

Neutrino Physics

at

CERN and Gran Sasso

Roberto Petti
CERN

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OUTLINE

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- ◆ *Why neutrinos?*
- ◆ *Neutrino oscillations*

II The SBL experiments at CERN

- ◆ *Motivations for SBL*
- ◆ *The WNF neutrino beam*
- ◆ *Detectors & data samples*
- ◆ *The ν_τ search*

III The LBL program at Gran Sasso

- ◆ *Motivations for LBL*
- ◆ *The CNGS neutrino beam*
- ◆ *The proposed detectors*
- ◆ *The ν_τ search*

IV Outlook and conclusions

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Introduction

WHY NEUTRINOS?

- ◆ *Neutrinos have already given fundamental contributions to the assessment of Standard Model (NC discovery, first determination of $\sin^2 \theta_w$ etc.).*

◆ BRIGHT SIDE

Neutrinos produce very clean interactions, which can be potentially used for precision physics:

- *switch off all but weak interaction;*
 - *fully polarized;*
 - *sensitivity to GUT and new physics.*
- ⇒ *excellent laboratory probe.*

◆ DARK SIDE

Neutrinos are largely unknown particles:

- *mass (if any)?*
 - *properties (Fermi, Majorana etc.)?*
 - *tiny cross-section with matter.*
- ⇒ *still many things to learn.*

EXPERIMENTAL ISSUES

◆ The main difficulty to overcome for neutrino experiments is **STATISTICS**, due to the **small probability of interaction with matter**. Two options are possible:

- massive detectors
 ⇒ difficult to keep high resolution at reasonable cost.
- large fluxes
 ⇒ long running time and/or high intensity beams.

Experiment	Target mass	Exposure p.o.t.	Statistics ν_μ CC	Technique
CDHS	750 t	5.2×10^{18}	10^7	massive calo
CHARM II	547 t	9.0×10^{18}	10^7	massive calo
BBC	various	various	5.7×10^4	bubble ch.
E531	91 kg	2.0×10^{19}	3.8×10^3	emulsions
CCFR	690 t		0.6×10^6	massive calo
NuTeV	690 t	1.3×10^{18}	1.3×10^6	massive calo
Super-K	22.5 kt	–	6.8×10^3	water Ceren.
SNO	1.0 kt	–	1.1×10^3	D ₂ O

◆ So far, the word “precision” for neutrinos means the % level, in the best cases.

NEUTRINO OSCILLATIONS

- ◆ In general, if $m_\nu \neq 0$, neutrinos can have different weak (ν_e, ν_μ, ν_τ) and mass (ν_1, ν_2, ν_3) eigenstates, which are then related, in analogy to the quarks, by the relationship:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

where U is a unitary matrix: complex phase \implies \mathcal{CP}

- ◆ The oscillation $\nu_\alpha \rightarrow \nu_\beta$ has a **PROBABILITY**:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i=1}^3 \sum_{j>i}^3 U_{\alpha i} U_{\beta i} U_{\alpha j}^* U_{\beta j}^* \sin^2 \left(\pi \frac{L}{\lambda_{osc}} \right)$$

where L is the distance from the neutrino source (Km) and the oscillation length λ_{osc} is defined as:

$$\lambda_{osc} \stackrel{\text{def}}{=} \frac{2.48 E}{\Delta m_{ij}^2}$$

with $\Delta m_{ij}^2 \equiv |m_i^2 - m_j^2|$ (eV^2) and E ν energy (GeV).

- ◆ Only **TWO Δm_{ij}^2** are independent. Thus if there are three different mass scales from experiments there must be:
 - a massive sterile neutrino;
 - at least one mass scale not correctly determined:
 - o superposition of oscillation patterns;
 - o wrong interpretation.

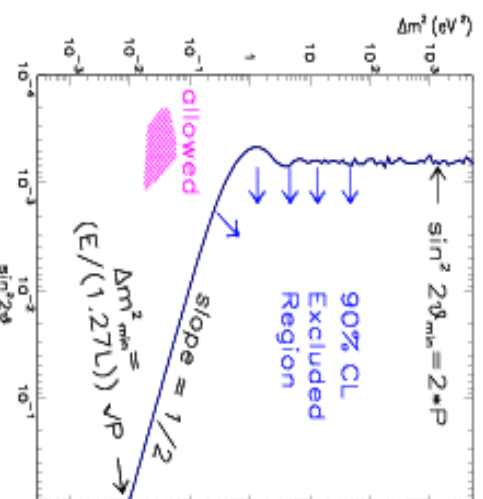
- ◆ If different mass scales, *oscillations will decouple and can be approximated by a* **TWO-NEUTRINO** *oscillation:*

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

and the *probability of oscillation becomes:*

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left(\pi \frac{L}{\lambda_{osc}} \right)$$

- ◆ Results are presented in the **PLANE** ($\sin^2 2\theta, \Delta m^2$) the *TWO independent parameters* which define the mixing:



- Low Δm^2 : large λ_{osc} , $P \propto L^2/E^2$;
- High Δm^2 : small λ_{osc} , $P = 1/2 \sin^2 2\theta$.

- ◆ The actual *oscillation pattern* is a function of the two *parameters L and E* , which can be adjusted experimentally:
 \implies *strongest evidence signal showing L/E dependence*

II

The Short Baseline experiments at CERN

MOTIVATIONS FOR WANE

- From a *cosmological point of view*, massive neutrinos could be one of the contributions to the missing **'DARK MATTER'** provided:

$$\sum_{\nu,i} m_{\nu,i} \geq \text{a few } eV$$

- Within the **SEE-SAW** framework it is possible to obtain an *estimate for neutrino masses*, based on the MSW solar interpretation:

$$\Delta m_{\mu e}^2 = \left(\frac{m_{\mu(c)}^2}{M} \right)^2 - \left(\frac{m_{e(u)}^2}{M} \right)^2 \sim \left(\frac{m_{\mu(c)}^2}{M} \right)^2 \sim 10^{-5} eV^2$$

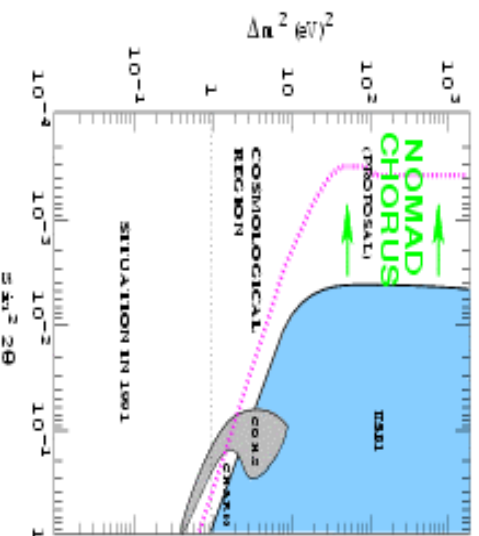
where M is a *Majorana mass term* \gg standard electroweak scale.
The above expression taking into account *flavour hierarchy* leads to:

$$\begin{cases} m_{\nu_{\mu}} \sim 3 \times 10^{-3} eV & m_{\nu_{\tau}} \sim 0.9 eV & (\text{leptons}) \\ m_{\nu_{\mu}} \sim 3 \times 10^{-3} eV & m_{\nu_{\tau}} \sim 34 eV & (\text{quarks}) \end{cases}$$

- MAXIMAL SENSITIVITY**

to a possible $\nu_{\mu} \rightarrow \nu_{\tau}$ oscillation signal from the above $m_{\nu_{\tau}}$ values is reached in the range:

$$10^{-3} < \frac{L}{E} < 1 \text{ Km/GeV}$$



Sept. 1991 Approval
1994-1995 Full detectors
1994-1998 Data taking

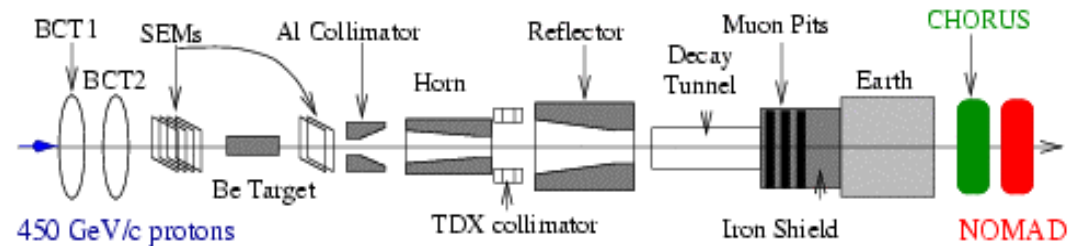
- ◆ The ideal evidence for $\nu_\mu \rightarrow \nu_\tau$ oscillations is the direct appearance of ν_τ 's in a pure ν_μ beam.
 - ⇒ SBL experiments to probe the large Δm^2 region.

- ◆ Severe constraints are imposed on the experimental program in order to achieve the **DETECTION OF τ** :
 - high resolution detectors for a precise measurement of ν_τ charged current (CC) interactions;
 - large statistics for a sensitivity down to $P_{\mu\tau} \sim 10^{-4}$.
 - ⇒ optimal compromise for SBL designs with high resolution light (few tons) targets & large overall neutrino fluence (p.o.t.).

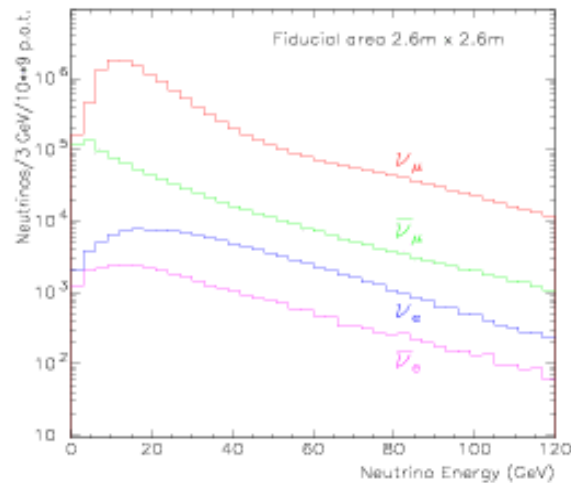
- ◆ The result is an unprecedented neutrino data sample ($\nu_\mu, \nu_e, \bar{\nu}_\mu, \bar{\nu}_e$), which can match both the statistics of previous massive calorimeters **AND** the precision of previous bubble chamber/emulsion experiments.

THE WANF NEUTRINO BEAM

- ◆ Mean distance from ν source (π, K decay): *NOMAD* $\sim 620m$, *CHORUS* $\sim 600m$.



- ◆ Wide Band Beam (WBB): *broad energy spectra*.



Flavour	Flux		CC interactions	
	$\langle E_{\nu} \rangle [GeV]$	abund.	$\langle E_{\nu} \rangle [GeV]$	abund.
ν_{μ}	23.5	1.0	43.8	1.0
$\bar{\nu}_{\mu}$	19.2	0.061	42.8	0.025
ν_e	37.1	0.0094	58.3	0.015
$\bar{\nu}_e$	31.3	0.0025	54.5	0.0016
ν_{τ}	~ 35		\approx	5×10^{-6}







- ◆ Short Baseline Experiments (SBL): $\langle L \rangle / \langle E \rangle \sim 2 \times 10^{-2} Km/GeV$
 $\Rightarrow \Delta m^2$ sensitivity in the range $1 \leq \Delta m^2 \leq 100 eV^2$

THE ν_τ SEARCH AT CERN

- ◆ **APPEARANCE** experiments.
 ν_τ is detected by CC interactions:

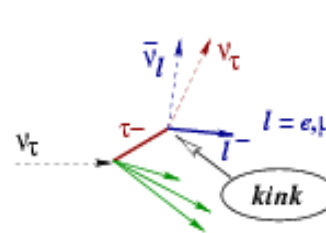


τ identified by its *decay properties*:

	Decay mode	BR	
	$\mu\bar{\nu}_\mu\nu_\tau$	17.4%	
	$e\bar{\nu}_e\nu_\tau$	17.8%	
	$h(n\pi^0)\nu_\tau$	49.5%	
	$3h(n\pi^0)\nu_\tau$	15.2%	



τ lifetime
 \Downarrow
 kink, secondary vertex



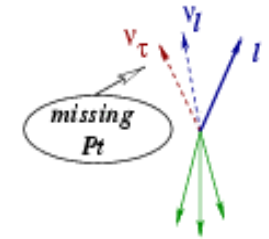
\Downarrow
 nuclear emulsions

\Downarrow
 direct τ search

VISUAL SCANNING

τ mass & final neutrino(s)

\Downarrow
 high P_\perp and missing P_\perp



\Downarrow
 tracking & calorimetry

\Downarrow
 indirect τ search

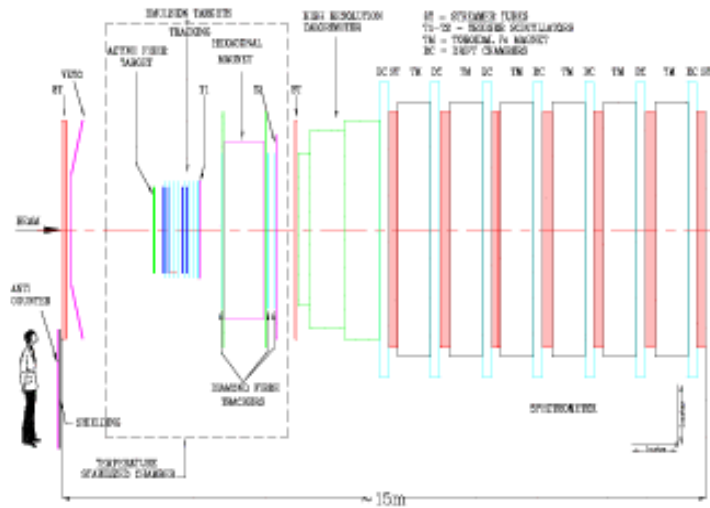
KINEMATIC ANALYSIS

THE DETECTORS AT CERN



◆ Hybrid detector:

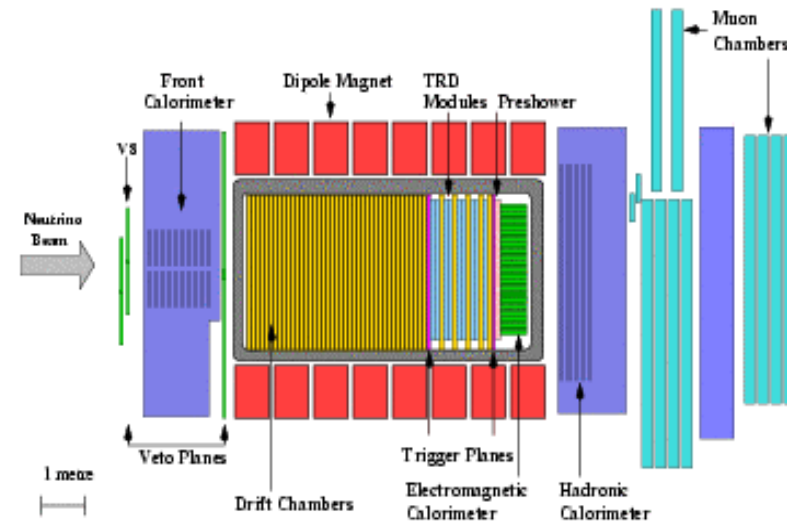
- I *electronic detector*
 - ⇒ *predict tracks BACK into emulsions;*
- II *active emulsion target*
 - ⇒ *LOCALIZE interactions into emulsions.*



