



# ***top quark mass measurements***

Ulrich Heintz



# *outline*

- the top quark
- the top quark factory
- top quark production and decay
- top quark mass in the lepton+jets channel
- top quark mass in the dilepton channel
- putting it all together
- beyond the Tevatron
- conclusion

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# *the standard model particle zoo*

- standard model

- describes the fundamental particles and their interactions

- fermions

- six quarks

- six leptons

- electroweak doublets

- constituents of matter

leptons			quarks		
$\begin{pmatrix} e \\ \nu_e \end{pmatrix}_L$	$\begin{pmatrix} \mu \\ \nu_\mu \end{pmatrix}_L$	$\begin{pmatrix} \tau \\ \nu_\tau \end{pmatrix}_L$	$\begin{pmatrix} u \\ d \end{pmatrix}_L$	$\begin{pmatrix} c \\ s \end{pmatrix}_L$	$\begin{pmatrix} t \\ b \end{pmatrix}_L$
$e_R$	$\mu_R$	$\tau_R$	$u_R$	$c_R$	$t_R$
			$d_R$	$s_R$	$b_R$

- bosons

- force mediators

electroweak	strong
$U(1)$	$SU(3)$
$\gamma$	$g$
$W^+ Z^0 W^-$	

# *the top quark is the most massive quark*

leptons

$e$   $\nu_e$   
0.5 MeV

$\mu$   $\nu_\mu$   
105.7 MeV

$\tau$   $\nu_\tau$   
1.777 MeV

quarks

$d$   
 $\approx 7$  MeV

$s$   
 $\approx 110$  MeV

$b$   
 $\approx 4300$  MeV

$u$   
 $\approx 3$  MeV

$c$   
 $\approx 1300$  MeV

$t$   
 $\approx 171,000$  MeV

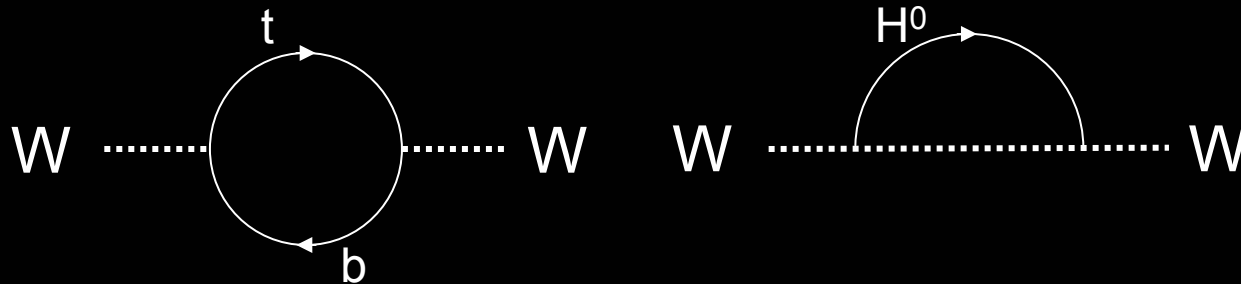
volume of spheres  $\propto$  mass  
no measurable extend ( $<10^{-18}$  m)

# *why study the top quark?*

- it is there
  - properties are fundamental parameters
- it is the dominant contributor to sm corrections
  - $m_t \gg m_b \rightarrow$  largest contributor to radiative corrections, e.g. to  $W$  mass
- it couples strongly to the Higgs sector
  - maybe we can learn about EWSB
- it is as close as we can get to a “bare” quark
  - short lifetime  $\tau \approx 10^{-24}\text{s} < \Lambda_{\text{QCD}}^{-1} \approx 10^{-23}\text{s} \rightarrow$  top decays before hadronizing
  - no confinement effects  $\rightarrow$  can perform

# top quark mass measurements

- importance of  $m_t$  (radiative corrections)



- $m_W = m_W^{\text{tree}} (1 + \mathcal{O}(m_t^2) + \mathcal{O}(\log m_H))$
- measuring  $\alpha$ ,  $G_F$ ,  $\theta_W$ ,  $m_Z$ ,  $m_W$ , and  $m_t$  checks consistency of model and constrains  $m_H$

# *how do we measure quark masses?*

- **quarks are confined**
  - quarks cannot be observed in isolation
  - measure mass of color-singlet bound states (hadrons)
  - use lattice QCD to relate to quark mass
- **top is short-lived**
  - $\tau \approx 10^{-24}$  s
  - decays to Wb before it can form hadrons
  - reconstruct mass from its decay products



# outline

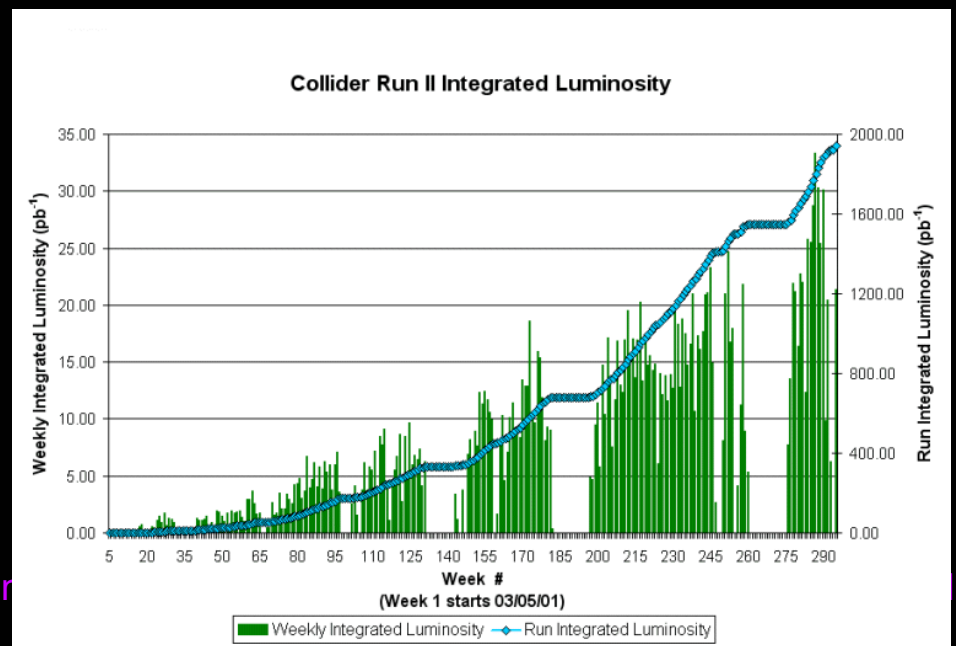
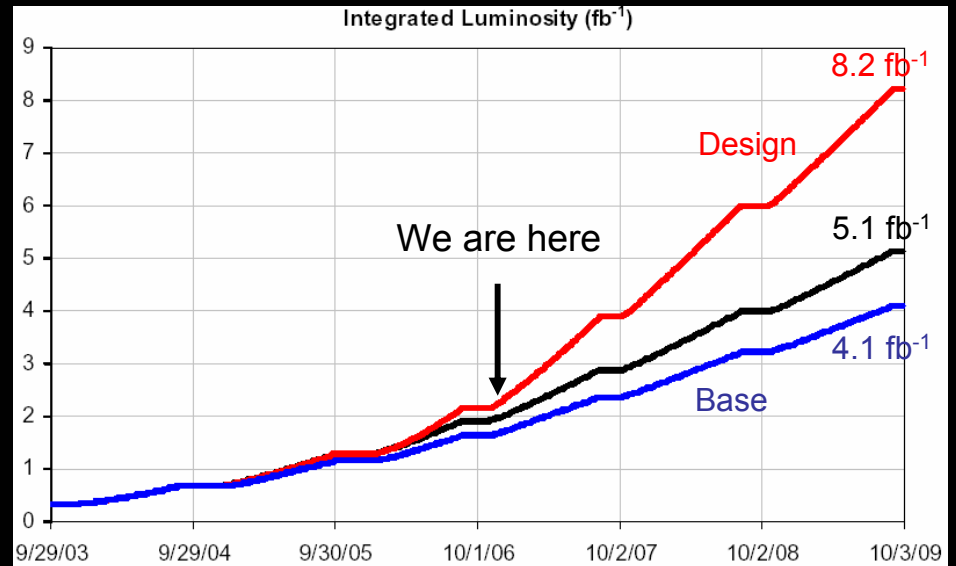
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# Tevatron

- Run I (1992-1996)
  - $\sqrt{s} = 1.8 \text{ TeV}$
  - $\int L dt = 120 \text{ pb}^{-1}$
- Run II (2001-present)
  - $\sqrt{s} = 1.96 \text{ TeV}$
  - peak luminosity
    - $2.2 \times 10^{32} / \text{cm}^2 / \text{s}$
  - $\int L dt$  today at DØ
    - delivered  $2.0 \text{ fb}^{-1}$
    - recorded  $1.7 \text{ fb}^{-1}$
    - analyzed  $\approx 900 \text{ pb}^{-1}$
  - $\int L dt$  plan

