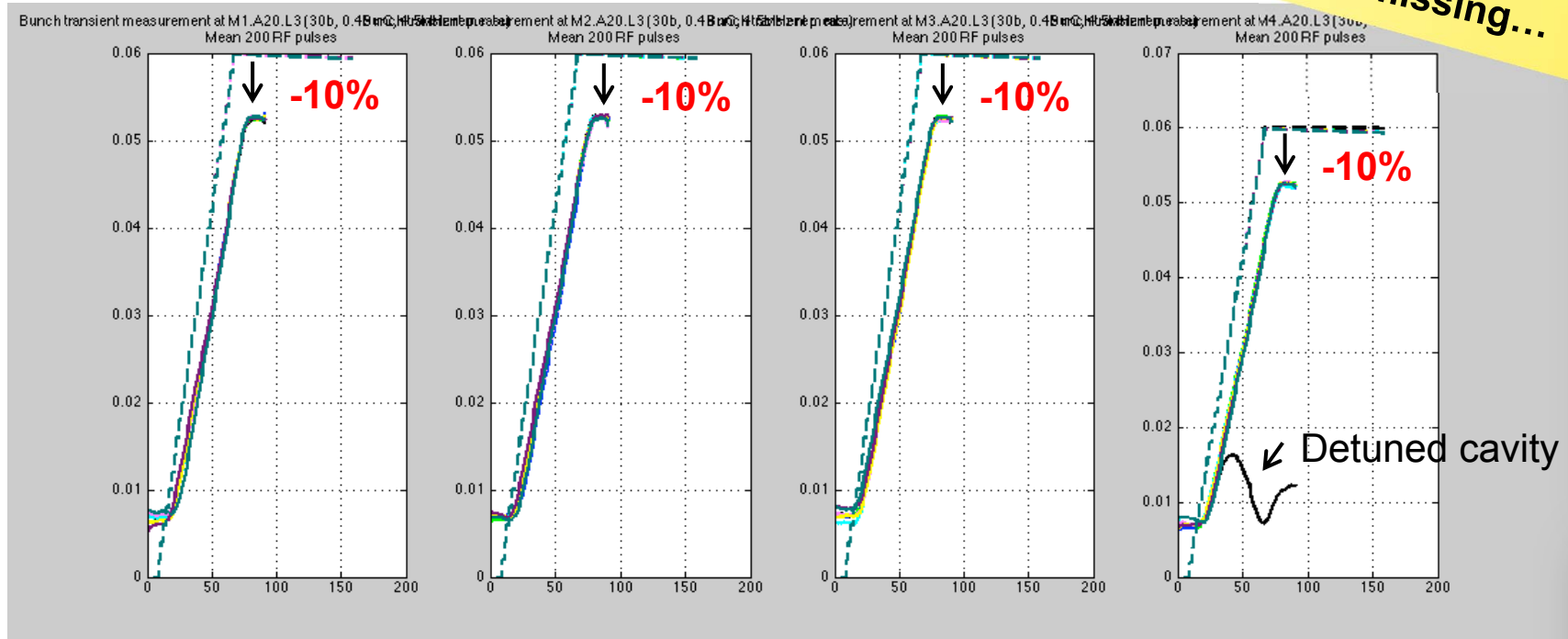
Calibrated most of XFEL cavities using this approach.



# Beam Loading

Absolute cavity calibration in amplitude and phase at XFEL

Precise 9-cell model for beam loading is missing...



Cavities calibrated and validation using relative energy measurement in dispersive section. Found **systematic 10% error** at XFEL & FLASH; need to be understood!

10% of additional electrical field modes for 9-cell cavity, ( $r/Q$ ) or  $q_B$  not correct, ADC bandwidth limitation due to cell to cell coupling and energy flow,...