Roberto Petti

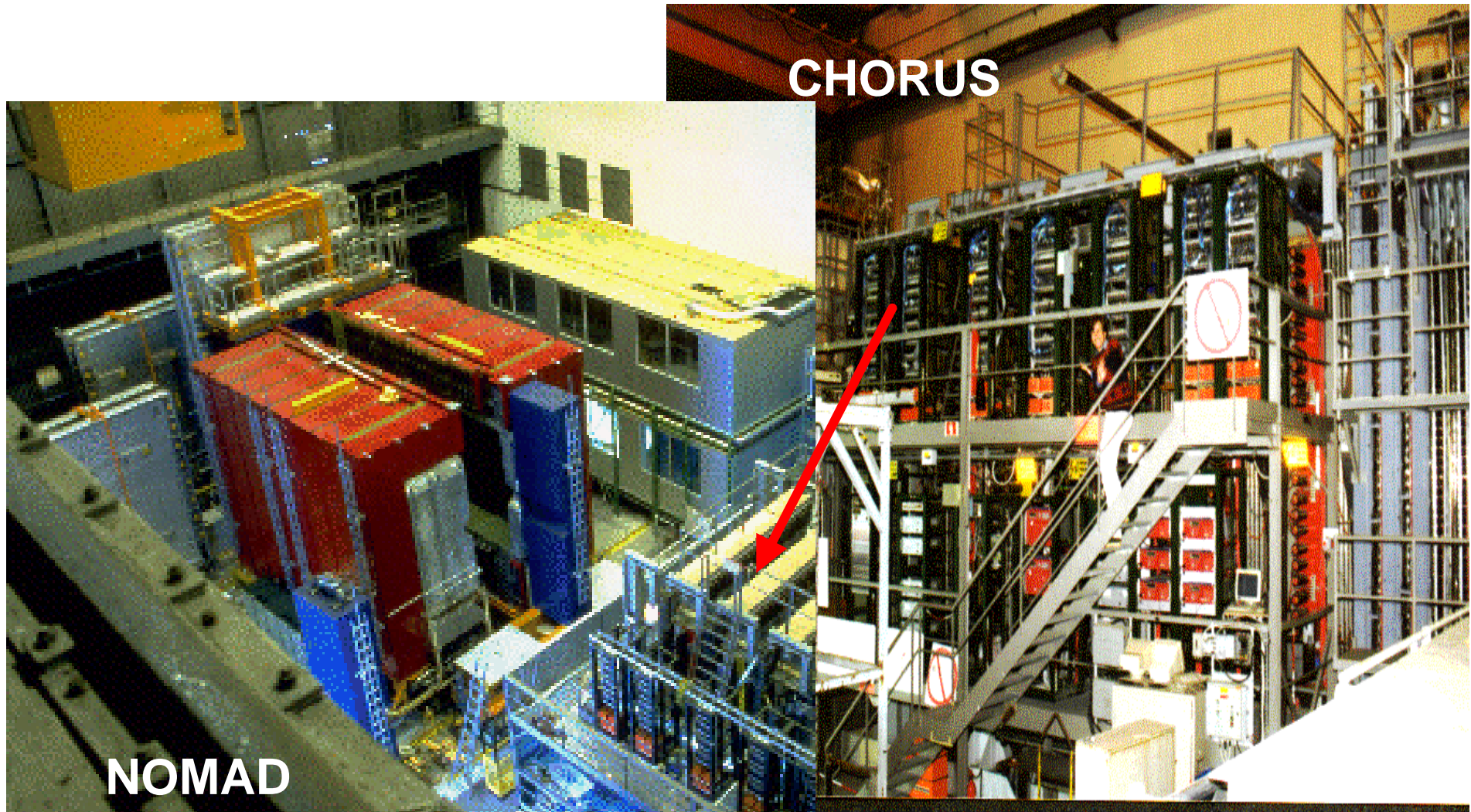
◆ Electronic detector:

- I *high resolution tracking*
 - ⇒ *mom. resolution $\sim 3.5\%$ ($p < 10 \text{ GeV}/c$);*
- II *fine-grained calorimeter*
 - ⇒ $\sigma(E)/E = 3.2\%/\sqrt{E[\text{GeV}]} \oplus 1\%$.



CERN

✦ Detectors at CERN (1994-1998)



NOMAD

CHORUS

← Neutrino beam

DATA SAMPLES COLLECTED



small background



selection efficiency $\varepsilon_{\tau}^{\text{Tot}} \sim 1 \div 8\%$



*nominal target mass 0.8t
 5×10^{19} p.o.t*



DATA 94-97 713k ν_{μ} CC

Phase I *completed:*
75% of 1μ analyzed
67% of 0μ analyzed

Phase II *started*



large background



selection efficiency $\varepsilon_{\tau}^{\text{Tot}} \sim 1 \div 4\%$



*nominal target mass 2.7t
 5×10^{19} p.o.t*



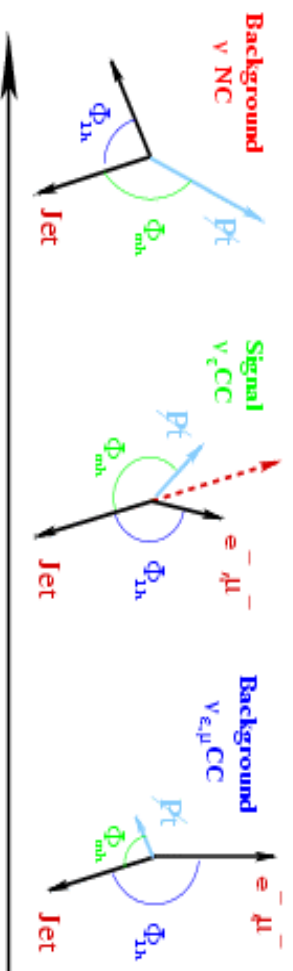
DATA 95-98 1354k ν_{μ} CC

100% of data analyzed

THE NOMAD ν_τ SEARCH

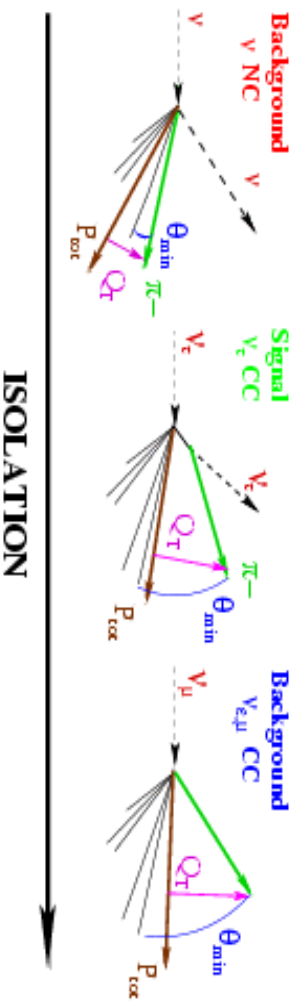
- ◆ The **signal ν_τ CC** has intermediate properties between two background sources:

CC INTERACTIONS



IMBALANCE

NC INTERACTIONS



ISOLATION

Difficult to **reject efficiently both background sources** with simple kinematic criteria \Rightarrow **opposite requirements**.

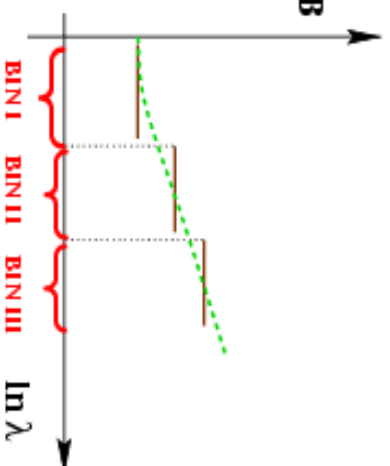
- ◆ Definition of *probability density functions*, $\text{pdf } \mathcal{L}$, describing the probability, for an event with the given set of N variables X_i , to be *signal* (\mathcal{L}_S) or *background* (\mathcal{L}_B):
 - the global pdf \mathcal{L} is subdivided into n -dimensional partial pdf's with $n < N$ and $n = 1, 2, 3, 4$, chosen among the most discriminating internal correlations of of the set of variables X_i ;
 - *partial pdf's can be included* in the set of variables X_i , as well.

- ◆ Event *classification* based on **LIKELIHOOD RATIO** between the *signal* S and *background(s)* B hypotheses:

$$\ln \lambda \stackrel{\text{def}}{=} \ln \frac{\mathcal{L}_S}{\mathcal{L}_B}$$

- ◆ The optimal treatment is to compare the **SHAPE** of *signal & background(s)* in a likelihood fit to $\ln \lambda$:

- limited by *available statistics*;
- *the relevant information* from a fit is the **S/B RATIO** along the $\ln \lambda$ distribution;
- define different **SIGNAL BINS** in the tail of $\ln \lambda$, characterized by *different S/B ratios*;
- different bins are considered statistically independent.

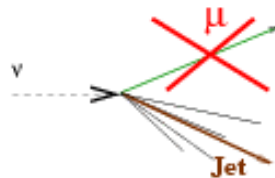


- ◆ *Independent measurements* from different decay modes & signal bins are combined within the **UNIFIED APPROACH**, (G.F. Feldman & R.D. Cousins, Phys. Rev. D57 (1998) 3873).

- ◆ The large *kinematical suppression* and the use of *multi-dimensional correlations* require a precise knowledge of *background(s)* down to a $\sim 10^{-5}$ level. The final estimate of backgrounds & efficiencies is obtained from the **DATA SIMULATOR** technique:

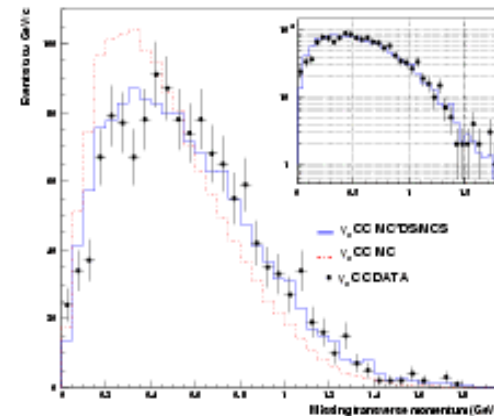
- use **IDENTIFIED ν_{μ} CC** in both *Data (DS)* and *Monte Carlo (MCS)* and replace the μ^{-} by:

- ν (i.e. nothing)
- e^{-} from MC
- $\tau^{-} \rightarrow X$ MC



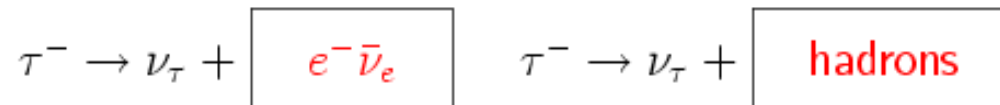
- compute all efficiencies as

$$\varepsilon \stackrel{\text{def}}{=} \frac{\varepsilon(\text{MC}) \times \varepsilon(\text{DS})}{\varepsilon(\text{MCS})}$$



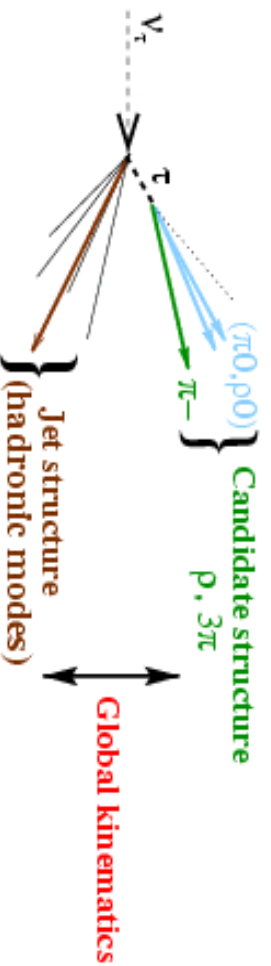
- ◆ In order to obtain *reliable background predictions* a **'BLIND ANALYSIS'** is used:

- define a signal region, **THE "BOX"**, by optimizing the overall sensitivity to oscillations;
- data events falling *inside the BOX CANNOT be analyzed* until the *bkgnd predictions* are finalized;
- *the τ^{+} search and the τ^{-} search outside the "BOX"* are used as control samples for backgrounds.



<i>Candidate selection</i>	ONE candidate defined by particle ID $\Rightarrow \sim 100\%$ purity	MANY candidates choice based on topology $\Rightarrow 70 \div 90\%$ purity
<i>Rejection of CC</i>	MAINLY ν_e CC kinematics \Rightarrow rejection $\mathcal{O}(10^4)$	UNIDENTIFIED ν_μ & ν_e CC particle ID + kinematics \Rightarrow rejection $\mathcal{O}(10^6)$
<i>Rejection of NC</i>	$\pi^0 \rightarrow \gamma e^+ e^-$, π/K MISID. particle ID + kinematics \Rightarrow rejection $\mathcal{O}(10^5)$	hadrons inside jet kinematics \Rightarrow rejection $\mathcal{O}(10^5)$
<i>Selection efficiency</i>	3.6%	1 \div 2%
<i>Sensitivity range</i>	loose isolation small visible energy (ν_e CC) \Downarrow high & low Δm^2 ($> 0.8 \text{ eV}^2$)	tight isolation \Downarrow high Δm^2

- ◆ **Three types of TOPOLOGICAL CONSTRAINTS** are used for the kinematic selection of the ν_τ CC signal:



I **Candidate structure**:

The internal structure of the ρ (3π) candidate is exploited both for the **selection procedure** and for the rejection of the $\nu_\mu + \nu_e$ CC backgrounds through a likelihood function \mathcal{L}_{INT} .

II **Jet structure**:

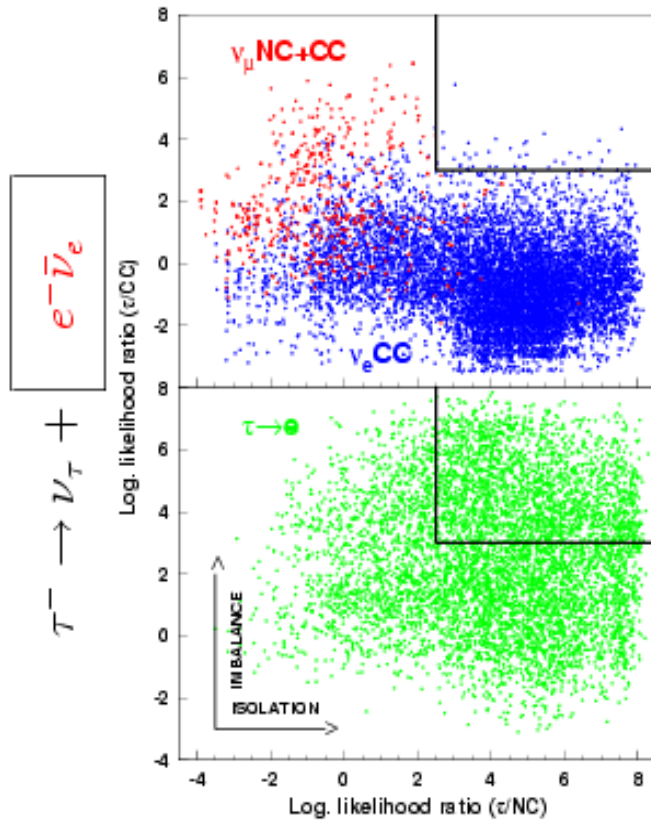
In the τ hadronic decays a control of the jet structure is needed since for background(s) the **candidate is mostly extracted from the jet**.

