



# Highlights from



## DIS 2003

XI International Workshop on Deep Inelastic Scattering

St. Petersburg, 23-27 April 2003

## Part III

Uta Stösslein (DESY Hamburg)

# Structure Functions, low-x and Diffraction

WG A. Convenors: R. Devenish, V.S. Fadin

- inclusive DIS data and QCD partons
- low x signals
- vector mesons and DVCS
- diffractive phenomena

→ experimental talks : about 1/3 out of 63 talks

introductory talk: M. Ryskin, PNPI

# Heavy Flavours

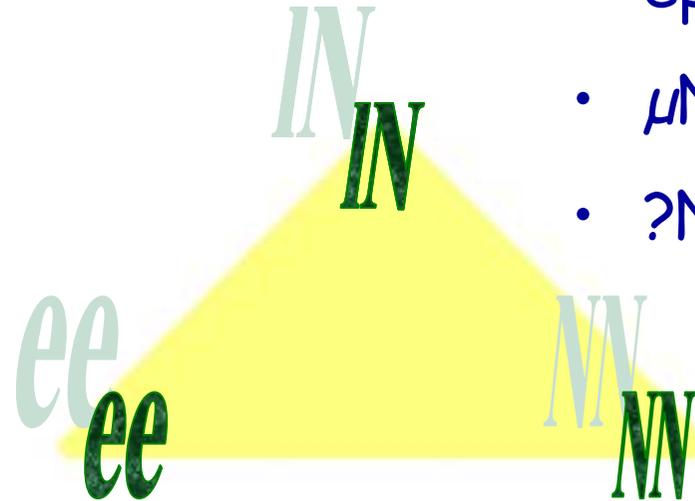
WG D. Convenors: F. Sefkow, B. Kniehl

- charm in DIS and  $\mathcal{P}$ , fragmentation
- beauty in DIS and  $\mathcal{P}$
- ... and more ...
- [ theory and models  $\rightarrow$  summary by B. Kniehl ]

$\rightarrow$  experimental talks : about 2/3 out of 34 talks

introductory talk: B. Naroska, Uni Hamburg

# Recent results mainly from



- ep @ HERA
- $\mu N$  @ SPS
- ?N @ FNAL

- ee @ LEP
- B-factories

- $p\bar{p}$  @ TEVATRON
- AA @ RHIC
- pA @ HERA

→ selected topics from ~50 talks

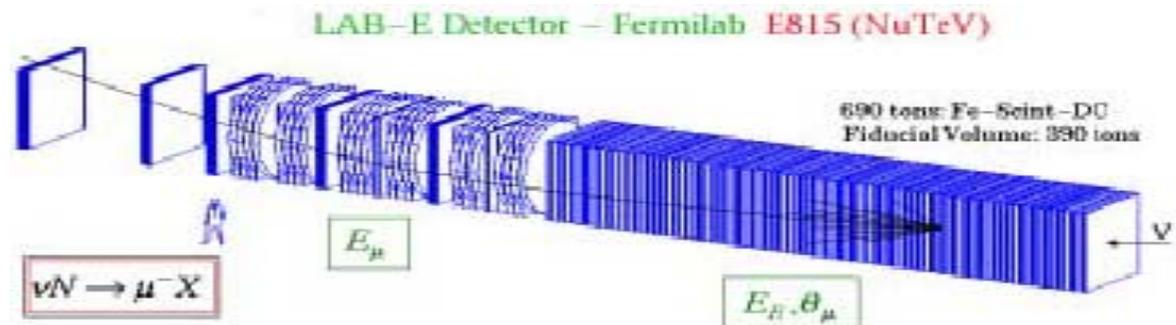
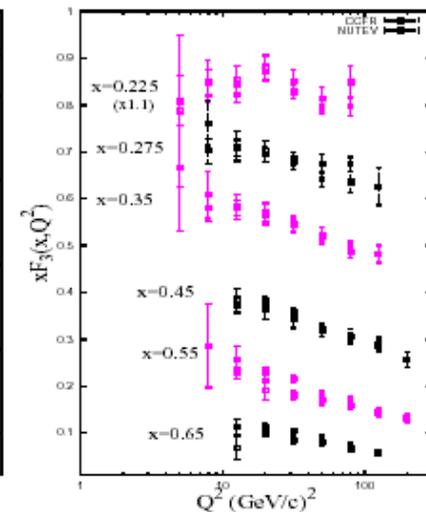
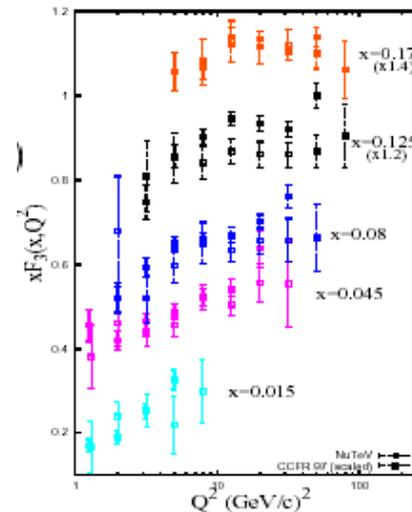
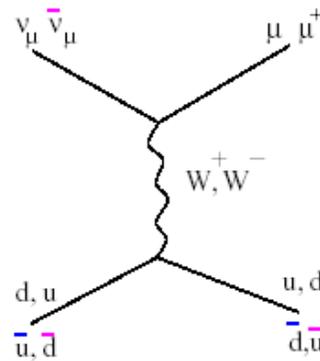
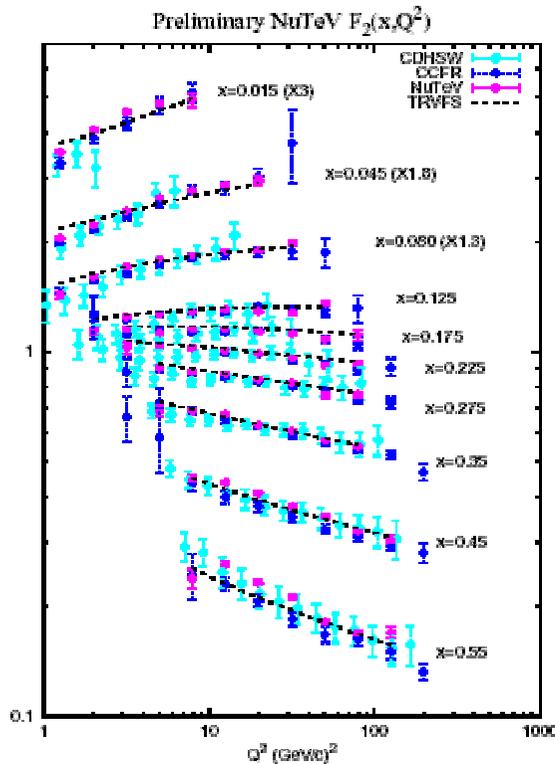
$F_2^{\nu N}$  new high stat data from improved ? and  $\bar{\nu}$  beams

better control of largest systematics:  $E_\mu$  and  $E_{Had}$

$x F_3^{\nu N}$

$$F_2^{\nu(\bar{\nu})} = \sum x(q + \bar{q} + 2k)$$

$$xF_3^{\nu(\bar{\nu})} = \sum x(q - \bar{q}) \pm 2x(s - c)$$

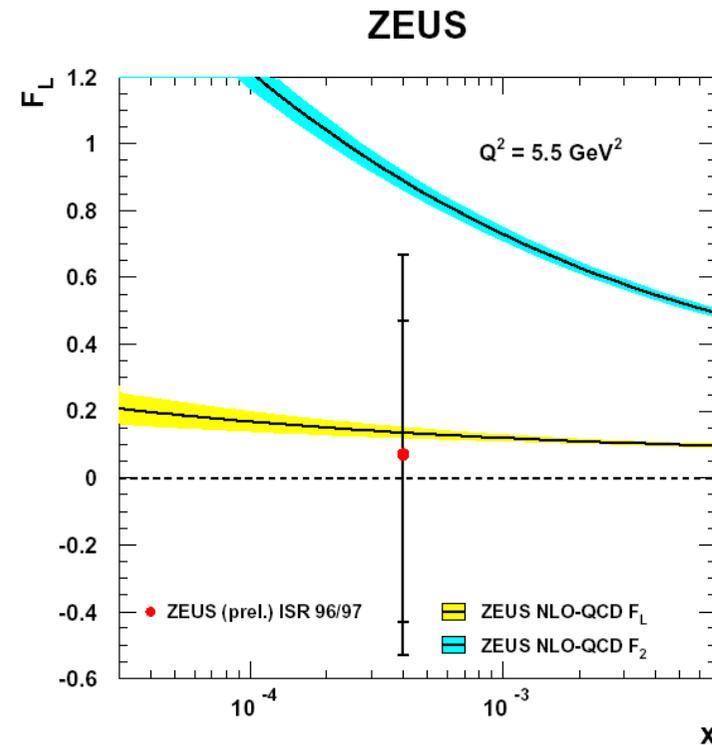
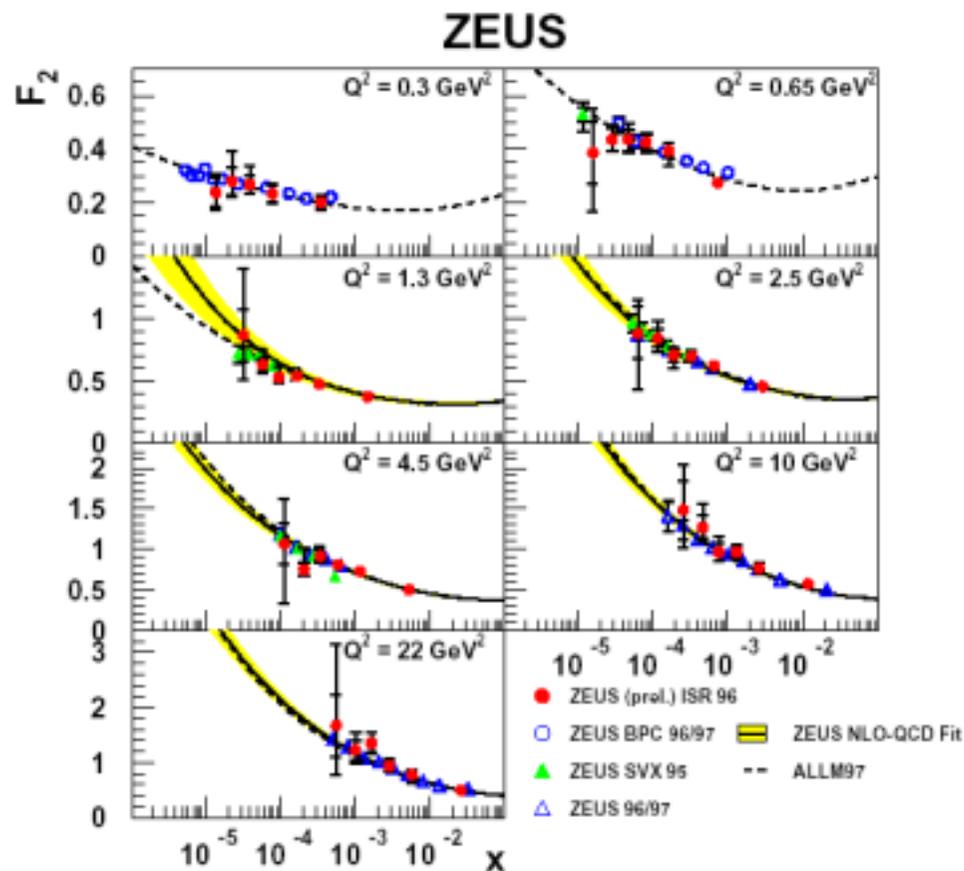


Data taken in 1996-97

$F_2^p$ 

first measurements using ISR events  $\sim 36\text{pb}^{-1}$

ISR reduces  $E_e \rightarrow$  access of lower  $Q^2$  values

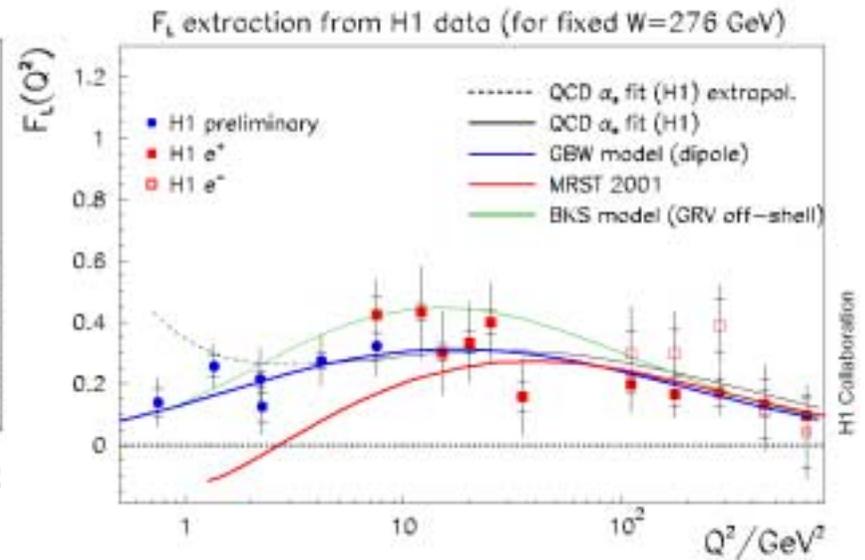
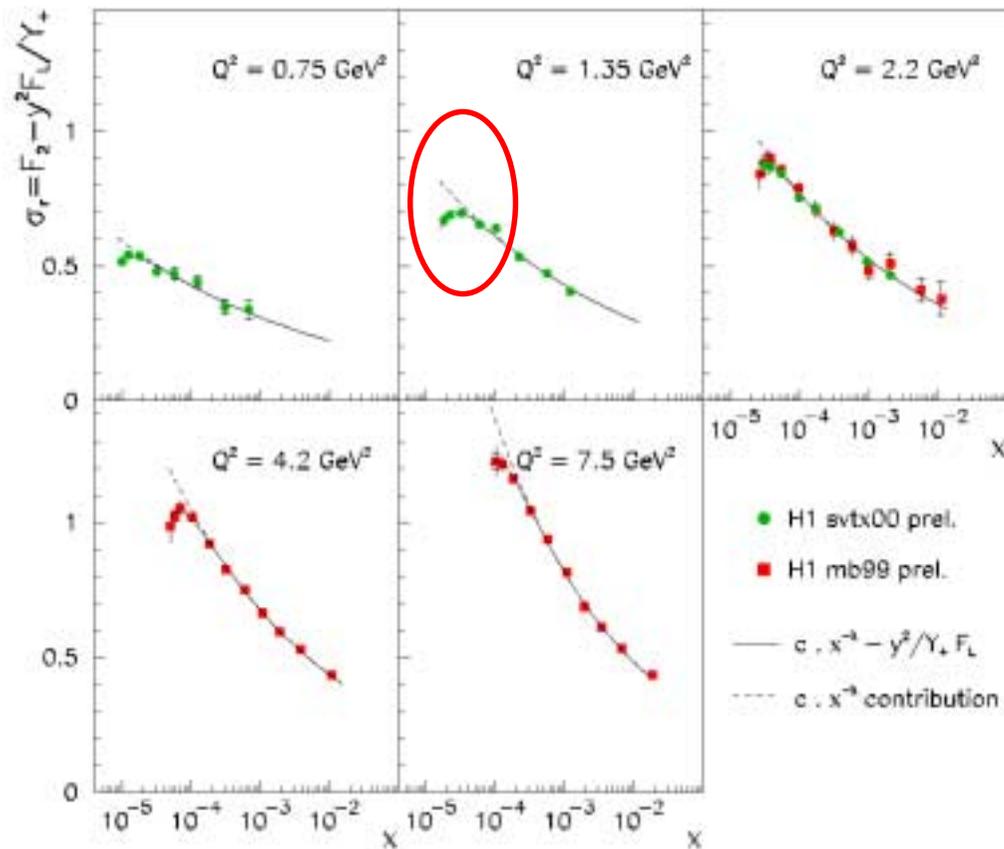
 $F_L^p$ 