11/8/2006 • 4-8  $\text{fb}^{-1}$  by 2009

DESY seminar

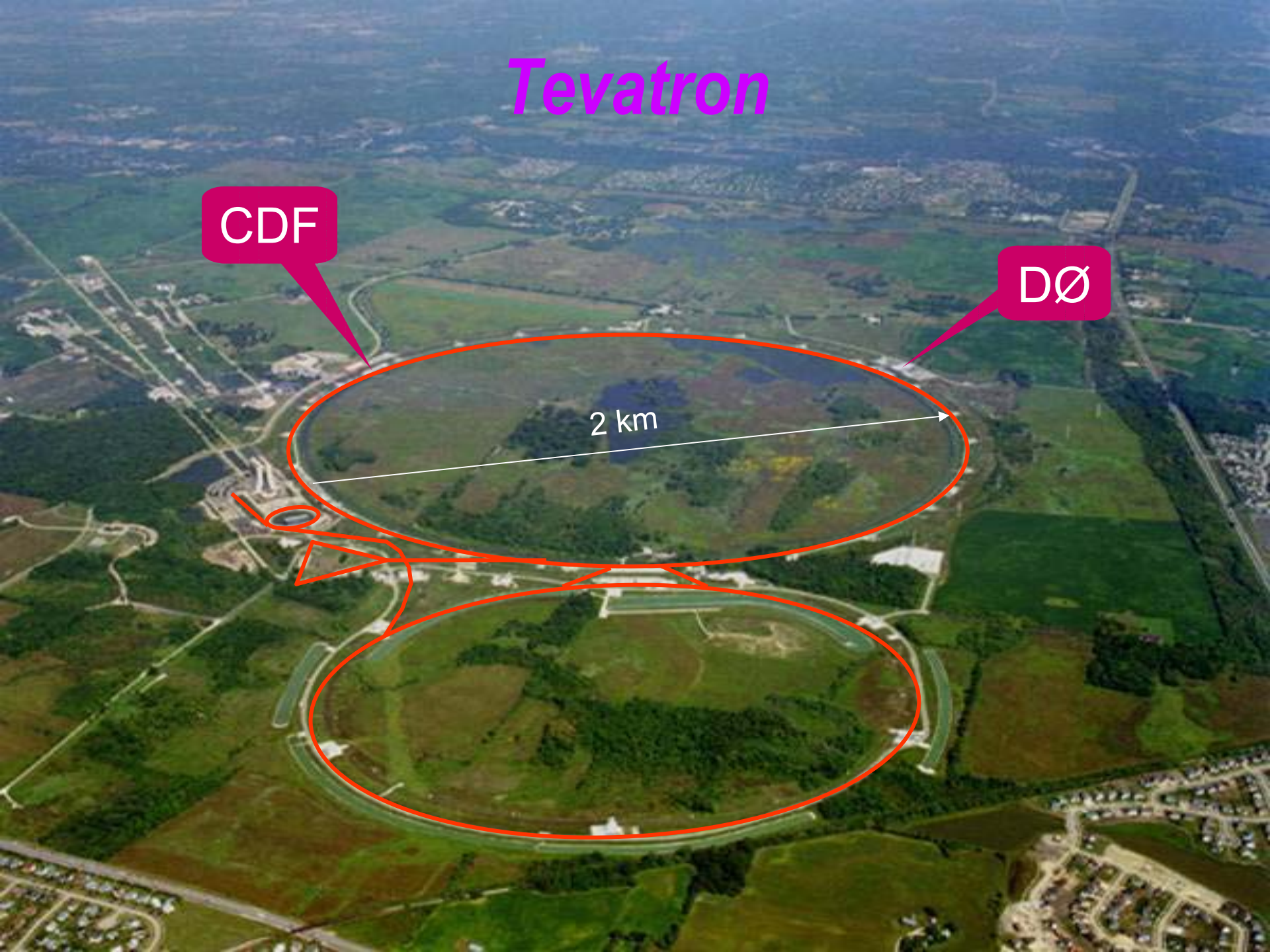


# Tevatron

CDF

DØ

2 km



*DØ detector*

muon toroid

calorimeter

beam pipe



# DØ detector



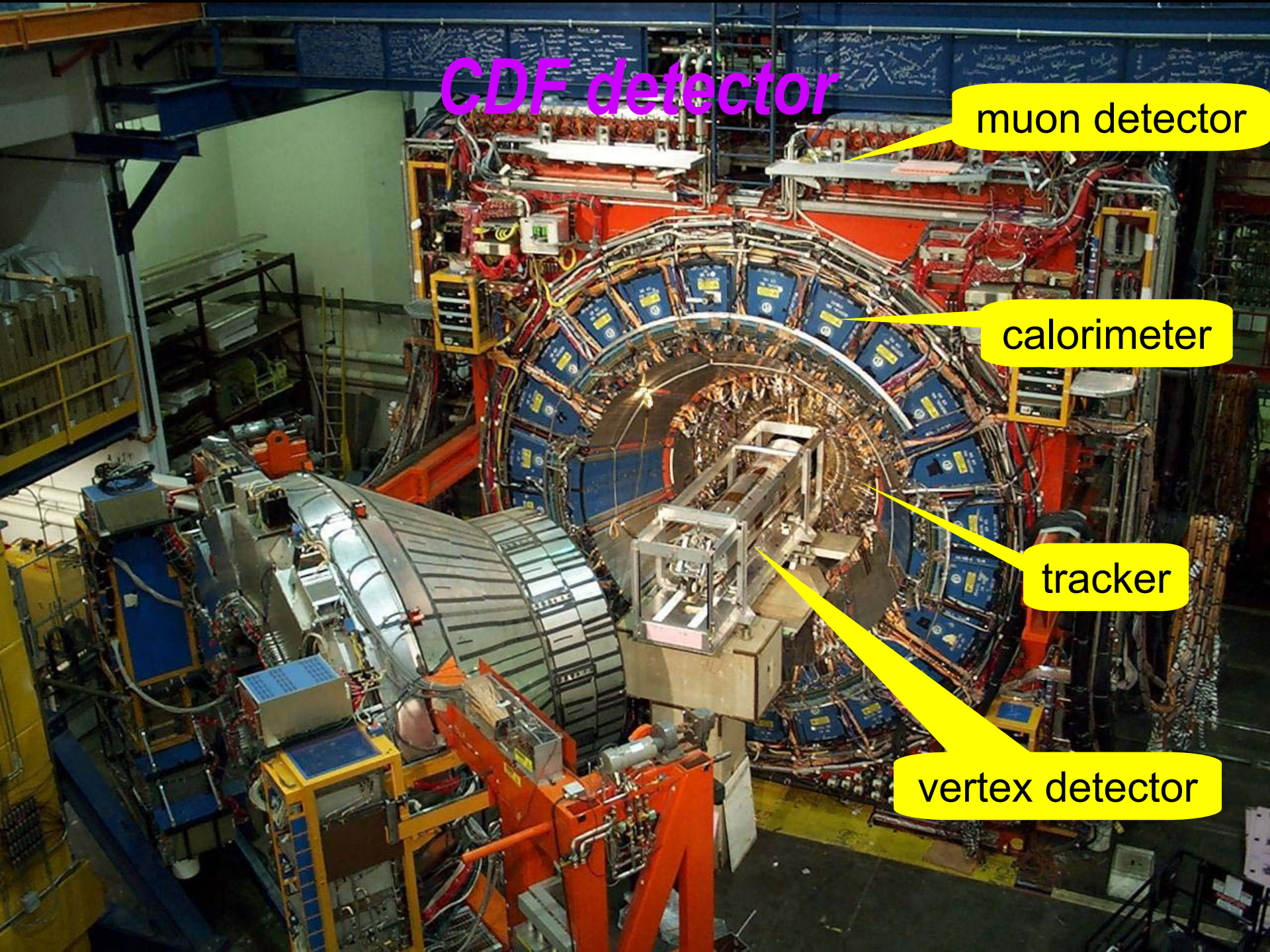
# *CDF detector*

muon detector

calorimeter

tracker

vertex detector

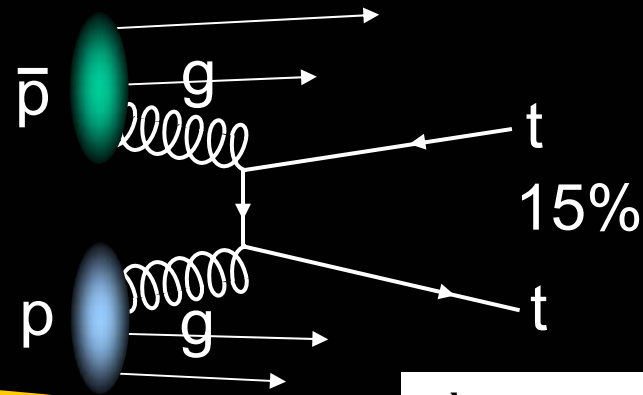
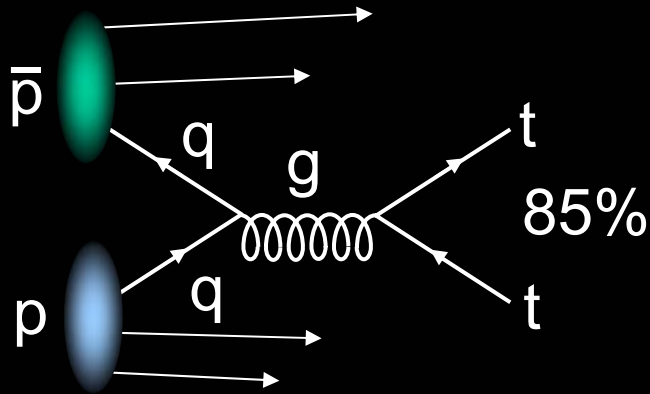


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# top-antitop pair production

- top-antitop pair production



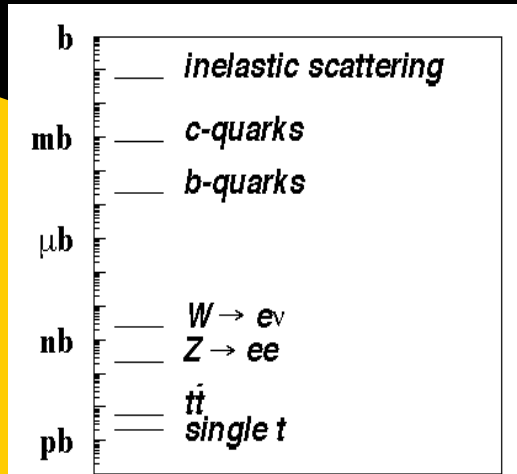
strong interaction (“flavorblind”)  
at  $\sqrt{s}=1.96$  TeV

→  $\sigma(tt) = 6.7 \pm 0.4$  pb

→ expect 1 event every  $10^{10}$  crossings!

Cacciari et al. JHEP 0404:068 (2004)

Kidonaki & Vogt, Phys. Rev. D 68 114014 (2003)



the proverbial needle  
in a hay stack



# top-antitop pair production

- top decay

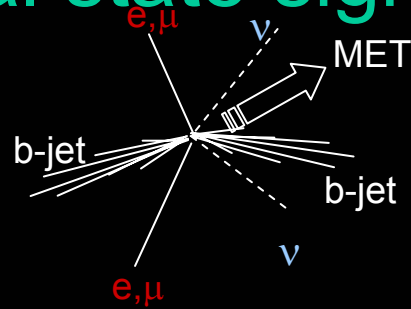
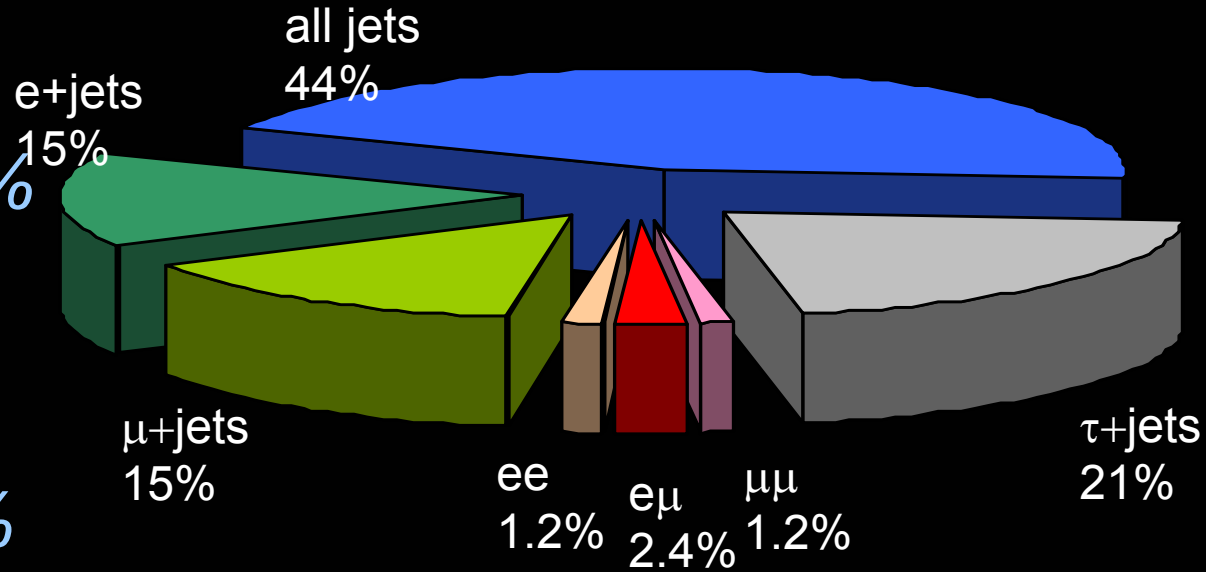
- $t \rightarrow Wb$   $B \approx 100\%$

- W decay

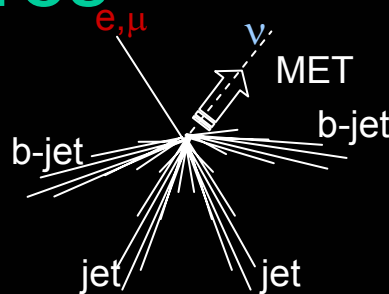
- $W \rightarrow \ell\nu$   $B \approx 11\%$

- $W \rightarrow qq$   $B \approx 67\%$

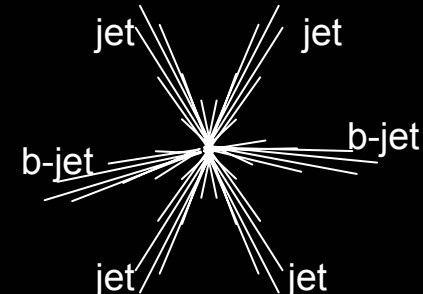
- final state signatures



**Dilepton**  
(BR~5%, low background)



**Lepton+jets**  
(BR~30%, moderate background)



**All-hadronic**  
(BR~46%, huge background)

# *b-jet tagging*

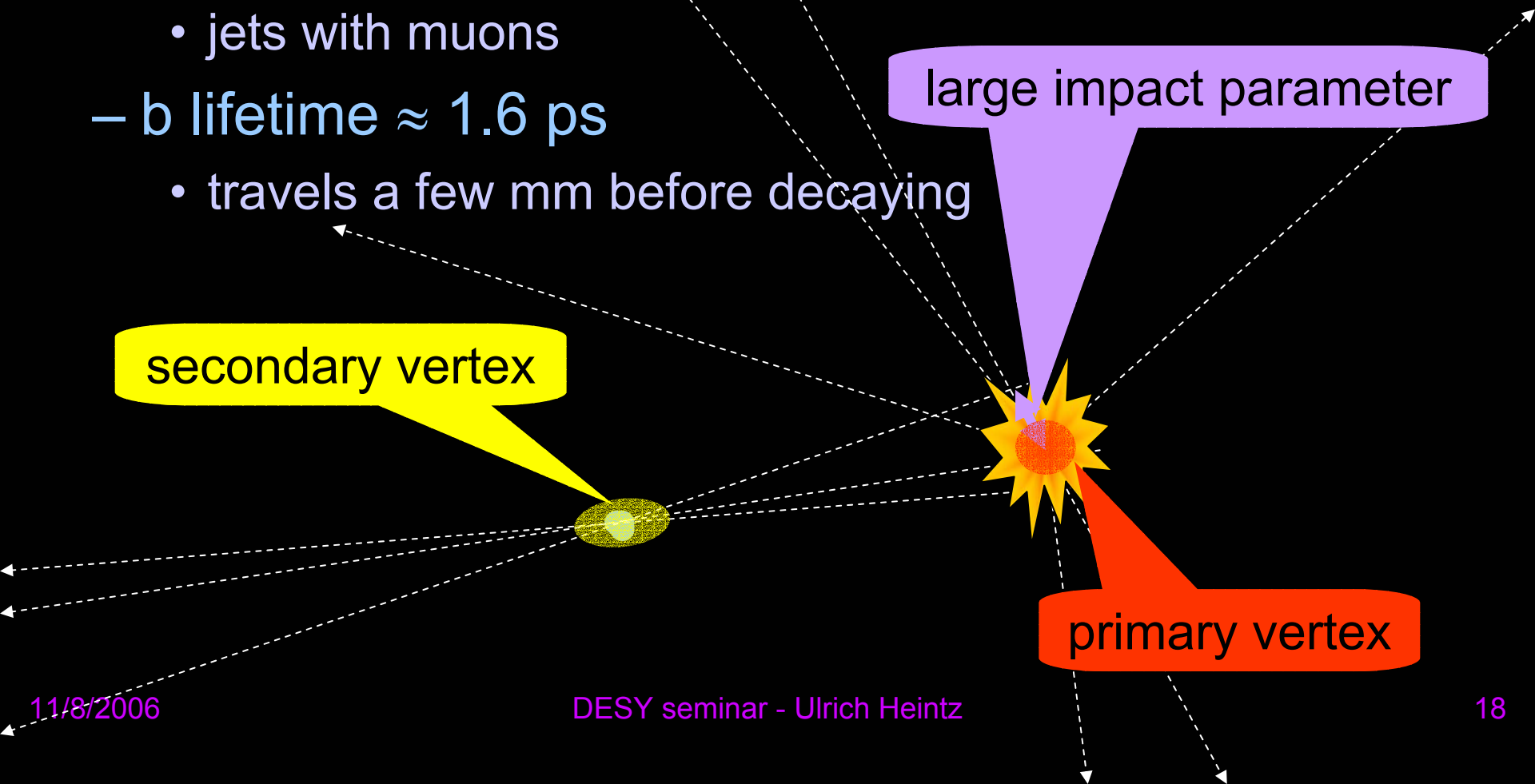
- **b-jet tagging**

- $b \rightarrow \mu + \text{anything}$  (11%)
  - jets with muons
- $b$  lifetime  $\approx 1.6$  ps
  - travels a few mm before decaying

secondary vertex

large impact parameter

primary vertex



# jet energy scale calibration

- transverse momentum balance
  - “ $\gamma$ ” + jet events
  - calibrate jets against electromagnetic response
  - calibrate electromagnetic response with  $Z \rightarrow e^+e^-$
  - systematically limited
- $W \rightarrow$  jets
  - $tt \rightarrow Wb$   $Wb \rightarrow l\nu b$   $qqb$  events
  - fit for  $m_t$  and jet energy scale  $\alpha_{jes}$  simultaneously
  - statistically limited

# outline

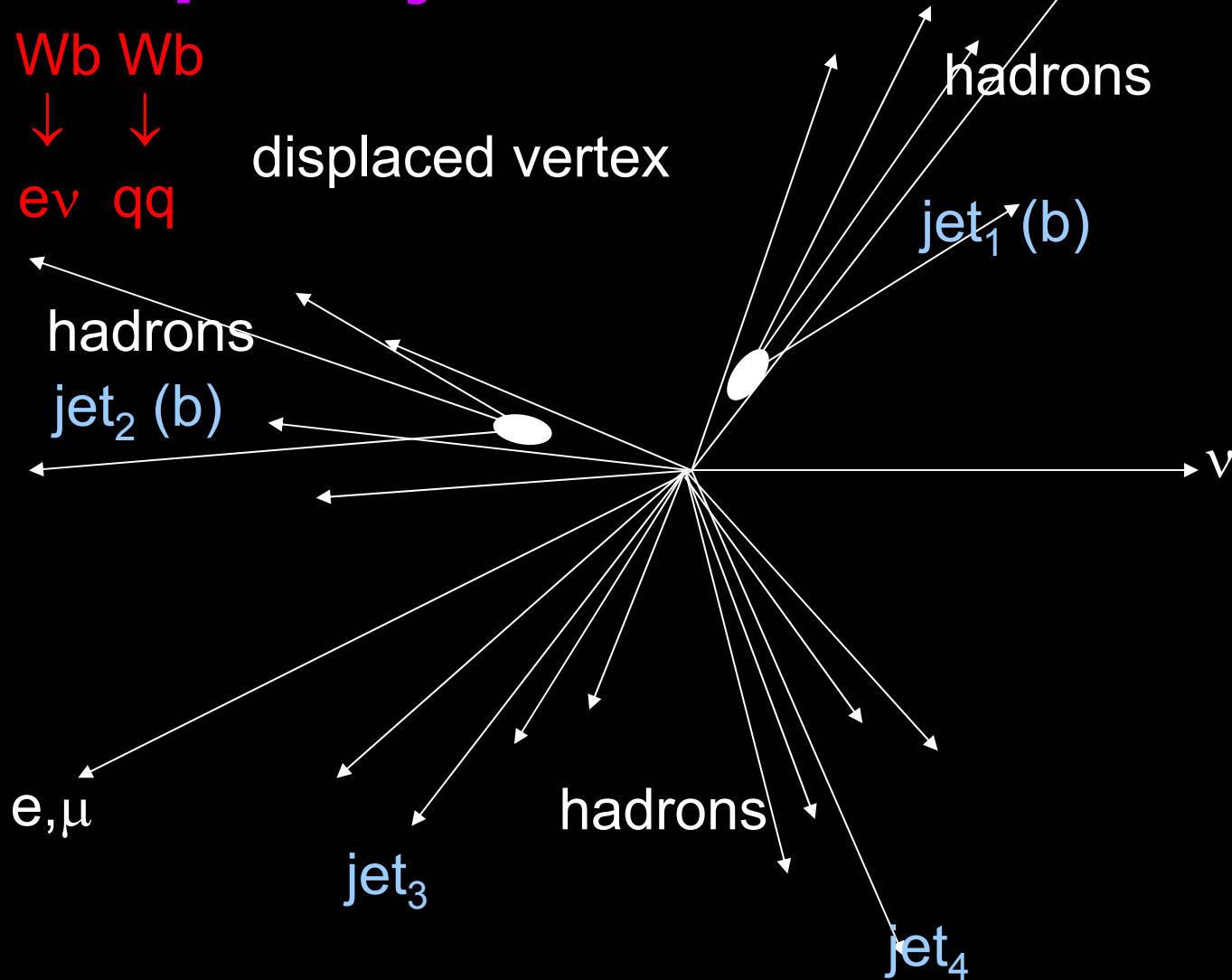
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# lepton+jets event kinematics

