

# QCD and Two-Photon Physics at LEP

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## Outline

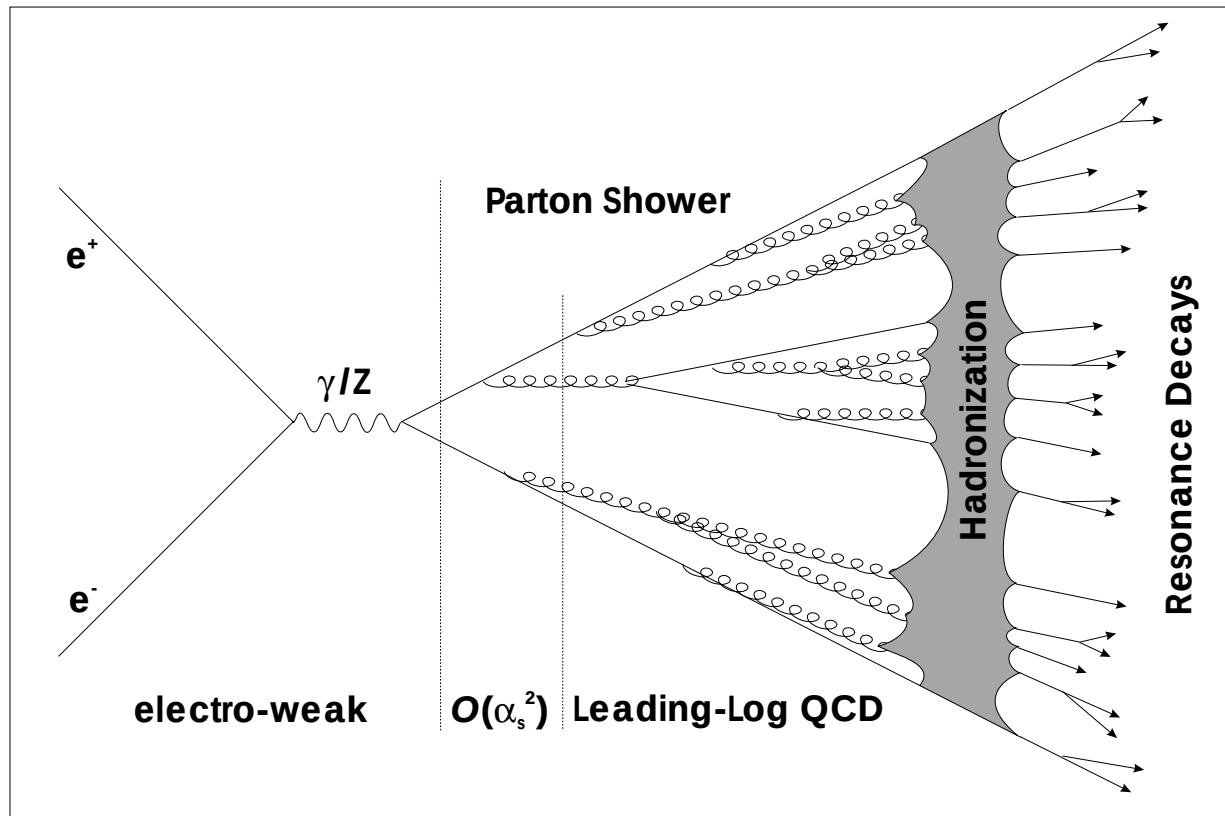
- QCD:
  - hard QCD:  $\alpha_s$ , structure of QCD
  - soft QCD: jet structure, fragmentation
- $\gamma\gamma$ :
  - structure functions
  - heavy flavour
  - exclusive particle production
- Summary

# Introduction

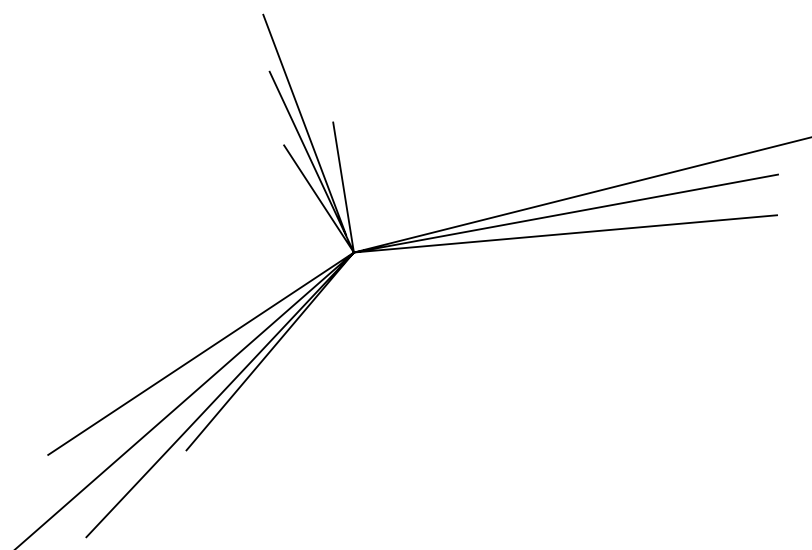
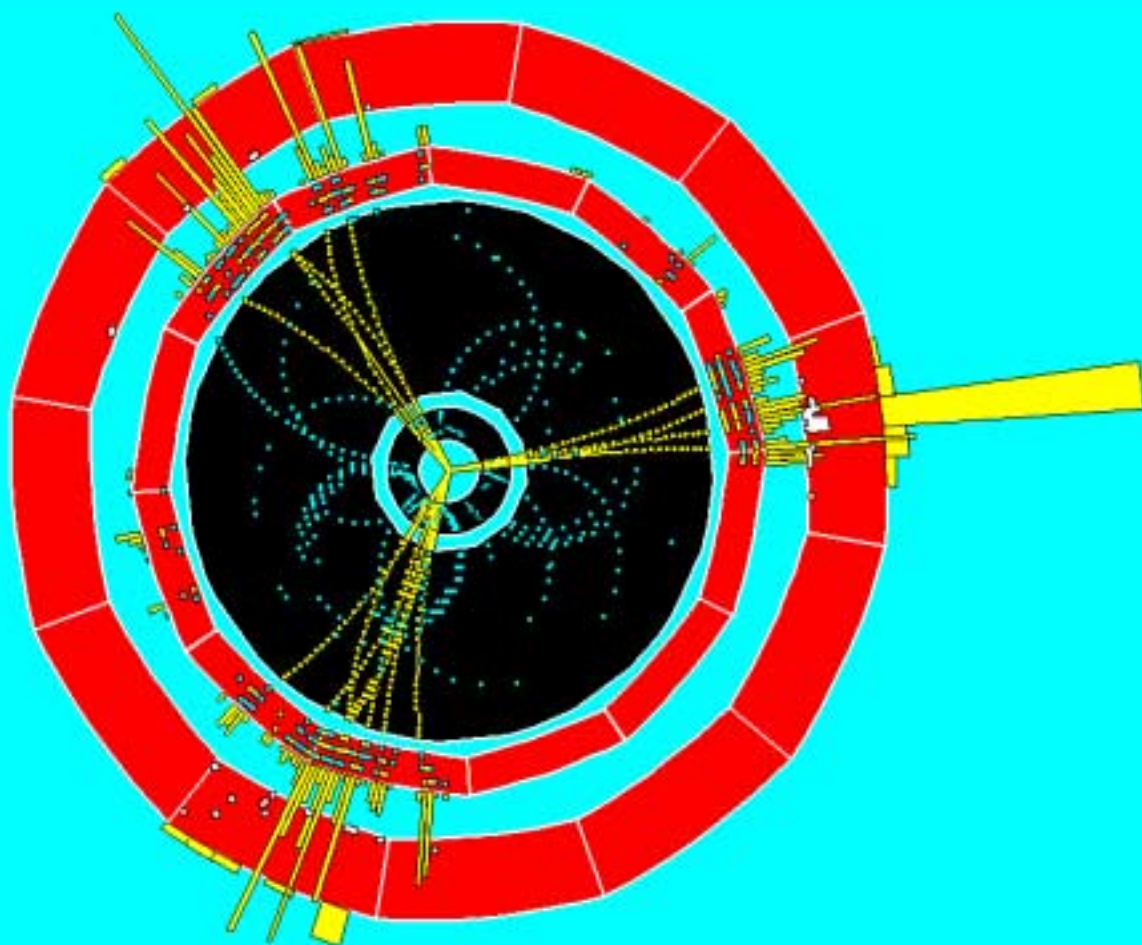
## QCD: the theory of strong interactions

- Static quark model,  
hadrons build up of quarks
- DIS: charged partons in nucleon,  
but  $\rightarrow$  fraction of momentum, scal. viol.,  $\Omega^-$
- gluon, quarks have strong interaction  $\rightarrow$  colour
- QCD theory: qg interaction, SU(3)  
spin-1/2 fermions, spin-1 gluon,  
SU(3)<sub>colour</sub>, 3 quark colours, 8 gluon states,  
one coupling qq, qg
- $e^+e^-$  (PETRA)  $\rightarrow$  gluon is real
- LEP: high precision  $e^+e^-$  data and high statistics at  $\sqrt{s} = 90\text{-}200$  GeV

# QCD in $e^+e^-$ Annihilation



- **Electroweak process**
- **QCD (perturbative, small  $\alpha_s$ )**
  - matrix element  $O(\alpha_s^2)$
  - parton shower in MC models (LL)  
(correspondance: partons  $\leftrightarrow$  jets)
- **QCD (non-perturbative, large  $\alpha_s$ )**
  - fragmentation/hadronisation  
(string model, cluster model)
- **particle decays**



# Jet Definition

e.g., Durham-Jet-Algorithmus:

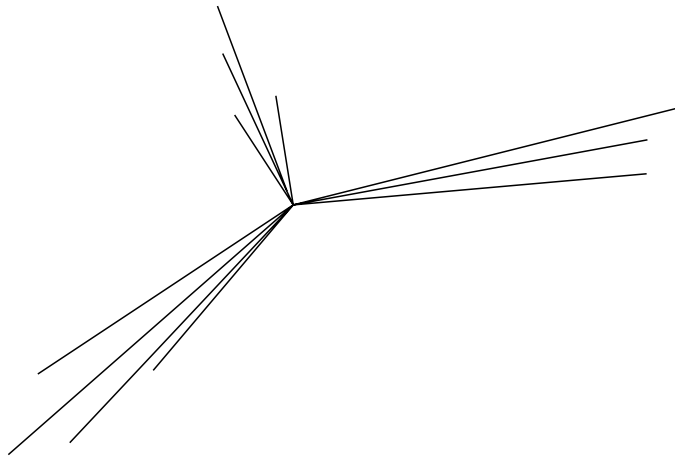
combination of particle pairs with smallest  $y_{ij}$   
as long as  $y_{ij} < y_{cut}$  iteratively

until all  $y_{ij} > y_{cut} \Rightarrow$  jet multiplicity  $R_n(y_{cut})$  or  
when 3 jets  $\Rightarrow y_3 = \min(y_{ij}), dn/dy_3$

$$y_{ij} = \frac{2(1 - \cos \theta_{ij})}{E_{vis}^2} \min(E_i^2, E_j^2)$$

with recombination scheme:

$$\begin{aligned} p_{ij} &= p_i + p_j \\ E_{ij} &= E_i + E_j \end{aligned}$$

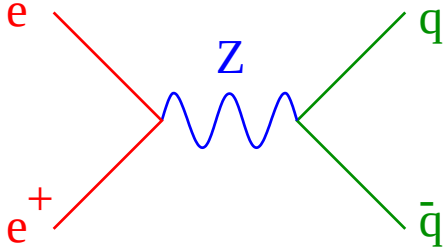


## Jets $\rightarrow$ Partons

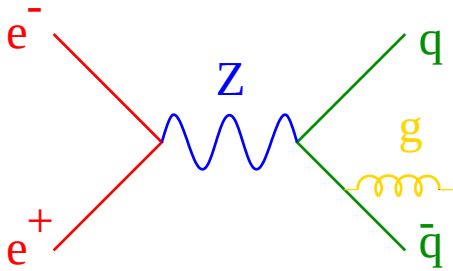
correspondance: jets  $\rightarrow$  partons

calculable in perturbative QCD

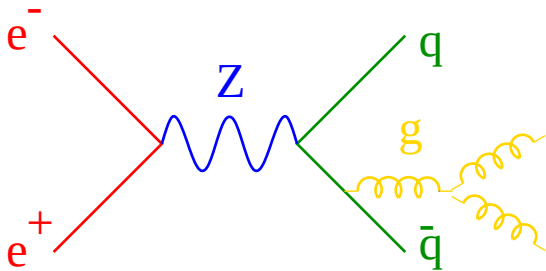
comparison: experiment  $\rightarrow$  theory



QPM:  $O(\alpha_s^0)$   
static quark model



QCD:  $O(\alpha_s^1)$   
dynamic quark model



QCD:  $O(\alpha_s^2)$   
dynamic quark model  
group structure

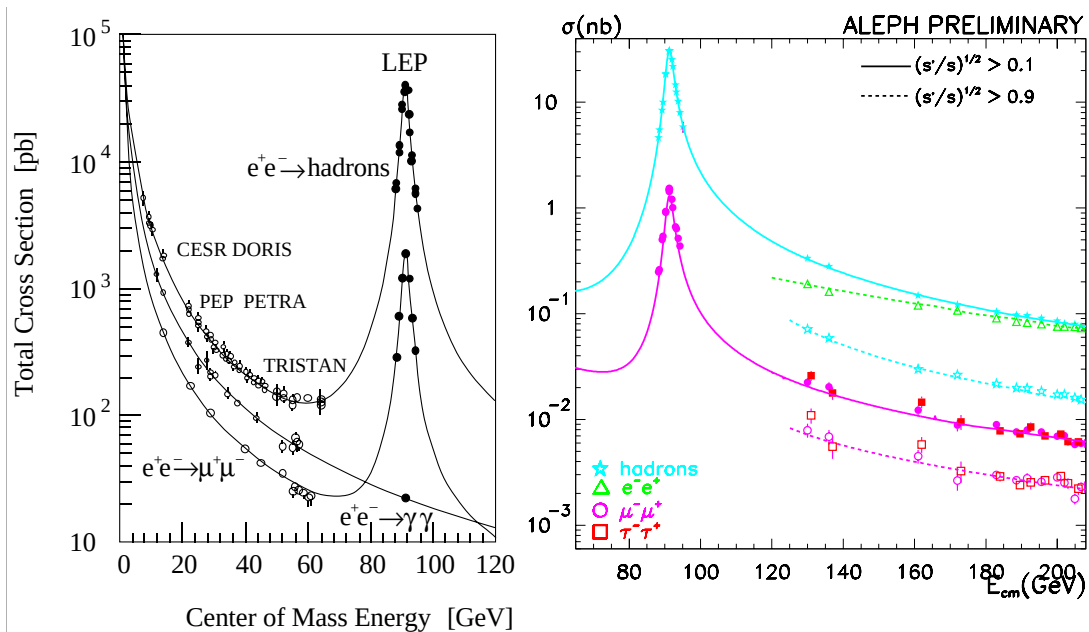
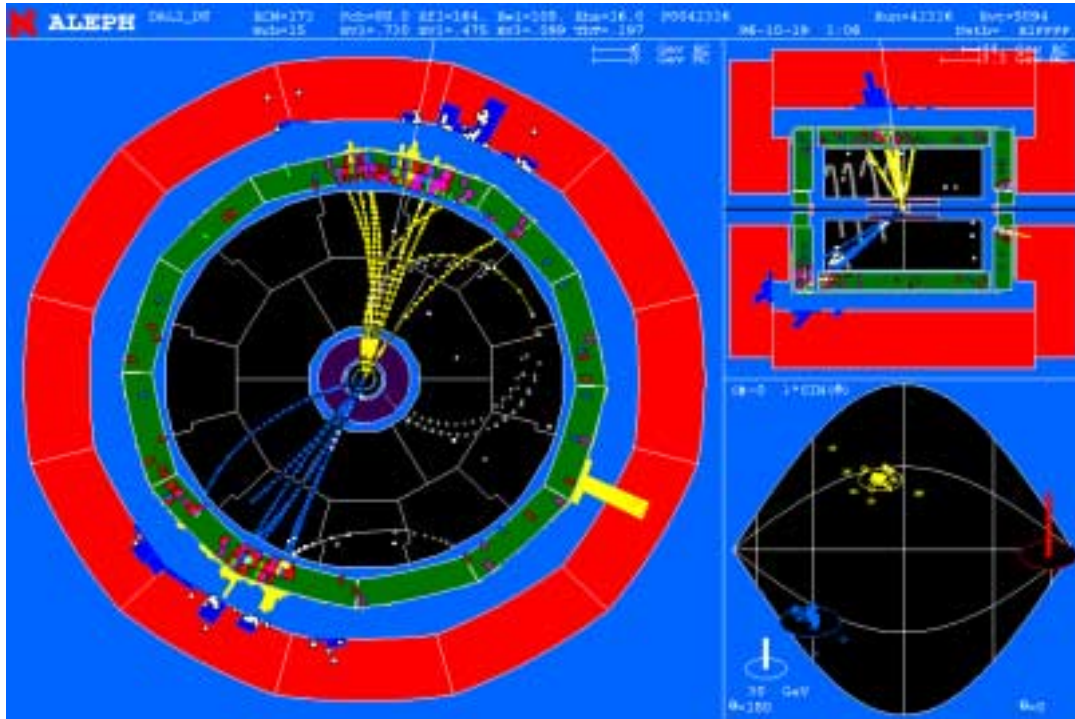
higher orders  $\alpha_s$ ,

non-perturbative effects to be included

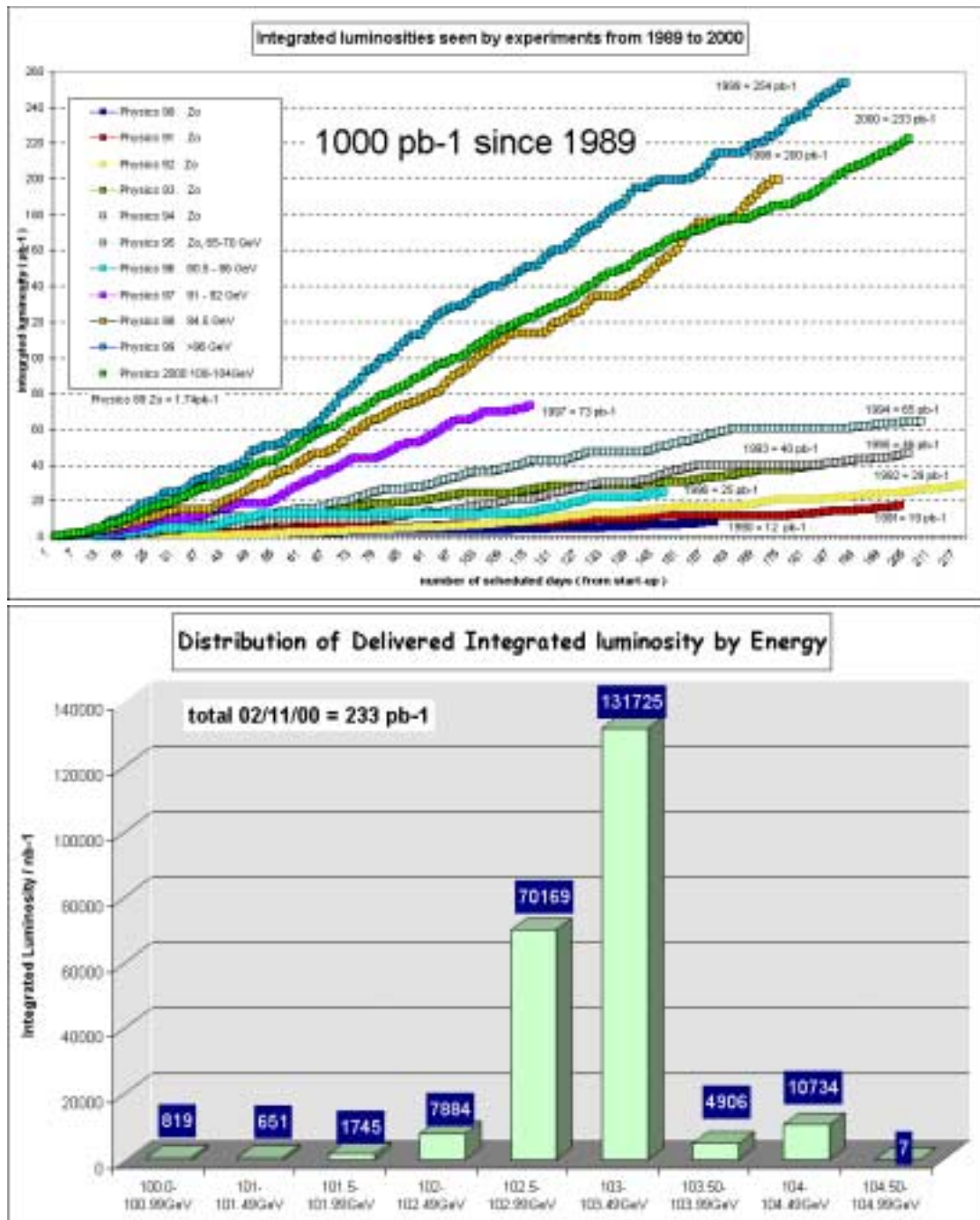
hadronisation

# Properties of the $e^+e^-$ Annihilation

- **Known energy scale**  $E_{\text{cm}} = 2 \cdot E_{\text{beam}}$   
but at LEP 2: "Radiative Return"



# LEP Performance



- high statistics (and/or)
- high well known energy (and)
- high quality data



# Measurement of the Strong Coupling Constant $\alpha_s$

Needs **good experimental** observable  
and **calculable** variable:

$\sim \alpha_s$  in leading order:

→ low statistics sufficient

→ applicable for all  $\sqrt{s}$

calculable:

→ infra-red and collinear safe for gluon strahlung

→  $O(\alpha_s^2)$

→  $O(\alpha_s^2) + \text{NLLA} \Rightarrow \text{event shape variables}$

Alternative (counting experiments):

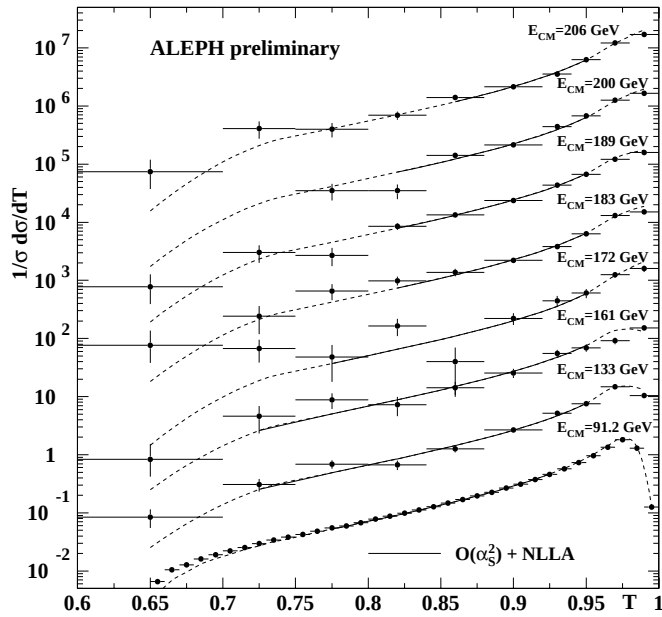
calculable:

→  $O(\alpha_s^3) \Rightarrow \text{inclusive cross sections}$

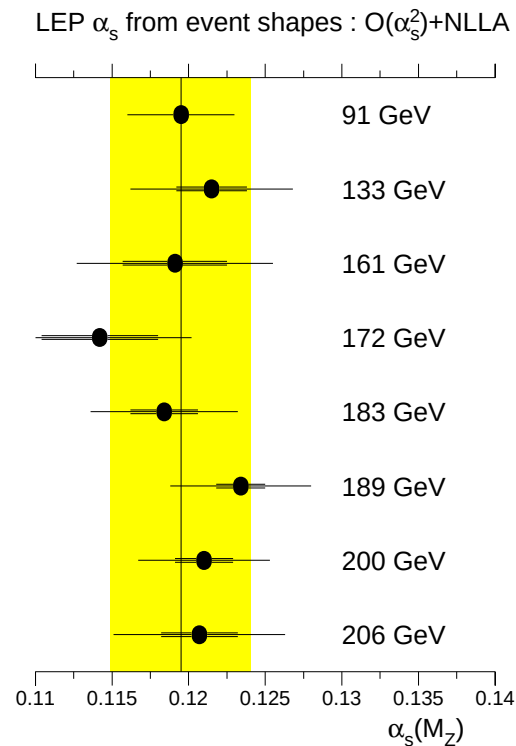
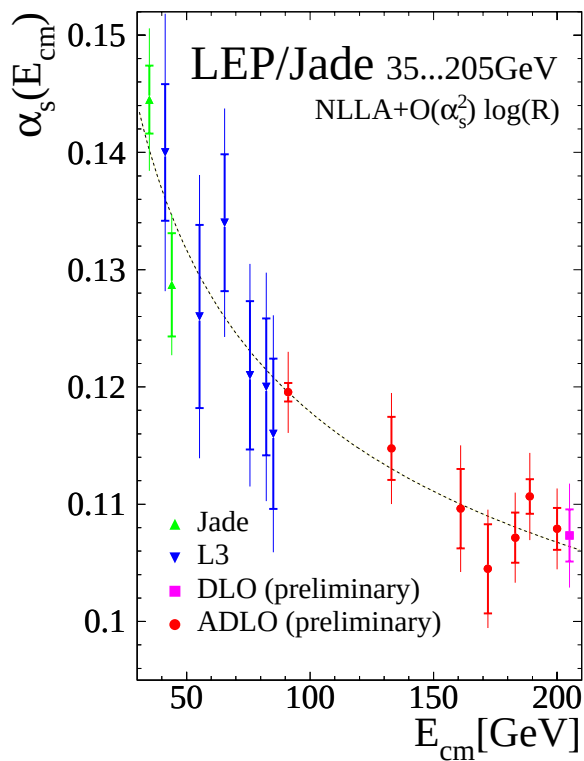
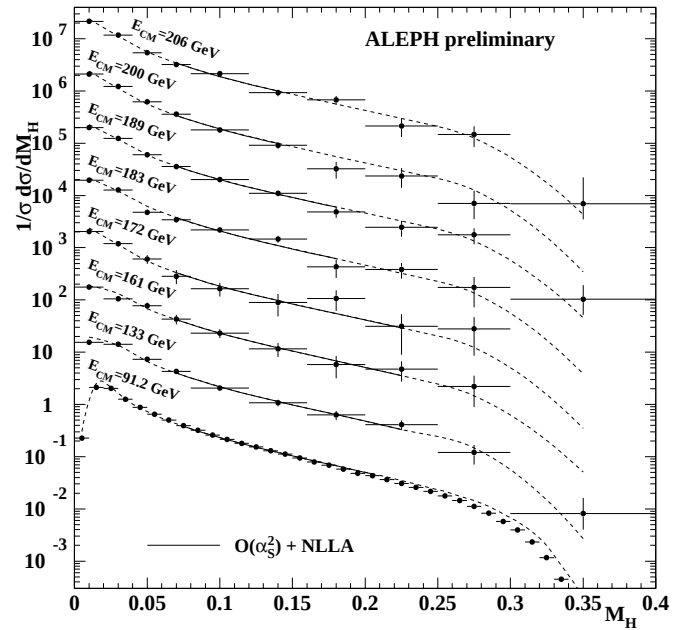
sensitivity to QCD from radiative corrections

# $\alpha_s^2 + \text{NLLA}$ : Fits for Various Energies

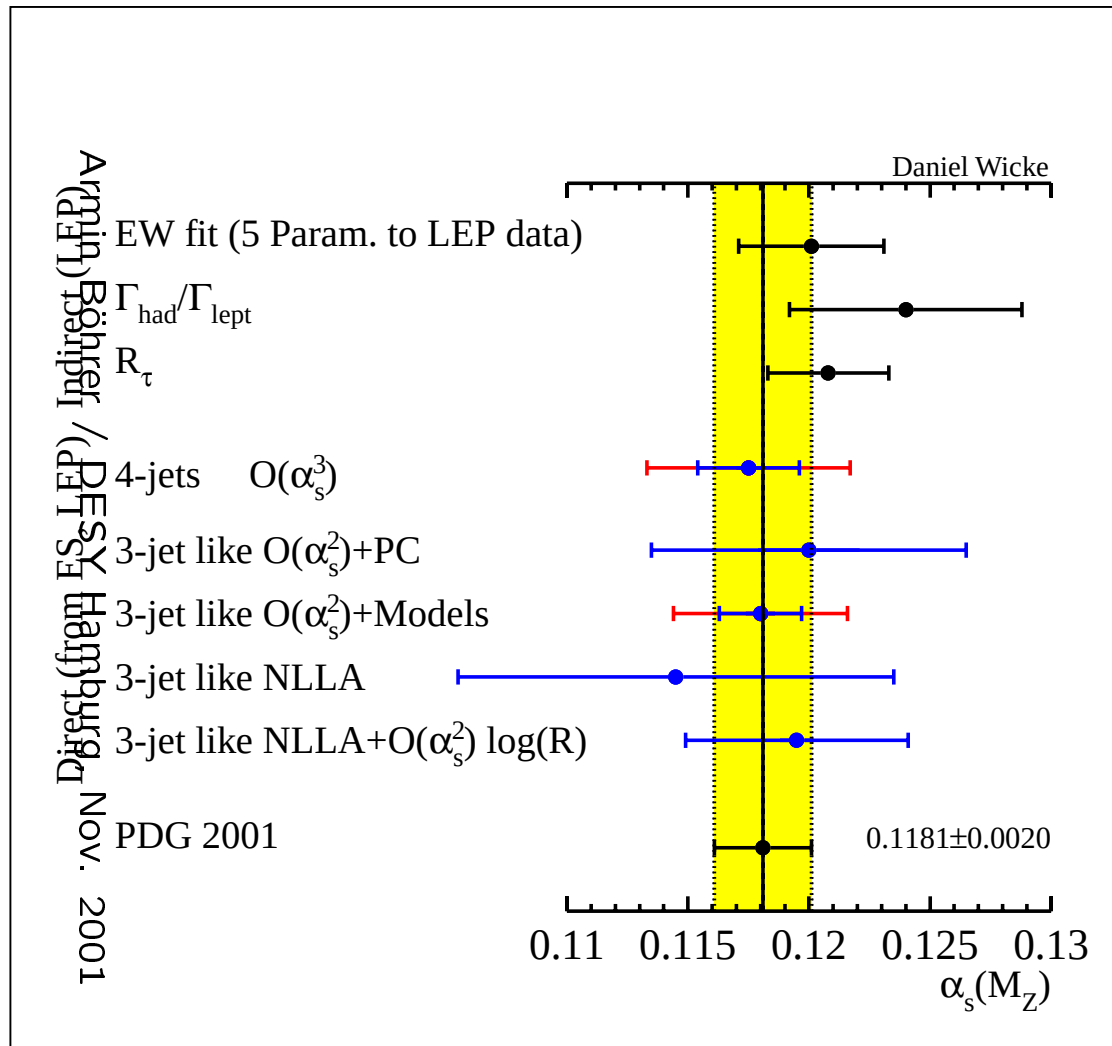
## Thrust



## Heavy Jet Mass



# All LEP $\alpha_s$ measurements



Studies of

- gauge structure of QCD,
- running b-quark mass,
- colour coherence,
- hadronisation models,
- power corrections as alternatives to hadronisation models,
- differences between quark and gluon jets,

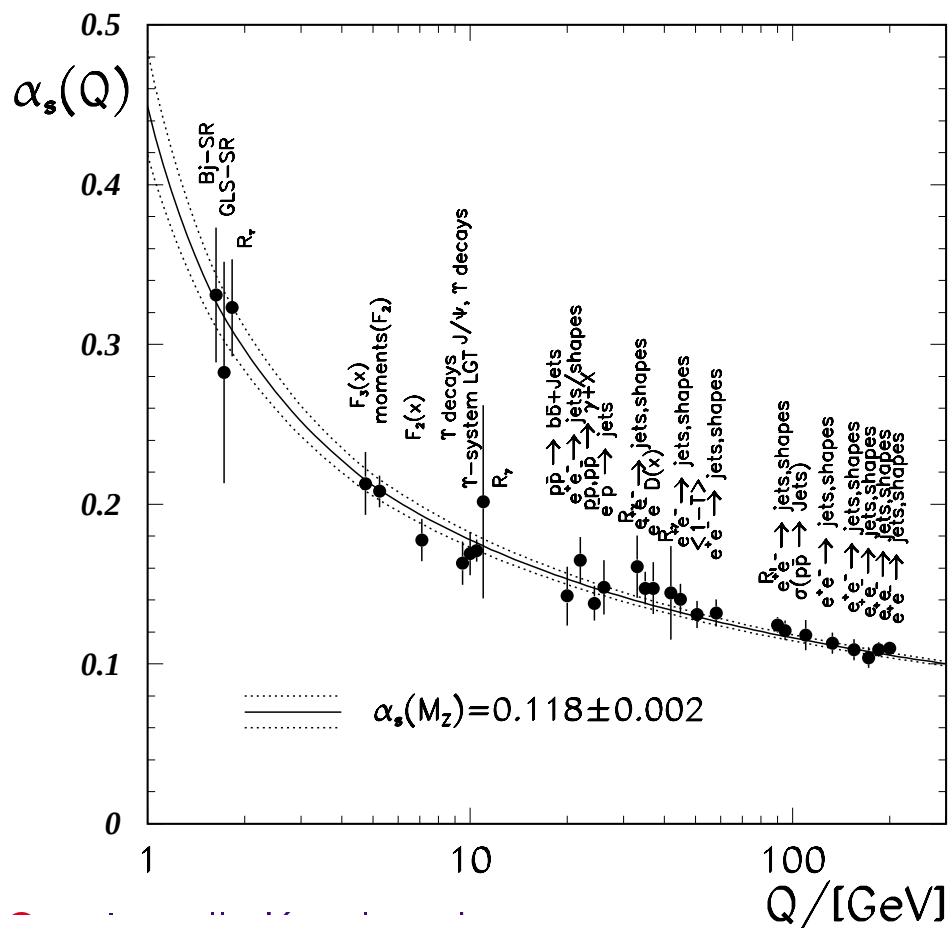
are all consistent with QCD predictions.

For this fig.: Theoretical uncertainties for all  $\alpha_s$  from event shapes evaluated from change in renormalisation scale  $\mu$  by factor 2.

## Running Coupling Constant $\alpha_s$

$\alpha_s$  measurement at different energies  $\sqrt{s}$ :

- LEP, SLC:  $m_Z < \sqrt{s} < 200 \text{ GeV}$
- CESR, LEP:  $\alpha_s(m_\tau)$
- LEP: radiative events at the Z-pole:  $\sqrt{s} < m_Z$
- PETRA/PEP:  $\sqrt{s} < 40 \text{ GeV}$

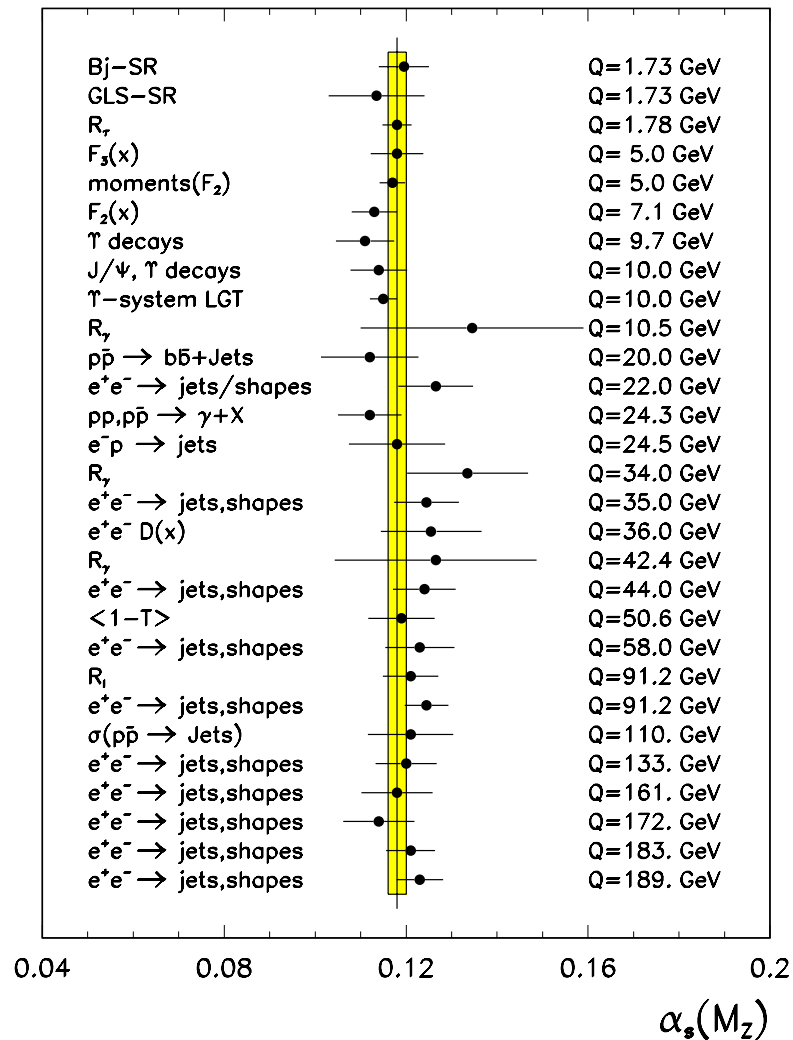


# Summary of $\alpha_s$ Measurements

QCD Resultate von LEP



## Vergleich verschiedener $\alpha_s$ -Messungen



→ siehe auch S.Bethke hep-ex/0004021

QCD-Kopplung

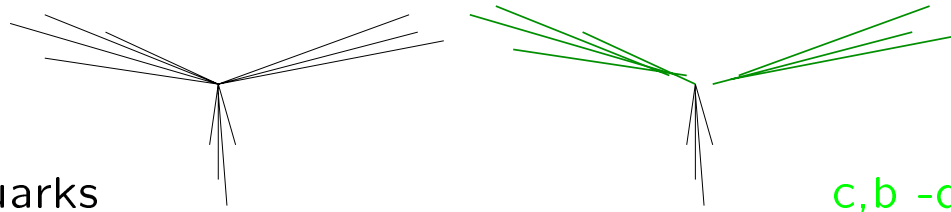
Michael Schmelling

$$\alpha_s = 0.118 \pm 0.002$$

Armin Böhrer / DESY Hamburg, Nov. 2001

## Flavour Independence of $\alpha_s$

Separation of different quark flavours:



u,d,s -quarks

c,b -quarks

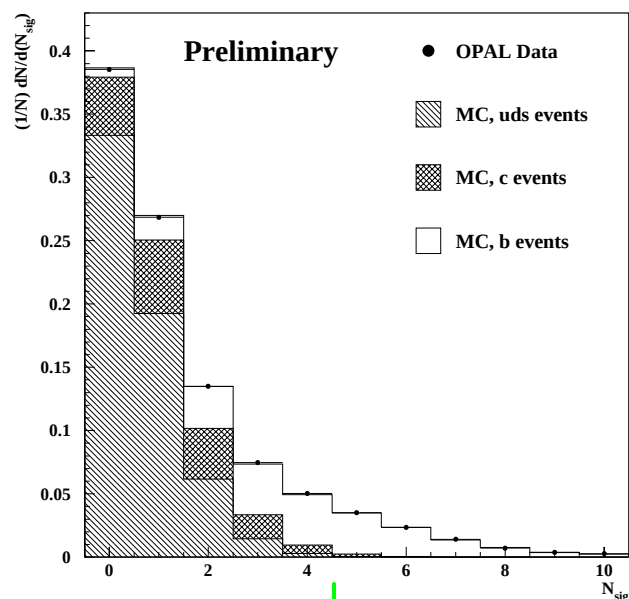
- vertex-tag:  
b- and c-hadronen have long life time
- lepton-tag:  
b- and c-hadrons decay semi-leptonically
- $D^*$ -tag:  
C-meson from direct c-quark oder b-hadron
- $\pi^\pm$ -tag:  
leading  $\pi^\pm$  indicates u,d
- $\gamma$ -tag:  
a photon enriches u,c (2/3e) quarks

$N_{sig}$ :

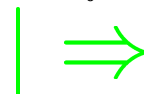
Number of tracks with

large impact

significance:  $b/\sigma > 2.5$



uds:  $N_{sig} = 0$ , b:  $N_{sig} \geq 5$



- ⇒ good separation of (heavy) flavoured events;
- ⇒ calculations for heavy quarks exist to  $O(\alpha_s^2)$

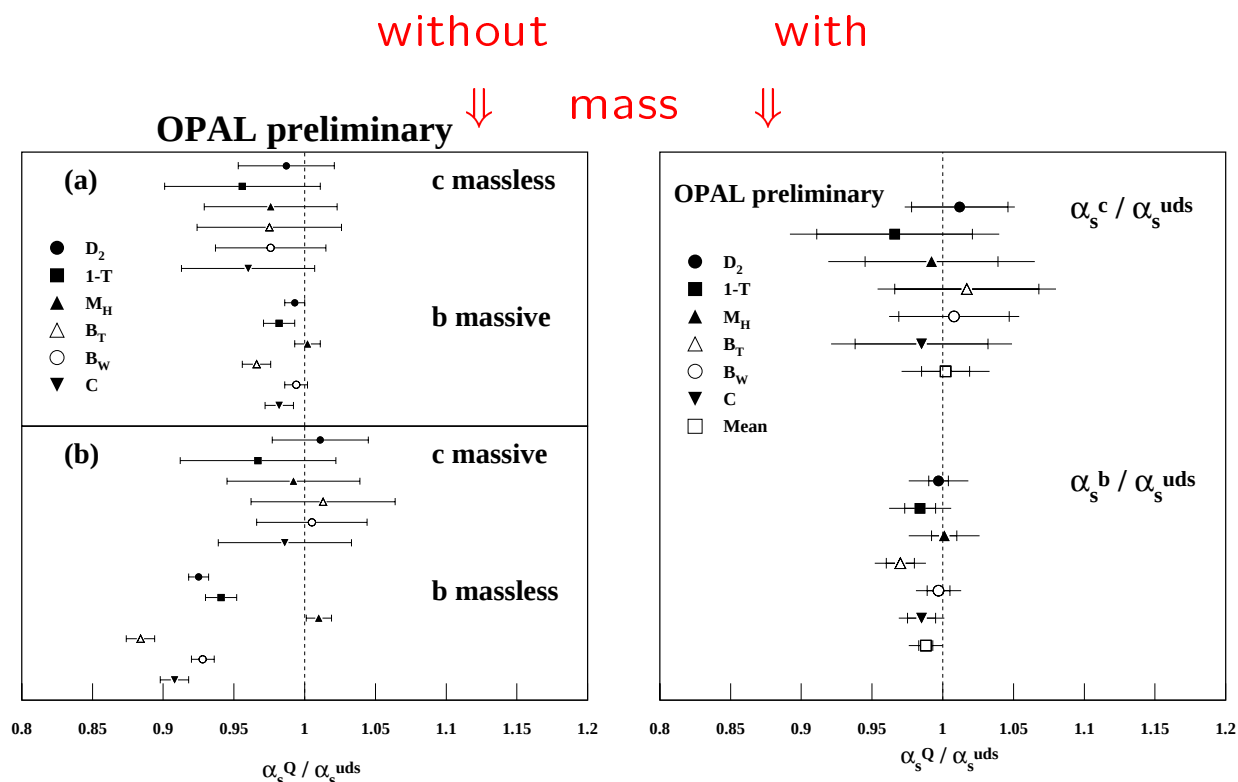
Bernreuter et al., Nason et al., Rodrigo et al.

⇒ precise test of standard model

non-Abelian theory:  $qg$  coupling =  $gg$  coupling

$$\frac{\alpha_s^{c,b}}{\alpha_s^{uds}} \quad \text{or} \quad \frac{\alpha_s^i}{\alpha_s^{udscb}}$$

main uncertainties of  $\alpha_s$  determination cancel in ratio  $\alpha_s^{c,b}/\alpha_s^{uds}$  (scale dependence, fragmentation)  
differences in  $\alpha_s$ ? → hint to new physics



precision in measurements for all flavours  
2% to 20% (per experiment)

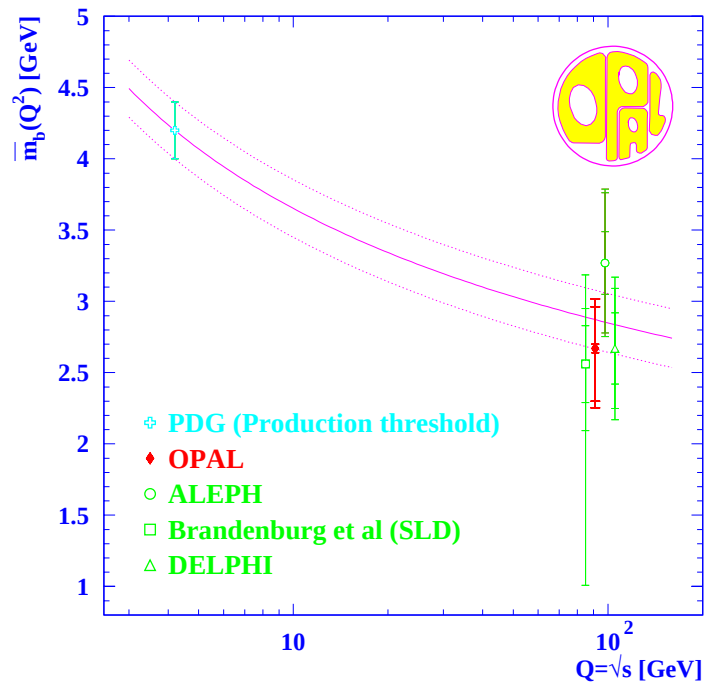
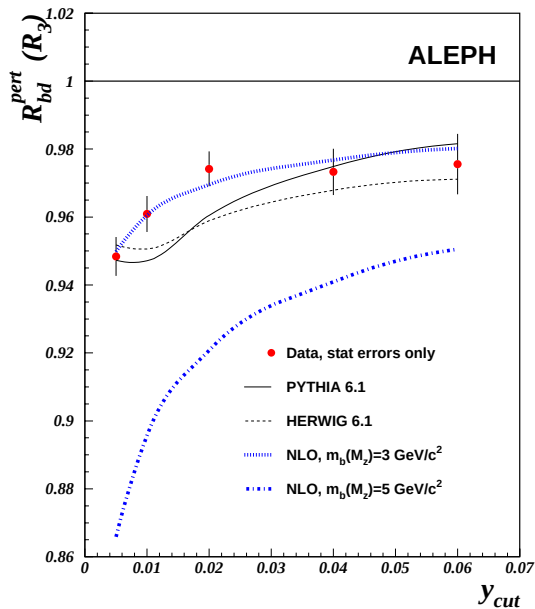
## Determination of b-Quark Mass

Reverse argument:

QCD is flavour blind

⇒ determine b-Quark mass!

renormalized mass runs  $\sim \alpha_s$



running b-quark mass  $m_b$  preferred

$$m_b(m_Z) = 3.27 \pm 0.22 \pm 0.22(\text{exp}) \pm 0.38(\text{had}) \pm 0.16(\text{theo}) \text{ GeV (A)}$$

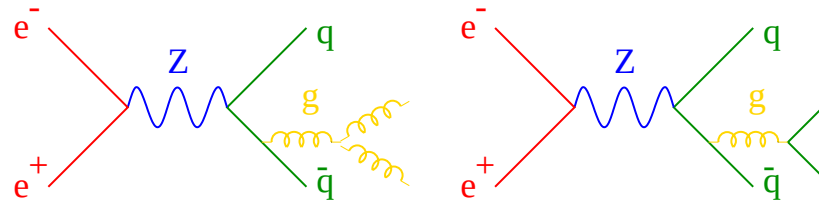
$$m_b(m_Z) = 2.67 \pm 0.25(\text{stat}) \pm 0.34(\text{frag}) \pm 0.27(\text{theo}) \text{ GeV (D)}$$

$$m_b(m_Z) = 2.67 \pm 0.03(\text{stat})^{+0.29}_{-0.39}(\text{syst}) \pm 0.19(\text{theo}) \text{ GeV (O)}$$

$$m_b(m_\gamma/2) = 4.20 \pm 0.20 \text{ GeV}$$



# Gluon Selfcoupling; Colour Factors



- prove gg self coupling
- measure colour factors = group structure
- QCD has 3 vertices in  $O(\alpha_s)$   
 $\rightarrow$  decomposition of coefficient functions: e.g.,  
 $D_2 = \alpha_s C_F \dots + \alpha_s^2 C_F (C_F \dots + C_A + T_F n_f \dots)$   
 $R_4(y_{\text{cut}}) = \alpha_s^2 C_F (C_F \dots + C_A + T_F n_f \dots) + \alpha_s^3 \dots$