III **Global kinematics**:

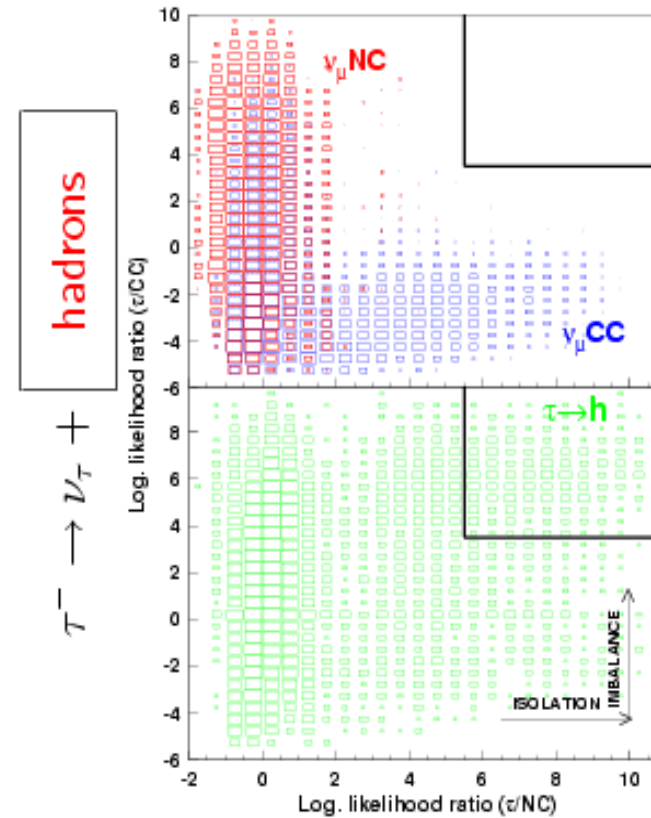
The rejection of each of the two main background contributions is achieved by constructing **TWO appropriate likelihood ratios** λ^{NC} and λ^{CC} , exploiting the full event kinematics:

$$\frac{\nu_{e(\mu)} CC \quad \nu_{\tau} CC \quad \nu NC}{\ln \lambda^{CC}} \quad \longleftrightarrow \quad \ln \lambda^{NC}$$

◆ Definition of the **signal region**:

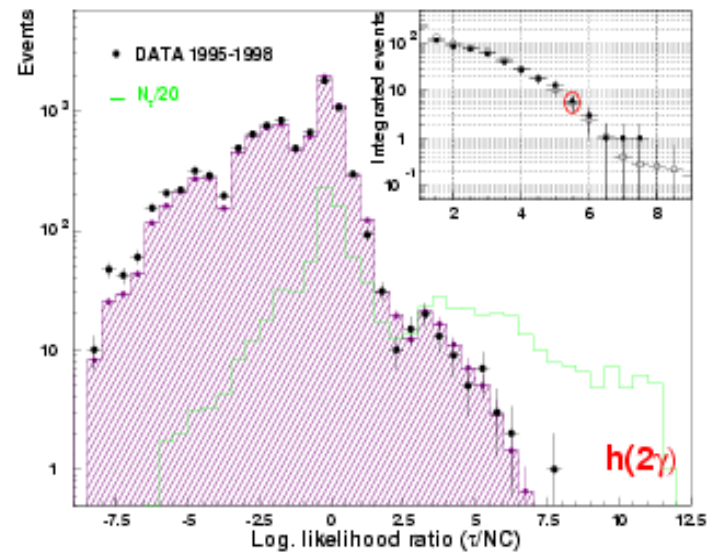
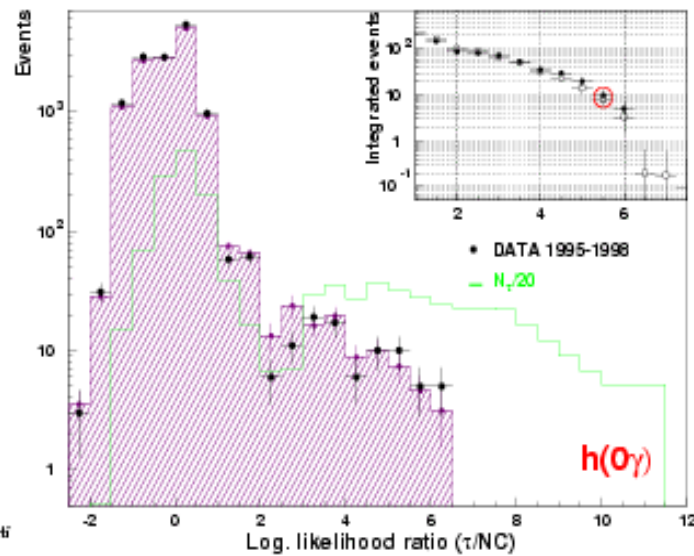
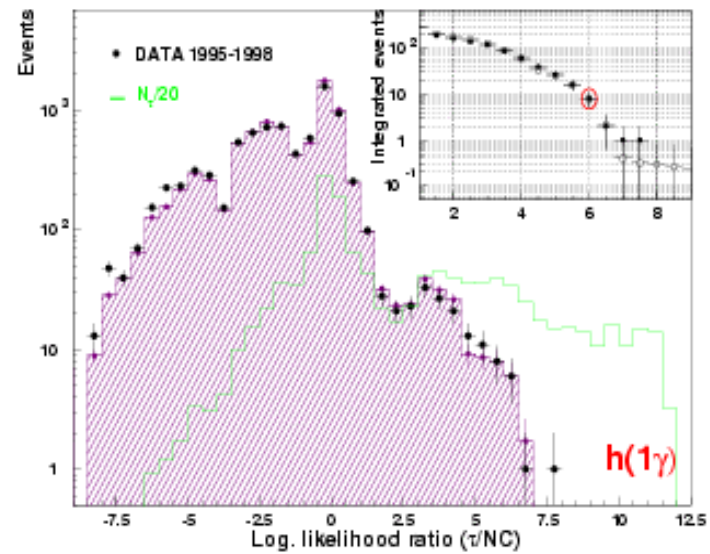
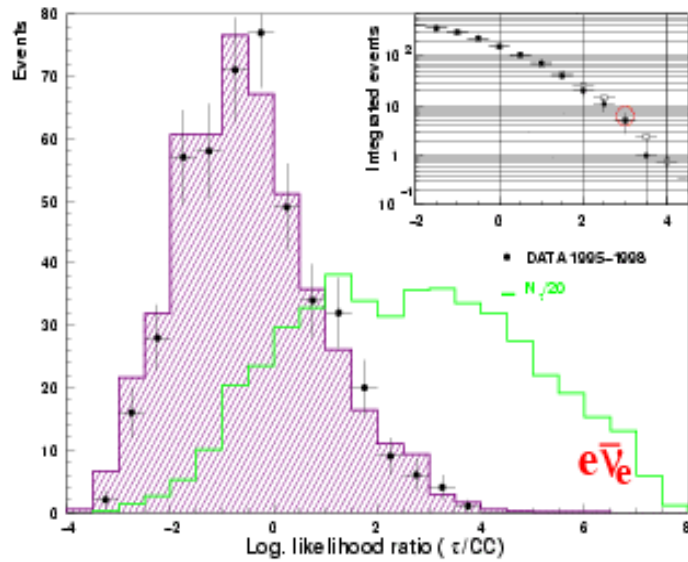


$$\begin{cases} \mathcal{L}_e^{CC} \stackrel{\text{def}}{=} & [[\rho_h, \rho_l, Q_{lep}], \not{P}_T, M_T, E_{vis}] \\ \mathcal{L}_e^{NC} \stackrel{\text{def}}{=} & [[[\theta_{\nu T}, \theta_{\nu H}], \theta_{\min}, Q_T], M_T, E_e] \end{cases}$$



$$\begin{cases} \mathcal{L}_h^{CC} \stackrel{\text{def}}{=} & [[I_G, R_{PT}, \theta_{\nu l}], \not{P}_T, M_T, E_{vis}] \\ \mathcal{L}_h^{NC} \stackrel{\text{def}}{=} & [[[\theta_{\nu T}, \theta_{\nu H}], \theta_{\min}, Q_T], \not{P}_T, P_T^H] \end{cases}$$

◆ Final results: NO EVIDENCE for oscillations



THE CHORUS ν_τ SEARCH

◆ Location of primary vertex (Phase I):

I PREDICTIONS

from the electronic detector

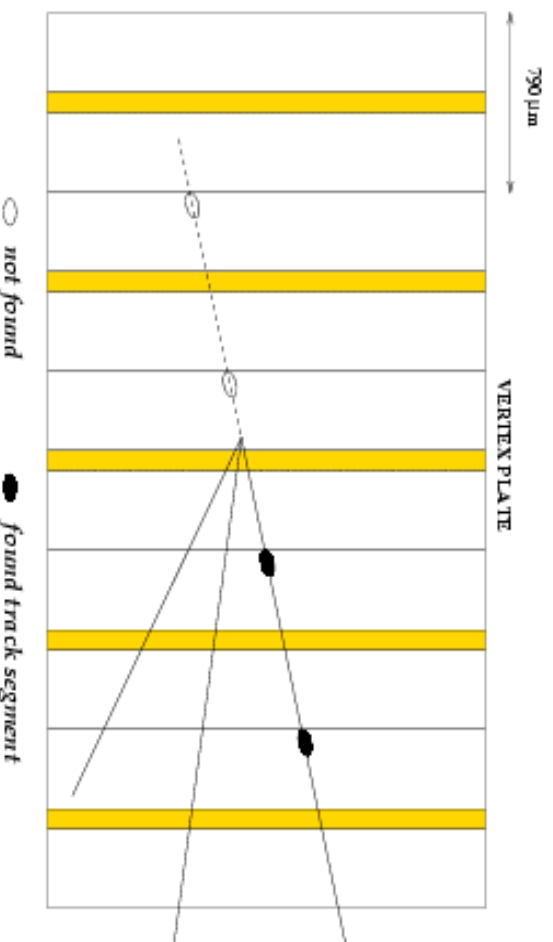
& loose preselection to reduce the scanning load:

- identification of *primary MUONS*;
- automatic scanning in the *interface emulsion sheets* & track extrapolation at the emulsion target.

II BULK EMULSION

automatic scanning procedure:

- *track disappearance* defines the vertex plate;
- the *vertex location efficiency* is evaluated from Monte Carlo simulations and is cross-checked with real data.



◆ Procedure of τ decay search (Phase I):

I SHORT DECAY

path search

when the kink is within the vertex plate

⇒ require LARGE impact parameter w.r.t. any track

II LONG DECAY

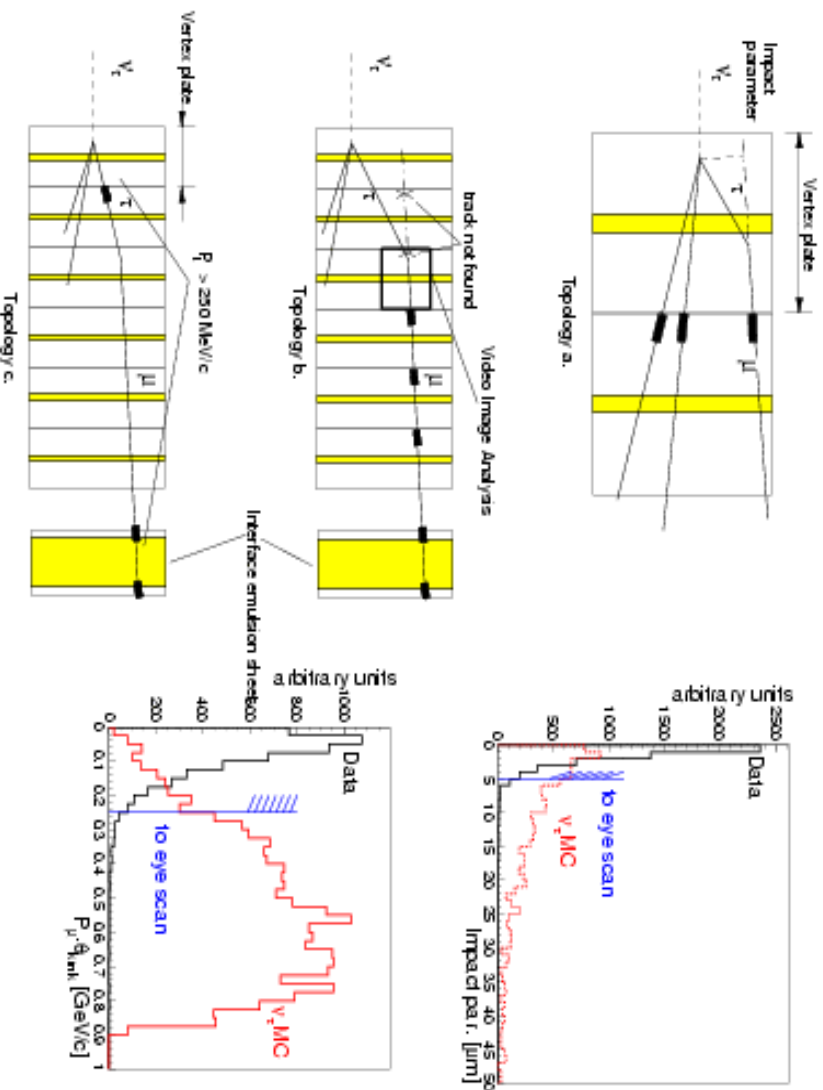
path search

when the kink is downstream of the vertex plate

○ kink angle larger than the scan-back angular tolerance

○ kink angle smaller than the scan-back angular tolerance

⇒ require a transverse momentum $P_T > 250$ MeV



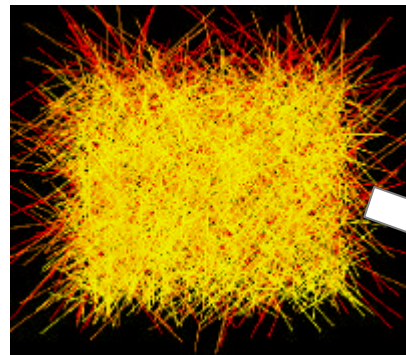
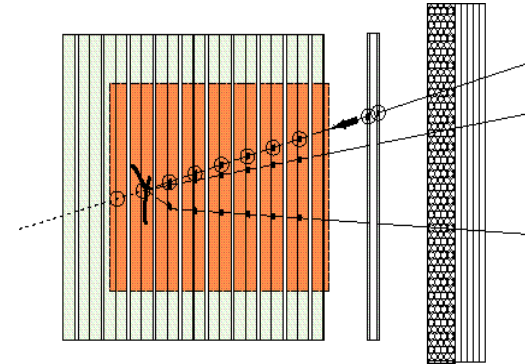


<i>Kink search</i>	search along a <i>SINGLE track (μ)</i>	search along <i>MANY tracks (h's)</i>
<i>Charm bkgnd.</i>	$\bar{\nu}$ CC with missed primary lepton ν CC with μ^+/h^+ wrong charge assignement $c\bar{c}$ in NC with D^+/D^0 missed <i>kink constraints</i>	$\bar{\nu}$ CC with missed primary lepton ν CC with μ^+/h^+ wrong charge assignement $c\bar{c}$ in NC with D^+/D^0 missed <i>kink constraints</i> + <i>kinematics ($\Phi_{\tau H}$ cut)</i> \Rightarrow <i>rejection $\mathcal{O}(10)$</i>
<i>"White kink" bkgnd.</i>	–	nuclear 1-prong interactions without heavily ionizing tracks <i>kink constraints</i> + <i>kinematics ($\Phi_{\tau H}$ cut)</i> \Rightarrow <i>rejection $\mathcal{O}(10)$</i>
<i>Acceptance (vertex)</i>	<i>20%</i>	<i>8%</i>
<i>Kink efficiency</i>	<i>38%</i>	<i>10%</i>
<i>Selection efficiency</i>	<i>7.6%</i>	<i>0.8%</i>



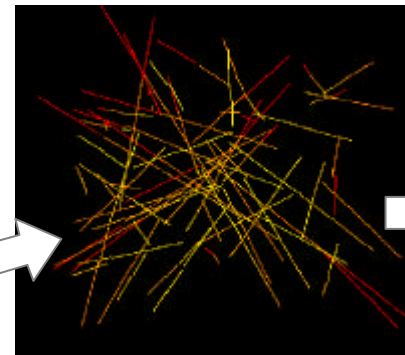
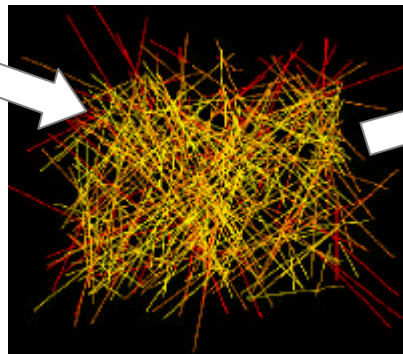
NETSCAN location (Phase II):

- Pick up all track segments ($q < 0.4 \text{ rad}$) in the scanning volume
($1.5 \times 1.5 \text{ mm}^2 \cdot 8 \text{ plates}$)
- **Offline analysis** of emulsion data