$tt \rightarrow Wb Wb$

$\downarrow \quad \downarrow$   
 $e\nu \quad qq$

displaced vertex



# lepton+jets event kinematics

- 2 unknowns

- $p_z^\nu$  and  $m_t$

- 4 constraints

- $m(e, \nu) = m_W$

- quadratic equation for  $p_z(\nu)$
    - choose smaller value

- $m(j_3, j_4) = m_W$

- $m(j_2, j_3, j_4) = m_t$

- $m(e, \nu, j_1) = m_t$

- perform 2-C kinematic fit for  $m_t$

# *lepton+jets event kinematics*

- complications
  - combinatorics
    - $j_1, j_2, j_3, j_4 \rightarrow b, b, W$  (12 permutations)
    - $b, j_2, j_3, j_4 \rightarrow b, b, W$  (8 permutations)
    - $b, b, j_3, j_4 \rightarrow b, b, W$  (2 permutations)
  - gluon radiation
    - initial state radiation
      - momentum from initial quark/antiquark or spectators  $\rightarrow$  overestimate  $m_t$
    - final state radiation
      - momentum from t or b quarks  $\rightarrow$  underestimate  $m_t$
- many techniques

# *template method*

- first technique used to measure top quark mass
  - used by DØ and CDF
  - pick an estimator (e.g. mass from kinematic fit)
  - compute probability density using events simulated with a range of values of  $m_t$  (templates)
  - maximum likelihood fit to extract measured  $m_t$



# CDF lepton+jets channel ( $680 \text{ pb}^{-1}$ )

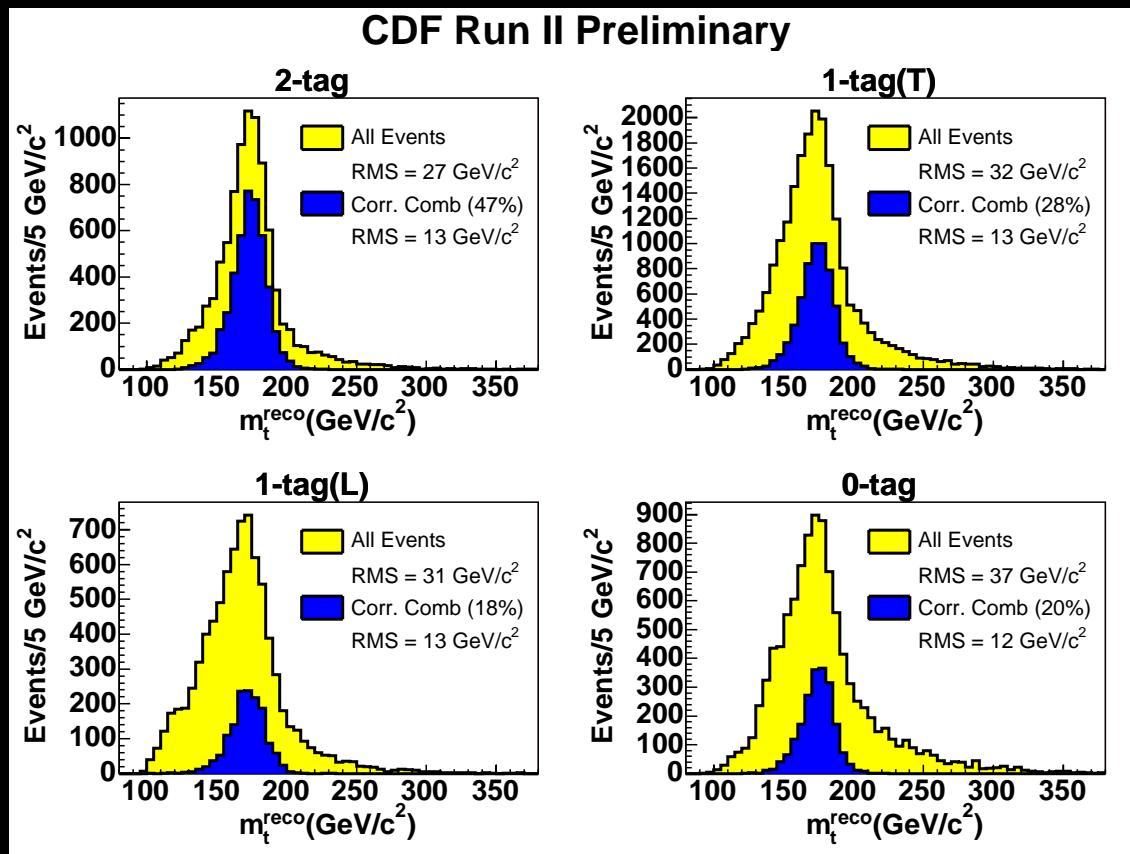
- require

- one electron or muon
- $\geq 4$  jets
- missing  $p_T$

	2 tags	1 tag tight	1 tag loose	0 tags	
b-tags	2	1	1	0	
$p_T$ jets 1-3	>15	>15	>15	>21	GeV
$p_T$ jet 4	>8	>15	>8	>21	GeV
background	$4.0 \pm 1.3$	$22.2 \pm 4.7$	$30.6 \pm 6.7$	$\approx 70$	
top	46.8	104.4	64.2	$\approx 41$	
events	38	105	61	97	

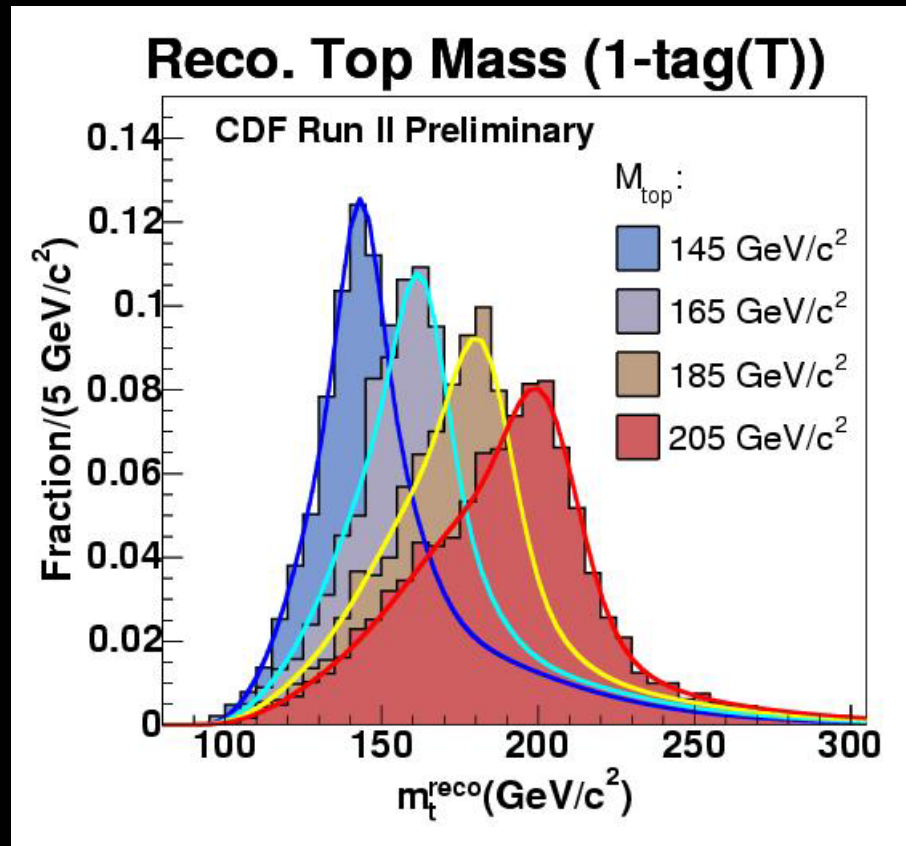
# template method

- perform kinematic fit  $\rightarrow m_{fit}$
- keep jet assignment with lowest  $\chi^2$  if  $\chi^2 < 9$



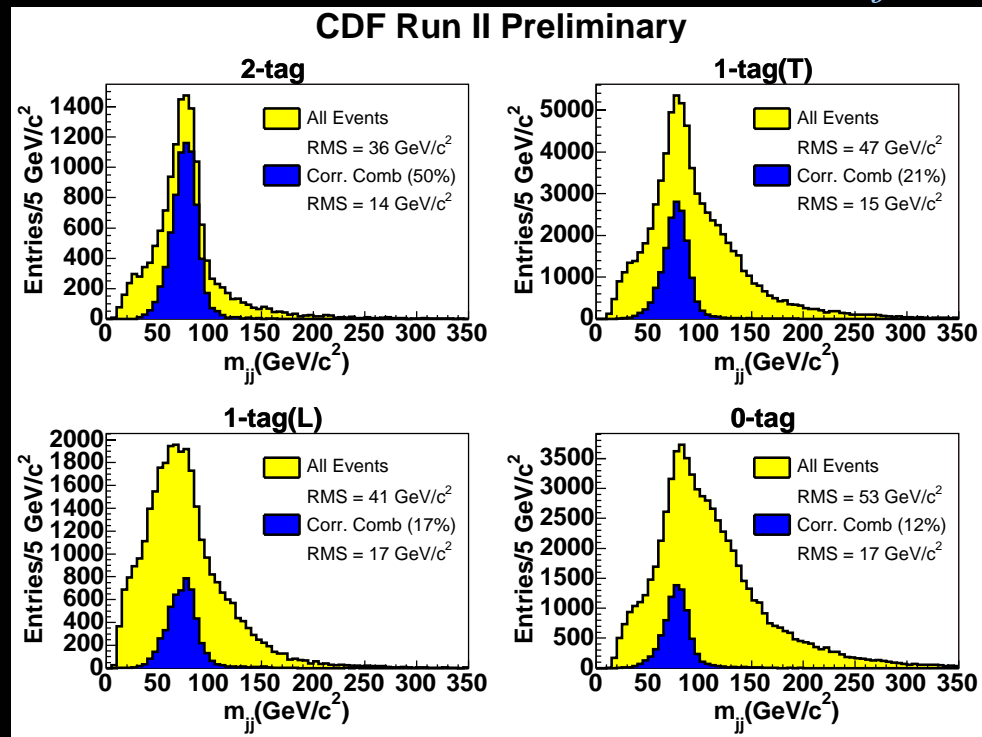
# template method

- parametrize histograms of  $m_{fit}$  as a function of  $m_t$  and  $\alpha_{jes}$



# template method

- reconstruct hadronic W decay
  - mass of all pairs of untagged jets
  - parametrize as function of  $m_t$  and  $\alpha_{jes}$



# template method

CDF Conf. Note 8125

source	error (GeV)
--------	----------------

b-jet scale	0.6
res jet scale	0.7

bkg jet scale	0.4
---------------	-----

ISR	0.5
-----	-----

FSR	0.2
-----	-----

pdf	0.3
-----	-----

generators	0.2
------------	-----

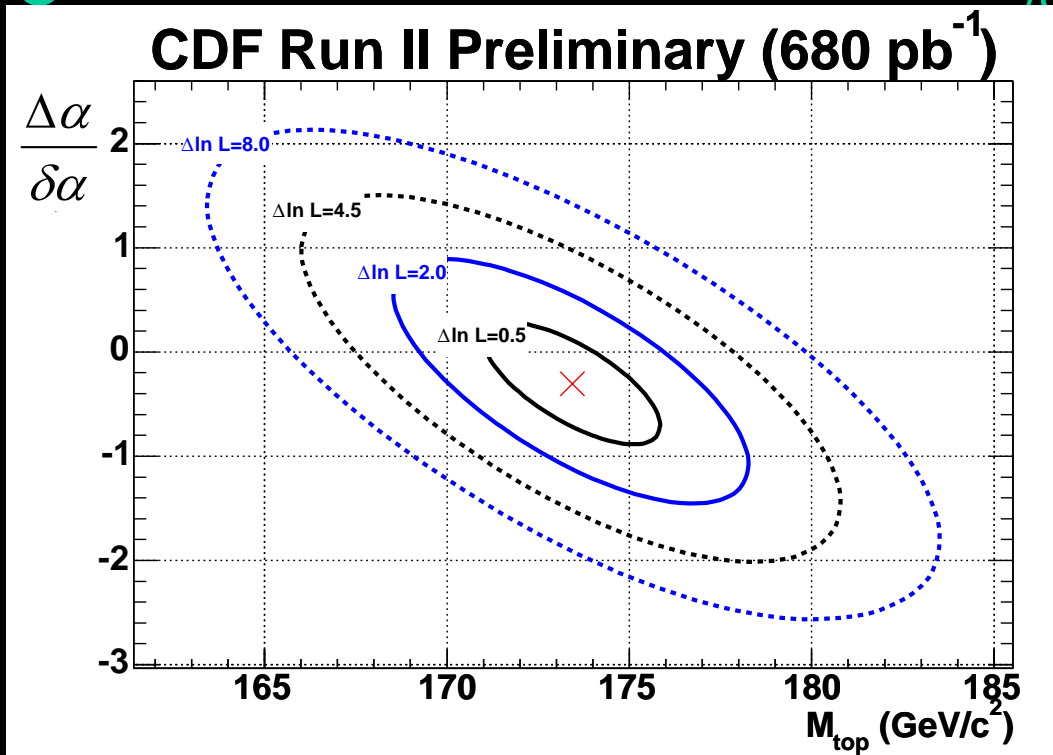
background	0.5
------------	-----

b-tagging	0.1
-----------	-----

MC stats	0.3
----------	-----

<b>total</b>	<b>1.3</b>
--------------	------------

- simultaneously fit  $m_{\text{fit}}$  and  $m_{\text{jj}}$  tem
- gaussian constraint on  $\Delta\alpha_{\text{ies}} = 0 \pm \delta\alpha$



$$m_t = 173.4 \pm 2.5(\text{stat} \oplus \text{jes}) \pm 1.3(\text{syst}) \text{ GeV}$$