J. Cole, ZEUS

[see also V. Lendermann, H1, for new  $F_2$  measurement at low  $W$ , low  $Q^2$ ]

# $F_L^p$ new data from ep cross sections

at high  $y$ :  $\sigma_{\text{FIT}} = c \cdot x^{-\lambda} - \frac{y^2}{1 + (1 - y)^2} F_L$



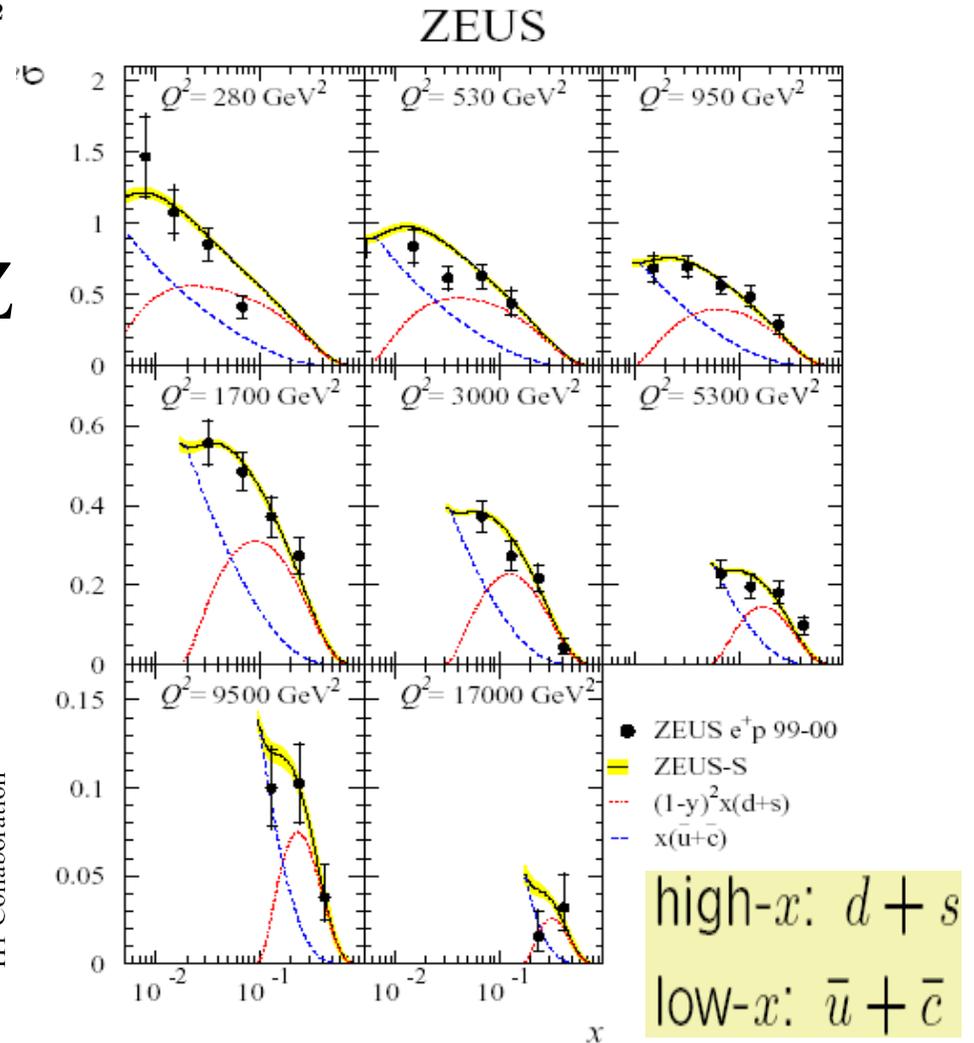
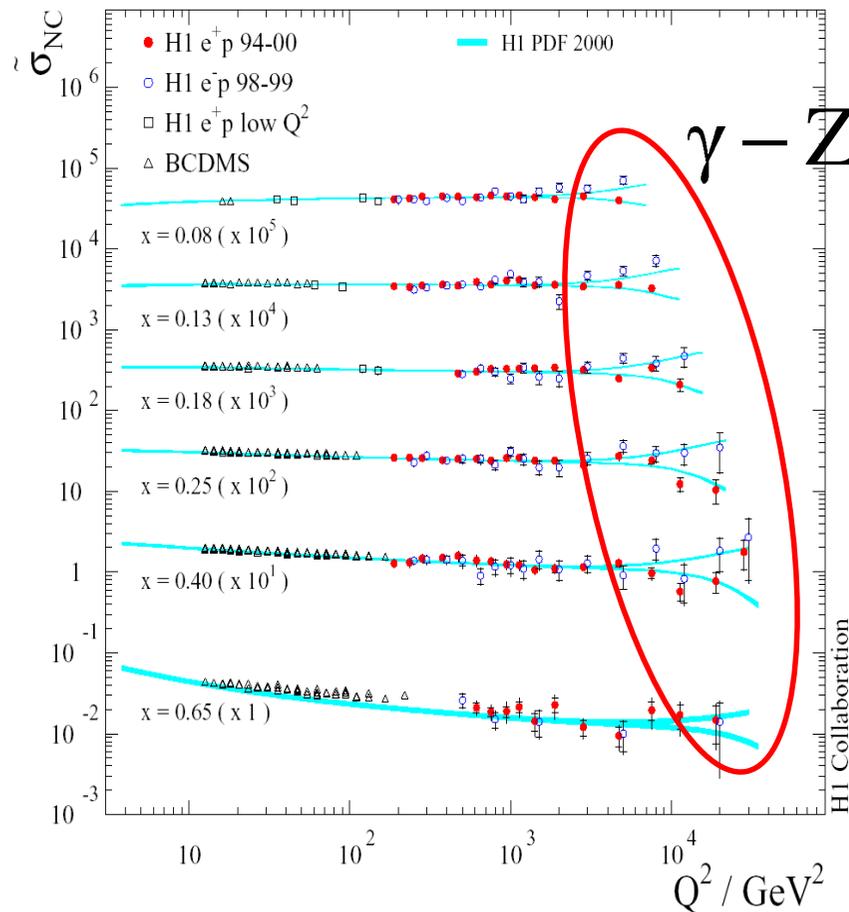
- for the first time  $F_L$  extracted at low  $Q^2$  ( $\sim 1\text{GeV}^2$ ) low  $x$  region
- precision of extraction improved

E. Lobodzinska, H1

→ low  $E_p$  running needed for accurate  $F_L(x)$  measurement

# final HERA I $s_{NC}$ and $\sigma_{CC}$ data: $e^+p$ (99-00) and $e^-p$ (98-99)

$$\tilde{\sigma}_{NC}^{\pm} = \tilde{F}_2 \mp \frac{Y_{\pm}}{Y_{\pm}} x \tilde{F}_3, \quad Y_{\pm} = 1 \pm (1-y)^2$$



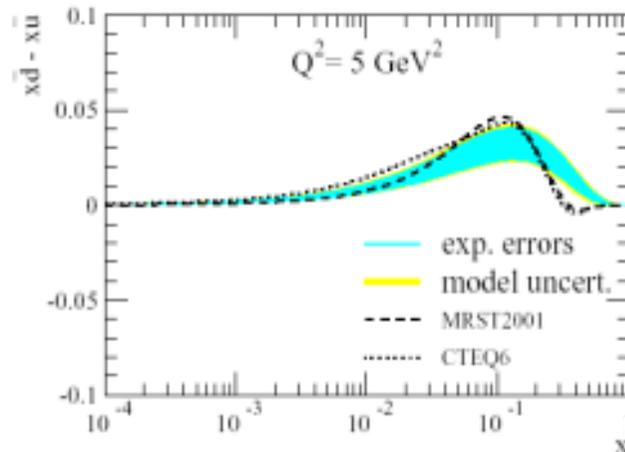
A. Dubak, H1

J. Rautenberg, ZEUS

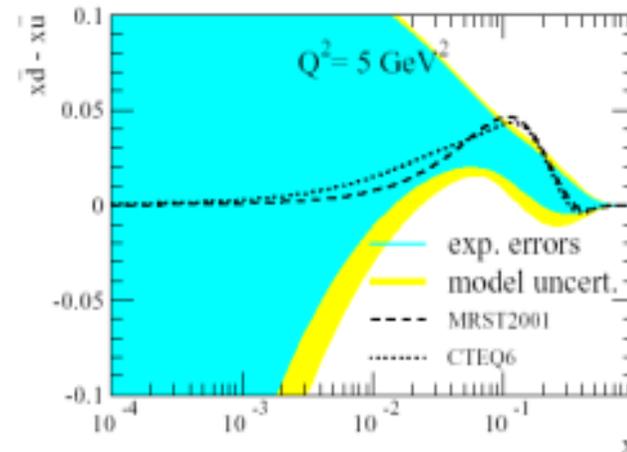


# Impact of Low $x$ Constraint: $\bar{d} - \bar{u}$

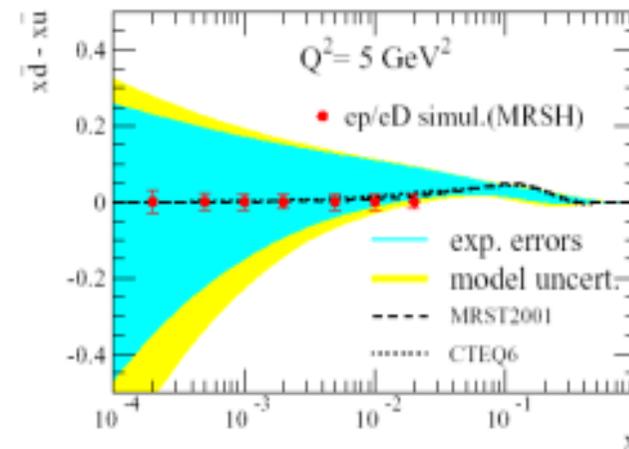
H1 + BCDMS p & d



H1 + BCDMS p & d (free  $A_G, B_G$ )



- shape of  $\bar{d} - \bar{u}$  in global fits reproduced by fit to H1 + BCDMS p & d when  $x(\bar{d} - \bar{u}) \xrightarrow{x \rightarrow 0} 0$  is imposed
- uncertainty is much wider when this constraint is not applied
- test of symmetry of light sea quarks at low  $x$  requires NEW data (p&d)



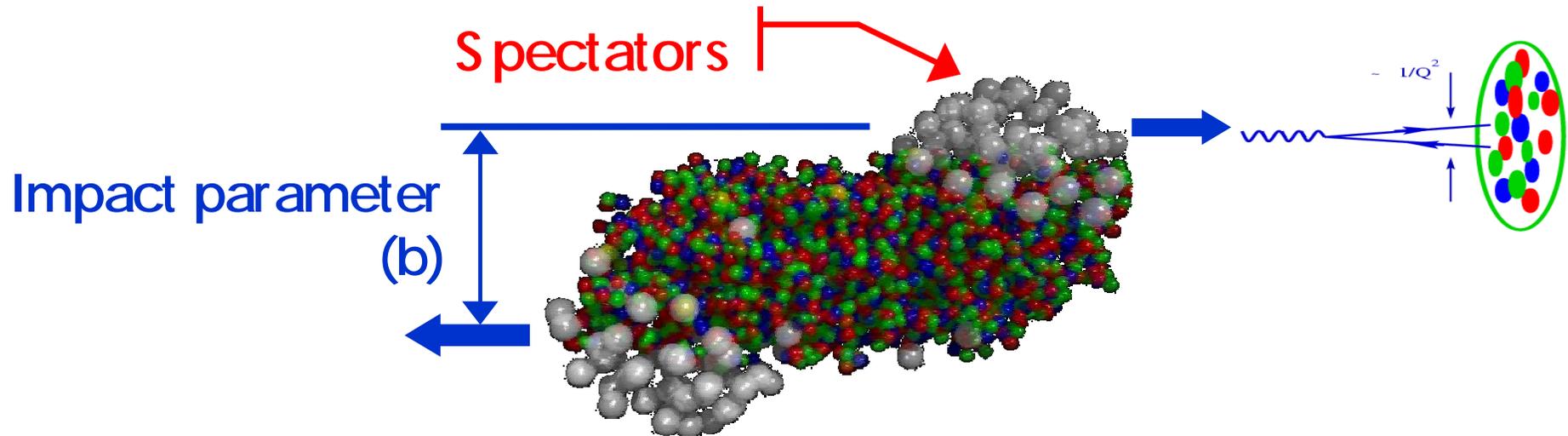
B. Reiser, H1

# Relativistic Heavy Ion Collider



- Run 1 (2000) : **Au-Au** @  $\sqrt{s_{NN}} = 130 \text{ GeV}$
- Run 2 (2001-2): **Au-Au, p-p** @  $\sqrt{s_{NN}} = 200 \text{ GeV}$ 
  - (1-day run): **Au+Au** @  $\sqrt{s_{NN}} = 20 \text{ GeV}$
- Run 3 (2003): **d-Au, p-p** @  $\sqrt{s_{NN}} = 200 \text{ GeV}$

## RHIC A-A collisions and low x



- $N_{\text{part}}$  gives # of nucleons that "participate" in collision  
 $A = 197$  for Au  $\Rightarrow$  maximum  $N_{\text{part}}$  in Au-Au is 394
- $b$  determines centrality ("violence") of collision  
central collisions (small  $b$ )  $\rightarrow$  larger  $N_{\text{part}}$ , high density gluons of roughly  $dN_G/dA \sim 4 \text{ fm}^{-2}$  assuming  $k_t \sim 1 \text{ GeV}/c$
- produced particle properties  $E_T$  and  $N_{\text{chg}}$  determined by "low x" gluon dynamics (input from HERA) ?  $\rightarrow$  test it!