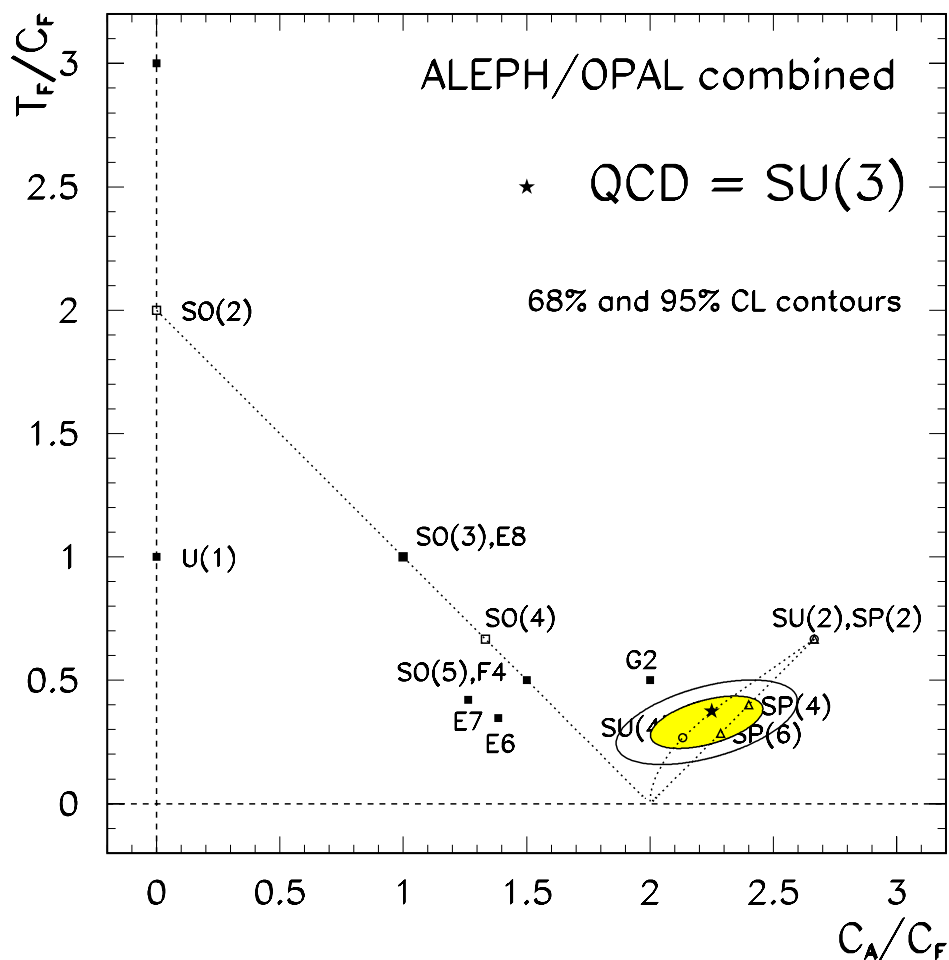
$$\left| \text{quark-gluon vertex} \right|^2 \sim C_F \quad \left| \text{gluon-gluon vertex} \right|^2 \sim C_A \quad \left| \text{gluon-quark vertex} \right|^2 \sim T_F$$

variable used:

- **Differential 2-jet rate**  $D_2(y_{23}) = \frac{1}{\sigma_{\text{tot}}} \frac{d\sigma}{dy_{23}}$
- **4-jet rate**  $R_4$ ,  $y_{\text{cut}} = 0.008$ ,  $E_1 > E_2 > E_3 > E_4$   
 jet 1 and 2 = primary quarks,  
 jet 3 and 4 = from gluon radiation: gg or q $\bar{q}$ 
  - $\chi_{\text{BZ}} = \angle[(\vec{p}_1 \times \vec{p}_2), (\vec{p}_3 \times \vec{p}_4)]$
  - $\Theta_{\text{NR}} = \angle[(\vec{p}_1 - \vec{p}_2), (\vec{p}_3 - \vec{p}_4)]$
  - $\Phi_{\text{KSW}} = \langle \angle[(\vec{p}_1 \times \vec{p}_4), (\vec{p}_2 \times \vec{p}_3)] \rangle_{3 \rightarrow 4}$
  - $\cos(\alpha_{34}) = \cos(\angle(\vec{p}_3, \vec{p}_4))$

$\Rightarrow$  full analysis: fit to several observables

# Colour Factors of QCD



4-jet data, Z-peak 1991-1995,  
hadronization from MC models

|                 | OPAL                        | ALEPH                       |
|-----------------|-----------------------------|-----------------------------|
| $C_A$           | $3.02 \pm 0.25 \pm 0.49$    | $2.93 \pm 0.14 \pm 0.49$    |
| $C_F$           | $1.34 \pm 0.13 \pm 0.22$    | $1.35 \pm 0.07 \pm 0.22$    |
| $\alpha_s(m_Z)$ | $0.120 \pm 0.011 \pm 0.020$ | $0.119 \pm 0.006 \pm 0.022$ |

+ other experiments, + other methods

$C_A = 2.8 \pm 0.2$ ,  $C_F = 1.3 \pm 0.2$  (S.Kluth)

$C_A = 2.9 \pm 0.3$ ,  $C_F = 1.5 \pm 0.3$ ,  $n_f = 5.6$  (S.Kluth)

(SM:  $C_A = 3$ ,  $C_F = 1.33$ ,  $T_F = 0.5$ )

# Jets, Subjects and all that

Data samples with high statistics  
allow more detailed/direct studies:

## 1) MLLA+LPHD:

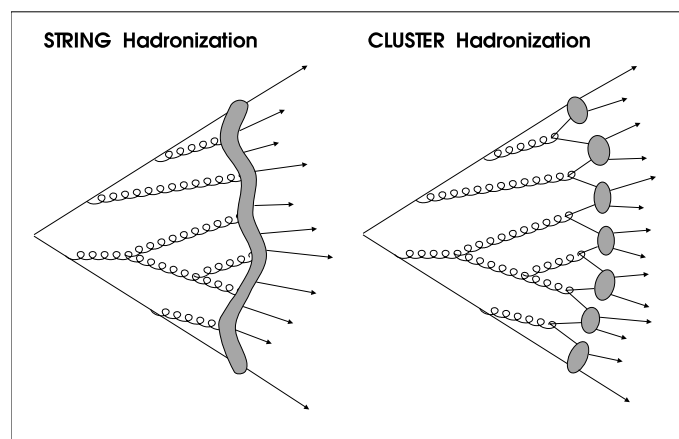
predictions for particle multiplicity,  
momentum distributions

## 2) quark jet versus gluon jet:

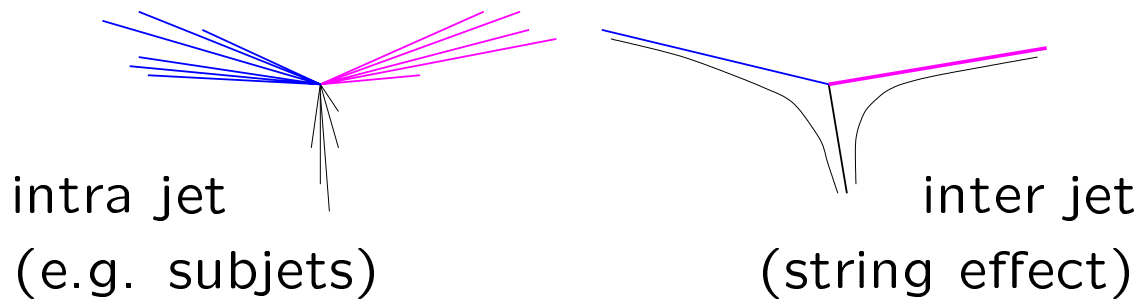
- more particles in gluon jets,  
from different colour charge?!
- subjects, colour coherence and phase space

## 3) identified particles multiplicities, $\sim p$ -spectra

- model tuning
- better  $\alpha_s$  etc.



# Colour Coherence and Local Parton Hadron Duality

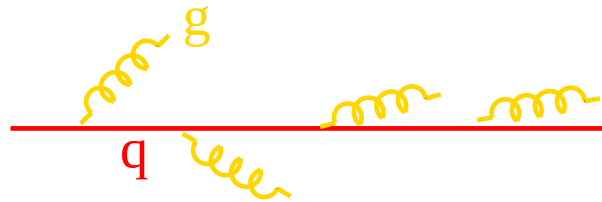


reason: colour charge of quarks and gluons...

production of partons not independent:

colour coherence + MLLA:

⇒ suppression of soft gluon emission,



angular ordering

LPHD:

cross section (partons)

= cross section (hadrons)

⇒ suppression of soft hadrons

$n_{cha}$ ,  $\langle n_{cha} \rangle$  versus  $\sqrt{s}$

$\xi$ -spectra,  $\xi = \ln(1/x)$ ,  $x = p_{hadron}/p_{beam}$

Gaussian shape

## Properties of Quark and Gluon Jets

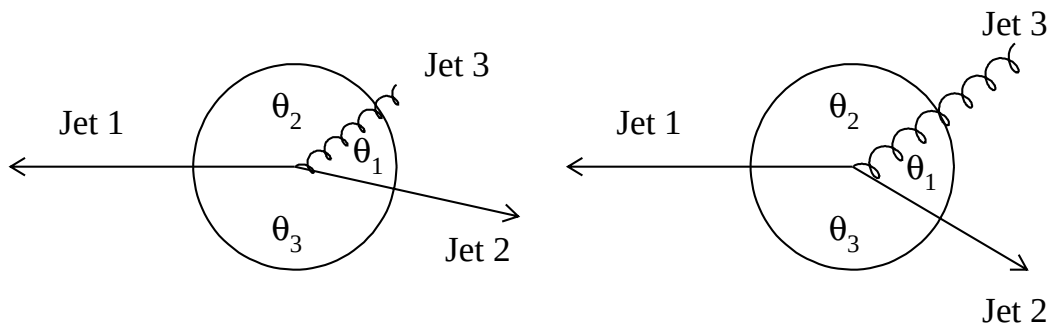
- gluons have larger colour charge:

$$\left| \begin{array}{c} \text{gluon} \end{array} \right|^2 \sim C_A \quad \left| \begin{array}{c} \text{quark} \end{array} \right|^2 \sim C_F$$

$$C_A/C_F = 3/(4/3) = 2.25$$

$\Rightarrow$  gluon-jets are wider, softer,  $n_{cha}$  is larger

- particle multiplicities:  $r = n_{cha g}/n_{cha q} = ?$   
naive expectation for  $r = C_A/C_F$
- measurement:  $r = 1.0 - 1.2$  (PEP/PETRA/LEP)  
gluon jet in 3-jet events (bias  $\Rightarrow$  subjets)
- calculation (leading order)  
 $\Rightarrow$  for  $q\bar{q}$  and  $gg$  systems, resp.
- additional scale in addition to jet energy  $E_{jet}$ :

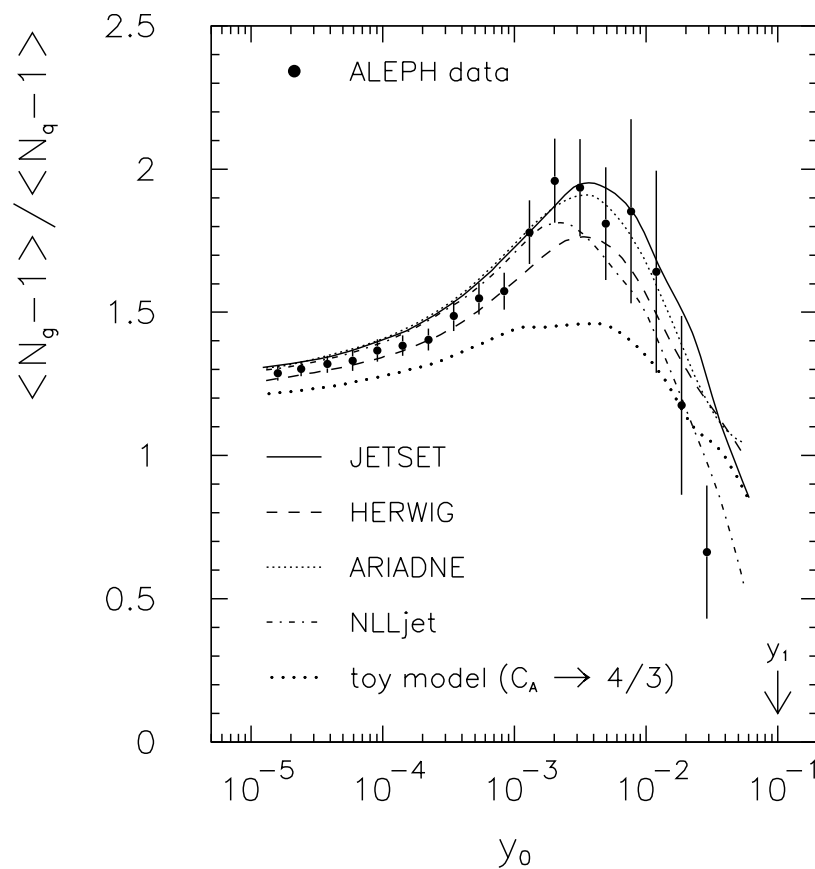


$$\Rightarrow \kappa = E_{jet} \sin \frac{\theta_{min}}{2} \quad \theta_{min,3} = \min(\theta_1, \theta_2)$$

## Subjets

Basic idea:

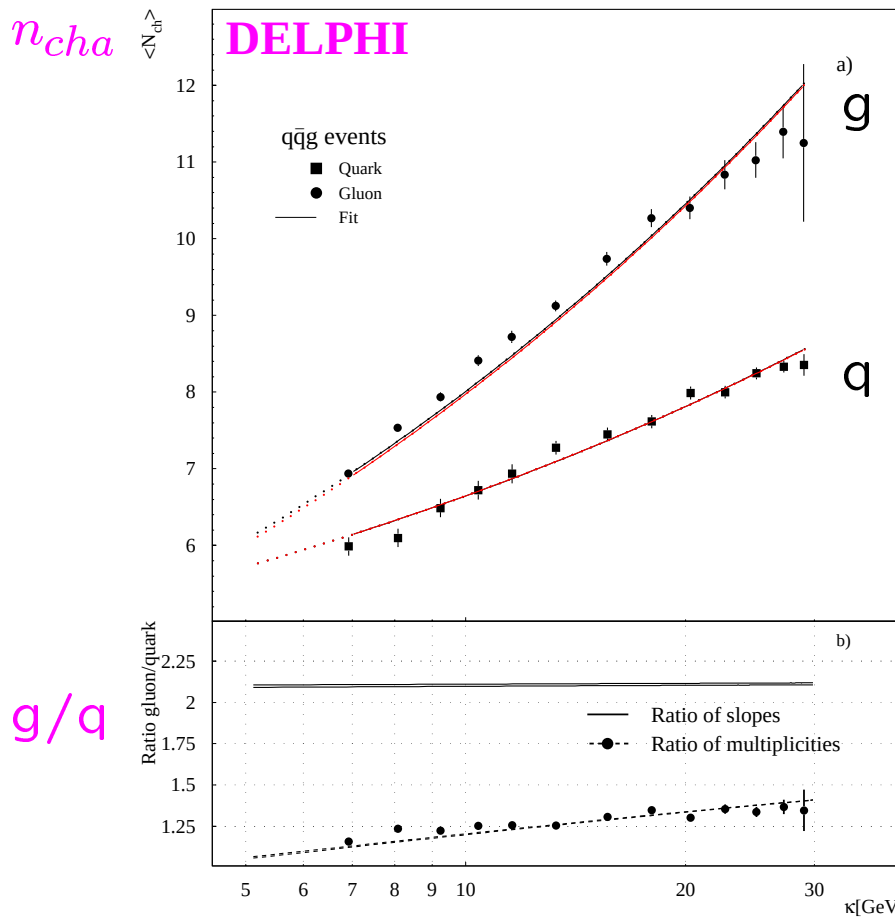
- select 3-jet events with  $y_1$   
→ study gluon and quark jets  
(well separated jet: mercedes star events;  
tagging via b-tag)
- study subjet multiplicity with  $y_0 < y_1$



- gluon jets has higher multiplicity
- at small  $y_0$ : multiplicity ratio  $\approx 1.25$

# Multiplicities in Quark and Gluon Jets

determination of dependency separately  
for quark and gluon versus  $\kappa$



$$\rightarrow \kappa = E_{jet} \sin \frac{\theta_{min}}{2}$$

$\Rightarrow n_{cha}$  for gluons larger

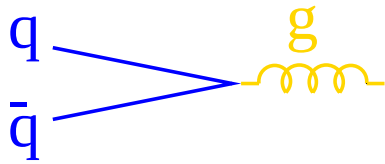
$\Rightarrow$  slope with  $\kappa$  for gluons larger

$$\frac{C_A}{C_F} = 2.266 \pm 0.053(stat) \pm 0.055(syst) \pm 0.096(theo)$$

= test of group structure  $SU(3)_{colour}$  in QCD

## alternative method: "isolation of gluons"

- $q\bar{q}$ -pair opposite to gluon



*b-tag*



*uds-tag (b-anti-tag)*

- comp. gluon- with uds-quark jet (massless)

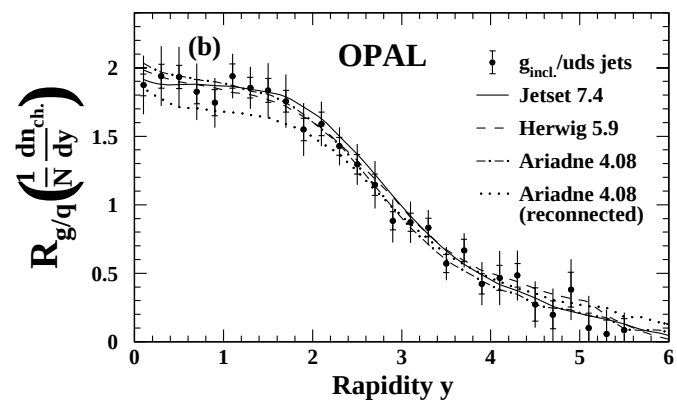
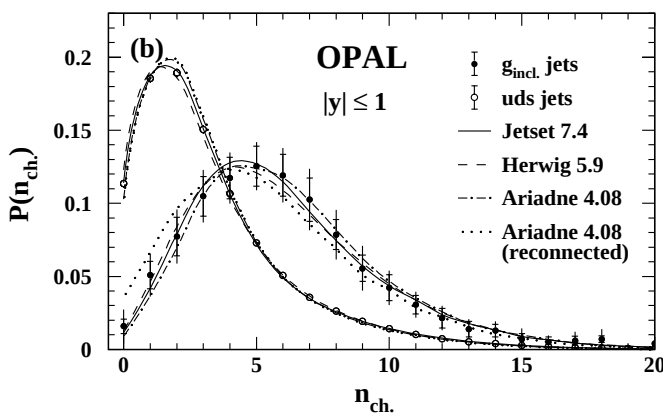
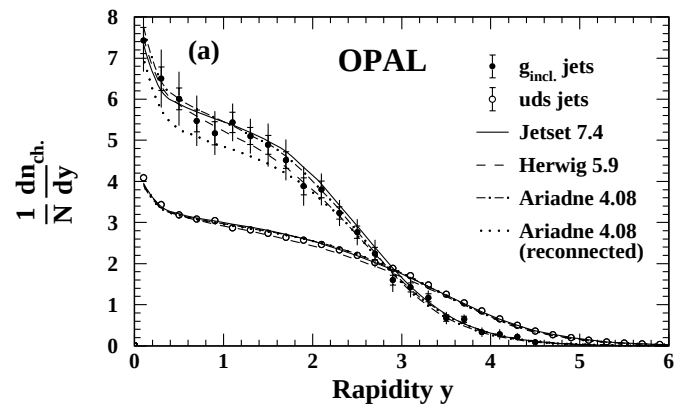
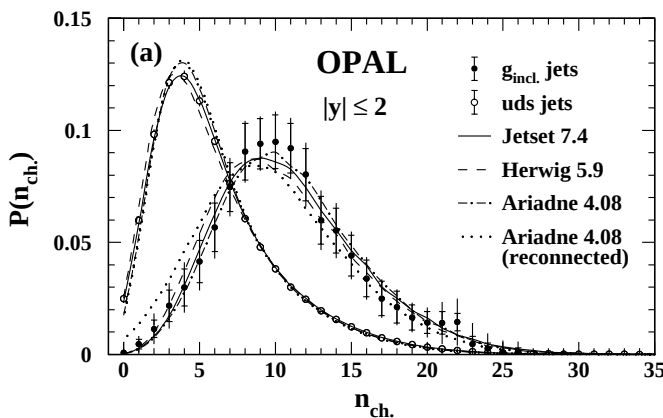
- calculation for massless jets,  $E$ -conservation

$$r \approx 1.5 \ n_{cha}(g)/n_{cha}(q) \quad \text{Lupia, Ochs, Eden, Gustafson}$$

- measurement (OPAL):

$$r = 1.51 \pm 0.02 \pm 0.04 \quad (\text{phase space} \leftrightarrow E\text{-cons.})$$

$$r = 1.92 \pm 0.05 \pm 0.10 \quad |y| < 1$$



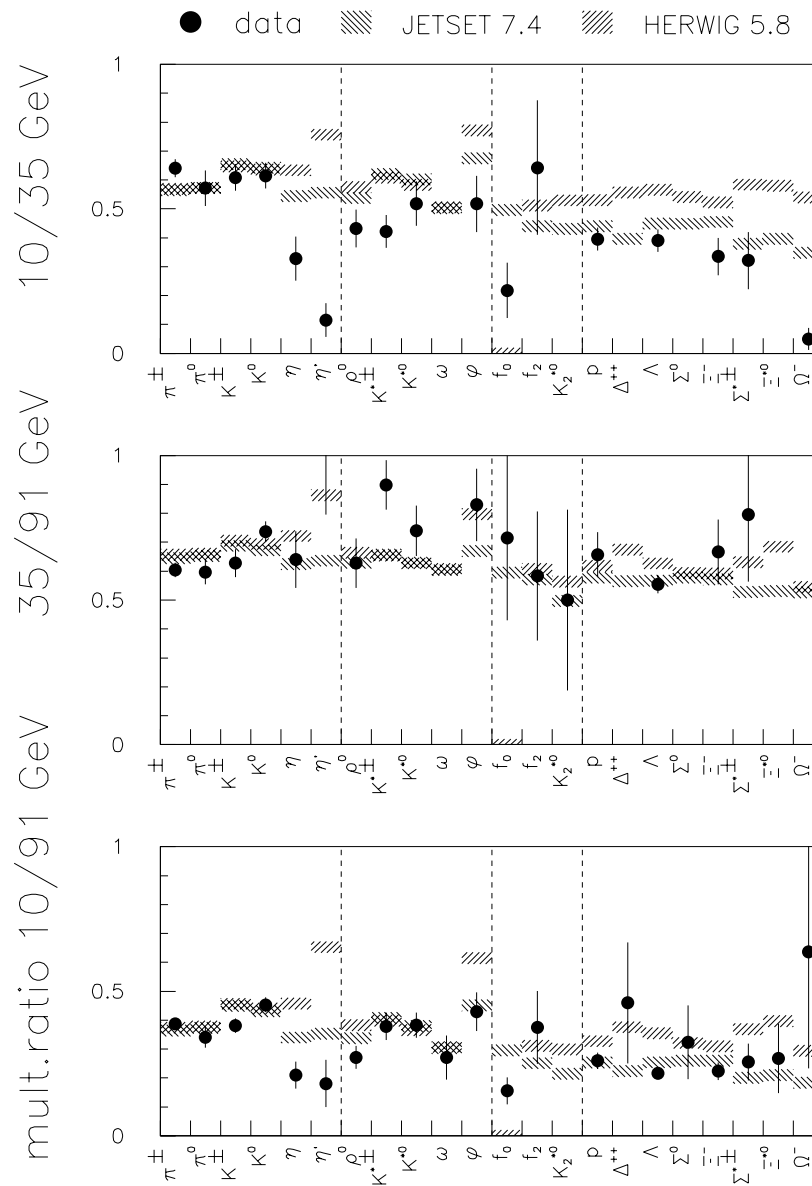


## Multiplicities for Identified Particles

| Particle         | Averaged rate        | Experiments | $F$  | References    |
|------------------|----------------------|-------------|------|---------------|
| $\pi^0$          | $9.43 \pm 0.37$      | ADLO        |      | [15,21,27,39] |
| $\pi^\pm$        | $17.06 \pm 0.24$     | ADO         |      | [16,24,32]    |
| $K^0$            | $2.041 \pm 0.029$    | ADLO        | 1.49 | [17,19,29,34] |
| $K^\pm$          | $2.26 \pm 0.055$     | ADO         | 1.01 | [16,24,32]    |
| $\eta$           | $0.94 \pm 0.08$      | LO          |      | [27,39]       |
| $\eta'$          | $0.17 \pm 0.05$      | LO          | 2.36 | [28,39]       |
| $\rho^0$         | $1.23 \pm 0.10$      | AD          | 1.08 | [15,25]       |
| $\rho^\pm$       | $2.40 \pm 0.44$      | O           |      | [39]          |
| $K^{*0}$         | $0.754 \pm 0.034$    | ADO         |      | [15,23,35]    |
| $K^{*\pm}$       | $0.714 \pm 0.043$    | ADO         |      | [15,19,31]    |
| $\omega$         | $1.084 \pm 0.086$    | ALO         |      | [15,28,39]    |
| $\phi$           | $0.0966 \pm 0.0073$  | ADO         | 2.37 | [15,23,38]    |
| p                | $1.037 \pm 0.040$    | ADO         | 1.04 | [16,24,32]    |
| $\Lambda$        | $0.388 \pm 0.011$    | ADLO        | 2.04 | [17,20,29,36] |
| $\Sigma^-$       | $0.082 \pm 0.007$    | DO          |      | [26,37]       |
| $\Sigma^+$       | $0.107 \pm 0.010$    | LO          |      | [30,37]       |
| $\Sigma^0$       | $0.078 \pm 0.008$    | ADLO        |      | [15,22,30,37] |
| $\Xi^-$          | $0.0265 \pm 0.0011$  | ADO         | 1.23 | [15,20,36]    |
| $\Delta^{++}$    | $0.088 \pm 0.035$    | DO          | 3.39 | [18,33]       |
| $\Sigma^{*\pm}$  | $0.0468 \pm 0.0043$  | ADO         | 1.74 | [15,20,36]    |
| $\Xi^{*0}$       | $0.0058 \pm 0.0010$  | ADO         | 2.65 | [15,20,36]    |
| $\Omega^-$       | $0.0013 \pm 0.00024$ | ADO         | 1.14 | [15,22,36]    |
| $a_0^\pm(980)$   | $0.27 \pm 0.11$      | O           |      | [39]          |
| $f_0(980)$       | $0.147 \pm 0.011$    | DO          |      | [25,38]       |
| $K_2^{*0}(1430)$ | $0.084 \pm 0.040$    | DO          | 1.81 | [25,35]       |
| $f_2(1270)$      | $0.169 \pm 0.025$    | DO          | 1.36 | [25,38]       |
| $f_2'(1525)$     | $0.012 \pm 0.006$    | D           |      | [25]          |
| $\Lambda(1520)$  | $0.0225 \pm 0.0028$  | DO          | 1.09 | [26,36]       |