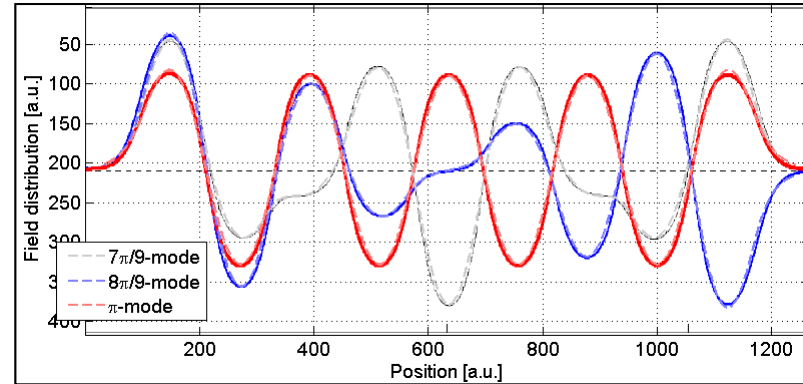
One question to be answered.



# Cavity mode contribution to the beam

... started MATLAB simulation longer time ago

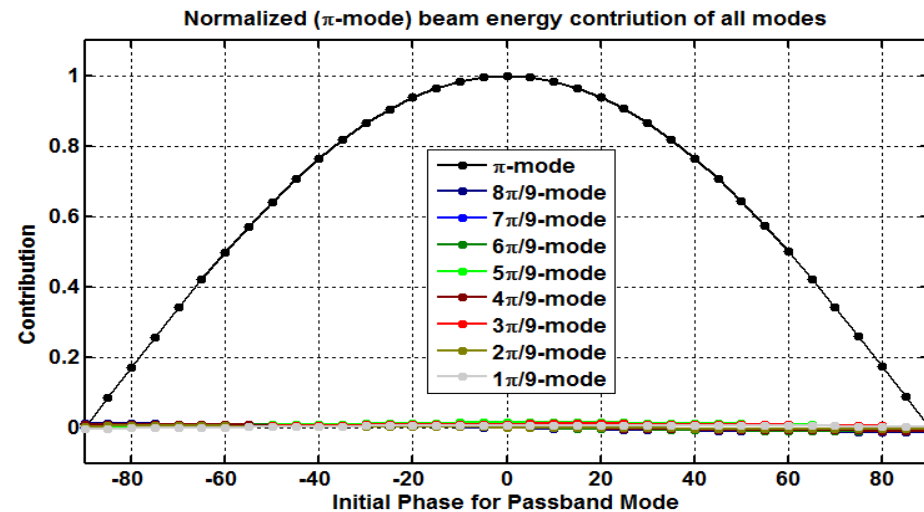
- Imported RF field characteristics for all RF modes from paper [J. Sekutovicz, 2007, "MULTI-CELL SUPERCONDUCTING STRUCTURES FOR HIGH ENERGY e+ e- COLLIDERS AND FREE ELECTRON LASER LINACS"]
- Symmetry check of field distribution
  - Line (odd mode) and point (even) symmetry
- Passband mode frequency taken from paper [E.Vogel, "High gain proportional rf control stability at TESLA cavities", 2007]



- Result: Normalized beam energy contribution of all field modes

TABLE I. Frequencies of the FMs measured in the superconducting state at 116 cavities produced for the TESLA collaboration and succeeding projects [9]. The values are the mean eigenfrequency and the rms of the eigenfrequencies (not the mode bandwidths).