# dN/dη saturation model comparisons

PHOBOS data vs

**Kharzeev and Levin**  
**PLB523(2001)79**

→ large gluon flux in highly boosted nucleus related to new scale  $Q_s^2$  :

$$\rho \propto Q_s^2 / \alpha_s(Q_s^2)$$

→ take  $Q_s(s)$  s-dependence from Golec-Biernat & Wüsthoff (from HERA)

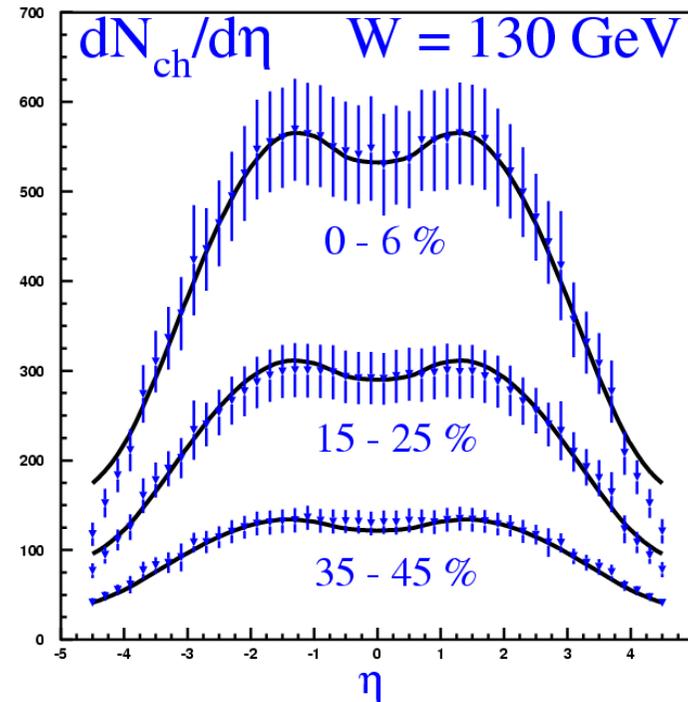
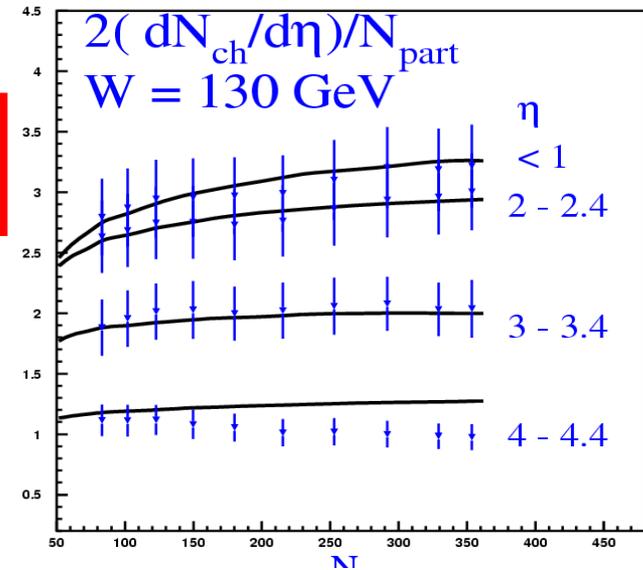
$$Q_s(s) / Q_s(s_0) = (s/s_0)^{\lambda/2}, \lambda \sim 0.3$$

→ explicit dependence on  $\rho_{nucleon}$

$$Q_s^2 = c \alpha_s(Q_s^2) \times G(x, Q_s^2) \rho_{nucleon}$$

+ "additional model input"

→ remarkable agreement with data @ 130 GeV...



## summary: RHIC A-A collisions and low $x$      B. Cole

- closest thing we have to *ab initio* calculation
- they provide falsifiable predictions !
- connect RHIC physics to DIS observables, e.g.  
 $\sqrt{s}$  dependence of  $dN/d\eta \Leftrightarrow$  saturation in DIS
- but, there are still many issues (e.g.):
  - What is the value for  $Q_s$ ? Is it large enough ?
  - Is  $Q_s$  really proportional to  $\rho_{\text{part}} (A^{1/3})$ ?
  - How is  $dN/d\eta$  related to number of emitted gluons ?
- How do we conclusively decide that saturation applies (or not) to initial state at RHIC ?

# saturation in DIS

Modern formulation: Color Glass Condensate

J. Bartels

photon "sees" strong color field which remains purely density interaction

$$\frac{\overline{T_2^d}}{\overline{T_2^p} + \overline{T_2^n}} < 1 \quad \text{at small } x$$

Effect amplifies at layer A.

Need DIS on Nuclei, e.g. deuterium

→ exciting prospect of precision eA measurements at small x:

scattering is coherent over nucleus → diquark sees much larger # of partons:  $xg(x_{\text{eff}}, Q^2) = A^{1/3} xg(x, Q^2)$ ,  $xg \propto x^{-\lambda}$

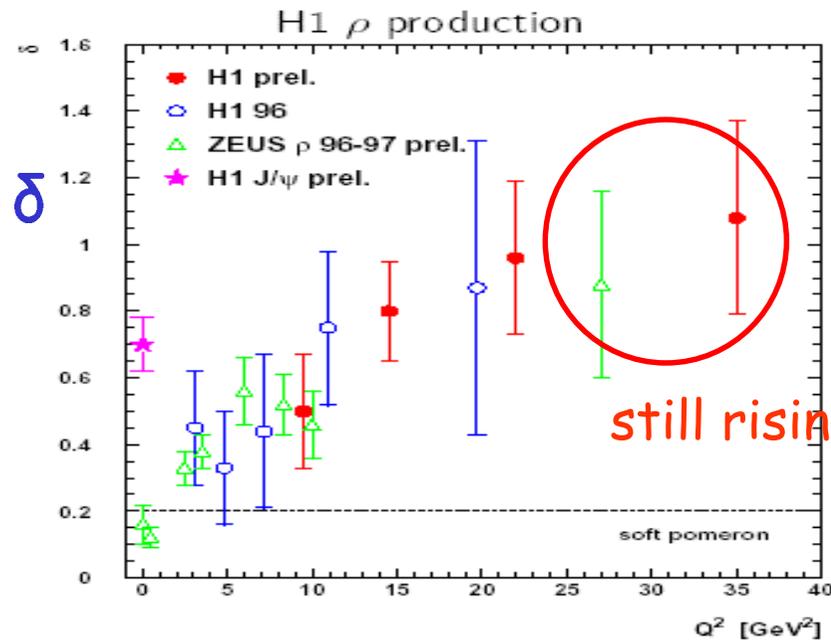
$$x_{\text{eff}}^{-\lambda} = A^{1/3} x^{-\lambda} \quad \text{so} \quad x_{\text{eff}} \approx x A^{-1/3 \lambda} = x/A^3 (Q^2 < 1 \text{ GeV}^2)$$

$$= x/A (Q^2 \approx 100 \text{ GeV}^2)$$

A. Caldwell

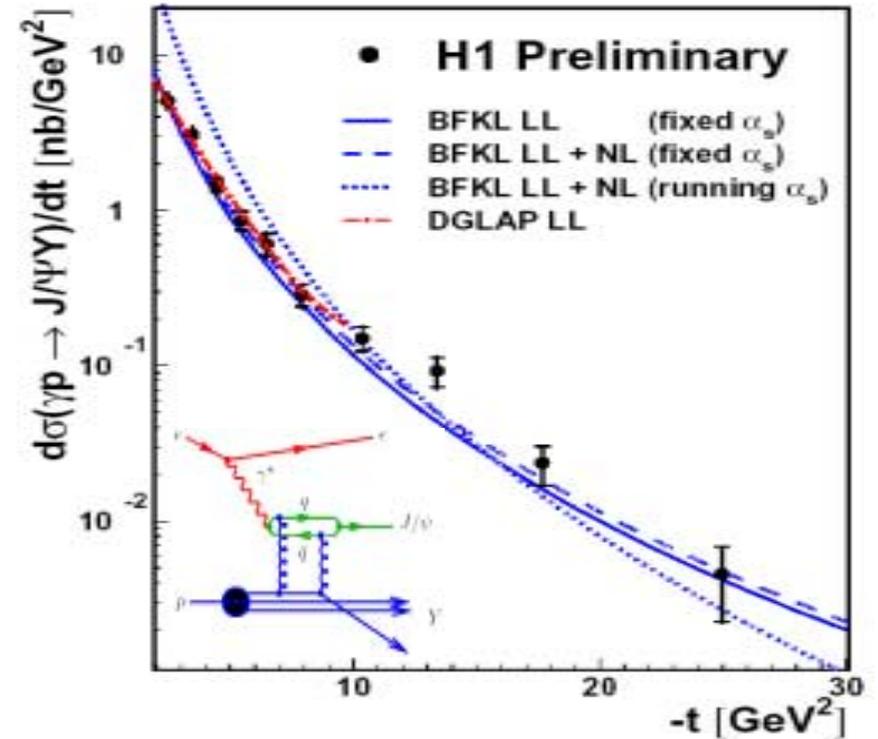
# electro- and photoproduction of vector mesons

- $s(J/\psi)$  rises fast with  $W^d$   
 $d = 0.69 \pm 0.02$  (ZEUS)  
 and  $d$  is flat in  $Q^2$
- described by pQCD, hard scale  $M_{J/\psi}$
- sensitive to gluon  $s_L \propto |xG(x, Q^2)|^2$   
 $\sigma \propto W^\delta$  fit in bins of  $t$



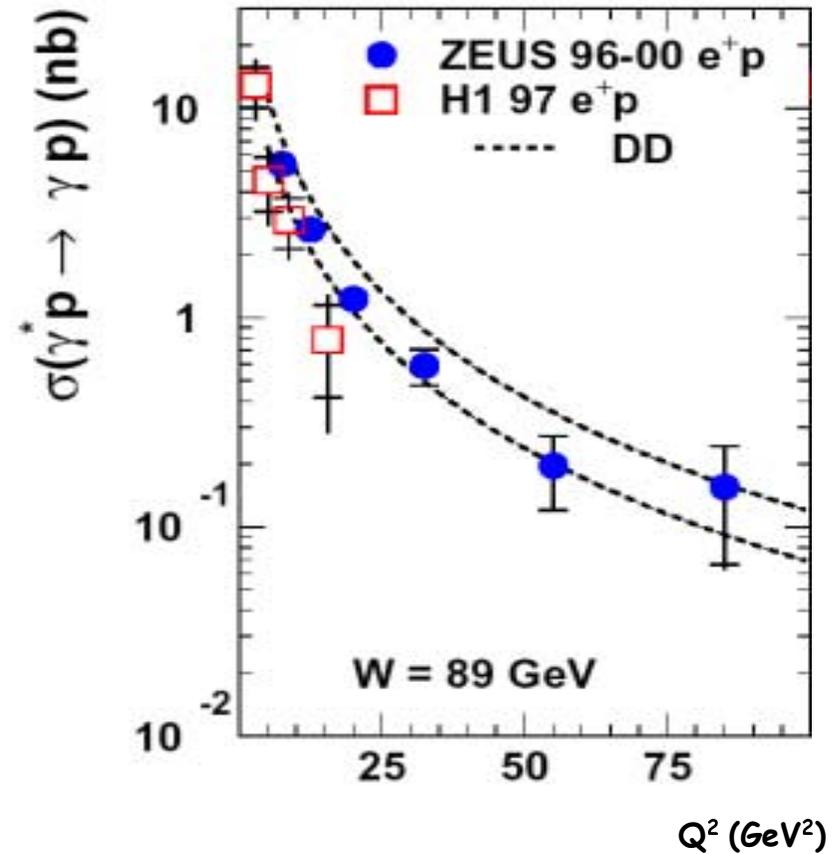
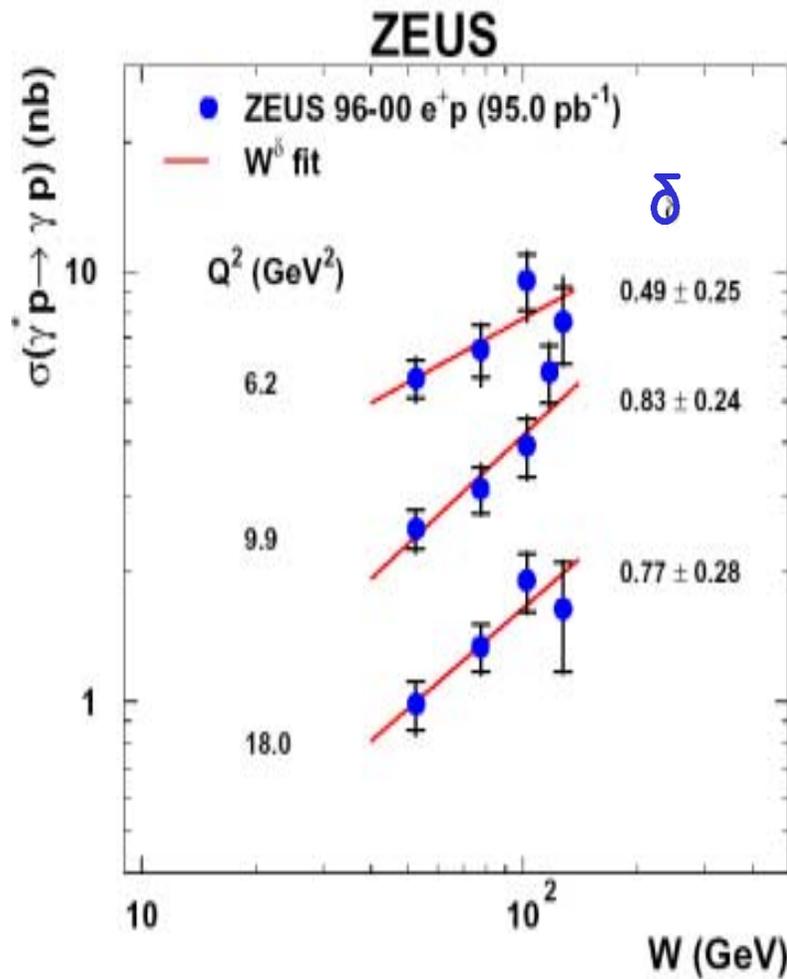
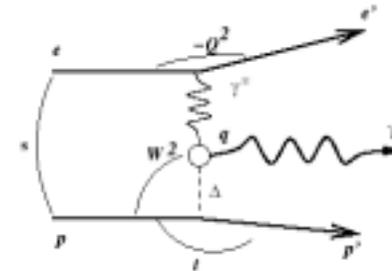
- for  $J/\psi$ : high  $Q^2$  possible hard scale

inelastic  $J/\psi$  at high  $|t|$



- Dependence at large  $|t|$ :  $\propto (-t)^{-n}$   
 $\Rightarrow n = 3.00 \pm 0.08(stat.) \pm 0.05(syst.)$   
 for  $|t| > 3.5 \text{ GeV}^2$
- Increase  $|t| \Rightarrow$  sys. increase in  $n$
- $n \simeq 3$  similar to  $\rho, \phi$  (ZEUS)