# $D\bar{0}$ lepton+jets channel ( $370 \text{ pb}^{-1}$ )

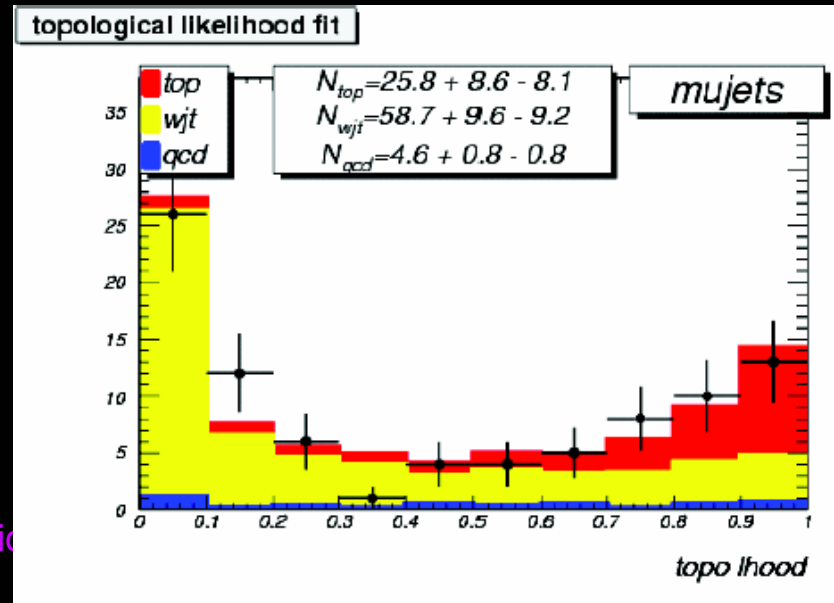
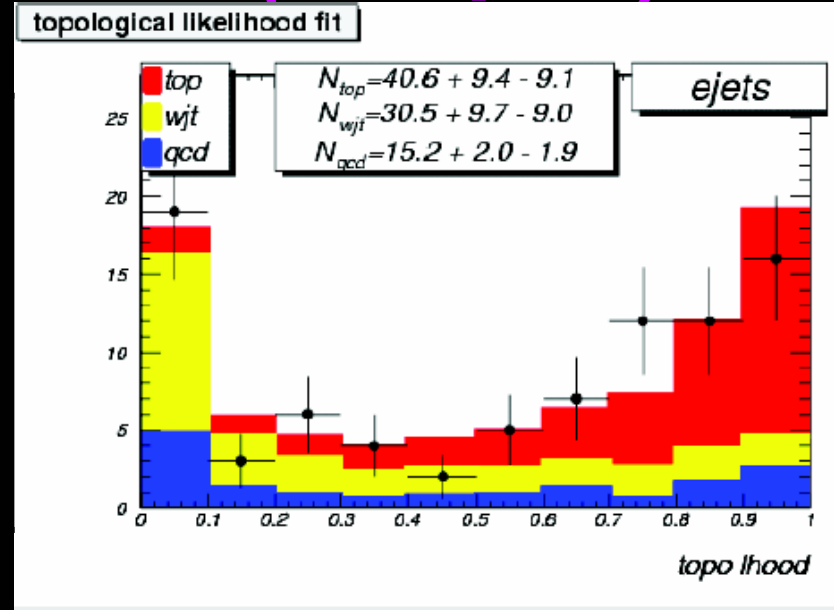
## kinematic event selection

charged lepton  $p_T > 20 \text{ GeV}$   $|\eta| < 1.1$  (e)  
 $|\eta| < 2.0$  ( $\mu$ )

exactly 4 jets  $p_T > 20 \text{ GeV}$   $|\eta| < 2.5$

missing  $p_T > 20 \text{ GeV}$

	e+jets	$\mu$ +jets	$l$ +jets
events	86	89	175
top	$41 \pm 9$	$26 \pm 8$	$66 \pm 12$
W+jets	$30 \pm 9$	$59 \pm 8$	$89 \pm 13$
QCD	$15 \pm 2$	$5 \pm 1$	$20 \pm 2$



# *matrix element method*

- developed by DØ
  - Nature **429**, 638 (2004)
- compute probability to observe each event
  - build event-by-event likelihood
    - use all kinematic information from the events
    - LO ttbar matrix element
    - relative probability to be signal/background
  - no kinematic fit

# matrix element method

- probability density for an event  $o$  if the mass of the top quark is  $m_t$

$$L_{evt}(o | m_t, \alpha_{jes}, f_{top}) = f_{top} p_{sig}(o | m_t, \alpha_{jes}) + (1 - f_{top}) p_{bkg}(o | \alpha_{jes})$$

Diagram illustrating the matrix element method formula. The term  $f_{top}$  is labeled "top fraction" and the term  $\alpha_{jes}$  is labeled "jet scale parameter".

- combine all events in a joint likelihood

$$-\ln L(o_1 \dots o_n | m_t, \alpha_{jes}, f_{top}) = -\sum_{i=1}^n \ln L(o_i | m_t, \alpha_{jes}, f_{top})$$

- and maximize wrt  $m_t, \alpha_{jes}, f_{top}$



# matrix element method

- calculate signal probability

$$p_{sig}(o | m_t, \alpha_{jes}) = \frac{1}{\sigma_{t\bar{t}}(m_t)} \int dx d\bar{x} f(x) f(\bar{x}) d\sigma_{t\bar{t}}(q, m_t) W(o | q, \alpha_{jes})$$

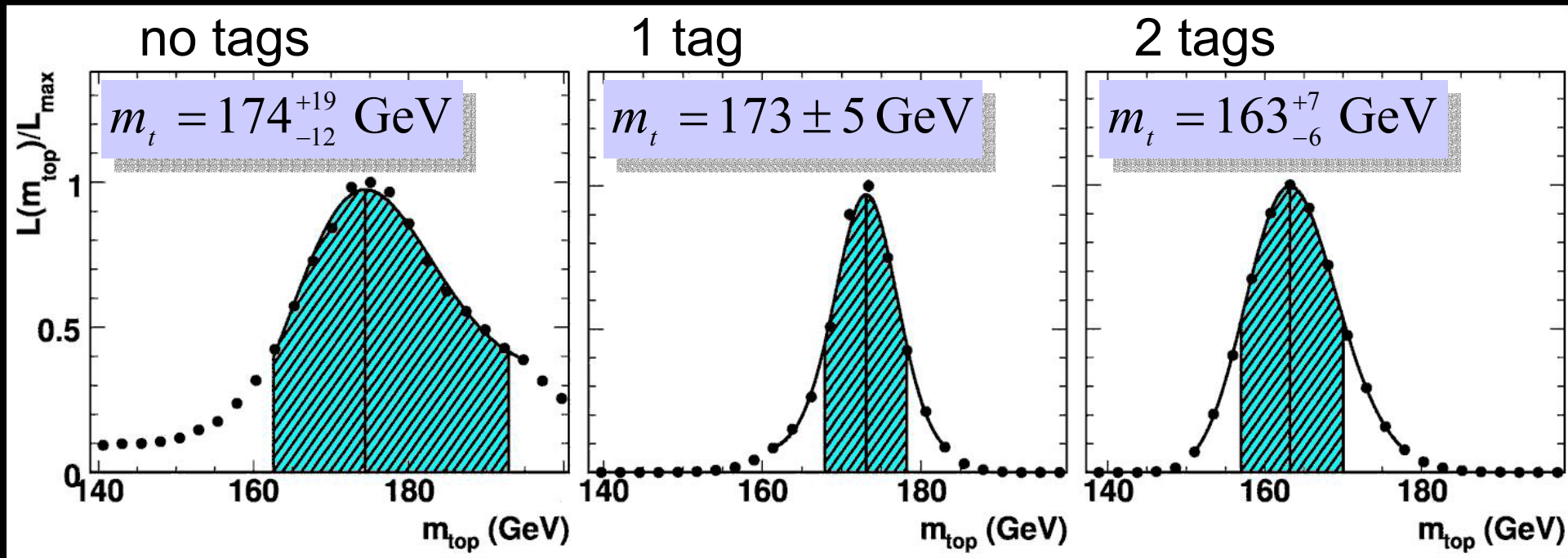
Diagram annotations:

- pdf**: points to  $f(x) f(\bar{x})$
- $|M|^2$  dLIPS**: points to  $d\sigma_{t\bar{t}}(q, m_t)$
- normalization**: points to  $\sigma_{t\bar{t}}(m_t)$
- transfer function parametrize detector response**: points to  $W(o | q, \alpha_{jes})$

- use only events with exactly 4 jets to minimize ISR/FSR
- assume
  - $p_T(tt) = 0$
  - all angles and electron momentum are well measured
- use MC integration techniques for remaining 5(6) integrations
- consider
  - all possible jet-parton assignments
  - all possible solutions for the z-component of the neutrino momenta

# matrix element method

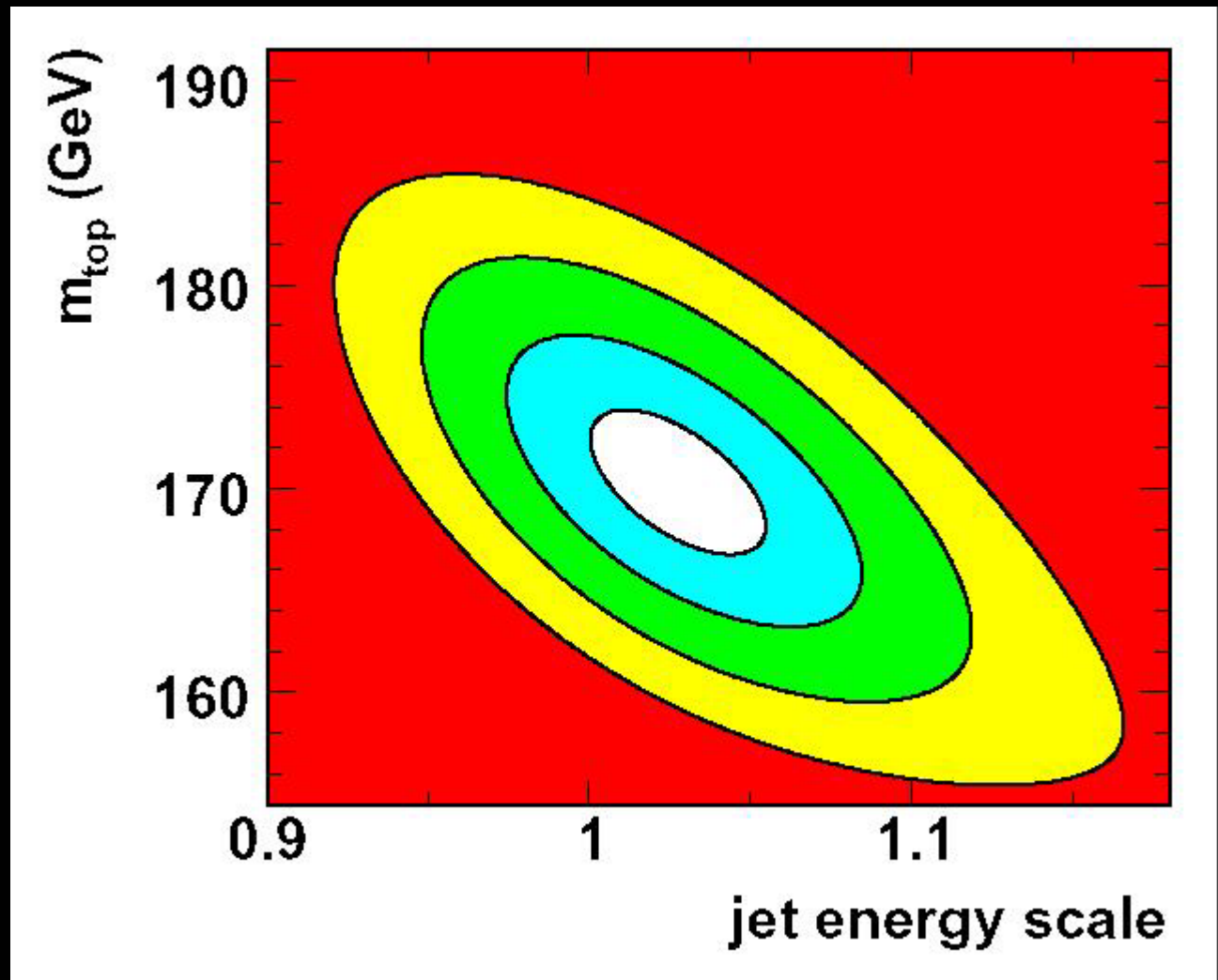
- divide sample into subsamples with 0,1,2 b-tags
  - take advantage of small backgrounds in b-tagged samples
  - use b-tags to assign relative weights to jet permutations



# matrix element method

- 2-d fit in  $m_{\top}$ ,  $\alpha_{jes}$

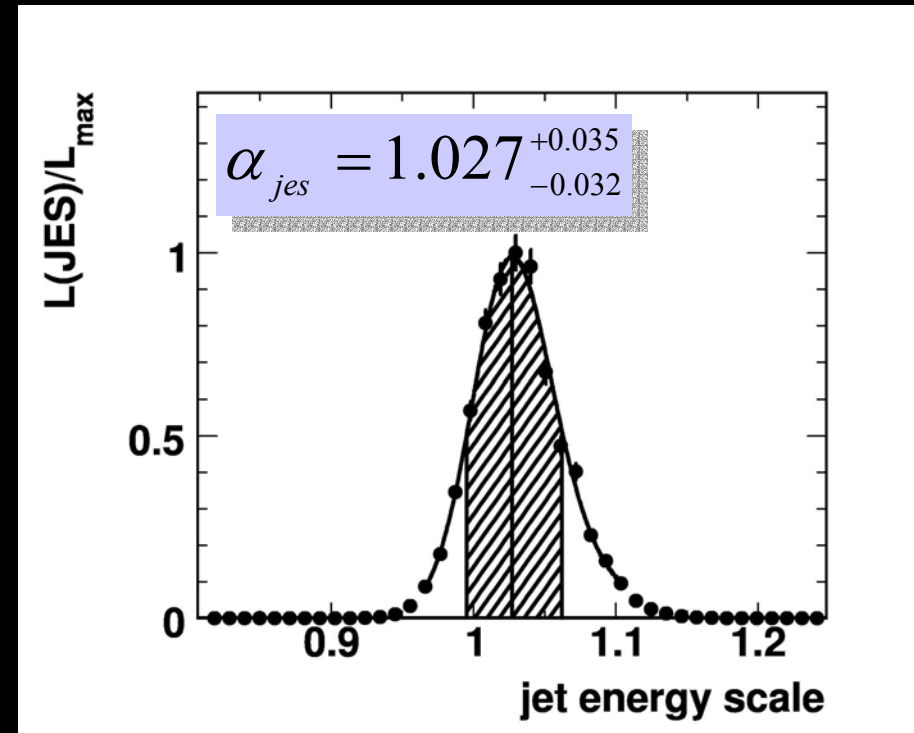
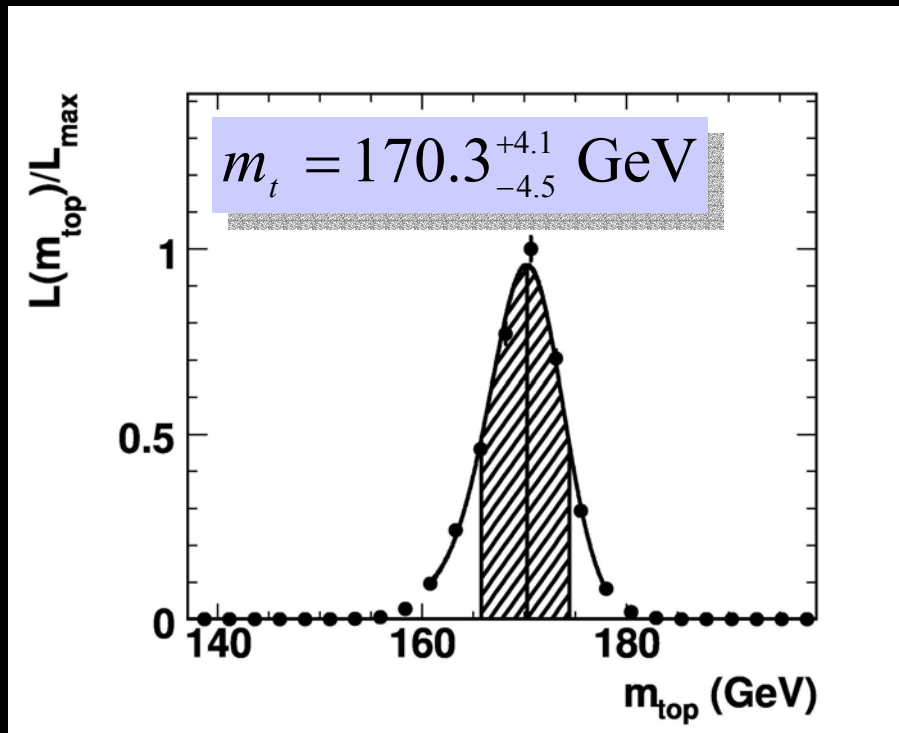
source	error (GeV)
sig/bkg model	0.56
pdf	0.07
b frag/decay	0.71
$\alpha(p_{\top})$	0.25
b response	+0.87 -0.75
b tagging	0.24
$f_{\text{top}}$	0.15
QCD bkg	0.29
MC calibration	0.48
<b>total</b>	<b>1.4</b>



# matrix element method

- fit lepton+jets data

accepted by PRD, hep-ex/0609053



$$m_t = 170.6^{+4.0}_{-4.7} (\text{stat} \oplus \text{jes}) \pm 1.4 (\text{syst}) \text{ GeV}$$

# *ideogram technique*

- developed by DØ
  - use ideas by DELPHI for W mass measurement
  - use power of kinematic fit
  - use all kinematic information

# ideogram technique

- probability density for an event  $o$  if the mass of the top quark is  $m_t$

$$L_{evt}(o | m_t, \alpha_{jes}, f_{top}) = f_{top} p_{sig}(o | m_t, \alpha_{jes}) + (1 - f_{top}) p_{bkg}(o | \alpha_{jes})$$

Diagram annotations: A box labeled "top fraction" has a line pointing to  $f_{top}$ . A box labeled "jet scale parameter" has a line pointing to  $\alpha_{jes}$ .