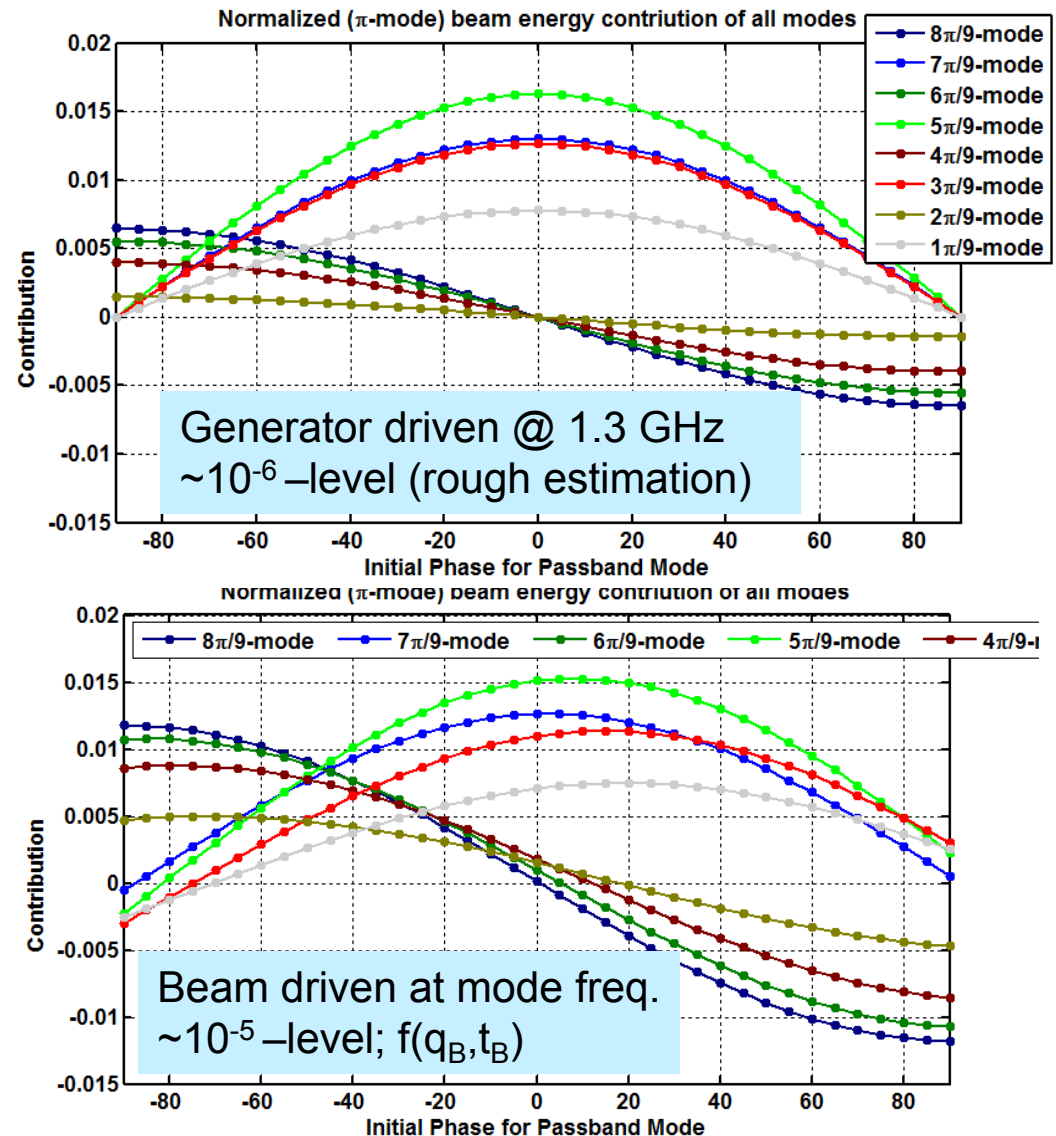
FM	Mean eigenfrequency of 116 cavities
$\pi$	$f_{\pi} = (1\,300\,444 \pm 303)$ kHz
$8/9 \pi$	$f_{\pi} - (785 \pm 51)$ kHz
$7/9 \pi$	$f_{\pi} - (3053 \pm 94)$ kHz
$6/9 \pi$	$f_{\pi} - (6501 \pm 157)$ kHz
$5/9 \pi$	$f_{\pi} - (10\,694 \pm 243)$ kHz
$4/9 \pi$	$f_{\pi} - (15\,122 \pm 347)$ kHz
$3/9 \pi$	$f_{\pi} - (19\,237 \pm 430)$ kHz
$2/9 \pi$	$f_{\pi} - (22\,594 \pm 503)$ kHz
$1/9 \pi$	$f_{\pi} - (24\,773 \pm 543)$ kHz



# Cavity mode contribution to the beam

... started MATLAB simulation longer time ago

- Mode contributions are dependent of initial RF field phase seen by the beam
    - Important for multi bunch operation
- Adding e.g. passband mode contributions driven by generator
    - Beam contribution if each mode is driven as the  $\pi$ -mode in amplitude
    - Modes phase w.r.t.  $\pi$ -mode unknown
  - Adding e.g. passband mode contributions from beam driven excitation at 0 deg. lead to 5 % energy change
    - For beam drive similar to RF drive
    - Modes are not phase stable and change over time



# Summary & Questions

## Questions to be answered by simulation experts

### 1. Field probe measurement errors during beam transition

**Goal: Do we measure what we expect from physical model at the probe pick-up?**

- Cell-to-cell coupling during refill process
  - Transient behavior of end-cell (pick-up) identical to the others?
  - Pickup measurement during refilling time?
  - Does this refilling process with given ADC bandwidth explain the 10 % discrepancy?
- Probe pick-up location correctly chosen?
- Is maybe a 2<sup>nd</sup> probe required/helpful?