# new results on DVCS cross section

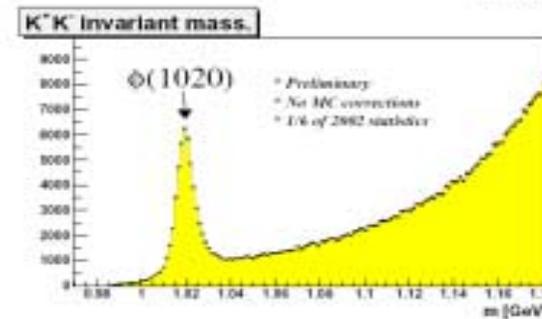
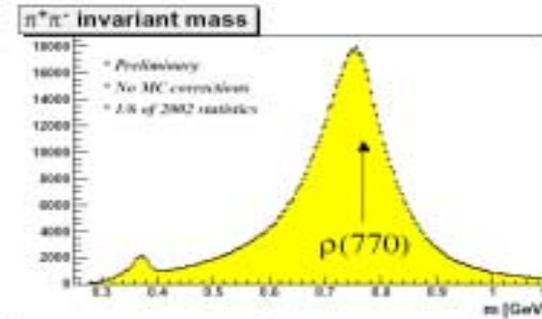
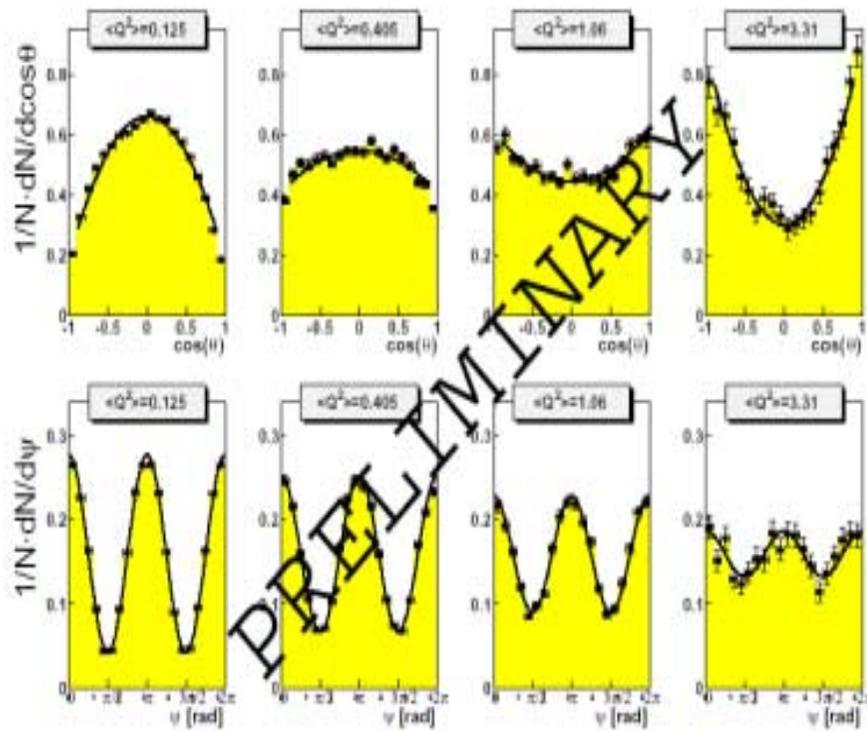


$Q^2$  dependence well described by GPD or colour dipole based models (integrated over experimental  $t$  range)

# vector mesons with COMPASS

- 160 GeV  $\mu^+$  beam, Pol.  $\sim 76\%$
- ${}^6\text{LiD}$  target, Pol.  $\sim 57\%$
- prel. results with 1/6 of 2002 stat.

## angular distributions



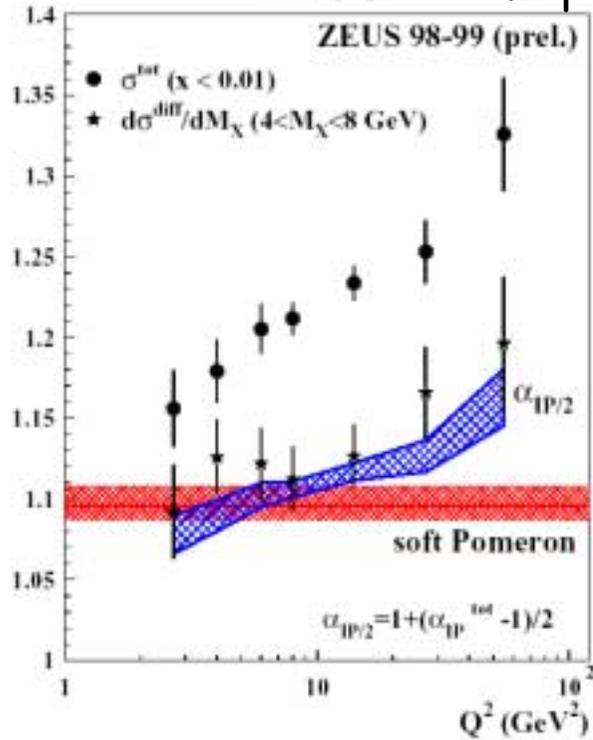
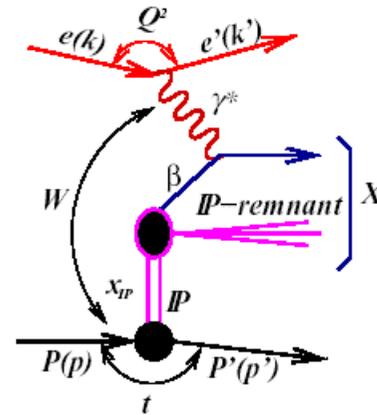
## Outlook

- High luminosity allows to collect large statistics sample.
- Trigger conditions allow the measurement in transition region from soft diffraction to pQCD.
- Possibility to measure double spin asymmetries with high accuracy.
- Spin transfer mechanism can be studied (violation of SCHC).
- Study of GPD at large  $Q^2$ .

# energy dependence of hard diffraction

ZEUS 4.2 pb<sup>-1</sup>

M<sub>X</sub> method using FPC



- $$\sigma_{\gamma^* p}^{tot} = \frac{4\pi^2\alpha}{Q^2} \cdot F_2(x, Q^2)$$

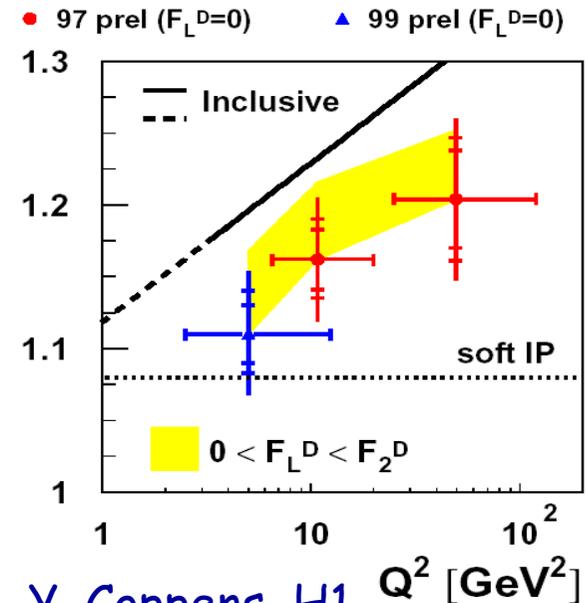
$$\sim \frac{1}{W^2} \text{Im} T_{\gamma^* p \rightarrow \gamma^* p}(W^2, t=0) \sim (W^2)^{\alpha_{IP}^{tot}(0)-1}$$

(Optical theorem)
- $$\frac{d^2\sigma^{diff}}{dM_X dt} \sim |T_{\gamma^* p \rightarrow \gamma^* p}|^2 \sim (W^2)^{2(\alpha_{IP}^{diff}(0)-1)}$$

at  $t=0$

Data ( $4 < M_X < 8$  GeV) show  
 $\Rightarrow \alpha_{IP}^{diff} \approx 1 + (\alpha_{IP}^{tot} - 1)/2$

## H1 Diffractive Effective $\alpha_{IP}(0)$



H. Lim, ZEUS

new: 3.4 pb<sup>-1</sup>  
 from 99 data

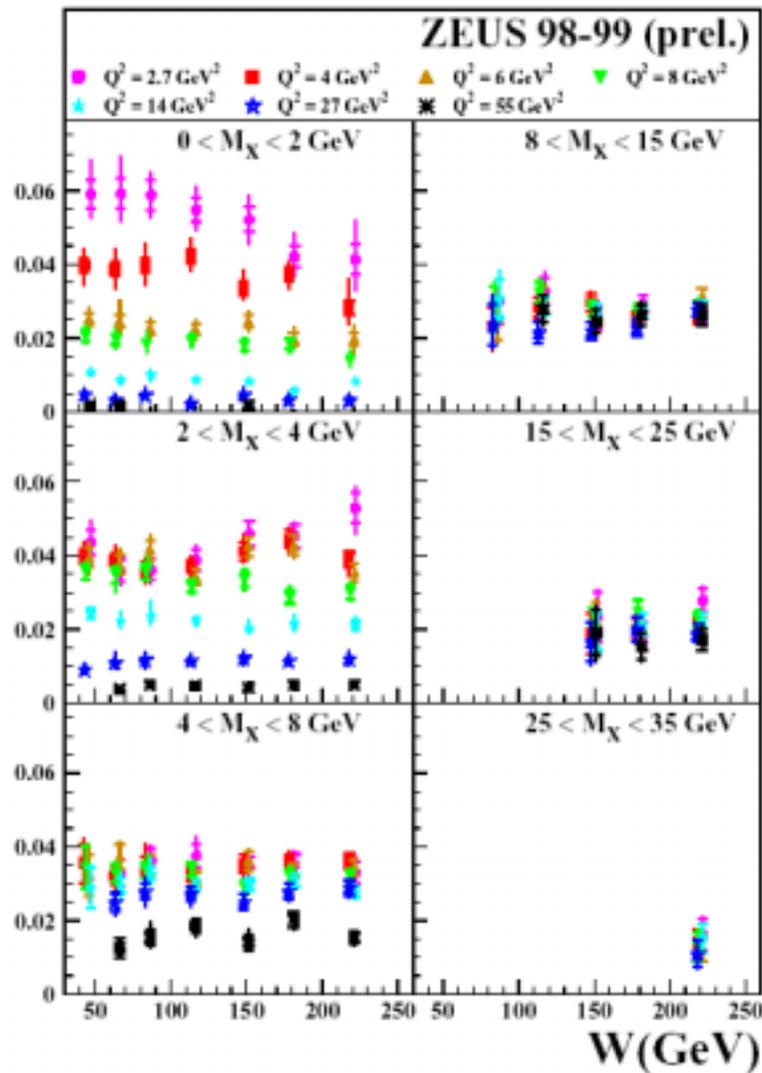
→ strong indication for pQCD

Y. Coppens, H1

# diffractive contribution to $\sigma_{tot} (\gamma^*p)$

$$r_{tot}^{diff} =$$

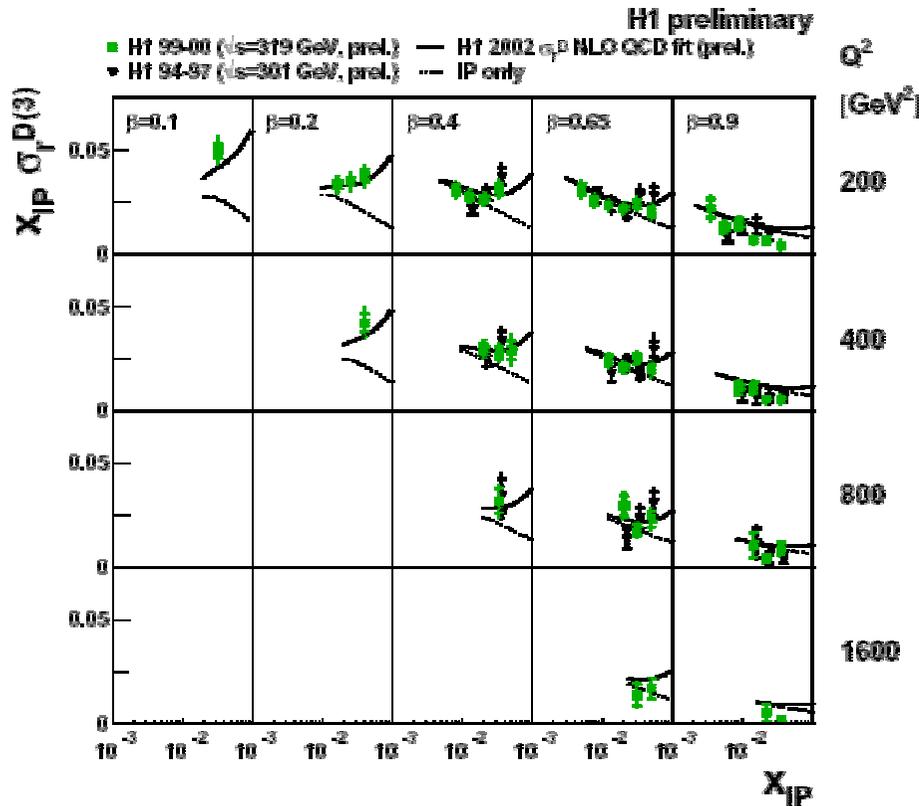
$$\frac{\int_{M_a}^{M_b} dM_X d\sigma_{\gamma^*p \rightarrow XN, M_N < 2.3 \text{ GeV}}^{diff}}{\sigma_{\gamma^*p}^{tot}}$$