- combine all events in a joint likelihood

$$-\ln L(o_1 \dots o_n | m_t, \alpha_{jes}, f_{top}) = -\sum_{i=1}^n \ln L(o_i | m_t, \alpha_{jes}, f_{top})$$

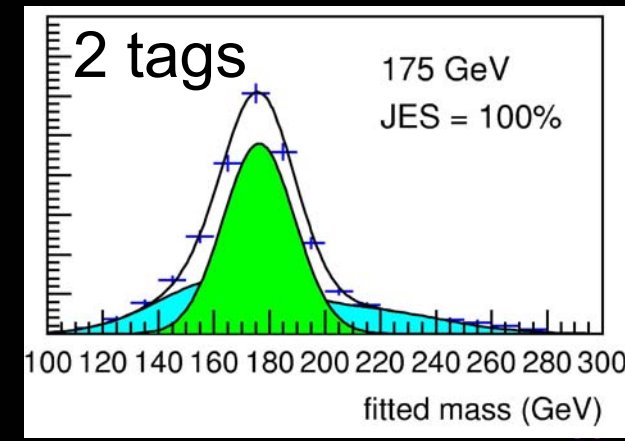
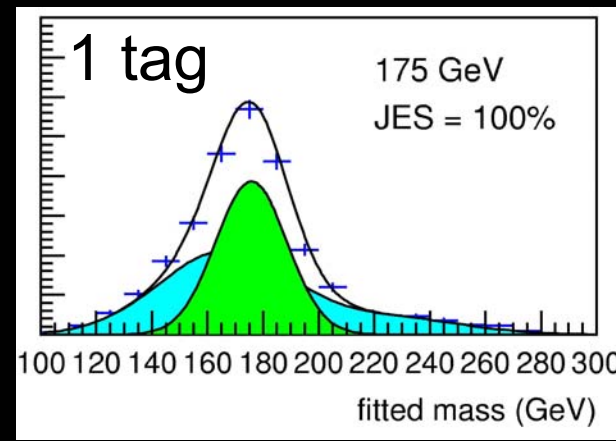
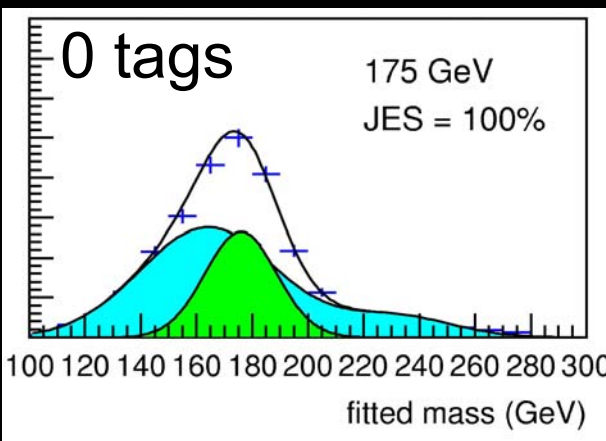
- and maximize wrt  $m_t, \alpha_{jes}, f_{top}$

# ideogram technique

- compute signal probability
  - convolution of Gaussian and Breit-Wigner at every solution of kinematic fit

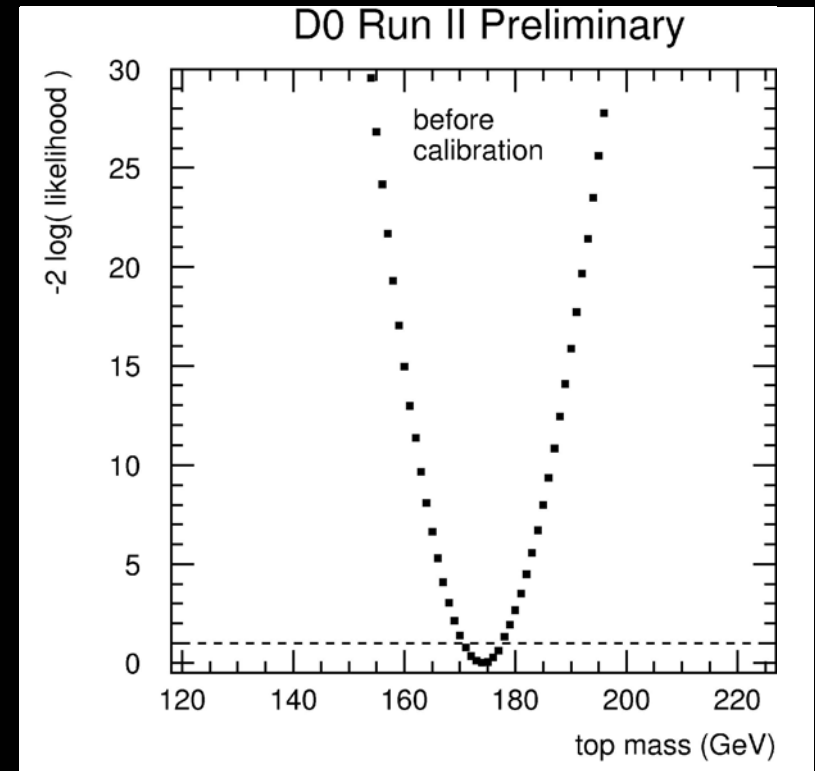
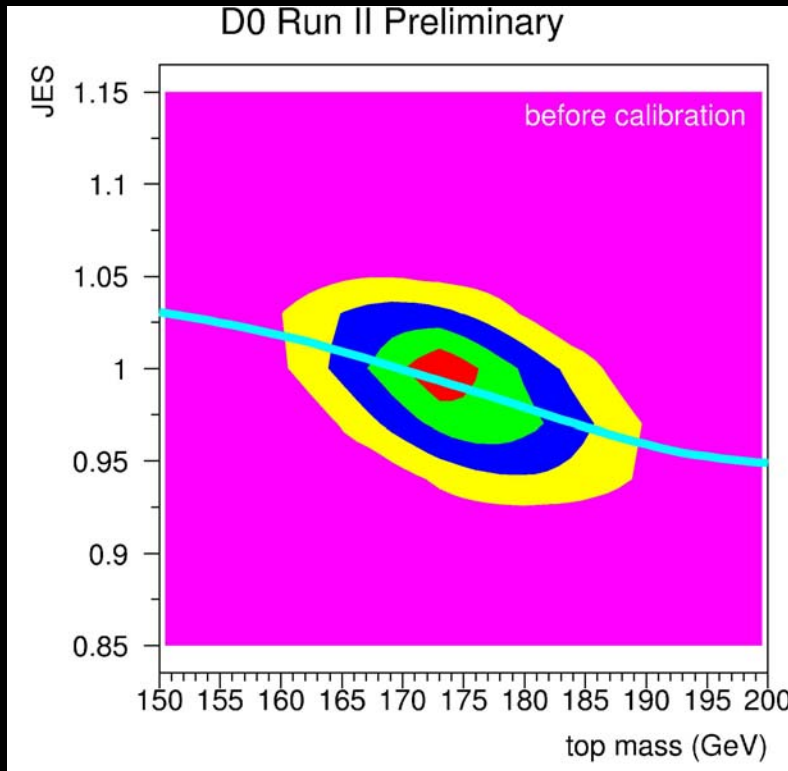
$$p_{sig}(o | m_t, \alpha_{jes}) = p_{sig}(D) \sum_{i=1}^{24} e^{-\frac{\chi_i^2}{2}} \left[ f \int G(m_i, m', \sigma_i) BW(m', m_t) dm' + (1-f) S(m_i, m_t) \right]$$

probability for D if signal  
 fit  $\chi^2$   
 fit mass  
 fit error  
 correct permutation  
 wrong permutation



# ideogram technique

- maximize likelihood wrt  $m_t, f_{top}, \alpha_{jes}$



$$m_t = 173.7 \pm 4.4(\text{stat} \oplus \text{jcs}) \text{ GeV}$$



# ideogram technique

source	error
signal model	0.73 GeV
background model	0.20 GeV
pdf	0.02 GeV
b fragmentation	1.30 GeV
$p_T$ dependence of jes	0.45 GeV
b response	1.15 GeV
b tagging	0.29 GeV
trigger	+0.6/-0.3 GeV
jet efficiency/resolution	0.22 GeV
$f_{\text{top}}$	0.12 GeV
QCD background	0.28 GeV
MC calibration	0.25 GeV
<b>total</b>	<b>2.1 GeV</b>

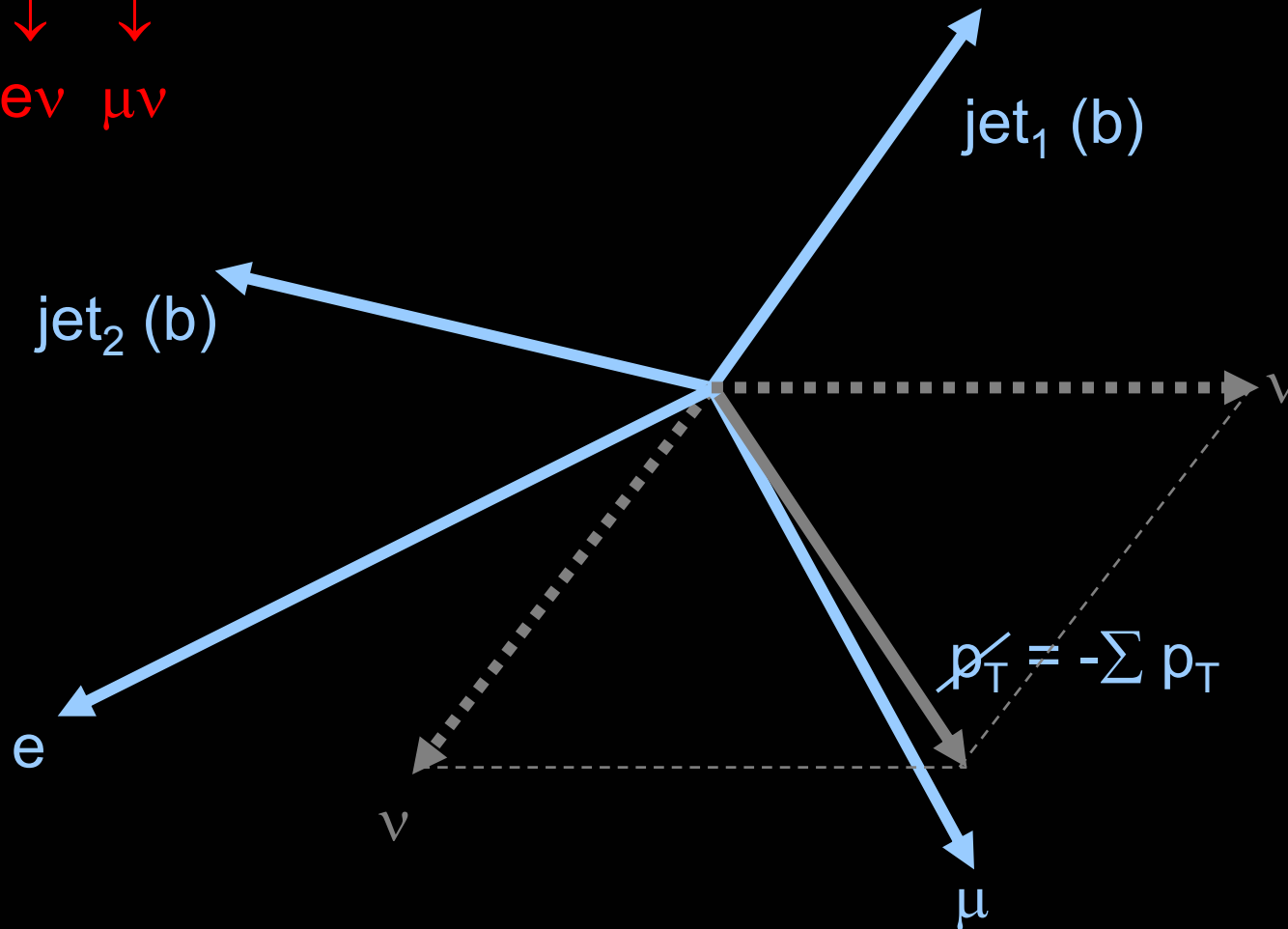
$$m_t = 173.7 \pm 4.4(\text{stat} \oplus \text{jes}) \pm 2.1(\text{syst}) \text{ GeV}$$

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# dilepton event kinematics

$tt \rightarrow Wb Wb$   
 $\downarrow \quad \downarrow$   
 $e\nu \quad \mu\nu$





# dilepton event kinematics

- 2 neutrinos  $\rightarrow$  4 unknowns
- 3 constraints
  - $m(\ell^+ \nu) = m(\ell^- \bar{\nu}) = M_w$  and  $m(\ell^+ \nu b) = m(\ell^- \bar{\nu} \bar{b})$
  - kinematically underconstrained
- 0,2,4 kinematic solutions for each value of  $m_t$
- 2-fold combinatoric ambiguity
- dynamical likelihood methods
  - neutrino weighting ( $\nu$ WT)
    - developed by DØ in Run I
  - matrix element weighting (MWT)
    - following idea by Dalitz&Goldstein, Kondo, first published by DØ

# dilepton channel at DØ

- selection
  - $\geq 2$  jets with  $p_T > 20$  GeV
  - 2 charged opposite sign leptons (e, $\mu$ ) with  $p_T > 15$  GeV
- further channel specific selections
- expected/observed event yield in  $370 \text{ pb}^{-1}$

	<i>ll</i> notag	<i>ll</i> b-tag	<i>ll</i>	<i>l</i> +track
top	7.2	9.9	15.8	6.3
bkg	5.9	0.3	4.0	2.2
all	$13.2^{+2.8}_{-2.1}$	$10.1 \pm 0.9$	$19.8 \pm 0.6$	$8.5 \pm 0.3$
data	12	14	21	9

 **26 events**  **30 events**

# matrix element weighting

- assume  $m_t$
- calculate  $p_x^\nu, p_x^{\bar{\nu}}, p_y^\nu, p_y^{\bar{\nu}}$  using assumptions and kinematic observables
- assign each hypothesis a likelihood