~ momentum spectra/fragmentation functions

# Multiplicities Ratios to Lower Energy Data



check of models, energy dependence, measurements,  
universality of fragmentation function

# Measurement of $\text{BR}(\omega \rightarrow \mu^+ \mu^-)$

...or invert argument:

particle production rate and spectrum known:

e.g.,  $\omega$  from  $\omega \rightarrow \pi^+ \pi^- \pi^0$  decay

measure rare branching ratio:  $\omega \rightarrow \mu^+ \mu^-$

leptonic widths  $\Gamma$  of vector mesons  $V$   
depends on quark composition

$$\Gamma(V \rightarrow l^+ l^-) = \frac{16\pi\alpha^2 Q^2}{m_V^2} |\psi(0)|^2,$$

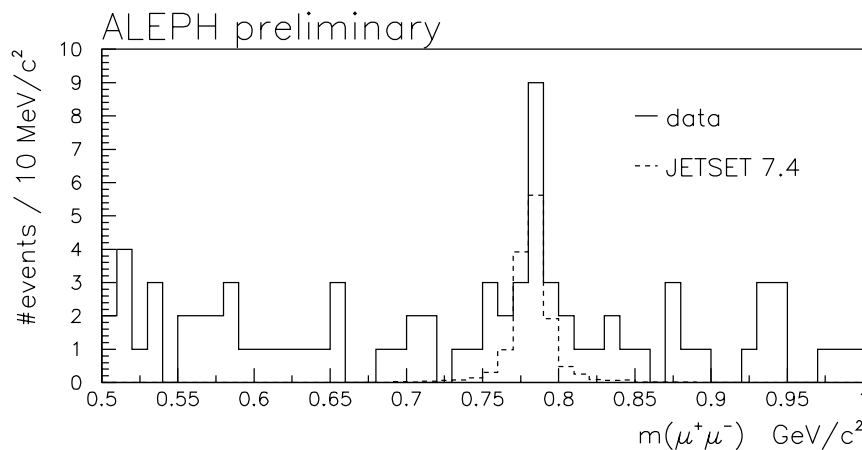
$Q^2 = |\sum a_i Q_i|^2$  superposition of quark amplitudes

$$\rho^0 = 1/\sqrt{2}(u\bar{u} - d\bar{d})$$

$$\omega = 1/\sqrt{2}(u\bar{u} + d\bar{d})$$

$$\phi = s\bar{s}$$

PDG:  $\text{BR}(\omega \rightarrow \mu^+ \mu^-) < 1.8 \times 10^{-4} (\text{CL}=90\%)$



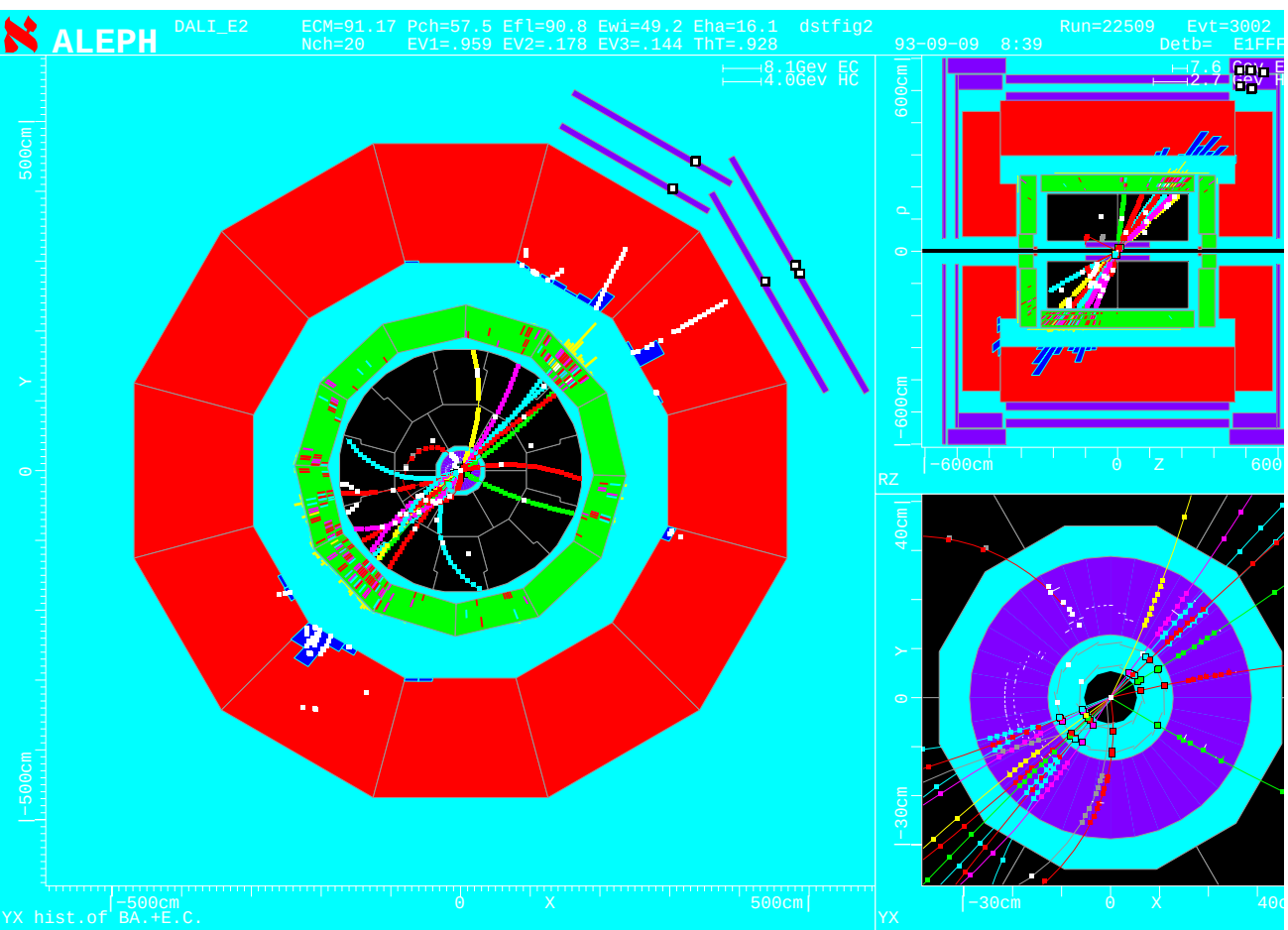
$$\text{BR}(\omega \rightarrow \mu^+ \mu^-) = (9.0 \pm 2.9 \pm 1.1) \times 10^{-5}$$

first measurement

agrees with  $\text{BR}(\omega \rightarrow e^+ e^-) = (7.07 \pm 0.19) \times 10^{-5}$  (PDG)

Armin Böhrer / DESY Hamburg, Nov. 2001

Run 22509, Evt 3002:  $m(\mu^+, \mu^-) = 782 \text{ MeV}$



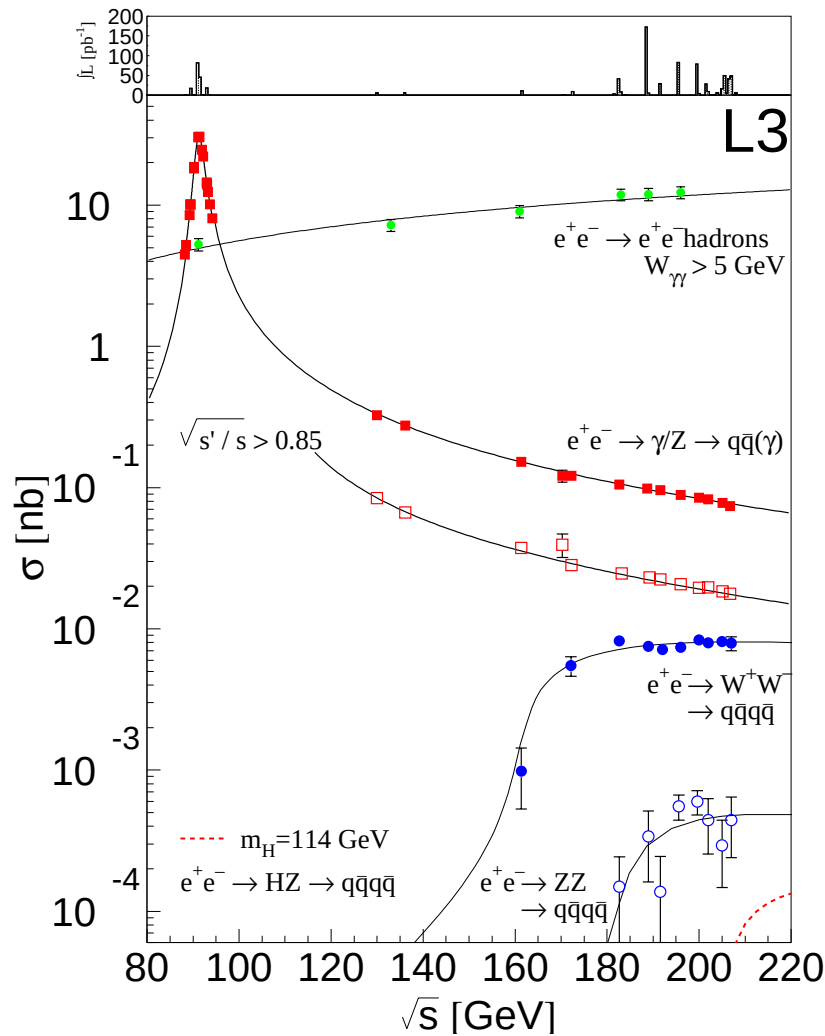
# Two-Photon Physics at LEP

- Introduction
- Global event properties
- Structure functions
  - Leptonic
  - Hadronic single-tag
- Hadronic double-tag (BFKL)
- Total cross section
- Jets in  $\gamma\gamma$
- Heavy flavour
  - charm, bottom
- Inclusive particle production
- Exclusive particle production
- Conclusion

# Cross Sections at LEP

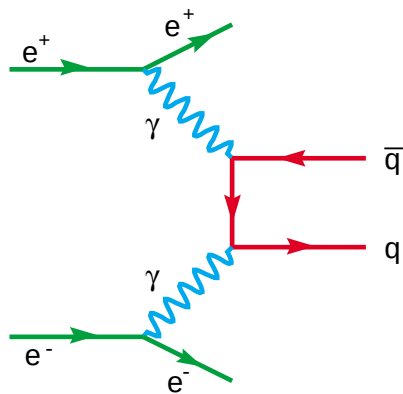
LEP has been build to study gauge bosons:

|                 |                        |
|-----------------|------------------------|
| Z(LEP 1)        | W(LEP 2)               |
| g(LEP 1 +LEP 2) | $\gamma$ (LEP 1+LEP 2) |

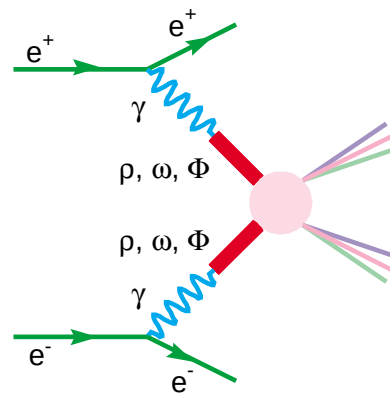


LEP2: majority of hadrons are from  $\gamma\gamma$  collisions!

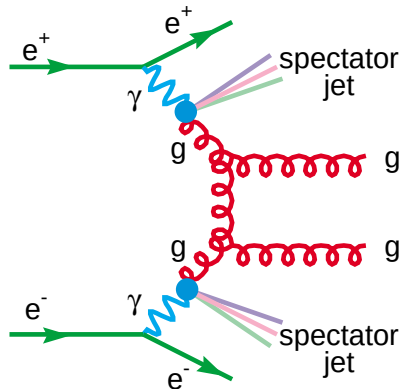
## Commonly Used Classification



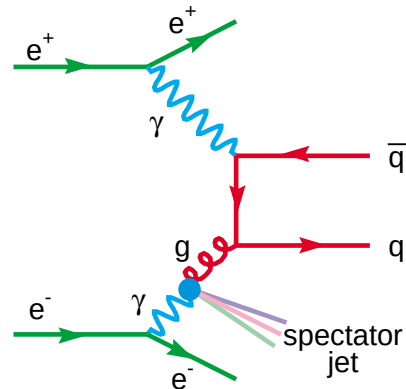
Direct



VDM



## Double Resolved



## Single Resolved

no-tag events (anti-tag events):

no scattered  $e^\pm$  detected

single-tag events:

one scattered  $e^\pm$  detected

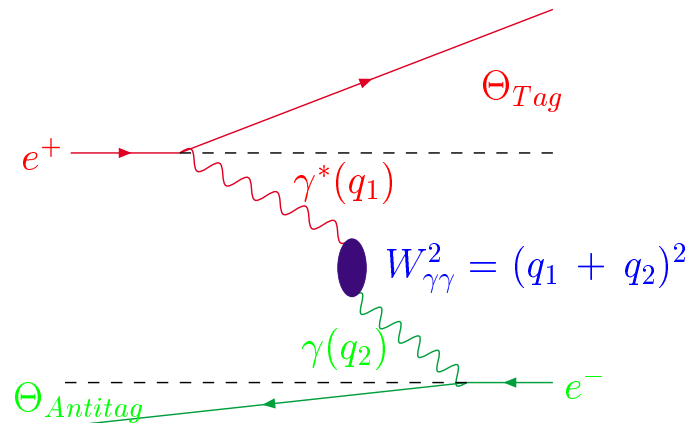
double-tag events:

both scattered  $e^\pm$  detected

$$F_2^\gamma(x, Q^2)$$

Measurement in **single-tag events**:

One electron (or positron) seen in detector



$$\frac{d^2\sigma_{e\gamma\rightarrow ex}}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^2} \left[ (1 + (1 - y)^2) F_2^\gamma(x, Q^2) - y^2 F_L^\gamma(x, Q^2) \right]$$

with

$$\begin{aligned} Q^2 &= 2EE_{tag}(1 - \cos \theta_{tag}) \\ x &\approx \frac{Q^2}{Q^2 + W^2} \\ y &\approx 1 - \frac{E_{tag}}{E} \cos^2(\theta_{tag}) \end{aligned}$$

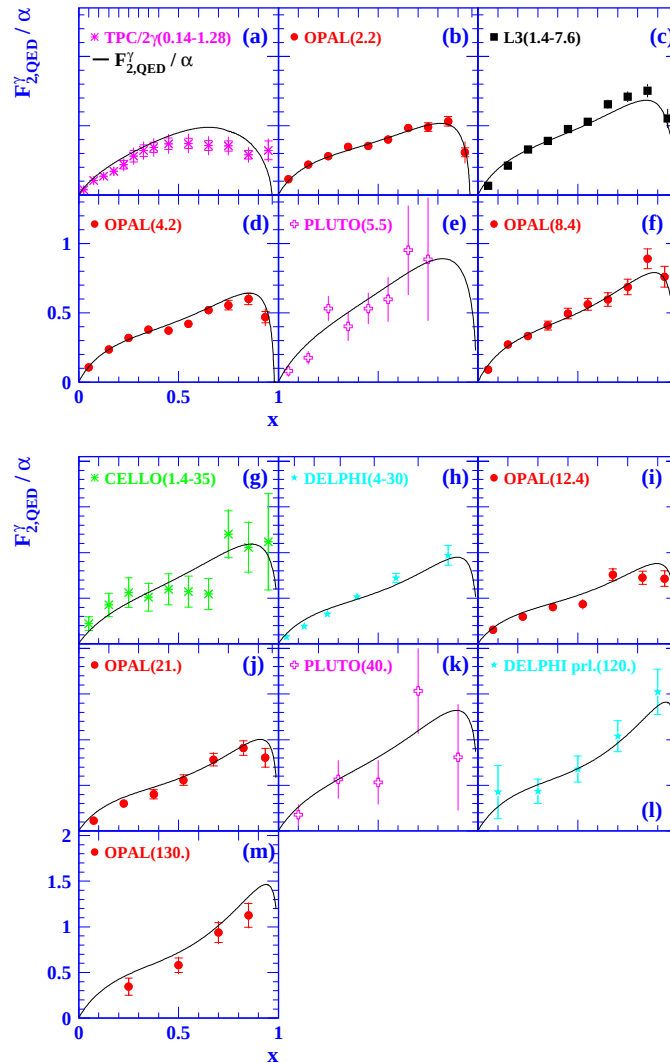
$y$  small  $\Rightarrow$  contribution from  $F_L^\gamma$  small

**get  $F_2^\gamma$  from  $d\sigma/dx$  after corrections**  
(background, acceptance; unfolding)



$$F_{2,QED}^{\gamma}$$

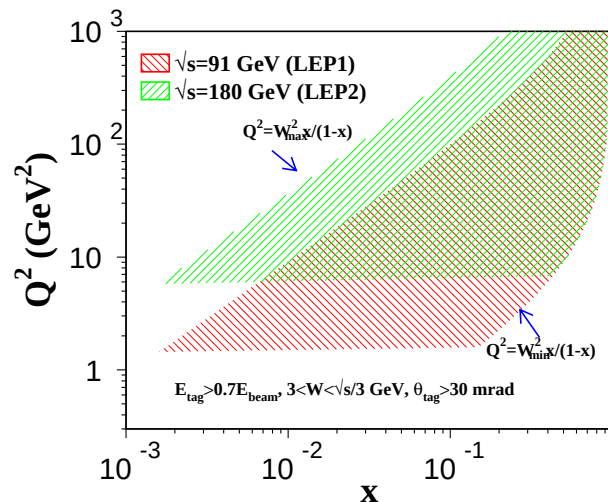
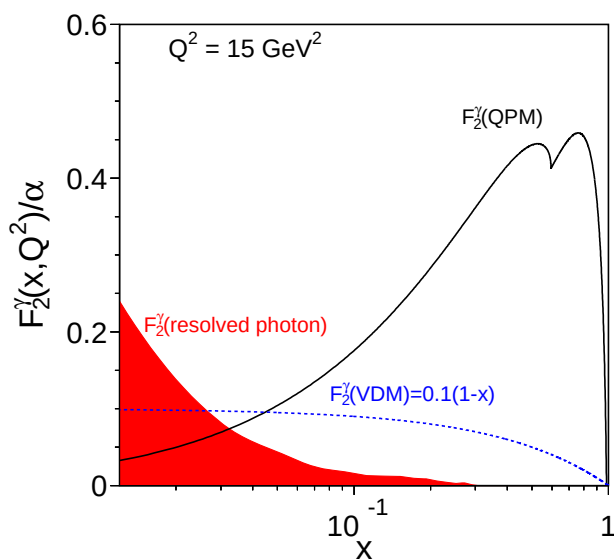
Increase at high  $x \Rightarrow$  the point-like component  
 Dominated by real photon interaction



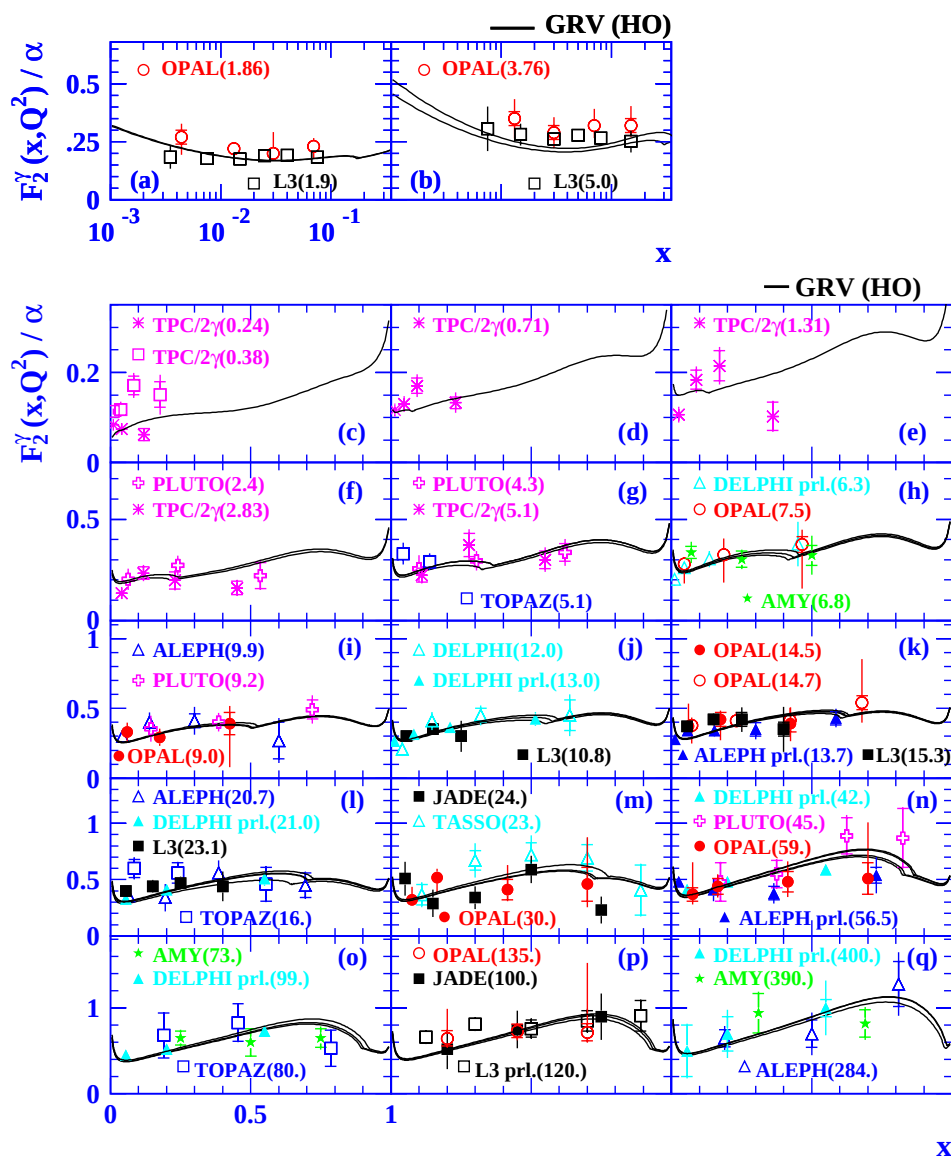
QED nicely describes data

# Hadronic Structure of the Photon: $F_2^\gamma$

- at high  $x$  point-like component dominates:  
 $\sim \text{QED}$
- various parameterizations of structure functions, however,  
QCD predicts evolution with  $Q^2$   
increase as function of  $Q^2$ ; steeper for small  $x$   
data constrain in a wide  $x$  and  $Q^2$  range  
– hadronic final state ( $\neq$  leptonic f.s.)  
need good Monte Carlo simulation  
for detector correction (unfolding)



# $F_2^\gamma$ : Summary

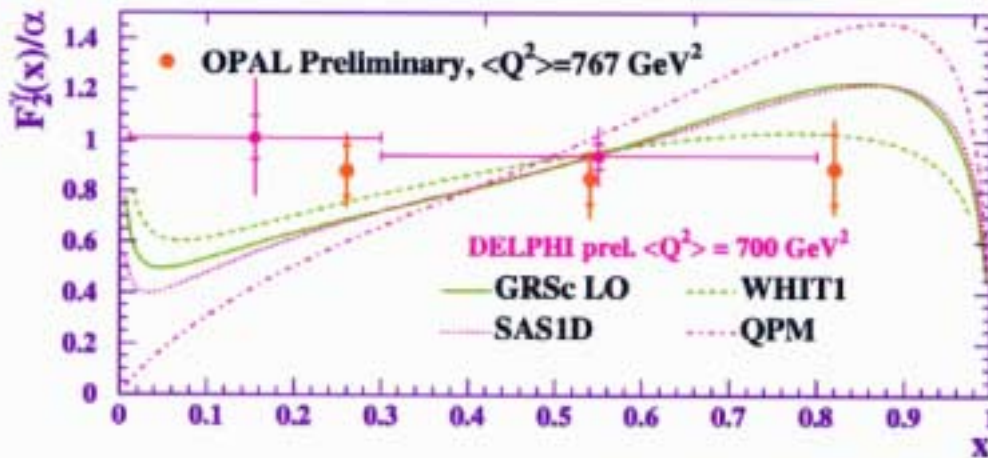


- GRV and SaS parameterization describe data
- structure functions with large gluon content (e.g. LAC1) are disfavoured
- some scatter of data taken under similar conditions; consistency? systematic errors?
- TPC/2 $\gamma$  data at low  $x$  are disfavoured by GRV