- For  $M_X < 2 \text{ GeV}$ ,  $r_{tot}^{diff}$  is falling with  $W$ .
- For  $M_X > 2 \text{ GeV}$ ,  $r_{tot}^{diff}$  is constant with  $W$ .  
 $\Rightarrow$  The diffractive cross section has about the same  $W$ -dependence as  $\sigma^{tot}$ .
- The low  $M_X$  bins exhibit a strong decrease of  $r_{tot}^{diff}$  with increasing  $Q^2$ .
- For  $M_X > 8 \text{ GeV}$ , no  $Q^2$  dependence is observed.
- At  $W = 220 \text{ GeV}$ ,  
 $\sigma_{(M_X < 35 \text{ GeV})}^{diff} / \sigma^{tot} \sim 20\%$  at  $Q^2 = 2.7 \text{ GeV}^2$   
 $\sim 10\%$  at  $Q^2 = 27 \text{ GeV}^2$   
 $\Rightarrow$  Slowly decreasing with  $Q^2$

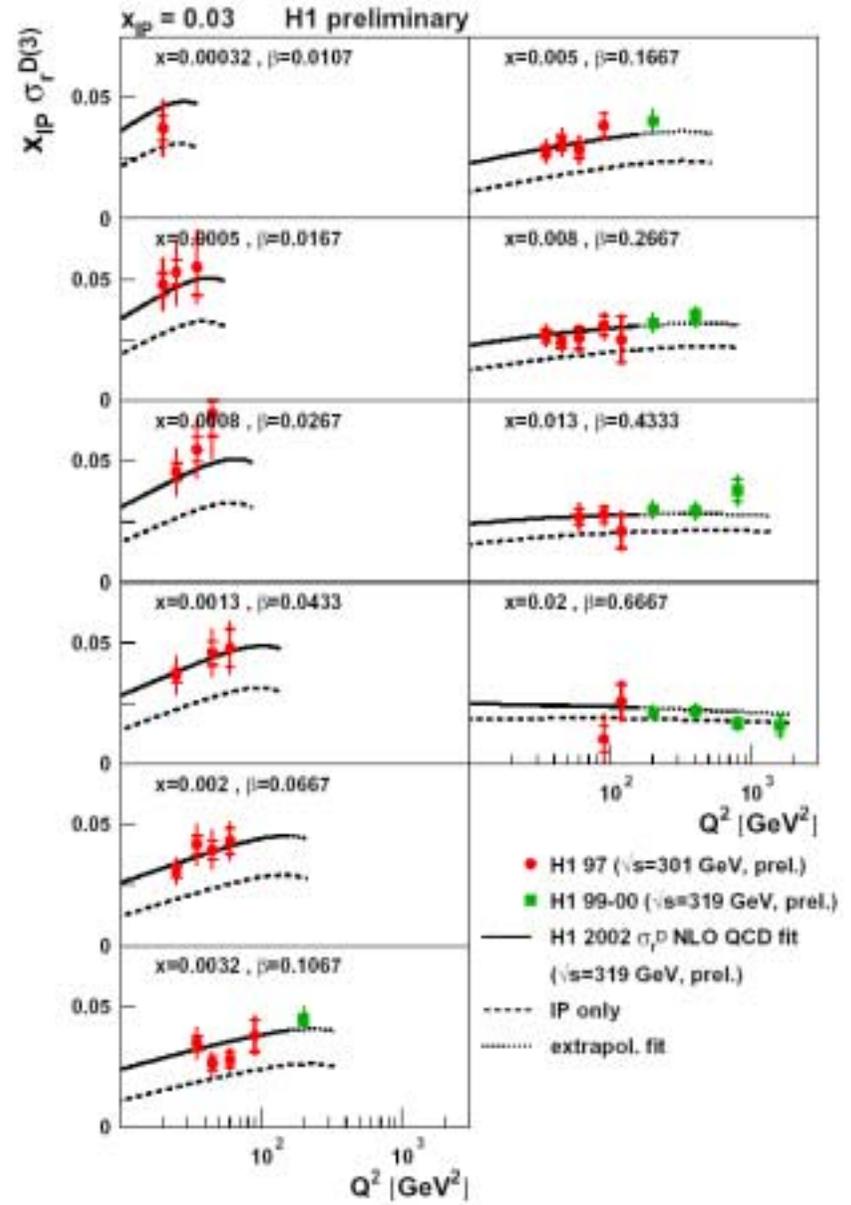
# reduced inclusive diffractive cross section at high $Q^2$

new H1 data 99/00: 65 pb<sup>-1</sup>

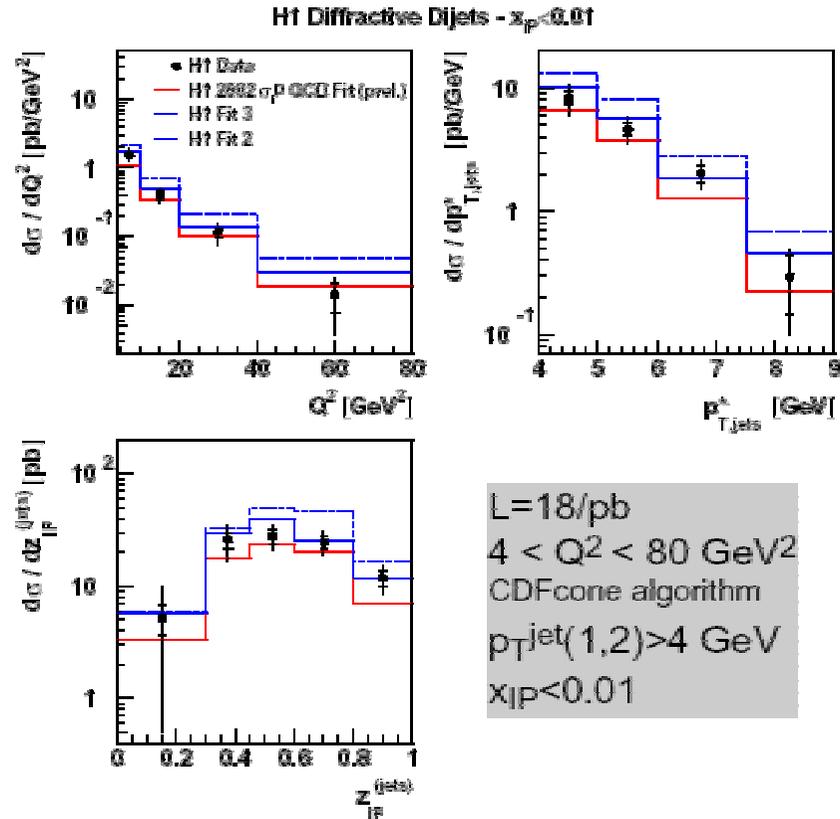
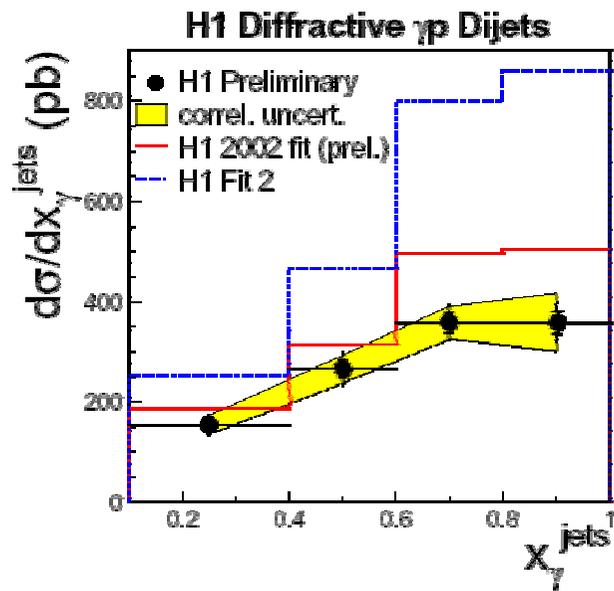
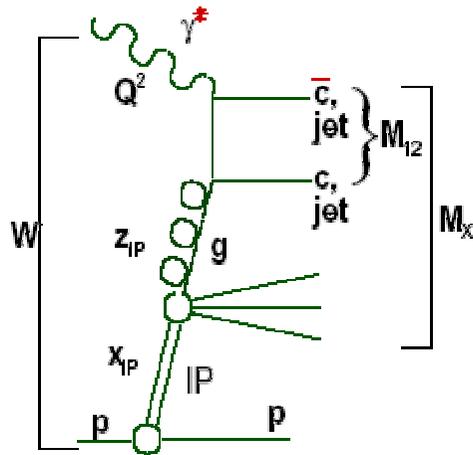


subleading trajectories important at low  $\beta$

→ data well described by pQCD



# test of QCD factorization in diffractive production



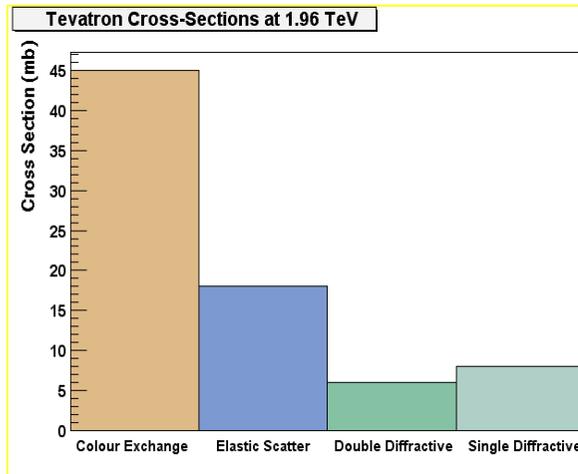
$L=18/\text{pb}$   
 $4 < Q^2 < 80 \text{ GeV}^2$   
 CDFcone algorithm  
 $p_{T}^{\text{jet}(1,2)} > 4 \text{ GeV}$   
 $x_{IP} < 0.01$

## Factorisation in Diffraction:

- Factorisation holds in DIS
- ep vs.  $p\bar{p}$  factorisation breaking
- Factorisation seemingly broken in Photoproduction

	$E_{cm}$ (GeV)	Suppression
DIS	90..260	1
$\gamma p$	165..240	$\approx 1.8 \pm 0.45$ (exp.)
$p\bar{p}$	1800	$\approx 10$

# $Q^2$ dependence of SD/ND ratio @ 1.96 TeV

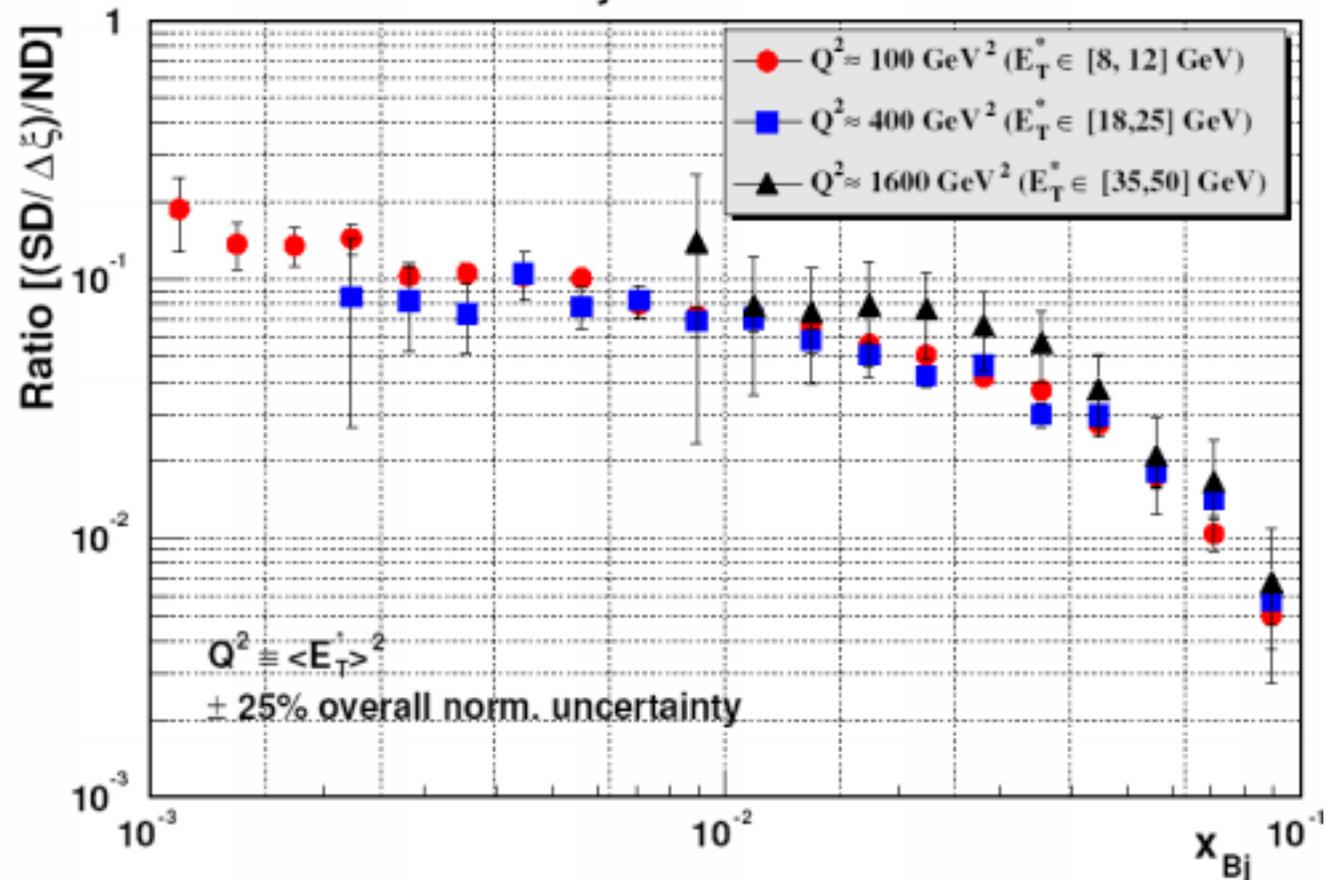


$x$ -Bjorken of Parton:

$$x_{Bj} = \frac{\sum_i E_T^{jet} e^{-\eta_{jet}}}{\sqrt{s}}$$

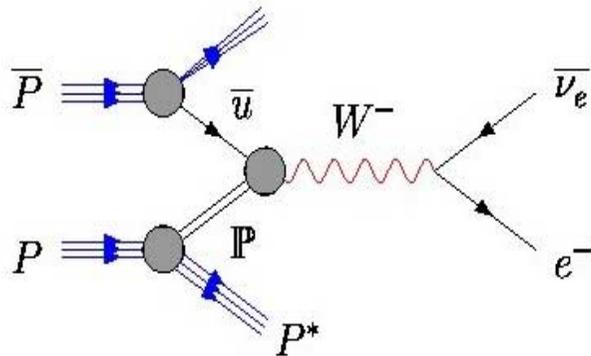
( $i=1,2,3$  if Jet3  $E_T > 5$  GeV)

## Leading Antiproton + High $E_T$ Jet in Trigger CDF Run II Preliminary



→  $Q^2$  evolution of Pomeron similar to that of proton (?)