### 2. Additional beam loading effects

**Goal: Get beam loading white-box model like the cavity equation for it's characterization.**

- Assumption of on-axis and Gaussian beam properties (ideal cases)
- Beam loading to the  $\pi$ -mode?
- Beam loading to the remaining passband modes ( $8\pi/9$  down to the  $1\pi/9$ -mode)?
- Cell-to-cell effects while the beam is passing?

# Summary & Questions

## Questions to be answered by simulation experts

### 3. Exploit additional passband modes ( $8\pi/9 \dots 1\pi/9$ )

**Goal: Extract additional information from the passband modes.**

- Assumption of mode excitation by beam or drive if energy gain stays constant
- Can we get additional information's from the passband modes?
  - Resonance frequency of pi-mode?
  - Detuning?
  - Beam phase?

### 4. Mechanical cavity model

**Goal: Find an appropriate mechanical cavity model including mechanical couplings.**

- Piezo excitation/correction to detuning of  $\pi$ -mode?
- Cavity-to-cavity couplings (mechanical) in spatial distribution?



**Thank you**

## Contact

**DESY.** Deutsches  
Elektronen-Synchrotron

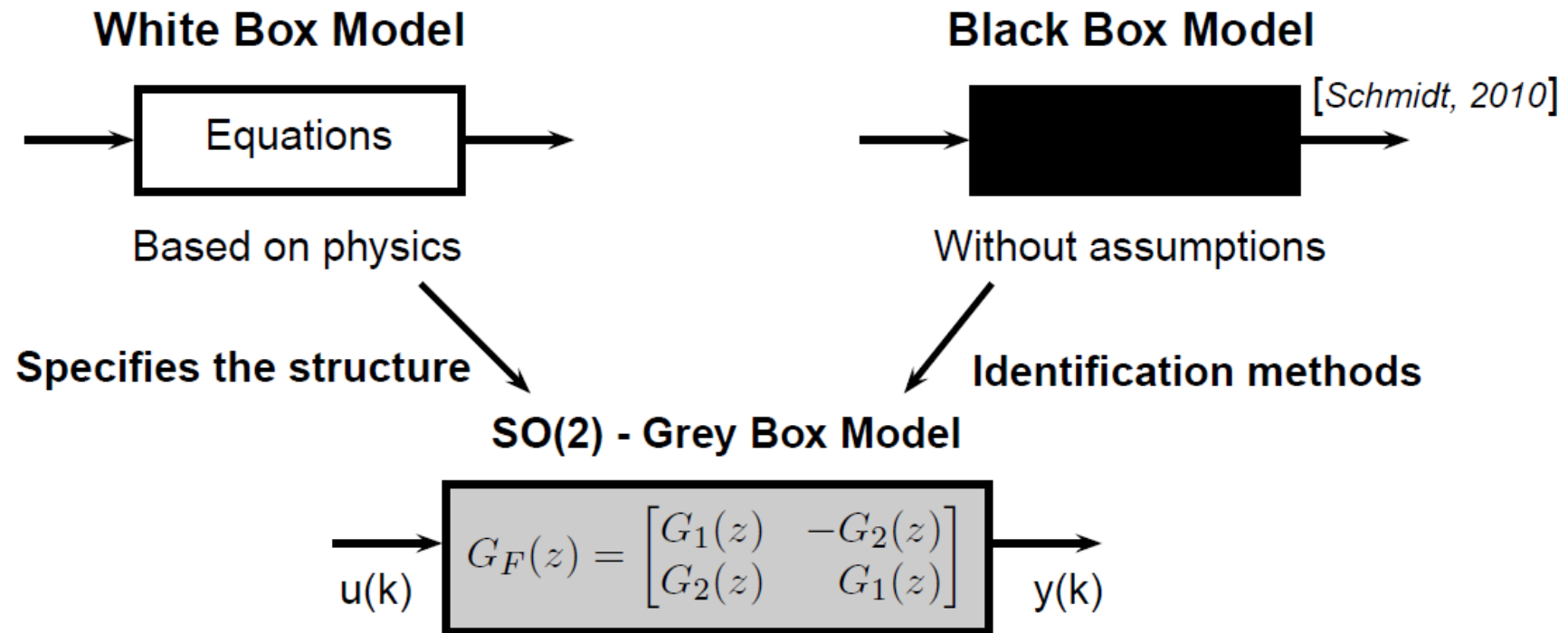
[www.desy.de](http://www.desy.de)