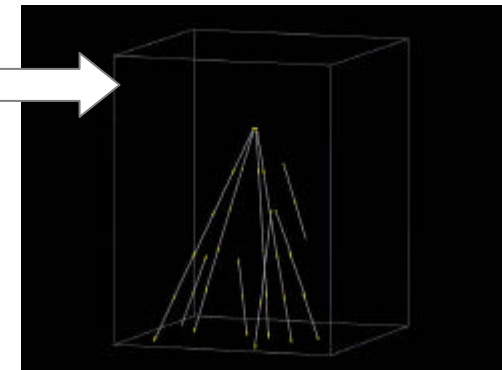
Track segments from 8 plates overlapped

At least 2-segment connected tracks

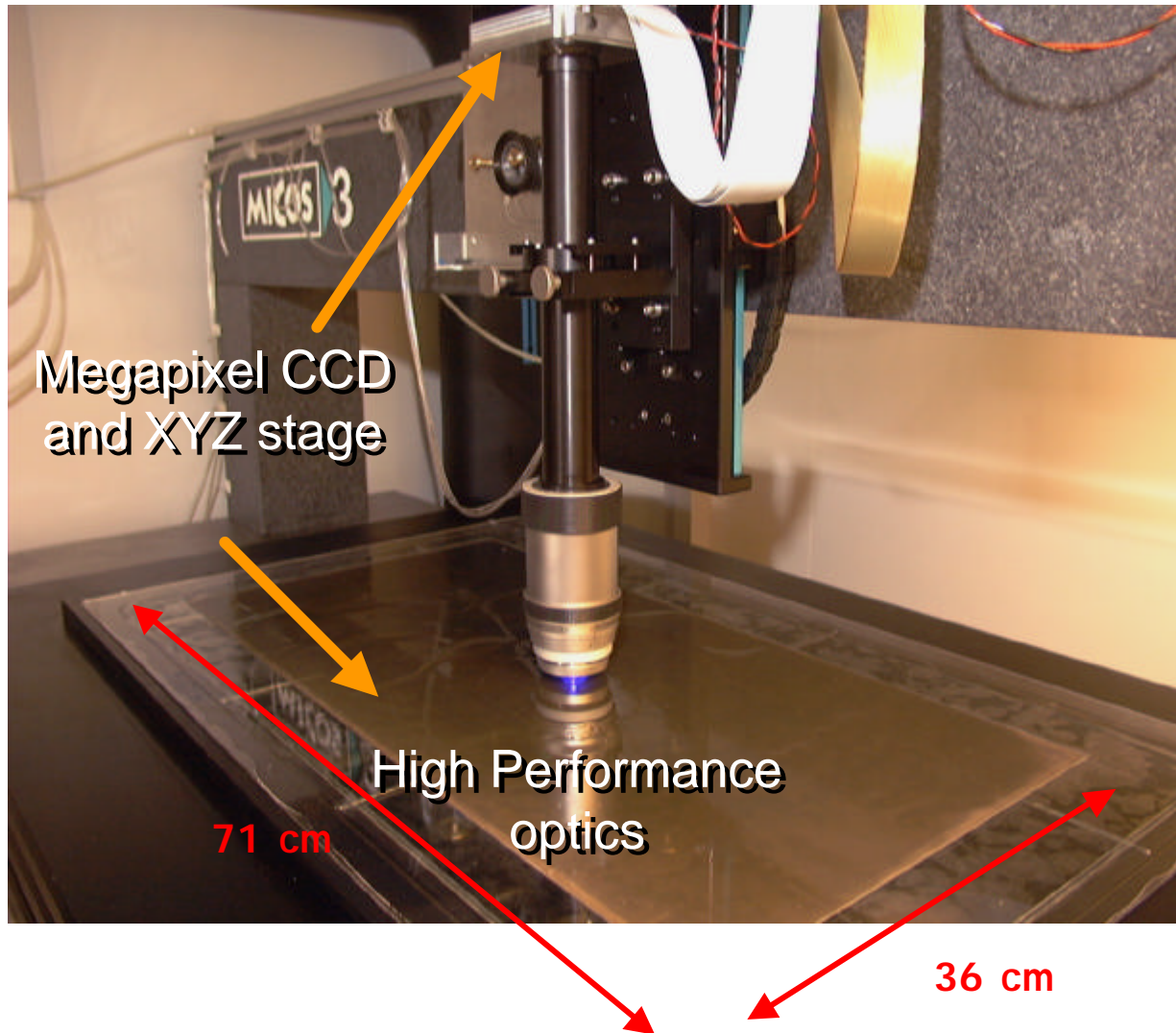


Eliminate passing-through tracks

Reconstruct full vertex topology



✦ Automatic **microscope** at CERN:



RESULTS OF THE ν_τ SEARCH

◆ **NO EVIDENCE** for oscillations from the *analysis of data*:

- *NUMBER* of observed events consistent with *bkgnd.*;
- *SHAPE* of relevant distributions in good agreement with *bkgnd.*



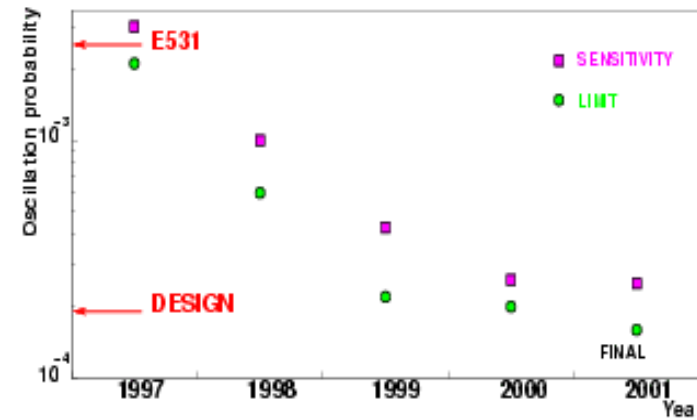
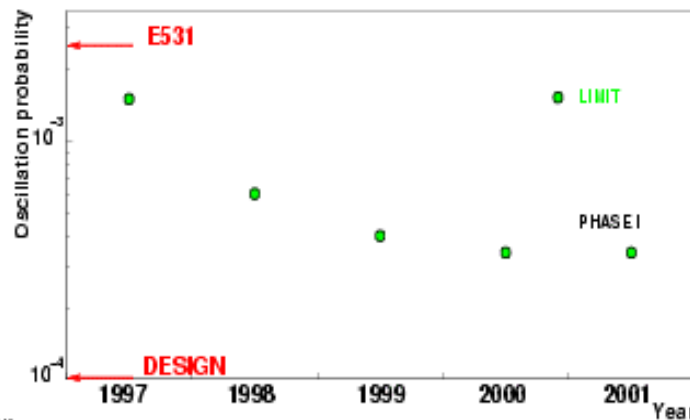
NOMAD

NOMAD



Tot. bkg. 1.21 ± 0.33
 $N_{P=1}^\tau$ 7018
 Data 0
 Syst.: 17% $N_{P=1}^\tau$, 30% bkg.

Tot. bkg. 50.5 ± 5.1
 $N_{P=1}^\tau$ 15226
 Data 52
 Syst.: 5 ÷ 10% $N_{P=1}^\tau$, 5 ÷ 20% bkg.



◆ Both approaches allow the definition of regions with a

SMALL BACKGROUND



Analysis	Tot. bkg.	$N_{P=1}^T$	Data
$\nu_\tau \bar{\nu}_\mu \mu$	0.11 ± 0.03	5014	0
$\nu_\tau 0\mu$	1.10 ± 0.33	2004	0

$$\boxed{1.21^{+0.33}_{-0.33} \quad 7018 \quad 0}$$

$$\begin{cases} S_{\nu_\mu \rightarrow \nu_\tau} = 3.7 \times 10^{-4} \quad 90\%CL \\ L_{\nu_\mu \rightarrow \nu_\tau} = 3.4 \times 10^{-4} \quad 90\%CL \\ P(\leq L) = 28\% \end{cases}$$

(T. Junk, NIM A434 (1999) 435).

$$\begin{cases} S_{\nu_\mu \rightarrow \nu_\tau} = 3.4 \times 10^{-4} \quad 90\%CL \\ L_{\nu_\mu \rightarrow \nu_\tau} = 2.1 \times 10^{-4} \quad 90\%CL \\ P(\leq L) = 29\% \end{cases}$$

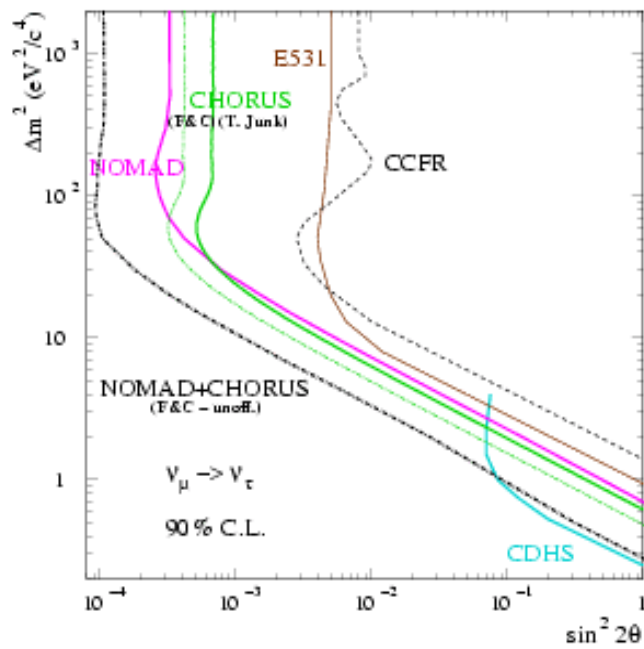
(Feldman & Cousins, Phys. Rev. D57 (1998) 3873).

Analysis	Bin	Tot. bkg.	$N_{P=1}^T$	Data
$\nu_\tau \bar{\nu}_e e$	DIS III	$0.28^{+0.31}_{-0.09}$	948	0
$\nu_\tau \bar{\nu}_e e$	DIS VI	0.25 ± 0.09	1780	0
$\nu_\tau h(0\gamma)$	DIS III	$0.05^{+0.60}_{-0.03}$	288	0
$\nu_\tau h(0\gamma)$	DIS IV	$0.12^{+0.60}_{-0.05}$	1345	0
$\nu_\tau h(1\gamma)$	DIS III	$0.07^{+0.70}_{-0.04}$	223	0
$\nu_\tau h(1\gamma)$	DIS IV	$0.07^{+0.70}_{-0.04}$	1113	0
$\nu_\tau h(2\gamma)$	DIS IV	$0.11^{+0.60}_{-0.06}$	211	0
$\nu_\tau h(1/2\gamma)$	DIS III	$0.20^{+0.70}_{-0.06}$	707	1
$\nu_\tau h(0/1\gamma)$	DIS IV	$0.14^{+0.70}_{-0.06}$	1456	0
$\nu_\tau 3h$	DIS V	$0.32^{+0.57}_{-0.32}$	675	0

$$\boxed{1.61^{+1.70}_{-0.37} \quad 8746 \quad 1}$$

$$\begin{cases} S_{\nu_\mu \rightarrow \nu_\tau}^{\text{Total}} = 2.5 \times 10^{-4} \quad 90\%CL \\ L_{\nu_\mu \rightarrow \nu_\tau}^{\text{Total}} = 1.6 \times 10^{-4} \quad 90\%CL \\ P(\leq L) = 37\% \end{cases}$$

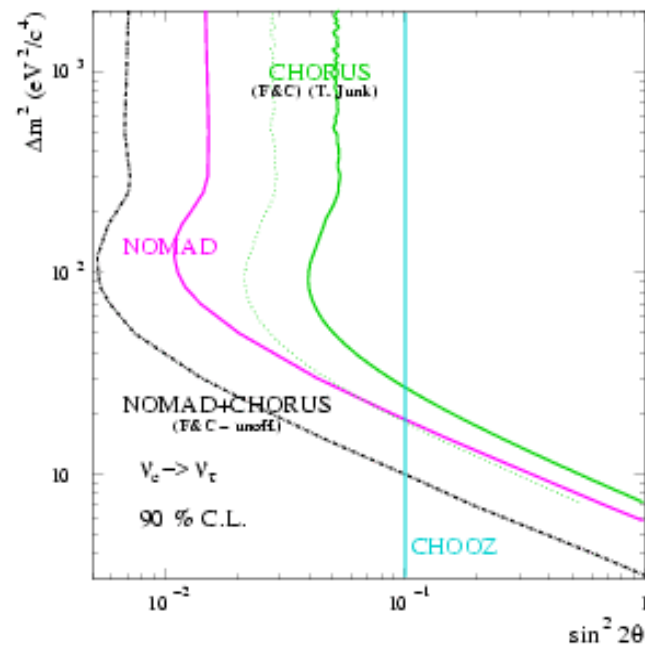
◆ $\nu_\mu \rightarrow \nu_\tau$:



Combined result *with F&C (unoff.)*:

$$\begin{cases} S_{\nu_\mu \rightarrow \nu_\tau} = 1.7 \times 10^{-4} & 90\% \text{CL} \\ L_{\nu_\mu \rightarrow \nu_\tau} = 0.5 \times 10^{-4} & 90\% \text{CL} \\ P(\leq L) = 15\% \end{cases}$$

◆ $\nu_e \rightarrow \nu_\tau$:



Combined result *with F&C (unoff.)*:

$$\begin{cases} S_{\nu_e \rightarrow \nu_\tau} = 0.9 \times 10^{-2} & 90\% \text{CL} \\ L_{\nu_e \rightarrow \nu_\tau} = 0.4 \times 10^{-2} & 90\% \text{CL} \\ P(\leq L) = 25\% \end{cases}$$

THE NOMAD $\nu_\mu \rightarrow \nu_e$ SEARCH

Motivated by the **LSND** result:

◆ *Relative abundance in the beam:*

$$\boxed{\nu_\mu} : \bar{\nu}_\mu : \boxed{\nu_e} : \bar{\nu}_e$$
$$\boxed{1} : 0.072 : \boxed{0.010} : 0.0026$$

different energy spectra & radial profiles for ν_e and ν_μ

SEARCH FOR DISTORSIONS IN THE ν_e SPECTRUM

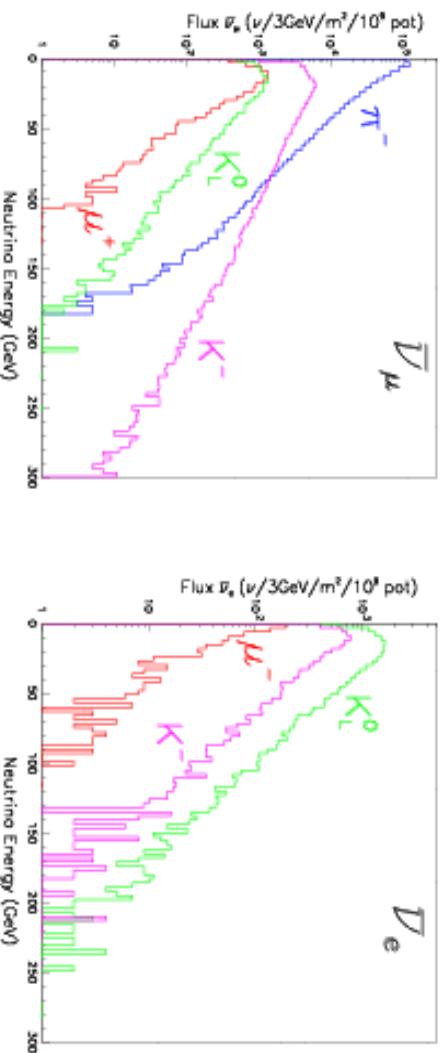
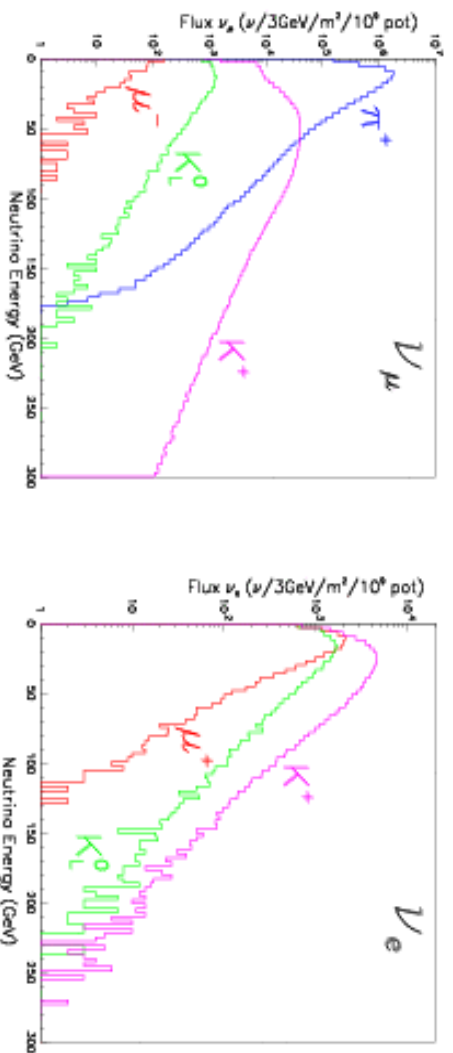
⇒ *oscillation effect enhanced at low ν_e energies.*

◆ *Different approaches to evaluate π , K contributions:*

- MONTE CARLO** simulations (FLUKA+GEANT);
- Measured spectra of $\nu_\mu, \bar{\nu}_\mu$ & $\bar{\nu}_e$ (not ν_e !)
to extract $K^{\pm,0}$ content;
- Cross-check with **NA56-SPY** measurement of K/π .