# W and Z Boson Production at D0 by the Diffractive Process



Sample	Gap Fraction (%) Diffractive/All (*)	Probability that Background would fluctuate to the Data in the (0,0) bin for W and Z Data	
W cent	1.08 + 0.19 - 0.17	1 x 10 <sup>-14</sup>	<b>7.7σ</b>
W fwd	0.64 + 0.18 - 0.16	6 x 10 <sup>-8</sup>	<b>5.3σ</b>
W All	<b>0.89 + 0.20 - 0.19</b>	3 x 10 <sup>-14</sup>	<b>7.5σ</b>
Z	<b>1.44 + 0.62 - 0.54</b>	5 x 10 <sup>-6</sup>	<b>4.4σ</b>

*First observation of Z Diffractive*

D0 Preliminary

(\*) Includes correction for multiple interaction contamination.  
Sys error dominated by background fitting.

$$\frac{d\sigma}{d\eta} = \frac{1}{2s} \sum_{ij} \int d(\cos\theta) \int \frac{dx_{\mathbb{P}}}{x_{\mathbb{P}}} \int d\hat{s} F_{\mathbb{P}/p}(x_{\mathbb{P}}) f_{i,\mathbb{P}}(\beta, \mu^2) f_{j,\bar{p}}(x, \mu^2) \hat{s} \frac{d\hat{\sigma}_{ij}}{d\hat{t}}$$

$$F_{\mathbb{P}/p}(x_{\mathbb{P}}) = N \int dt \exp(4.6t) \left( \frac{1}{x_{\mathbb{P}}} \right)^{1.90+0.52t}$$

Pomeron Structure

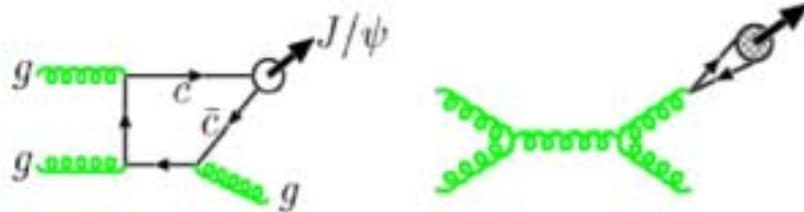
(Anti)Proton Structure

Run I data

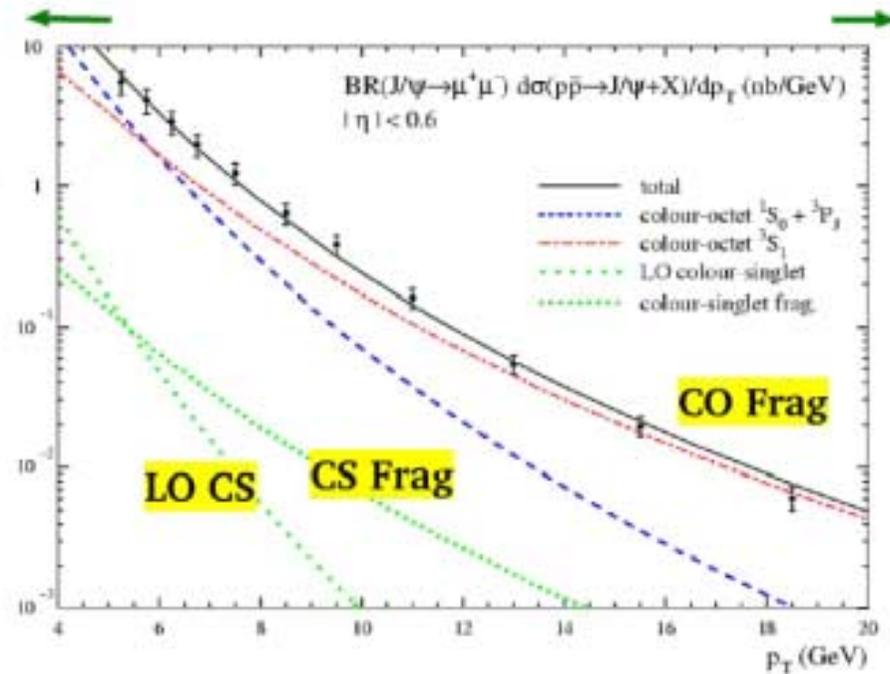
# charmonium production - simplest laboratory to study the binding of quarks to hadrons

B. Naroska

- Pre-Tevatron: **Colour singlet fusion**
  - ◆ Low by orders of magnitude



- Two new ingredients:
  - ◆ Gluon fragmentation important (e.g. **CS fragmentation**)
  - ◆ Colour octet states important- e.g. NRQCD expansion:
    - \*  $d\sigma(H) = \sum_n d\sigma[c\bar{c}(n)] \langle O^H(n) \rangle$
    - \* n includes colour singlet and octet states
    - \* Expansion in  $\alpha_s$  and  $v$  (relative velocity of quark and anti-quark)



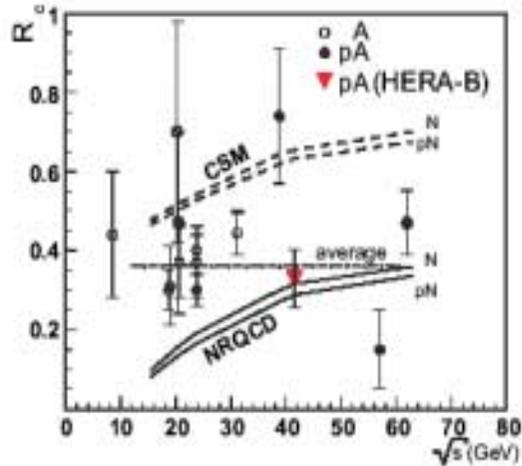
Beneke, Krämer, PRD 55(1997) 5269

CDF Data: PRL 79(1997) 572  
(Run 1A data, 18 pb<sup>-1</sup>)

Colour octet fragmentation  
dominates at high  $p_T$

# Charmonium Production at HERA-B

Dirk Krücker - HERA-B Collaboration



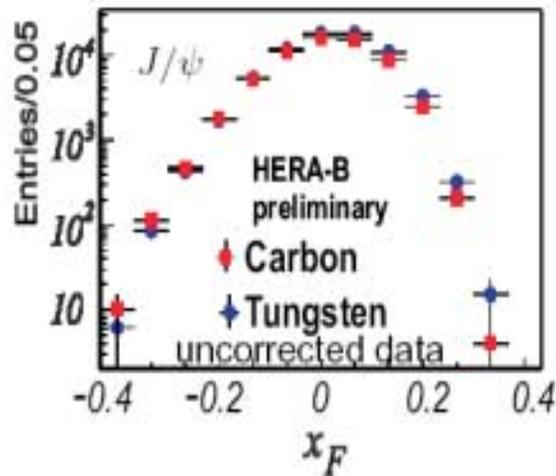
- First results based on 2000 data published:

$$\langle R_{\chi_c} \rangle = 0.32 \pm 0.06_{stat} \pm 0.04_{sys}$$

$$R_{\chi_c} = \frac{\sum_{i=1}^2 \sigma(\chi_{ci}) Br(\chi_{ci} \rightarrow J/\psi \gamma)}{\sigma(J/\psi)}$$

CS

CO



- About 300000  $J/\psi$ 's and 20000  $\chi_c$ 's in 2002/2003 data, many ongoing analyses

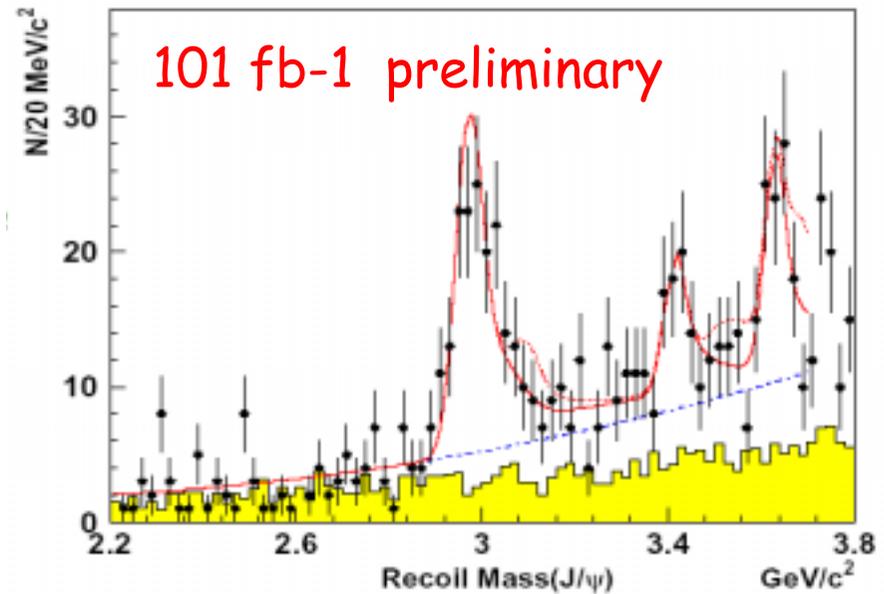
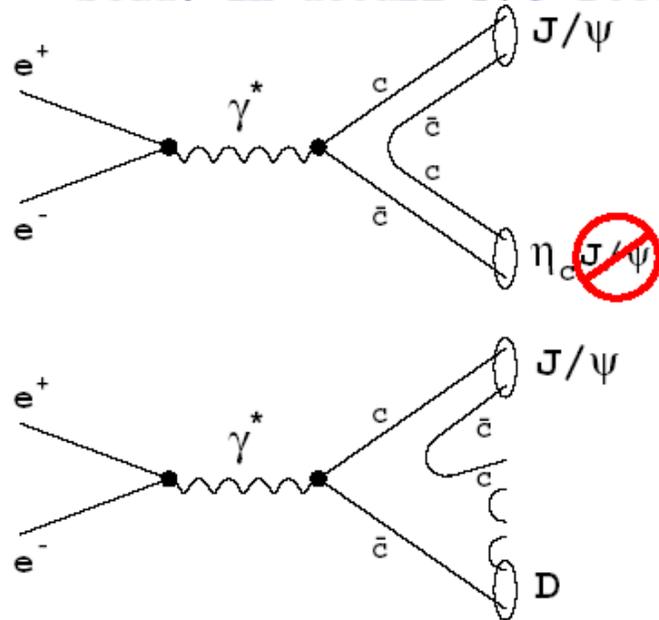
New results coming soon





# Study of $e^+e^- \rightarrow J/\psi + \text{charmonium}$

Study in detail  $J/\psi$  recoil mass spectrum around  $\sim 3 \text{ GeV}/c^2$



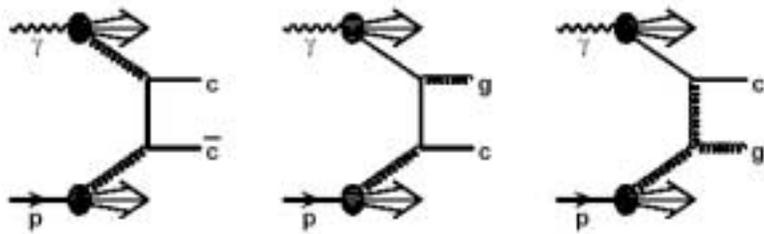
	$N$	$M [\text{GeV}/c^2]$	$\sigma$	$N$	$M [\text{GeV}/c^2]$	$\sigma$
$\eta_c$	$175 \pm 23$	$2.972 \pm 0.007$	9.9	$179 \pm 22$	$2.971 \pm 0.006$	10.6
$J/\psi$	$-9 \pm 17$	fixed	--	0.0	fixed	--
$\chi_{c0}$	$61 \pm 21$	$3.409 \pm 0.010$	2.9	$72 \pm 21$	$3.408 \pm 0.009$	3.8
$\chi_{c1} + \chi_{c2}$	$-15 \pm 19$	fixed	--	0.0	fixed	--
$\eta_c(2S)$	$108 \pm 24$	$3.630 \pm 0.008$	4.4	$97 \pm 22$	$3.628 \pm 0.007$	4.9
$\psi(2S)$	$-38 \pm 21$	fixed	--	0.0	fixed	--

...and many more new results,  $J/\psi \text{ cc} / J/\psi \text{ X} = 82 \pm 15 \pm 14 \%$

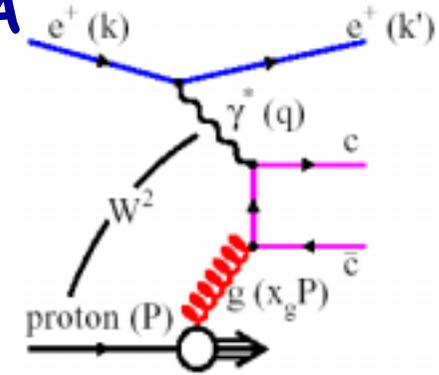
P.Pakhlov

DIS'03, April 23-27, 2003

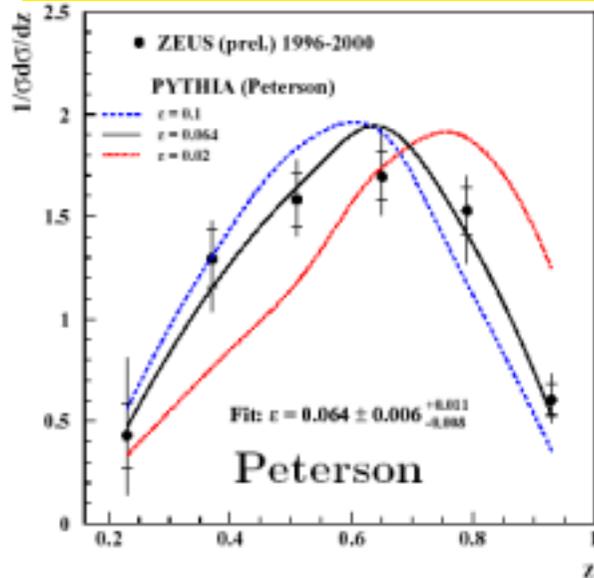
# news on open charm production from HERA



At parton level  
 pQCD is expected  
 to work!  
 $c \Rightarrow D$  ?



## Charm fragmentation function



$$f(z) \propto \frac{1}{z(1-1/z-\epsilon/(1-z))^2}$$

$$\epsilon = 0.064 \pm 0.006^{+0.011}_{-0.008} \text{ (ZEUS prel.)}$$

$$\epsilon = 0.05 \text{ (PYTHIA default)}$$

$$e^+e^- \Rightarrow \epsilon = 0.053 \text{ (LL fit to ARGUS data)}$$

→ universal !