Sven Pfeiffer  
DESY - MSK  
[sven.pfeiffer@desy.de](mailto:sven.pfeiffer@desy.de)  
(+49)40 8998 - 2744

# Backup Slides

# System Identification

... using grey-box modelling



- Parameter identification within minutes
- Linear time-invariant (LTI) model:
  - Static gain, bandwidth, passband modes



# SRF stability measurements

## LLRF regulation

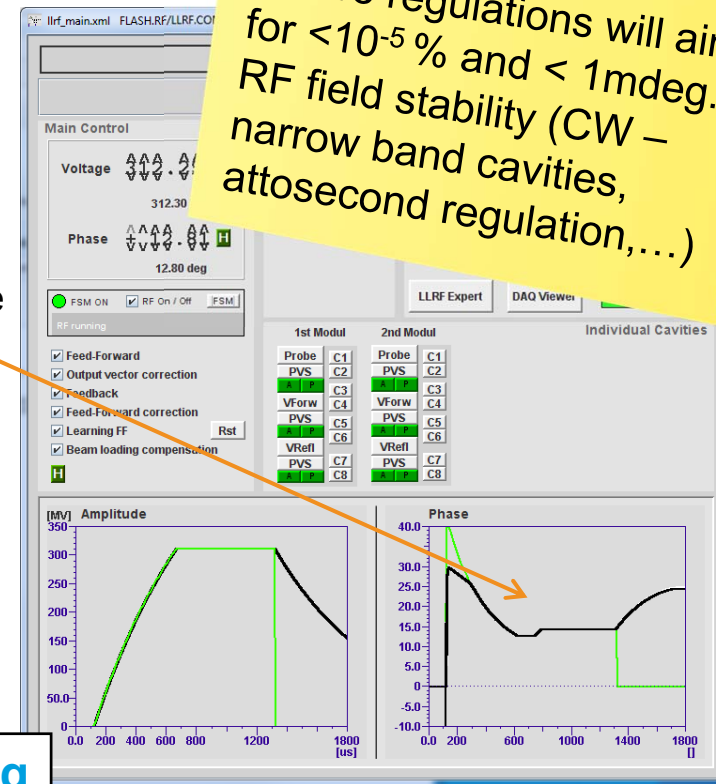
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- Feedforward
- **Feedback (MIMO)**
- **Learning Feedforward**
- **Beam Loading Compensation**

Optimization using model-based approach  
**Precise 9-cell model for beam loading missing...**

**Regulation Goal:  $dA/A < 0.01\%$ ,  $d\phi < 0.01\text{deg}$**

Multi-beamline support



Future regulations will aim for  $<10^{-5}\%$  and  $< 1\text{mdeg}$ . RF field stability (CW – narrow band cavities, attosecond regulation,...)

RF stability (rms)	RF-gun*	ACC1**	ACC39**	ACC23**	ACC45**	ACC67**
Ampl. Intra pulse [%]	0.0044	0.0057	<b>0.011</b>	0.0053	<b>0.0052</b>	0.0069
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Table: In-loop regulation for 600 consecutive RF pulses

\* at 100kHz BW (BW RF-gun ~ 53 kHz)