⇒ *compare flux calculations with data taken with reversed polarity of ν beam and with horn/reflector switched off*

Neutrino parent composition:



$$\mathcal{N}_e \equiv \mathcal{N}_e(K^+) \oplus \mathcal{N}_e(K_L^0) \oplus \mathcal{N}_e(\mu^+)$$

- ◆ $K^+ \rightarrow \pi^0 e^+ \nu_e$: constrained by ν_μ at high E_ν
- ◆ $K_L^0 \rightarrow \pi^- e^+ \nu_e$: constrained by $\bar{\nu}_e$ and $\bar{\nu}_\mu$ spectra
- ◆ $\mu^+ \rightarrow \bar{\nu}_\mu e^+ \nu_e$: constrained by ν_μ at low E_ν

Systematic uncertainties reduced with **ratio**:

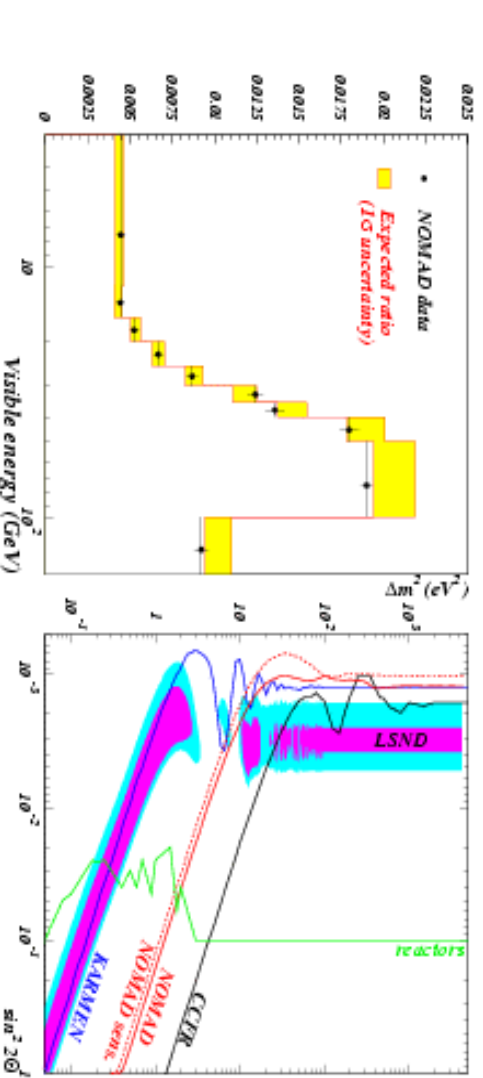
$$\mathcal{R}_{e\mu}(E_\nu) \stackrel{\text{def}}{=} \left[\frac{\# \nu_e \text{ CC Events}}{\# \nu_\mu \text{ CC Events}} \right] (E_\nu)$$

- ◆ The analysis relies upon the excellent *electron identification* capability of NOMAD. Search for the **APPEARANCE** of ν_e CC events with the energy distribution of ν_μ CC;

- ◆ A **BLIND** oscillation search is performed
 \implies compare the $\mathcal{R}_{e\mu}$ distributions in MC and data *ONLY* after robust beam predictions;

- ◆ *Systematics* on predictions from the ν_μ , $\bar{\nu}_\mu$ and $\bar{\nu}_e$ CC comparison with beam profiles ($\sim 2\%$ norm., $\sim 5\%$ shape);

- ◆ **NO EVIDENCE** for oscillations from the analysis of the full data set: $P_{\mu e} < 6.0 \times 10^{-4}$ at 90%CL (Preliminary)



III

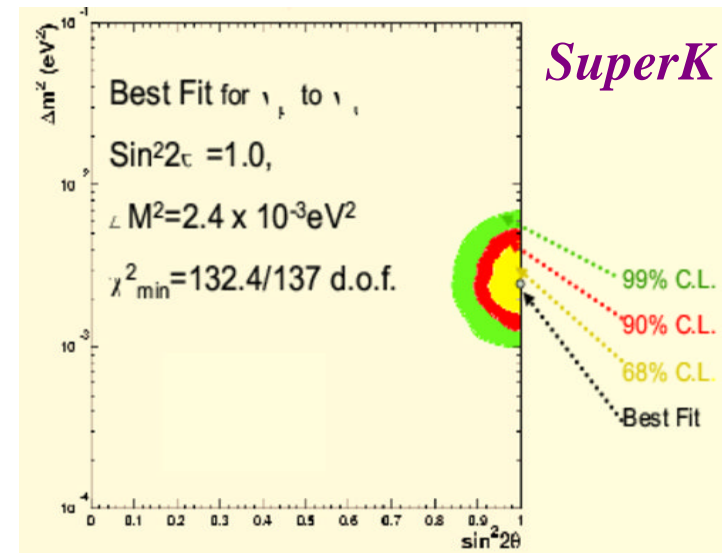
The Long Baseline experiments at Gran Sasso

MOTIVATIONS FOR CNGS

n_m disappearance in Super-K:

$$n_m - n_t \begin{cases} 1.2 < Dm^2 < 5.4 \times 10^{-3} \text{ eV}^2 & \text{at 90\% CL} \\ 1.0 & 7.0 & 99\% \\ \text{Best fit } Dm^2 = 2.4 \times 10^{-3} \text{ eV}^2 \end{cases}$$

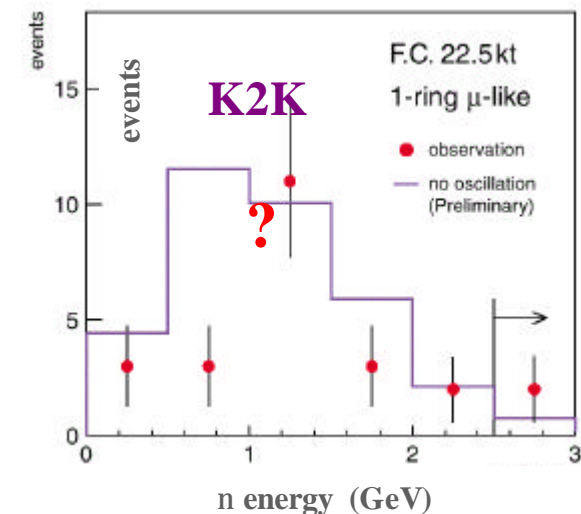
Sterile n disfavoured at $\sim 99\%$



n_m disappearance in K2K:

$$\begin{array}{ll} \text{Expected (no osc.)} & 80.6 + 7.3 - 8.0 \\ \text{Detected} & 56 (\sim 2\sigma \text{ effect}) \end{array}$$

Oscillation dip in the E_n spectrum at $Dm^2 \sim 3 \times 10^{-3} \text{ eV}^2$?



n_t appearance in Super-K

Poor S/B ratio $\sim 0.7\%$, statistical significance $\sim 2\sigma$

◆ A direct detection of the **appearance of ν_τ CC** events in the parameter region indicated by the measurements with the atmospheric neutrinos by *Super-Kamiokande* requires:

- L/E in the range ≥ 40 ;
- energy threshold for τ production $E_\nu > 4 \text{ GeV}$.

\Rightarrow artificial ν_μ beam from CERN to Gran Sasso with $L = 732 \text{ km}$ and $\langle E_\nu \rangle \sim 17 \text{ GeV}$.

◆ The resulting Long Baseline design is **very challenging** for **ANY** ν_τ CC appearance experiment:

- **high resolution** for τ detection;
- **limited fluence available** at large distance from the neutrino source ($\sim 1/L^2$).

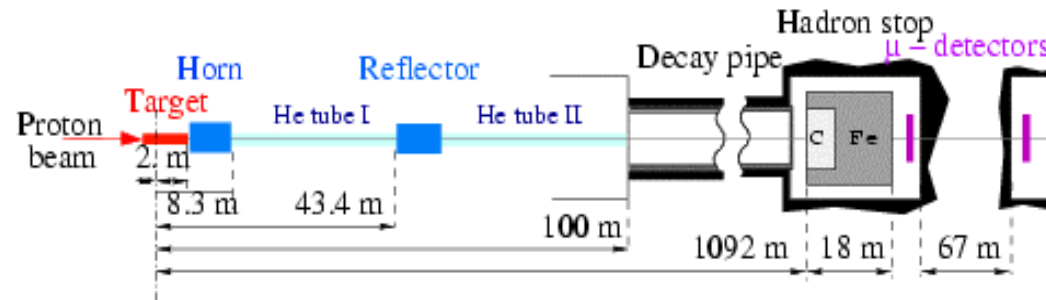
\Rightarrow need **unprecedented high resolution massive detectors** of **kton scale** ($SBL \times 10^3$).

◆ **Practical construction and costs** require the use of different technologies to fulfill the τ detection principles developed in the SBL experiments.

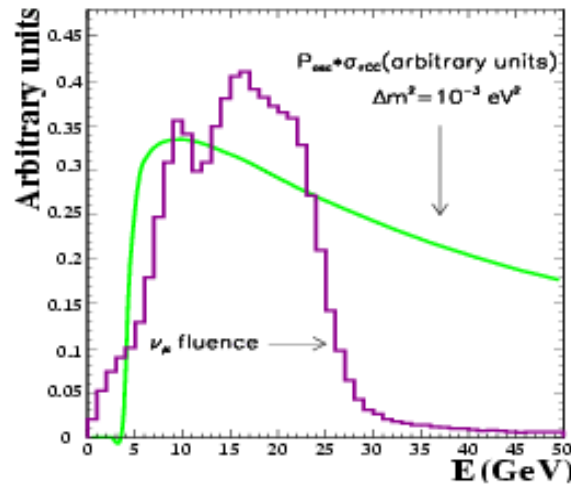
Note: S/B increases with L since the **background is reduced** at large distances ($\sim 1/L^2$), whereas the **signal rate from oscillations is approximately independent from L** for $\frac{L/\text{km}}{E/\text{GeV}} \frac{\Delta m^2}{\text{eV}^2} \ll 1$.

THE CNGS NEUTRINO BEAM

- ◆ Mean distance from ν source (π, K decay): OPERA, ICARUS $\sim 732\text{km}$.



- ◆ Wide Band Beam (WBB): spectra optimized to maximize ν_τ CC rate from oscillations



ν_μ (m^{-2}/pot)	7.45×10^{-9}
ν_μ CC events/pot/kton	5.44×10^{-17}
$\langle E \rangle_{\nu_\mu}$ (GeV)	17

$\bar{\nu}_\mu/\nu_\mu$	2.1 %
ν_e/ν_μ	0.8 %
$\bar{\nu}_e/\nu_\mu$	0.07 %
ν_τ prompt	negligible

- ◆ Long Baseline Experiments (LBL): $\langle L \rangle / \langle E \rangle \sim 40 \text{ Km/GeV}$
 $\Rightarrow \Delta m^2$ sensitivity in the range $\Delta m^2 \geq 10^{-3}$

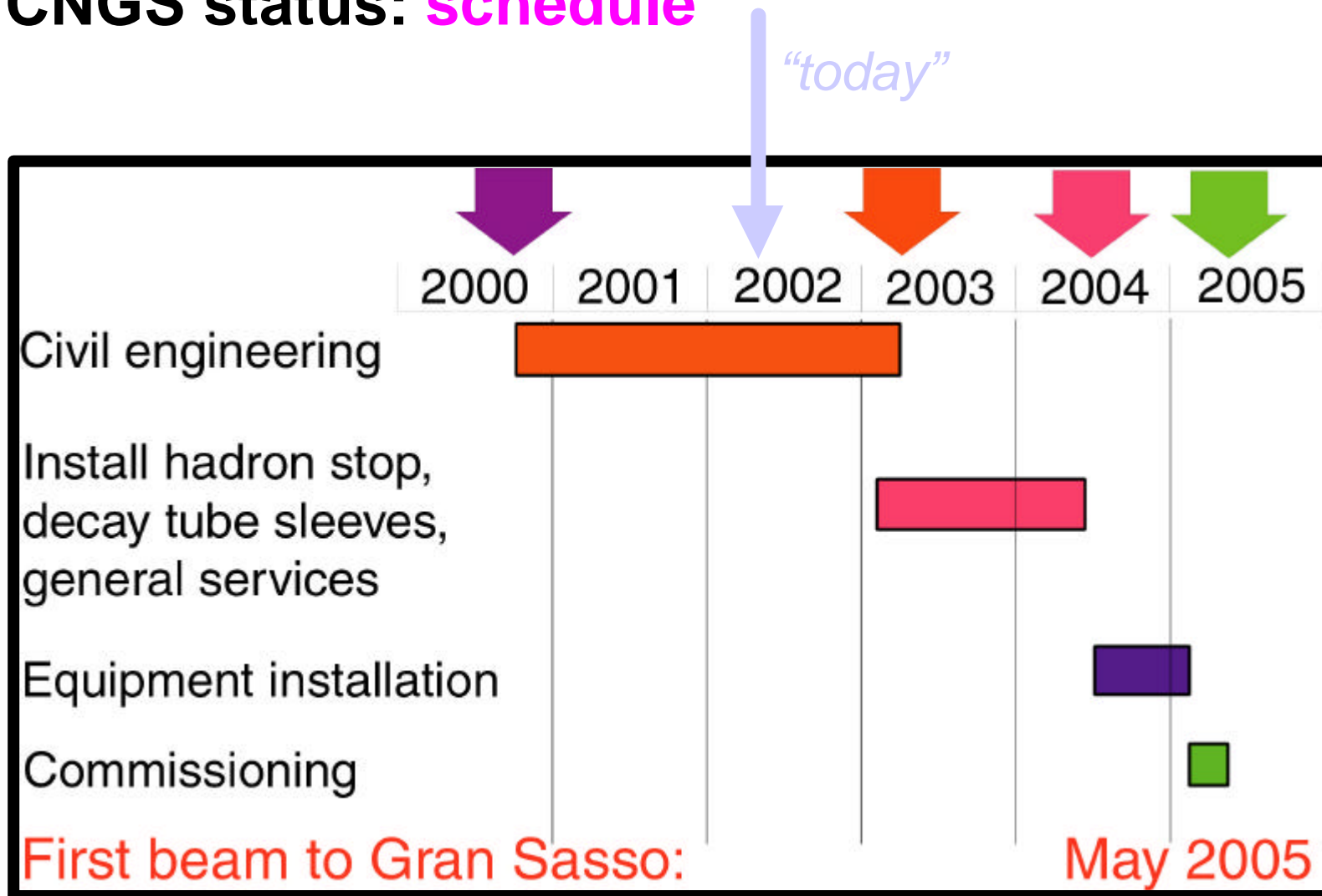


CNGS status: **civil engineering**

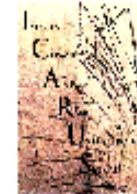




CNGS status: **schedule**








THE ν_τ SEARCH AT GRAN SASSO



◆ **APPEARANCE** experiments.
 ν_τ is detected by CC interactions:

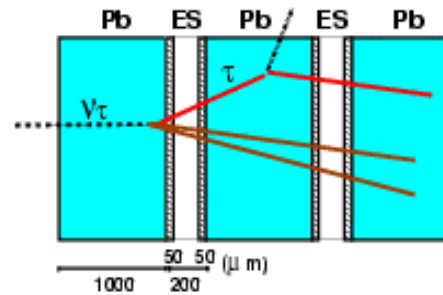


▢ τ identified by its *decay properties*:

	Decay mode	BR	
	$\mu\bar{\nu}_\mu\nu_\tau$	17.4%	
	$e\bar{\nu}_e\nu_\tau$	17.8%	
	$h(n\pi^0)\nu_\tau$	49.5%	

heavy passive material (Pb)
interleaved with emulsions

target mass $\sim 1800 t$

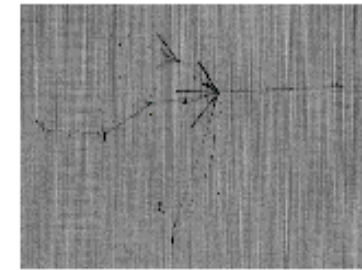


high space resolution
 $\sigma < 1\mu m$

VISUAL SCANNING

large sensitive TPC
filled with liquid Argon

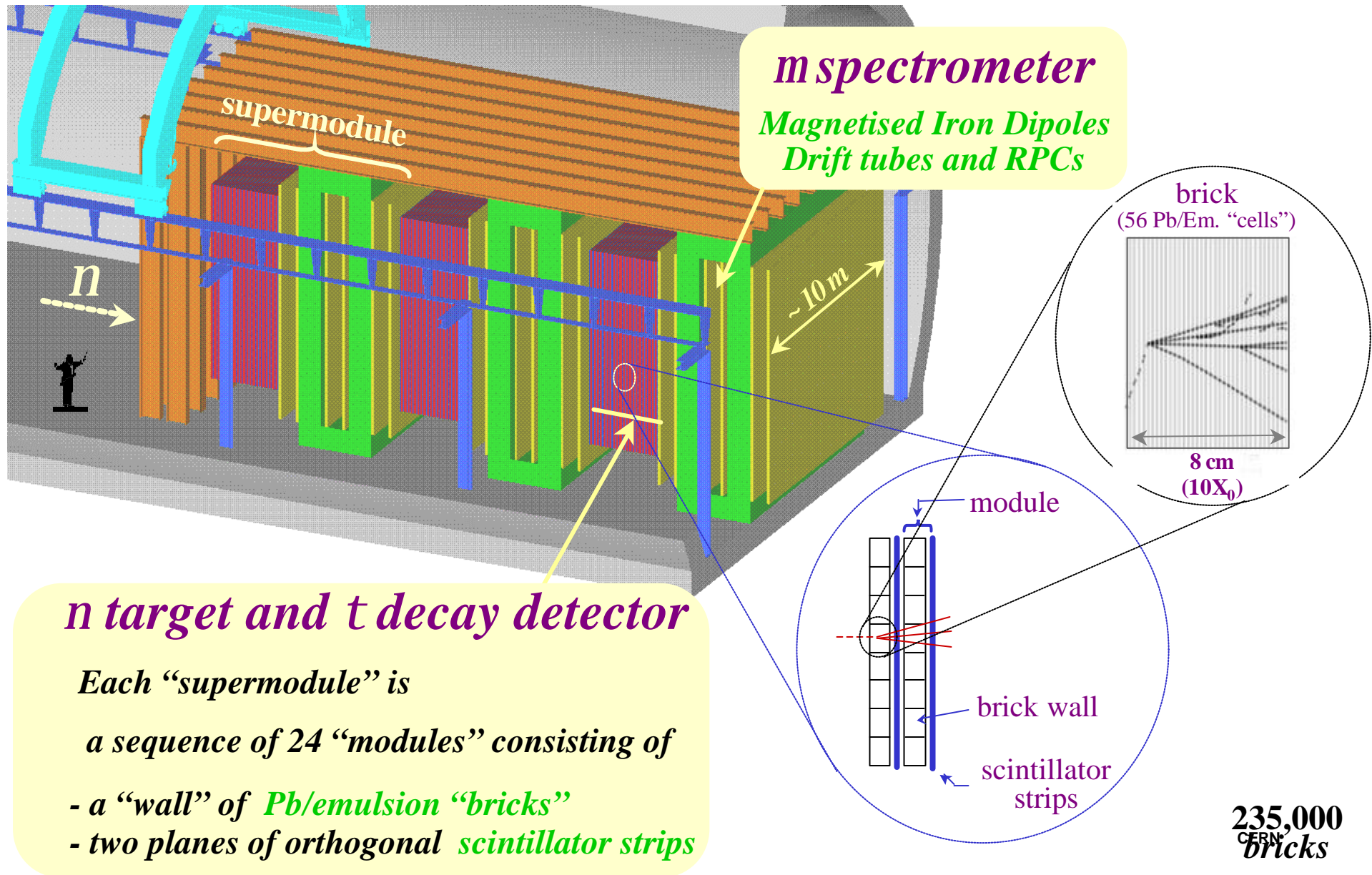
target mass $\sim 3000 t$



precision calorimetry
 $\sigma_E/E \sim 3\%(12\%)/\sqrt{E}$ e.m.(had.)

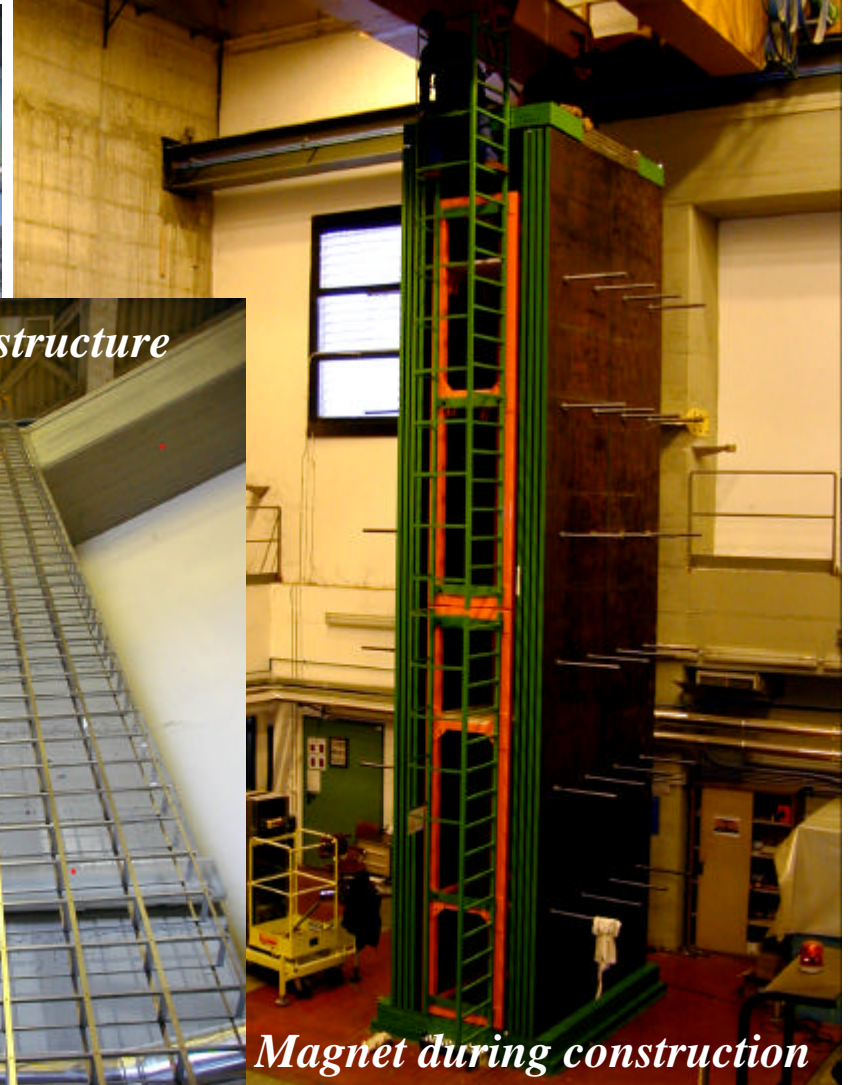
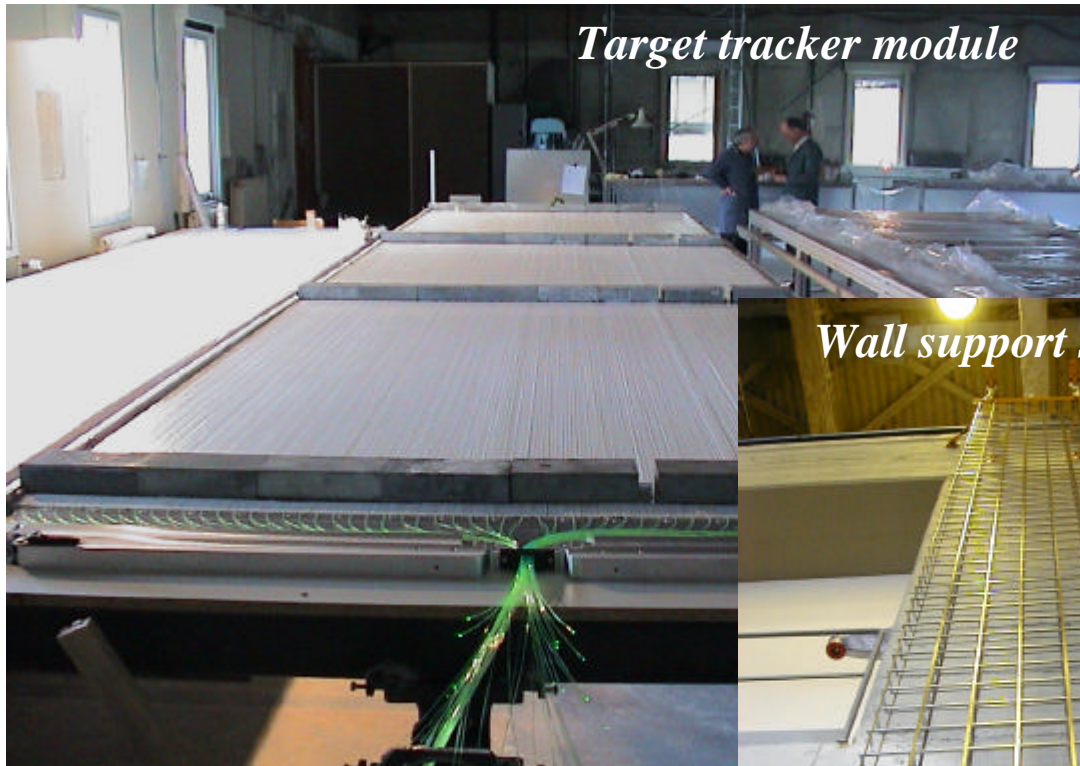
KINEMATIC ANALYSIS

THE OPERA DETECTOR



✦ Status of the experiment

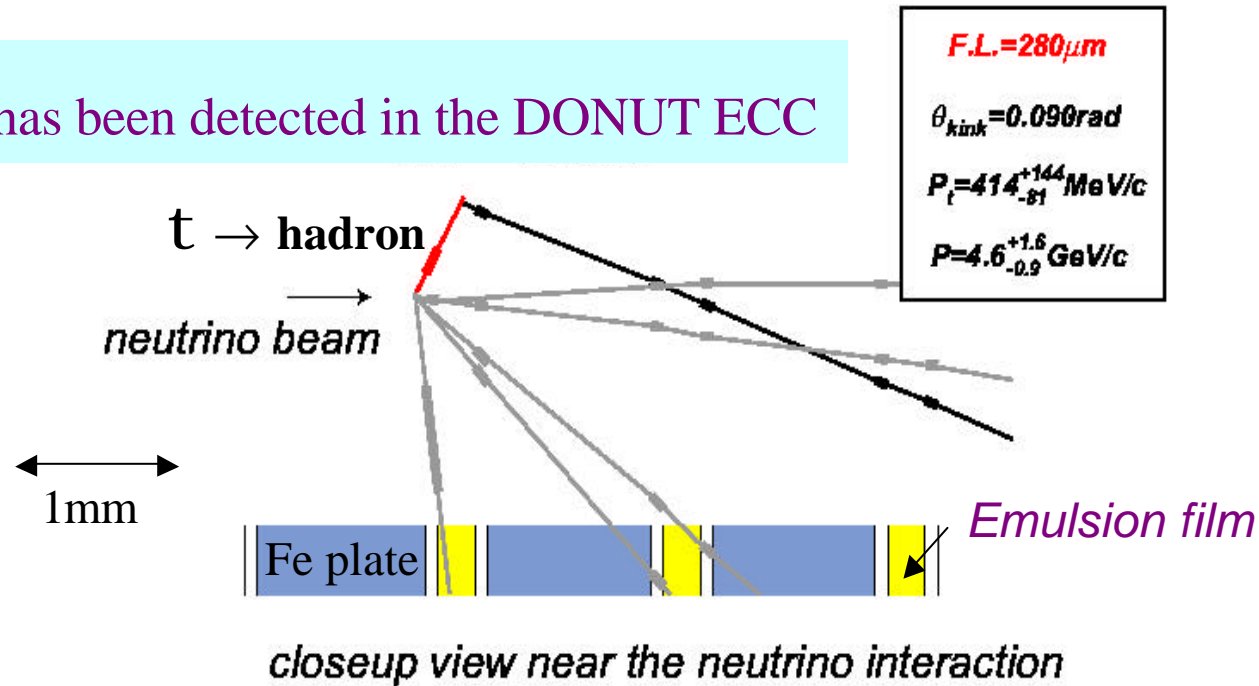
- Approved as **CNGS1** in 2000
- Construction & test of **full-scale prototypes** completed



Starting final construction

THE DONUT BENCHMARK

ν_τ has been detected in the DONUT ECC



Structure: OPERA ECC = DONUT ECC

Material: Lead \neq Iron

Better performance for physics analysis

Test for the OPERA technology

THE OPERA ν_τ SEARCH

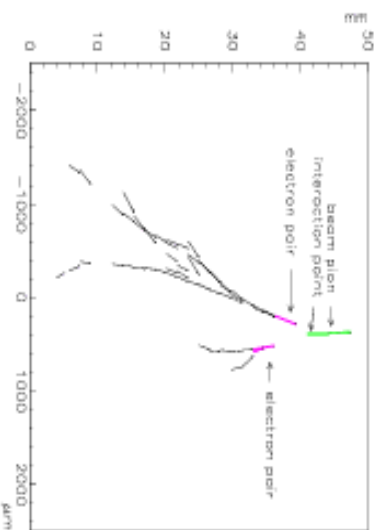
- ◆ The *electronic detector is used to find the BRICK* where the neutrino interaction occurred.
⇒ the *brick is extracted and developed for scanning.*

- ◆ Tracks are followed back in the brick to locate the primary **VERTEX** with a *NETSCAN procedure (global scan)* already used by DONUT & CHORUS Phase II.

- ◆ The **DECAY** search is performed in *two topologies*:
 - long decay topology ⇒ *kink*
 - short decay topology ⇒ *impact parameter*

- ◆ The full event is reconstructed in order to perform a **KINEMATIC ANALYSIS** of the selected events:

- *track momenta* by Multiple Coulomb Scattering (MS);
- *e. m. shower energy* from total track length;
- *specific π^0 reconstruction* for $\tau \rightarrow \rho$ search.



Long decay topology:

- Search for a kink with an angle $q_{\text{kink}} > 20 \text{ mrad}$

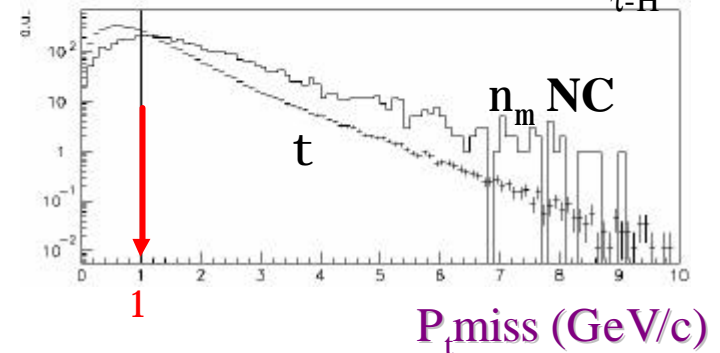
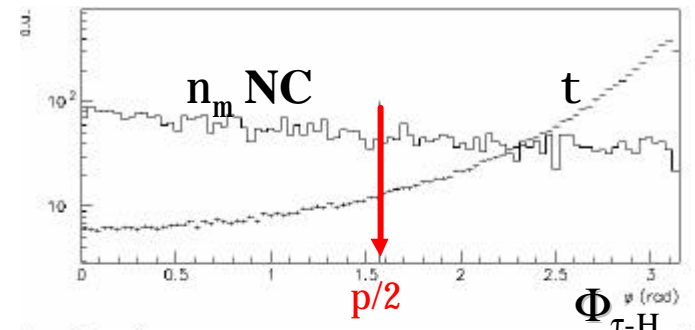
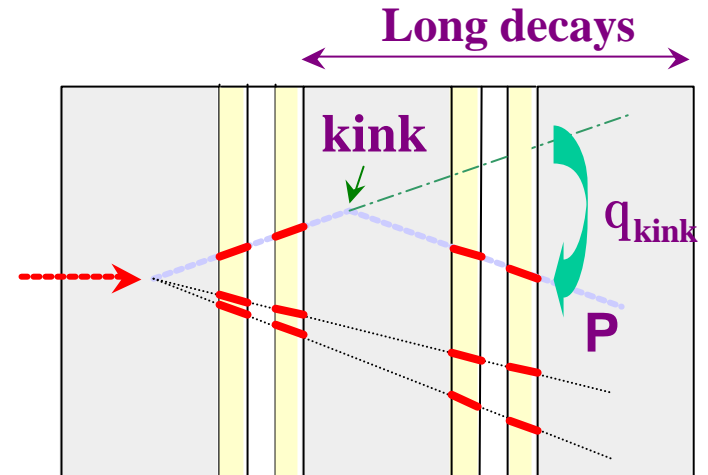
leptonic channels $t \text{ (R) } e, m$
 hadronic channels $t \text{ (R) } h, r$

- Require large $P_T = P * q_{\text{kink}}$ at 2ry vtx.

leptonic channels $> 100, 250 \text{ MeV/c}$
 hadronic channels $> 600, 300 \text{ MeV/c}$

- Additional kinematic cuts at 1ry vtx. for the hadronic channels

$F_{t-H} > p/2$
 $P_{t,\text{miss}} < 1 \text{ GeV/c}$



Short decay topology:

- At least two additional 1ry tracks for vertex reconstruction

$$P > 1.0 \text{ GeV}/c$$

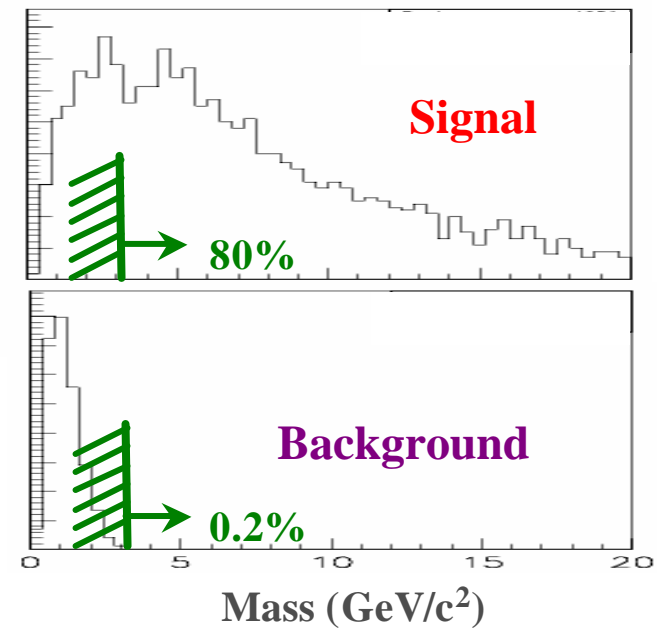
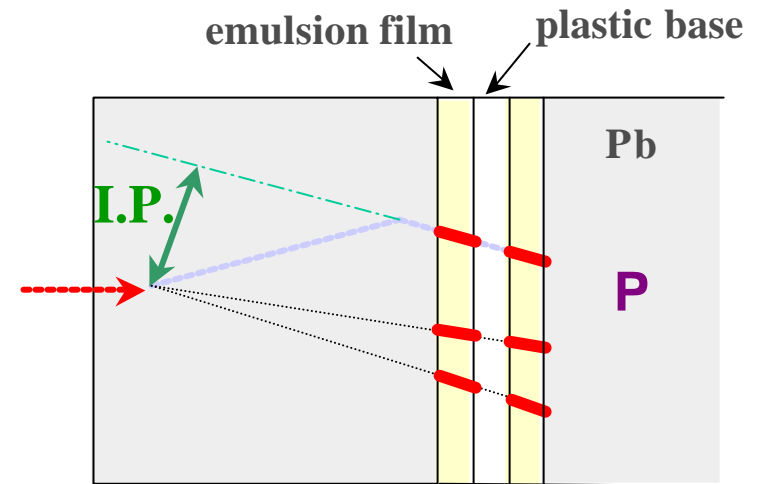
- Require a large impact parameter
I.P. > 5 to 20 mm

leptonic channels $t \rightarrow e, m$

- Additional kinematic cuts on minimal mass/ P_T for n_c CC/charm rejection

$$(P_T)_{\min} > 50 \text{ MeV}/c \quad t \rightarrow e$$

$$(M_{\text{inv}})_{\min} > 3 \text{ GeV}/c \quad t \rightarrow m$$

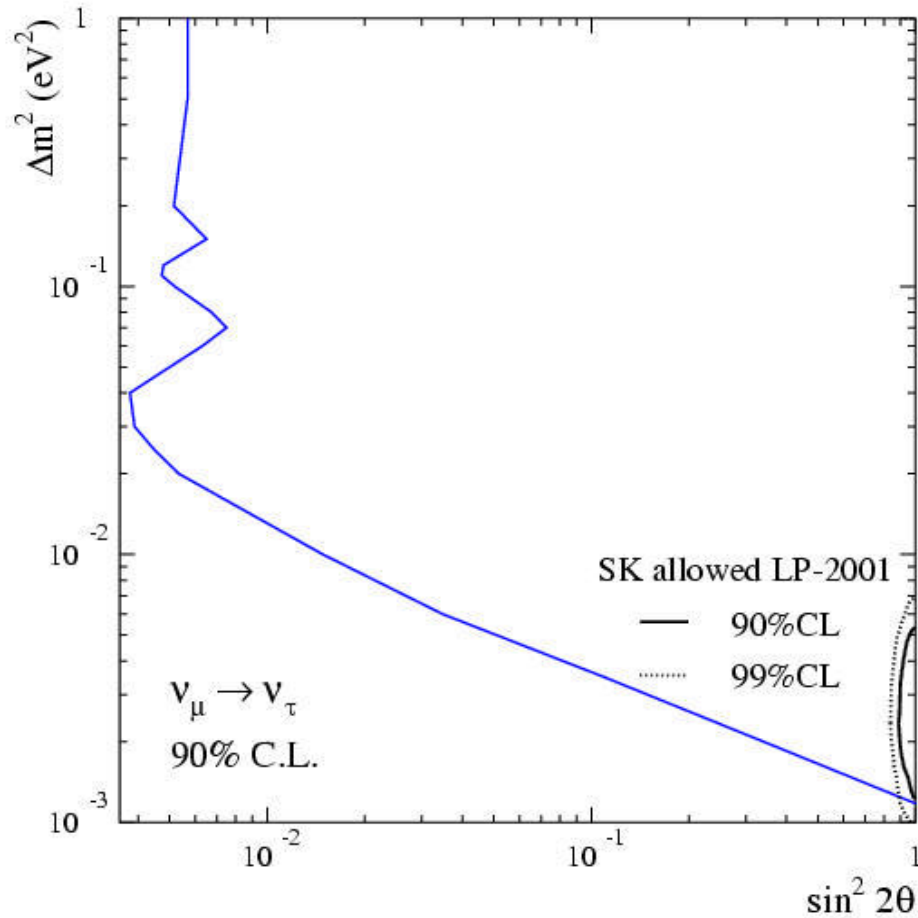


- ◆ Number of **signal and background events** expected within the 90% CL Super-K allowed region (5 year run, 1800 t):

Decay mode	Signal			Bkgnd
	$1.6 \times 10^{-3} eV^2$	$2.5 \times 10^{-3} eV^2$	$4.0 \times 10^{-3} eV^2$	
$\tau \rightarrow e$ long	1.4	3.4	8.6	0.15
$\tau \rightarrow \mu$ long	1.3	3.2	8.1	0.29
$\tau \rightarrow h$ long	1.6	3.7	9.4	0.23
$\tau \rightarrow e$ short	0.4	1.0	2.5	0.03
$\tau \rightarrow \mu$ short	0.2	0.5	1.3	0.04
Total	4.9	11.8	30.0	0.74

- ◆ Lead/emulsion sandwich \implies better momentum measurement (MS) than CHORUS
Passive material (Pb) \implies lower overall efficiency (short/long)

Experimental sensitivity (5 years, 1.8kt):



*90 % CL upper limit obtained
on average by a large
ensemble of experiments,
in the absence of signal events*

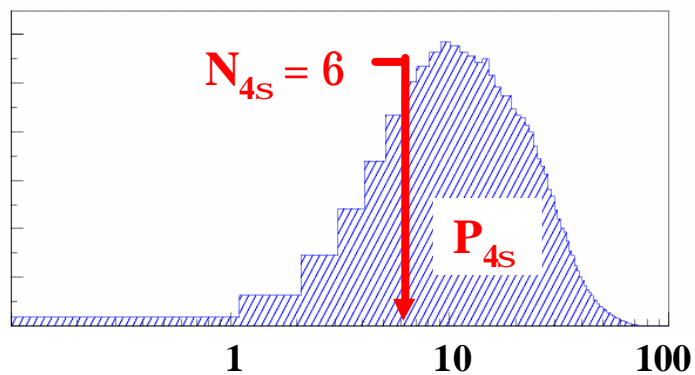
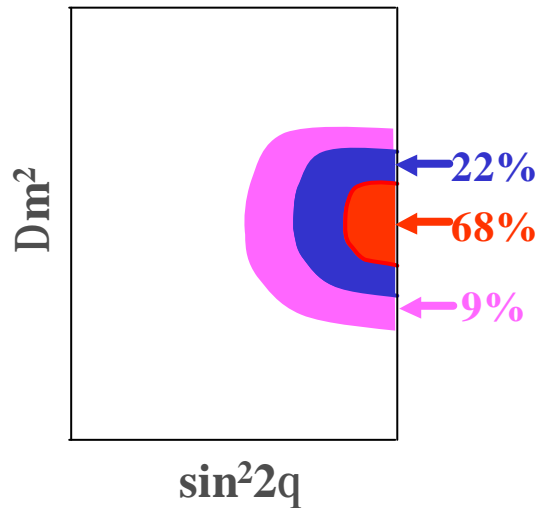
$Dm^2 < 1.2 \times 10^{-3} \text{ eV}^2$
at full mixing

$\sin^2(2q) < 5.7 \times 10^{-3}$
at large Dm^2

Uncertainties on background ($\pm 33\%$) and on efficiencies ($\pm 15\%$) included

✦ Probability of 3 ns significance:

Schematic view of the Super-K allowed region



Distribution of events observed

- Simulate a **large number of experiments** with oscillation parameters generated according to the **Super-K probability distribution**
- N_{ns} events are required for a **ns significance**
- Evaluate the **fraction P_{ns} of experiments observing $^3 N_{ns}$ events**

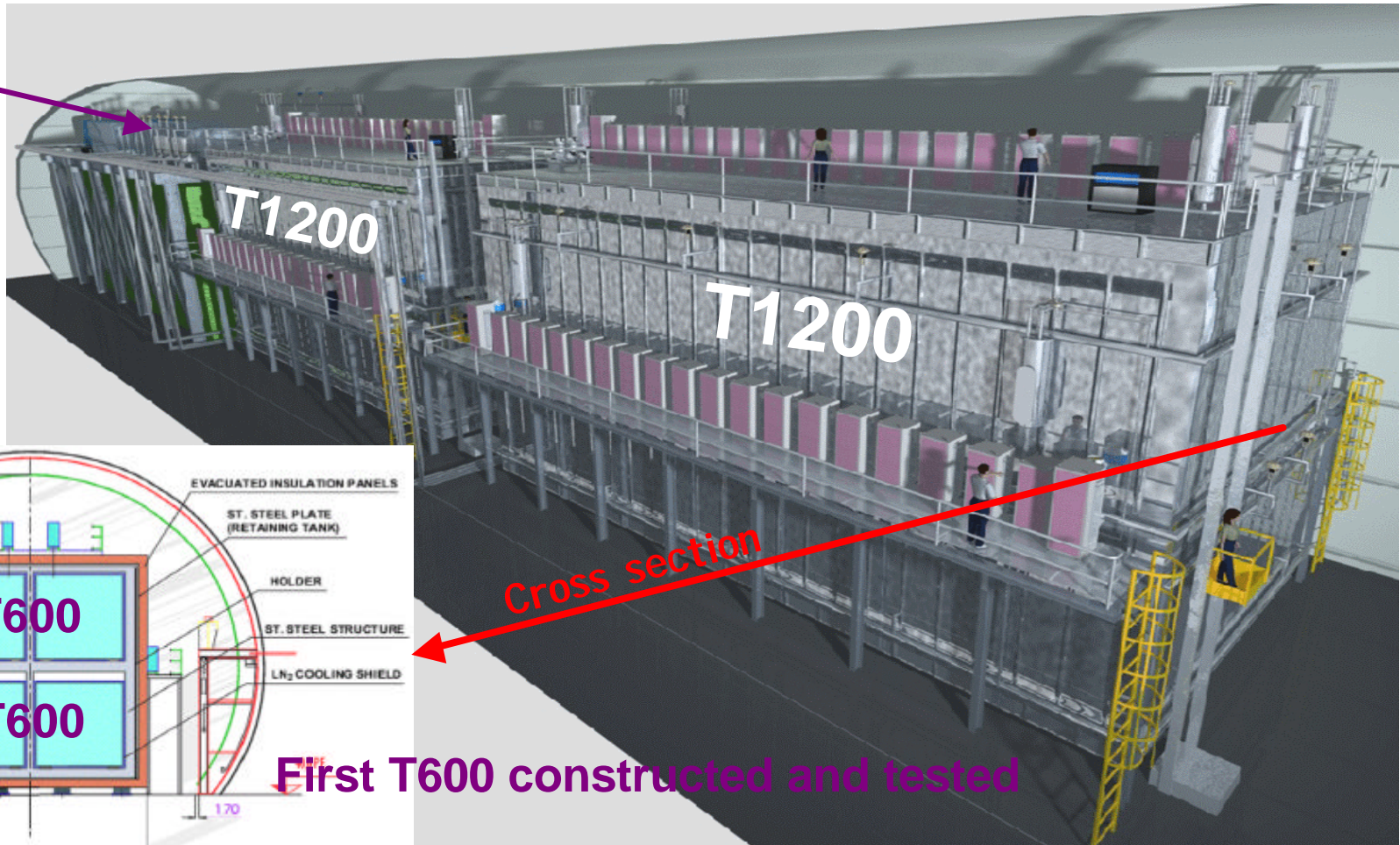


Run	P_{3s} (%)	P_{4s} (%)
3 y	88	82
5 y	96	90

THE ICARUS DETECTOR

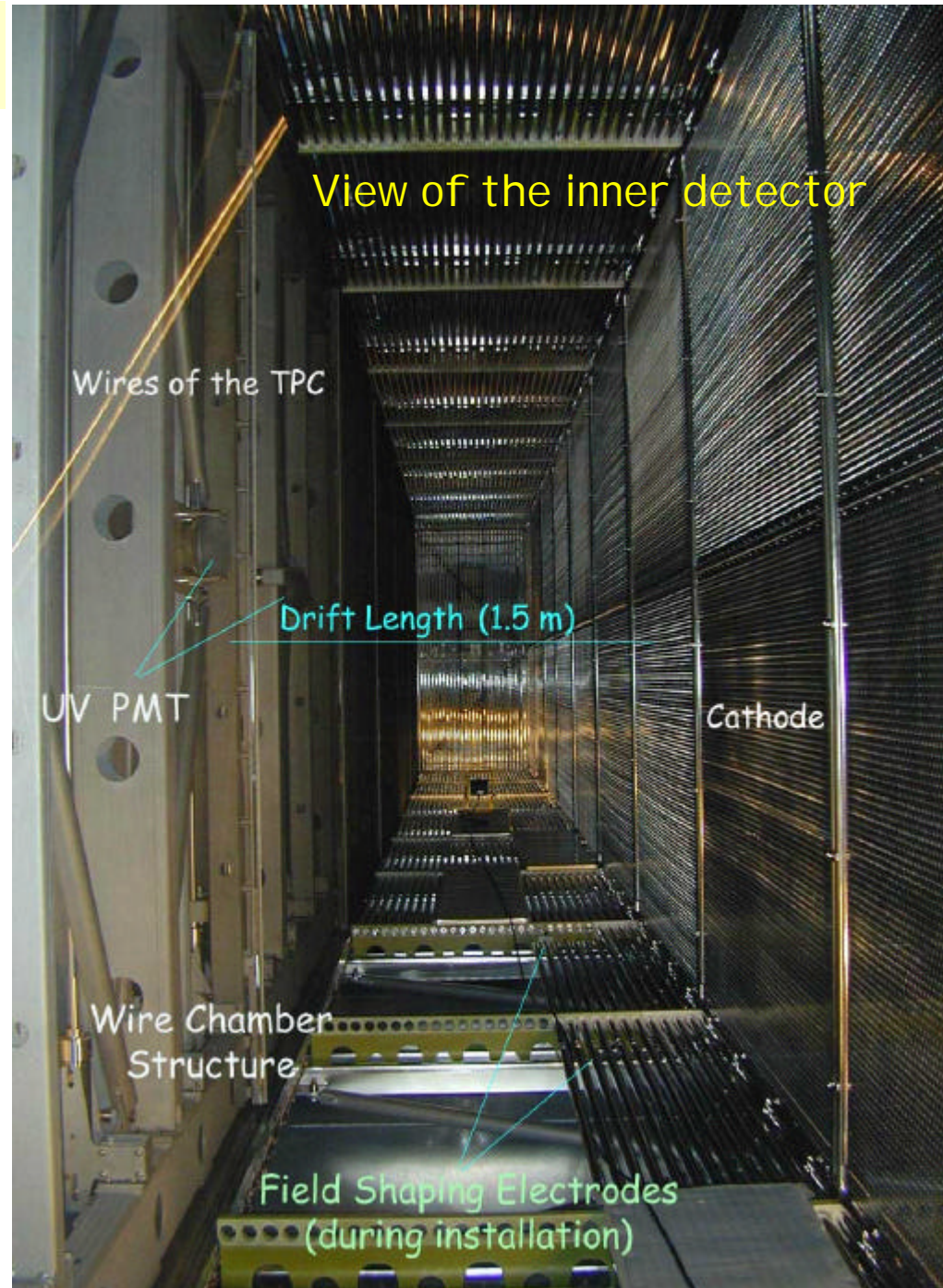
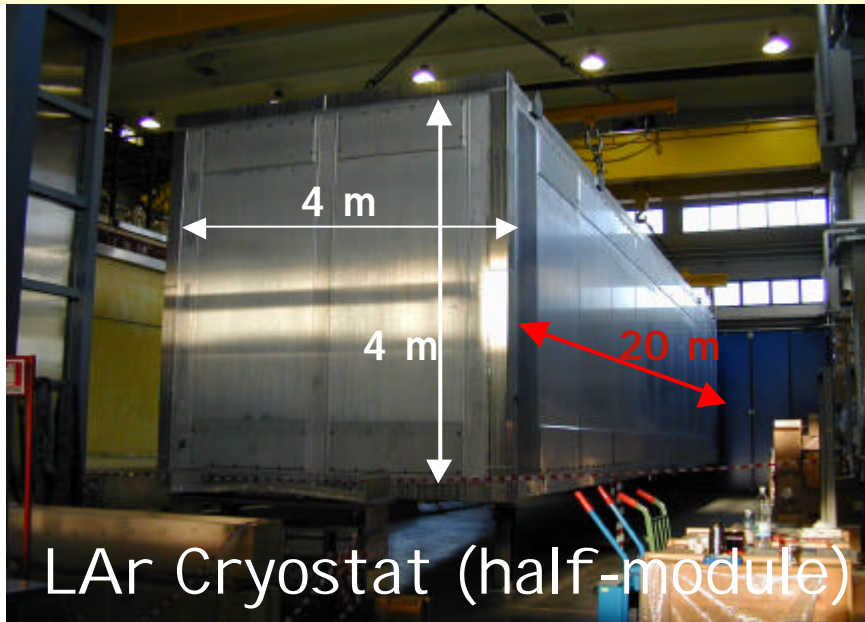
- ◆ Basic element *T600 LAr modules* (TPC)
- ◆ Proposed baseline *T600 + 2 * T1200* (3000 t)

electronics,
cooling, etc.