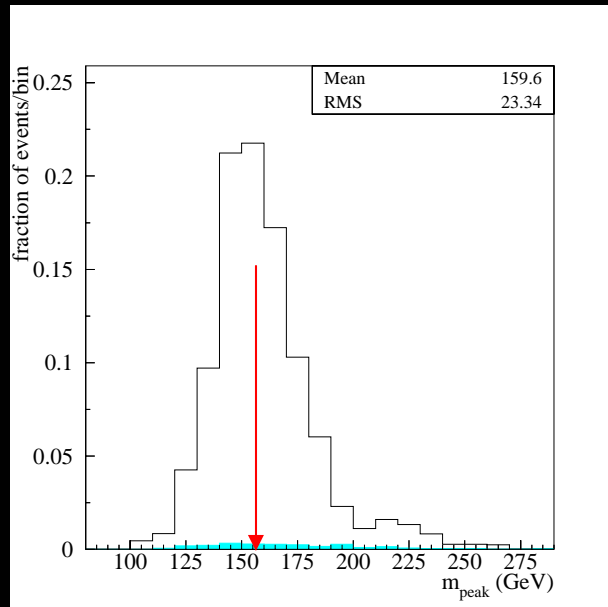
$$w(m_t) = f(x)f(\bar{x})p(E_\ell^* | m)p(E_{\bar{\ell}}^* | m)$$

*R. H. Dalitz and G.R. Goldstein, Phys. Rev. D 45, 1531 (1992)*

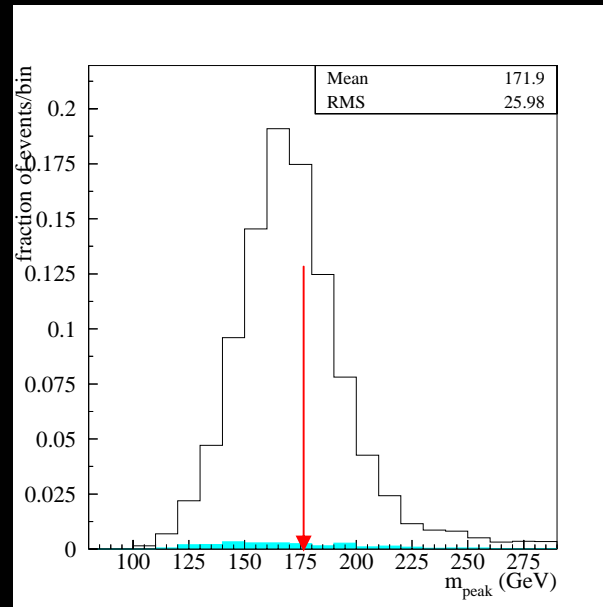
- sum  $w(m_t)$  over up to 4 solutions and 2 jet permutations
- average over detector resolution

# matrix element weighting

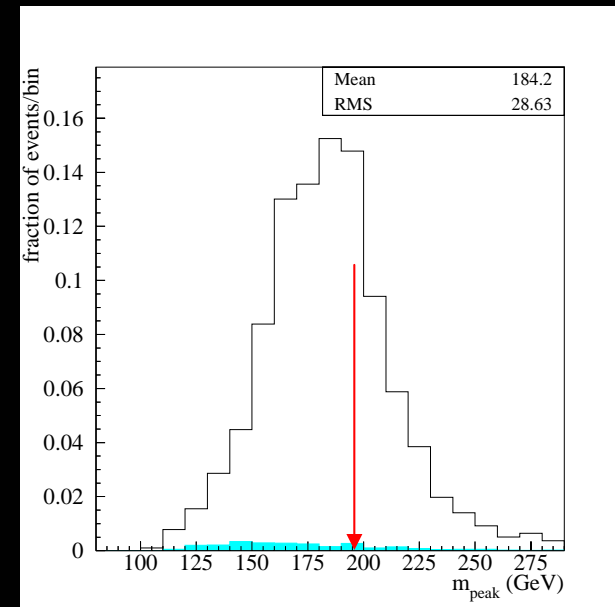
- use value of  $m_t$  at which  $w(m_t)$  is maximal as mass estimator
- full simulation + background (for b-tagged sample)



$m_t = 155$  GeV



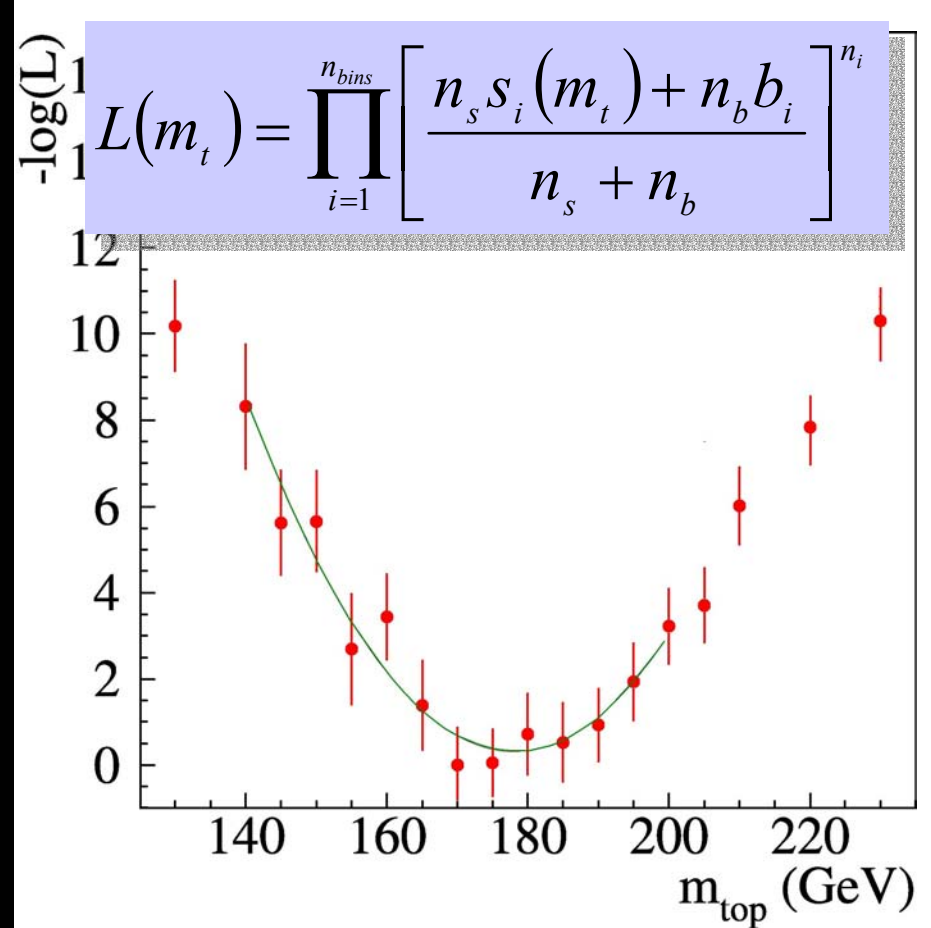
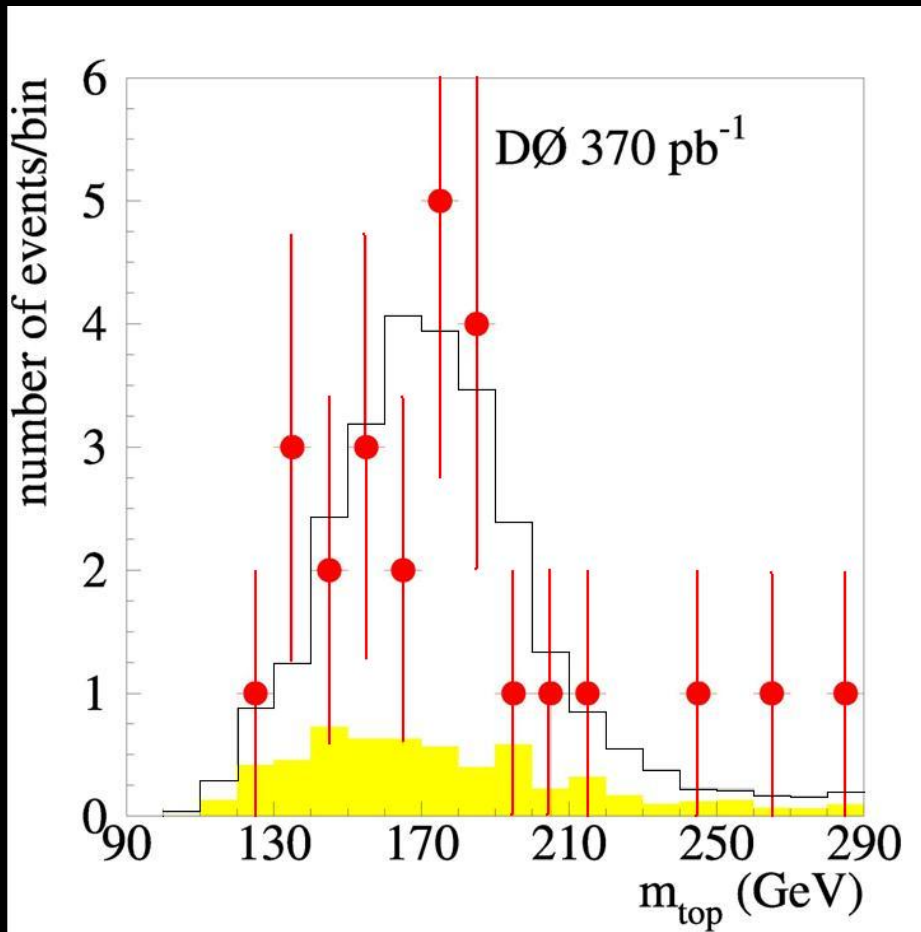
$m_t = 175$  GeV



$m_t = 195$  GeV

# matrix element weighting

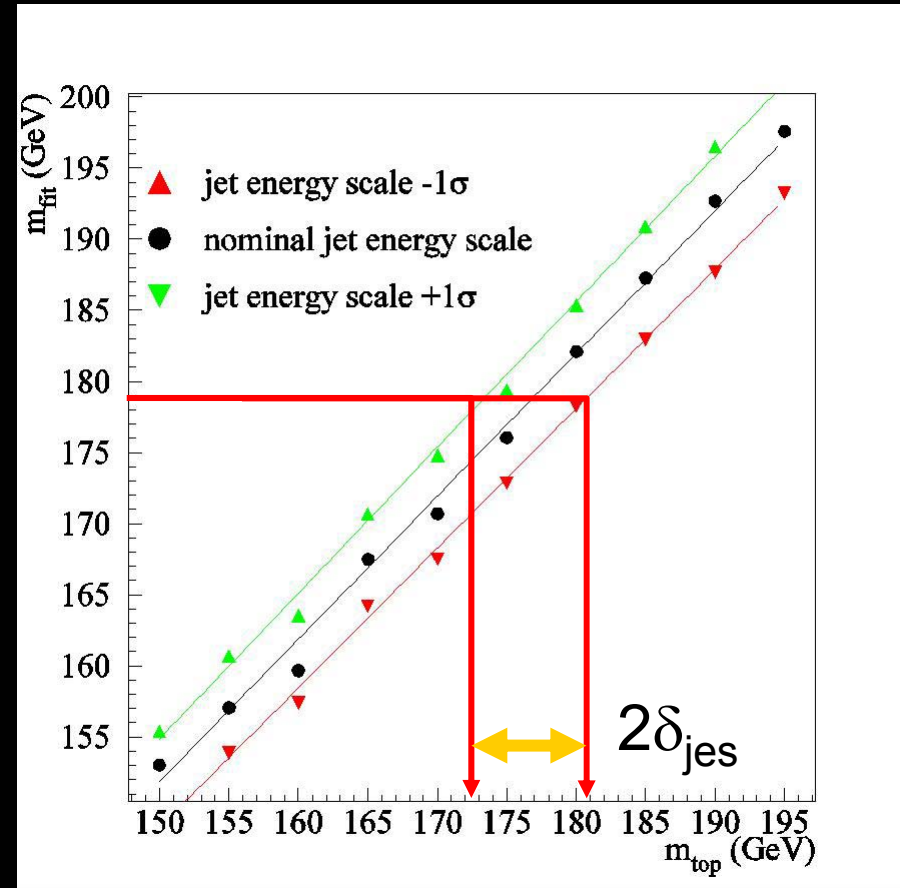
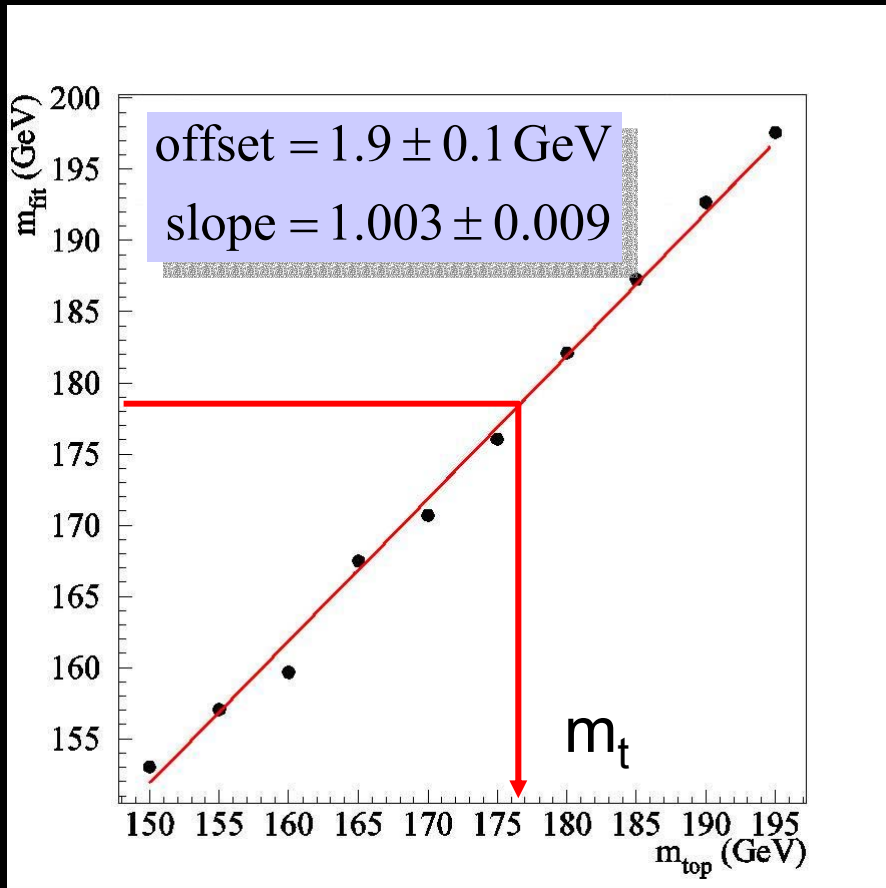
- maximize likelihood wrt  $m_t$  26 events ( $\ell\ell$  notag,  $\ell\ell$  btag)