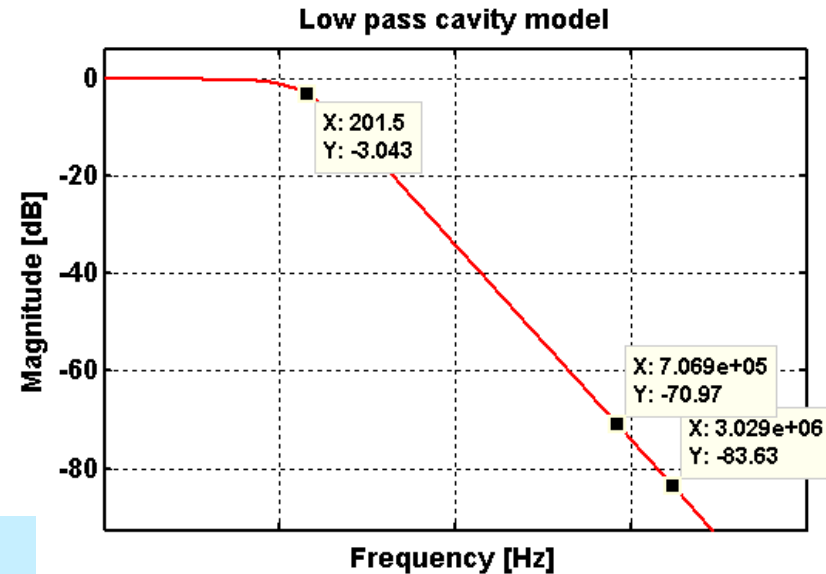
\*\* at 9 MHz

# Passband mode excitation

## Maximum contribution to first two passband modes

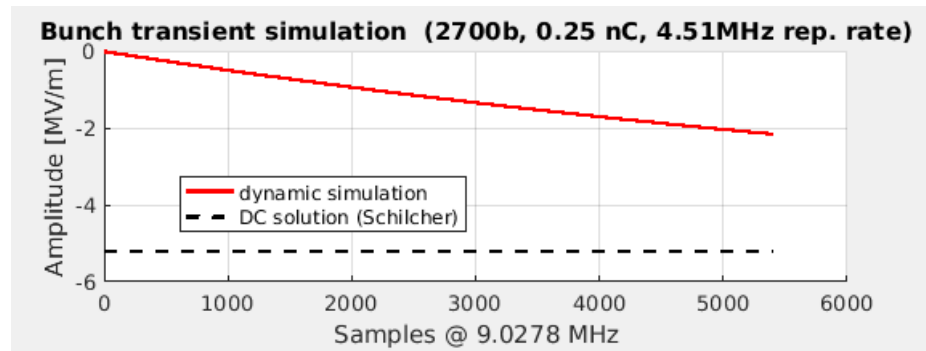
- 8pi/9-mode
  - $-71\text{dB} * 0.007 = 2e-6$  (generator)
  - $-20\text{dB} * 0.011 = 1.1e-3$  (beam)
- 7pi/9-mode
  - $-83\text{dB} * 0.016 = 1e-6$  (generator)
  - $-40\text{ dB} * 0.015 = 1.5e-4$  (beam)

Beam driven; XFEL:  $4.6e6$ ,  $0.25\text{nC}$ ,  $4.5\text{ MHz}$ ,  
 ~4% RF drive by beam



M. Ferrario, 1996,  
 "Field propagation effects and related multibunch instability in multicell capture cavities"

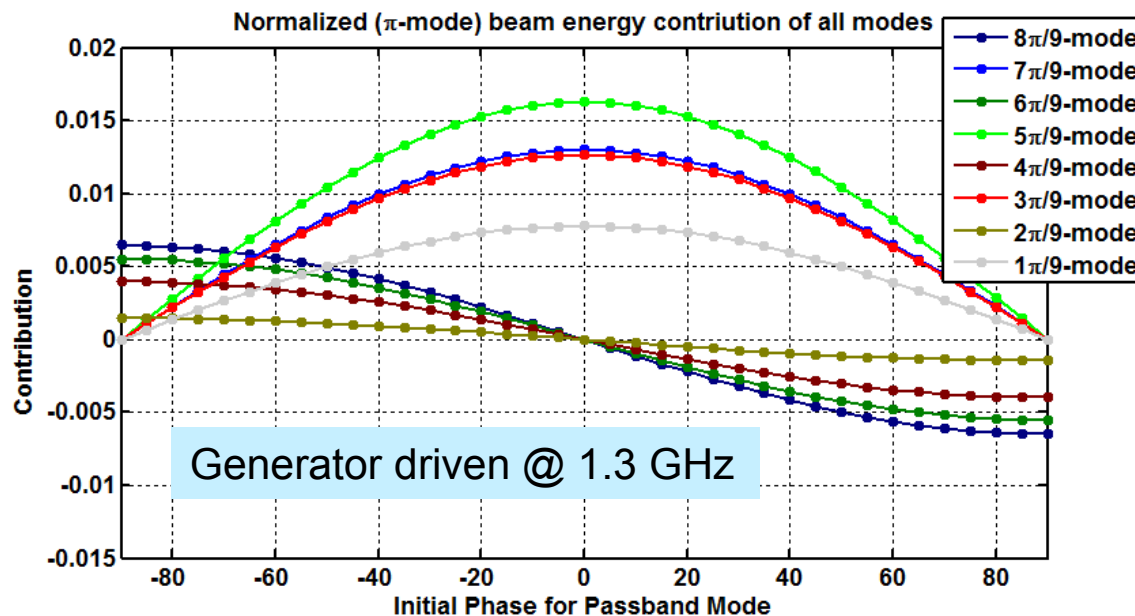
...with a relativistic beam, the average accelerating field vanishes for all modes, except of course for the  $\pi$ -mode. ... The induced bunch-by-bunch energy spread is with max.  $3 \cdot 10^{-6}$  very small...