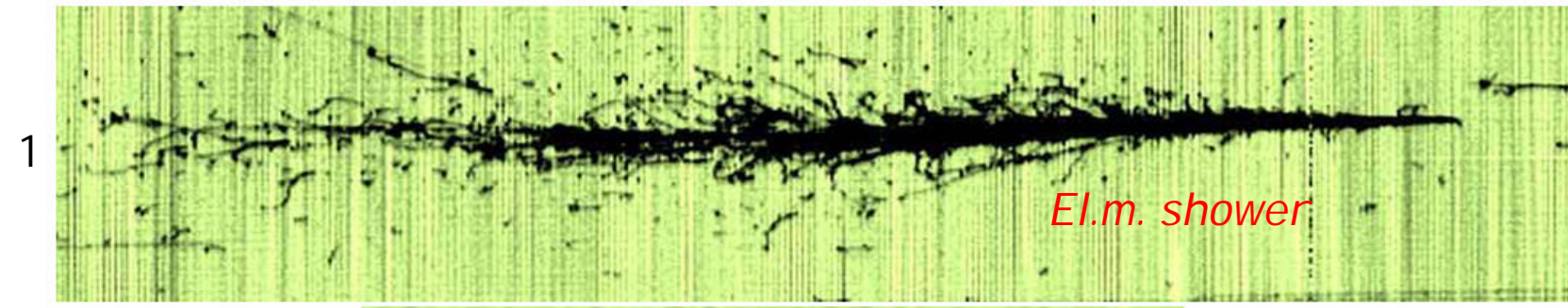
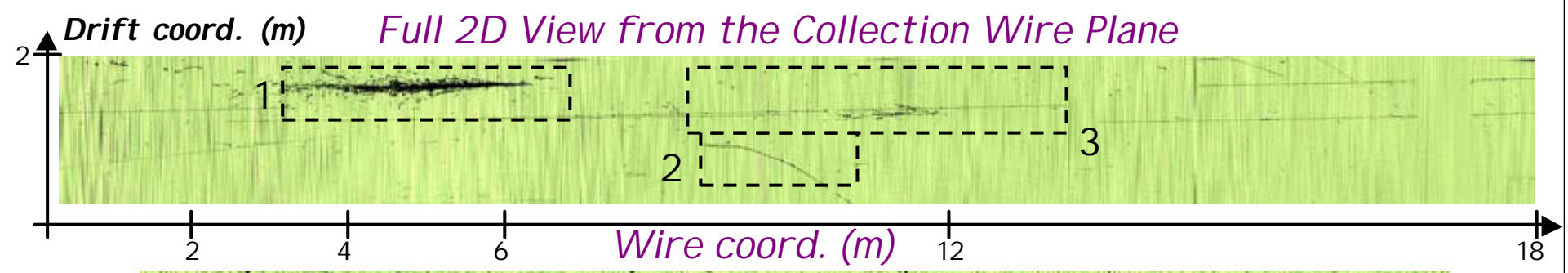


First T600 constructed and tested

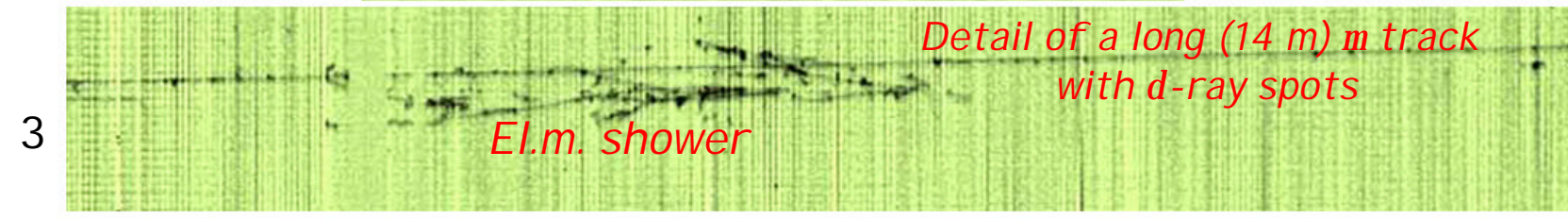
THE T600 DETECTOR



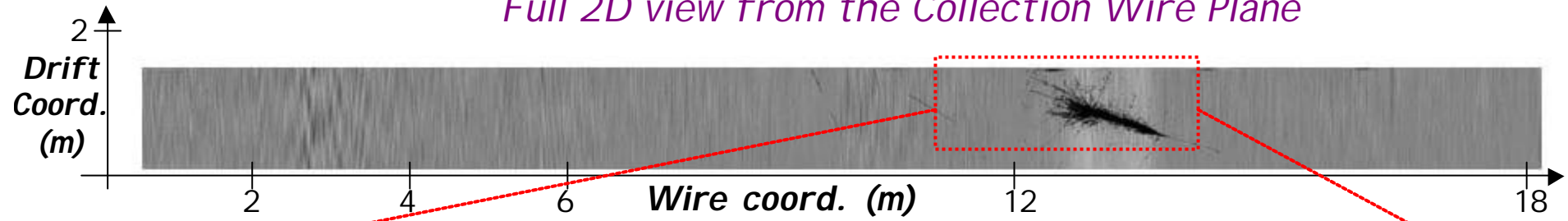
◆ Data from T600



Run 201 - Evt 12



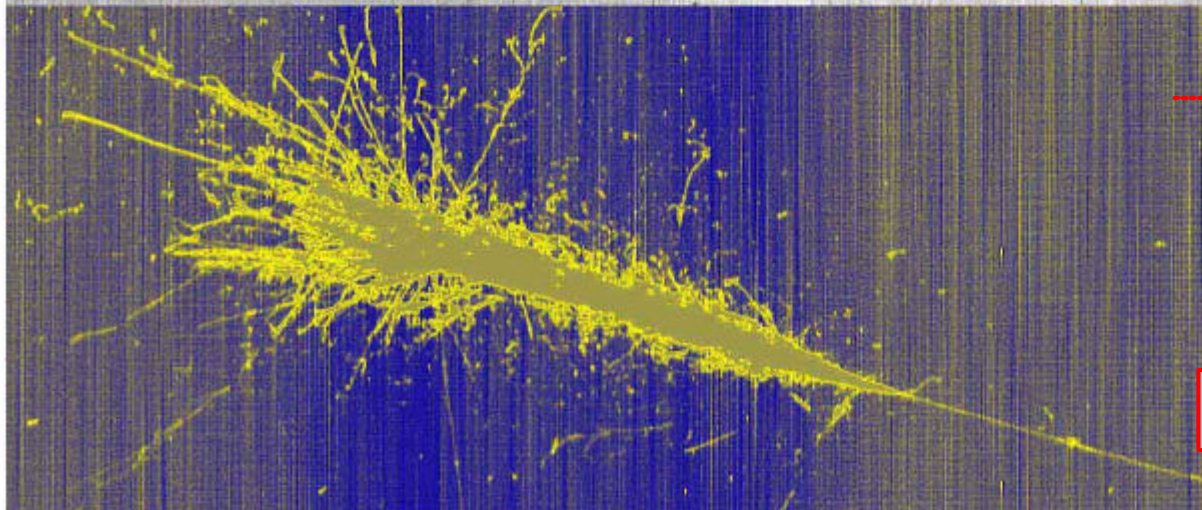
Full 2D view from the Collection Wire Plane



Zoom View

3.9 m

1.3 m



Run 308 - Evt 7

Large el.m. shower

THE ICARUS ν_τ SEARCH

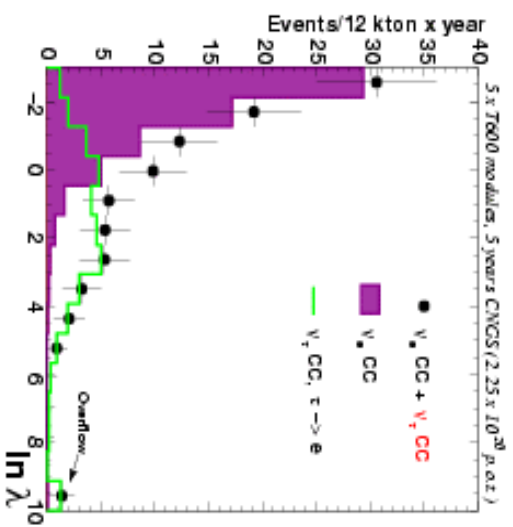
- ◆ Signal/background discrimination based upon **kinematic criteria** similar to NOMAD:

ELECTRON CHANNEL

- Electron candidate with $P > 0.5 \text{ GeV}/c$
- Main bkgnd from ν_e CC rejected with a **multi-dimensional likelihood function**:

$$L_e^{CC \text{ def}} \equiv [\rho_l, \cancel{P}_T, E_{\text{vis}}]$$

- Residual ν_μ CC bkgnd rejected by **muon ID in LAr (muon veto)**



HADRONIC CHANNELS

The $\tau \rightarrow \rho$ channel is exploited in the two independent Deep-inelastic (DIS) and Quasi-elastic (QE) samples.

- Momentum $P_\rho > 5.0 \text{ GeV}/c$
- **Invariant mass constraints**
- Largest bkgnd from NC rejected by **isolation (Q_T) in DIS**
- Residual ν_μ CC bkgnd rejected by **muon veto**.

- ◆ Number of **signal and background events** expected within the 90% CL Super-K allowed region (5 year run, 3000 t):

Decay mode	Signal	Signal	Signal	Bkgnd
	$1.6 \times 10^{-3} \text{ eV}^2$	$2.5 \times 10^{-3} \text{ eV}^2$	$4.0 \times 10^{-3} \text{ eV}^2$	
$\tau \rightarrow e$	3.7	9.0	23.0	0.7
$\tau \rightarrow \rho \text{ DIS}$	0.6	1.5	3.9	< 0.1
$\tau \rightarrow \rho \text{ QE}$	0.6	1.4	3.6	< 0.1
Total	4.9	11.9	30.5	0.7

⇒ sensitivity to $\nu_\mu \rightarrow \nu_\tau$ oscillations similar to the OPERA experiment.

- ◆ Lower background & fully sensitive detector ⇒ larger efficiencies than NOMAD
No charge measurement ⇒ the τ^+ control sample is not available

THE ICARUS $\nu_\mu \rightarrow \nu_e$ SEARCH

Exploit the good electron identification

- ◆ Main goal to constrain the mixing **ANGLE θ_{13}** , accessible via a sub-leading oscillation (three- ν mixing):

$$P(\nu_e \rightarrow \nu_\mu) \sim \sin^2 \theta_{23} \boxed{\sin^2 \theta_{13}} \boxed{\sin^2 \left(\frac{1.27 \Delta m_{23}^2 L}{E_\nu} \right)}$$

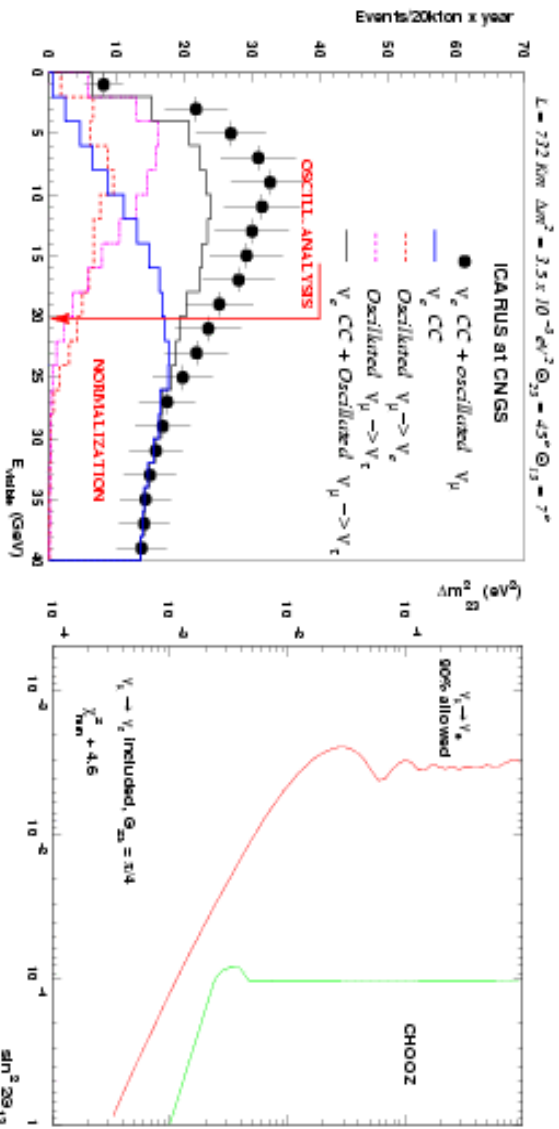
$$P(\nu_\mu \rightarrow \nu_\tau) \sim \cos^4 \theta_{13} \sin^2 \theta_{23} \sin^2 \left(\frac{1.27 \Delta m_{23}^2 L}{E_\nu} \right) \quad | \Delta m_{12}^2 | \ll | \Delta m_{23}^2 |$$

- ◆ **APPEARANCE** of ν_e CC events, with

$\nu_\mu \rightarrow \nu_\tau$ followed by the decay $\tau \rightarrow e$ as a background.

\Rightarrow disentangle $\nu_\mu \rightarrow \nu_e$, $\nu_\mu \rightarrow \nu_\tau$ and intrinsic ν_e CC with kinematic distributions (E_{vis} , \mathcal{P}_τ).

- ◆ Simultaneous fit to extract $\nu_\mu \rightarrow \nu_e$ and $\nu_\mu \rightarrow \nu_\tau$ rates in the region $E_e > 1 \text{ GeV}$ and $E_{\text{vis}} < 20 \text{ GeV}$.



CONCLUSIONS

- ◆ *The short baseline program recently completed at CERN produced an unprecedented neutrino data sample, allowing at the same time large statistics ($> 10^6$) and high resolution measurements.*
 - ⇒ *large potential for neutrino physics.*
- ◆ *The short baseline neutrino experiments at CERN have demonstrated that two different approaches are possible for a ν_τ appearance search:*
 - *The automatic emulsion scanning (CHORUS) is efficient thanks to the continuous advancements in the microscope technology;*
 - *The kinematic method (NOMAD) is reliable and can be controlled up to the extreme tails of the distributions.*
 - ⇒ *valid techniques for LBL experiments*
- ◆ *The long baseline neutrino beam from CERN to Gran Sasso is currently under construction (to be completed by 2005). Two different detectors are foreseen at Gran Sasso for the detection of the appearance of ν_τ CC events:*
 - *OPERA is a hybrid emulsion-based experiment, approved as CNGS1 in 2000 (in preparation).*
 - *ICARUS is an electronic detector based upon a LAr TPC (proposal).*