## Charm fragmentation fractions

ZEUS prel. ( $\gamma p$ ) $P_T(D, \Lambda_c) > 3.8 \text{ GeV},  \eta(D, \Lambda_c)  < 1.6$	Combined $e^+e^-$ data	H1 prel. (DIS)
$f(c \rightarrow D^+) = 0.249 \pm 0.014^{+0.004}_{-0.008}$ new	$0.232 \pm 0.010$	$0.202 \pm 0.020^{+0.045}_{-0.031} \text{ }^{+0.029}_{-0.021}$
$f(c \rightarrow D^0) = 0.557 \pm 0.019^{+0.005}_{-0.013}$	$0.549 \pm 0.023$	$0.658 \pm 0.054^{+0.117}_{-0.142} \text{ }^{+0.090}_{-0.048}$
$f(c \rightarrow D_s^+) = 0.107 \pm 0.009 \pm 0.005$	$0.101 \pm 0.009$	$0.156 \pm 0.043^{+0.036}_{-0.035} \text{ }^{+0.050}_{-0.046}$
$f(c \rightarrow \Lambda_c^+) = 0.076 \pm 0.020^{+0.017}_{-0.001}$ new	$0.076 \pm 0.007$	
$f(c \rightarrow D^{*+}) = 0.223 \pm 0.009^{+0.003}_{-0.005}$	$0.235 \pm 0.007$	$0.263 \pm 0.019^{+0.056}_{-0.042} \text{ }^{+0.031}_{-0.022}$

charm fragmentation fractions are universal

it is valid to use charm fragmentation parameters measured in  $e^+e^-$  annihilations to describe  $D$ -production in  $e^\pm p$  collisions

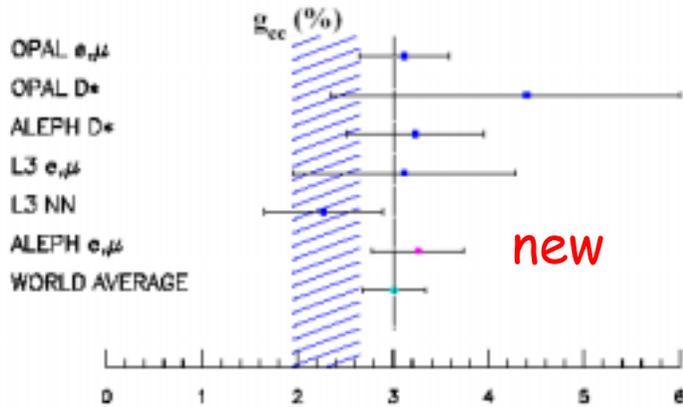
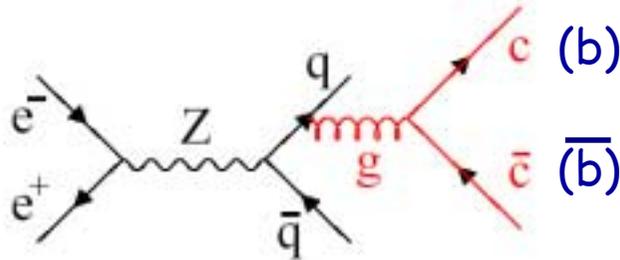
HERA provides own charm hadronisation

measurements with competitive precision

# QCD tests sensitive to $\alpha_s$ and heavy quark masses

Andrea Giammanco - ALEPH

Gluon splitting to  $c\bar{c}$   
at the  $Z^0$  resonance



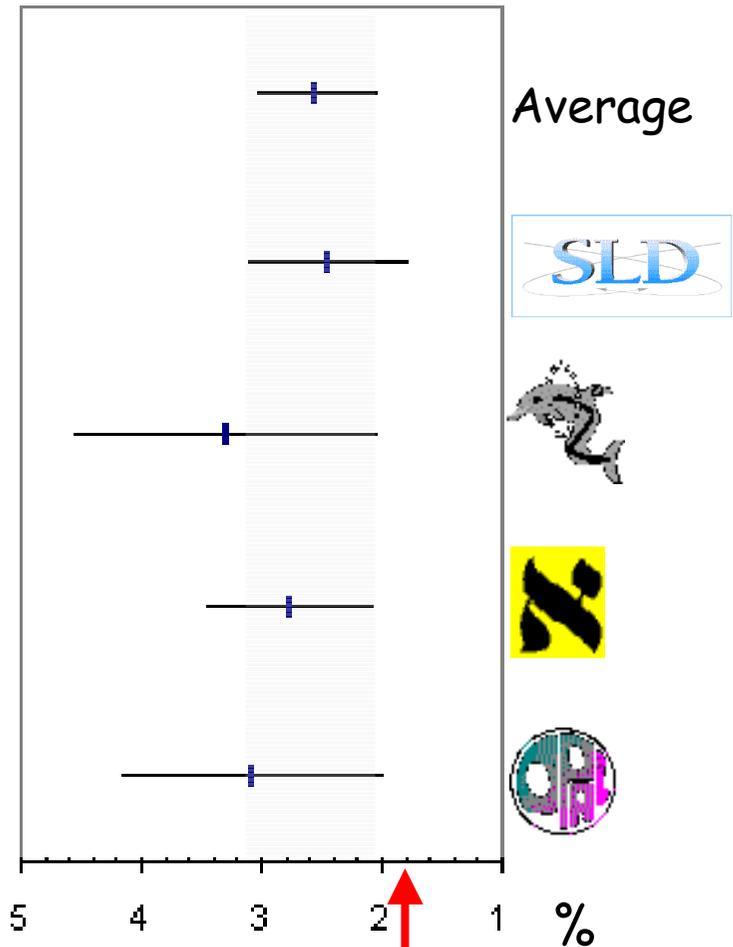
Hatched area: theoretical prediction  $\pm 15\%$ .  
Uncertainties due to  $m_c$  and  $\alpha_s$  are not shown.

New World Average:

$$g_{c\bar{c}} = (3.01 \pm 0.33)\%$$

Hagar Landsman (OPAL)

Gluon splitting to  $b\bar{b}$  and b fragmentation



Theory (resummed)



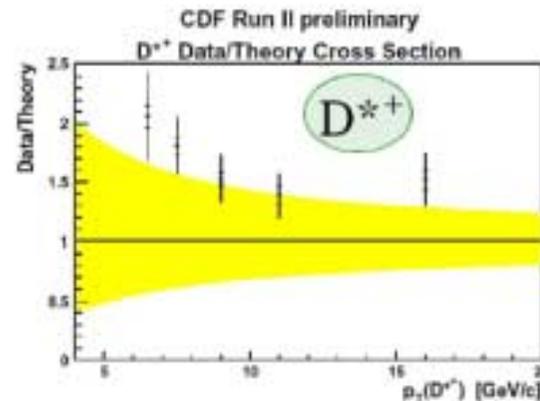
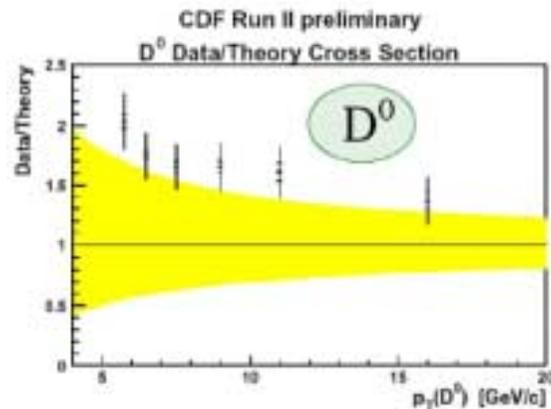
## Charm Cross Section cont.



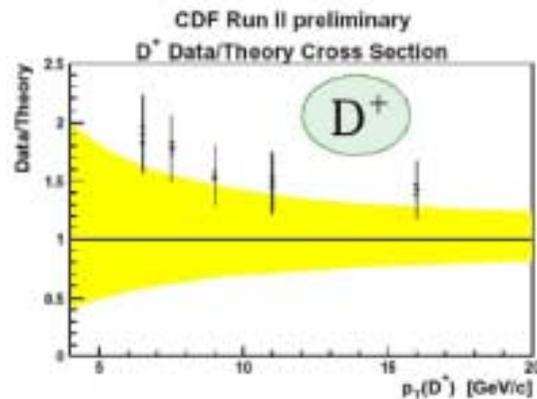
Calculation from M.Cacciari and P.Nason: Resummed pQCD (FONLL)

Ratio of measured to predicted cross section:

$\sim 70 \text{ pb}^{-1}$



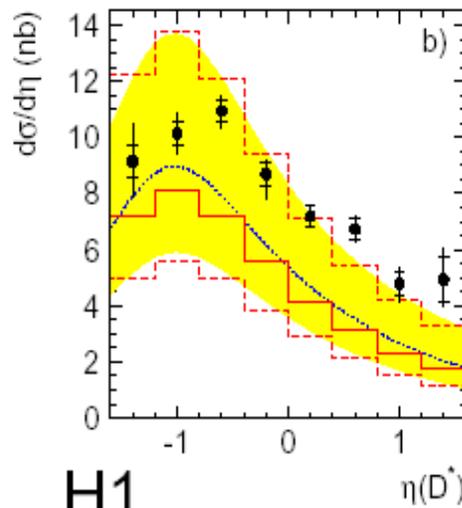
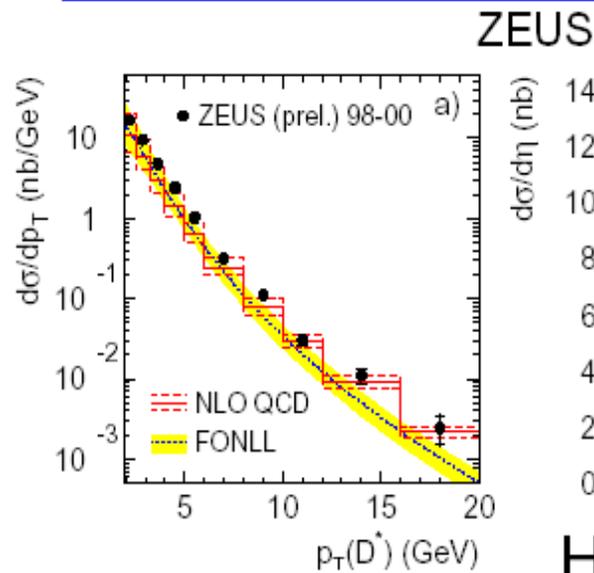
CTEQ6M PDF  
 $m_c = 1.5 \text{ GeV}$   
Fragm.function:  
from Aleph meas.  
Renorm.and fact.scale:  
 $m_T = (m_c^2 + p_T^2)^{1/2}$   
Uncertainty:  
vary scale from .5 to 2



- Measured cross section higher
- Not incompatible with uncertainties
- $p_T$  shape consistent for D mesons

◆  $O(10^7) D^0 \rightarrow K\pi$  expected in  $2 \text{ fb}^{-1}$  (in  $\sim 2005$ )

# $D^*$ Photoproduction (new) a challenge for theory



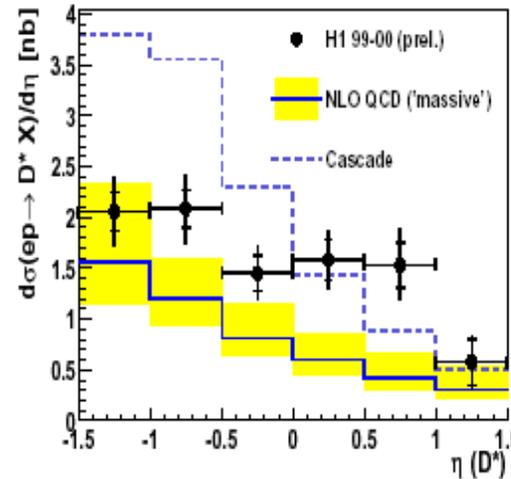
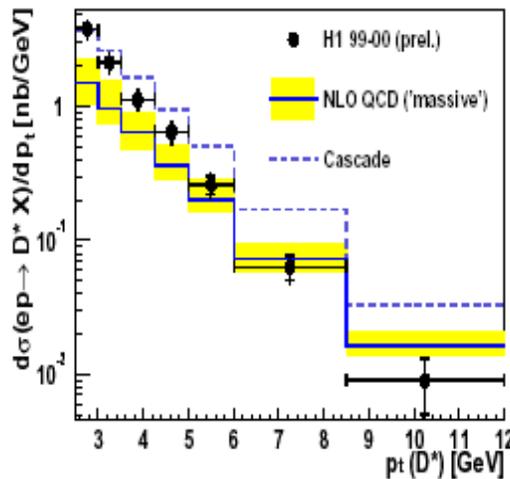
$Q^2 < 1 \text{ GeV}^2$ ;  $130 < W_{\gamma p} < 280 \text{ GeV}$

$79 \text{ pb}^{-1}$

$p_T^{D^*} > 1.9 \text{ GeV}$ ;  $|\eta^{D^*}| < 1.6$

No electron tag

R. Hall-Wilton, ZEUS



Electron tag:

$49 \text{ pb}^{-1}$

$Q^2 < 0.01 \text{ GeV}^2$ ;  $171 < W_{\gamma p} < 256 \text{ GeV}$

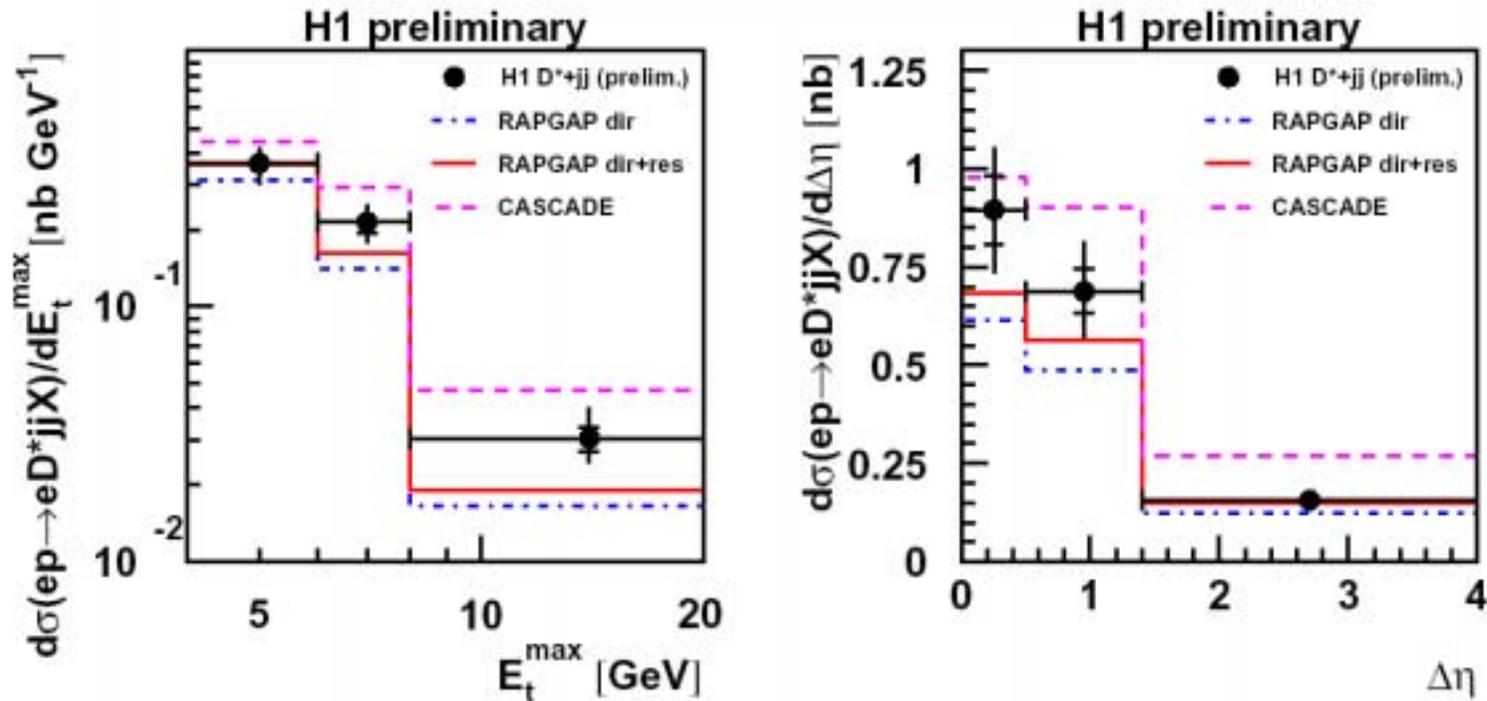
$p_T^{D^*} > 2.5 \text{ GeV}$ ;  $|\eta^{D^*}| < 1.5$

- NLO below data (low  $p_T$ ,  $\eta > 0$ )
- FONLL not better even below NLO at high  $p_T$
- CASCADE too hard

G. Flucke, H1

$ep \rightarrow ccX$

first **Differential Jet Cross Sections** in DIS



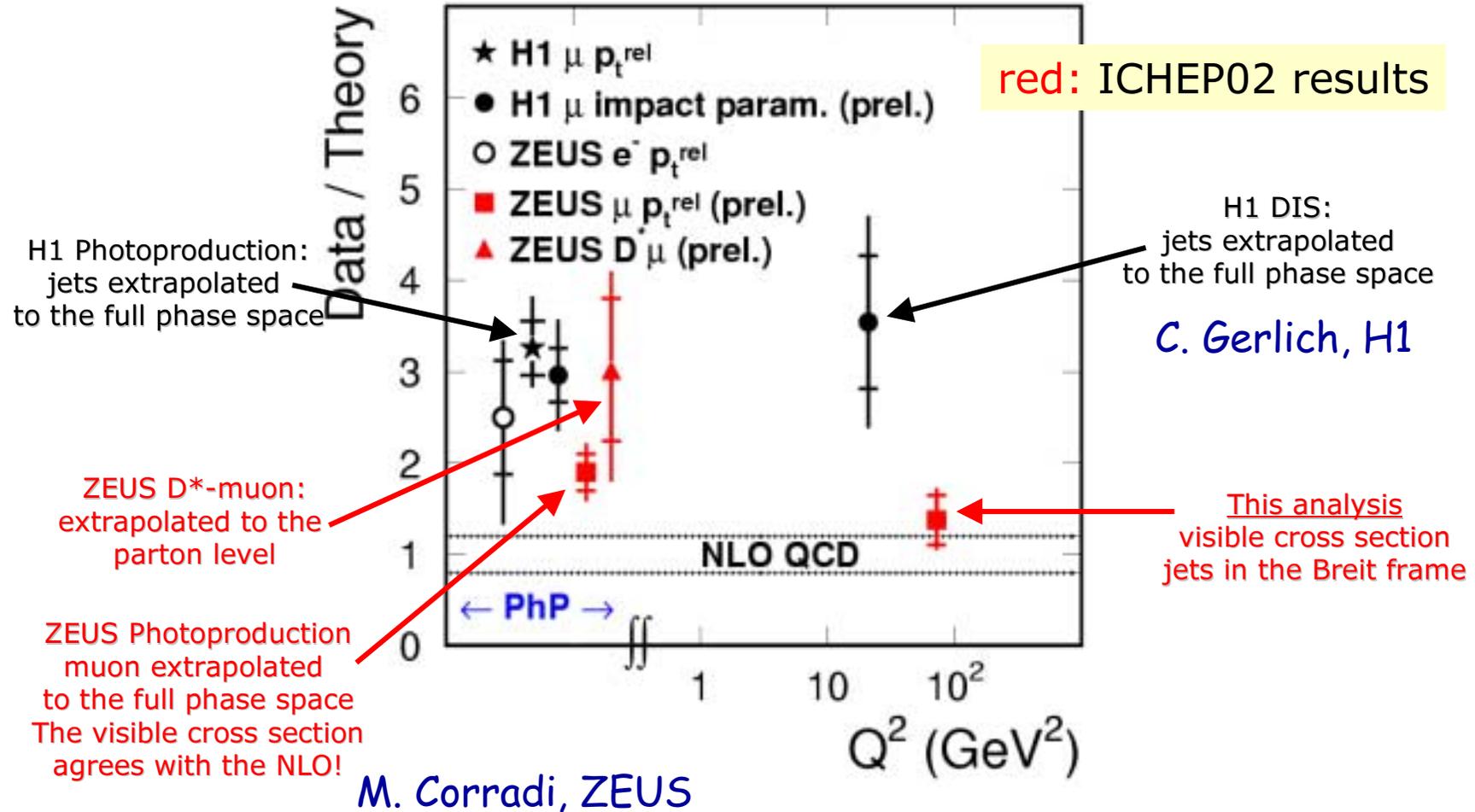
→ RAPGAP direct and direct+resolved below the data for large  $E_t^{\max}$  and small  $\Delta\eta$

→ CASCADE above the data

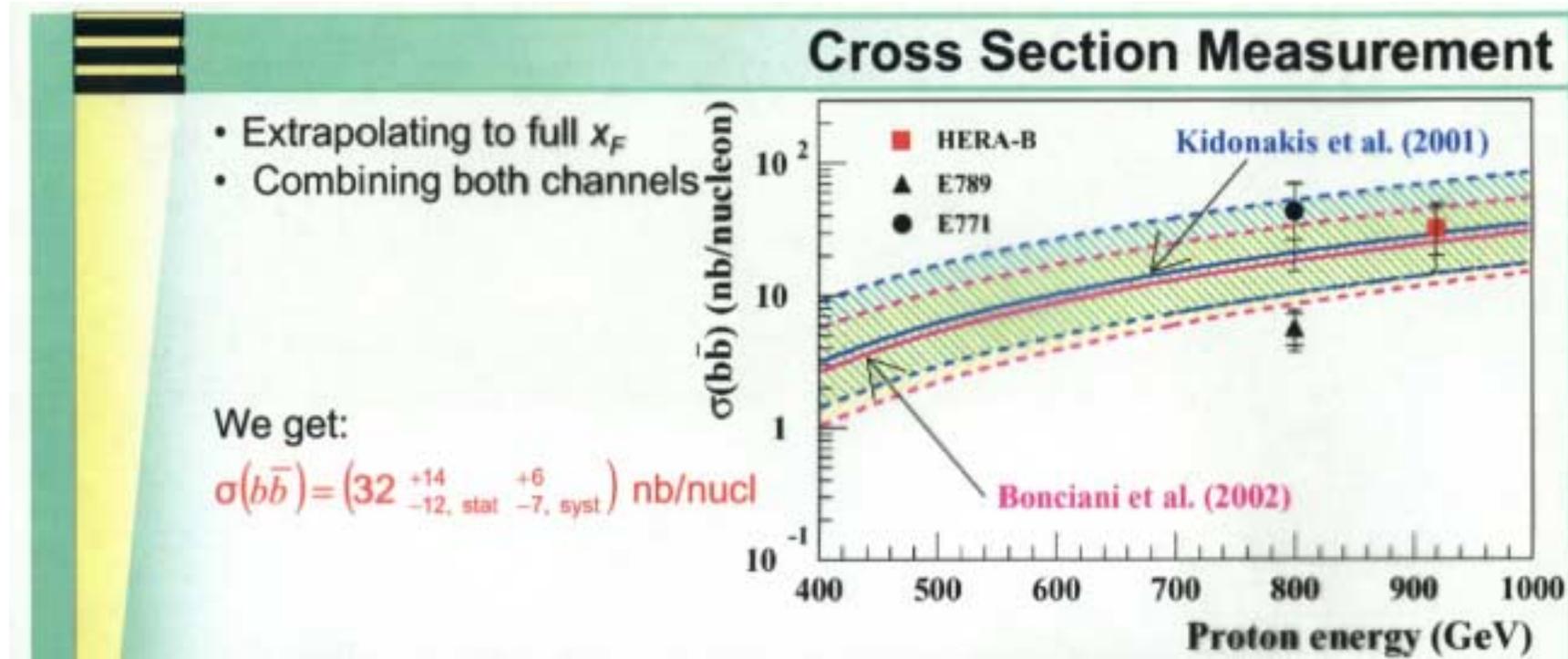
→ new level of testing QCD

# A tricky business...

## b cross section at HERA

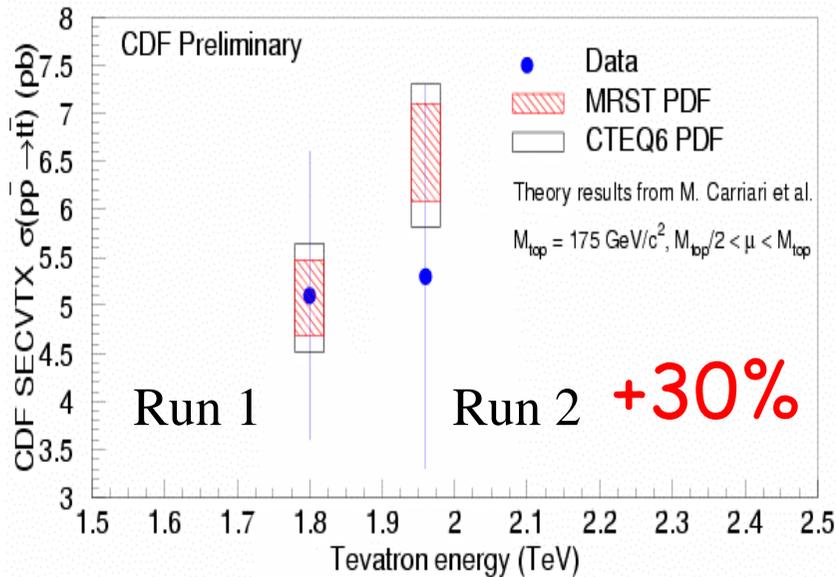
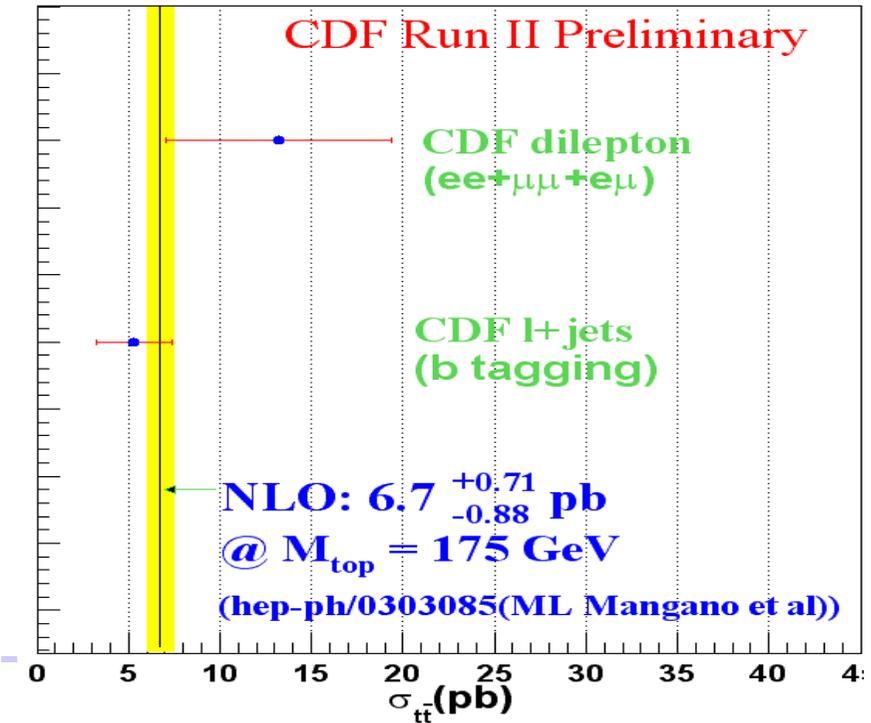
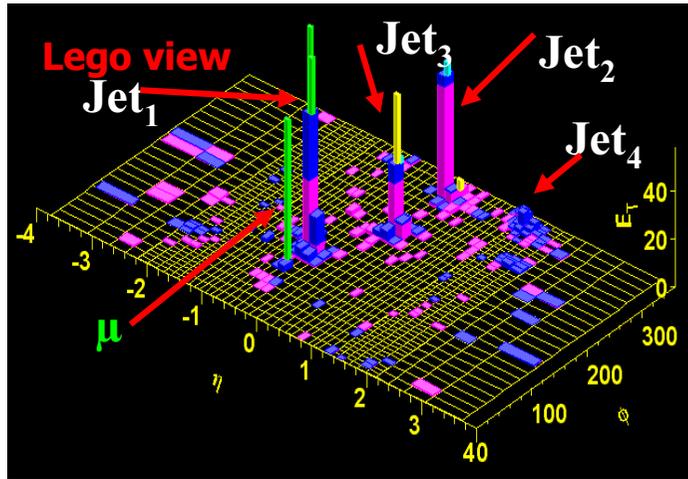


# HERA-B: $pN \rightarrow b\bar{b}$



- 30x more statistics on tape
- > will be systematics limited (J/psi BR and total Xsect)
- > can measure  $p_T$  and  $x_F$  dependence

# $\sigma_{tt}$ : lepton + jets cross section



$$\sigma_{tt} = 5.3 \pm 1.9_{\text{stat}} \pm 0.8_{\text{sys}} \pm 0.3_{\text{lum}} \text{ pb}$$

It was a lively and fruitful workshop

in

*Санкт-Петербург*