# matrix element weighting

- check performance using MC ensembles



# neutrino weighting

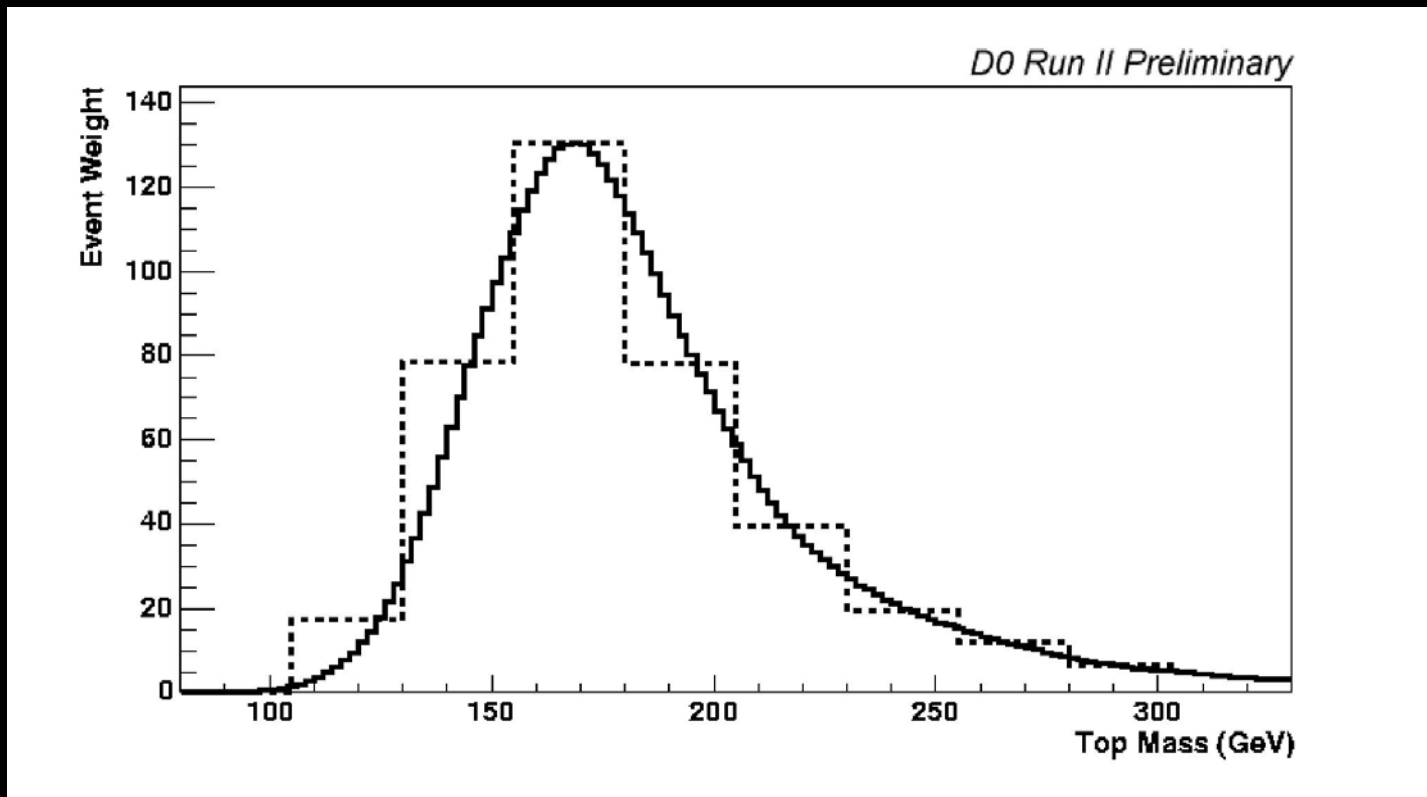
- assume  $m_t, \eta^\nu, \eta^{\bar{\nu}}$
- calculate  $p_x^\nu, p_x^{\bar{\nu}}, p_y^\nu, p_y^{\bar{\nu}}$  using assumptions and kinematic observables (except missing  $p_T$ )
- assign each hypothesis a likelihood

$$w(m_t) = e^{-\frac{(p_x - p_x^\nu - p_x^{\bar{\nu}})^2}{2\sigma^2}} e^{-\frac{(p_y - p_y^\nu - p_y^{\bar{\nu}})^2}{2\sigma^2}}$$

- sum  $w(m_t)$  over all values of  $\eta^\nu, \eta^{\bar{\nu}}$ , up to 4 solutions and 2 jet permutations
- average over detector resolution

# neutrino weighting

- divide  $w(m_t)$  into 10 bins and normalize
  - each event is characterized by 9 component vector



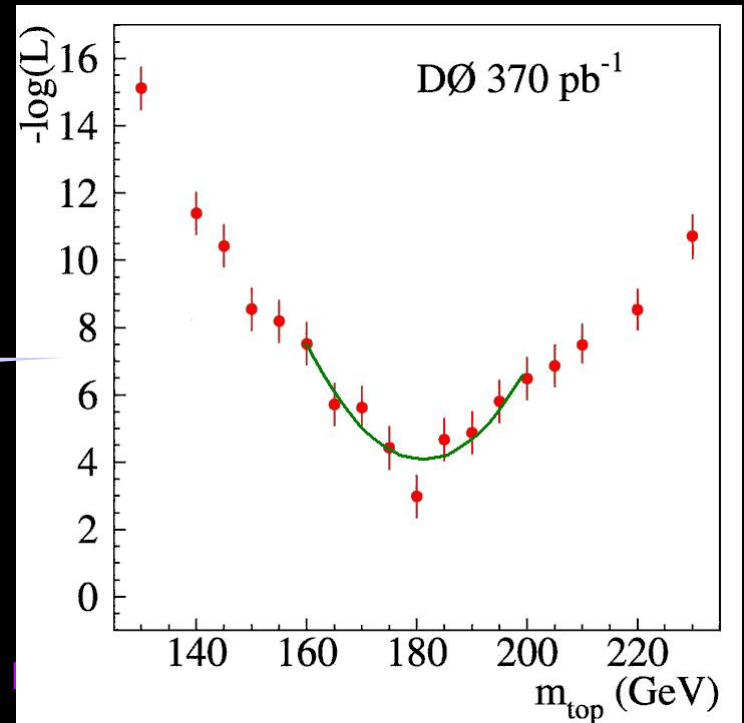
# neutrino weighting

- compute likelihood by comparing to MC results for different values of  $m_t$

$$L(\vec{w}, \bar{n}_b, n | m_t, n_b, n_s) = g(n_b, \bar{n}_b, \sigma_b) p(n_s + n_b, n) \prod_{i=1}^n \frac{n_s f_s(\vec{w}_i | m_t) + n_b f_b(\vec{w}_i)}{n_s + n_b}$$

$$f_s(\vec{w} | m_t) = \frac{1}{N} \sum_{j=1}^N \prod_{i=1}^9 \frac{\exp[-(w_j - \tilde{w}_{ij})^2 / 2h^2]}{\int_0^1 \exp[-(w - \tilde{w}_{ij})^2 / 2h^2] dw}$$

30 events ( $ll, l+\text{track}$ )



# *$D\emptyset$ dilepton results*

MWT:  $m_t = 176.2 \pm 9.2(\text{stat}) \pm 3.9(\text{syst}) \text{ GeV}$

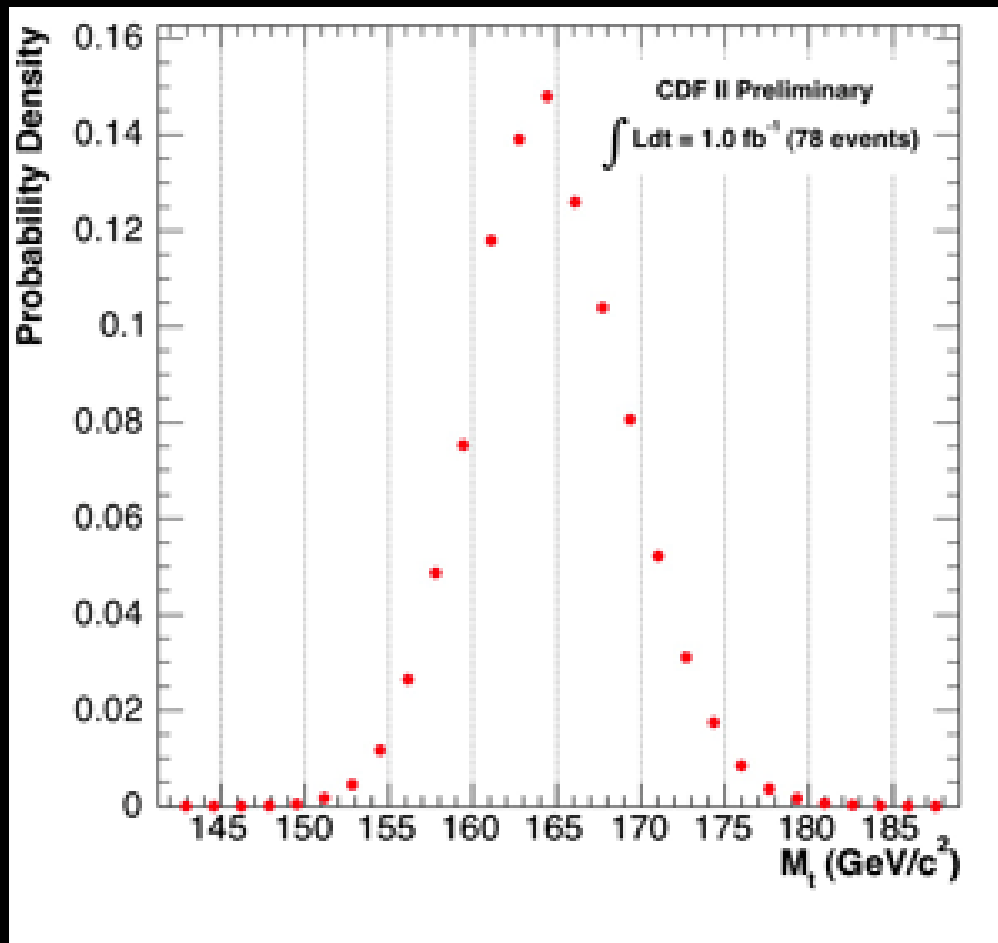
$\nu$ WT:  $m_t = 179.5 \pm 7.4(\text{stat}) \pm 5.6(\text{syst}) \text{ GeV}$

**$m_t = 178.1 \pm 6.7(\text{stat}) \pm 4.8(\text{syst}) \text{ GeV}$**

submitted to PRL  
hep-ex/0609056

source	uncertainty
jet scale	4.3
resolutions	0.4
gluon radiation	1.5
pdf	0.8
background	0.9
MC statistics	0.9
<b>total</b>	<b>4.8</b>

# CDF matrix element analysis



CDF Conf. Note 8369

source	error
jet scale	3.5 GeV
generator	0.9 GeV
method	0.6 GeV
sample composition	0.7 GeV
background	0.7 GeV
ISR/FSR	0.4 GeV
pdf	0.8 GeV
<b>total</b>	<b>3.9 GeV</b>

$$m_t = 164.5 \pm 3.9(\text{stat}) \pm 3.9(\text{syst}) \text{ GeV}$$

# outline

- the top quark
- the top quark factory
- top quark production and decay
- top quark mass in the lepton+jets channel
- top quark mass in the dilepton channel
- putting it all together
- beyond the Tevatron
- conclusion

# *all hadronic top decays*

- DØ (Run I – 110 pb<sup>-1</sup>)
  - b-tagging
  - neural network discriminant
  - kinematic fit
  - $m_t = 178.5 \pm 13.7(\text{stat}) \pm 7.7(\text{syst})$  GeV
- CDF (Run II – 1 fb<sup>-1</sup>)
  - template technique
  - $m_t = 174.0 \pm 2.2(\text{stat}) \pm 4.8(\text{syst})$  GeV

CDF Conf. Note 8420

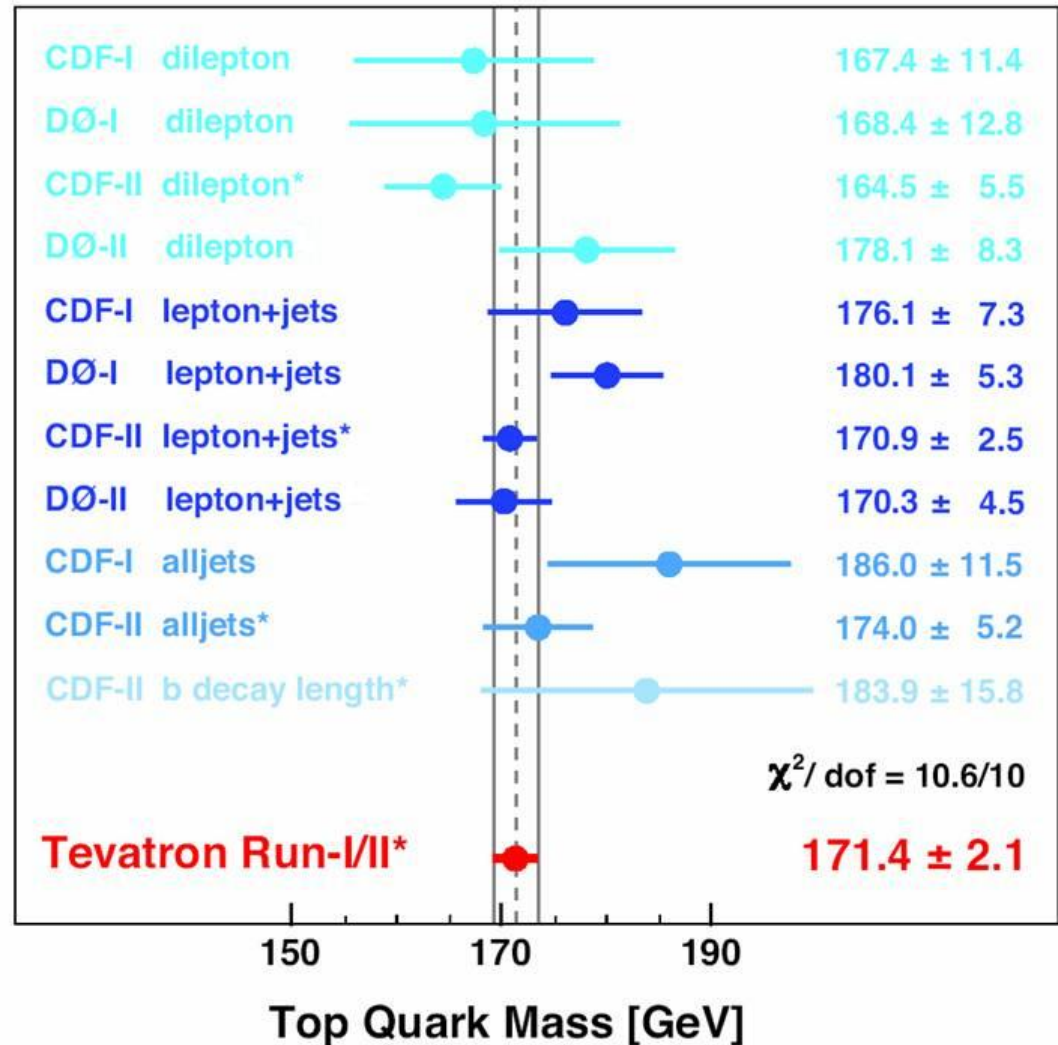


# top mass combination

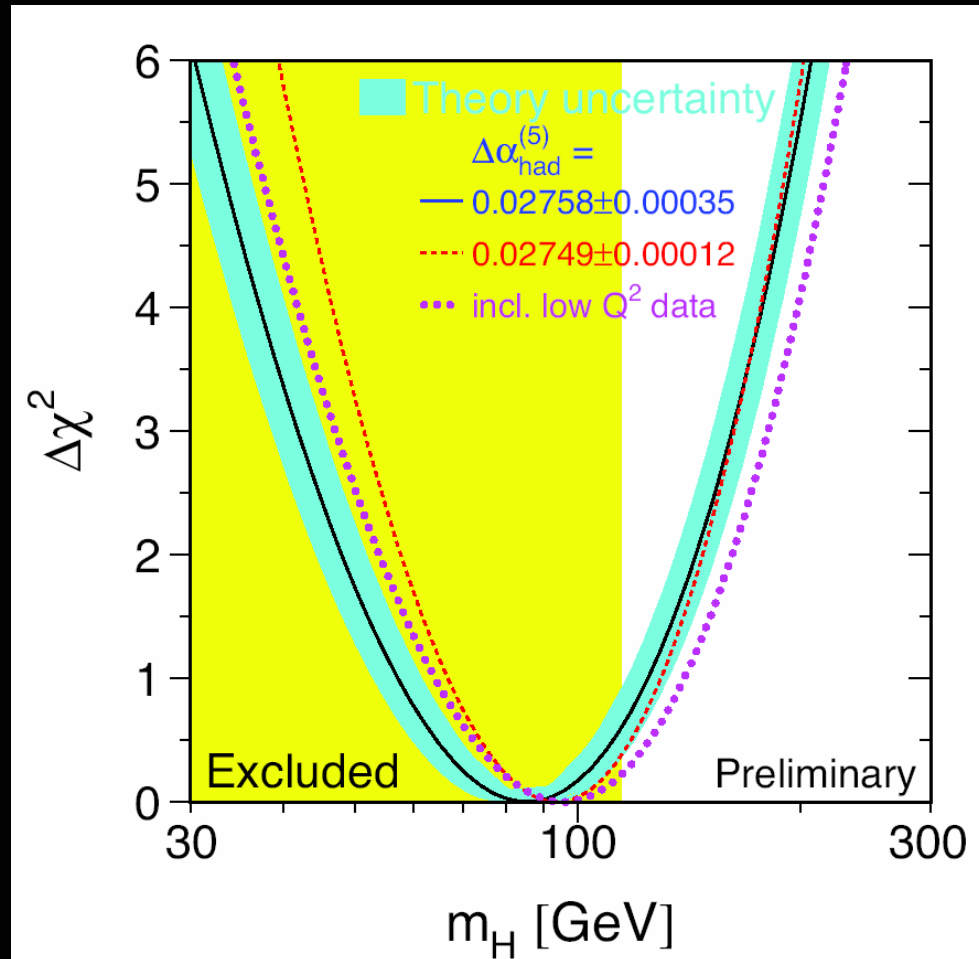
- $m_{top} = 171.4 \pm 2.1$  GeV
- $\delta_{stat} = 1.2$  GeV
- $\delta_{syst} = 1.8$  GeV
- $\chi^2 = 10.6$  (10 d.o.f.)