R.Taylor

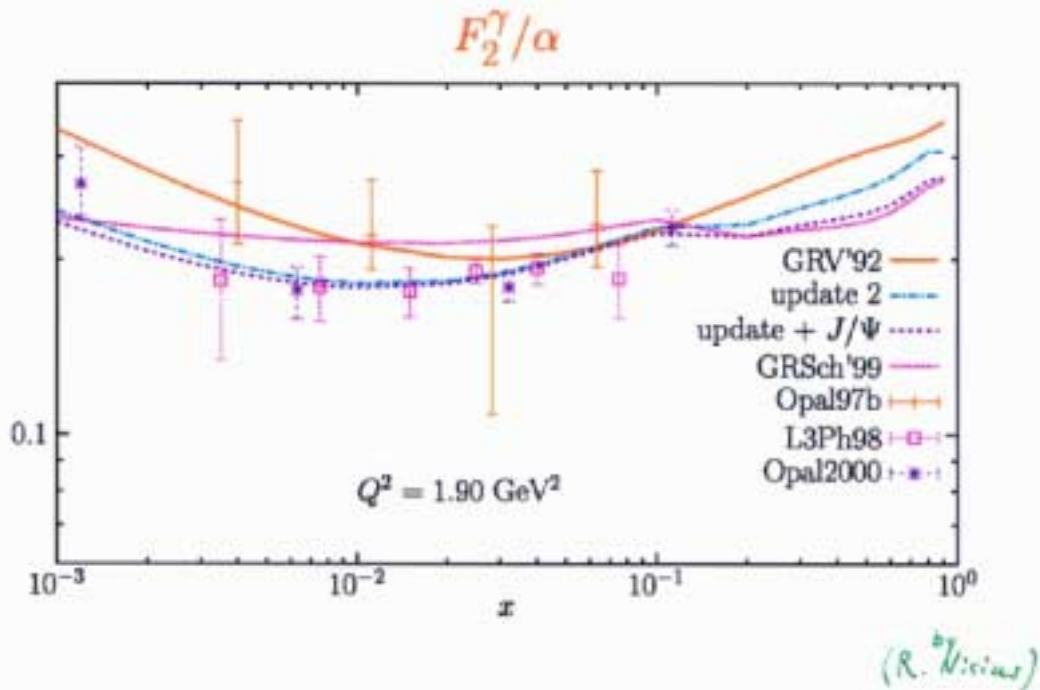
I.Tiarkin

## The high- $Q^2$ reach of $F_2^\gamma$

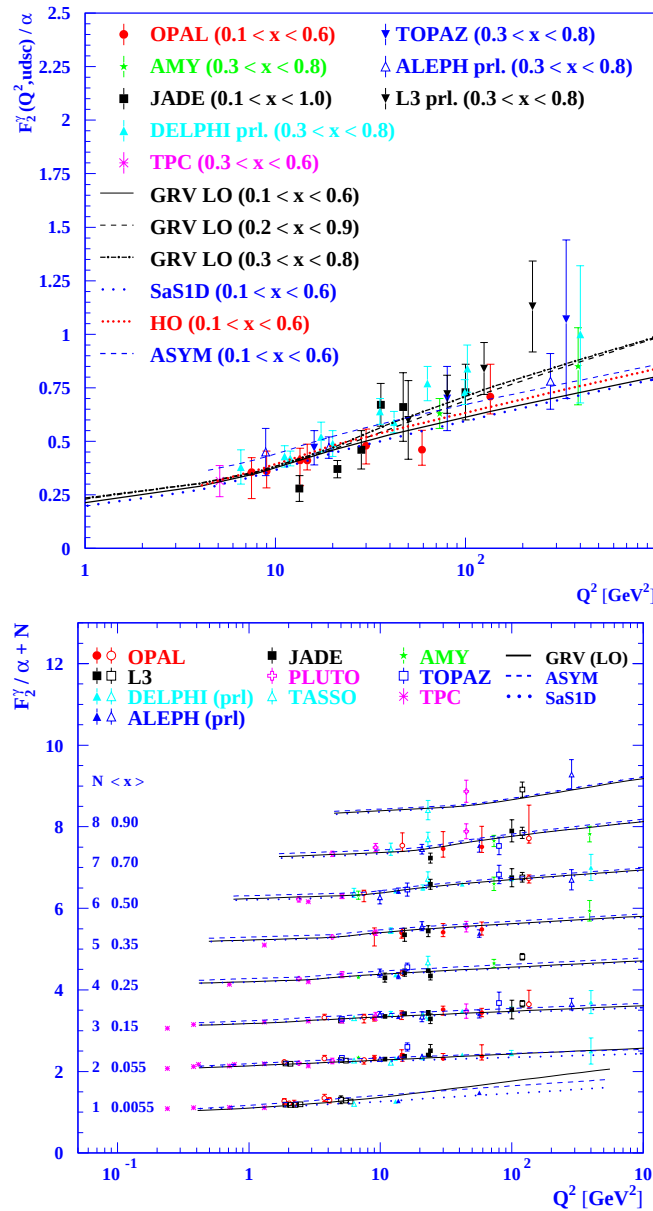


## 'Summary' of the theory contribution

P. Jankowski



# $F_2^\gamma$ : Evolution with $Q^2$ ; ..and for Different $x$



data show **logarithmic rise** with  $Q^2$  as expected:

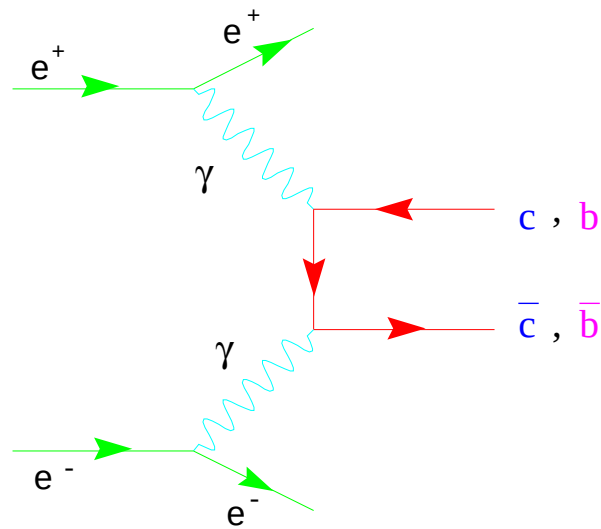
$$F_2^\gamma = a + b \ln \frac{Q^2}{\Lambda}$$

$\Lambda = 0.2 \text{ GeV}$  is used

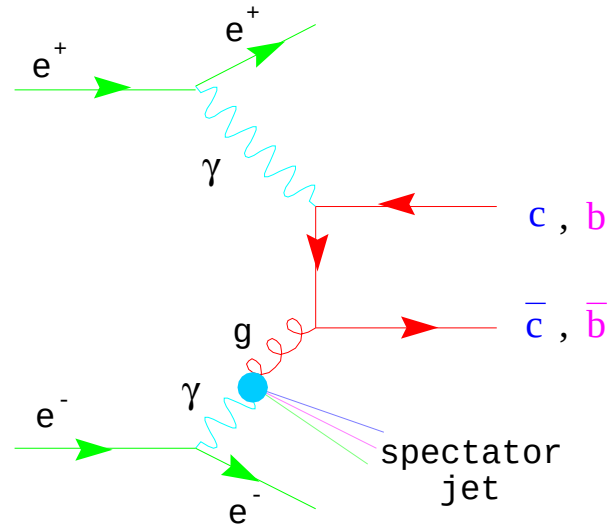
**slope increases** with increasing  $x$  as expected:

positive scaling violation ( $\gamma \rightarrow q\bar{q}$ ; QED)

# Heavy Flavour Production



Direct



Single Resolved

Test of perturbative QCD:

LEP II energies:

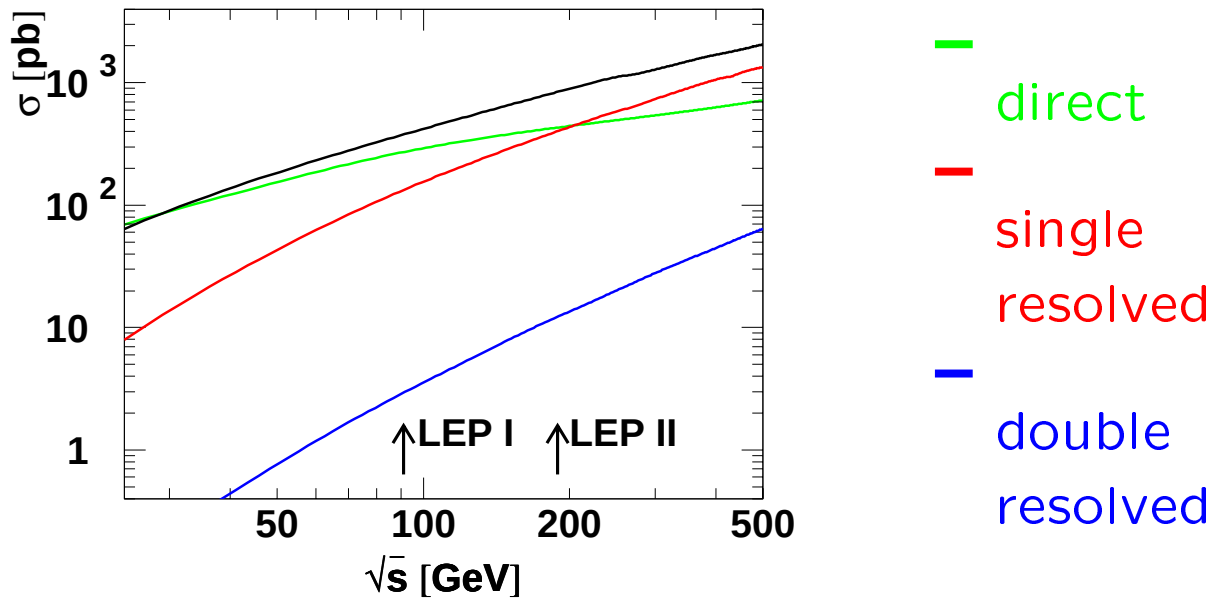
VMD : direct : resolved  $\approx 0 : 1 : 1$

- direct process depends on quark mass ( $m_c, m_b$ ) and  $\alpha_s$
- resolved process depends on gluon content of photon
- heavy quark production primarily charm  $\sigma(\gamma\gamma \rightarrow c\bar{c}X) \gg \sigma(\gamma\gamma \rightarrow b\bar{b}X)$

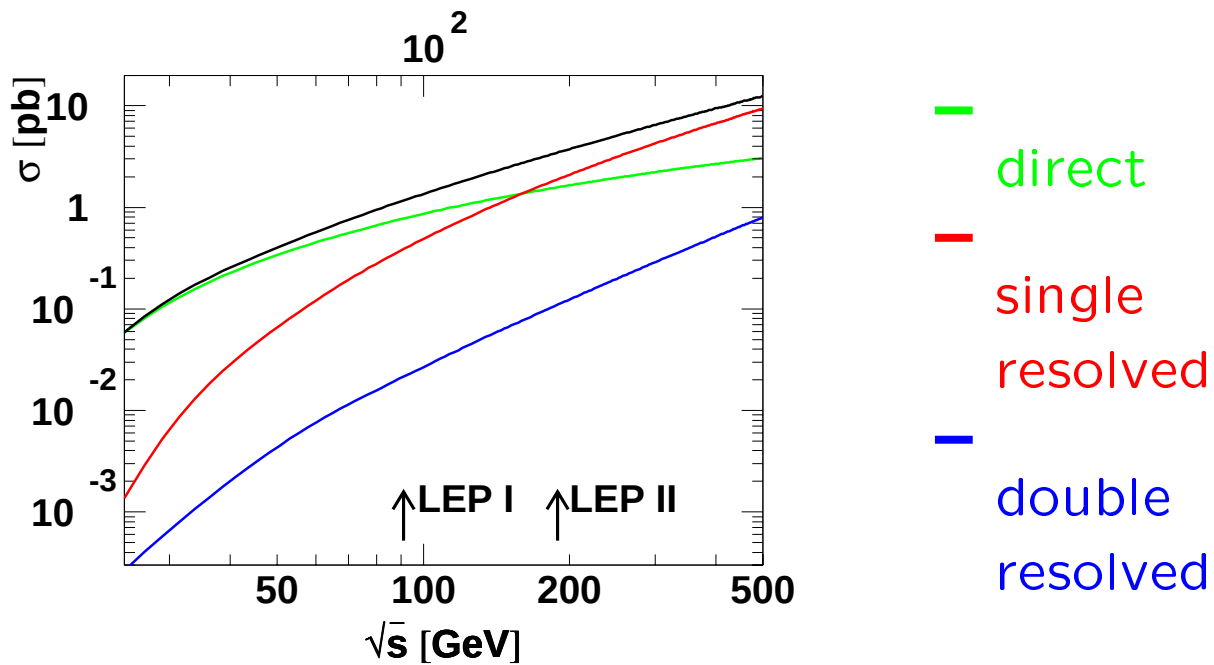
# Total Cross Sections

## Theoretical Prediction (Drees, Krämer, Zunft, Zerwas)

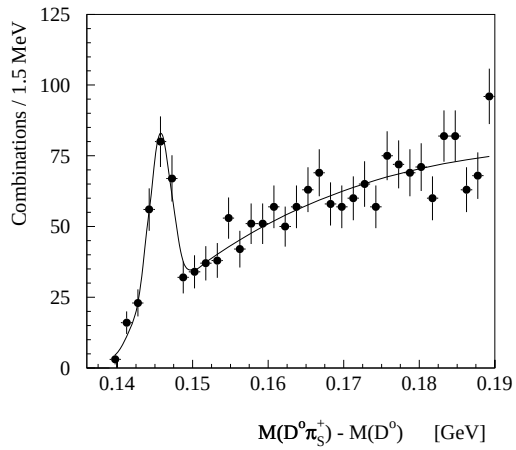
$$\sigma(e^+e^- \rightarrow e^+e^-c\bar{c})$$



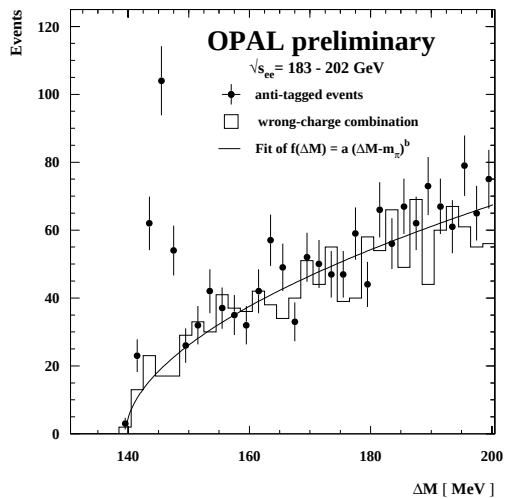
$$\sigma(e^+e^- \rightarrow e^+e^-b\bar{b})$$



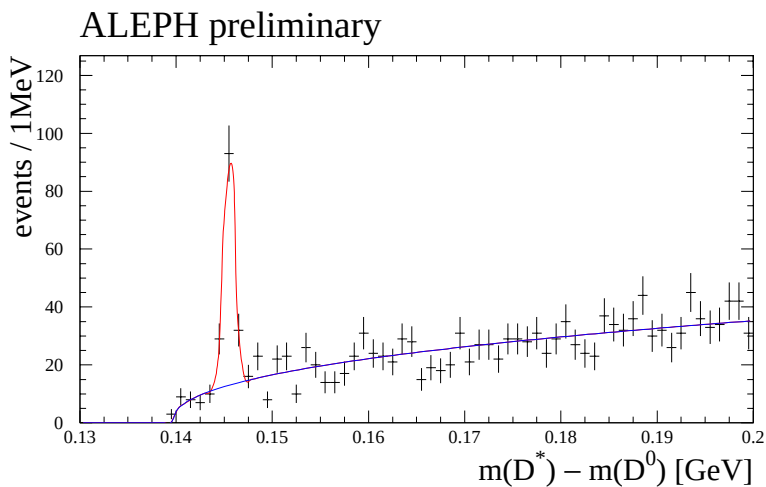
# $D^{*\pm}$ , Signals



L3: 144 now 544 events



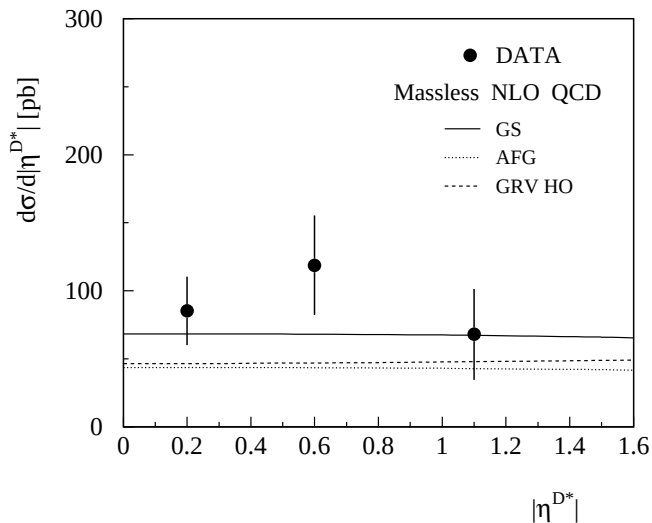
OPAL: 164 events



ALEPH: 113 events



# $D^{*\pm}$ , Pseudorapidity

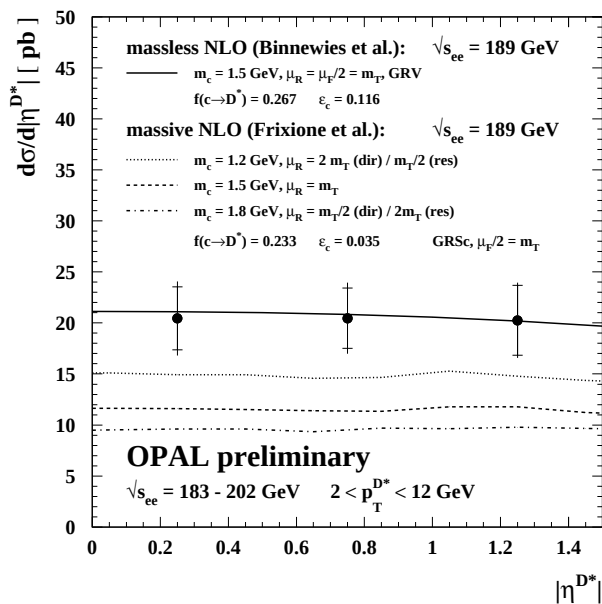


**L3:**

$$1 \text{ GeV} < p_t(D^{*\pm}) < 5 \text{ GeV}$$

$$|\eta| < 1.4$$

reasonable agreement  
with NLO QCD (massless)

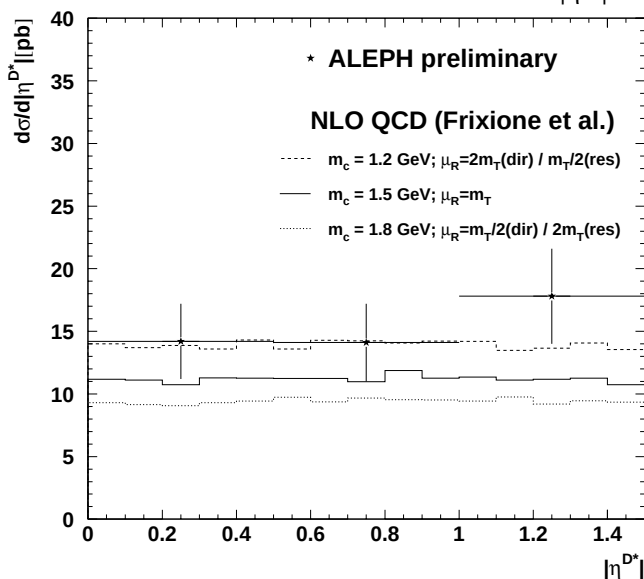


**OPAL:**

$$2 \text{ GeV} < p_t(D^{*\pm}) < 12 \text{ GeV}$$

$$|\eta| < 1.5$$

good agreement with  
NLO QCD (massless)



**ALEPH**

$$2 \text{ GeV} < p_t(D^{*\pm}) < 12 \text{ GeV}$$

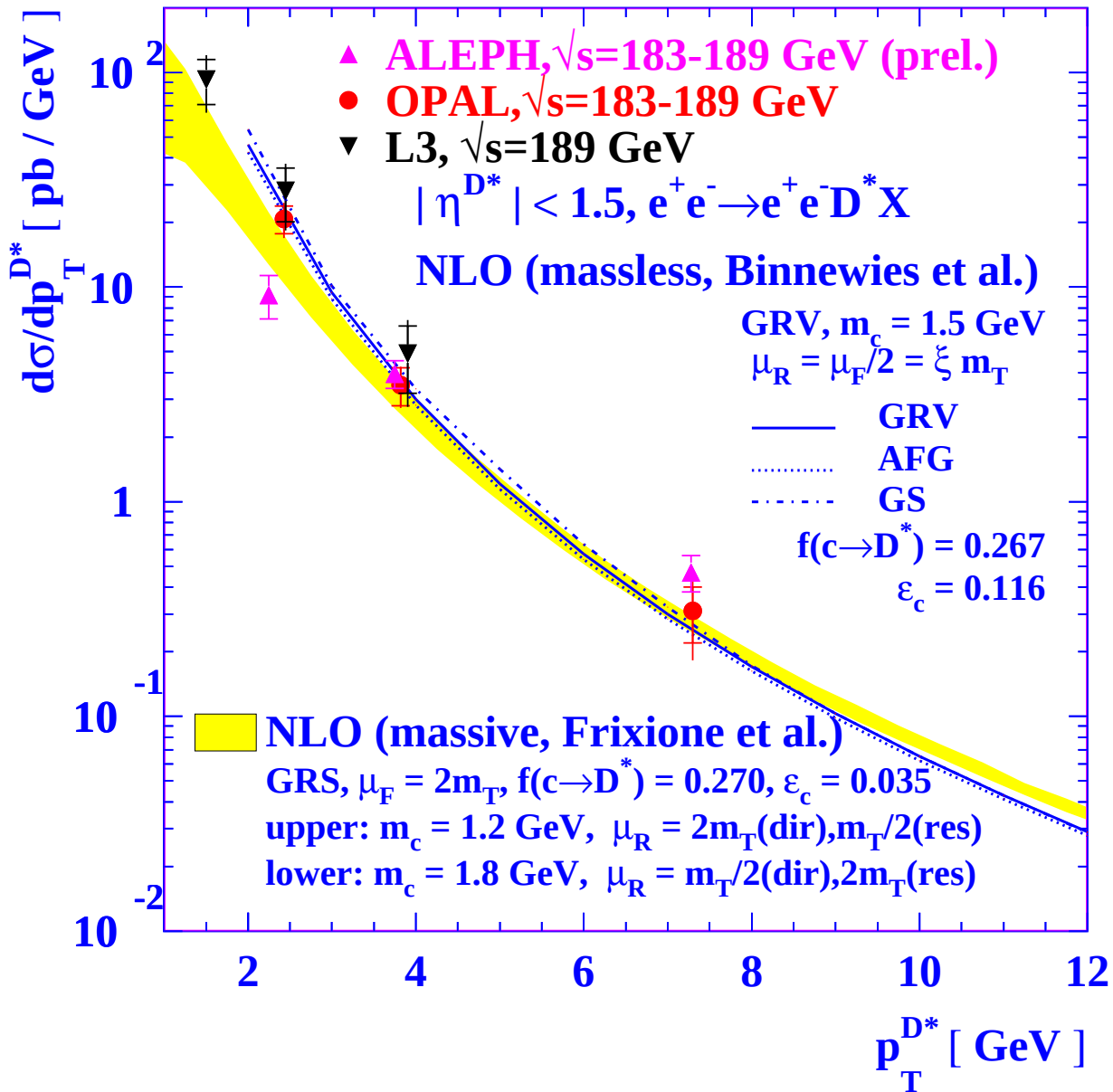
$$|\eta| < 1.5$$

good agreement with  
NLO QCD (massive)

$$D^{*\pm}, d\sigma/dp_T^{D^*}$$

Differential distribution  $d\sigma/dp_T^{D^*}$  by

ALEPH, OPAL, L3:



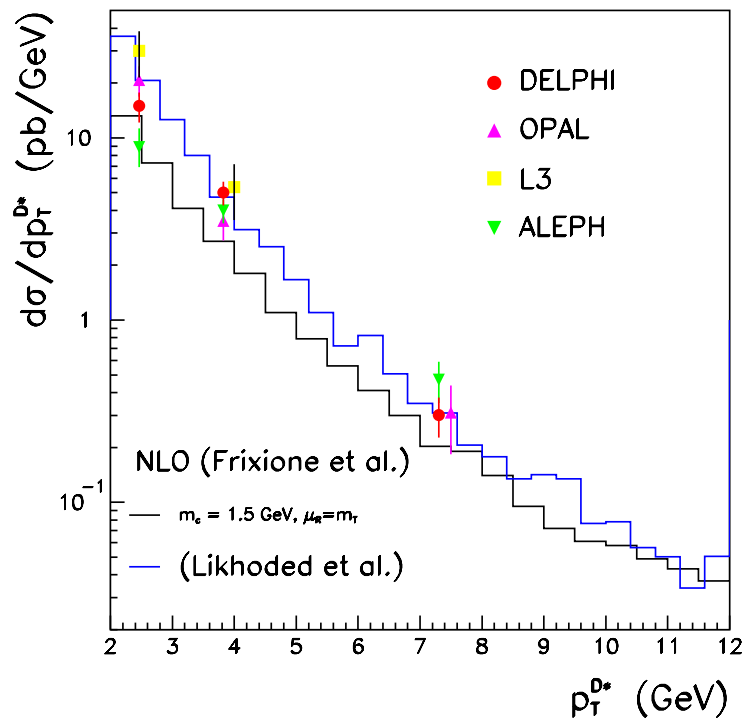
massless calculation in agreement with L3 and OPAL

massive calculation closer to ALEPH

ALEPH different slope to L3 and OPAL

# $d\sigma/dp_T^{D^*}$ - comparison with other experiments

$$|\eta^{D^*}| < 1 \rightarrow |\eta^{D^*}| < 1.5$$



agreement with OPAL and L3;  
different slope observed by ALEPH

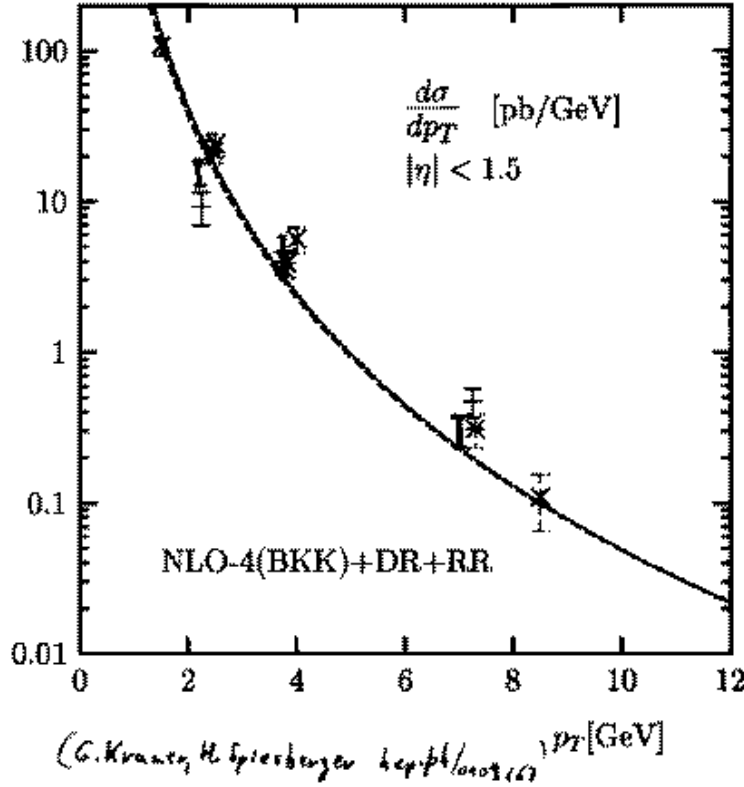


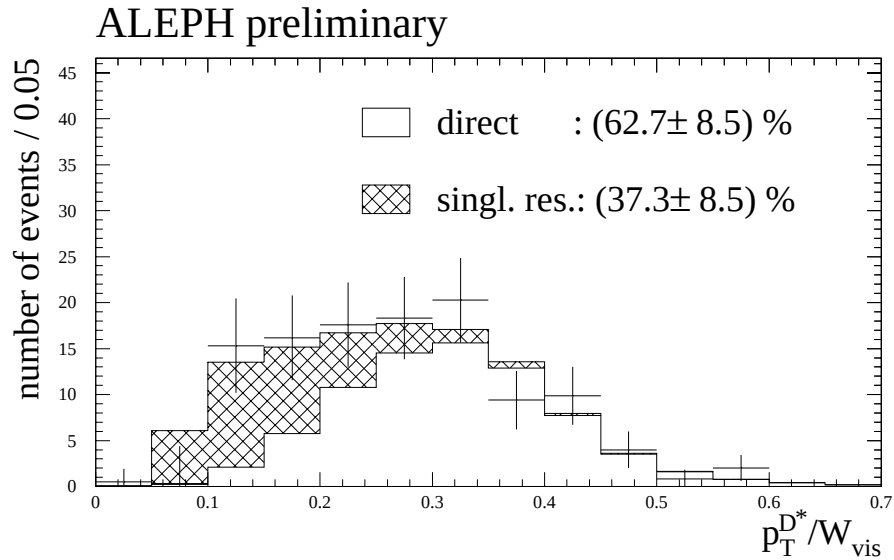
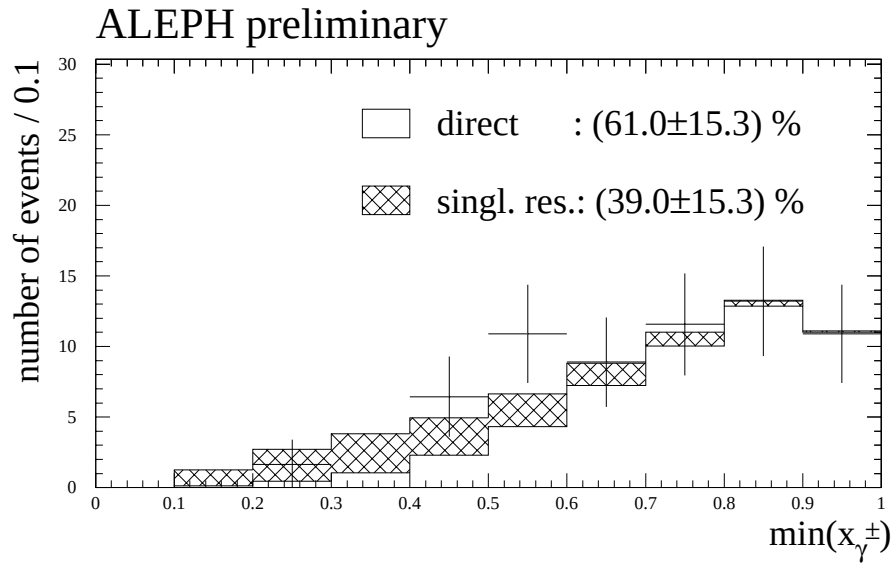
Figure 8:  $p_T$  distribution  $d\sigma/dp_T$  after integration over  $|\eta| < 1.5$  in the NLO 4-flavour scheme with  $M_i = M_F = 2\sqrt{p_T^2 + m^2}$  including BKK-fragmentation compared to LEP data [1, 3, 23]. Full line: massless calculation, dashed line: massive calculation. Single- and double-resolved contributions are included using photon PDFs of Ref. [25].

in Fig. 8. The full curve is the cross section in the massless approximation as in Fig. 7. In the dashed curve the direct massless cross section is replaced by the direct cross section with massive quarks, i.e. NLO-4(BKK) of the previous section, except for the change of factorization scales. The resolved components are as in Fig. 7. The experimental data points shown at  $p_T$  values between 1.5 GeV and 8.5 GeV are from ALEPH [1], L3 [23] and OPAL [3]. The overall agreement between the theoretical prediction (dashed curve) and the experimental data is quite good although the data points in the medium  $p_T$  range lie slightly above the theoretical curve. Even if a finite charm mass correction for the DR and RR contributions would be included, for which we expect a reduction of the theoretical prediction by approximately 15% at  $p_T = 2$  GeV and less at higher  $p_T$ , the overall agreement for  $p_T \geq 2$  GeV would hardly change. The data from OPAL [3] and L3 [23] have been compared already with the predictions of the massless theory, which was

# Direct and Resolved Contribution

$$x_{\gamma}^{\min} = \min(x_{\gamma}^{\pm}); \quad x_{\gamma}^{\pm} = \frac{\sum_{\text{jets}}(E \pm p_z)}{\sum_{\text{particles}}(E \pm p_z)}$$

$$x_T^{D*} = p_t^{D*} / W_{\text{vis}}$$



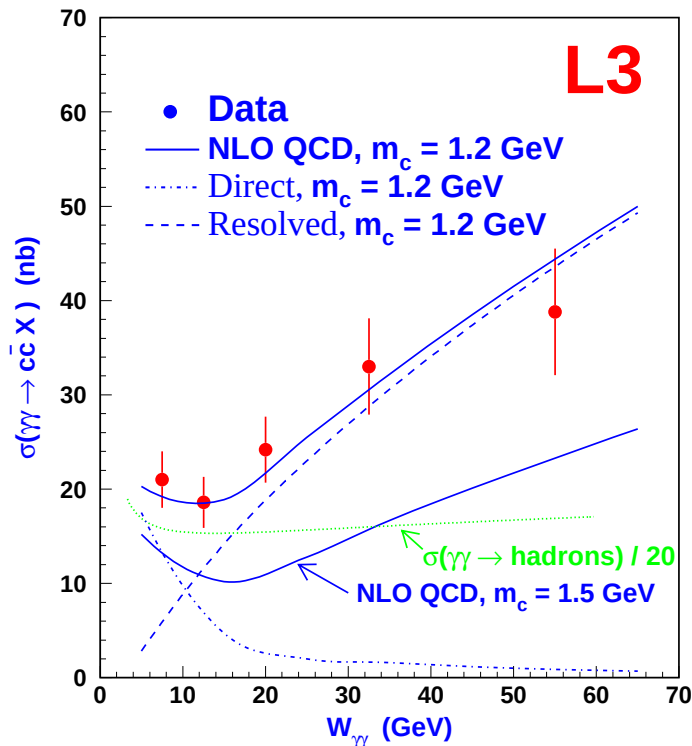
# Fraction of Direct Contribution in Charm Events

| Fraction of direct process                                              |
|-------------------------------------------------------------------------|
| ALEPH :<br>$r_{\text{dir}} = (62.7 \pm 8.5)\%$                          |
| OPAL :<br>$r_{\text{dir}} = (44. \pm 6.)\%$                             |
| DELPHI :<br>$r_{\text{dir}} = (55. \pm 11.)\%$                          |
| NLO QCD (Frixione et al.):<br>$r_{\text{dir}} = (70.4^{+4.6}_{-7.2})\%$ |

# Total Charm Cross Section



$$\sigma(\gamma\gamma \rightarrow c\bar{c}X) \text{ vs } W_{\gamma\gamma}$$



$$\sigma_{\text{tot}} = A s^\varepsilon + B s^{-\eta} \text{ (Pomeron + Reggeon)}$$

- ✦ Steeper rise with energy :  $\varepsilon = 0.40 \pm 0.08$
- ✦ Data agree with NLO calculations  
 $m_c = 1.2$  GeV  
S.Frixione et al. J.Phys.G 26(2000)723.
- ✦ Direct ( $\gamma\gamma \rightarrow q\bar{q}$ ) decreases  
Resolved ( $\gamma g \rightarrow q\bar{q}$ ) increases

PYTHIA is only 66% of charm cross section

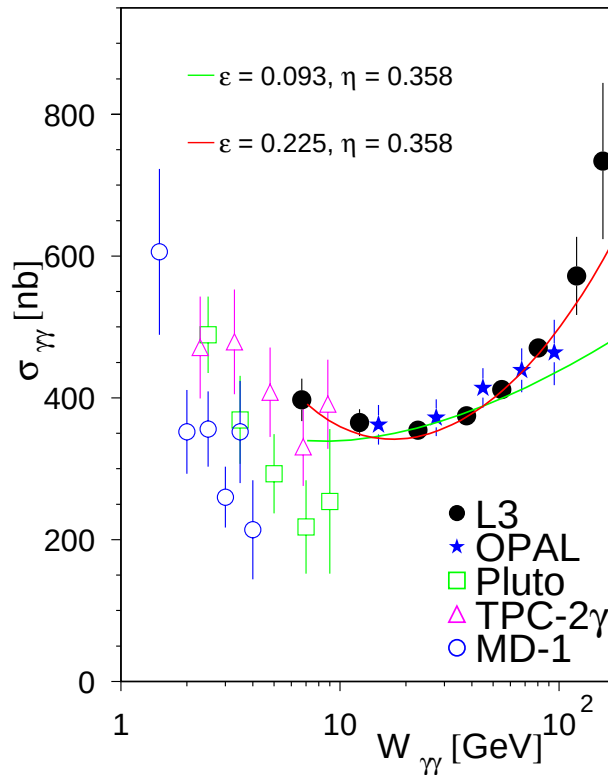
⇒ NLO corrections needed

# Total Cross Section; All Flavours; LEP



## $\sigma(\gamma\gamma \rightarrow \text{hadrons})$ vs $W_{\gamma\gamma}$

Taking out  $L_{\gamma\gamma}$  (QED) and extrapolating to  $Q^2 = 0$



Regge parameterization (**Reggeon + Pomeron**):

$$\sigma_{\gamma\gamma} = B s^{-\eta} + A s^{\varepsilon}, \quad s = W_{\gamma\gamma}^2$$

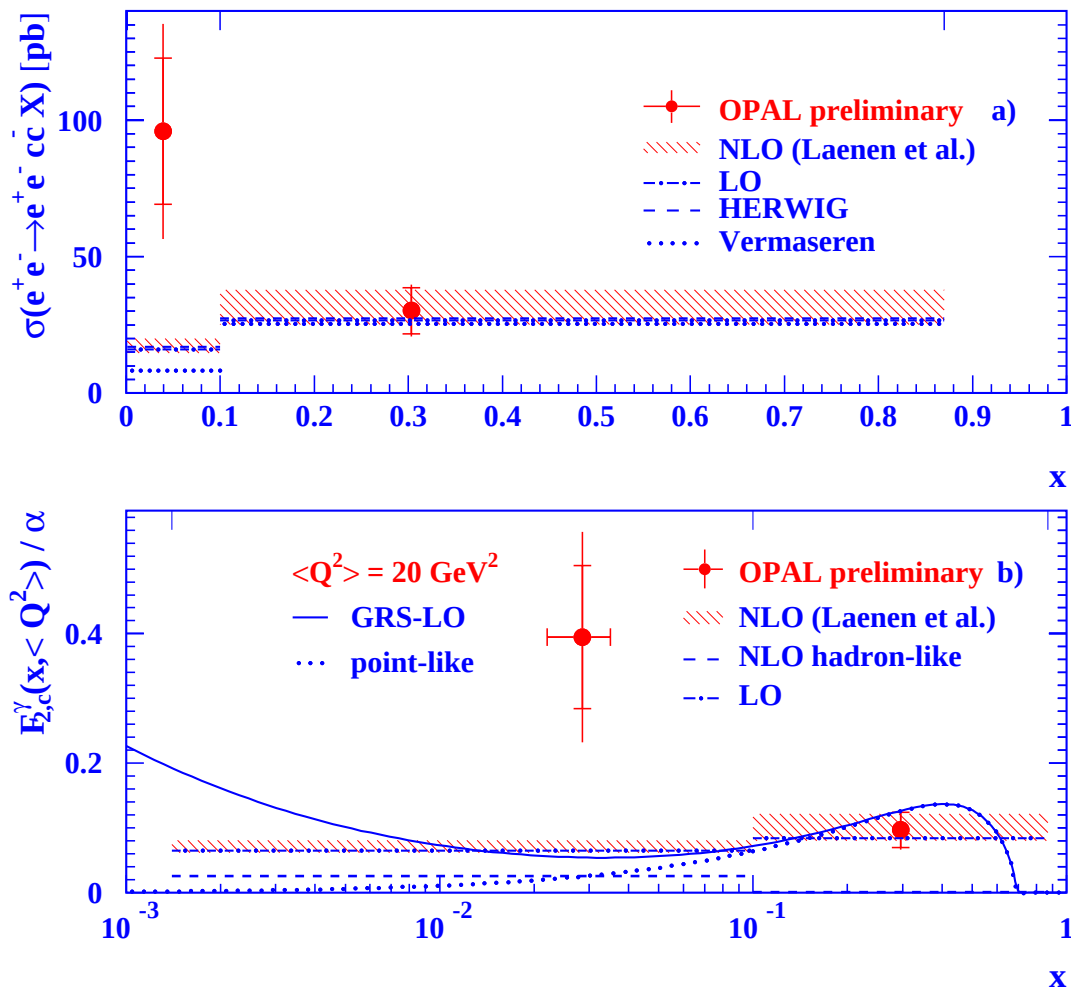
If photons behave like hadrons,  $\varepsilon$  and  $\eta$  are *Universal* and constrained by hadron-hadron and  $\gamma p$  data (PDG 2000):

$$\varepsilon = 0.093 \pm 0.002 \quad \text{soft Pomeron}$$

Best fit  $\varepsilon = 0.225 \pm 0.021$  ( $\gamma \rightarrow q\bar{q} \Rightarrow$  **hard Pomeron**)



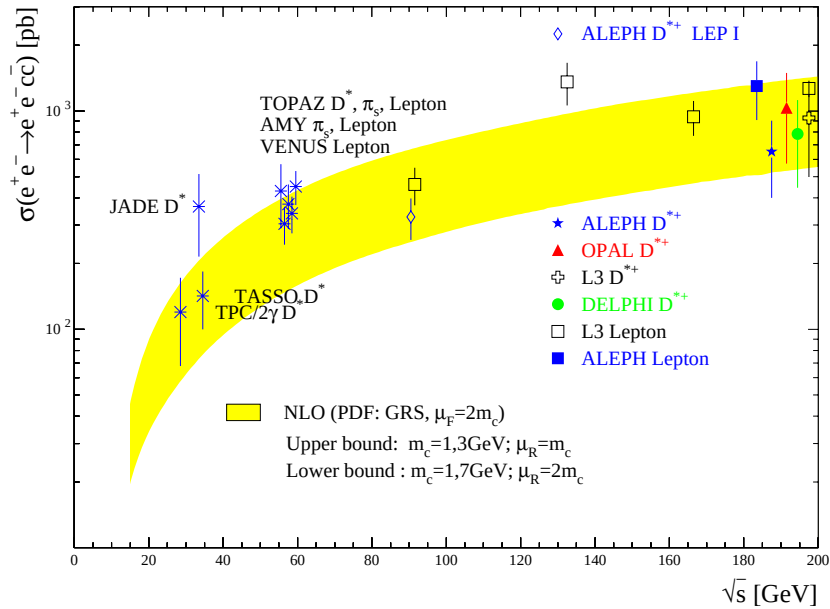
# Charm Structure Function



- $x > 0.1$  agreement = pointlike part  
(free parameters  $m_c$ ,  $\alpha_s$ )  
calculable in perturbative QCD:  
NLO Laenen = agreement
- $x < 0.1$  data above MC, but not (yet) conclusive  
suggests hadron like contribution

# Inclusive Charm Cross Section

Total cross section  $\sigma(e^+e^- \rightarrow e^+e^-D^*X)$



ALEPH (  $\sqrt{s} = (183 - 189)$  GeV)  
 $651 \pm 75(\text{stat.}) \pm 176(\text{sys.})$  [ pb]

OPAL (  $\sqrt{s} = (183 - 202)$  GeV)  
 $1033 \pm 102(\text{stat.}) \pm 111(\text{sys.}) \pm 246(\text{extr.})$

L3 (  $\sqrt{s} = (183 - 209)$  GeV)  
 $929 \pm 71(\text{stat.}) \pm 136(\text{sys.}) \pm 223(\text{extr.})$  [ pb]

DELPHI (  $\sqrt{s} = (161 - 207)$  GeV)  
 $783 \pm 78(\text{stat.}) \pm 70(\text{sys.}) \pm 190(\text{extr.})$  [ pb]

good agreement of most measurements ( $\sigma_{\text{tot}}$ ,  $\eta$ ,  $p_t$ ?)

clear evidence for direct and resolved fraction;

quantitative agreement

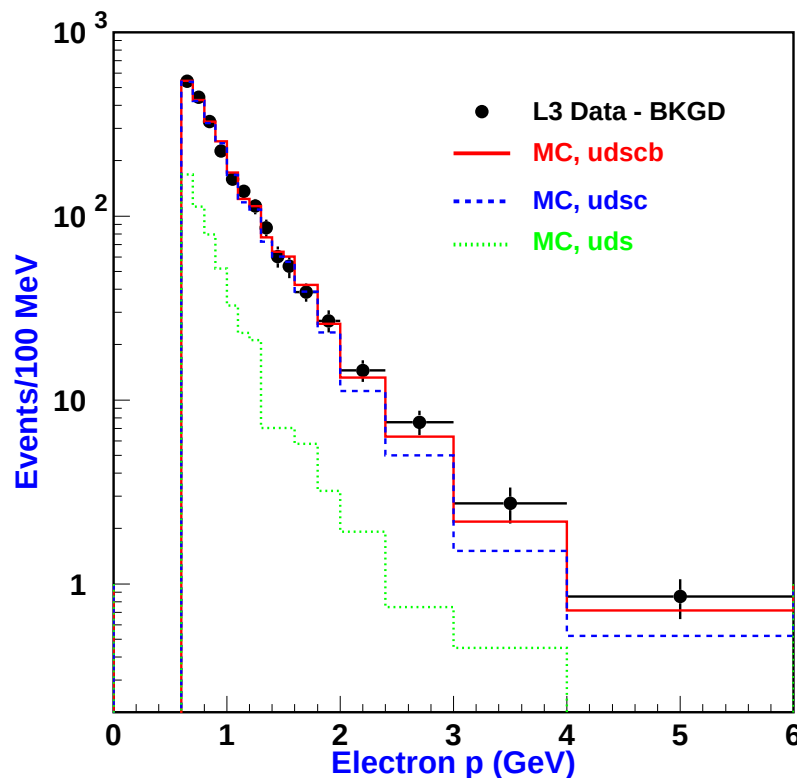
# The Bottom Story

lepton(bottom) = large  $p$

2 analysis strategies: cut- and fit analyses

2 lepton types:  $e^\pm$  and  $\mu^\pm$

((from 1999 conference note; old, but first+clear evidence for need of (more) bottom in  $\gamma\gamma$ ))



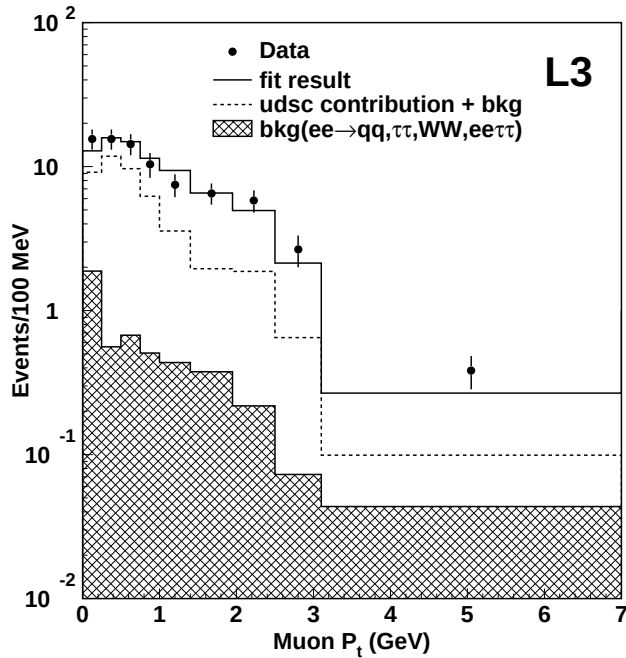
$b\bar{b}$  MC set to  $\sigma(e^+e^- \rightarrow e^+e^-b\bar{b}X) = 5 \text{ pb}$

MC too low  $\Rightarrow \sigma(e^+e^- \rightarrow e^+e^-b\bar{b}X) > 5 \text{ pb}$

Bottom:  $p_T$  of  $e^\pm$  and  $\mu^\pm$  to Jet

b-sensitive variable:

$p_t(\text{lepton})$  spectra to jet-direction

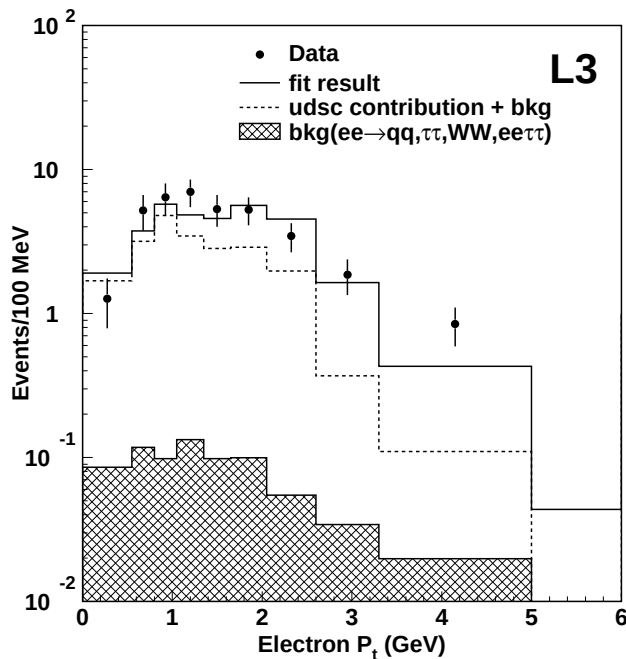


electrons

$p^e > 2 \text{ GeV}$

b-purity

42%



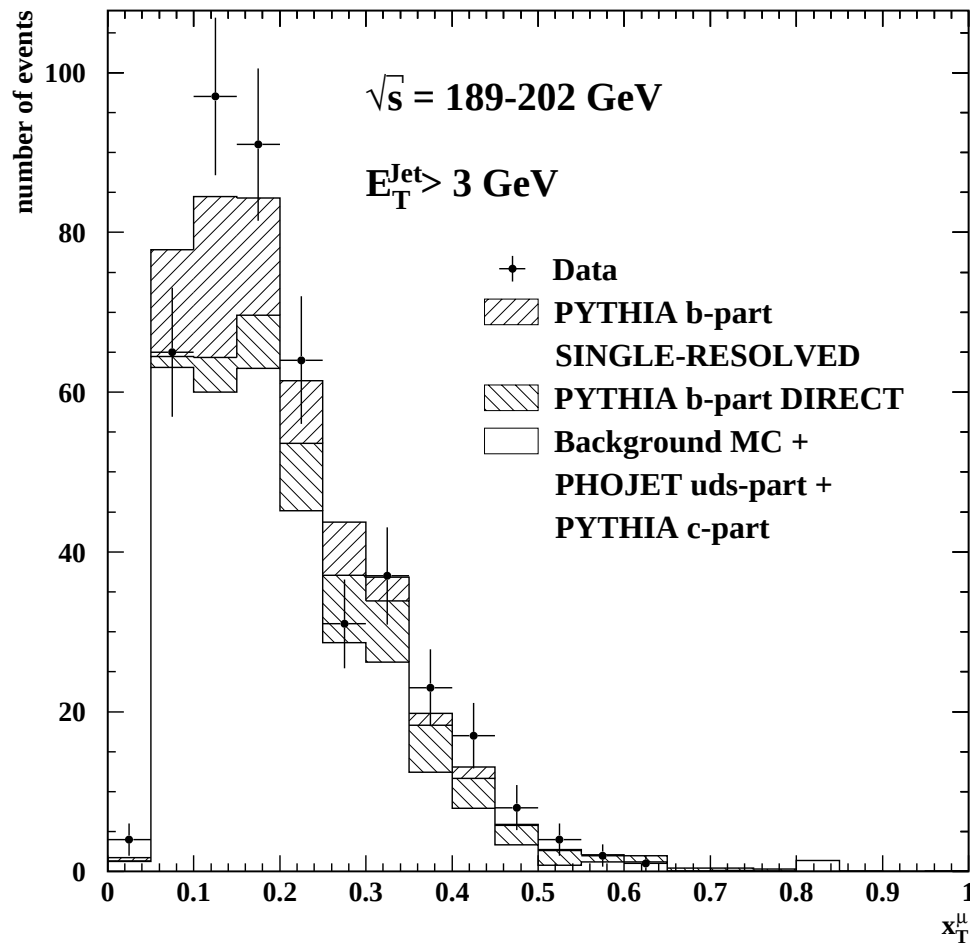
muons

$p^\mu > 2 \text{ GeV}$

b-purity

51%

# OPAL preliminary



$$x_{\text{T}}^{\mu} = \frac{2p_{\text{T}}^{\mu}}{W_{\text{vis}}} \text{ --- larger for direct than for resolved}$$

direct plus resolved fraction of MC agree with data  
(about equal parts of direct and resolved)

OPAL:

$$\sigma_{\text{fit}} = 14.2 \pm 2.5^{+5.3}_{-4.8} \text{ pb}$$

If  $\sigma_{b\bar{b}} = 0 \Rightarrow \sigma_{c\bar{c}} > 2 \text{ nb}$

L3

$$\sigma_{e^{\pm}} = 10.9 \pm 2.9 \pm 2.0 \text{ pb}$$

$$\sigma_{\mu^{\pm}} = 14.9 \pm 2.8 \pm 2.6 \text{ pb}$$

average L3:

$$\sigma_{l^{\pm}} = 13.1 \pm 2.0 \pm 2.4 \text{ pb}$$

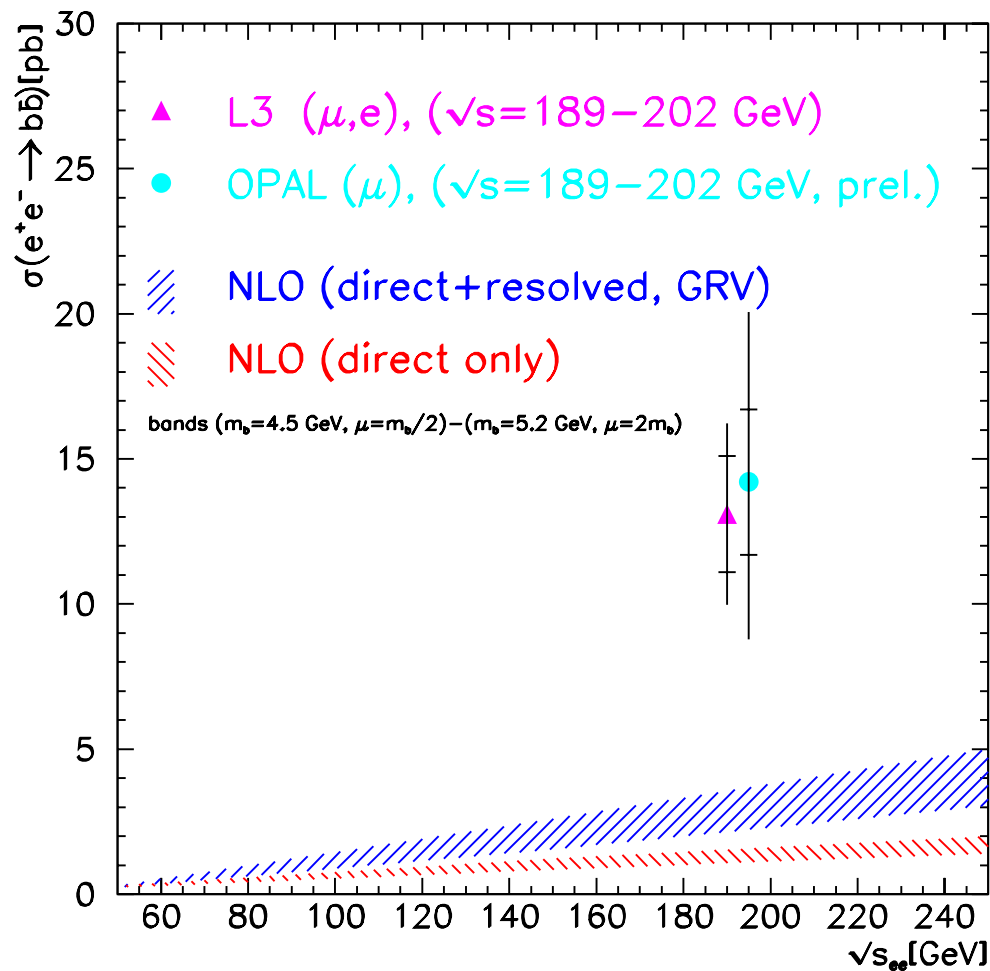
$$\sigma_{\text{measured}} \gg \sigma_{\text{predicted}}$$

fitted charm cross sections

$$c/\mu \ 814 \pm 164 \text{ pb}$$

$$c/e \ 1092 \pm 226 \text{ pb}$$

# Inclusive Bottom Cross Section

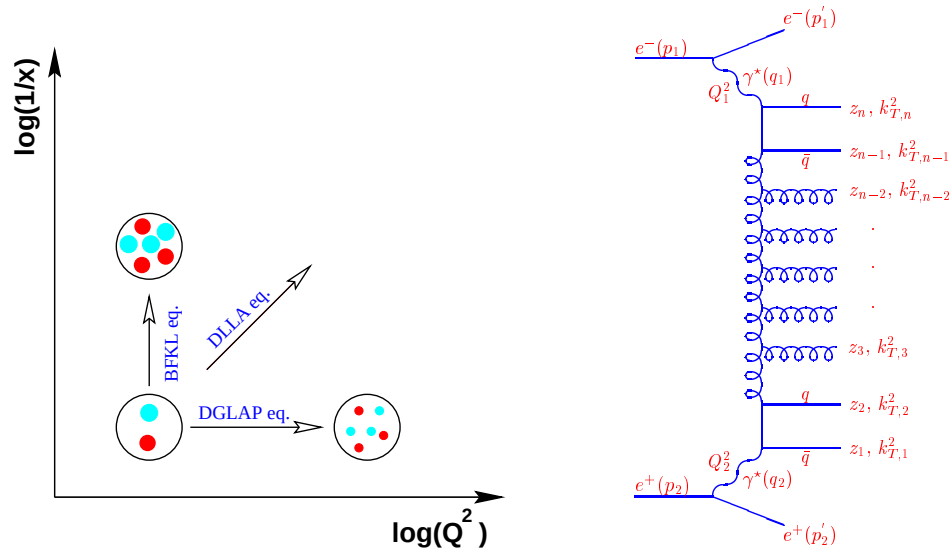


models to low: factors 3;  $\approx 4 \sigma$ !

2 independent measurement;

though same methods (leptons)

# Double-tag Cross Section (Shown in the Discussion)



- DGLAP evolution scheme (leading  $\ln(Q^2)$ ):
  - strong ordering in transverse momenta:  
 $Q_1^2 \equiv k_{T,n}^2 \gg k_{T,n-1}^2 \gg \dots \gg k_{T,1}^2 \equiv Q_2^2$
- BFKL evolution scheme (leading  $\ln(1/x)$ ):
  - strong ordering in fractional longitudinal momenta:  
 $x_1 \equiv z_n \ll z_{n-1} \ll \dots \ll z_1 \equiv x_2$
- QPM process:
  - $\propto 1/W_{\gamma\gamma}^2$

$\Rightarrow$  double-tag events (with  $Q_1^2 \approx Q_2^2$  and  $W_{\gamma\gamma}^2 \gg Q_i^2$ )  
in  $\gamma\gamma$  nice place to test BFKL:

$$Y \equiv \ln \left( \frac{s_{ee} y_1 y_2}{\sqrt{Q_1^2 Q_2^2}} \right) \approx \ln \left( \frac{W_{\gamma\gamma}^2}{\sqrt{Q_1^2 Q_2^2}} \right)$$

$\Rightarrow$  in leading order approximation

$$\sigma_{\gamma^* \gamma^*} \approx \sigma_0 \left( \frac{W_{\gamma\gamma}^2}{\sqrt{Q_1^2 Q_2^2}} \right)^{\alpha_P - 1}$$

$$\alpha_P - 1 = 4 \ln 2 \frac{N_c \alpha_s}{\pi} \approx 0.53 \quad (\alpha_s = 0.2, N_c = 3)$$



# Comparison: Data vs. MC and NLO

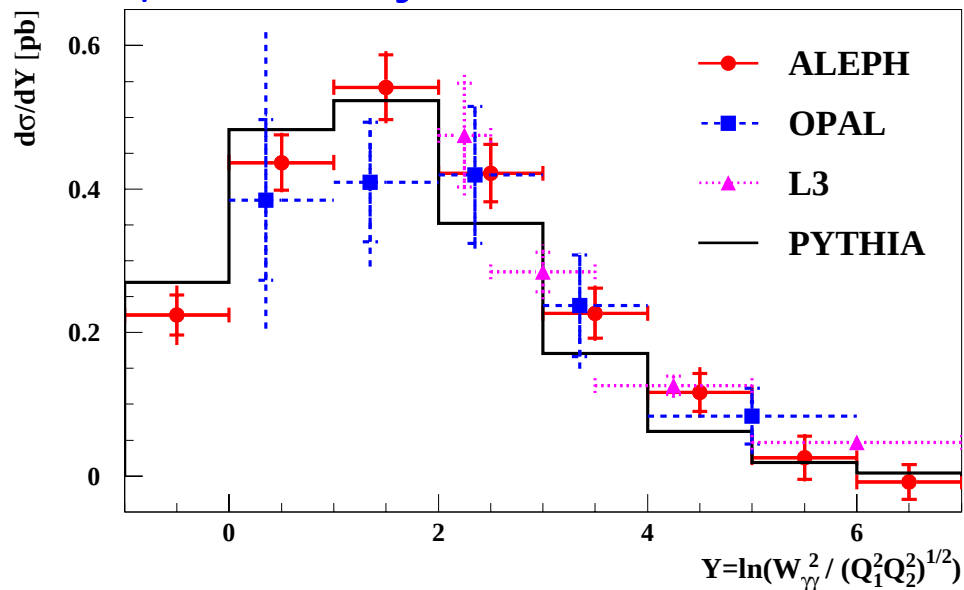
(Shown in the Discussion)

Kinematic range:

|                                | ALEPH                 | OPAL                  | L3      |
|--------------------------------|-----------------------|-----------------------|---------|
| $E_{\text{Tag min}}$           | 30% $E_{\text{beam}}$ | 40% $E_{\text{beam}}$ | 40 GeV  |
| $\theta_{\text{min}}$          | 35 mrad               | 34 mrad               | 30 mrad |
| $\theta_{\text{max}}$          | 155 mrad              | 55 mrad               | 60 mrad |
| $W_{\gamma\gamma \text{ min}}$ | 3 GeV                 | 5 GeV                 | 5 GeV   |

Phase space interpolation with  
GALUGA, PYTHIA or PHOT02

preliminary ALEPH results



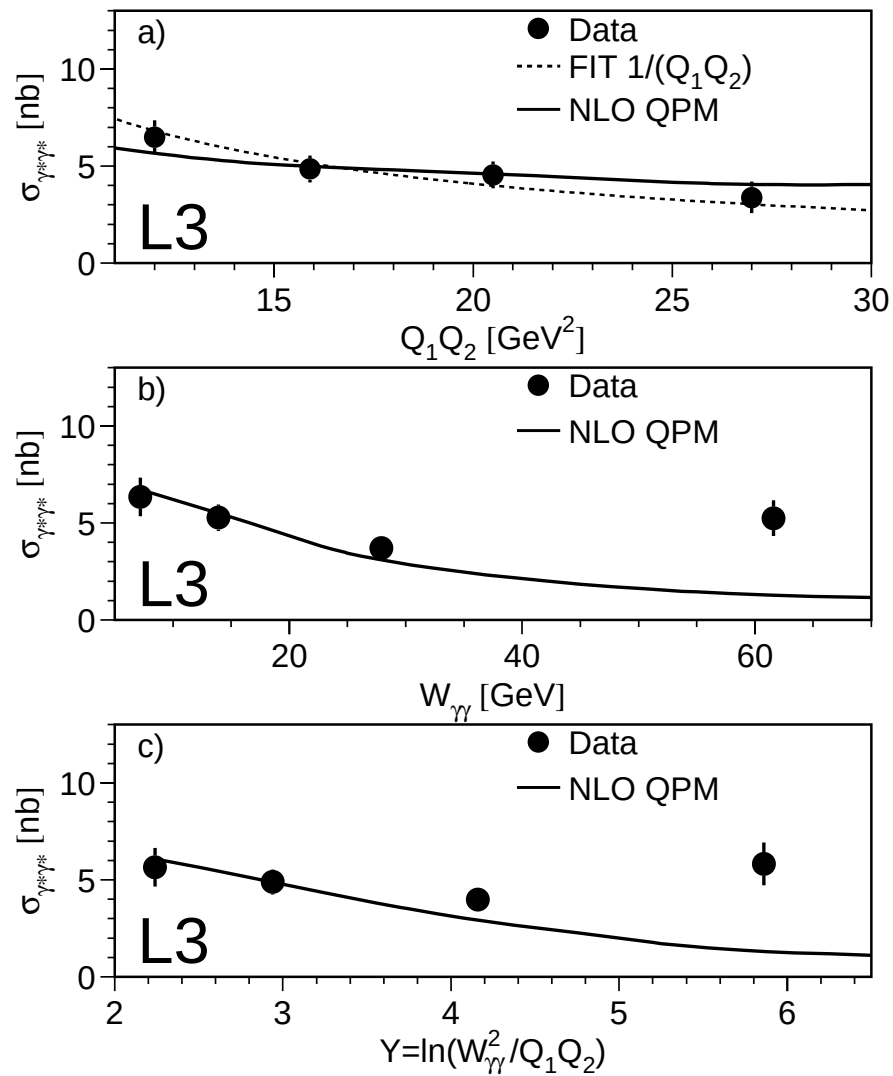
Remark:

$W_{\gamma\gamma}^2$  from hadrons (ALEPH, OPAL) or leptons (L3)

effect of initial state radiation important (L3)

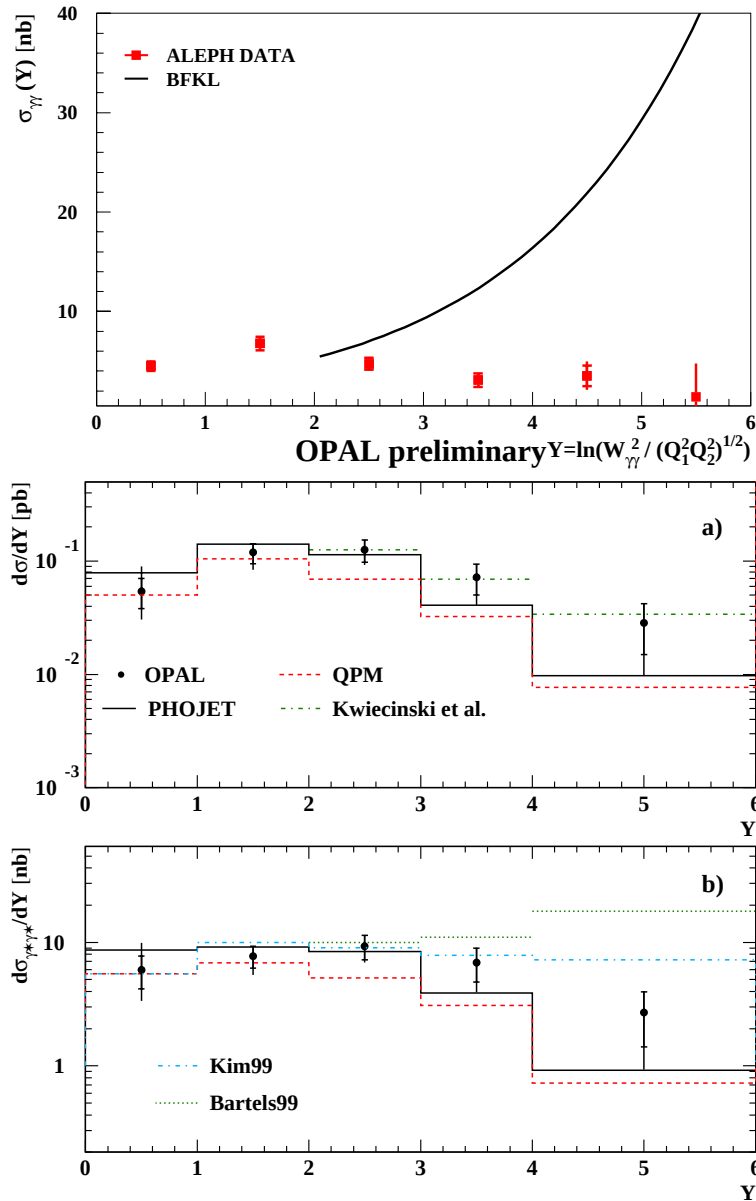
limited resolution of  $W_{\gamma\gamma}^2$ : some hadrons lost (AO)

# Comparison: Data versus BFKL (Shown in the Discussion)



QPM not sufficient!

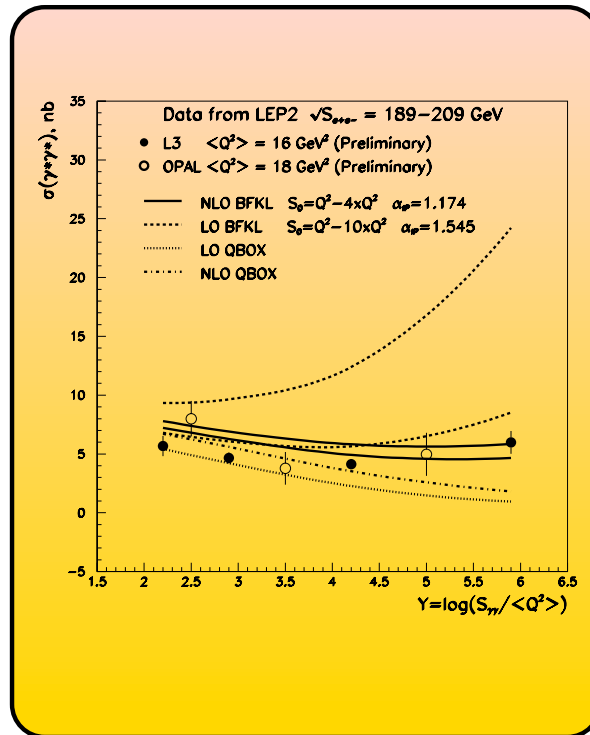
# Comparison: Data versus BFKL (Shown in the Discussion)



QPM, Phojet, NLO close to data:

BFKL needed? BFKL in excess?!

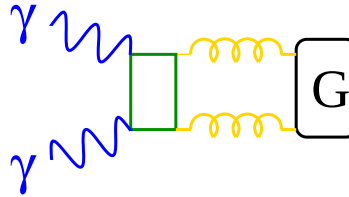
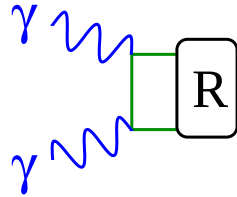
# Comparison: Data versus BFKL (Shown in the Discussion)



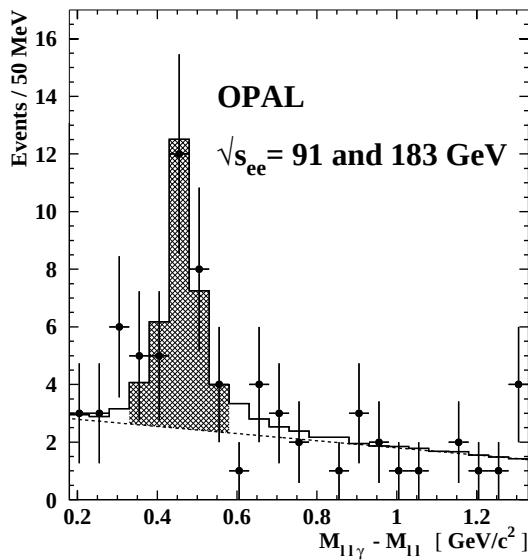
# Exclusive Particle Production

Resonance production ( $\gamma\gamma \rightarrow X$ )

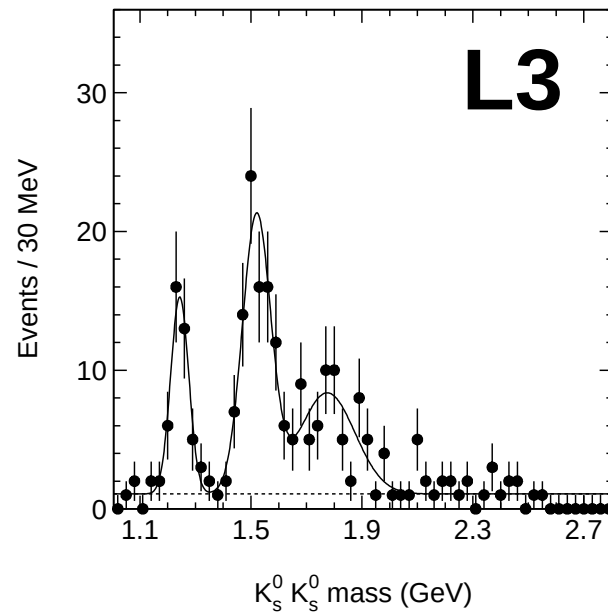
Measurement of partial width  $\Gamma_{\gamma\gamma}$



z.B.  $\chi_{c2} \rightarrow J/\psi \gamma$



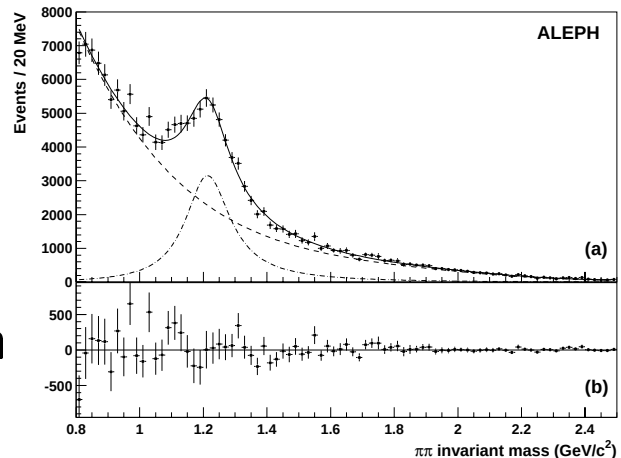
$f'_2(1525) \rightarrow K_s^0 K_s^0$



Sensitive to:

- Quark charge
- Form faktors
- (weak) coupling to photon (**Glueballs!**)
- Production mechanism (Dibaryon production)