# Cavity mode contribution to the beam

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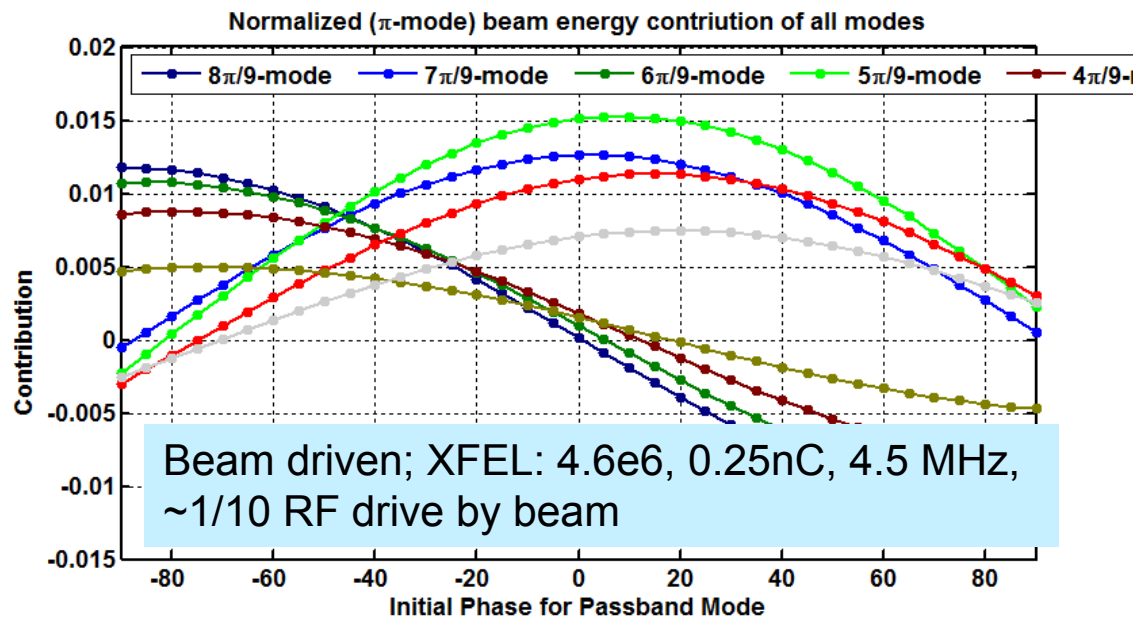
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- Adding e.g. passband mode contributions at 0 deg. lead to 5 % energy change
  - Modes are not phase stable and change over time
  - beam drive similar to generator drive...