see also hep-ex/0603039

Best Independent Measurements  
of the Mass of the Top Quark (\*=Preliminary)



# what does top say about the Higgs?

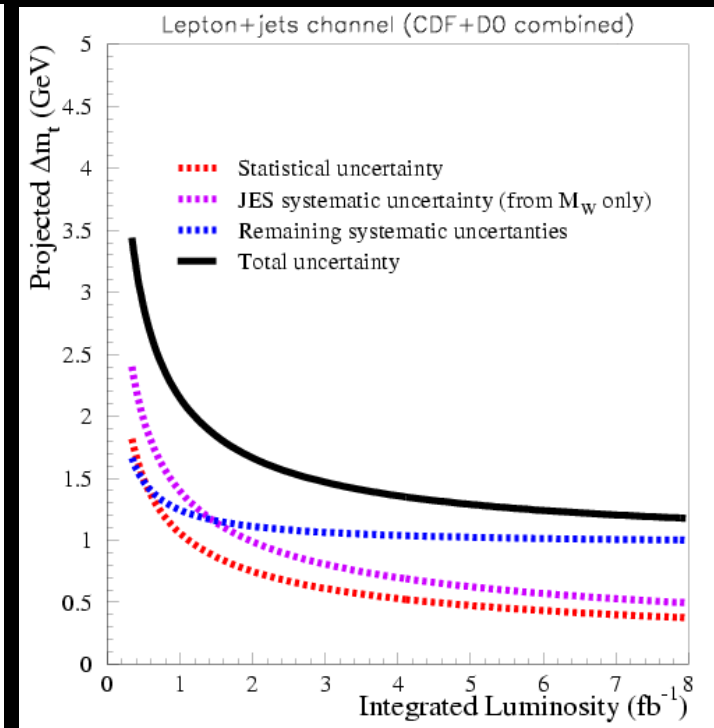
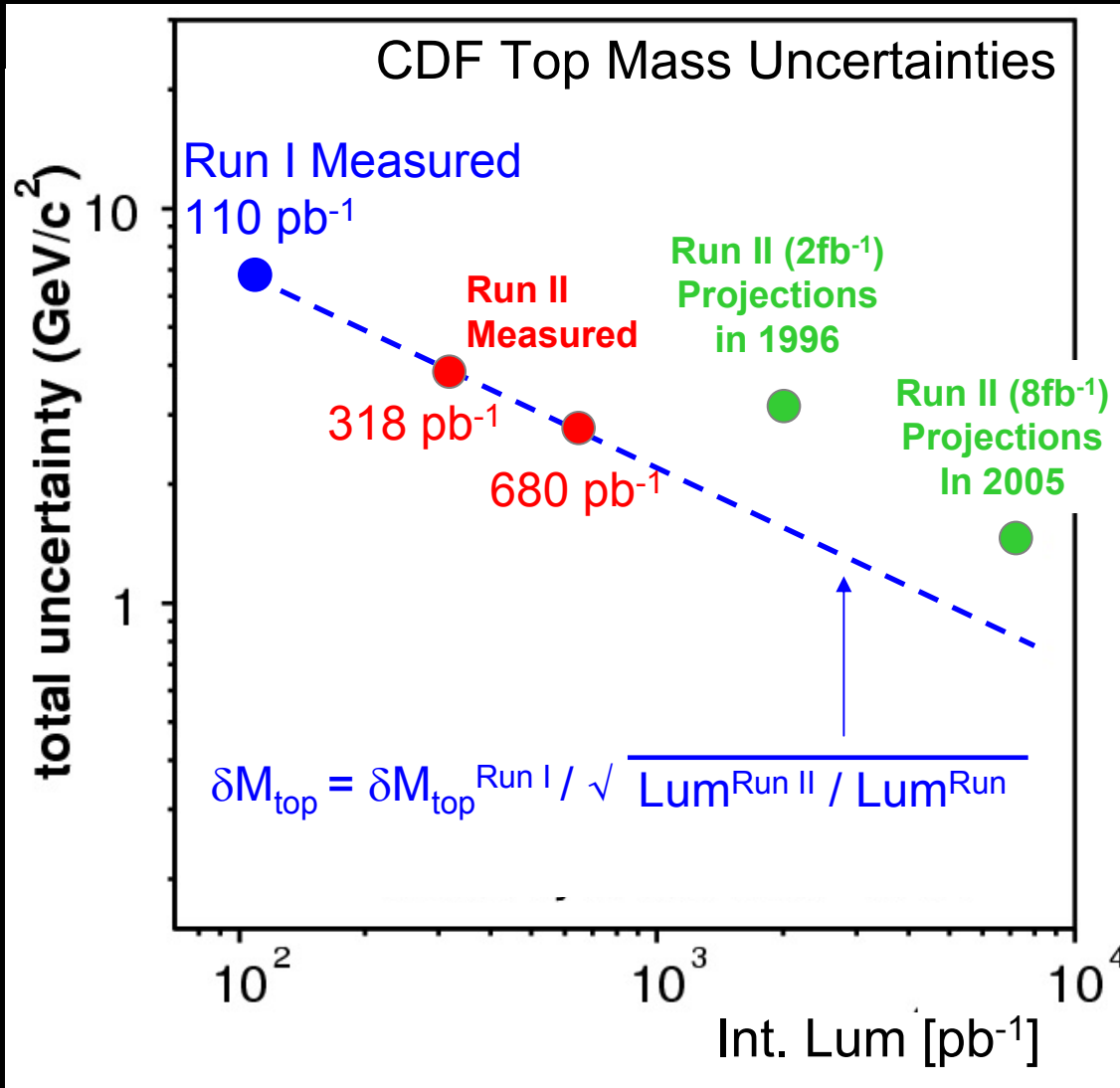


$$m_H = 89 \pm 36 \text{ GeV} \quad m_H < 175 \text{ GeV @ 95\% CL}$$

# outline

- the top quark
- the top quark factory
- top quark production and decay
- top quark mass in the lepton+jets channel
- top quark mass in the dilepton channel
- putting it all together
- beyond the Tevatron
- conclusion

# the future



# *the future*

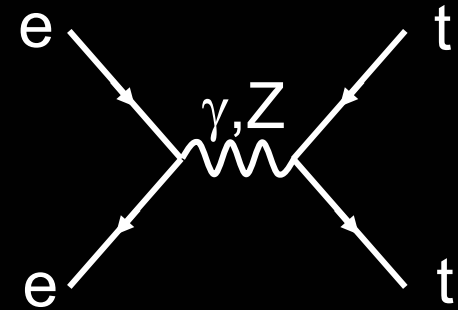
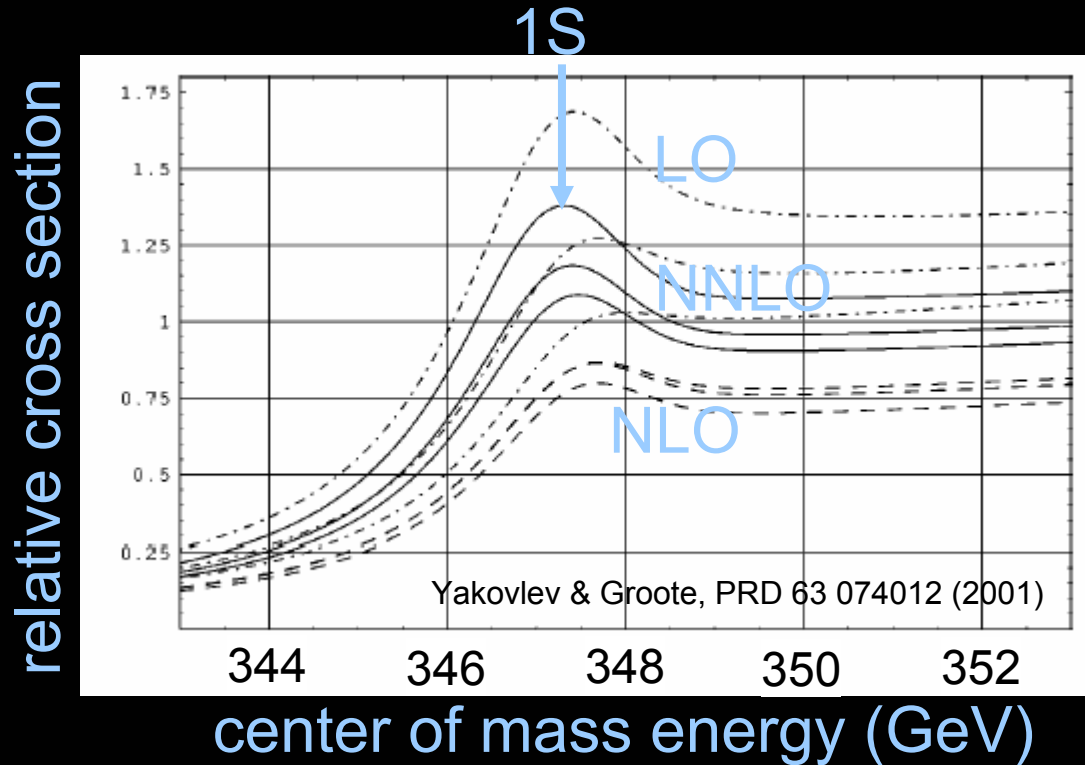
- Large Hadron Collider
  - CERN, Geneva, Switzerland
  - start of operation 2007
  - proton-proton collisions
  - $\sqrt{s} = 14 \text{ TeV}$
  - $\sigma_{tt} \approx 800 \text{ pb}$
  - $\delta m_t \approx 1 \text{ GeV}$

8.5 km



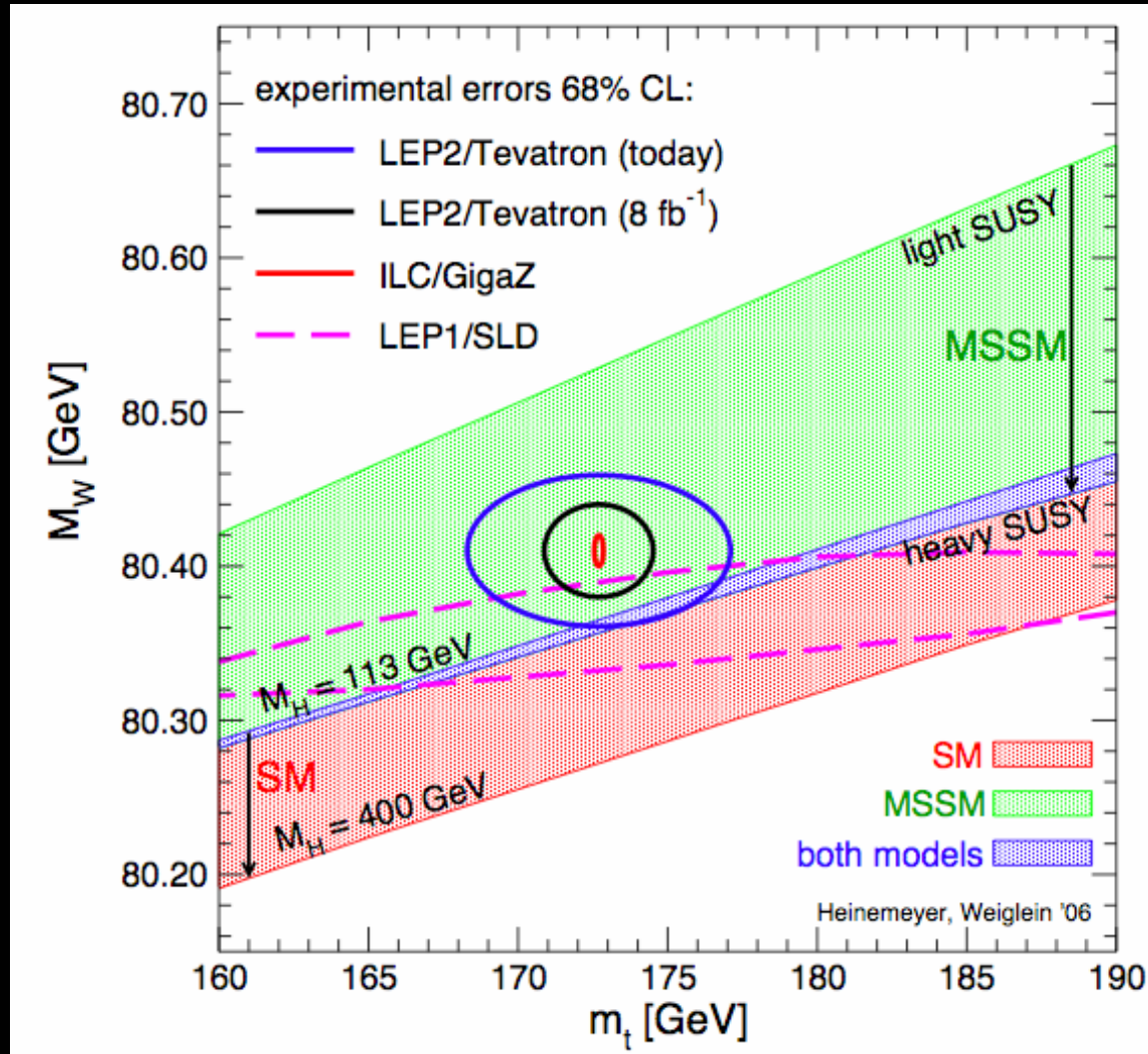
# the future

- linear  $e^+e^-$  collider



determine  $m_t$  to  
100-200 MeV  
from 1S peak

# the future



# *outline*

- what do we know about the top quark?
- why study the top quark?
- top quark production
- top quark decay
- top quark properties
- beyond the Tevatron
- **conclusion**



# *we have come a long way*

- 1995:
  - template fit in lepton+jets channel
  - $m_t = 176 \pm 13$  GeV
- 2006:
  - many techniques: template, ideogram, dynamical likelihoods, lifetime
  - many channels: lepton+jets, dileptons, all jets
- the trend is to use maximal information
  - keep all events
  - use all kinematic information in the events
  - use discriminants to determine their signal/background
- world average

$$m_t = 171.4 \pm 2.1 \text{ GeV}$$

thank you