$f_2(1270) \rightarrow \pi^+ \pi^-$

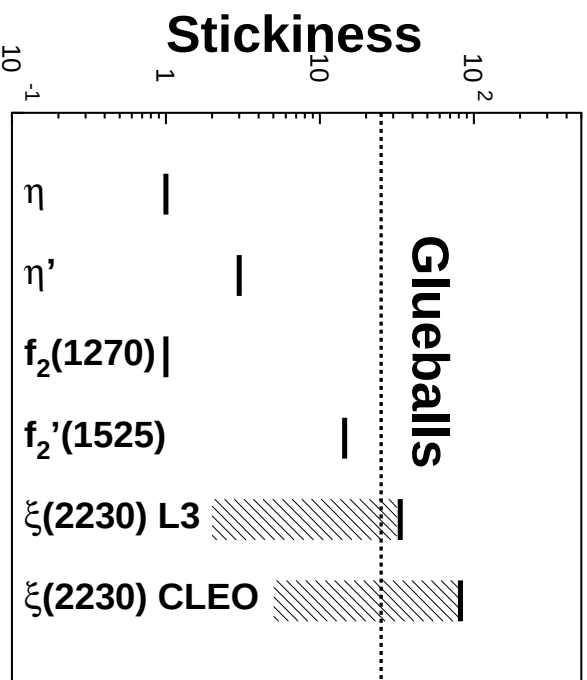


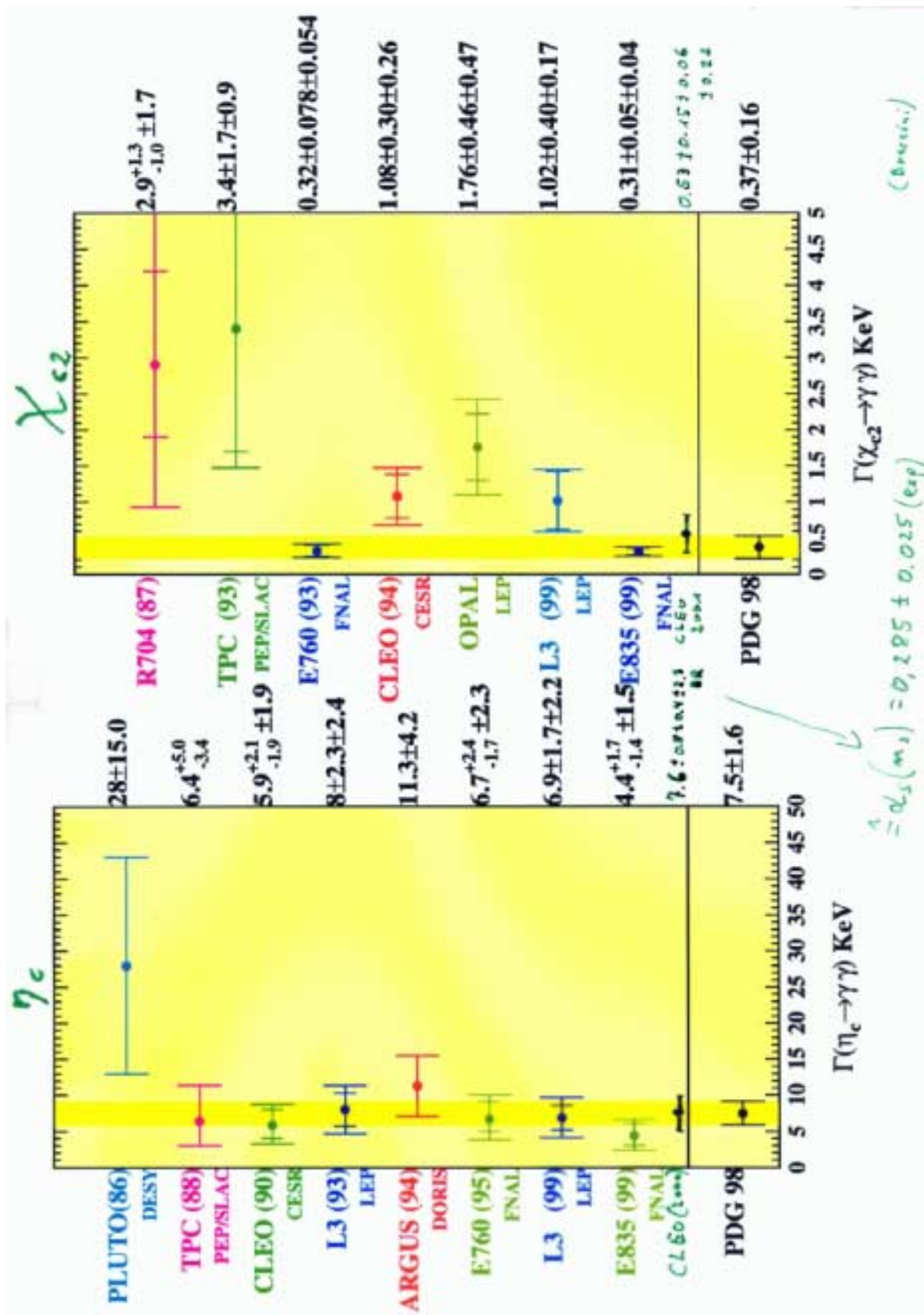
|                        |                    |          |                                                         |   |
|------------------------|--------------------|----------|---------------------------------------------------------|---|
| $\eta'(958)$           | $\pi^+\pi^-\gamma$ | $0^-+$   | $4.17 \pm 0.10 \pm 0.27$                                | L |
| $a_2(1320)$            | $\pi^+\pi^-\pi^0$  | $2^{++}$ | $0.98 \pm 0.05 \pm 0.09$                                | L |
| $a'_2(1752)$           | $\pi^+\pi^-\pi^0$  | $2^{++}$ | $0.29 \pm 0.04 \pm 0.02^*$                              | L |
| $f'_2(1525)$           | $K_s^0 K_s^0$      | $2^{++}$ | $0.093 \pm 0.018 \pm 0.022$                             | L |
| $X(1770)$              | $K_s^0 K_s^0$      | $0^{++}$ |                                                         | L |
| $\xi(2230)$            | $K_s^0 K_s^0$      | $2^{++}$ | $\Gamma_{\gamma\gamma} \times \text{BR} < 3\text{eV}$   | L |
| $\eta_c(2980)$         | 10 channels        | $0^-+$   | $6.9 \pm 1.7 \pm 2.2$                                   | L |
| $\chi_{c2}(3555)$      | $l^+l^-\gamma$     | $2^{++}$ | $1.07 \pm 0.39 \pm 0.24$                                | L |
| $\chi_{c2}(3555)$      | $l^+l^-\gamma$     | $2^{++}$ | $1.76 \pm 0.47 \pm 0.40$                                | O |
| $\eta'_c(3590)/\eta_c$ | 5 channels         | $2^{++}$ | $< 0.34$                                                | D |
| $f_0(1500)$            | $\pi^+\pi^-$       | $0^{++}$ | $< 0.31$                                                | A |
| $f_J(1710)$            | $\pi^+\pi^-$       | $0^{++}$ | $< 0.55$                                                | A |
| $\eta_b(9460)$         | 4 charged          | $0^-+$   | $\Gamma_{\gamma\gamma} \times \text{BR} < 47\text{eV}$  | A |
| $\eta_b(9460)$         | 6 charged          | $0^-+$   | $\Gamma_{\gamma\gamma} \times \text{BR} < 127\text{eV}$ | A |
|                        |                    |          | $* \Gamma_{\gamma\gamma} \times \text{BR}$              |   |

BES and MARK III:  $\xi(2230)$  signal  $J/\psi \rightarrow \xi \gamma$   
 $\Rightarrow$  **Stickiness**

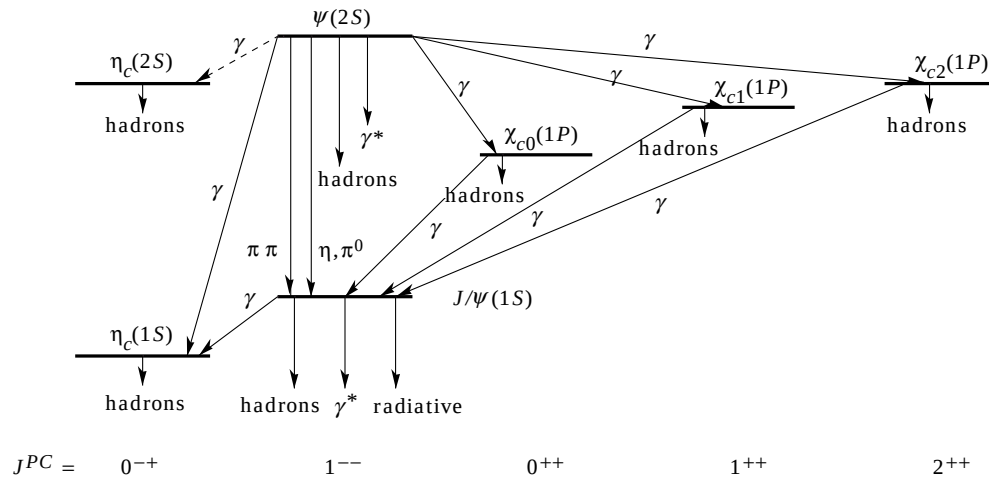
(measure for gluon-photon coupling)

$$S_R = N_l \left( \frac{m_R}{k_{J/\psi \rightarrow \gamma R}} \right)^{2l+1} \frac{\Gamma(J/\psi \rightarrow \gamma R)}{\Gamma(R \rightarrow \gamma\gamma)} \sim \frac{|\langle R | gg \rangle|^2}{|\langle R | \gamma\gamma \rangle|^2}$$

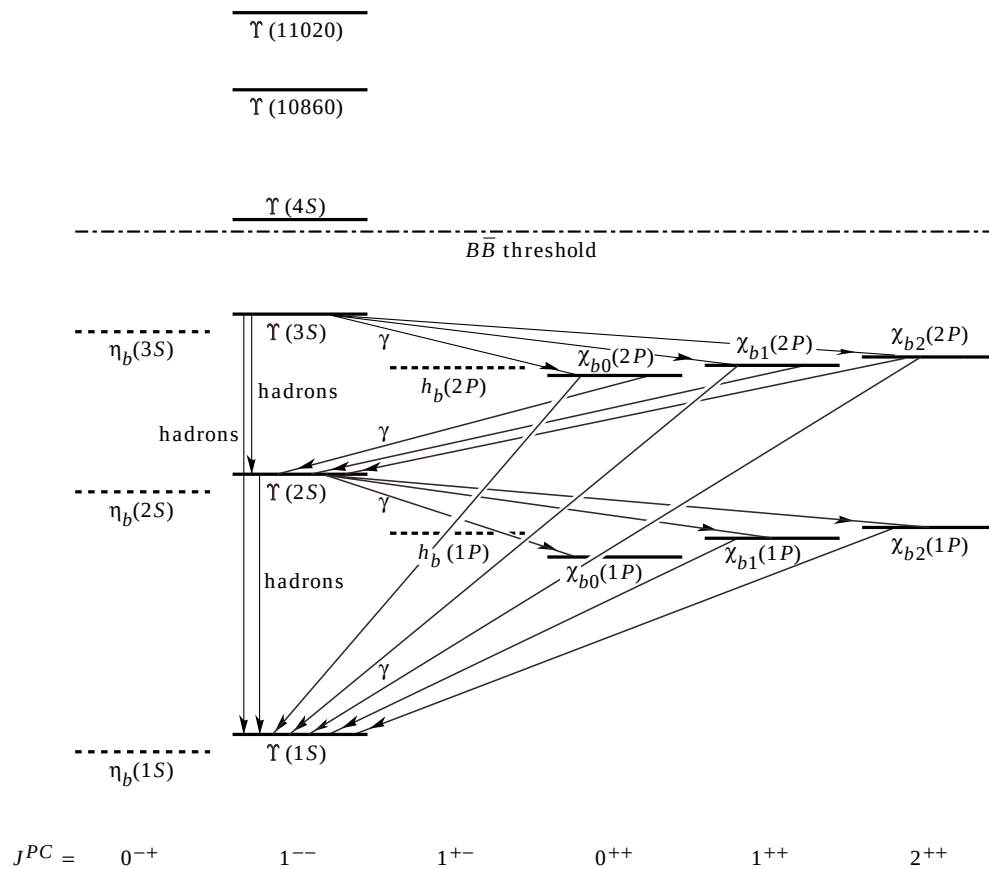




# Charmonium States; ©RPP



# Bottomonium States; ©RPP





# Motivation

- $\eta_b$  not yet seen!

High  $\gamma\gamma$  event statistics at LEP II

- Cross section can be calculated

(Production: needs  $\Gamma_{\gamma\gamma} \Rightarrow$ )

(Decay: needs estimate of BR  $\Rightarrow$ )

$\Rightarrow$  test of QCD (e.g.,  $\Gamma_{\gamma\gamma}$ )

- $\eta_b$  is massive, but  $m(\eta_b) = ???$ :

mass should be close to  $\Upsilon$  mass (9.46 GeV)

$\Rightarrow$  test of NRQCD,  $1/m$ -expansion, lattice-QCD

| hypothesis                               | $m(\eta_b)$ |                                        |
|------------------------------------------|-------------|----------------------------------------|
| naïve estimates                          |             |                                        |
| hyperfine splitting                      | 9.45 GeV    | F.E. Close (book)                      |
| hyperfine ( $\alpha_s, r_{\text{eff}}$ ) | 9.42 GeV    | H. Anlauf, T. Mannel                   |
| spin averaged masses                     | 9.40 GeV    | see G. Bali (review)                   |
| QCD calculations                         |             |                                        |
| lattice NRQCD                            | 9.38 GeV    | A. El-Khadra, L. Marcantonio, Bali ... |
| lattice potential                        | 9.37 GeV    | G. Bali ...                            |
| $1/m$ -expansion                         | 9.40 GeV    | S. Narison ...                         |
| potential model                          | 9.36 GeV    | T. Barnes, E.J. Eichten, D. Ebert ...  |
| pQCD                                     | 9.41 GeV    | N. Brambilla ...                       |

$\Rightarrow m(\eta_b) \approx 9.32 \text{ to } 9.43 \text{ GeV}$

## Production Estimate of $\eta_b$

Production:  $\gamma\gamma$  luminosity...

$$\sigma_{e^+e^- \rightarrow e^+e^- R}(s) = f(s, m(\eta_b), \Gamma_{\gamma\gamma})$$

Partial width

| hypothesis                                   | $\Gamma_{\gamma\gamma}(\eta_b)$ [eV/c <sup>2</sup> ] |                                |
|----------------------------------------------|------------------------------------------------------|--------------------------------|
| estimates $\mathcal{O}(\alpha_s)$            | (conservative)                                       |                                |
| potential model                              | <b>416 <math>\pm</math> 25%</b>                      | $\eta_b, \eta_c$ Barger, ATLAS |
| potential model, $\Gamma_{e^+e^-}(\Upsilon)$ | 431 $\pm$ 25%                                        | $\eta_b, \eta_c$ Kwong         |
| estimates $\mathcal{O}(\alpha_s)$            | (new)                                                |                                |
| potential model                              | 500 $\pm$ 30                                         | Fabiano                        |
| potential model, $\Gamma_{e^+e^-}(\Upsilon)$ | 490 $\pm$ 40                                         | Fabiano                        |
| NRQCD                                        | 460                                                  | Schuler                        |
| NRQCD, $\Gamma_{e^+e^-}(\Upsilon)$           | 501                                                  | Czarnecki + Melnikov           |
| estimates $\mathcal{O}(\alpha_s^2)$          |                                                      |                                |
| NRQCD, $\Gamma_{e^+e^-}(\Upsilon)$           | 570 $\pm$ 50                                         | Czarnecki + Melnikov           |

(RPP2000:  $\Gamma_{\gamma\gamma} = 7.4 \pm 1.4$  keV for  $\eta_c$  !!! (measured))

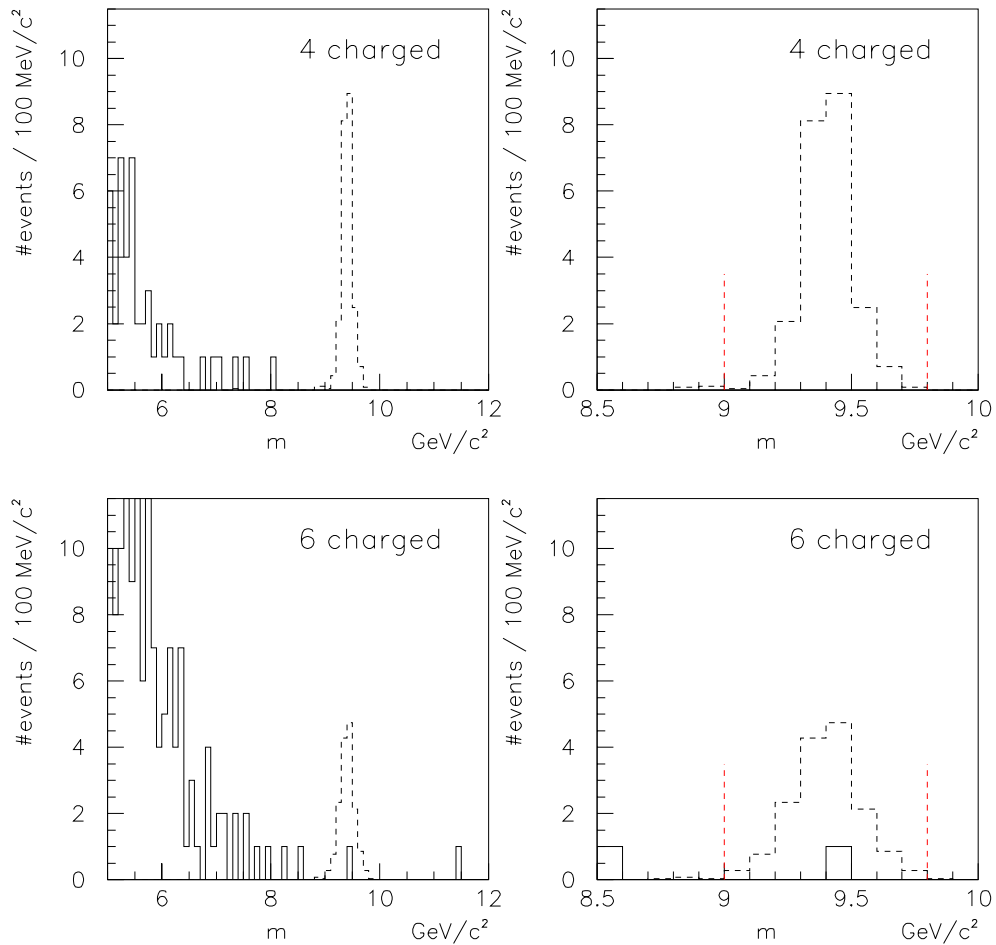
$$\Gamma_{\gamma\gamma} = 416 \text{ eV for } \eta_b$$

(used by ALEPH; lowest = conservative prediction  
Fabiano, Czarnecki + Melnikov from Sept.)

$$\Gamma_{\gamma\gamma} = 557 \text{ eV (from new } \mathcal{O}(\alpha_s) + \mathcal{O}(\alpha_s^2))$$

# Invariant Mass Distribution

Data (—) and MC(BR=100%) (- - -)



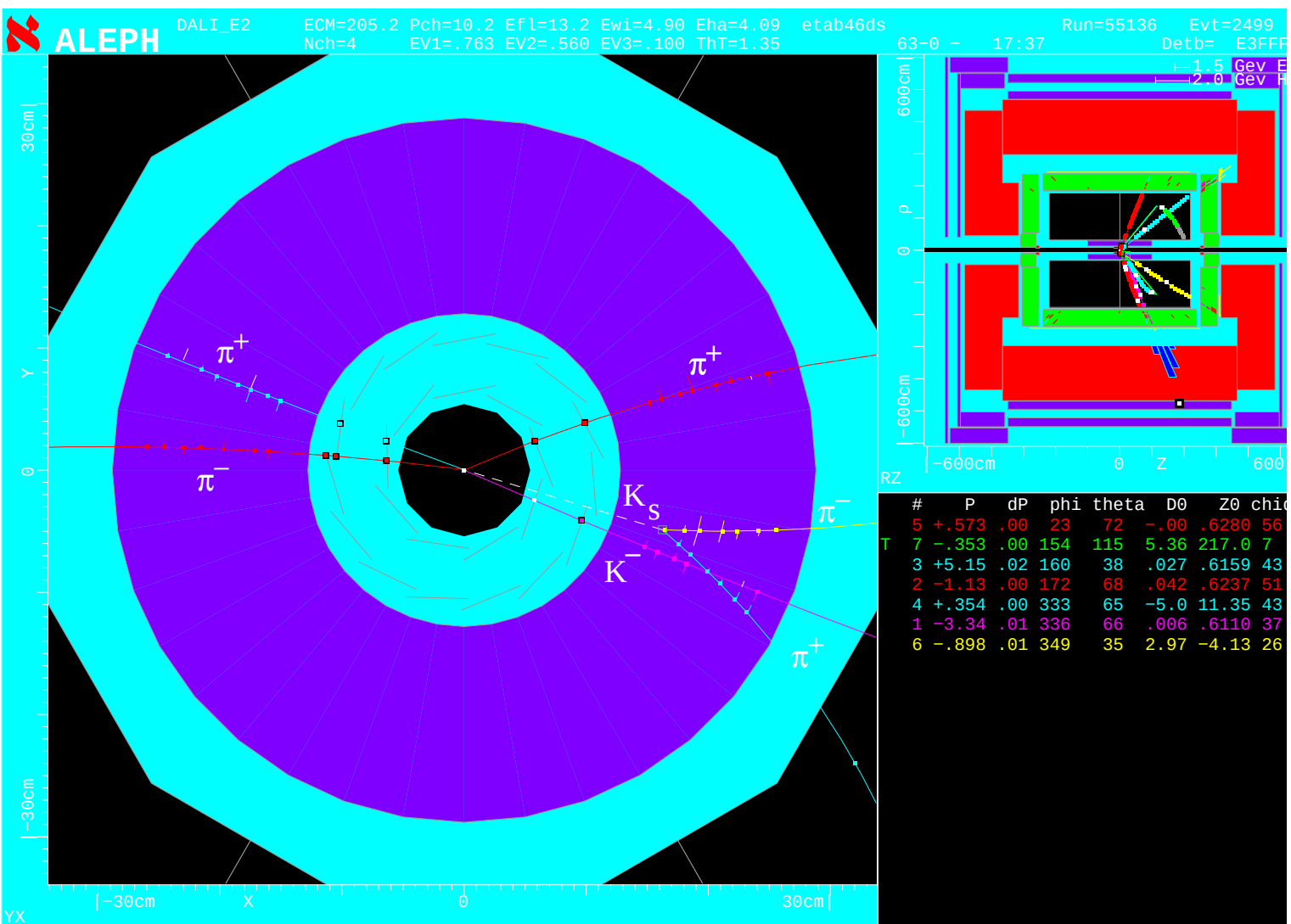
⇒ One candidate event in 6 charged mode!

$$m = 9.30 \pm 0.04 \text{ GeV}$$

(for proper mass assignment of decay particles)

$$\text{bgd} = 0.3 \pm 0.3 \text{ (} 0.8 \pm 0.4 \text{) events}$$

# Candidate Event



## Limit for $\eta_b$ Production

- expected  $\eta_b$  signal

(BR from MLLA)

4 charged mode: 0.65 events

6 charged mode: 0.52 events

- expected background

(mass region  $9.00 \text{ GeV} < 9.80 \text{ GeV}$ )

4 charged mode:  $0.3 \pm 0.3$  events

6 charged mode:  $0.8 \pm 0.4$  events

- observation

4 charged mode: 0 events

6 charged mode: 1 events

( $m = 9.30 \pm 0.04 \text{ GeV}$ )

- $\alpha = 95\%$  upper limits:

$$\Gamma_{\gamma\gamma}(\eta_b) \times \text{BR}(4\text{cha}) < 57 \text{ eV}$$

$$\Gamma_{\gamma\gamma}(\eta_b) \times \text{BR}(6\text{cha}) < 128 \text{ eV}$$

with  $\Gamma_{\gamma\gamma}(\eta_b) = 416 \text{ eV} \pm 25\%$  (while new calc.  $557 \text{ eV} \pm 15\%$ ):

$$\text{BR}(\eta_b \rightarrow 4 \text{ charged}) < 17\%$$

$$\text{BR}(\eta_b \rightarrow 6 \text{ charged}) < 38\%$$

4 LEP experiments have a chance to see it.

## Conclusion

- A variety of **QCD** test have been performed:
  - QCD now **precision experiment**  
(experimental and theoretical progress)
  - QCD **successful** for hard and soft:  
 $\alpha_s$ , gauge structure,  
 $q \leftrightarrow g$  jets, fragmentation
- A lot of interesting physics studied in  **$\gamma\gamma$** :
  - **structure functions**
  - **jets, total cross section, inclusive p.p.**  
good description (except for high  $p_t$  in ipp)
  - **double-tag events**: BFKL NLO important
  - **heavy flavour**:  
charm: fairly understood; problems in details  
bottom: cross section too low in QCD
  - **exclusive particle production**  
many good measurements

! only fraction of data analysed ( $\gamma\gamma$ ):  
... more to come
- Experimental and theoretical challenge