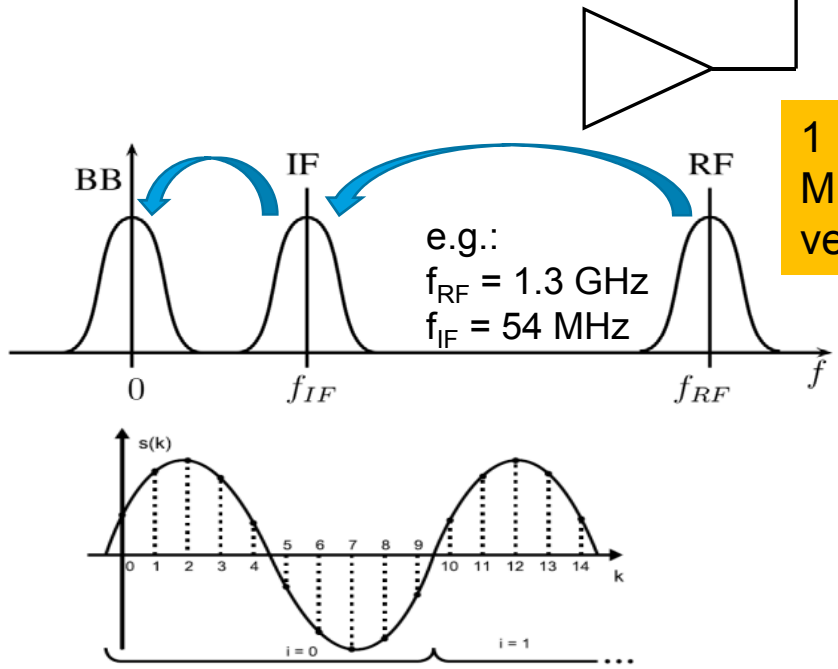
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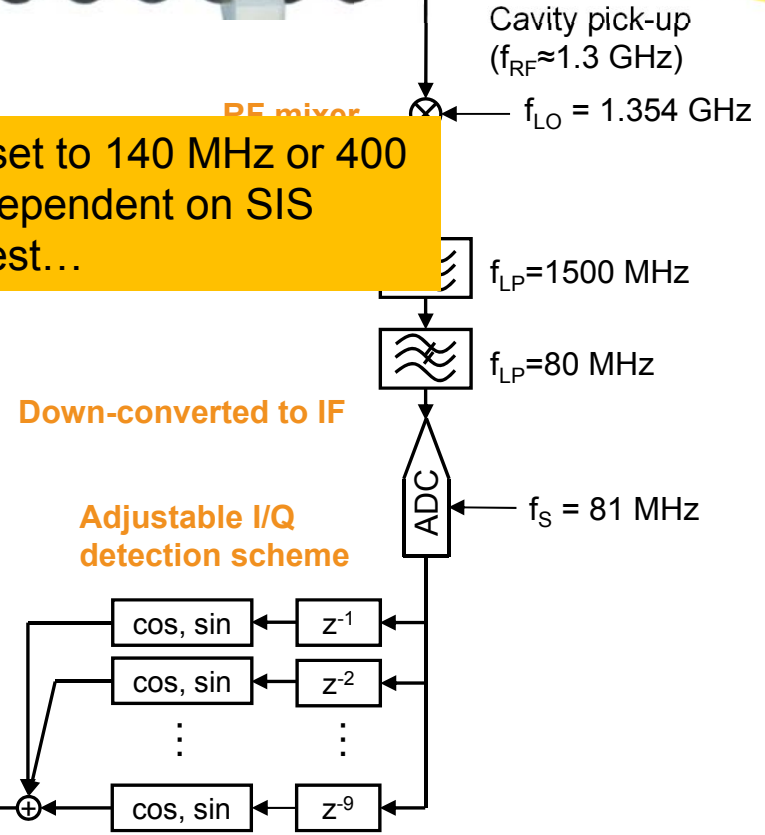
# SRF cavity regulation

## Digital LLRF regulation system - signal detection

- ADC: 26 MHz analog bandwidth (asymmetric)
- I/Q detection: 4 MHz bandwidth (sampling scheme dependent)



1 more filter set to 140 MHz or 400 MHz or...? Dependent on SIS version/request...



Average 9 samples at 81 MHz gives 1 (I/Q) pair each 9 MHz with step window

I/Q @ 9MHz

$$I = \frac{2}{P \cdot S} \sum_{k=0}^{(P \cdot S)-1} y_{mix}(k) \cdot \cos\left(k \cdot \frac{2\pi}{S}\right) \text{ and } Q = \frac{2}{P \cdot S} \sum_{k=0}^{(P \cdot S)-1} y_{mix}(k) \cdot \sin\left(k \cdot \frac{2\pi}{S}\right)$$